

# Source-Finding for the Australian Square Kilometre Array Pathfinder

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**Abstract:** The Australian Square Kilometre Array Pathfinder (ASKAP) presents a number of challenges in the area of source finding and cataloguing. The data rates and image sizes are very large, and require automated processing in a high-performance computing environment. This requires development of new tools, that are able to operate in such an environment and can reliably handle large datasets. These tools must also be able to accommodate the different types of observations ASKAP will make: continuum imaging, spectral-line imaging, transient imaging. The ASKAP project has developed a source-finder known as SELAVY, built upon the DUCHAMP source-finder. SELAVY incorporates a number of new features, which we describe here.

Since distributed processing of large images and cubes will be essential, we describe the algorithms used to distribute the data, find an appropriate threshold and search to that threshold and form the final source catalogue. We describe the algorithm used to define a varying threshold that responds to the local, rather than global, noise conditions, and provide examples of its use. And we discuss the approach used to apply two-dimensional fits to detected sources, enabling more accurate parameterisation. These new features are compared for timing performance, where we show that their impact on the pipeline processing will be small, providing room for enhanced algorithms.

We also discuss the development process for ASKAP source finding software. By the time of ASKAP operations, the ASKAP science community, through the Survey Science Projects, will have contributed important elements of the source finding pipeline, and the mechanisms in which this will be done are presented.

**Keywords:** methods: data analysis — methods: numerical — techniques: image processing

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## 1 A Source-Finder for ASKAP

The Australian Square Kilometre Array Pathfinder (DeBoer et al. 2009) is an aperture synthesis radio telescope currently under construction in the radio-quiet Western Australian outback. It will be an array of 36 antennas, each equipped with a focal plane phased-array feed (PAF), operating between 700 MHz and 1.8 GHz and capable of a field-of-view of 30 square degrees. The first five years of ASKAP operations will have at least 75% of the time dedicated to large Survey Science Projects (SSPs), each requiring more than 1 500 hours. Ten SSPs have been identified, many of which require the formation of large (often all-sky) source catalogues.

ASKAP observations will produce very large data rates, as a result of the large number of beams that give the wide field-of-view, the large number of baselines, the large instantaneous bandwidth and spectral resolution (300 MHz divided into 16 384 channels), together with 5-second sampling. At full spectral resolution, the visibility dataset will be  $\sim 80$  TB after 8 hours of observing, and will be reduced to image cubes of  $\sim 1$  TB that need to be

searched for astronomical sources. These data rates necessitate processing in automated pipelines running on a highly distributed parallel processing computer. They also force the adoption of particular algorithmic choices in the imaging, and resource availability will inevitably lead to limitations in the processing capabilities (For instance, the quoted value of  $\sim 1$  TB for a cube is for a spatial resolution of  $30''$ . This is the highest possible for the full spectral resolution imaging, but low-resolution continuum imaging will be possible at  $\sim 10''$ ).

The software pipelines for ASKAP are currently under development, but prototypes exist of all the main elements, from ingest of visibility data, calibration, imaging and source extraction. These pipelines will be used to process data from BETA, the Boolardy Engineering Test Array, which consists of the first six ASKAP antennas equipped with phased-array feeds. BETA will have the same field of view as ASKAP, but with much coarser spatial resolution, meaning the number of spatial pixels required will be smaller. However, BETA will have the same number of spectral channels as ASKAP, and so

the spectral-line images produced by the pipelines will be comparable in size (despite the visibility data sets being smaller by virtue of the reduced number of baselines).

This paper focusses on the last element of the pipeline processing: the source extraction. This pipeline is built on the software library of the stand-alone DUCHAMP source finder (Whiting 2012), and adds features not included in DUCHAMP. This paper describes the development process, and details some of the new features that have been implemented.

We give a brief description of DUCHAMP here, but readers are directed to Whiting (2012) or the DUCHAMP User's Guide<sup>1</sup> for specific details about the DUCHAMP algorithms themselves.

DUCHAMP is a standalone program, developed at CSIRO Astronomy and Space Science independently of the ASKAP project, and publicly available.<sup>2</sup> It was developed primarily to find sources in three-dimensional spectral-line data cubes, although it is able to process two- and one-dimensional data as well. One of its key features is the ability to pre-process the image data via smoothing or multi-resolution wavelet reconstruction to minimise the effects of noise and increase both the completeness and reliability of the resulting source catalogue.

DUCHAMP, however, lacks certain features that would make it suitable for ASKAP online processing, in particular parallel processing of data. It also lacks certain features that ASKAP surveys would desire. We have been developing an ASKAP source-finder that builds on the DUCHAMP library, extending it in appropriate areas. The current version of this source-finder is known as SELAVY.<sup>3</sup>

SELAVY was developed specifically to operate in a distributed environment, and also features improvements to the detection and parameterisation algorithms. These innovations are detailed in the following sections: Section 2 describes how the software is adapted to work in a distributed environment; Section 3 describes changes to the determination of the threshold, allowing it to operate in a distributed environment and allowing the threshold to vary from pixel to pixel; and Section 4 describes additional processing enabled for continuum images, that allow better analysis of detected sources. Finally, Section 6 describes the development process, and how interactions with the community are aiding the development.

## 2 Distributed Processing

### 2.1 Why Distributed Processing?

The large field of view and spectral coverage of ASKAP place great demands on the processing capability, driving us towards distributed processing. The size of the ASKAP

spectral-line data sets demands it, as a full cube (typical size  $3600 \times 3600 \text{ pix} \times 16\,384$  channels, or nearly 800 GB) will not fit in memory for a single processor. The ASKAP continuum data, being single-channel images (at least, the images that result from the multi-frequency synthesis imaging), will easily fit in memory, but the large field of view results in such a large number of sources (Norris et al. (2011) predict the EMU survey will find  $\sim 70\,000$  sources per ASKAP field) that parallel processing is required to meet the performance goals of the pipeline.

In general, splitting up the data set allows it to be processed in parallel, decreasing the processing time and potentially allowing a number of different approaches, or more computationally-intensive analyses to be used. Finally, the ASKAP pipeline processing will take place within a high-performance supercomputing environment (this is driven more by the imaging, which has even stricter requirements on distributed processing), and so distributed source extraction will make the best use of the available resources.

We describe in this section the framework and implementation that is being developed for the ASKAP pipeline source finder. This has been tested on large multi-core machines such as the NCI<sup>4</sup> National Facility Sun Constellation *Vayu* and the iVEC<sup>5</sup> Pawsey 1A machine *Epic* — the latter being the machine that will be used for processing data from BETA — and is the subject of on-going development and evaluation.

### 2.2 Implementation

The systems the source-finding runs on are characterised by having a large number of nodes, each comprising typically 8–12 CPU cores with an average of 2–3 GB of memory per core. We therefore cannot assume that the entire image will fit within memory. The source-finding implements the master/worker pattern for workload distribution and coordination. A single processing task is run using  $N$  processes, one of which is designated the 'master' process and coordinates the processing, and the remaining  $N - 1$  are 'worker' processes and perform the compute intensive work. The master/worker pattern has proved to be more than adequate to meet the scaling goals, partially owing to the fact that work tasks are loosely coupled and relatively coarse-grained, requiring significant CPU time to complete.

The first step in the distributed processing is to allocate a subimage of the full input image to a given worker. This is done by dividing the image at regularly-spaced intervals in each axis direction, via parameters  $N_x$ ,  $N_y$  and  $N_z$  (where the  $x$ - and  $y$ -directions are the spatial directions, such as right ascension and declination, and the  $z$ -direction is the spectral direction, such as frequency or velocity). The number of workers required is thus given

<sup>1</sup><http://www.atnf.csiro.au/people/Matthew.Whiting/Duchamp/DuchampUserGuide.pdf>

<sup>2</sup><http://www.atnf.csiro.au/people/Matthew.Whiting/Duchamp>

<sup>3</sup>Rose Selavy was a pseudonym of Marcel Duchamp, after whom DUCHAMP was named.

<sup>4</sup><http://www.nci.org.au>

<sup>5</sup><http://www.ivec.org>

by the product of these three parameters:  $N - 1 = N_x N_y N_z$ . The size of these worker images can be made larger than necessary by allowing them to overlap by some specified amount. This allows sources at or near the edge to be better recovered (although see Section 2.3 for further discussion on these sources), and allows complete coverage of the image when using the variable threshold technique discussed in Section 3.2.

Each worker reads its subimage data from disk independently, then processes its own subimage using DUCHAMP algorithms as well as those described herein, constructing a list of objects to be sent to the master. The worker only sees the pixels within the subimage, although it has information about where that subimage is located within the complete input image, so that correct pixel locations can be assigned (rather than just the pixel locations within the subimage).

### 2.3 Sources at Subimage Edges

When dividing up an image for processing, one needs to consider the effect of the edges of the subimages. It is likely that these will lie on or near sources of interest, and so care must be taken to ensure these sources are not processed differently to sources away from the edges (since the edges are arbitrary and not related to the data itself).

For sources away from the edges of the subimages, the processing is identical to the single-threaded case. The worker has all the flux information for every pixel in the source, and so the source can be fully parameterised. Any fitting (described in Section 4) is done by the worker as well. The only additional impact is the work involved in sending the information on the source to the master node, which then writes it to the output.

When a source is close to the edge of a subimage, however, it is likely that the entire source does not lie in a single subimage, and so a single worker cannot completely process the source. These sources are flagged as edge sources and are handled by the master differently to the non-edge sources. All edge sources are compiled into a list, and then passed through the same merging process used by DUCHAMP (see Whiting (2012)). This provides a list of unique sources, distinct from the non-edge sources.

Before the lists of edge and non-edge sources can be combined, however, the edge sources need to be parameterised. The sources are distributed to the now-idle workers, who do the basic parameterisation of each source individually. This is currently handled by the DUCHAMP algorithms, which limit the parameterisation (object extent, peak and integrated flux) to the detected pixels only. A worker will only need the pixels that immediately affect a given source, and so will not need to load the full image, or even a full subimage. This will keep the memory requirements tractable (we assume the sizes of the objects are small compared to the size of the cube).

## 3 Threshold Determination in SELAVY

The DUCHAMP software uses a single threshold, either given as a flux value or a signal-to-noise level, for the entire dataset that is being searched. This approach results in an output catalogue with a uniform selection criterion. It is best suited to data that has uniform noise, which often requires some form of preprocessing (for instance, division through by the sensitivity pattern). In practice, for ASKAP processing, there are at least two issues with this approach. One is that the image data is distributed, so that no single worker process has access to all the pixel values. Secondly, it is likely that the assumption of uniform noise everywhere will not hold. While it may be possible to divide through by the ASKAP sensitivity pattern, additional effects such as sidelobes will contribute to the noise in different ways at different locations. In this section, we discuss two approaches SELAVY can implement to address these issues.

### 3.1 Statistics in the Distributed Case

A signal-to-noise threshold is defined by measuring the image statistics and setting the flux threshold to be a certain number of standard deviations above the mean. The mean  $m$  and standard deviation  $s$  of the pixel values can be measured directly via the standard relations:

$$m = \frac{1}{N} \sum_{i=1}^N F_i$$

and

$$s = \sqrt{\frac{1}{N} \sum_{i=1}^N (F_i - m)^2},$$

where  $F_i$  are the pixel flux values. Or they can be estimated robustly using the median and the median absolute deviation from the median (MADFM):

$$m = \text{med}(F)$$

and

$$s = \text{med}(|F - m|) \times 0.6744888,$$

where the correction factor 0.6744888 converts the MADFM to the equivalent standard deviation of a Normal distribution (Whiting 2012). The robust methods avoid the strong bias that can be present from the inclusion of source pixels (which do not form part of the noise background anyway), albeit at the expense of additional computation.

If one wishes to apply a single signal-to-noise threshold for the entire image, in the fashion of DUCHAMP, then the global image statistics need to be known. In the distributed processing case, no single worker process can see the entire image, and having each worker calculate their own statistics would lead to large-scale discontinuities in the detection threshold. There must, instead, be a way of estimating the global

**Table 1. Image statistics in distributed processing**

# Workers	Noise + Sources		Noise only	
	$m_M$	$s_M$	$m_M$	$s_M$
1	$-5.0509 \times 10^{-6}$	$3.3746 \times 10^{-5}$	$-1.9926 \times 10^{-7}$	$7.8009 \times 10^{-4}$
2	$-5.0929 \times 10^{-6}$	$3.3807 \times 10^{-5}$	$-2.0099 \times 10^{-7}$	$7.8025 \times 10^{-4}$
4	$-5.1624 \times 10^{-6}$	$3.4080 \times 10^{-5}$	$1.5316 \times 10^{-7}$	$7.8024 \times 10^{-4}$
9	$-5.2095 \times 10^{-6}$	$3.4454 \times 10^{-5}$	$-2.1657 \times 10^{-7}$	$7.8028 \times 10^{-4}$
16	$-5.2548 \times 10^{-6}$	$3.4394 \times 10^{-5}$	$-1.0837 \times 10^{-7}$	$7.8282 \times 10^{-4}$
30	$-5.3137 \times 10^{-6}$	$3.4641 \times 10^{-5}$	$-1.7546 \times 10^{-7}$	$7.8485 \times 10^{-4}$
1 <sup>a</sup>	$1.5374 \times 10^{-5}$	$3.0777 \times 10^{-3}$	$2.3859 \times 10^{-7}$	$8.0582 \times 10^{-4}$

<sup>a</sup>Calculated with non-robust statistics.

$m_M$  is the estimate of overall mean, calculated by the master process.

$s_M$  is the estimate of overall standard deviation, calculated by the master process.

statistics from the noise properties of the individual images.

First, each worker finds the mean or median of their subimage, and sends this to the master. The master then forms a weighted average of the workers' means (weighting by the number of pixels in each subimage):

$$m_M = \frac{\sum_i m_i N_i}{\sum_i N_i},$$

where the subscript  $M$  refers to the value at the master, while  $i$  refers to a given worker. This provides an estimate of the global mean (in fact, when means are used instead of medians, this is identical to the overall mean).

This mean is then distributed to the workers, who use it to find the 'spread' (either the standard deviation or the MADFM) within their subimage and provide this to the master. The master then forms a weighted average of the workers' variances:

$$s_M = \sqrt{\frac{\sum_i s_i^2 N_i}{\sum_i N_i}}.$$

When using the mean and standard deviation, these quantities come out to the same values one would get by looking at the entire image at once. However, the robust statistics will be different. It is not possible to find the overall median without looking at all the data (since at least partial sorting of the entire dataset would be required), but taking the weighted average of the medians provides a better estimate than the median of the medians.

The accuracy of the distributed approach is affected by the number of workers used. In Table 1 we look at how the estimates of the overall image statistics, estimated with the robust methods described above, change with the number of workers used. This is done for two cases, taken from the 2011 ASKAP simulations:<sup>6</sup> one, a continuum image that has many sources present, with signal-to-noise

values ranging up to  $\sim 10^5$ ; and two, a single channel of a noise-dominated spectral cube. In both cases, the estimate of the standard deviation increases with the number of workers, and increases more strongly in the source-dominated case. Even though we are using robust statistics, the estimates in subimages with bright sources are still slightly affected, and their inclusion increases the calculated global value. Even though there are no sources in the second case, the estimated noise still increases, albeit by only a tiny amount. Note that using non-robust statistics in the source-dominated image gives a standard deviation almost two orders of magnitude larger than the robust statistics.

These considerations are important only in the case of determining the global noise properties, or in applying a global signal-to-noise threshold. In Section 3.2 we discuss an alternative method of setting the threshold that depends only on the *local* noise properties.

## 3.2 Variable Thresholds

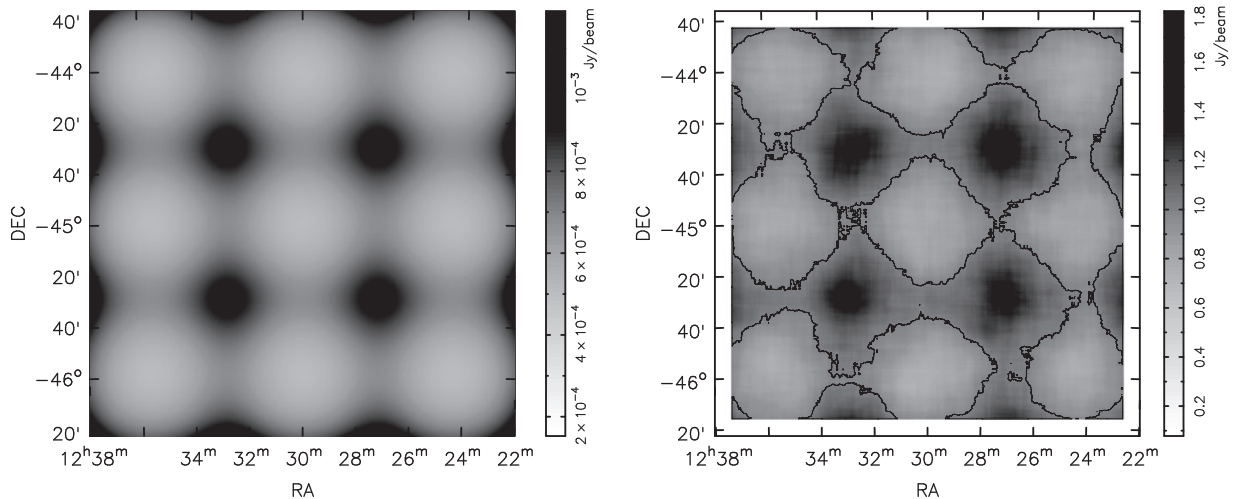
### 3.2.1 Rationale

One of the key aims of source extraction is to provide a catalogue that is as complete and reliable as possible. To do this, one needs to go as deep as possible (to increase the completeness), but not so deep that one finds large numbers of false detections (which would reduce the reliability). If the noise varies as a function of position, then the detection threshold should also vary. By 'noise', here, we mean not just the random, thermal noise that is inevitably a part of any image, but also non-random, unwanted signal such as sidelobes or interference. Thus, at locations where there is a relatively large amount of noise or additional signal (e.g. near the edge of the field or near a bright source), the threshold can be set higher to avoid spurious sources, but at other locations it can be set as low as the thermal noise permits, allowing the source extraction to be as complete as possible.

An important application of a variable detection threshold is for non-interlaced observations with ASKAP. The phased-array beams will be separated by  $(\lambda/D)$ , rather than the  $(\lambda/2D)$  required to image without aliasing. This leads to variations of  $\sim 20$ – $30\%$  in the sensitivity across

<sup>6</sup>These simulations were provided by the ASKAP team, and are available from <http://www.atnf.csiro.au/people/Matthew.Whiting>.





**Figure 1** An illustration of the effect of applying the variable threshold technique in the presence of sensitivity variations. The left-hand plot shows the variations in sensitivity (i.e. the theoretical noise level) due to a  $3 \times 3$  grid of beams, taken from a simulated ASKAP observation. White is more sensitive. The right-hand side shows the result of the variable threshold determination on a particular noisy image with this sensitivity pattern. The image shows the ratio of the variable threshold (calculated as described in the text, with a box width of 101 pixels) to the single threshold determined from the whole image. A  $4\sigma$  threshold was used. The single contour line indicates where the two thresholds are equal. Darker pixels have a higher variable threshold, and lighter pixels have lower.

the field. To maximise the completeness and reliability of any catalogue, the detection threshold must be able to track these variations. Note that interlacing multiple observations will provide a flat sensitivity response, and this will be considered in planning ASKAP surveys.

### 3.2.2 Implementation

SELAVY approaches the goal of maximising the completeness and reliability by finding, for each pixel, the image statistics within a ‘box’ of a given size centred on that pixel. The image statistics are used to set a signal-to-noise threshold, which then determines whether that pixel is part of an object or not. This is repeated for each pixel in the image, thereby providing a different flux threshold at each location.

The implementation of this uses the CASACORE library’s *slidingArrayMath* functions, which allow efficient sliding of a box over an array. Although described as ‘boxes’, these can be either two- or one-dimensional, to match the method of searching done by the DUCHAMP algorithms (which search a 3D dataset either one channel image at a time or one spectrum at a time).

Applying a fixed box size to the array means that pixels within half the box width of the edge cannot have a full box centred on them without it extending past the image borders. These pixels have their signal-to-noise ratio set to zero, and so will yield no detections. This has implications for the distributed processing discussed in Section 2, but problems can be avoided by using an overlap between neighbouring worker subimages of at least the box width. The edge of the image, however, will always have a border area that exhibits no detections.

The box size should be chosen carefully. If it is too small, a source may fill a large fraction of the box and so the noise estimate will not sample the true background. If

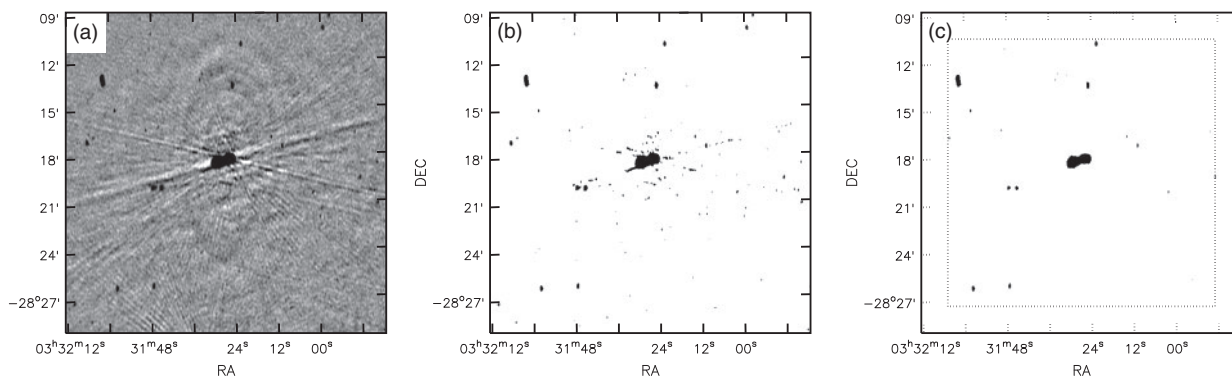
it is too large, any sensitivity variations present (see Figure 1) may get smoothed out and the utility of the approach diminished.

At present, only a single box size is applied to the data, but there is the risk that this may impose a preferred scale on the output catalogue, particularly if there is underlying large-scale diffuse structure in the image that may be comparable in size to the box. An improved algorithm would make use of a range of box sizes and appropriately account for the different detection thresholds that would result — this is an area of ongoing research within the ASKAP source-finding community.

### 3.2.3 Examples

We consider here two different situations where applying this technique can be beneficial. Figure 1 shows how sensitivity variations can be accounted for in an ASKAP image. The left-hand image shows a sensitivity pattern taken from an ASKAP simulation; in this case, a single channel from the spectral-line simulation. The lighter areas are the PAF beams, where the noise (indicated by the colour scale) is lowest, with the darker areas the increased noise in between beams. These areas of increased noise are more likely to contribute spurious sources, particularly at lower (i.e. more interesting) detection thresholds.

The right-hand image shows the ratio of the variable flux threshold at each pixel, determined as in Section 3.2.2 using a  $4\sigma$  signal-to-noise threshold, to the single  $4$  threshold (as a flux value) determined from the entire image. A single contour line marks the locations where the thresholds are equal. One clearly sees that the flux threshold now tracks the noise variations closely (note that the left-hand side image shows the *theoretical* noise, whereas the right-hand side reflects a particular instance



**Figure 2** An illustration of the effect of applying the variable threshold technique in a situation where sidelobes are a problem. Panel (a) shows an excerpt from the ATLAS CDFS field (Norris et al. 2006), showing a bright source surrounded by a sidelobe pattern as well as fainter real sources. Panel (b) shows the mask resulting from source detection done with a constant  $5\sigma$  threshold, while panel (c) shows the effect of a  $5\sigma$  threshold applied using the local noise technique. The dotted line indicates the border of the valid area, pixels outside this will not be detected.

of the random noise), which will increase the number of real sources detected (in the PAF beam directions) and decrease the number of false detections in the higher-noise regions between the beams.

Figure 2, meanwhile, shows the effect of applying this variable threshold approach to data from the Australia Telescope Large Area Survey (ATLAS, Norris et al. 2006). We use the image of the Chandra Deep Field South (CDFS), as it provides a good illustrative example of sources with strong sidelobes. (Although note that the sidelobes in this Australia Telescope Compact Array image are much more prominent than any expected in ASKAP images, due both to the design of the ASKAP array, with many more baselines and 3rd-axis rotation of the feed, and to the use of a sky model in the imaging. This example can be considered a worse-case scenario for ASKAP imaging.) When we apply a single threshold to this image (with the noise determined from a part of the image away from bright sources), these sidelobes appear either as separate detections or extensions to the primary object (see Figure 2b). Raising the threshold around this object means that we detect just the central part, but we still detect the faint sources in the field where the detection threshold remains low (Figure 2c). Huynh et al. (2012) have made a detailed examination of this algorithm in looking at source extraction from ASKAP simulations.

## 4 Two-Dimensional Source Fitting

### 4.1 Motivation

The principle aim of the `DUCHAMP` source-finder is to locate sources of interest within an image. It makes no assumptions as to the nature of the sources themselves, and so does not perform any fitting to the detected sources to do parameterisation. Instead, all parameterisation is done solely from the pixels in the image.

The rationale here is that the source finding segments the image into ‘object’ pixels and ‘background’ pixels, and that the objects of interest are, by definition, made up of the detected pixels, so parameterising them by those pixels

should be sufficient. In the absence of any assumption about their true nature, this is all that can be done. `DUCHAMP` then leaves the analysis here, and provides the user with enough information (such as mask images) to go and do further, more detailed parameterisation (e.g. through fitting) according to the science they want to do.

In practice, however, there are often assumptions that can be made about the nature of the sources being detected. A common one in radio imaging is that the sources’ spatial structure can be decomposed into a small number of Gaussian components, particularly when they are unresolved (or only marginally resolved). This has been done with many continuum surveys such as NVSS (Condon et al. 1998), FIRST (Becker et al. 1995; White et al. 1997) and SUMSS (Mauch et al. 2003), and in many spectral-line surveys, such as HIPASS (Barnes et al. 2001), where the fitting is done on the moment-0 map of a spectral-line source.

The key to this approach is to represent the sky accurately with a minimal number of easily-quantifiable components. This will facilitate the cataloguing of the image (as each component can be readily expressed as a single catalogue entry), and makes the parameterisation of sources an easier task as well. The Gaussian shape, moreover, closely approximates the response of radio interferometers, either after deconvolution, or, in the case of good  $u-v$  coverage (such as long integrations with ASKAP), even before deconvolution, and so provides a natural basis for representing the image brightness.

We have therefore implemented two-dimensional Gaussian fitting in the ASKAP source finder, to act as the parameterisation step following the identification of sources. In the following section we describe the implementation, with particular emphasis on how to run this within the ASKAP pipeline environment. The details of the implementation are, at this point, not final, and are most likely not yet the optimal solution. Testing is on-going (see, for instance Hancock et al. 2012, who present a very promising alternative algorithm) and the final version of the ASKAP pipeline will depend strongly on community input (see Section 6).

#### 4.2 Fitting Algorithm

The Gaussian fitting routine starts with the result of the source finding. This provides, for a given object, a set of pixels (commonly referred to as an ‘island’) that meet the detection criteria. These will be surrounded by background pixels that are, by definition, not part of the source.

The fitting can be done in one of two ways. Either just the detected pixels (their locations and fluxes) are passed to the fitting algorithm, or all the pixels within a rectangular box surrounding the object (padded out by some pre-defined number of pixels) are used instead.

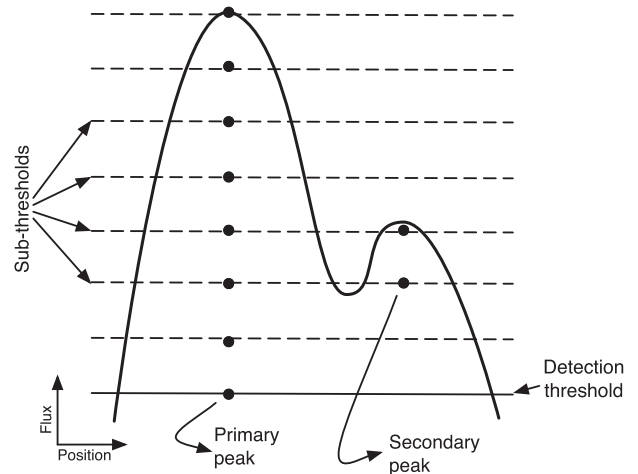
The former is preferred, as the fitting then is only constrained by the pixels known to make up the object. This does, however, require a certain number of pixels to be detected for the fit to be reliable – a source that has only the top few pixels of its profile detected may not have enough pixels for the fit to be constrained, and even if it does it may not provide a good estimate of the position and shape of the Gaussian. For this reason, extending detections out to some secondary flux threshold (known in DUCHAMP as ‘growing’) is used to provide as much information on the source as possible.

The alternative method of fitting within a box includes all pixels without applying this secondary threshold, and so hopefully includes in the fitting all pixels (with significance below the detection threshold) that contribute to the source. The downside is that if neighbouring sources encroach into the box, without merging with the source under consideration, then they will also affect the result of the fit, and may end up having components erroneously fitted to them.

#### 4.3 Initial Guesses

For accurate results, most fitting algorithms (including the CASACORE algorithms used by SELAVY) benefit from a good *a priori* guess for the result. This allows the optimisation to converge to the global minimum  $\chi^2$  value, rather than some local, but not global, minimum. This is particularly important when fitting to confused or merged sources. That is, the island of pixels comprises several components that are joined at some flux level. If the algorithm can start with relatively accurate guesses of the location and size of the Gaussian components present then it will converge faster and more accurately.

The initial guesses are determined by a process of sub-thresholding, illustrated by Figure 3. This figure shows a one-dimension representation of an object comprising two partially-merged components, both of which peak above the threshold. The algorithm starts with the island of detected pixels, and applies a series of thresholds spaced evenly between the source-detection threshold and the peak pixel (the spacing can be even in either linear- or log-space). At each threshold, simple source extraction is done to find the number of components. Each component is referenced by its peak location, which will remain constant for different thresholds. If the source has just one component, each sub-threshold will also return



**Figure 3** An illustration of the sub-thresholding approach used to obtain initial guesses for the Gaussian components present. The figure shows a simplified one-dimensional source for clarity. A series of subthresholds (dashed lines) are applied between the source’s peak and its detection threshold. The location of each distinct peak is indicated by the filled circle, and would be recorded as a separate subcomponent.

a single source. If there is a secondary component that is sufficiently well separated, then there should be a sub-threshold that separates them and returns two components. The location of each of these are recorded (based on the peak pixel, so that different sampling of a source does not affect its location) and will provide a separate initial component to the fitting algorithm.

The drawbacks of this approach are if the second component is not sufficiently separated from the primary to provide a ‘dip’ in flux between the two. If this is the case, no sub-threshold will be able to separate them. It is also highly dependent on the specific sub-thresholds used, such that the sub-threshold increment is able to resolve the gap between the peak and trough of the secondary component.

The Aegean source-finder (Hancock et al. 2012) has an alternative method of finding subcomponents. It uses a Laplacian filter to construct a curvature map, searching for local maxima. This algorithm is under consideration for inclusion in the ASKAP pipeline.

#### 4.4 Accepting the Fit

A given fit is primarily judged as acceptable based on its goodness-of-fit measure, the  $\chi^2$  value. This is the parameter minimised in the fitting procedure. However, other factors are taken into account in accepting a fit (these largely follow the procedure of White et al. (1997) for the FIRST catalogue):

- Fit must converge and have an acceptable  $\chi^2$  value.
- The centre of each fitted component must be within the extent of the island.
- The separation between any pair of components must be at least two pixels.

- The FWHM of each component must be at least 60% of the minimum FWHM of the beam.
- The flux of each component must be positive and more than half the detection threshold.
- The peak flux of each component must be less than twice the peak flux of the detected object and the sum of all component fluxes must be less than twice the total flux of the detected object.

SELAVY can fit for different numbers of Gaussians, and choose the best fit according to one of two rules. One is to look at the reduced- $\chi^2$  value ( $\chi^2/\nu$ ) for each fit. Here,  $\nu$  is the number of degrees of freedom in the fit, defined by the number of pixels fitted to,  $n$ , and the number of parameters being fitted. In the case of fitting all six parameters of the two-dimensional Gaussian, a fit with  $g$  Gaussians has  $\nu = n - 6g - 1$  degrees of freedom. Of the acceptable fits (judged according to the above criteria), the one with the lowest  $\chi^2/\nu$  is chosen as the best fit.

This approach has its problems, particularly for radio data that is correlated over the size of the beam. This breaks the assumption underlying the use of the  $\chi^2$ -minimisation technique, namely that the data points be independent. While the minimisation procedure will still work, the comparison of different fits with different numbers of Gaussians tends to give stronger weight to fits with more Gaussians.

The alternative approach is to start with a single Gaussian, then only consider fits with more Gaussians when the fit is not acceptable according to  $\chi^2$  and RMS criteria. This way the acceptable fit with the smallest number of Gaussians is chosen. Of course, if a larger number of Gaussians provides a better fit than a smaller number, but the smaller one yields an acceptable fit, the better fit will never be realised.

#### 4.5 Related Parameterisation

The Gaussian fitting can also be used, in certain circumstances, to find the spectral index and spectral curvature of components. The imaging pipeline for ASKAP will process continuum data using the multi-scale multi-frequency synthesis algorithm (see Rau et al. (2009); Rau (2010) for a description). This produces a series of Taylor term images, reflecting the frequency dependence of the data.

If one expresses the frequency dependence of a continuum source's spectrum as

$$I(\nu) = I_0 \left( \frac{\nu}{\nu_0} \right)^{\left[ \alpha + \beta \log \left( \frac{\nu}{\nu_0} \right) \right]},$$

which is a quadratic function in log-space:

$$\log I(\nu) = \log(I_0) + \alpha \log \left( \frac{\nu}{\nu_0} \right) + \beta \left[ \log \left( \frac{\nu}{\nu_0} \right) \right]^2,$$

where  $\nu_0$  is the reference frequency,  $I_0 = I(\nu_0)$ ,  $\alpha$  is the spectral index and  $\beta$  the spectral curvature. Then the Taylor expansion about  $\nu_0$  becomes

$$I(\nu) = I_0 + I_0 \alpha \left( \frac{\nu - \nu_0}{\nu_0} \right) + I_0 \left[ \left( \frac{1}{2} \right) \alpha(\alpha - 1) + \beta \right] \left( \frac{\nu - \nu_0}{\nu_0} \right)^2 + \dots$$

The Taylor term images that come out of the pipeline then have  $I_0$ ,  $I_0\alpha$  and  $I_0(\frac{1}{2}\alpha(\alpha - 1) + \beta)$ . The source-finding and fitting of Gaussian components is performed on the first (Taylor-0) image, and then each component has a  $\alpha$  and  $\beta$  value measured. This is done by taking the component fitted to  $I_0$ , keeping all parameters fixed except the peak flux, and fitting it to the  $I_0\alpha$  (Taylor-1) image. The ratio of the integrated fluxes of this and the original component provides the spectral index for the component. Similarly, the spectral curvature can be calculated by fitting in the Taylor-2 image.

### 5 Performance Considerations

In this section we briefly consider the impact on processing time of the different features discussed in the previous three sections. While processing time can be very dependent on the system being used, we conducted these trials on *Epic*, being the machine that will be used for the BETA processing.

We present the results of two sets of tests. One is run on a two-dimensional image from the 2011 ASKAP simulations, being the  $4096 \times 4096$  central portion of the Stokes I Taylor-0 continuum image (this just excludes the outer regions of the full  $5500 \times 5500$  image, where there is minimal coverage from the PAF beams). The second set is run on the continuum-free spectral-line simulation, using the trimmed cube of size  $1248 \times 1248 \times 4096$  (or  $\sim 24$  GB). Both sets were searched to  $10\sigma$  and  $5\sigma$ , with detections grown out to  $3\sigma$ . The continuum image was also searched to  $3\sigma$ . Doing this on the spectral-line cube provides far too many false detections to be feasible.

This search was done in a number of different ways. Firstly, the processing was split over a different number of CPU cores. The continuum image used either 1, 4, 9, 15 or 35 worker processes, while the spectral-line cube used a smaller set of 60, 168 or 455. For each arrangement, we consider three search types: a 'basic' search, with no pre-processing and a single threshold for the entire field; a 2-dimensional or 1-dimensional wavelet reconstruction (for the continuum and spectral-line cases respectively) followed by a search with a single threshold; and a search using the variable threshold technique from Section 3.2 (using 'boxes' in two or one dimensions for the continuum and spectral-line cases respectively). For each case in the two-dimensional tests, we run the search both with and without Gaussian fitting to the detected sources. The wavelet reconstruction is included to give a feel for what



**Table 2.** Execution times on *Epic* for different configurations of two-dimensional source finding

$no^a$	Config <sup>b</sup>	Basic search			Reconstruction			Variable threshold		
		No fit <sup>c</sup>	Fit <sup>d</sup>	# Sources <sup>e</sup>	No fit	Fit	# Sources	No fit	Fit	# Sources
10	1 × 1	2.9	37.7	1484	87.0	143.8	2358	5732.6	5848.0	1367
	2 × 2	1.8	11.5	1462	20.2	40.5	2327	1358.3	1363.9	1367
	3 × 3	1.6	7.2	1434	9.9	20.3	2300	637.8	641.8	1367
	3 × 5	2.2	6.0	1429	6.4	15.4	2301	382.6	390.4	1367
	5 × 7	1.4	5.3	1421	4.1	10.1	2282	165.6	178.7	1367
5	1 × 1	4.9	85.6	3547	90.7	344.0	7119	5468.6	5534.3	3133
	2 × 2	3.1	32.0	3510	23.4	114.1	6927	1372.4	1379.6	3133
	3 × 3	2.7	21.6	3438	12.7	93.3	6703	635.0	649.9	3133
	3 × 5	2.5	20.8	3405	8.5	77.6	6673	383.2	403.8	3133
	5 × 7	2.8	15.9	3370	5.7	56.1	6576	165.2	213.3	3133
3	1 × 1	14.6	324.3	10 464	125.2	996.0	20 926	5515.1	5759.2	8200
	2 × 2	8.8	124.9	10 309	39.9	470.3	20 372	1416.7	1463.2	8200
	3 × 3	7.3	106.9	9966	25.5	265.6	19 738	641.0	698.1	8200
	3 × 5	7.1	84.4	9852	21.1	263.1	19 767	390.7	474.6	8200
	5 × 7	7.8	79.0	9701	18.3	217.4	19 392	169.8	374.5	8200

<sup>a</sup>The detection threshold as a signal-to-noise ratio.

<sup>b</sup>The distributed worker arrangement: the number of subdivisions in the  $x$ - and  $y$ -directions.

<sup>c</sup>The time (in seconds) taken to perform the search, without doing the 2D fitting.

<sup>d</sup>The time (in seconds) taken to perform the search and fit each source.

<sup>e</sup>The number of sources found in the search.

**Table 3.** Execution times on *Epic* for different configurations of three-dimensional source finding

$no^a$	Config <sup>b</sup>	Basic search			Reconstruction			Variable threshold		
		Av. <sup>c</sup>	Median <sup>d</sup>	# Sources <sup>e</sup>	Av.	Median	# Sources	Av.	Median	# Sources
10	5 × 3 × 4	207.9	216.2	13	594.4	593.4	14	1322.5	1297.2	11
	7 × 6 × 4	190.9	179.0	13	53.0	53.0	14	480.6	466.5	11
	5 × 7 × 13	3.6	3.6	13	22.1	22.0	14	175.7	163.7	11
5	5 × 3 × 4	177.5	179.7	434	737.4	743.0	37	1346.8	1377.2	271
	7 × 6 × 4	166.0	95.0	435	94.3	69.0	37	1372.0	1261.2	273
	5 × 7 × 13	4.4	4.4	421	22.3	22.2	34	398.4	396.3	274

<sup>a</sup>The detection threshold as a signal-to-noise ratio.

<sup>b</sup>The distributed worker arrangement — the number of subdivisions in the  $x$ -,  $y$ - and  $z$ -directions.

<sup>c</sup>The average time (in seconds) of three trials taken to perform the search.

<sup>d</sup>The median time (in seconds) of three trials taken to perform the search.

<sup>e</sup>The number of sources found in the search.

additional time is required for pre-processing — this is completely separate work from the searching and/or fitting, and so the excess time in the reconstruction case for otherwise identical searches provides the time spent doing the reconstruction. Relative scaling of different DUCHAMP pre-processing techniques can be found in Whiting (2012).

The results are compiled in Table 2 and Table 3, where we quote the times taken by various search types, as well as the number of sources found in each. These searches have not been optimised in any way, and so the number of sources do not represent the results of a complete or reliable search. Note also that these tests were run on a shared system, and so some variability in the timing is expected. The values are averages over several runs, but even so some variation from the expected trends can be seen when the durations are small. For the

three-dimensional results, we see considerable variability between the different runs (communication delays may play a part here), and so quote the middle value of the three times as well.

This variability aside, the first thing to note is that the search times are fairly small, certainly compared to the time required to do the imaging (which will be several hours at least). The exception here are the single-node (1 × 1) 2D searches with the variable threshold. This is because the median calculations, in a box of size 101 × 101 in this case, for each pixel in the image is quite computationally intensive. However, we clearly see that with even a modest amount of distributed processing allows the image to be done in under 10 minutes. And note that the results are the same for all distributed processing arrangements, since whether each pixel is detected or not depends only on the box surrounding

them. This does not apply to the other searches, as the threshold is determined from the statistics, which are calculated in the distributed fashion.

The additional time for the fitting is governed primarily by the number of sources to be fitted. Note that these are from a  $\sim 10$  square degree image resulting from a simulated 12-hour integration with the full ASKAP array. For the distributed cases, we see an average of a few to 10 milliseconds per source, which in absolute terms does not prove to be a big additional cost, and allows the consideration of additional, more complex, fitting algorithms.

The spectral-line tests demonstrate the need to perform three-dimensional source-extraction on a distributed system. We have been able to search a 24GB cube, often in a matter of minutes or less, and we see that increasing the number of available processors does lead to faster execution.

These results are encouraging for considering processing pipelines, as they allow a lot of flexibility in algorithmic approaches (particularly for two-dimensional searches), without impinging on the time available to run the entire pipeline. This provides leeway in designing source-finding algorithms that can operate within the pipeline environment yet still deliver results appropriate for different science cases. We discuss in the next section the processes governing the incorporation of new algorithms into the pipeline.

## 6 The Development Process and Community Involvement

The ASKAP telescope is expected to have at least 75% of its first five years of operation devoted to Survey Science Projects (SSPs), each requiring at least 1,500 hours of observing time. Ten such projects have been selected to participate in a Design Study, where the detailed scientific and technical aspects of their survey, including the processing that is required, will be developed.

To assist communication both between different SSPs and between SSPs and the ASKAP team, working groups were established in a small number of key areas, one of which being source finding. A large part of the technical work of the Design Study has been the investigation of source-finding techniques, with the aim of providing recommendations to the ASKAP computing team on the capabilities of the source-finding pipeline.

Since the prototype ASKAP pipeline is built on the DUCHAMP library, the DUCHAMP package has formed the basis of much of the testing, as can be seen in numerous papers in this issue (Allison et al. 2012; Popping et al. 2012; Westmeier et al. 2012; Westerlund et al. 2012; Walsh et al. 2012).

However, such testing does not capture the new features implemented in SELAVY. To facilitate testing of these features, SELAVY access was provided as a service rather than an installable software package. This service enables the Survey Science Team members to access both

the software and a modest size compute cluster provided by CSIRO. This service is delivered via a script interface enabling uploading of images, submission of source-finding jobs, and the retrieval of results. This provides a mechanism to test the new features described herein and evaluate whether they are appropriate for the relevant science case. At least two papers (Huynh et al. 2012; Hancock et al. 2012) have made use of this service to test the continuum image processing of SELAVY.

This testing process is partly designed to allow the science teams to develop algorithms that are either missing from the current design of the ASKAP source-finder, or do not work to the level required by the science. We have instituted a process whereby, once such algorithms have been identified, they can be provided to ASKAP computing for possible inclusion in the pipeline prior to ASKAP or BETA observations. In this way we aim to provide a source-finder that will have all features required by the various science cases.

New features that are currently planned to be implemented (many of which are detailed in papers in this issue) include:

- Optimal extraction of spectra around detected continuum sources, for the purposes of further processing and analysis (such as one-dimensional searches, or rotation measure synthesis).
- Mask optimisation routines, to find the optimal mask for an extended object, particularly in three dimensions. This will address the issues with the measurement of integrated flux identified by Westmeier, Popping & Serra (2012).
- An alternate wavelet reconstruction algorithm, the 2D–1D algorithm (Flöer & Winkel 2012), that allows the treatment of the spectral axis differently to the spatial axes.
- An alternative searching technique for one-dimensional spectra that applies Bayesian Monte Carlo methods to detect absorption lines (Allison, Sadler & Whiting 2012).
- Alternative Gaussian fitting algorithms, such as those used in the Aegean source finder (Hancock et al. 2012).

The current plan is to have as many of these features as possible available within the ASKAP pipeline in time for science observations with BETA. Their performance will be evaluated during the commissioning phase to plan what further work is required for ASKAP-scale processing. We expect that algorithm development will continue within the Survey Science Teams, and anticipate further input as ASKAP operations approach.

## 7 Summary and Future Work

We have presented the key algorithmic developments that have gone into SELAVY, the prototype ASKAP source-finder. These features, including distributed processing, variable threshold determination and two-dimensional

Gaussian profile fitting, have been implemented in a prototype system that has been made available to the ASKAP Survey Science Teams for testing purposes.

The development of the SELAVY source-finder is continuing as we move closer to ASKAP operations. Several Science Teams have provided feedback and specifications for additional or refined algorithms, covering pre-processing, source extraction and parameterisation and addressing some of the issues identified in this paper. At time of writing, we are incorporating these algorithms into SELAVY, with the aim of providing a more fully fledged source-finder in time for BETA observations.

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