

Original Article

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Vascular endothelial growth factor polymorphism rs2010963 status does not affect patent ductus arteriosus incidence or cyclooxygenase inhibitor treatment success in preterm infants

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Abstract

Background: Vascular endothelial growth factor is critically involved in ductus arteriosus closure. Polymorphisms in the vascular endothelial growth factor gene have been associated with several diseases in neonates and adults. **Aim:** Herein, we investigated if vascular endothelial growth factor polymorphism rs2010963 status is associated with patent ductus arteriosus incidence and/or pharmacological treatment success. **Methods:** We assessed rs2010963 status in 814 preterm infants (<1500 g birth weight) by means of restriction fragment length polymorphism analysis. DNA samples were obtained from dry-spot cards used for the German national newborn screening program. Clinical data were obtained by retrospective chart review. **Results:** We could not find any statistically significant difference in the incidence of patent ductus arteriosus depending on vascular endothelial growth factor rs2010963 polymorphism status. Furthermore, no statistically significant associations between vascular endothelial growth factor polymorphism rs2010963 status and cyclooxygenase inhibitor treatment success were observed. **Conclusion:** Our results indicate that there is no association between vascular endothelial growth factor polymorphism rs2010963 status and the occurrence of patent ductus arteriosus or the response to cyclooxygenase inhibitor treatment in a large cohort of preterm infants. Additional studies are needed to determine the role of genetic factors on patent ductus arteriosus incidence and treatment response.

The ductus arteriosus provides a physiologic right-to-left shunt between the pulmonary artery and the aorta during intrauterine life. Post-natally, the shunt direction normally changes from left to right and the ductus arteriosus undergoes functional closure, which is followed by definite anatomic remodelling.¹ Especially, in the most immature preterm infants the ductus arteriosus often fails to close (patent ductus arteriosus). A haemodynamically significant patent ductus arteriosus can be associated with several adverse outcomes such as pulmonary oedema, bronchopulmonary dysplasia, left ventricular dysfunction, and impaired renal and cerebral blood flow.² These observations provide the rationale to consider treatment in infants with haemodynamically significant patent ductus arteriosus. Pharmacological treatment is usually initiated with the cyclooxygenase inhibitors ibuprofen or indomethacin. However, cyclooxygenase inhibitor treatment is associated with several complications and the responses of individual infants differ and are difficult to predict.³

Therefore, the identification of patient-specific risk factors is of great interest to provide targeted (tailored) therapies. Hypoxia-regulated vascular endothelial growth factor is an essential mediator of ductal constriction and closure. Vascular endothelial growth factor and its receptors have been shown to be developmentally regulated⁴ and functional in endothelial cells of the ductus. Specifically, vascular endothelial growth factor promotes vasa vasorum ingrowth and recruitment of mononuclear cells to the ductal intima which constitutes a pivotal step during ductus arteriosus anatomic remodelling.^{5,6} We have recently shown that ibuprofen and indomethacin differentially regulate vascular endothelial growth factor and its receptors in primary endothelial cells of the rat ductus arteriosus.⁷ In addition, several discussed vascular endothelial growth factor polymorphisms as potential risk factors for several diseases in neonates, including retinopathy of prematurity^{8,9} and bronchopulmonary dysplasia.^{10–12}

The aim of the current study was to investigate the incidence of the vascular endothelial growth factor polymorphism rs2010963 status in a large cohort of preterm infants with and

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without patent ductus arteriosus and its potential association with cyclooxygenase inhibitor treatment success rates.

Methods

Study population and treatment algorithm

This case-control study was conducted at the Department of Neonatology, Charité University Medical Center, Berlin, Germany (1995–2008). All very low birth weight infants born in the respective period were included if blood from dry-spot cards for the German national neonatal screening program could be obtained. Parental consent for data collection was obtained at the time the German national newborn screening program blood draw was performed. The study was approved by the local ethics committee at Charité Berlin.

Infants were examined for haemodynamically significant patent ductus arteriosus on days of life 4–5 and when clinically indicated. Echocardiography included evaluation of ductus arteriosus shunt direction (high upper parasternal short axis) as well as assessment of the minimal internal ductal diameter. The left atrium to aortic root ratio was measured by M-mode (parasternal long axis). Doppler measurement of the resistance index in the anterior cerebral artery was performed at the same time. Examinations were performed as previously described.¹³

Cyclooxygenase inhibitor treatment was initiated in all infants with a haemodynamically significant patent ductus arteriosus. A patent ductus arteriosus with left-to-right shunt was considered haemodynamically significant if (1) a respiratory set back with a fraction of inspired oxygen >0.3 and/or mechanical ventilation, (2) a left atrium to aortic root ratio ≥ 1.4 , (3) a ductal diameter ≥ 2.5 mm, and/or (4) a decreased end diastolic flow in the anterior cerebral artery with a resistance index ≥ 0.85 were present. There has been no substantial change in the clinical and echocardiographic criteria used to determine the haemodynamic significance of a patent ductus arteriosus during the study period.

Infants received indomethacin or ibuprofen exactly as previously described in detail (including dosing, duration, etc.).¹³ Dosing had been constant throughout the study period and we did not use high-dose regimens as a rescue therapy. Successful response to cyclooxygenase inhibitor treatment was defined as absent or minimal ductal shunt flow 24–48 hours after therapy; all other cases were defined as cyclooxygenase inhibitor treatment failure. Ductus ligation was performed after failed pharmacologic therapy based upon individual decision (secondary ligation). Primary ligation was only performed in a minority of patients with severe haemodynamically significant patent ductus arteriosus during the first years of the study period and is not practiced anymore.

DNA isolation and polymerase chain reaction

DNA isolation from dry-spot cards was performed using standard phenol-chloroform extraction. Nano Drop (peqlab, Erlangen, Germany) measurements were used to assess the quality of the extracted DNA. Polymerase chain reaction-based DNA amplification was carried out using a modified previously published protocol.¹⁴ In contrast to the reported experimental design, we changed the annealing temperature to 60°C and the DNA concentration to 25 ng. The following primer sequences were used for human vascular endothelial growth factor: 5'-GACGGCTTGGGGAGATTGCT-3' (forward primer) and 5'-TCAGCTGCGGGATCCCAAGG-3' (reverse primer), respectively (BioTEZ, Berlin, Germany).

Restriction fragment length polymorphism analysis and DNA sequencing

Restriction fragment length polymorphism analysis was performed following standard protocols. Briefly, digestion by the restriction enzyme *FaqI* (*BsmF1*) was achieved by using a standard preparation (polymerase chain reaction product 10 μ l, ddH₂O 18 μ l, 10 \times Buffer Tango 2 μ l, 50 \times SAM 0.6 μ l *FaqI/BsmF1* 1 μ l – all Fermentas, York, United Kingdom), which was digested for 960 minutes (37°C) followed by enzyme inactivation for 20 minutes (65°C). After storage at 4°C the restriction fragments were separated by electrophoresis in an ethidium bromide-containing 3% agarose gel (3 g Agarose/100 ml 1 \times TAE Puffer + 3 μ l 1% ethidium bromide – all Fermentas, except for ethidium bromide, Merck, Darmstadt, Germany) and visualised by ultraviolet light. The polymerase chain reaction as described produces a 245 base pairs fragment. Depending on rs2010963 status either one band (245 base pairs, rs2010963 C/C), two bands (175 base pairs and 70 base pairs, rs2010963 G/G), or three bands (245 base pairs, 175 base pairs, and 70 base pairs, rs2010963 G/C) could be detected after restriction enzyme digestion.

DNA sequencing was performed using the Sanger method¹⁵ in representative samples of each group in order to verify accurate detection of the polymorphism (n = 3). DNA sequencing experiments were commercially carried out by AGOWA GmbH, Berlin, Germany.

Statistics

Comparisons between groups were made by Mann-Whitney U-test for continuously scaled data and by chi-square test for categorical data. p values <0.05 were considered statistically significant. Statistical analyses were carried out using IBM SPSS Statistics 22 (SPSS Inc., Chicago, Illinois, United States of America) und Microsoft® Excel 2010 software.

Results

Study population

We included a total of 1053 very low birth weight preterm infants, out of which 520 were diagnosed with a patent ductus arteriosus (49.4%). A total of 30 infants underwent primary ligation and 225 did not require therapy (no haemodynamically significant patent ductus arteriosus). In the remaining 265 infants with haemodynamically significant ductus arteriosus, pharmacological cyclooxygenase inhibitor treatment was initiated with either ibuprofen or indomethacin (Table 1, Fig 1). Out of 265 infants, 95 underwent secondary ligation after failed medical treatment due to a persistently patent significant ductus arteriosus. In total, 814 infants could be successfully screened for vascular endothelial growth factor polymorphism rs2010963 status for further analyses.

Incidence of patent ductus arteriosus and haemodynamically significant patent ductus arteriosus and vascular endothelial growth factor rs2010963 polymorphism status

A percentage of 42.3 (344/814) exhibited a homozygous G/G genotype, while 35.4% (288/814) were heterozygous for G/C and 22.4% (182/814) homozygous for C/C. We did not find any significant differences between infants with and without patent ductus arteriosus with regard to rs2010963 status (Table 2). Of note, rs2010963 polymorphism status did not differ between infants

Table 1. Demographic characteristics of the study population.

	VLBW total (n = 1053)	With PDA (n = 520)	Without PDA (n = 533)
Gestational age (weeks), mean (range)	28.3 (22.9–37.4)	26.7 (22.9–34.7)*	30 (24.0–37.4)
Birth weight (g), mean (range)	1070 (430–1495)	920 (430–1095)*	1191 (495–1495)
Male gender, n (%)	507 (48.1)	271 (53.4)*	236 (46.5)
CRIB score	2 (1/6)	5 (2/8)	1 (1/3)
Completed antenatal steroids, n (%)	500 (47.5)	277 (53.3)	223 (41.8)
Surfactant, n (%)	369 (35.0)	285 (54.8)*	84 (15.7)
RDS, n (%)	505 (47.9)	336 (64.6)*	169 (31.7)
IVH, n (%)	133 (12.6)	107 (20.5)*	26 (4.9)
ROP, ≥II°, n (%)	96 (9.1)	84 (16.2)*	12 (2.3)
BPD, total, n (%)	253 (24.0)	220 (42.3)*	33 (6.2)
NEC, n (%)	75 (7.1)	43 (8.2)*	32 (6.0)
PVL, n (%)	32 (3.0)	25 (4.8)*	7 (1.3)
PDA ligation, n (%)	125 (11.9)	125 (24.0)	0 (0.0)
Death, n (%)	34 (3.2)	22 (4.2)	12 (2.2)

BPD = bronchopulmonary dysplasia; IVH = intraventricular haemorrhage; NEC = necrotising enterocolitis; PDA = patent ductus arteriosus; PVL = periventricular leukomalacia; RDS = respiratory distress syndrome; ROP = retinopathy of prematurity; VLBW = very low birth weight.

*p-value <0.05.

Table 2. Vascular endothelial growth factor polymorphism rs2010963 status in very low birth weight infants with and without patent ductus arteriosus.

VEGF polymorphism rs2010963 status	All patients	With PDA	Without PDA
All genotypes, n (%)	814 (100)	414 (50.9)	400 (49.1)
C/C, n (%)	182 (22.3)	92 (22.2)	90 (22.5)
G/C, n (%)	288 (35.4)	154 (37.2)	134 (33.5)
G/G, n (%)	344 (42.3)	168 (40.6)	176 (44.0)

PDA = patent ductus arteriosus; VEGF = vascular endothelial growth factor. In the top row, data in parentheses represent percentages referring to the total number of 814 included very low birth weight preterm infants (horizontal comparison within the first row). For all other data shown, percentages in parentheses refer to the total number in the upper first row of the same column (vertical comparison within the same column).

with haemodynamically significant and those with haemodynamically non-significant patent ductus arteriosus (not shown).

Vascular endothelial growth factor rs2010963 polymorphism status and initial cyclooxygenase inhibitor treatment success

In a next step, we sought to investigate whether rs2010963 polymorphism status influenced cyclooxygenase inhibitor therapy success. We found no significant differences between infants who responded to cyclooxygenase inhibitor treatment and those who were non-responders with regard to rs2010963 polymorphism status (Fig 2). Of note, these observations were made irrespective of the administered agent (indomethacin or ibuprofen). In addition, vascular endothelial growth factor rs2010963 polymorphism status did not differ between infants that required 1 versus ≥ 2 COX

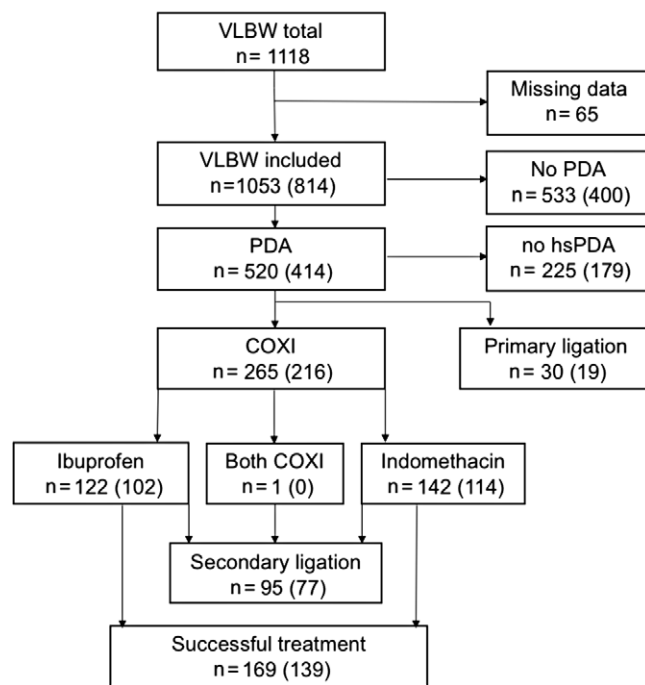


Figure 1. Study population. Displayed are the total numbers of patients in the different clinical outcome groups. In parentheses, the number of infants screened for VEGF polymorphism rs2010963 status is given. COXI = cyclooxygenase inhibitor, hsPDA = haemodynamically significant PDA, PDA = patent ductus arteriosus, VLBW = very low birth weight infants.

inhibitor cycles and did not affect the overall time to achieve ductal closure in our cohort (not shown).

Discussion

Vascular endothelial growth factor plays an important role in ductal closure in neonates. Different responses of preterm infants to pharmacological patent ductus arteriosus treatment with cyclooxygenase inhibitors suggest a potential role of genetic modifiers, such as polymorphisms in genes involved in physiologic ductus arteriosus closure. We herein investigated the potential impact of vascular endothelial growth factor polymorphism rs2010963 on the incidence and success rates of patent ductus arteriosus treatment in a large cohort of preterm infants. We found no significant association between rs2010963 status and the incidence of patent ductus arteriosus or the response to cyclooxygenase inhibitor treatment in our cohort.

Vascular endothelial growth factor mediates ductal closure by promoting intimal proliferation, vasa vasorum ingrowth, smooth muscle cell migration, and recruitment of mononuclear cells, all of which are required for definite ductal closure.^{5,6} The expression of vascular endothelial growth factor and its receptors changes throughout gestation. In a human study using ductal tissue specimens, vascular endothelial growth factor receptor 1 and 3 expression was marked in the endothelium during early maturity stages and vascular endothelial growth factor receptor 3 decreased during development, while vascular endothelial growth factor receptor 2 predominated in the media during later developmental stages.⁴ We recently found a differential response of primary rat ductus arteriosus endothelial cells to ibuprofen and indomethacin. While ibuprofen induced vascular endothelial growth factor and vascular endothelial growth factor receptor 2 expression,

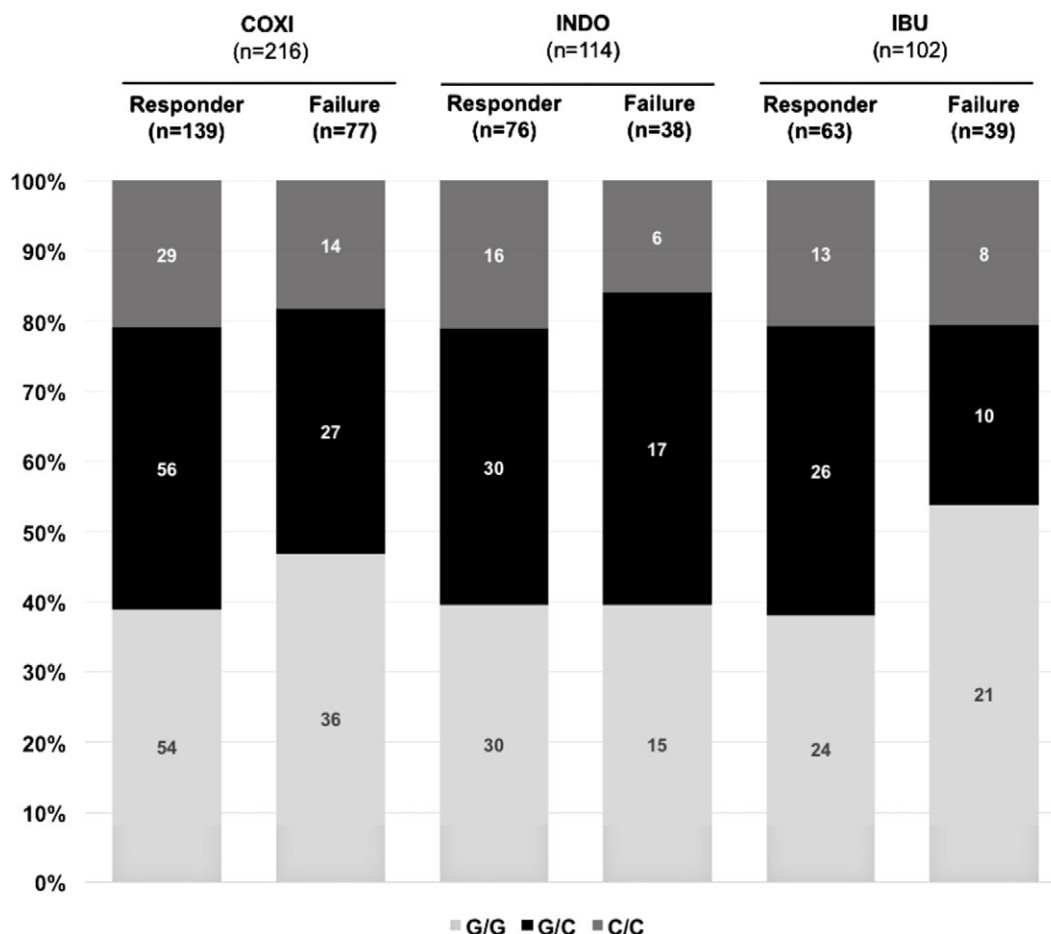


Figure 2. Vascular endothelial growth factor polymorphism rs2010963 status and response to cyclooxygenase inhibitor treatment for haemodynamically significant patent ductus arteriosus. Displayed are the total numbers and percentages for each genotype (C/C, G/C, G/G) in the different groups. There was no association between VEGF rs2010963 status and cyclooxygenase inhibitor treatment response, irrespective of the cyclooxygenase inhibitor used. COXI = cyclooxygenase inhibitor, IBU = ibuprofen, INDO = indomethacin, VEGF = vascular endothelial growth factor.


indomethacin did not affect the expression levels of the vascular endothelial growth factor system in ductus arteriosus endothelial cells.⁷

Several authors investigated vascular endothelial growth factor polymorphisms and their potential association with neonatal morbidities such as bronchopulmonary dysplasia,^{10–12} retinopathy of prematurity,^{8,9} and intraventricular haemorrhage¹⁶ in preterm infants. However, the role of the vascular endothelial growth factor polymorphism rs2010963 on patent ductus arteriosus incidence and treatment success rates has not been previously investigated. Vascular endothelial growth factor polymorphism rs2010963 is located within a regulatory element of the vascular endothelial growth factor A gene (5'-untranslated region) and influences vascular endothelial growth factor gene expression levels in several human tissues (the C allele of rs2010963 is related to higher gene expression levels).¹⁷

Genetic factors likely influence ductus arteriosus closure. A recent review article addressed the known genetic factors which contribute to prolonged ductal patency and variability in response to drug therapy for patent ductus arteriosus.¹⁸ One striking finding is the different ethnic response to cyclooxygenase inhibitor treatment.¹⁹ Our study has only been conducted in a single centre and is therefore unable to examine those relationships. Furthermore, only one polymorphism has been examined

and it cannot be excluded that other vascular endothelial growth factor polymorphisms modify ductal closure or pharmacological treatment response.

In general, the indications and treatment strategies for preterm infants with patent ductus arteriosus are still unclear.² An expectant strategy, especially for small patent ductus arteriosus, is nowadays preferred by many clinicians in order to avoid potential harmful effects of pharmacological, interventional, and surgical therapies.^{1–3,20,21} However, a longer duration of exposure to a haemodynamically significant patent ductus arteriosus has been associated with several adverse events providing the rationale for treatment in selected cases.^{2,22} Further research on the individual genetic mechanisms underlying ductal closure might contribute to the development of individual (tailored) therapies to achieve an optimal balance between intended and adverse drug effects in individual patients. Future prospective trials on the optimal indications and strategies for patent ductus arteriosus treatment are therefore highly warranted and might benefit from incorporating genetic risk assessment.

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Conflicts of Interest. None.

Ethical Standards. The authors assert that all procedures contributing to this work comply with the ethical standards of the relevant national guidelines on human experimentation (German federal and local laws) and with the Helsinki Declaration of 1975, as revised in 2008, and has been approved by the institutional committees (Charité Berlin).

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