

**Malaria infection confounds inflammation-adjusted micronutrient biomarker concentrations in children and women in Malawi: a secondary analysis of the 2015/2016 Malawi micronutrient survey**

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**Short title:** Malaria and micronutrient biomarkers in Malawi



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10.1017/S0007114525000820

The British Journal of Nutrition is published by Cambridge University Press on behalf of The Nutrition Society

## Abstract

Inflammation and infections such as malaria affect concentrations of many micronutrient biomarkers, and hence estimates of nutritional status. We aimed to assess the relationship between malaria infection and micronutrient biomarker concentrations in pre-school children (PSC), school-age children (SAC) and women of reproductive age (WRA) in Malawi, and to examine the potential role of malaria immunity on the relationship between malaria and micronutrient biomarkers. Data from the 2015/2016 Malawi micronutrient survey were used. The associations between current or recent malaria infection, detected by rapid diagnostic test, and concentration of serum ferritin, soluble transferrin receptor (sTfR), zinc, serum folate, red blood cell (RBC) folate and vitamin B12, were estimated using multivariable linear regression. Factors related to malaria immunity including age, altitude and presence of hemoglobinopathies were examined as effect modifiers. Serum ferritin, sTfR and zinc were adjusted for inflammation using the BRINDA method. Malaria infection was associated with 68% (95% CI 51, 86), 28% (18,40) and 34% (13,45) greater inflammation-adjusted ferritin in PSC, SAC and WRA respectively ( $p < 0.001$  for each). In PSC, the positive association was stronger in younger children, in high altitude, and in children who were not carriers of the sickle cell trait. In PSC and SAC, sTfR was elevated (+ 25% (16, 29) and + 15% (9,22) respectively,  $p < 0.001$ ). Serum folate and RBC folate were elevated in WRA with malaria (+ 18% (3,35) and + 11% (1,23),  $p = 0.01$  and  $p = 0.003$  respectively). Malaria affects the interpretation of micronutrient biomarker concentrations and examining factors related to malaria immunity may be informative.

**Abbreviations:** AGP,  $\alpha$ 1-acid glycoprotein; BRINDA, Biomarkers Reflecting Inflammation and Nutritional Determinants of Anaemia; CRP, C-reactive protein; DHS, Demographic Health Survey; G6PD, glucose-6-phosphate dehydrogenase; HRP2, histidine-rich protein 2; MNS, Malawi Micronutrient Survey; PCR, polymerase chain reaction; PSC, pre-school children; sTfR, soluble transferrin receptor; RBC, red blood cell; RDT, rapid diagnostic test; SES, socio-economic status; WRA, women of reproductive age

## Introduction

Micronutrient deficiencies underlie a large human disease burden, especially in low-income countries<sup>(1)</sup>, where infections are also common. The biochemical changes in a person's body that are initiated in response to an infection, tissue injury, or physiologic stressor are termed the inflammatory response<sup>(2)</sup>. A common feature is a rapid fall in the blood concentration of several micronutrients, including iron, zinc and retinol<sup>(3)</sup>. A reduction in micronutrient blood concentration, particularly iron, is believed to be beneficial to the host by depriving invading microorganisms of elements for growth and reproduction<sup>(4)</sup>. Although these depressions are transient and reversible, they have the potential to affect the accurate estimation of micronutrient status if the level of inflammation is high during the sample collection period, or if a large proportion of individuals with inflammation are sampled in a population survey.

### *Changes in micronutrient biomarkers concentration associated with malaria*

Methods exist to correct biomarker concentration for inflammation<sup>(5)</sup>. However, recent reports indicate that micronutrient biomarkers, especially ferritin, can be affected by malaria independently of inflammation<sup>(6; 7; 8; 9)</sup>. This is of particular concern in sub-Saharan Africa, where iron deficiency and malaria tend to co-exist. Some research indicates that malaria might also affect the concentration of serum soluble transferrin receptors (sTfR)<sup>(6; 10)</sup>, serum retinol<sup>(7; 11; 12; 13)</sup> and serum zinc<sup>(14)</sup>. The mechanisms are largely unknown but could involve the incomplete capture of the acute phase response by C-reactive protein (CRP) and  $\alpha$ 1-acid glycoprotein AGP<sup>(12)</sup>, increased erythropoiesis for sTfR<sup>(10)</sup>, increased vitamin A requirements during malarial infection<sup>(13)</sup> or micronutrient redistribution<sup>(6)</sup>. Other micronutrient biomarkers such as serum folate, red blood cell (RBC) folate, and serum vitamin B12 seem not to be affected by inflammation<sup>(15)</sup>; however, there have been reports of elevated folate status in children during malaria infection, possibly due to the de-novo synthesis of folate by the malaria parasite<sup>(16)</sup>. It is unknown if malaria infection results in elevated or modified folate and vitamin B12 status in women of reproductive age and if these could affect estimates of folate and B12 deficiency prevalence to a degree that would impact decisions on implementation of programmes aimed at controlling these deficiencies.

## Naturally-acquired malaria immunity

In previous studies analysing malaria and micronutrient biomarkers, it has been hypothesised that the relationship between malaria and micronutrient biomarkers could be modified by malaria immunity<sup>(12; 17; 18)</sup>. Naturally acquired immunity affects the likelihood of severe malaria in a given individual<sup>(19)</sup>. Naturally acquired antibody responses against *P. falciparum* require repeated parasite exposure to attain protection and therefore host immunity is determined by the total number of infections experienced by an individual, which is affected mainly by age and exposure<sup>(20)</sup>. Malaria transmission intensity (number of infectious bites per person per year) varies with a number of factors including altitude and environmental temperatures, which affect the development of *P. falciparum*<sup>(21)</sup>. A lower malaria transmission intensity in high-altitude regions results in lower malaria immunity among people residing in those regions. Similarly, urbanization causes marked entomological, parasitological and behavioural changes that tend to result in reduced risks of malaria<sup>(22)</sup>. Additionally, the reduction in exposure associated with the use of mosquito nets could be associated with lower immunity to malaria<sup>(23)</sup>.

Hemoglobinopathies and enzymopathies such as sickle cell disease, alpha-thalassemia and glucose-6-phosphate dehydrogenase (G6PD) deficiency are common in sub-Saharan Africa<sup>(24; 25; 26)</sup>. While the genetic mutation that causes sickle cell disease can lead to early death in individuals who are homozygous for the mutation, in its heterozygous form (sickle cell carrier), it partially protects against severe malaria caused by *P. falciparum* infection<sup>(27)</sup>. Compared to persons with normal haemoglobin, individuals with sickle cell trait have a 50–90% reduction in parasite density<sup>(27)</sup>. A number of mechanisms have been proposed, including reduced parasite growth and enhanced removal of parasitized cells through innate or acquired immune processes<sup>(28)</sup>. Alpha thalassemia is considered to be protective in cases of severe malaria but has no effect on asymptomatic parasitaemia<sup>(29)</sup>. G6PD-deficient alleles appear to confer a protective effect against malaria, although this protection seems to be limited to severe malaria<sup>(30)</sup>.

Identifying whether malaria immunity can modify the relationship between malaria and micronutrient biomarker concentrations should improve our understanding of the impact of

malaria on micronutrient biomarkers interpretation, and result in more accurate estimates of micronutrient status in individuals and populations.

### *Natural malaria immunity and micronutrient biomarker concentrations in Malawi national micronutrient surveys*

Malaria and iron deficiency have historically coexisted in Malawi<sup>(31; 32)</sup>, as reported in previous national micronutrient surveys conducted in 2001 and 2009<sup>(33; 34)</sup>. A national micronutrient survey was conducted in 2015 in order to report on the prevalence of micronutrient deficiencies in different population groups<sup>(35)</sup>. Although several analyses have focused on different factors impacting the level of micronutrient deficiencies in this survey<sup>(36; 37; 38)</sup>, the potential impact of malaria on the interpretation of micronutrient biomarker concentrations has not been analysed, even though the prevalence of malaria was found to be 28% in pre-school children (PSC), 38% in school-age children (SAC) and 17% in women of reproductive age (WRA). In this analysis, we aimed to assess the relationship between malaria and micronutrient biomarker concentrations in these three population groups. We also aimed to examine the potential role of factors related to malaria immunity, such as age, altitude, rurality and presence of hemoglobinopathies, on the relationship between malaria and micronutrient biomarkers.

## **Methods**

### *Data source*

This analysis used data from the Malawi Micronutrient Survey (MNS) which was conducted in 2015–16<sup>(35)</sup>. Data were accessed from the Biomarkers Reflecting Inflammation and Nutritional Determinants of Anemia (BRINDA) group (<https://www.brinda-nutrition.org/>). The study design was reported in the Malawi MNS report<sup>(35)</sup>. Briefly, the MNS represented a subsample of the wider Demographic and Health Survey (DHS), which was designed as a cross-sectional study, with a two-stage cluster sampling design in order to obtain nationally representative indicators.

### *Definition of variables*

Deficiency in a specific micronutrient was defined as follows: iron deficiency by inflammation-adjusted serum ferritin<sup>(39)</sup> values below a cutoff of 12 µg/L in PSC and 15 µg/L

in SAC and WRA<sup>(9)</sup>, zinc deficiency by inflammation-adjusted serum zinc<sup>(39)</sup> values below a specific cutoff dependant on age, sex, fasting status and time of blood draw<sup>(40)</sup>, folate deficiency by values below a cutoff of 14 nmol/L for serum folate to define risk of elevated homocysteine and 748 nmol/L for RBC folate to define risk of neural tube defects<sup>(41)</sup> and vitamin B12 deficiency by values below 150 pmol/L for serum vitamin B12<sup>(42)</sup>. Serum folate, RBC folate and vitamin B12 were available only for WRA.

High altitude was defined as an altitude above 1,000 meters based on literature<sup>(43)</sup> and the fact that this was close to the median value of altitude in this survey. Principal Component Analysis was used in the DHS survey to generate a wealth index in quintiles, based on the number and kinds of consumer goods they own. In the BRINDA dataset, the first and second quintiles were combined as “low socio-economic status (SES),” the third and fourth quintiles were combined as “medium SES,” and the fifth quintile was considered as “high SES.” Maternal education was categorized into four categories (some level of schooling, high school, at least 14 years of education, superior education). Whether the household owns a mosquito net for sleeping was asked in the DHS questionnaire. The question did not provide details on whether it was used, in good condition, or if the children were sleeping under it.

The relationship between serum retinol and retinol binding protein (RBP) had been tested in a subsample of participants and the results showed a poor linear relationship between these two biomarkers, questioning the quality of measurement of either or both RBP and serum retinol<sup>(36)</sup>. We therefore decided not to include RBP in our analysis.

### *Inflammation adjustment*

For each dataset, ferritin, sTfR and zinc values were adjusted for inflammation using the regression approach with the BRINDA package<sup>(39)</sup>. A recent review showed a weak and inconsistent correlations between CRP or AGP and vitamin B-12 or folate biomarkers<sup>(15)</sup>, and therefore we did not a-priori adjust these biomarkers for inflammation. The regression approach has been described in detail elsewhere<sup>(44)</sup> and uses linear regression to adjust biomarker concentrations by the CRP and AGP concentrations on a continuous scale. All the biomarker observations that had a corresponding CRP value, and/or AGP value above the highest decile of the considered biomarker were adjusted with the linear regression. We used survey-specific internal deciles for this analysis to account for the context-specific pattern of inflammation. Although this is not yet recommended, we adjusted the biomarker values of

ferritin, sTfR and zinc in school-age children as well, as the correlations between biomarkers and CRP/AGP values were strong.

#### *Sample collection and laboratory analysis*

Blood samples were collected in temporary central sites (field laboratories) through venipuncture. About 5 ml of whole blood was collected into a trace element free vacutainer, from which serum was aliquoted for the analyses of ferritin, sTfR, CRP, AGP, RBP, zinc, folate and vitamin B12. Two mL of whole blood was also collected into Ethylenediaminetetraacetic acid (EDTA) tubes for malaria detection, RBC folate analysis, and 100  $\mu$ L was stored as a dried blood spot for analysis of all hemoglobinopathies. All samples were kept in portable freezers in the field and transported to the nearest district laboratory for temporary storage at  $-20^{\circ}\text{C}$ , before being transferred to the Community Health Sciences Unit (CHSU) where they were kept at  $-70^{\circ}\text{C}$  as they awaited shipment.

Serum ferritin, sTfR, CRP and AGP were measured by sandwich ELISA at the VitMin lab (Willstaett, Germany)<sup>(45)</sup>. Zinc concentrations were analysed using Atomic emission spectrometry at the Children's Hospital Oakland Research Institute (CHORI) in Oakland, USA. Serum folate and RBC folate were measured with a microbiological assay (using *L. rhamnosus*) and vitamin B12 with an immunoassay in the CDC laboratory (Atlanta, USA). Malaria testing was done with an antigen-detecting rapid diagnostic test (RDT), the BIOLINE Malaria Ag *P.f*/Pan. Sick cell, alpha-thalassemia and G6PD were diagnosed with polymerase chain reaction (PCR) in the Cincinnati Children's Hospital Medical Center, USA. Details of the PCR methods used have been described elsewhere<sup>(46)</sup>. These blood disorders were measured in PSC only to derive a more accurate estimate of disease prevalence in Malawi, and as part of a government initiative to prepare a universal newborn screening program.

Quality control data were available for the analyses of ferritin, sTfR, zinc, CRP and AGP. The low, medium and high inter-assay coefficient of variation (CV) for serum ferritin was 3.87%, 3.39%, and 3.17%, and for sTfR it was 7.65%, 5.45% and 10.92% respectively. For CRP the inter-assay CV was 7.36%, 6.04% and 4.21% for low, medium and high reference material, and for AGP it was 13.67%, 11.76% and 12.83% for the same concentrations respectively.

Serum quality controls were from Bio-Rad laboratories. Certified reference serum seronorm level 1 and level 2 material were used for the zinc analysis, and the CV for inter-assay error was 3.6% for Level 1 and 4.2% for Level 2.

Data on parasitemia levels were not available. The presence of fever in the last 24 hours was reported by the caregiver for pre-school children and school-age children but not for women of reproductive age. Children were considered to be malaria symptomatic if they had a positive malaria test and a reported fever in the last 24 hours. They were considered malaria asymptomatic if they had a malaria-positive test with no fever reported in the last 24 hours.

### *Statistical analysis*

The outcome variables (ferritin, sTfR, zinc, folate, RBC folate and vitamin B12) were continuous. The exposure variable, 'malaria infection', was binary (infected, uninfected). We examined the data to check for missing data, errors and inconsistencies and to gain an understanding of the distributions and patterns among the variables. Original sampling weights were used to describe the dataset and to give nationally representative estimates of iron deficiency and malaria prevalence. Weights were not applied for the linear models or for the measure of micronutrient status per infectious group, as the analyses were done to assess a biological association and were not supposed to be representative at any level. However, acknowledging that participants from the same cluster might have more similarities between them than participants from the entire sample, we conducted a sensitivity analysis using the clustering as a random effect in all linear models.

### *Linear model*

Multivariable linear regression analyses were conducted to estimate the association between malaria and micronutrient biomarker concentrations. The distribution of all micronutrient biomarker variables were skewed and the log transformation (natural log) improved the original distributions. Consequently, the regression models were built on the logarithmic scale. If the estimated coefficient for malaria was  $\beta$ , then a malaria infection was associated with a  $100 \times (e^{\hat{\beta}} - 1)$  per cent change in micronutrient biomarkers. The crude association between malaria and micronutrient biomarkers was assessed. The model was then adjusted for potential confounders: age (categorical, age group: <2y and  $\geq 2y$  for PSC, <10y and  $\geq 10y$



for SAC) or age in years for WRA, sex, rurality, socio-economic status, maternal or women's education, deworming in the last 6 months, altitude and presence of sickle cell trait and alpha-thalassemia. The list of confounders was determined based on anticipated biological associations, particularly with regard to immunity to malaria (Figure 1). In WRA, information was available on the consumption of iron and folic acid supplements, and we included this variable in the model when analyzing iron, serum and RBC folate concentration, as the consumption of these supplements might have impacted iron and folate status. When analyzing serum and RBC folate concentrations, CRP and AGP were added in the model as correlations with these inflammatory markers were noticed. Two-factor interaction of each predictor variable with malaria infection was tested. The interactions with  $p > 0.1$  were removed from the model. Interactions between malaria and rurality were not tested because of the very low number of cases of malaria in urban areas in all age groups. The coefficients from the linear model were used to calculate the malaria-adjusted biomarker concentrations and to estimate the prevalence of malaria-adjusted deficiency with the equation:

$$\log(\text{malaria-adjusted biomarker}) = \text{inflammation-adjusted biomarker} + \beta(\text{malaria}) + \beta_i(\text{interactions}).$$

When adding an interaction in the model, the main effect of each variable included in the interaction is also included in the model.

Model checking was based on residual and normal probability plots. All analyses were performed using R Statistical Software (2022.7.1.554; R Core Team 2022)<sup>(47)</sup>. Survey analyses, accounting for the complex survey design, with the use of the 'survey' package<sup>(48)</sup>.

#### *Ethical considerations*

This study was conducted according to the guidelines laid down in the Declaration of Helsinki and all procedures involving human subjects were approved by the National Health Sciences Research Committee of Malawi, reference number NHSRC 15/5/1436. Written informed consent was obtained from all subjects. Ethical approval for the re-analysis of the data for this study was obtained through the London School of Hygiene & Tropical Medicine Observational Research Ethics Committee (Reference 28219 /RR/32931).

## Results

Micronutrient deficiencies affected all age groups and zinc deficiency was the most common deficiency. SAC had a higher malaria prevalence than PSC or WRA (Table 1). 5.8 % of the PSC and 6.8% of the SAC were symptomatic.

Inflammation-adjusted ferritin was significantly greater in individuals infected with malaria in all age groups (Table 2). In linear models, malaria was associated with 68% relative higher inflammation-adjusted ferritin concentration in PSC, 28% in SAC, and 34% in WRA (Table 2). In PSC and SAC, but not in WRA, sTfR was higher and zinc was slightly lower during malaria infection. In WRA, serum folate and RBC folate were higher in people with a positive malaria RDT. The concentration of serum folate was negatively correlated with AGP, whereas RBC folate concentration was not correlated with CRP nor AGP (Supplementary Table 1). No difference in vitamin B12 concentrations was noted.

Inflammation-adjusted ferritin was greater in PSC with symptomatic malaria compared to children with asymptomatic malaria. This was not the case in SAC (Table 3).

### *Impact of factors related to immunity*

#### *-Ferritin*

In PSC and SAC, but not in WRA, there was a significant interaction with age, as ferritin was less elevated during malaria infection in older children compared to young children (p for interaction = 0.0495 in PSC and 0.02 in SAC) (Figure 2, a and b).

In PSC, there was also a significant interaction with altitude, as ferritin was greater at high altitude compared to low altitude in children with malaria (p for interaction=0.002) (Figure 2). Being a carrier of sickle cell trait was also associated with a smaller difference in ferritin concentration during malaria in PSC (p for interaction =0.009) (Figure 2). Being a carrier of the alpha-thalassemia trait was not associated with any differences in ferritin concentration in PSC infected with malaria.

#### *-Other micronutrient biomarkers*

In PSC, sTfR were less elevated during malaria in high altitude compared to low altitude (p for interaction = 0.03) (Supplementary Table 1). In PSC, being a carrier of the sickle cell trait was associated with a smaller reduction in serum zinc in children infected with malaria compared to non-carriers (p for interaction=0.004) (Supplementary Table 1). Factors related

to immunity were not identified as effect modifiers in the relationship between malaria and zinc in SAC, nor between folate status and malaria in WRA.

Whether the household owned a mosquito net was not associated with any change in the relationship between malaria and inflammation-adjusted ferritin (data not shown).

Details of all linear models are presented in Supplementary Table 1.

### **Adjustment for malaria**

No significant changes were noted in the prevalence of micronutrient deficiencies after adjusting for malaria (Table 4).

### **Relationship between indicators of iron status**

Malaria can affect the two indicators of iron status, ferritin and sTfR, in different ways. By adjusting for malaria and therefore by at least partially removing the effect of malaria on these indicators, we expect a better relationship between these indicators. Adjusting for malaria resulted in a stronger correlation between sTfR and ferritin in PSC (Table 5). The malaria adjustment did not modify the relationship between ferritin and sTfR in WRA.

### **Discussion**

In our analysis of the data from the 2015/16 micronutrient survey in Malawi, serum ferritin, sTfR, zinc, folate and red blood cell folate were affected by current or recent malaria infection in the population groups studied, and factors related to immunity modified the relationship between malaria and iron biomarkers in children.

The difference in ferritin between individuals with and without malaria-infection was greater in PSC compared to SAC and WRA. It was also greater in PSC with symptomatic malaria compared to PSC with asymptomatic malaria. In PSC and SAC, a greater difference in ferritin was seen in younger children compared to older children. The apparent absence of the effect of factors related to immunity on ferritin concentration during malaria in WRA could be due to the fact that immunity is already acquired by adulthood, although this would only explain the lack of age effect. In PSC, altitude was also found to be an effect modifier: during

malaria infection, ferritin elevations were greater at higher altitudes compared to lower altitudes. Altitude is known to be a limiting factor for the reproduction of *P. falciparum*<sup>(21; 49)</sup> and this finding could result from lower immunity to malaria among children living in high altitudes.

In children who carry the sickle cell trait, malaria was associated with a lower concentration of ferritin compared to children infected with malaria who were not sickle trait carriers. It has been shown that the presence of the sickle cell trait is associated to a 50-90 % reduction of the parasite density<sup>(26)</sup>, which could explain why ferritin are lower during malaria in children with the sickle cell trait<sup>(27)</sup>. It has been reported previously that sickle cell trait distribution was not homogenous in Malawi, with a very low prevalence in the south of the country<sup>(50)</sup>. This geographical distribution could result in iron deficiency prevalence being particularly under-estimated in the south of the country, where ferritin is likely to be more elevated during malaria compared to areas with a higher prevalence of sickle cell disease. Neither being a carrier of the alpha-thalassemia trait nor being deficient in G6PD was associated with a lower decrease in ferritin during malaria, which is consistent with earlier findings, as it has been shown that alpha-thalassemia and G6PD deficiency do not protect against asymptomatic malaria<sup>(29)</sup>.

sTfR concentrations were higher in children with malaria but to a lesser extent at high altitudes. This seems contradictory as there is an increase of erythropoiesis during malaria and, in high altitudes, we should expect a further increase in sTfR. Conflicting findings on sTfR in malaria have been reported in the past<sup>(10; 51; 52)</sup>. The haemolysis associated with malaria infection could increase sTfR concentrations by stimulating erythropoiesis but there is also evidence of inhibition of erythropoiesis during acute malaria infection, which would be expected to decrease sTfR concentrations<sup>(53)</sup>. In Malawi, cases of malaria could be more acute at high altitudes because of lower immunity, which could explain the reduction in sTfR at high altitudes.

#### *Implications for the assessment of iron status*

The results from this analysis indicate that particular attention should be given to children with characteristics hypothesized to be associated with a low immunity to malaria when assessing their iron status, whether this is at the individual level or when analyzing population-level data. Adjusting for malaria resulted in small non-significant differences in micronutrient deficiency prevalence. However, even small differences could be of public

health importance, especially if policymakers are relying on thresholds to define the severity of micronutrient deficiencies or are using these data for cost-effectiveness analyses to decide on allocation of spending. Moreover, identifying the factors that modify the relationship between serum ferritin and malaria can help to identify in which settings a malaria adjustment would be necessary, for example in areas of high altitude, and in young children. The fact that the iron indicators were more closely correlated after the malaria adjustment in PSC and SAC seems to indicate that this adjustment results in a more accurate estimation of iron status. The fact that malaria is associated with increased ferritin, even after adjusting for inflammation should be considered especially when ferritin is analyzed as a continuous variable, for example when assessing the efficacy of an intervention to improve iron status. Elevated ferritin concentrations in children with characteristics hypothesized to be associated with low immunity to malaria were probably associated with elevated hepcidin, resulting in a blockage of iron absorption, and could help to understand why micronutrient interventions are not always effective in improving population micronutrient status in apparently healthy individuals<sup>(54; 55)</sup>. More attention could be given to other infections that could have an inflammation-independent effect on micronutrient status, such as norovirus<sup>(56)</sup>.

#### *Implications for other biomarkers*

Malaria infection could be considered when reporting the folate status of the population. Even though the changes in biomarkers associated with malaria infection were modest in our analyses, the impact might be different in other contexts. Malaria was associated with higher serum and RBC folate, while inflammation was associated with lower serum folate. This suggests an inflammation-independent effect of malaria on folate metabolism. Furthermore, both serum and RBC folate were affected to the same extent, which could indicate both a short and long-term effect of malaria on folate status. This is, to our knowledge, the first time this has been reported in a national micronutrient survey. Population-level data on folate status are important to evaluate public health policies and programmes such as folic acid fortification. The elevation of folate during malaria has been previously observed in children and is believed to be due to either de novo folate synthesis by the pathogen, altered folate utilization in infected RBCs, or reticulocytosis<sup>(16)</sup>. In settings where malaria prevalence is higher than in this survey, the impact on folate status could be important.

Reductions in plasma zinc concentrations have been reported in uncomplicated acute malaria<sup>(14)</sup> but not in asymptomatic malaria infections<sup>(12)</sup>. The small but similar reduction in

both PSC and SAC serum zinc is consistent with earlier findings. Many factors are considered when interpreting indicators of zinc status, particularly the fact that serum zinc is not considered a very sensitive indicator of zinc status<sup>(40)</sup>, and the small reductions that we observed in our analysis do not seem to justify particular attention to malaria status when assessing zinc status with serum zinc. Further analysis in other contexts could help determine the impact of malaria on serum zinc.

### *Strengths and limitations*

One of the major strengths of the study is the use of data from a large nationally representative survey with individual data on multiple micronutrients. There is also a consistency of findings with regard to factors related to immunity. All of the factors included in this analysis that are considered to correlate with malaria immunity (age, altitude, sickle cell trait) modified the relationship between malaria and ferritin in the same direction. The results were consistent across age groups and biomarkers in children.

One of the limitations of the study is that there was no measure of malaria immunity. More attention may be given to the measurement of malaria antibodies and hepcidin in individuals, to better understand how malaria immunity may modify iron metabolism during a malaria infection. Another limitation for this study is the impossibility of determining whether relationships were causal since the data were cross-sectional. However, prospective studies on malarial infection and indicators of iron status<sup>(57; 58; 59)</sup> suggested a causal and positive effect of malaria on ferritin levels. Additionally, the difference in inflammation-ferritin concentration between asymptomatic and symptomatic malaria cases suggests that the changes in ferritin are dependent on the severity of malaria infection, which also suggests a causal mechanism.

Malaria infections were detected by RDT and further analyses could be necessary to confirm that similar findings are observed in infections detected by other methods (e.g. microscopy or PCR, which may be used in other surveys). We also note that some of the effects were small, and the confidence limits were wide.

### *Conclusion*

Our analysis showed that malaria infection in PSC, SAC and WRA was associated with some changes in micronutrient biomarker concentrations, even after controlling for inflammation with CRP and AGP. The relationship between malaria and ferritin was the strongest and the

most consistent across all age groups. Women with malaria infection had significantly higher serum folate and RBC folate than those uninfected. Malaria immunity-related factors were identified as modifying the relationship between ferritin and malaria. More attention may be important to give to children with factors hypothesized to be associated to low immunity to malaria, such as high altitude, young age and absence of sickle cell trait, when assessing their iron status in malaria-endemic areas.

### ***Acknowledgements***

We acknowledge the contributions of datasets and guidance from the BRINDA working group and steering committee members. The BRINDA project is supported by the Bill & Melinda Gates Foundation, US Centers for Disease Control and Prevention (CDC), Eunice Kennedy Shriver National Institute of Child Health and Human Development, HarvestPlus, and USAID.

### ***Financial support***

This work was supported, in whole or in part, by the Bill & Melinda Gates Foundation INV-002855. Under the grant conditions of the Foundation, a Creative Commons Attribution 4.0 Generic License has already been assigned to the Author Accepted Manuscript version that might arise from this submission.

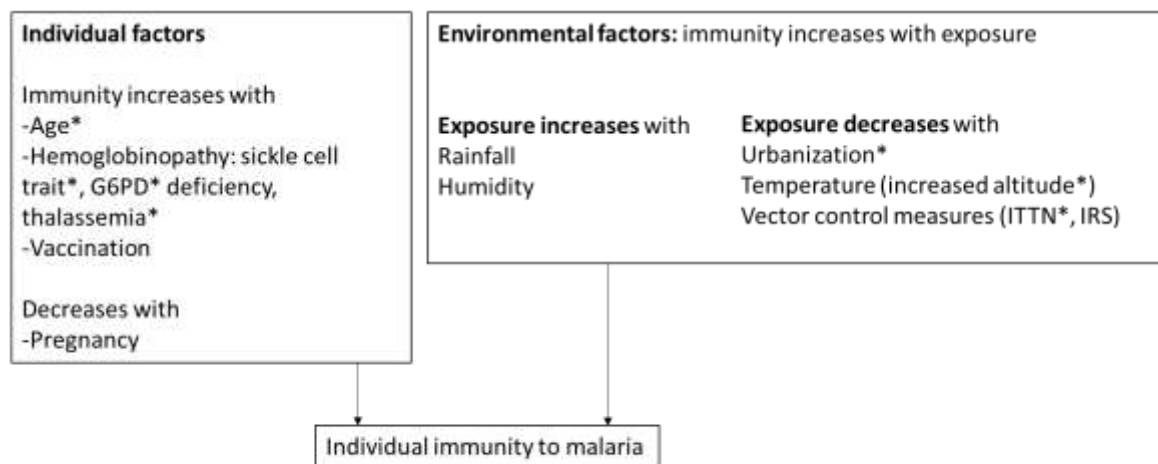
### ***Conflict of interest***

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

Declaration of interests: The authors declare none.

### ***Authorship***

FS: conceptualization, methodology, formal analysis, writing. EJM: conceptualization, supervision, funding acquisition. SF, HH: conceptualization, supervision. CB: methodology. TB: validation. BHL : conceptualization, resources. HL: resources, data curation. MFJ, PSS: conceptualization, resources. All authors reviewed the manuscript.



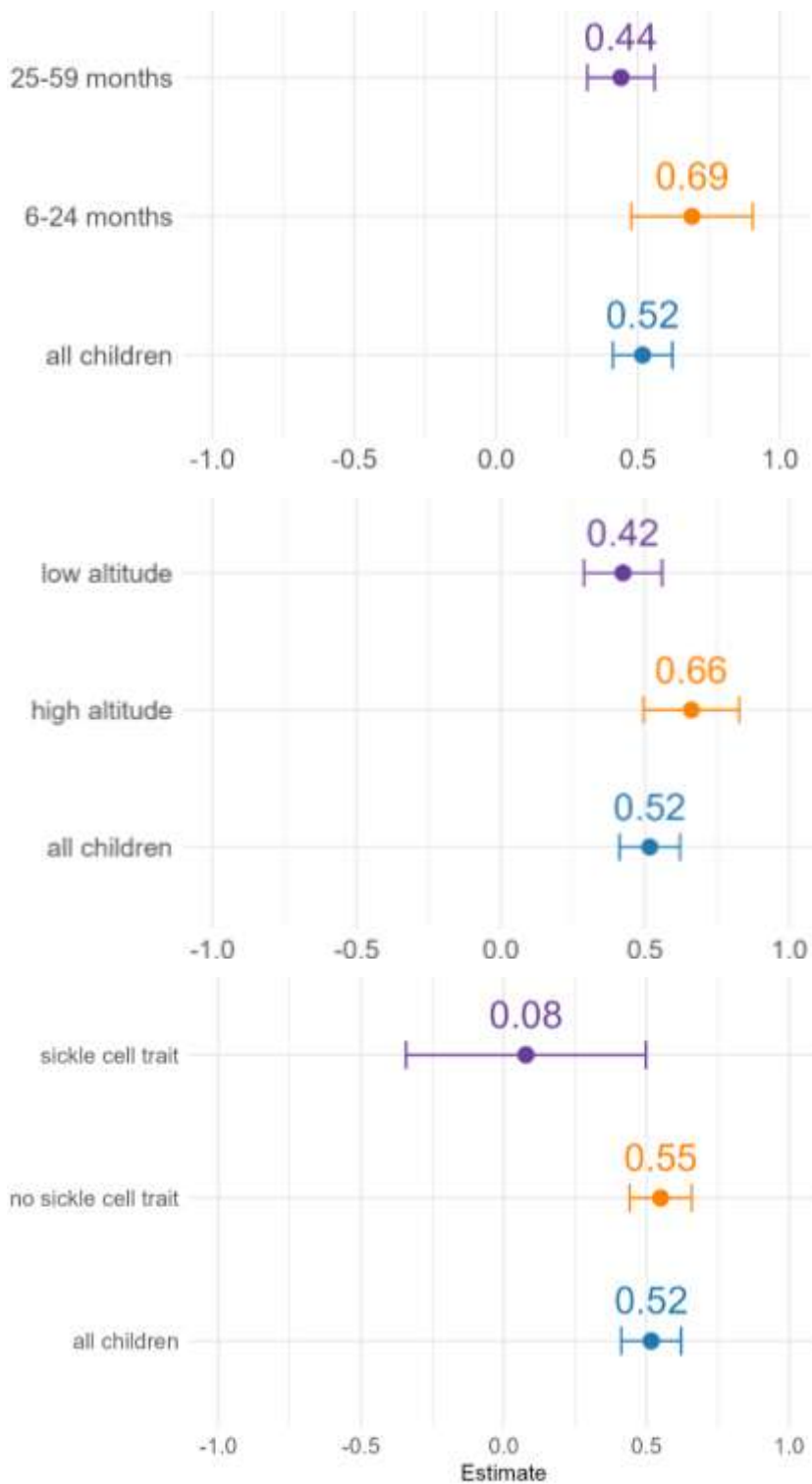
\*data available in the dataset

**Figure 1:** Identification of variables used to define malaria immunity in children and adults in the 2015 Malawi micronutrient survey

G6PD: Glucose 6 phosphate dehydrogenase, ITTN: Insecticide-treated nets, IRS: Indoor residual spraying.

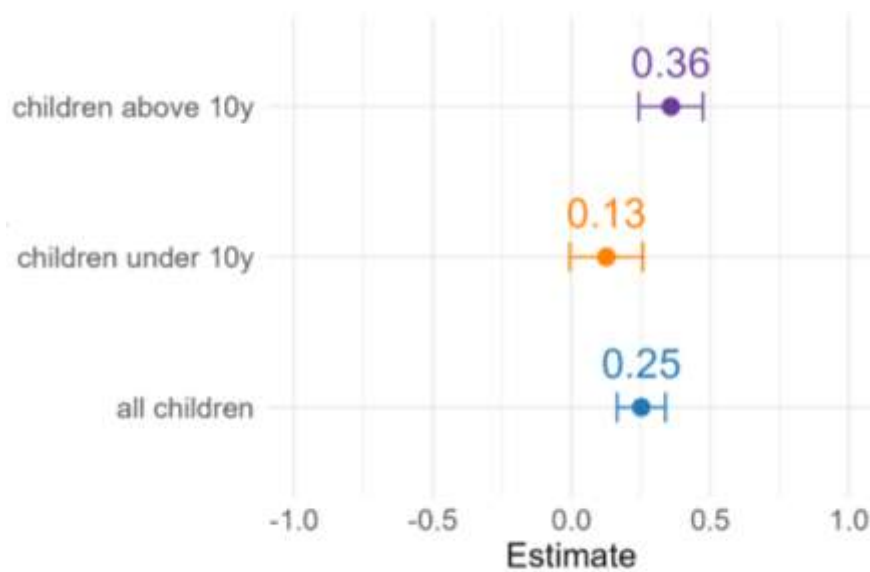


## a) PSC



The circle represents the mean difference between PSC with and without malaria infection while the horizontal line represents the 95% confidence interval. The concentration of ferritin was adjusted for inflammation using the BRINDA method<sup>(5)</sup>. Linear models were adjusted for potential confounders (age group, sex, rurality, altitude, socio-economic status, maternal education, deworming, and presence of hemoglobinopathies). The different subgroups were created based on the significance of the interactions tested in the linear models.  $p$  for interaction malaria-age = 0.0495,  $p$  for interaction malaria-altitude = 0.002,  $p$  for interaction malaria-sickle cell trait = 0.009.

## b) SAC



The circle represents the mean difference between SAC with and without malaria infection while the horizontal line represents the 95% confidence interval. The concentration of ferritin was adjusted for inflammation using the BRINDA method<sup>(5)</sup>. Linear models were adjusted for potential confounders (sex, rurality, altitude, socio-economic status, maternal education, deworming). The subgroups were created based on the significance of the interactions tested in the linear models.  $p$  for interaction malaria-age = 0.02.

Figure 2: Difference in ferritin concentration (on the log scale) between pre-school (PSC) children with and without malaria infection (a,  $n=1,084$ ) and school-age children (SAC) with and without malaria infection (b,  $n=743$ ) in different sub-groups of interest in the 2015/2016 Malawi micronutrient survey.

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Table 1: Characteristics from the 2015/2016 Malawi micronutrient survey participants (mean (or %) and 95% CI)

	PSC (n=1,233)		SAC (n=758)		WRA (n=776)	
	N	mean (or %) and 95% CI	N	mean (or %) and 95% CI	N	mean (or %) and 95% CI
Age (mean)	1,233	31.9 (30.3, 33.4) months	758	9.6 (9.4, 9.7) years	776	28.1 (27.4, 28.9) years
Sex (% male)	1,233	50.0 (47.4, 53.0)	758	50.9 (47.3, 54.0)	776	-
Rurality (% rural)	1,233	90.2 (75.3, 97.0)	758	87.7 (80.1, 93.0)	776	90.9 (79.6, 96.0)
Socio-economic status	1,231		758		776	
Low (%)		50.2 (44.2, 56.0)		37.5 (30.9, 45.0)		42.7 (35.5, 50.0)
Medium (%)		40.5 (36.3, 45.0)		45.4 (39.0, 52.0)		44.5 (38.2, 51.0)
High (%)		9.3 (5.7, 15.0)		17.2 (12.2, 24.0)		12.8 (7.9, 20.0)
Positive malaria test (%)	1,163	27.9 (21.0, 36.0)	750	37.6 (31.9, 44)	776	16.7 (12.7, 22.0)
Positive malaria test and fever in the last 24 hours (%)	1,156	5.8 (3.8, 9.0)	745	6.8 (4.2, 11.0)	Fever was not measured in WRA	
Iron deficiency (%)	1,102	20.5 (15.5, 26.7)	758	4.5 (3.3, 6.0)	753	17.1 (13.6, 21.0)
Zinc deficiency (%)	1,069	52.6 (46.4, 59.0)	746	39.4 (33.8, 45.0)	751	63.6 (56.5, 70.0)
Serum folate deficiency (%)	Not measured in PSC and SAC				748	34.2 (28.3, 41.0)
RBC folate					749	81.0 (74.2, 87.8)



insufficiency (%)				86.0)
Vitamin B12 deficiency (%)			757	13.0 (9.3,18.0)
Carriers of sickle cell trait (%)	1,074	9.1 (6.5, 12.0)	<i>Not measured in SAC and WRA</i>	
Carriers of alpha-thalassemia trait (%)	1,070	43.0 (37.6, 48.0)		

PSC: pre-school children, SAC: school-age children, WRA: women of reproductive age, RBC: red blood cell. Indicators of micronutrient deficiencies were adjusted for inflammation with the BRINDA method<sup>(5)</sup> and the following cutoffs were used: 12 µg/L for ferritin in PSC and 15 µg/L in SAC and WRA to define iron deficiency; specific cutoff for zinc depending on age, sex, fasting status and time of blood draw to define zinc deficiency; 14 nmol/L to define folate deficiency; 748 nmol/L to define red blood cell folate insufficiency; 150 pmol/L to define vitamin B12 deficiency. Data were weighted to account for survey design.

Table 2: Micronutrient biomarker concentrations by malaria infection in PSC (n=1,163), SAC (n=749) and WRA (n=757) (geometric mean) from the 2015/2016 Malawi Micronutrient survey

	PSC				SAC				WRA			
	Uninfected (n=853)	Malaria - infected (n=310)	P <sup>2</sup>	Relative change (%, 95% CI)	Uninfected (n=468)	Malaria - infected (n=281)	P <sup>2</sup>	Relative change (%, 95% CI)	Uninfected (n=644)	Malaria - infected (n=113)	P <sup>2</sup>	Relative change (%, 95% CI)
<b>Serum ferritin (µg/L)<sup>1</sup></b>	20.9	37.3	<0.001	+ 67.6 (50.7, 85.9)	36.3	44.1	<0.001	+ 28.5 (17.9,40.3)	28.1	36.0	<0.001	+ 34.0 (14.4,57.3)
<b>Serum sTfR (mg/L)<sup>1</sup></b>	7.7	9.5	<0.001	+ 25.2 (17.3, 33.1)	6.6	7.7	<0.001	+ 15.3 (8.6,22.4)	6.6	6.9	0.3	No changes
<b>Serum zinc (µg/L)<sup>1</sup></b>	625	580	<0.001	-6.0 (-9.6, -2.5)	654	622	0.007	-5.0 (-9.4, -0.4)	591	577	0.1	No changes
<b>Serum folate (nmol/L)</b>	<i>Not measured in PSC and SAC</i>								16.5	19.6	0.01	+ 17.6 (2.6,34.8)
<b>RBC folate (nmol/L)</b>									498	580	0.003	+ 11.3 (0.7,22.9)
<b>Serum vitamin B12 (pmol/L)</b>									289	301	0.5	No changes

PSC: pre-school children, SAC: school-age children, WRA: women of reproductive age, CI: confidence interval, sTfR= soluble Transferrin receptors, RBC: Red Blood cell. <sup>1</sup>: the concentrations of micronutrient biomarkers were adjusted for inflammation using the BRINDA method<sup>(5)</sup>. Serum zinc was not adjusted in WRA because of the weak correlation between zinc and CRP/AGP. <sup>2</sup>: Tests for the difference between groups were done on the log scale for all biomarkers, after adjustment for potential confounders (age, sex, rurality, socio-economic status, maternal or women's education, deworming in the last 6 months, altitude and presence of sickle cell trait and alpha-thalassemia). In WRA, further adjustments were made for the consumption of iron and folic acid supplements for iron biomarkers, serum and RBC folate, and for CRP and AGP for serum and RBC folate concentrations). Adding the clustering as a random effect did not change the significance of the results.

Table 3: Biomarker concentrations according to the stage of infection in PSC (n=1,163) and SAC (n=745) (geometric mean) from the 2015/2016 Malawi micronutrient survey

	<b>PSC</b>				<b>SAC</b>			
	Uninfected (n=853)	Asymptomatic malaria (n=253)	Symptomatic malaria (n=57)	P <sup>2</sup>	Uninfected (n=467)	Asymptomatic malaria (n=244)	Symptomatic malaria (n=34)	p <sup>2</sup>
<b>Serum ferritin (µg/L)<sup>1</sup></b>	20.9 <sup>a</sup>	35.3 <sup>b</sup>	48.0 <sup>c</sup>	<0.001	36.3 <sup>a</sup>	43.7 <sup>b</sup>	44.6 <sup>b</sup>	<0.001
<b>Serum sTfR (mg/L)<sup>1</sup></b>	7.7 <sup>a</sup>	9.8 <sup>b</sup>	8.3 <sup>a</sup>	<0.001	6.6 <sup>a</sup>	7.7 <sup>b</sup>	7.9 <sup>b</sup>	<0.001
<b>Serum zinc (µg/L)<sup>1</sup></b>	625 <sup>a</sup>	597 <sup>b</sup>	508 <sup>c</sup>	<0.001	654	622	621	0.07

PSC: pre-school children, SAC: school-age children, WRA: women of reproductive age, CI: confidence interval, sTfR= soluble Transferrin receptors. <sup>1</sup>: the concentrations of micronutrient biomarkers were adjusted for inflammation using the BRINDA method<sup>(5)</sup>. <sup>2</sup>: Tests for difference between groups were done on the log scale for all biomarkers, after adjustment for potential confounders (age, sex, rurality, socio-economic status, maternal education, deworming in the last 6 months, altitude and presence of sickle cell trait and alpha-thalassemia). Different letters indicate a statistical difference. Asymptomatic malaria was defined by a positive malaria test and the absence of fever in the last 24 hours, as reported by the caregiver. Symptomatic malaria was defined by a positive malaria test and the presence of fever in the last 24 hours, as reported by the caregiver.

Table 4: Malaria-adjustment impact on micronutrient deficiencies in three population groups (PSC, n=1,084; SAC, n=743; WRA, n=753) from the 2015/2016 Malawi micronutrient survey

	<b>Inflammation-adjustment only (prevalence in % and 95% CI)</b>	<b>Inflammation and malaria adjustment (prevalence in % and 95% CI)</b>
<b>PSC</b>		
Iron deficiency	20.5 (15.5, 27.0)	23.8 (19.5, 29.0)
Zinc deficiency	52.6 (46.4, 59.0)	49.4 (43.5, 55.0)
<b>SAC</b>		
Iron deficiency	4.5 (3.3, 6.0)	5.1 (3.5, 7.0)
Zinc deficiency	39.4 (33.8, 45.0)	35.9 (30.7, 41.0)
<b>WRA</b>		
Iron deficiency	17.1 (13.6, 21.0)	18.4 (15.0, 22.0)
Zinc deficiency	63.6 (56.5, 70.0)	63.6 (56.5, 70.0)
Folate deficiency (serum folate)	34.2 (28.3, 41.0)	36.5 (30.3, 43.0)
RBC folate insufficiency	81.0 (74.2, 86.0)	82.2 (75.8, 87.0)
Vitamin B12 deficiency	13.0 (9.3, 18.0)	13.0 (9.3, 18.0)

PSC: pre-school children, SAC: school-age children, WRA: women of reproductive age. RBC: Red Blood cell. Indicators of micronutrient deficiencies were adjusted for inflammation with the BRINDA method<sup>(5)</sup> and the following cutoffs were used: 12 µg/L for ferritin to define iron deficiency in PSC and 15 µg/L in SAC and WRA, specific cutoff for zinc dependent on age, sex, fasting status and time of blood draw to define zinc deficiency, 14 nmol/L to define folate deficiency, 746 nmol/L to define red blood cell folate insufficiency, 150 pmol/L to define vitamin B12 deficiency. Data were weighted to account for survey design. The adjustment for malaria was made using coefficients from a linear regression that included the main effect of malaria and the interactions found significant for each population group and for each indicator (For PSC: model B for ferritin, model F for zinc; for SAC: model G for ferritin, model J for zinc; for WRA: model K for ferritin, model L for serum folate, model M for RBC folate, Supplementary Table 1).

Table 5: Coefficient of correlation (Spearman test) between indicators of iron status in PSC (n=1,084), SAC (n=743) and WRA (n=753) from the 2015/2016 Malawi micronutrient survey

	Inflammation adjusted ferritin	Malaria and inflammation-adjusted ferritin
<b>PSC</b>		
Inflammation adjusted sTfR	-0.27 (p<0.001)	
Malaria and inflammation-adjusted sTfR		-0.37 (p<0.001)
<b>SAC</b>		
Inflammation adjusted sTfR	-0.08 (p=0.02)	
Malaria and inflammation-adjusted sTfR		-0.13 (p<0.001)
<b>WRA</b>		
Inflammation adjusted sTfR	-0.45 (p<0.001)	
Malaria and inflammation-adjusted sTfR		-0.46 (p<0.001)

PSC: Pre-school children, SAC: school-age children, WRA: Women of reproductive age. sTfR: soluble transferrin receptors. Indicators of micronutrient deficiencies were adjusted for inflammation with the BRINDA method<sup>(5)</sup>. Correlations were only tested between ferritin and sTfR if both variables were either malaria-adjusted or non-malaria-adjusted. The adjustment for malaria was made using coefficients from a linear regression that included the main effect of malaria and the interactions found significant for each population group and for each indicator (For PSC: model B for ferritin, model F for zinc; for SAC: model G for ferritin, model J for zinc; for WRA: model K for ferritin, model L for serum folate, model M for RBC folate, Supplementary Table 1).