

ON UNRAMIFIED A_m -EXTENSIONS OF QUADRATIC NUMBER FIELDS

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Dedicated to Professor Robert A. Rankin on his 70th birthday

1. Introduction. Number fields such as described in the title play a rôle in the study of Artin L-functions and automorphic forms for the groups SL_2 over rings of integers in quadratic extensions of \mathbb{Q} . They are also of some interest on their own. We have not found many examples in the literature. Lang [4] mentions an unramified A_5 -extension of a real quadratic number field which is due to E. Artin.

The purpose of the present paper is to provide an easy access to such fields. Our main result is the following theorem.

THEOREM. *Consider the polynomial*

$$f(x) = x^m + a_{m-2}x^{m-2} + \dots + a_1x + a_0 \in \mathbb{Z}[x], \quad m > 2.$$

Suppose:

(i) *the polynomial discriminant Δf is square-free*

$$\Delta f = \pm p_1 \dots p_n,$$

(ii) *$f(x)$ is irreducible over \mathbb{Q} , and has Galois group S_m .*

Consider the quadratic field

$$k = \mathbb{Q}(\sqrt{(\Delta f)}),$$

and the splitting field S of f . Then S/k is an unramified A_m -extension.

S_m , A_m denote the full symmetric and the alternating permutation group on m symbols, respectively. We prove our theorem in Section 2. In Section 3 we give some numerical results on the discriminants of polynomials of degree 5. Our tables contain many cases where the assumptions of the theorem apply.

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2. Proof of theorem. Let $\vartheta_1, \vartheta_2, \dots, \vartheta_m$ be the roots of $f(x) = 0$, in some fixed order. Introduce the chain of fields

$$\mathbb{Q} = K_0 \subset K_1 \subset K_2 \subset \dots \subset K_{m-1} = S,$$

$$K_{j-1} = \mathbb{Q}(\vartheta_1, \vartheta_2, \dots, \vartheta_{j-1}).$$

Then

$$K_j = K_{j-1}(\vartheta_j).$$

The extension K_j/K_{j-1} has the degree

$$|K_j : K_{j-1}| = m - j + 1, \quad 1 \leq j \leq m - 1,$$

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and the defining polynomial

$$g_j(x) = \frac{f(x)}{(x - \vartheta_1)(x - \vartheta_2) \dots (x - \vartheta_{j-1})} \in K_{j-1}[x].$$

Put

$$g_1(x) = f(x).$$

Obviously we have

$$g_j(x) = \frac{g_{j-1}(x)}{x - \vartheta_{j-1}}.$$

The polynomial $g_j(x)$ has the roots $\vartheta_j, \vartheta_{j+1}, \dots, \vartheta_m$. Hence we have

$$\Delta g_j = \{(\vartheta_j - \vartheta_{j+1})(\vartheta_j - \vartheta_{j+2}) \dots (\vartheta_j - \vartheta_m)\}^2 \Delta g_{j+1}.$$

This can be written as

$$\Delta g_j = g_j'(\vartheta_j)^2 \Delta g_{j+1}. \tag{1}$$

The quantity

$$g_j'(\vartheta_j) = \delta_{K_j/K_{j-1}}(\vartheta_j) \tag{2}$$

is the relative different of ϑ_j . We have

$$N_{K_j/K_{j-1}} g_j'(\vartheta_j) = \Delta g_j. \tag{3}$$

Let $\mathfrak{f}_i^{(j)}, \mathfrak{q}_i^{(j)}$ denote ideals in K_j . Suppose, inductively,

$$\Delta g_j = \mathfrak{q}_1^{(j-1)} \dots \mathfrak{q}_n^{(j-1)} \text{ in } K_{j-1}, \tag{4}$$

where $\mathfrak{q}_i^{(j-1)}$ is square-free, i.e. it is a product of different prime ideals, and

$$N_{K_{j-1}/K_0} \mathfrak{q}_i^{(j-1)} = p_i^{(m-2)(m-3)\dots(m-i)}, \quad i = 1, \dots, n. \tag{5}$$

For $j = 1$, we have $g_1 = f$. Hence (4) holds by assumption (i). The exponent on the right hand side of (5) is understood to be 1 for $j = 1$. Hence the induction hypothesis holds true for $j = 1$.

We show that (4), (5) hold for $j + 1$. Since $\Delta g_j \in K_{j-1}$, we have

$$N_{K_j/K_{j-1}}(\Delta g_j) = (\Delta g_j)^{m-j+1}.$$

Conclude from (1) and (3):

$$N_{K_j/K_{j-1}}(\Delta g_{j+1}) = (\Delta g_j)^{m-j-1} = (\mathfrak{q}_1^{(j-1)} \dots \mathfrak{q}_n^{(j-1)})^{m-j-1}. \tag{6}$$

The ideal (Δg_j) is square-free in K_{j-1} , by induction hypothesis. By assumption (i), it does not contain any inessential divisors. Hence it coincides with the relative discriminant:

$$(\Delta g_j) = (d_{K_j/K_{j-1}}). \tag{7}$$

Hence all prime factors of $\mathfrak{q}_i^{(j-1)}$ ramify in K_j . Since the ramification is tame, by assumption (i), and since $(d_{K_j/K_{j-1}})$ is square-free, the ramification must be of the form

$$\mathfrak{q}_i^{(j-1)} = (\mathfrak{f}_i^{(j)})^2 \mathfrak{q}_i^{(j)}, \tag{8}$$

$$N_{K_j/K_{j-1}} \mathfrak{f}_i^{(j)} = \mathfrak{q}_i^{(j-1)}, \quad N_{K_j/K_{j-1}} \mathfrak{q}_i^{(j)} = (\mathfrak{q}_i^{(j-1)})^{m-j-1}. \tag{9}$$

The ideals $\mathfrak{f}_i^{(j)}, \mathfrak{q}_i^{(j)}$ are square-free in K_j . The ramified primes $\mathfrak{f}_i^{(j)}$ all divide the discriminant $g'_j(\vartheta_j)$:

$$g'_j(\vartheta_j) = \mathfrak{f}_1^{(j)} \dots \mathfrak{f}_n^{(j)} \cdot \nu. \tag{10}$$

Inserting this equation in (1), we obtain

$$\mathfrak{q}_1^{(j)} \dots \mathfrak{q}_n^{(j)} = \nu^2 \Delta g_{j+1}. \tag{11}$$

Taking norms on both sides of (11), we conclude from (6) and (9):

$$N_{K_j/K_{j-1}} \nu^2 = 1, \quad \text{and hence } \nu = 1.$$

Hence we have

$$g'_j(\vartheta_j) = \mathfrak{f}_1^{(j)} \dots \mathfrak{f}_n^{(j)}, \tag{12}$$

$$\Delta g_{j+1} = \mathfrak{q}_1^{(j)} \dots \mathfrak{q}_n^{(j)}. \tag{13}$$

This proves (4) for $j + 1$. Use (9) to conclude

$$\begin{aligned} N_{K_j/K_0} \mathfrak{q}_i^{(j)} &= N_{K_{j-1}/K_0} N_{K_j/K_{j-1}} \mathfrak{q}_i^{(j)} = N_{K_{j-1}/K_0} (\mathfrak{q}_i^{(j-1)})^{m-j-1} \\ &= p_i^{(m-2)(m-3)\dots(m-j)(m-j-1)}. \end{aligned}$$

This proves (5) for $j + 1$. Hence (4), (5) hold for all j such that $1 \leq j \leq m - 1$.

Let $L_j = K_j k$. Hence L_j/K_j is the relative quadratic extension obtained by adjoining $\sqrt{(\Delta f)}$. The extension is of degree 2, by assumption (ii). We use the decomposition laws in quadratic extensions, see Hecke [2, p. 148]. We have, by (8):

$$(p_1 \dots p_n) = (\Delta f) = (\mathfrak{f}_1^{(1)} \dots \mathfrak{f}_n^{(1)} \mathfrak{f}_1^{(2)} \dots \mathfrak{f}_n^{(2)} \dots \mathfrak{f}_1^{(j)} \dots \mathfrak{f}_n^{(j)})^2 \mathfrak{q}_1^{(j)} \dots \mathfrak{q}_n^{(j)}. \tag{14}$$

Hence, in L_j/K_j , all prime factors of $\mathfrak{q}_i^{(j)}$ ramify:

$$\mathfrak{q}_i^{(j)} = (\mathfrak{Q}_i^{(j)})^2, \quad N_{L_j/K_j} \mathfrak{Q}_i^{(j)} = \mathfrak{q}_i^{(j)}. \tag{15}$$

The prime 2 does not ramify in K_j , by assumption (i). Let ℓ be a prime divisor of 2 in K_j :

$$(2) = \ell \cdot \ell_1, \quad (\ell, \ell_1) = 1.$$

We have to study the congruence

$$\Delta f = \xi^2 \pmod{\ell^2}. \tag{16}$$

The assumption (i) implies that Δf is a field discriminant, of the field K_1 . Use Stickelberger's theorem to conclude

$$\Delta f \equiv 1 \pmod{4}.$$

Hence the congruence (16) is solvable in K_j , and hence ℓ does not ramify in L_j . Hence we obtain for the relative different:

$$\mathfrak{D}_{L_j/K_j} = \mathfrak{Q}_1^{(j)} \dots \mathfrak{Q}_n^{(j)}.$$

The different of L_j is

$$\mathfrak{D}_{L_j} = \mathfrak{Q}_1^{(j)} \dots \mathfrak{Q}_n^{(j)} \dots \mathfrak{D}_{K_j}.$$

Taking norms, we obtain

$$N_{L_j/K_j} \mathfrak{D}_{L_j} = \mathfrak{q}_1^{(j)} \dots \mathfrak{q}_n^{(j)} \dots \mathfrak{D}_{K_j}^2.$$

Deduce from (14):

$$\mathcal{D}_K = f_1^{(1)} \dots f_n^{(1)} \dots f_1^{(2)} \dots f_n^{(2)} \dots f_1^{(j)} \dots f_n^{(j)}.$$

Hence we obtain

$$\begin{aligned} N_{L_i/K_i} \mathcal{D}_{L_i} &= (p_1 \dots p_n), \\ N_{L_i/K_0} \mathcal{D}_{L_i} &= (p_1 \dots p_n)^{m(m-1)\dots(m-j+1)}. \end{aligned} \tag{17}$$

For the different $\varphi = (\sqrt{(\Delta f)})$ of k , we have

$$N_{L_i/K_0} \varphi = (p_1 \dots p_n)^{m(m-1)\dots(m-j+1)}.$$

Consider

$$\mathcal{D}_{L_i} = \mathcal{D}_{L_i/k} \cdot \varphi.$$

Take absolute norms on both sides, and observe (17), (18), obtaining

$$N_{L_i/K_0} \mathcal{D}_{L_i/k} = 1,$$

and hence

$$\mathcal{D}_{L_i/k} = 1.$$

Hence L_i/k is unramified for $1 \leq j \leq m - 1$. For $j = m - 1$, this proves the theorem.

REMARK. Invoking class field theory, we find that our result implies congruence relations for certain class numbers. Whenever $k < k_1 < k_2 < S$ is a chain of subfields such that k_2/k_1 is normal with abelian Galois group, the class number h_{k_1} of k_1 is divisible by the degree $|k_2 : k_1|$.

3. Numerical examples. In this section we give some numerical examples where the assumptions of our theorem are satisfied. To do this it is necessary to have an explicit formula for the discriminant Δf of a polynomial f . We report here on the case $m = 5$.

PROPOSITION 1. *Let*

$$f(x) = x^5 + ax^3 + bx^2 + cx + d$$

be a polynomial with rational coefficients. Then its discriminant is

$$\begin{aligned} \Delta f &= 5^5 d^4 - 2 \cdot 3 \cdot 5^4 \cdot d^3 ba + 2^4 \cdot 5^3 \cdot d^2 c^2 a + 2 \cdot 3^2 \cdot 5^3 \cdot d^2 cb^2 \\ &\quad - 2^2 \cdot 3^2 \cdot 5^2 \cdot d^2 ca^3 + 3 \cdot 5^2 \cdot 11 \cdot d^2 b^2 a^2 + 2^2 \cdot 3^3 \cdot d^2 a^5 - 2^6 \cdot 5^2 \cdot dc^3 b \\ &\quad + 2^4 \cdot 5 \cdot 7 \cdot dc^2 ba^2 - 2 \cdot 3^2 \cdot 5 \cdot 7 \cdot dcb^3 a - 2^3 \cdot 3^2 \cdot dcba^4 \\ &\quad + 2^2 \cdot 3^3 \cdot db^5 + 2^4 \cdot db^3 a^3 + 2^8 \cdot c^5 - 2^7 \cdot c^4 a^2 + 2^4 \cdot 3^2 \cdot c^3 b^2 a \\ &\quad + 2^4 \cdot c^3 a^4 - 3^3 \cdot c^2 b^4 - 2^2 \cdot c^2 b^2 a^3. \end{aligned}$$

The occurrence of the prime factors 7 and 11 is somewhat mysterious. The formula is slightly more complicated than the well-known formulae for polynomials of degrees 2, 3, 4. It is, however, still useful for computations for small values of a, b, c, d . After we had finished our computation, we discovered the formula of Proposition 1 in Cayley's work [1].

TABLE 1. $f = x^5 + ax^3 + bx^2 + cx + d$

no	a	b	c	d	Δf	h_k
1	-1	-1	-1	1	-7031 = -79 . 89	108 = 2 ² . 3 ³
2	0	-1	-2	1	-22583 = -11 . 2053	90 = 2 . 3 ² . 5
3	-1	0	-2	1	-17151 = -3 . 5717	110 = 2 . 5 . 11
4	0	-2	-1	1	-13219	31
5	-1	-2	0	1	-4511 = -13 . 347	84 = 2 ² . 3 . 7
6	-1	-3	1	1	-24447 = -3 . 29 . 281	92 = 2 ² . 23
7	-3	-1	-1	1	-71943 = -3 . 23981	130 = 2 . 5 . 13
8	-2	-1	-1	2	-72579 = -3 . 13 . 1861	72 = 2 ³ . 3 ²
9	-2	0	-2	1	-49163 = -211 . 233	50 = 2 . 5 ²
10	-2	1	-2	1	-19015 = -5 . 3803	106 = 2 . 53
11	-2	-1	-2	1	-77063 = -7 . 101 . 109	276 = 2 ² . 3 . 23
12	1	-2	-2	1	-54967 = -11 . 19 . 263	104 = 2 ³ . 13
13	-1	-2	-2	1	-60023 = -193 . 311	252 = 2 ² . 3 ² . 7
14	-2	-2	-2	1	-91363 = -211 . 433	56 = 2 ³ . 7
15	0	0	-3	1	-59083	37
16	-1	0	-3	1	-90691 = -89 . 1019	76 = 2 ² . 19
17	-3	0	-1	1	-56123	43
18	0	-3	0	1	-23119 = -61 . 379	124 = 2 ² . 31
19	1	-1	-3	1	-105887 = -19 . 5573	256 = 2 ⁸
20	-1	-3	-1	1	-40711 = -11 . 3701	148 = 2 ² . 37
21	-3	1	-1	1	-28927	65 = 5 . 13
22	-3	-1	1	1	-5519	97
23	0	-2	-3	1	-179827	51 = 3 . 17
24	2	0	-3	1	-46411	49 = 7 ²
25	-2	0	-3	1	-168523	61
26	-2	-3	0	1	-15919	51 = 3 . 17
27	0	-3	-1	2	-95531	123 = 3 . 41
28	0	-3	-2	1	-118959 = -3 . 39653	236 = 2 ² . 59
29	-3	0	-2	1	-132711 = -3 . 31 . 1427	380 = 2 ² . 5 . 19
30	-3	-1	-1	2	-268183 = -233 . 1151	204 = 2 ² . 3 . 17
31	-1	-2	-2	3	-249119 = -13 . 19163	820 = 2 ² . 5 . 41
32	-2	-1	-2	3	-345559 = -17 . 20327	226 = 2 . 113
33	-2	-2	-1	3	-253163 = -383 . 661	108 = 2 ² . 3 ³
34	-2	1	-3	2	-124763 = -17 . 41 . 179	68 = 2 ² . 17
35	-2	-3	1	2	-46259 = -167 . 277	94 = 2 . 47
36	2	-3	-2	1	-170319 = -3 . 56773	308 = 2 ² . 7 . 11
37	2	-2	-3	1	-249707 = -71 . 3517	148 = 2 ² . 37
38	-2	2	-3	1	-33131 = -7 . 4733	92 = 2 ² . 23
39	-2	-3	-2	1	-96263	301 = 7 . 43
40	3	-2	-2	1	-44503 = -191.233	74 = 2 . 37
41	-3	2	-2	1	-25679	239
42	-3	-2	2	1	-8647	31
43	-3	-2	-2	1	-188695 = -5 . 13 . 2903	164 = 2 ² . 41
44	-3	0	-3	1	-340531 = -503 . 677	98 = 2 . 7 ²
45	-1	-3	-1	3	-240871 = -79 . 3049	258 = 2 . 3 . 43
46	-3	-1	1	3	-32519 = -31 . 1049	178 = 2 . 89
47	-3	-3	1	1	-14631 = -3 . 4877	58 = 2 . 29
48	-3	-1	-1	3	-545911	321 = 3 . 107
49	-3	-3	-1	1	-41591 = -11 . 19 . 199	256 = 2 ⁸
50	3	-1	-3	1	-147463 = -239 . 617	142 = 2 . 71
51	1	-3	-3	1	-369223 = -17 . 37 . 587	292 = 2 ² . 73

Table (contd.)

TABLE 1. (contd.)

no	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	Δf	h_k
52	-1	-3	-3	1	-259783	$315 = 3^2 \cdot 5 \cdot 7$
53	0	-3	-2	3	-322247	461
54	-1	-2	-3	3	$-666507 = -3 \cdot 29 \cdot 47 \cdot 163$	$120 = 2^3 \cdot 3 \cdot 5$
55	-3	0	-2	3	$-673463 = -7 \cdot 23 \cdot 47 \cdot 89$	$776 = 2^3 \cdot 97$
56	-3	-2	-1	3	-707419 = -599 · 1181	$198 = 2 \cdot 3^2 \cdot 11$
57	-3	1	-3	2	-447871 = -227 · 1973	$350 = 2 \cdot 5^2 \cdot 7$
58	-3	2	-3	1	-101923 = -227 · 449	$60 = 2^2 \cdot 3 \cdot 5$
59	-3	-2	-3	1	-480427	$123 = 3 \cdot 41$
60	-2	-3	2	3	-11551	$57 = 3 \cdot 19$
61	-3	-2	2	3	-18463 = -37 · 499	$54 = 2 \cdot 3^3$
62	-3	-2	-2	3	-1338863	$555 = 3 \cdot 5 \cdot 37$
63	2	-3	-3	2	-517243	$121 = 11^2$
64	-3	-3	1	3	-125951 = -7 · 19 · 947	$408 = 2^3 \cdot 3 \cdot 17$
65	3	-3	-3	1	-636991 = -47 · 13553	$608 = 2^5 \cdot 19$
66	-3	3	-3	1	-27007 = -113 · 239	$68 = 2^2 \cdot 17$
67	-3	-3	3	1	-144079 = -13 · 11083	$310 = 2 \cdot 5 \cdot 31$
68	-3	-3	-3	1	-453823	353
69	-3	-3	-3	2	-1264063	$407 = 11 \cdot 37$

We have produced, in Table 1, all extensions of imaginary quadratic fields such as described in the title in the range $-3 \leq a, b, c, d \leq 3$. In Table 2, we have listed a few examples of unramified A_5 -extensions of real quadratic number fields, including Artin’s example which is the first in our table.

Using the formula of Proposition 1, it is a trivial matter to write a computer program which computes $\Delta(f)$ for small values of a, b, c, d . We have carried out the computations for a, b, c, d of absolute value ≤ 3 . We list the results in the subsequent tables. The column headed by h_k contains the class number of k .

TABLE 2. $f = x^5 + ax^3 + bx^2 + cx + d$

no	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	Δf
1	0	0	-1	1	$2863 = 19 \cdot 151$
2	0	1	0	1	$3017 = 7 \cdot 431$
3	0	-1	0	1	$3233 = 53 \cdot 61$
4	1	1	1	1	13033
5	1	1	-1	1	$4897 = 59 \cdot 83$
6	1	-1	1	1	2297
7	-1	1	1	1	1609
8	-1	1	-1	1	$3857 = 7 \cdot 19 \cdot 29$
9	-1	-1	1	1	8329
10	0	0	2	1	11317
11	1	0	-2	1	$2665 = 5 \cdot 13 \cdot 41$
12	-1	0	2	1	3089
13	0	1	1	2	$56245 = 5 \cdot 7 \cdot 1607$
14	0	-1	1	2	62213
15	0	-1	-1	2	$37301 = 11 \cdot 3391$

PROPOSITION 2. In Table 1, we have listed all polynomials $f = x^5 + ax^3 + bx^2 + cx + d \in \mathbb{Z}[x]$ with $-3 \leq a, b, c, d \leq 3$ satisfying the conditions (i), (ii) of Theorem 1 for $m = 5$ and satisfying $\Delta f < 0$.

In Table 2 we have listed a few examples, including Artin's example.

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