



# On the smallest poles of topological zeta functions

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## ABSTRACT

We study the local topological zeta function associated to a complex function that is holomorphic at the origin of  $\mathbb{C}^2$  (respectively  $\mathbb{C}^3$ ). We determine all possible poles less than  $-1/2$  (respectively  $-1$ ). On  $\mathbb{C}^2$  our result is a generalization of the fact that the log canonical threshold is never in  $]5/6, 1[$ . Similar statements are true for the motivic zeta function.

## 1. Introduction

### 1.1

Let  $f$  be the germ of a holomorphic function on a neighbourhood of the origin  $0$  in  $\mathbb{C}^n$  which satisfies  $f(0) = 0$  and which is not identically zero. Let  $g : V \rightarrow U \subset \mathbb{C}^n$  be an embedded resolution of a representative of  $f^{-1}\{0\}$ . We denote by  $E_i$ ,  $i \in T$ , the irreducible components of  $g^{-1}(f^{-1}\{0\})$ , and by  $N_i$  and  $\nu_i - 1$  the multiplicities of  $f \circ g$  and  $g^*(dx_1 \wedge \cdots \wedge dx_n)$  along  $E_i$ . The  $(N_i, \nu_i)$ ,  $i \in T$ , are called the numerical data of the resolution  $(V, g)$ . For  $I \subset T$  denote also  $E_I := \bigcap_{i \in I} E_i$  and  $\overset{\circ}{E}_I := E_I \setminus (\bigcup_{j \notin I} E_j)$ .

The set of germs of holomorphic functions on a neighbourhood of  $0 \in \mathbb{C}^n$  will be denoted by  $\mathcal{O}_n$ .

### 1.2

To  $f$  one associates the local topological zeta function

$$Z_f(s) = Z_{\text{top},0,f}(s) := \sum_{I \subset T} \chi(\overset{\circ}{E}_I \cap g^{-1}\{0\}) \prod_{i \in I} \frac{1}{\nu_i + sN_i}.$$

Here  $s$  is a complex variable and  $\chi(\cdot)$  denotes the topological Euler–Poincaré characteristic. The remarkable fact that  $Z_f(s)$  does not depend on the chosen resolution was first proved in [DL92] by expressing it as a limit of Igusa’s  $p$ -adic zeta functions.

### 1.3

The log canonical threshold  $c_0(f)$  of  $f$  at  $0 \in \mathbb{C}^n$  is by definition

$$\sup\{c \in \mathbb{Q} \mid \text{the pair } (\mathbb{C}^n, c \operatorname{div} f) \text{ is log canonical in a neighbourhood of } 0\}.$$

We can describe it (see [Kol97, Proposition 8.5]) in terms of the embedded resolution  $(V, g)$  as  $c_0(f) = \min\{\nu_i/N_i \mid i \in T\}$ . In particular, this minimum is independent of the chosen resolution. Consequently,  $-c_0(f)$  is the largest candidate pole of  $Z_f(s)$ . The log canonical threshold has already been studied in various papers of Alexeev, Ein, Kollár, Kuwata, Mustață, Prokhorov, Reid, Shokurov

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and others; in particular, the sets

$$\mathcal{T}_n := \{c_0(f) \mid f \in \mathcal{O}_n\},$$

with  $n \in \mathbb{Z}_{>0}$ , have been the subject of interesting conjectures.

It is natural to investigate whether more quotients  $-\nu_i/N_i$ ,  $i \in T$ , yield invariants of the germ of  $f$  at 0. Of course, the whole set  $\{-\nu_i/N_i \mid i \in T\}$  depends on the chosen resolution (for  $n = 2$ , however, one could consider such a set associated to the minimal resolution), but its subset consisting of the poles of  $Z_f(s)$  is an invariant of  $f$ . Philosophically, these poles are induced by ‘important’ components  $E_i$ , which occur in every resolution. For  $n \in \mathbb{Z}_{>0}$ , we define the set  $\mathcal{P}_n$  by

$$\mathcal{P}_n := \{s_0 \mid \exists f \in \mathcal{O}_n : Z_f(s) \text{ has a pole in } s_0\}.$$

The case  $n = 1$  is trivial:  $\mathcal{T}_1 = \{1/i \mid i \in \mathbb{Z}_{>0}\}$  and  $\mathcal{P}_1 = \{-1/i \mid i \in \mathbb{Z}_{>0}\}$ .

### 1.4

When  $n = 2$ , it is known that  $\mathcal{T}_2 \cap ]5/6, 1[ = \emptyset$  (see [Rei80]). Because it follows from [Vey95] that  $-c_0(f)$  is a pole (and thus the largest pole) of  $Z_f(s)$ , the statement  $\mathcal{P}_2 \cap ]-1, -5/6[ = \emptyset$  would be a remarkable generalization; it is in fact not hard to prove. In this article, we will prove more:

$$\begin{aligned} \mathcal{P}_2 \cap ]-\infty, -1/2[ &= \{-1/2 - 1/i \mid i \in \mathbb{Z}_{>1}\} \\ &= \{-1, -5/6, -3/4, -7/10, \dots\}. \end{aligned} \tag{1}$$

### 1.5

Kollár proved in [Kol94] that  $\mathcal{T}_3 \cap ]41/42, 1[ = \emptyset$ . It turns out that there is no analogous result for  $\mathcal{P}_3$ . In fact, we will give examples of zeta functions with poles in  $] -1, -41/42[$  which are, moreover, arbitrarily near to  $-1$ . On the other hand, we prove the analogue of (1), which appears to be

$$\mathcal{P}_3 \cap ]-\infty, -1[ = \{-1 - 1/i \mid i \in \mathbb{Z}_{>1}\}. \tag{2}$$

In general, we expect that  $\mathcal{P}_n \cap ]-\infty, -(n-1)/2[ = \{-(n-1)/2 - 1/i \mid i \in \mathbb{Z}_{>1}\}$ .

*Remark.* One can easily show that  $\mathcal{P}_n \cap ]-\infty, -n+1[ = \emptyset$  if  $n \geq 2$ .

## 2. Curves

### 2.1

We will determine  $\mathcal{P}_2 \cap ]-\infty, -1/2[$ . Let  $f$  be the germ of a holomorphic function on a neighbourhood of the origin 0 in  $\mathbb{C}^2$  which satisfies  $f(0) = 0$  and which is not identically zero. Let  $(V, g)$  be the *minimal* embedded resolution of  $f^{-1}\{0\}$ . Write  $g = g_1 \circ \dots \circ g_t$  as a composition of blowing-ups  $g_i$ ,  $i \in T_e := \{1, \dots, t\}$ . The exceptional curve of  $g_i$  and also the strict transforms of this curve are denoted by  $E_i$ . The irreducible components of  $f^{-1}\{0\}$  and their strict transforms are denoted by  $E_j$ ,  $j \in T_s$ .

### 2.2

The dual (minimal) embedded resolution graph of  $f^{-1}\{0\}$  is obtained as follows. One associates a vertex to each exceptional curve in the minimal embedded resolution (represented by a dot), and to each branch of the strict transform of  $f^{-1}\{0\}$  (represented by a circle). One also associates to each intersection an edge, connecting the corresponding vertices. The fact that  $E_i$  has numerical data  $(N_i, \nu_i)$  is denoted by  $E_i(N_i, \nu_i)$ .

**2.3**

Let  $E_i$  be an exceptional curve and let  $E_j, j \in J$ , be the components that intersect  $E_i$  in  $V$ . Set  $\alpha_j = \nu_j - (\nu_i/N_i)N_j$  for  $j \in J$ . Then we have the relation

$$\sum_{j \in J} (\alpha_j - 1) + 2 = 0, \tag{3}$$

which was first proved by Loeser in [Loe98], and later more conceptually by the second author in [Vey91a].

Suppose that  $\alpha_j \neq 0$ , which is equivalent to  $-\nu_i/N_i \neq -\nu_j/N_j$ , for all  $j \in J$ . Then one computes easily that the contribution  $\mathcal{R}$  of  $E_i$  to the residue of  $Z_f(s)$  at the candidate pole  $-\nu_i/N_i$  is

$$\frac{1}{N_i} \left( \chi(E_{\{i\}}^\circ) + \sum_{j \in J} \alpha_j^{-1} \right) \tag{4}$$

(see [Vey95, § 2.3]). From (3) and (4) it follows that  $\mathcal{R} = 0$  if  $J$  contains one or two elements. This is the easy part of the following theorem. The other part is more difficult and is proved in [Vey95].

**THEOREM 2.4.** *We have that  $s_0$  is a pole of  $Z_f(s)$  if and only if  $s_0 = -\nu_i/N_i$  for some exceptional curve  $E_i$  intersecting at least three times other components, or  $s_0 = -1/N_j$  for some irreducible component  $E_j$  of the strict transform of  $f^{-1}\{0\}$ .*

The following lemma is obtained by elementary calculations.

**LEMMA 2.5.** *Suppose that we have blown up  $k$  times but we do not yet have an embedded resolution. Let  $P$  be a point of the strict transform of  $f^{-1}\{0\}$  with multiplicity  $\mu$  in which we do not yet have normal crossings. Let  $g_{k+1}$  be the blowing-up at  $P$ .*

- a) *Suppose that two exceptional curves  $E_i$  and  $E_j$  contain  $P$ . Then the new candidate pole  $-\nu_{k+1}/N_{k+1} = -(\nu_i + \nu_j)/(N_i + N_j + \mu)$  is larger than  $\min\{-\nu_i/N_i, -\nu_j/N_j\}$ .*
- b) *Suppose that exactly one exceptional curve  $E_i$  contains  $P$  and that  $\mu \geq 2$ . Then  $E_{k+1}$  has numerical data  $(N_i + \mu, \nu_i + 1)$  and  $-(\nu_i + 1)/(N_i + \mu)$  is between  $-1/\mu$  and  $-\nu_i/N_i$ .*
- c) *Suppose that exactly one exceptional curve  $E_i$  contains  $P$  and that  $\mu = 1$ . Note that the two curves are tangent at  $P$  because we do not have normal crossings at  $P$ . Let  $g_{k+2}$  be the blowing-up at  $E_i \cap E_{k+1}$ . Because the strict transform of  $f^{-1}\{0\}$  does not intersect  $E_{k+1}$  after this blowing-up, we no longer have to blow up at a point of  $E_{k+1}$ . Because  $E_{k+1}$  is intersected once, it follows from § 2.3 that the contribution of  $E_{k+1}$  to the residue at the candidate pole  $-\nu_{k+1}/N_{k+1}$  is zero. The numerical data of  $E_{k+2}$  are  $(2N_i + 2, 2\nu_i + 1)$ , and  $-(2\nu_i + 1)/(2N_i + 2)$  is between  $-1/2$  and  $-\nu_i/N_i$ .*

**2.6**

Suppose that after some blowing-ups we do not have normal crossings at a point  $P$ . Suppose also that the candidate poles associated to the exceptional curves through  $P$  are all larger than or equal to  $-1/2$ . Then it follows from the above lemma that the components above  $P$  in the final resolution do not give a contribution to a pole less than  $-1/2$ .

**COROLLARY.** *Zeta functions of singularities of multiplicity at least four do not have a pole in  $]-\infty, -1/2[ \setminus \{-1\}$ .*

Indeed, every exceptional curve in the minimal embedded resolution of  $f^{-1}\{0\}$  lies above a point of  $E_1$  (considered in the stage when it is created), which has a candidate pole larger than or equal to  $-1/2$ .

2.7

If  $f \in \mathcal{O}_2$  has multiplicity two or three, we will use the Weierstrass preparation theorem and coordinate transformations to obtain an ‘easier’ element of  $\mathcal{O}_2$  with the same zeta function.

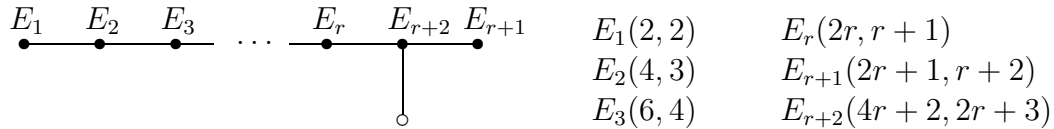
We illustrate this in the case that  $f \in \mathcal{O}_2$  has multiplicity three and the homogeneous part of degree three of  $f$  is  $f_3 = y^3 + xy^2 = y^2(y + x)$ . According to the Weierstrass preparation theorem, we have that  $f = (y^3 + a_1(x)y^2 + a_2(x)y + a_3(x))h(x, y)$ , with  $\text{mult}(a_1(x)) = 1$ ,  $\text{mult}(a_2(x)) \geq 3$ ,  $\text{mult}(a_3(x)) \geq 4$  and  $h(0, 0) \neq 0$ . Because  $h(0, 0) \neq 0$ , the resolutions and the local topological zeta functions of  $f$  and  $y^3 + a_1(x)y^2 + a_2(x)y + a_3(x)$  are the same. One can check that there exists a coordinate transformation  $(x, y) \mapsto (x, y - k(x))$  such that the last function becomes of the form  $y^3 + b_1(x)y^2 + b_3(x)$ , with  $\text{mult}(b_1(x)) = 1$  and  $\text{mult}(b_3(x)) \geq 4$ . After another coordinate transformation, we get the form  $y^3 + xy^2 + g(x)$ , with  $\text{mult}(g(x)) \geq 4$ .

THEOREM 2.8. We have

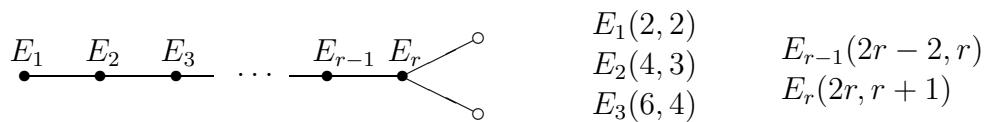
$$\mathcal{P}_2 \cap \left] -\infty, -\frac{1}{2} \right[ = \left\{ -\frac{1}{2} - \frac{1}{i} \mid i \in \mathbb{Z}_{>1} \right\}$$

and every local topological zeta function has at most one pole in  $] -1, -1/2]$ .

*Proof.* a) Suppose that  $\text{mult}(f)$ , the multiplicity of  $f$  at the origin of  $\mathbb{C}^2$ , is equal to two. Then  $f$  is holomorphically equivalent to  $y^2$  or  $y^2 + x^k$  for some  $k \in \mathbb{Z}_{>1}$ . If it is  $y^2$ , the only pole of  $Z_f(s)$  is  $-1/2$ . If  $k = 2$ , the only pole of  $Z_f(s)$  is  $-1$ . If  $k$  is odd, write  $k = 2r + 1$ . After  $r$  blowing-ups, the strict transform of  $f^{-1}\{0\}$  is non-singular and tangent to  $E_r$ . The numerical data of  $E_i, i = 1, \dots, r$ , are  $(2i, i + 1)$ . To get the minimal embedded resolution, we now blow up twice. The dual resolution graph and the numerical data are given below:



If  $k$  is even and larger than 2, write  $k = 2r$ . Easy calculations give the following dual resolution graph:



Because  $-(2r + 3)/(4r + 2) = -1/2 - 1/(2r + 1)$  and  $-(r + 1)/(2r) = -1/2 - 1/(2r)$ , it follows from Theorem 2.4 that

$$\{s_0 \mid \exists f \in \mathcal{O}_2 : \text{mult}(f) = 2 \text{ and } Z_f(s) \text{ has a pole in } s_0\} = \left\{ -\frac{1}{2} - \frac{1}{i} \mid i \in \mathbb{Z}_{>1} \right\} \cup \left\{ -\frac{1}{2} \right\}.$$

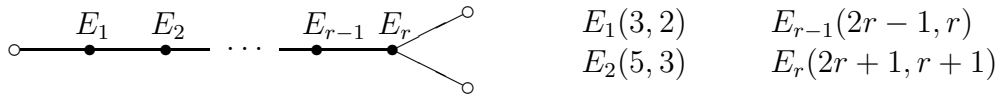
Note that Newton polyhedra could also be used to deal with item a, see [DL92].

b) Suppose that  $\text{mult}(f) = 3$ . Up to an affine coordinate transformation, there are three cases for  $f_3$ .

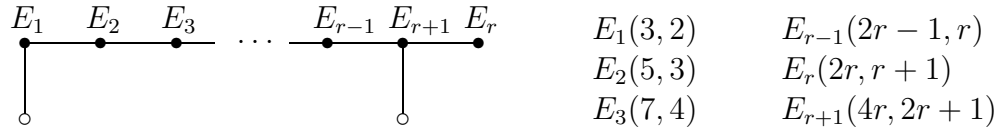
b.1) Case  $f_3 = xy(x + y)$ . After one blowing-up we get an embedded resolution. The poles of  $Z_f(s)$  are  $-1$  and  $-2/3 = -1/2 - 1/6$ .

b.2) Case  $f_3 = y^2(y + x)$ . According to § 2.7, we may suppose that  $f = y^3 + xy^2 + g(x)$ , where  $g(x)$  is a holomorphic function in the variable  $x$  of multiplicity  $k \geq 4$ . If  $g(x) = 0$ , the poles of  $Z_f(s)$  are  $-1$  and  $-1/2$ . Now consider the case when  $k$  is odd. Write  $k = 2r + 1$ . After  $r$  blowing-ups we

get an embedded resolution with the following dual resolution graph and numerical data:



If  $k$  is even, write  $k = 2r$ . After  $r + 1$  blowing-ups we get the following picture:



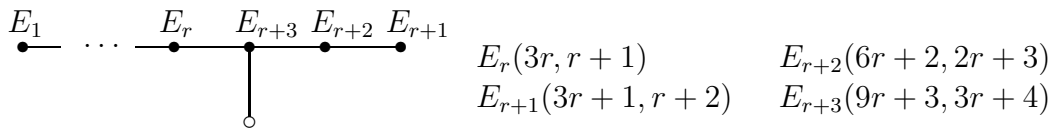
The poles appearing in case b.2 are in the desired set because  $-(r + 1)/(2r + 1) = -1/2 - 1/(4r + 2)$  and  $-(2r + 1)/(4r) = -1/2 - 1/(4r)$ .

b.3) Case  $f_3 = y^3$ . We may suppose that  $f$  is of the form

$$y^3 + a_4x^4 + b_3yx^3 + a_5x^5 + b_4yx^4 + a_6x^6 + b_5yx^5 + \dots,$$

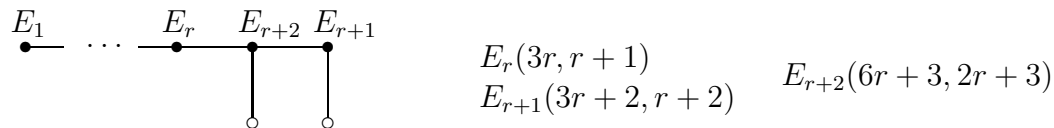
where  $a_i, b_i \in \mathbb{C}$ . If  $f = f_3 = y^3$  then the only pole of  $Z_f(s)$  is  $-1/3$ . Otherwise there is an integer  $r \geq 1$  such that after blowing up  $r$  times and always taking the charts determined by  $g_i(x, y) = (x, xy)$ , we get  $(g_1 \circ \dots \circ g_r)^* dx \wedge dy = x^r dx \wedge dy$  and  $f \circ g_1 \circ \dots \circ g_r = x^{3r}(y^3 + a_{3r+1}x + b_{2r+1}yx + a_{3r+2}x^2 + b_{2r+2}yx^2 + a_{3r+3}x^3 + \dots)$ , with  $a_{3r+1}, b_{2r+1}, a_{3r+2}, b_{2r+2}$  and  $a_{3r+3}$  not all zero. The equation of  $E_r$  in this chart is  $x = 0$  and the numerical data of  $E_r$  are  $(3r, r + 1)$ . The zero locus of  $y^3 + a_{3r+1}x + b_{2r+1}yx + a_{3r+2}x^2 + b_{2r+2}yx^2 + a_{3r+3}x^3 + \dots$  is the strict transform of  $f^{-1}\{0\}$ . Note that it only intersects  $E_r$  at this stage.

b.3.i) If  $a_{3r+1} \neq 0$ , we obtain the following after blowing up three more times:



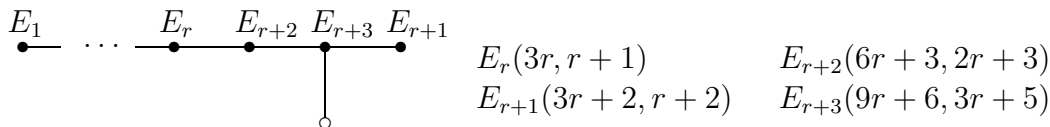
The pole  $-(3r + 4)/(9r + 3)$  is in the interval  $]-\infty, -1/2]$  if and only if  $r = 1$ , and in this case the pole is equal to  $-1/2 - 1/12$ .

b.3.ii) If  $a_{3r+1} = 0$  and  $b_{2r+1} \neq 0$ , calculations give us the following data:



The pole  $-(2r + 3)/(6r + 3)$  is in the interval  $]-\infty, -1/2]$  if and only if  $r = 1$ , and in this case the pole is equal to  $-1/2 - 1/18$ .

b.3.iii) If  $a_{3r+1} = b_{2r+1} = 0$  and  $a_{3r+2} \neq 0$ , we get the following:



The pole  $-(3r + 5)/(9r + 6)$  is in the interval  $]-\infty, -1/2]$  if and only if  $r = 1$ , and in this case the pole is equal to  $-1/2 - 1/30$ .

b.3.iv) The last case is  $a_{3r+1} = b_{2r+1} = a_{3r+2} = 0$  and  $(b_{2r+2} \neq 0$  or  $a_{3r+3} \neq 0)$ . If  $y^3 + b_{2r+2}yx^2 + a_{3r+3}x^3$  is a product of three distinct linear factors, we get an embedded resolution after one blowing-up. The numerical data of  $E_{r+1}$  are  $(3r + 3, r + 2)$  and  $-(r + 2)/(3r + 3) \notin ]-\infty, -1/2]$ .

If  $y^3 + b_{2r+2}yx^2 + a_{3r+3}x^3$  is not a product of three distinct linear factors, then it is equal to  $y^3 + xy^2$  after an affine coordinate transformation that does not change the equation  $x = 0$  of  $E_r$ . Let  $g_{r+1}$  be the blowing-up at the origin of the chart we consider. The strict transform of  $f^{-1}\{0\}$  only intersects the exceptional curve  $E_{r+1}$ , which has numerical data  $(3r+3, r+2)$ . Because  $-(r+2)/(3r+3) \geq -1/2$  for all  $r$ , it follows from Theorem 2.4 and § 2.6 that  $Z_f(s)$  has no pole in  $]-\infty, -1/2[$  different from  $-1$ .

c) Suppose that  $\text{mult}(f) \geq 4$ . We explained in § 2.6 that  $Z_f(s)$  has no pole in  $]-\infty, -1/2[$  different from  $-1$ . □

**2.9**

We now present a similar result for the following generalized zeta functions [DL92]. The case  $d = 2$  is used in the next section. To  $f \in \mathcal{O}_n$  and  $d \in \mathbb{Z}_{>0}$  one associates the local topological zeta function

$$Z_f^{(d)}(s) = Z_{\text{top},0,f}^{(d)}(s) := \sum_{\substack{I \subset T \\ \forall i \in I: d|N_i}} \chi(\overset{\circ}{E}_I \cap g^{-1}\{0\}) \prod_{i \in I} \frac{1}{\nu_i + sN_i}.$$

For  $n, d \in \mathbb{Z}_{>0}$ , we set

$$\mathcal{P}_n^{(d)} := \{s_0 \mid \exists f \in \mathcal{O}_n : Z_f^{(d)}(s) \text{ has a pole in } s_0\}.$$

Consequently,  $Z_f(s) = Z_f^{(1)}(s)$  and  $\mathcal{P}_n = \mathcal{P}_n^{(1)}$ .

**2.10**

Let  $E_i$  be an exceptional curve and let  $E_j, j \in J$ , be the components that intersect  $E_i$  in  $V$ . Then

$$\sum_{j \in J} N_j \equiv 0 \pmod{N_i}, \tag{5}$$

see, e.g., [Loe98] or [Vey91b]. Fix  $d \in \mathbb{Z}_{>0}$  and suppose that  $d|N_i$ . Let  $J_d \subset J$  be the subset of indices  $j$  satisfying  $d|N_j$ . Suppose that  $\alpha_j := \nu_j - (\nu_j/N_i)N_j$  is different from 0 for all  $j \in J_d$ . Then the contribution  $\mathcal{R}$  of  $E_i$  to the residue of  $Z_f^{(d)}(s)$  at the candidate pole  $-\nu_i/N_i$  is

$$\frac{1}{N_i} \left( \chi(E_{\{i\}}^\circ) + \sum_{j \in J_d} \alpha_j^{-1} \right). \tag{6}$$

This contribution is zero if  $J$  contains one or two indices. Indeed, if  $J$  contains one element, relation (5) implies that  $J = J_d$ . Therefore, the contribution  $\mathcal{R}$  is the same as in the case  $d = 1$  and by § 2.3 we get  $\mathcal{R} = 0$ . If  $J$  contains two elements, relation (5) implies that  $J_d = J$  or  $J_d = \emptyset$ . If  $J_d = J$ , we obtain  $\mathcal{R} = 0$  analogously as in the previous case. If  $J_d = \emptyset$ , we get  $\mathcal{R} = 0$  because the Euler–Poincaré characteristic of a projective line minus two points is zero.

**THEOREM 2.11.** *Let  $d \in \mathbb{Z}_{>1}$ . Then*

$$\mathcal{P}_2^{(d)} \cap ]-\infty, -\frac{1}{2}[ \subset \left\{ -\frac{1}{2} - \frac{1}{i} \mid i \in \mathbb{Z}_{>1} \right\}.$$

*Proof.* This follows from the proof of Theorem 2.8 and from § 2.10. □

*Remark.* If one carries out a lot of calculations, one can check that

$$\mathcal{P}_2^{(d)} \cap ]-\infty, -\frac{1}{2}[ = \left\{ -\frac{1}{2} - \frac{1}{i} \mid i \in \mathbb{Z}_{>2} \text{ and } d|\text{lcm}(2, i) \right\}$$

if  $d \in \mathbb{Z}_{>1}$ . However, we do not need this in the next section.

### 3. Surfaces

In this section, we prove the following theorem.

**THEOREM 3.0.** *We have*

$$\mathcal{P}_3 \cap ]-\infty, -1[ = \left\{ -1 - \frac{1}{i} \mid i \in \mathbb{Z}_{>1} \right\}.$$

Moreover, if  $f \in \mathcal{O}_3$  has multiplicity three or more, then  $Z_f(s)$  has no pole less than  $-1$ .

*Remark.* i) It is *a priori* not obvious that the smallest value of  $\mathcal{P}_3$  is  $-3/2$ . This is in contrast to the fact that it easily follows from Lemma 2.5 that the smallest value of  $\mathcal{P}_2$  is  $-1$ .

ii) In § 3.3.9 we give functions  $f_k \in \mathcal{O}_3$  of arbitrary multiplicity such that  $Z_{f_k}(s)$  has a pole in  $s_k$ , where  $(s_k)_k$  is a sequence of real numbers larger than  $-1$  and converging to  $-1$ . In particular,  $\mathcal{P}_3 \cap ]-1, -41/42[ \neq \emptyset$ , which is in contrast to  $\mathcal{T}_3 \cap ]41/42, 1[ = \emptyset$ .

#### 3.1 On candidate poles which are not poles

3.1.1 Let  $f$  be the germ of a holomorphic function on a neighbourhood of the origin  $0$  in  $\mathbb{C}^3$  which satisfies  $f(0) = 0$  and which is not identically zero. Let  $Y$  be the zero set of  $f$ . Fix an embedded resolution  $g : X_t \rightarrow X_0 \subset \mathbb{C}^3$  for  $Y$  which is an isomorphism outside the singular locus of  $Y$  and which is a composition  $g_1 \circ \dots \circ g_t$  of blowing-ups  $g_i : X_i \rightarrow X_{i-1}$  with irreducible non-singular centre  $D_{i-1}$  and exceptional variety  $E_i^{(0)}$  satisfying for  $i = 0, \dots, t - 1$ :

- a) the codimension of  $D_i$  in  $X_i$  is at least two;
- b)  $D_i$  is a subset of the strict transform of  $Y$  under  $g_1 \circ \dots \circ g_i$ ;
- c) the union of the exceptional varieties of  $g_1 \circ \dots \circ g_i$  has only normal crossings with  $D_i$ , i.e., for all  $P \in D_i$ , there are three surface germs through  $P$  which are in normal crossings such that each exceptional surface germ through  $P$  is one of them and such that the germ of  $D_i$  at  $P$  is the intersection of some of them;
- d) the origin  $0$  of  $\mathbb{C}^3$  is an element of  $(g_1 \circ \dots \circ g_i)D_i$ ; and
- e)  $D_i$  contains a point in which  $(g_1 \circ \dots \circ g_i)^{-1}Y$  has not normal crossings.

Note that such a resolution always exists by Hironaka’s theorem [Hir64].

3.1.2 Fix an exceptional variety  $E_i^{(0)}$ . The strict transform  $E_i$  of  $E_i^{(0)}$  in  $X_t$  is obtained by a finite succession of blowing-ups  $h_j, j \in T_e := \{1, \dots, m\}$ ,

$$E_i^{(0)} \xleftarrow{h_1} E_i^{(1)} \xleftarrow{h_2} \dots \xleftarrow{h_j} E_i^{(j)} \dots \xleftarrow{h_{m-1}} E_i^{(m-1)} \xleftarrow{h_m} E_i^{(m)} = E_i$$

with centre  $P_{j-1} \in E_i^{(j-1)}$  and exceptional curve  $C_j^{(j)}$ . The irreducible components of the intersection of  $E_i^{(0)}$  with irreducible components of  $(g_1 \circ \dots \circ g_i)^{-1}Y$  different from  $E_i^{(0)}$  are denoted by  $C_j^{(0)}, j \in T_s$ . The strict transform of  $C_j^{(k)}$  in  $E_i^{(l)}$  is denoted (whenever this makes sense) by  $C_j^{(l)}$  and we set  $C_j = C_j^{(m)}$ . Note that  $h := h_1 \circ \dots \circ h_m$  is an embedded resolution of  $\bigcup_{j \in T_s} C_j^{(0)}$ . For each  $j \in T := T_s \cup T_e$  the curve  $C_j$  is an irreducible component of the intersection of  $E_i$  with exactly one other component of  $g^{-1}Y$ . Let this component have numerical data  $(N_k, \nu_k)$  and set  $\alpha_j = \nu_k - (\nu_i/N_i)N_k$ .

3.1.3 Suppose that  $E_i^{(0)} \subset (g_1 \circ \dots \circ g_i)^{-1}\{0\}$  and that  $\alpha_j \neq 0$  for every  $j \in T$ . The contribution  $\mathcal{R}$  of  $E_i$  to the residue of  $Z_f(s)$  at the candidate pole  $-\nu_i/N_i$  is

$$\frac{1}{N_i} \left( \sum_{I \subset T} \chi(\overset{\circ}{C}_I) \prod_{j \in I} \alpha_j^{-1} \right), \tag{7}$$

where  $\overset{\circ}{C}_I$  denotes the subset  $(\bigcap_{j \in I} C_j) \setminus (\bigcup_{j \notin I} C_j)$  of  $E_i$ . Note that  $\overset{\circ}{C}_\emptyset = E_i \setminus (\bigcup_{j \in T} C_j)$ . We now state some relations between the  $\alpha_j$ , which will allow us to prove that this contribution is identically zero (i.e. zero for any value of the alphas) for many intersection configurations on  $E_i^{(0)}$ .

3.1.4 To the creation of  $E_i^{(0)} \subset (g_1 \circ \dots \circ g_i)^{-1}\{0\}$  in the resolution process we associate the relation

$$\sum_{j \in T_s} d_j(\alpha_j - 1) + 3 - \dim D_{i-1} = 0, \tag{8}$$

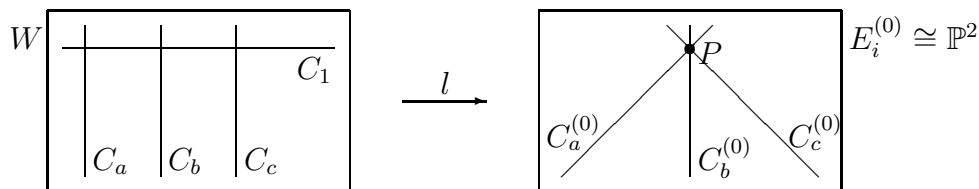
where  $d_j, j \in T_s$ , is the degree of the intersection cycle  $C_j^{(0)} \cdot F$  on  $F$  for a general fibre  $F$  of  $g_i|_{E_i^{(0)}} : E_i^{(0)} \rightarrow D_{i-1}$  over a point of  $D_{i-1}$ . In particular, when  $D_{i-1}$  is a point, we have that  $E_i^{(0)} \cong \mathbb{P}^2$  and that  $d_j$  is just the degree of the curve  $C_j^{(0)}$ . To the blowing-up  $h_j$  we associate the relation

$$\alpha_j = \sum_{k \in T_s \cup \{1, \dots, j-1\}} \mu_k(\alpha_k - 1) + 2, \tag{9}$$

where  $\mu_k, k \in T_s \cup \{1, \dots, j-1\}$ , is the multiplicity of  $P_{j-1}$  on  $C_k^{(j-1)}$ . See [Vey91a] for more general statements in arbitrary dimensions and for proofs.

3.1.5 Now we proceed in the same way as in [Vey93] for Igusa’s  $p$ -adic zeta function. One can easily verify that the number (7) does not change when we do an extra blowing-up  $h_{m+1}$  at a point  $P_m \in E_i^{(m)}$  and when we associate to the new exceptional curve a number  $\alpha$  using (9). Because of this observation, one can compute  $\mathcal{R}$  if one has the curves  $C_j^{(0)}, j \in T_s$ , on  $E_i^{(0)}$  together with the associated values  $\alpha_j$  as follows. Compute the *minimal* embedded resolution of  $\bigcup_{j \in T_s} C_j^{(0)}$  and compute the alpha associated to an exceptional curve using (9). By putting these data in (7), we get  $\mathcal{R}$ .

*Example 3.1.6.* Suppose that  $E_i^{(0)}$  is the exceptional variety of a blowing-up at a point and suppose that the intersection configuration on  $E_i^{(0)}$  consists of three projective lines  $C_j^{(0)}, j \in T_s := \{a, b, c\}$ , all passing through the same point  $P$ . Suppose that  $\alpha_j \neq 0$  for all  $j \in T$ . The minimal embedded resolution  $l : W \rightarrow E_i^{(0)}$  is the blowing-up at  $P$ . By abuse of notation, we denote the exceptional curve by  $C_1$  and the strict transform of  $C_j^{(0)}, j \in T_s$ , by  $C_j$ :



By relations (8) and (9) we have  $\alpha_a + \alpha_b + \alpha_c = 0$  and  $\alpha_1 = \alpha_a + \alpha_b + \alpha_c - 1 = -1$ , respectively. Now we can calculate the contribution  $\mathcal{R}$  of the strict transform of  $E_i^{(0)}$  in  $X_t$  to the residue of



$Z_f(s)$  at the candidate pole  $-\nu_i/N_i$ :

$$\begin{aligned} \mathcal{R} &= \frac{1}{N_i} \left( \sum_{I \subset T} \chi(\overset{\circ}{C}_I) \prod_{j \in I} \alpha_j^{-1} \right) \\ &= \frac{1}{N_i} \left( -1 - \frac{1}{\alpha_1} + \frac{1}{\alpha_a} + \frac{1}{\alpha_b} + \frac{1}{\alpha_c} + \frac{1}{\alpha_1 \alpha_a} + \frac{1}{\alpha_1 \alpha_b} + \frac{1}{\alpha_1 \alpha_c} \right) \\ &= 0. \end{aligned}$$

We stress that  $\mathcal{R}$  is zero for any possible values of  $\alpha_a, \alpha_b$  and  $\alpha_c$ .

### 3.2 Multiplicity two

3.2.1 Let  $f$  be the germ of a holomorphic function on a neighbourhood of the origin 0 in  $\mathbb{C}^n$  which satisfies  $f(0) = 0$ , and let  $F$  be the germ of the holomorphic function  $f + x_{n+1}^2$  on a neighbourhood of the origin 0 in  $\mathbb{C}^{n+1}$ . Then the following equality is obtained in [ACLM02], see also the Thom–Sebastiani principle in [DL99]:

$$Z_F(s) = \frac{1}{2s + 1} + \frac{s(2s + 3)}{2(s + 1)(2s + 1)} Z_f \left( s + \frac{1}{2} \right) - \frac{3s}{2(s + 1)} Z_f^{(2)} \left( s + \frac{1}{2} \right).$$

PROPOSITION 3.2.2. *The set*

$$\{s_0 \mid \exists f \in \mathcal{O}_3 : \text{mult}(f) = 2 \text{ and } Z_f(s) \text{ has a pole in } s_0\} \cap ]-\infty, -1[$$

is equal to

$$\left\{ -1 - \frac{1}{i} \mid i \in \mathbb{Z}_{>1} \right\}.$$

*Proof.* Let  $f$  be an element of  $\mathcal{O}_3$  with multiplicity two. Up to an affine coordinate transformation, the part of degree two in the Taylor series of  $f$  is equal to  $x^2, x^2 + y^2$  or  $x^2 + y^2 + z^2$ . Using § 2.7, we may suppose that  $f$  is of the form  $x^2 + g(y, z)$  with  $g(y, z) \in \mathcal{O}_2$ . The formula in Paragraph 3.2.1 and the result for curves imply that every pole of  $Z_f(s)$  less than  $-1$  is of the form  $-1 - 1/i, i \in \mathbb{Z}_{>1}$ . For the other inclusion, we remark that the poles of the local topological zeta function associated to  $x^2 + y^2 + z^i, i \geq 2$ , are  $-1 - 1/i$  and  $-1$ . □

3.2.3 Our next goal is to give a sequence of poles larger than  $-1$  and converging to  $-1$ . Keeping in mind the formula in Paragraph 3.2.1, we try to find functions  $f_k \in \mathcal{O}_2$  such that  $Z_{f_k}(s)$  has a pole in  $s_k$ , where  $(s_k)_k$  is a sequence of real numbers larger than  $-1/2$  and converging to  $-1/2$ . Set  $f_k = x^3 y^2 + x^k$  for  $k \geq 5$ .

We obtain the following equalities after some calculations:

$$\begin{aligned} Z_{f_{2r+4}}(s) &= \frac{3s^2 + 2rs + 8s + 2r + 3}{(4rs + 8s + 2r + 3)(3s + 1)(s + 1)}, & Z_{f_{2r+4}}^{(2)}(s) &= \frac{1}{4rs + 8s + 2r + 3}, \\ Z_{f_{2r+3}}(s) &= \frac{3s^2 - rs - 2s - r - 1}{(2rs + 3s + r + 1)(3s + 1)(s + 1)}, & Z_{f_{2r+3}}^{(2)}(s) &= 0. \end{aligned}$$

Now we use the formula in Paragraph 3.2.1 to calculate the local topological zeta function of  $F_k := f_k + z^2$ . We obtain for even and odd  $k$  that

$$Z_{F_k}(s) = \frac{(6k - 6)s^2 + (15k - 5)s + 10k - 5}{(6s + 5)(s + 1)(2ks + 2k - 1)}.$$

Finally, we make the substitution  $s = -(2k - 1)/(2k)$  in the numerator in order to check that this value, which converges to  $-1$  if  $k$  goes to infinity, is a pole. We obtain

$$\frac{(k - 1)(k - 3)(2k - 1)}{2k^2}.$$

This value never becomes zero because  $k \geq 5$ . Consequently,  $-(2k - 1)/(2k)$  is always a pole of  $Z_{F_k}(s)$ .

*Remark.* In particular, we obtain that  $\mathcal{P}_3 \cap ]-1, -41/42[ \neq \emptyset$ , which is in contrast to  $\mathcal{T}_3 \cap ]41/42, 1[ = \emptyset$ .

### 3.3 Multiplicity larger than two

3.3.1 Let  $f$  be the germ of a holomorphic function on a neighbourhood of the origin  $0$  in  $\mathbb{C}^3$  which satisfies  $f(0) = 0$  and which is not identically zero. Let  $Y$  be the zero set of  $f$ . Fix an embedded resolution  $g$  for  $Y$  which is a composition of blowing-ups  $g_{ij} : X_i \rightarrow X_j$  with irreducible non-singular centre  $D_j$  and exceptional surface  $E_i$  as in Paragraph 3.1.1. Denote the irreducible components of  $Y$  by  $E_i, i \in T_s$ . The strict transform of a variety  $E_i$  by a succession of blowing-ups will be denoted in the same way. The numerical data of  $E_i$  are  $(N_i, \nu_i)$ .

3.3.2 The following table gives the numerical data of  $E_i$ . In the columns, the dimension of  $D_j$  is kept fixed. In the rows, the number of exceptional surfaces through  $D_j$  is kept fixed. So  $E_k, E_l$  and  $E_m$  represent exceptional surfaces that contain  $D_j$ . The multiplicity of  $D_j$  on the strict transform of  $Y$  is denoted by  $\mu_{D_j}$ .

	$D_j$ is a point $P$	$D_j$ is a curve $L$
/	$(\mu_P, 3)$	$(\mu_L, 2)$
$E_k$	$(N_k + \mu_P, \nu_k + 2)$	$(N_k + \mu_L, \nu_k + 1)$
$E_k$ and $E_l$	$(N_k + N_l + \mu_P, \nu_k + \nu_l + 1)$	$(N_k + N_l + \mu_L, \nu_k + \nu_l)$
$E_k, E_l$ and $E_m$	$(N_k + N_l + N_m + \mu_P, \nu_k + \nu_l + \nu_m)$	/

LEMMA 3.3.3. Suppose that  $\text{mult}(f) \geq 3$ . If there is no exceptional surface through  $D_j$ , then  $-\nu_i/N_i \geq -1$ .

*Proof.* The case that the centre  $D_j$  is a point  $P$  through which no exceptional surface passes can only occur in the first blowing-up because of condition d in Paragraph 3.1.1 and because the inverse image of  $0$  in  $X_j$  is contained in the union of the exceptional surfaces in  $X_j$ . Since  $\text{mult}(f) \geq 3$ , we have in this case  $-\nu_i/N_i = -3/\mu_P = -3/\text{mult}(f) \geq -1$ .

If the centre  $D_j$  is a curve  $L$  contained in no exceptional surface, then  $\mu_L \geq 2$  because our embedded resolution is an isomorphism outside the singular locus of  $Y$ . Consequently, we get in this case  $-\nu_i/N_i = -2/\mu_L \geq -1$ . □

3.3.4 Suppose that  $D_j$  is contained in at least one exceptional surface and that the candidate poles associated to the exceptional surfaces that pass through  $D_j$  are larger than or equal to  $-1$ . Then the table in Paragraph 3.3.2 implies that also  $-\nu_i/N_i \geq -1$ , unless  $D_j$  is a non-singular point  $P$  of the strict transform of  $Y$  through which only one exceptional surface  $E_0$  passes and  $-\nu_0/N_0 = -1$ . Suppose that we are in this situation. Denote the unique irreducible component of the strict transform of  $Y$  which passes through  $P$  by  $E_a$ . Consider now a small enough neighbourhood  $Z_0$  of  $P$  on which  $E_a$  is non-singular such that, if we restrict the blowing-ups  $g_{ij}$  to the inverse image

of  $Z_0$ , we get an embedded resolution  $h = h_1 \circ \dots \circ h_s$  for the germ of  $E_a \cup E_0$  at  $P$  which is a composition of blowing-ups  $h_i : Z_i \rightarrow Z_{i-1}$ ,  $i \in \{1, \dots, s\}$ , with irreducible non-singular centre  $D'_{i-1} := D_{i-1} \cap Z_{i-1}$  and exceptional surface  $E'_i := E_i \cap Z_i$  satisfying for  $i = 0, \dots, s - 1$ :

- a) the codimension of  $D'_i$  in  $Z_i$  is at least two;
- b)  $D'_i$  is a subset of  $E'_a := E_a \cap Z_i$ ;
- c)  $\bigcup_{l \in \{0, 1, \dots, i\}} E'_l$  has only normal crossings with  $D'_i$ , where  $E'_0 := E_0 \cap Z_0$ ;
- d) the image of  $D'_i$  under  $h_1 \circ \dots \circ h_i$  contains  $P$ ; and
- e) if  $D_i = D'_i$ , then  $D_i$  contains a point where there are not normal crossings.

Note that it can happen that  $g_{ij}$  is an isomorphism on the inverse image of  $Z_0$ . Because we did not specify the indices in Paragraph 3.3.1, we were able to get a nice notation here. Note also that  $D_i = D'_i$  if  $D_i$  is a point. From now on, we study the resolution  $h : Z_s \rightarrow Z_0$  for the germ of  $E_a \cup E_0$  at  $P$ .

LEMMA 3.3.5. *If  $D_i = D'_i$ , then  $D_i$  is a subset of  $E'_0$ .*

*Proof.* Note that  $D_i$  has to lie in an exceptional surface because  $E'_a$  is non-singular and because an embedded resolution is an isomorphism outside the singular locus of  $Y$ .

First we consider the case that  $D_i = D'_i$  is a point contained in exceptional surfaces different from  $E'_0$  and in the surface  $E'_a$ . The union of these surfaces has normal crossings at  $D_i$  because  $E'_a$ , considered as a subset of  $Z_0$ , is non-singular. This is in contradiction with condition e. Note that it can thus not happen that  $E'_a$  and three exceptional surfaces different from  $E'_0$  have a point in common.

The case that  $D_i = D'_i$  is a curve contained in exactly two exceptional surfaces different from  $E'_0$  and in the surface  $E'_a$  cannot occur because  $E'_a$  is a non-singular subset of  $Z_0$  and therefore these three surfaces should have normal crossings.

Finally we study the case that  $D_i = D'_i$  is a curve contained in one exceptional surface  $E'_j$  different from  $E'_0$  and in  $E'_a$ . Condition c implies that every point of  $D_i$  is contained in at most one exceptional surface different from  $E'_j$ . Moreover, such an exceptional surface has to be transversal to  $D_i$ . This implies that there are normal crossings at every point of  $D_i$ , which is in contradiction with condition e. Therefore, this case cannot occur. □

LEMMA 3.3.6. *Suppose that  $\text{mult}(f) \geq 3$ . Then we have  $\nu_i \leq N_i + 1$  for every exceptional surface  $E_i$ ,  $i \in \{1, \dots, s\}$ . Moreover,  $\nu_i = N_i + 1$  if and only if  $D_{i-1}$  is a point and the numerical data of every exceptional surface  $E_j$  different from  $E_0$  and through  $D_{i-1}$  satisfy  $\nu_j = N_j + 1$ .*

*Proof.* The proof is by induction on  $i$ . Since  $\nu_0 = N_0$ , we have that  $\nu_1 = N_1 + 1$ . Suppose now that  $\nu_j \leq N_j + 1$  for every exceptional surface  $E_j$  through  $D_{i-1}$ .

*Case 1:  $D_{i-1}$  is a point.* We obtain from Lemma 3.3.5 that  $D_{i-1}$  is a subset of  $E'_0$ . Because  $\nu_0 = N_0$  and because every other exceptional surface  $E_j$  through  $D_{i-1}$  satisfies  $\nu_j \leq N_j + 1$ , the table of Paragraph 3.3.2 gives us that  $\nu_i \leq N_i + 1$ .

*Case 2:  $D_{i-1}$  is a curve.* If  $D_{i-1} \neq D'_{i-1}$ , then  $D'_{i-1} \not\subset (h_1 \circ \dots \circ h_{i-1})^{-1}P$  and therefore we get as in the beginning of Paragraph 3.3.4 that  $-\nu_i/N_i \geq -1$ . If  $D_{i-1} = D'_{i-1}$ , one computes from Paragraph 3.3.2 and the previous lemma that  $-\nu_i/N_i \geq -1$ .

We have now proved the first part of the lemma. Using this first part and the table of Paragraph 3.3.2, we get the second part. □

LEMMA 3.3.7. *If  $\text{mult}(f) \geq 3$  and if the numerical data of  $E_i$  satisfy  $\nu_i = N_i + 1$ , then  $-\nu_i/N_i \neq -\nu_j/N_j$  for every exceptional surface  $E_j$  that intersects  $E_i$  at some stage of the resolution process.*

*Proof.* Let  $E_j$  be an exceptional surface that intersects  $E_i$  at some stage of the resolution process. If  $E_j$  is created before  $E_i$ , then  $E_j$  contains the point  $D_{i-1}$ . Otherwise,  $E_j$  is created by a blowing-up at a point of  $E_i$  or by a blowing-up along a curve.

If  $E_j$  is created by a blowing-up along a curve, then  $-\nu_j/N_j \geq -1$  and, consequently,  $-\nu_i/N_i \neq -\nu_j/N_j$ . Now we consider the case that  $E_j$  contains the point  $D_{i-1}$ . There is no problem if  $\nu_j \leq N_j$ . Consequently, suppose that  $\nu_j = N_j + 1$ . From the table in Paragraph 3.3.2, we get  $N_j < N_i$ . Therefore,  $-\nu_i/N_i = -(N_i + 1)/N_i > -(N_j + 1)/N_j = -\nu_j/N_j$ . The case that  $E_j$  is created by a blowing-up at a point of  $E_i$  is treated analogously.  $\square$

PROPOSITION 3.3.8. *If  $\text{mult}(f) \geq 3$ , then no pole of  $Z_f(s)$  is less than  $-1$ .*

*Proof.* Suppose that  $\text{mult}(f) \geq 3$ .

We have only to consider exceptional surfaces with a candidate pole less than  $-1$ . Recall from Lemma 3.3.6 that  $-\nu_i/N_i < -1$  if and only if  $D_{i-1}$  is a point and all exceptional surfaces through the point  $D_{i-1}$  different from  $E_0$  have a candidate pole less than  $-1$ . We will determine all possible intersection configurations on such surfaces just after their creation.

If  $-\nu_i/N_i \geq -1$  and  $-\nu_{i+1}/N_{i+1} < -1$ , then the blowing-ups along  $D_{i-1}$  and  $D_i$  commute with each other. Therefore, we may assume that there is a  $k$  (larger than zero because  $-\nu_1/N_1 < -1$ ) such that  $-\nu_i/N_i < -1$  for  $1 \leq i \leq k$  and  $-\nu_i/N_i \geq -1$  for  $k < i \leq s$ .

The intersection configuration on  $E_1$  consists of one projective line, which is the intersection with  $E_0$  and  $E_a$ . The points of  $Z_1$  in which we do not have normal crossings and which lie above  $P$  are those on this projective line. This implies the following statement for  $i = 2$ :

If  $Q$  is a point of  $Z_{i-1}$ ,  $i \in \{2, \dots, k\}$ , in which we do not have normal crossings and which lies above  $P$  (so consequently  $Q$  is a point of  $E_0$ , of one or two other exceptional surfaces and of  $E_a$ ), then there exists an exceptional surface  $E_l$  through  $Q$  with the property  $E_0 \cap E_l = E_a \cap E_l$ . (\*)

We prove this statement by induction on  $i$ . Suppose that it is true for  $i = j \in \{2, \dots, k-1\}$ . We give the proof for  $i = j + 1$ . The statement follows from the induction hypothesis for points not on  $E_j$ , because a blowing-up is an isomorphism outside the exceptional surface. Therefore, we prove it for points on  $E_j$ . By the induction hypothesis applied to the point  $D_{j-1}$ , we obtain that there exists an exceptional surface  $E_l$  through  $D_{j-1}$  such that  $E_0 \cap E_l = E_a \cap E_l$  in  $Z_{j-1}$ . But then  $E_a \cap E_l = E_0 \cap E_l$  in  $Z_j$ , which solves the problem for the point  $E_0 \cap E_l \cap E_j$ . There are other points on  $E_j$  in which we do not have normal crossings if and only if  $E_a$  is tangent to  $E_0$  in  $D_{j-1}$ . In this case, the points in which we do not have normal crossings are the points of  $E_0 \cap E_j$ . Because  $E_0 \cap E_j = E_a \cap E_j$ , we are done.

Because the centre of a blowing-up satisfies the conditions of the statement, we obtain that the possible intersection configurations are the following configurations of lines in  $\mathbb{P}^2$ : (i) one line; (ii) two lines; (iii) three lines through one point; (iv) three lines in general position; and (v) three lines through one point and a fourth line not through that point.

For all these configurations, we can calculate as in Example 3.1.6 that the contribution to the residue is zero. The second author has done this already in [Vey93] for Igusa’s  $p$ -adic zeta function. The point is that (\*) excludes the configuration consisting of four lines in a general position, for which this contribution is not zero. Note also that we need here that the alphas are not zero, a fact we proved in Lemma 3.3.7.  $\square$

3.3.9 In Paragraph 3.2.3, we found functions  $f_k \in \mathcal{O}_3$  of multiplicity two such that  $Z_{f_k}(s)$  has a pole in  $s_k$ , where  $(s_k)_k$  is a sequence of real numbers larger than  $-1$  and converging to  $-1$ . Here we construct for every  $n \geq 0$  functions  $f_k \in \mathcal{O}_3$  of multiplicity  $n + 2$  with this property.

We use the formula obtained by Denef and Loeser in [DL92, Théorème 5.3], which expresses the local topological zeta function of a non-degenerated polynomial in terms of its Newton polyhedron. Fix  $n \geq 0$  and set  $f_k = x^n z^2 + x^{3+n} y^2 + x^k$  for  $k \geq n + 4$ . Then

$$\begin{aligned} Z_{f_k}(s) = & [(-2n^2 - 6n)s^3 + (n^2 + 3kn - 4n + 6k - 6)s^2 \\ & + (-4n^2 + 4kn - 7n + 15k - 5)s - 10n + 10k - 5] \\ & \times [(6s + 2ns + 5)(s + 1)(2ks + 2k - 2n - 1)(ns + 1)]^{-1}. \end{aligned}$$

Consequently,  $-(2k - 2n - 1)/(2k)$  is a pole if and only if it is not a zero of the numerator. So we make the substitution  $s = -(2k - 2n - 1)/(2k)$  in the numerator and obtain

$$\frac{(k - 1 - 2n)(k - n - 3)(2k - 2n - 1)(2n^2 - 2kn + n + 2k)}{4k^3}.$$

Because  $k \geq n + 4$ , this is zero if and only if  $k = 1 + 2n$ . Thus we have found for any multiplicity larger than one a sequence with the desired property.

#### 4. Other zeta functions

##### 4.1

Denef and Loeser in [DL98] associate to a polynomial its motivic zeta function, which is a much finer invariant than its topological zeta function. Instead of the usual topological Euler–Poincaré characteristic, it involves the so-called universal Euler characteristic of an algebraic variety, i.e. its class in the Grothendieck ring.

We recall this notion. The Grothendieck ring  $K_0(\text{Var}_{\mathbb{C}})$  of complex algebraic varieties is the free abelian group generated by the symbols  $[V]$ , where  $V$  is a variety, subject to the relations  $[V] = [V']$ , if  $V$  is isomorphic to  $V'$ , and  $[V] = [V \setminus W] + [W]$ , if  $W$  is closed in  $V$ . Its ring structure is given by  $[V] \cdot [W] := [V \times W]$ . We set  $\mathbb{L} := [\mathbb{A}_{\mathbb{C}}^1]$  and denote by  $\mathcal{M}$  the localization of  $K_0(\text{Var}_{\mathbb{C}})$  with respect to  $\mathbb{L}$ .

##### 4.2

In [DL98] the motivic zeta function is more generally defined for a regular function  $f$  on a smooth algebraic variety  $X$ , with respect to a subvariety  $W$  of  $X$ ; we refer the reader to [DL98, § 2] for this definition. One can easily verify that the construction is still valid for a germ  $f$  of a holomorphic function at  $0 \in \mathbb{C}^n$  when  $W = \{0\}$ ; we denote this (local) motivic zeta function by  $Z_{\text{mot},0,f}(s)$ . Then, with the notation of § 1.1, the formula of [DL98, Theorem 2.2.1] yields that

$$Z_{\text{mot},0,f}(s) = \mathbb{L}^{-n} \sum_{I \subset T} [E_I \cap g^{-1}\{0\}] \prod_{i \in I} \frac{\mathbb{L} - 1}{\mathbb{L}^{\nu_i + sN_i} - 1}.$$

Here  $\mathbb{L}^{-s}$  should be considered as a variable, and this expression lives in a localization of the polynomial ring  $\mathcal{M}[\mathbb{L}^{-s}]$ .

##### 4.3

The motivic zeta function  $Z_{\text{mot},0,f}(s)$  specializes to  $Z_{\text{top},0,f}(s)$  [DL98, § 2.3], but also to various ‘intermediate level’ zeta functions. An important one uses Hodge polynomials. Recall that the Hodge polynomial of a complex algebraic variety  $V$  is

$$H(V) = H(V, u, v) := \sum_{p,q} \left( \sum_{i \geq 0} (-1)^i h^{p,q}(H_c^i(V, \mathbb{C})) \right) u^p v^q \in \mathbb{Z}[u, v],$$

where  $h^{p,q}(H_c^i(V, \mathbb{C}))$  is the rank of the  $(p, q)$ -Hodge component of the  $i$ th cohomology group with compact support of  $V$ . The zeta function of  $f$  on this level is

$$Z_{\text{Hod},0,f}(s) = (uv)^{-n} \sum_{I \subset T} H(\mathring{E}_I \cap g^{-1}\{0\}) \prod_{i \in I} \frac{uv - 1}{(uv)^{\nu_i + sN_i} - 1};$$

here  $(uv)^{-s}$  is a variable, and this zeta function lives, e.g., in the field of rational functions in  $(uv)^{-s}$  over  $\mathbb{Q}(u, v)$ .

#### 4.4

As in [RV03] we define the poles of  $Z_{\text{Hod},0,f}(s)$  to be the real numbers  $s_0$  such that  $(uv)^{-s_0}$  is a pole of  $Z_{\text{Hod},0,f}(s)$ , considered as rational function in  $(uv)^{-s}$ . Then we have the following.

Theorems 2.8 and 3.0 are still valid with  $Z_f(s) = Z_{\text{top},0,f}(s)$  replaced by  $Z_{\text{Hod},0,f}(s)$  and  $\mathcal{P}_n = \{s_0 \mid \exists f \in \mathcal{O}_n : Z_{\text{Hod},0,f}(s) \text{ has a pole in } s_0\}$ . The proofs are the same as before; they essentially just use the ‘geometry’ of a resolution.

A good definition of poles of  $Z_{\text{mot},0,f}(s)$  is not immediately clear, due to the fact that  $\mathcal{M}$  could have zero divisors (at present this is an open question). Using the definition of [RV03] for real poles, Theorems 2.8 and 3.0 are also valid for  $Z_{\text{mot},0,f}(s)$ .

#### 4.5

One could and should also wonder whether there are analogous results for Igusa’s  $p$ -adic zeta function. This problem is studied in a following paper [Seg03].

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