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A wideband metamaterial linear to linear conversion for X-band applications

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Abstract

This article presents wideband metamaterial cross-polarizer (MCP) structure for X-band applications. The proposed MCP consists of a splitted square-shaped resonating structure with two inner and one outer stub. It has an overall dimension of 8.6 mm × 8.6 mm. A wide-band polarization conversion ratio (PCR) above 0.87 magnitude is achieved with a bandwidth of 3.49 GHz ranging from 8.8 to 12.29 GHz, which works for X (8–12 GHz) band. The PCR bandwidth of full width half maxima achieved is 4.55 GHz ranging from 8.5 to 13.05 GHz. Two distinct PCR peaks are observed at 9.44 and 11.47 GHz with PCR magnitude at 99.39 and 99.00% respectively. The normalized impedance and electromagnetic parameters (real and imaginary) curves proved the presence of metamaterial properties in the region of interest. Analysis of polarization conversion phenomena at two distinct frequencies is described with the help of electric field and current distribution. The structure is replicated using ANSYS HFSS 19.1 and measured inside anechoic chamber with the help of Vector Network Analyzer (VNA). The replicated and experimented responses obtained are almost similar to one another with adaptation due to fabrication liberality.

Introduction

Metamaterial is a periodic engineered structure which possesses unique electromagnetic (EM) properties which separate them from conventional materials [1]. Metamaterial has negative permittivity (ε) and/or negative permeability (μ) over certain range of frequency; due to this phenomenon, it supports backward waves [2], negative refractive index [3], inverse Snell's law [4], reverse Doppler effect [5], etc. Considering the above unique properties, metamaterial devices are overcoming conventional devices such as cloaking [6], superlense [7], resonators [8], sensors [9], absorbers [10], antennas [11], filters [12], cross-polarizers [13], etc.

Polarization of linear EM waves by using conventional devices has a limitation of narrow bandwidth and larger volume [14]. To obtain wide bandwidth and compact size, metamaterial structures have been proposed. Some structures convert the linear EM waves through transmission, while others convert via reflection from the surface [15, 16]. Different metamaterial structures are used for different frequency ranges such as nanorod has been used for visible region [17], split rings are used for infrared region [18], for terahertz metallic grating complementary rings are used [18, 19], and for microwave region diagonally placed structures are implemented [20, 21].

By designing anisotropic metallic elements placed over some dielectric polarization, conversion can be obtained [22]. Different structures are designed to operate at different frequency ranges such as circular split-ring resonators (CSRR) are designed for infrared region [23], nanorods are designed for visible region [24], self-complementary rings [25], double head arrows are designed for microwave region [26], and metallic grating for terahertz (THz) region [27].

The polarization conversion bandwidth for metasurface can be enhanced by various designing techniques such as multilayer structure consists of metallic dielectric arrangements so as to produce multiple plasmonic resonance [28], twisted CSRR but limits only for normal incidence [29], single-layer structure is designed for broad bandwidth using neighboring plasmonic resonance but not operates for oblique incidence [30], and another multilayer structure is reported but it is angle-dependent [31].

The proposed wideband metamaterial cross-polarizer (MCP) comprises of a splitted square-shaped resonating structure with two inner and one outer stub. The structure is fabricated on FR-4 substrate with an overall dimension of 8.6 mm \times 8.6 mm. A wideband polarization conversion ratio (PCR) above 0.87 magnitude is achieved with a bandwidth of 3.49 GHz ranging from 8.8 to 12.29 GHz, which works for X (8–12 GHz) band approximately. Two distinct PCR peaks are observed at 9.44 and 11.47 GHz with PCR magnitude at 99.39 and 99.00% respectively. The normalized impedance and EM parameters (real and imaginary) curves



proved the presence of metamaterial properties is the region of interest. The analysis of polarization conversion phenomena at two distinct frequencies is described with the help of electric field and current distribution. The structure is replicated using ANSYS HFSS 19.1 and measured inside an anechoic chamber with the help of VNA. The replicated and experimented responses obtained are almost similar to one another with adaptation due to fabrication liberality.

Structure design

The unit cell structure consists of splitted square with two inner and one outer line, placed obliquely opposite to each other as shown in Fig. 1 with EM field directions. The overall dimension of the structure is $8.6 \text{ mm} \times 8.6 \text{ mm} (0.24\lambda \times 0.24\lambda)$. The unit cell structure comprises of three layers. The topmost and bottommost layers are made up of copper (height = 0.035 mm) and the central layer is made up of FR-4 substrate of thickness 1.6 mm (0.04\lambda). The structure is replicated using ANSYS HFSS 19.1, with a periodic boundary condition (master and slave) and floquet port excitation is applied to the structure. The optimized geometrical dimensions of the unit cell are a = 8.6 mm, $h_1 = 1.6$ mm, $h_2 = 2.6 \text{ mm}$, L1 = 3.4 mm, L2 = 4.2 mm.

No transmission of EM wave occurs, because the bottom layer is completely paved with copper. This minimizes the reflection of co-polarized component of the incident EM wave from the top surface, generating cross-polarized component of the incident field, which results in maximum cross-polarization conversion (CPC). CPC refers to conversion of x polarized EM wave into resultant y polarized EM wave from FSS.

To understand the basic phenomenon behind the polarization conversion, the suggested anisotropic structure is considered as homogenous medium. The structure is symmetric about V-axis which is 45° with reference to Y direction. On that account, viewing U, V, and Z as orthogonal system, μ_{UU} , μ_{VV} , μ_{ZZ} happen to be diagonal elements on the subject of tensor $\bar{\mu}$.

Taking into consideration the normal incidence of *y*-polarized EM wave along with the decomposition of electric field vector $\overrightarrow{E_i}$ it results into two mutually independent *u*- and *v*-components,



Fig. 1. Front view of the proposed MCP unit cell.

which are equal in terms of magnitude. The incidence of the electric field vector in Fig. 2 can be incorporated as [25].

$$\overline{E_i} = E_{Yi}\hat{Y} = E_{Ui}\hat{U} + E_{Vi}\hat{V} = E_{Yi}\cos(45^\circ)(\hat{U} + \hat{V})$$
(1)

and the reflection of the electric field vector as [25]

$$\overrightarrow{E_r} = E_{Ur}\hat{U} + E_{Vr}\hat{V} = r_U E_{Ui}\hat{U} + r_V E_{Vi}\hat{V}$$
$$= E_{Yi}\cos{(45^\circ)}(r_U\hat{U} + r_V\hat{V}), \qquad (2)$$

where, r_U and r_V define reflection coefficients of u- and v-polarized incidence of electric field vector, \hat{U} and \hat{V} represent unit vectors which lie along u- and v-axis, respectively. The reflection coefficients represented as r_U and r_V are proven to be mutually independent because of the anisotropic behavior of the unit cell structure. Besides, the losses of dielectrics are mainly negligible owing to the minute loss tangent of dielectric substrate. This mainly results into the unit magnitude of r_U and r_V which gradually result into EM wave incidence for uand v-polarization which come under no cross-polarized reflection. Supposing $\Delta \emptyset$ to be the difference in phase between r_U and r_{V} , co-polarized along with cross-polarized reflections coefficient r_{YY} along with r_{XY} can be computed as demonstrated in [25].

$$r_{YY} = \frac{|E_{Yr}|}{|E_{Yi}|} = \frac{|E_Y|E_X = 0}{|E_{Yi}|} = \sqrt{(1 + \cos\Delta\emptyset)/2},$$
(3)

$$r_{XY} = \frac{|E_{Xr}|}{|E_{Yi}|} = \frac{|E_X|E_Y = 0}{|E_{Yi}|} = \sqrt{(1 - \cos\Delta\emptyset)/2}.$$
 (4)



Fig. 2. Front view of the proposed MCP with x and y axes denotes the incident EM wave, whereas anisotropy is described by U and V axes.



Fig. 3. Simulated co-polarized reflection coefficients.



Fig. 4. Simulated cross-polarized reflection coefficients.



Fig. 5. Simulated PCR response.

Therefore, for $\Delta \emptyset = \pm 180^{\circ}$, $r_{YY} = 0$ while that of $r_{XY} = 1$ as achieved by equations (3) and (4); furthermore, making use of this hypothesis, a conversion of 90° polarization can possibly be attained, as shown in Fig. 2.



Fig. 6. Simulated normalized impedance.



Fig. 7. Simulated real part of permittivity and permeability.



Fig. 8. Simulated imaginary part of permittivity and permeability.



Fig. 9. Current distribution: (a) top and (b) bottom at 9.44 and 11.47 GHz of the proposed MCP.

Results and analysis

The proposed structure is simulated by commercially available Finite Element Method (FEM) solver ANSYS HFSS 19.1. The structure is applied with two types of boundary conditions master and slave in x and y directions as periodic boundary and incident EM wave in z direction. The frequency response of cross-polarized reflection and co-polarized levels is achieved as shown in Figs 3 and 4. PCR peaks are observed at 9.44 and 11.47 GHz with PCR magnitude at 99.39 and 99.00%, respectively. The reflection components for cross-polarizer sustain steady state within the band of interest. In the given context, the PCR of the structure has been eventually calculated across the frequency range from (1).

$$PCR = \frac{r_{xy}^2}{r_{xx}^2 + r_{xy}^2} , \qquad (5)$$

where r_{xx} and r_{xy} are co-polarized and cross-polarized reflection coefficients, respectively [22]. Full width half maxima (FWHM) bandwidth of PCR achieved is 4.55 GHz ranging from 8.5 to 13.05 GHz. In addition, PCR of more than 0.87 has been observed between 8.80 and 12.29 GHz over a bandwidth of 3.49 GHz. The polarization conversion band approximately covers X-band. In this band, two distinct co-polarized reflection minima combined with maximum reflection of cross-polarized components give rise to PCR maxima at 9.44 and 11.47 GHz, with PCR peaks of 99.39 and 99.00%, respectively, as shown in Fig. 5.

PCR phenomenon

Consider MCP structure as a homogeneous medium, so PCR phenomenon is evaluated by the formulas given in equations



Fig. 10. Electric field distribution: (a) top and (b) bottom at 9.44 and11.47 GHz of the proposed MCP.

(6) and (7) [25],

$$Z = \sqrt{\frac{(1+S_{11})^2 - S_{21}^2}{(1-S_{11})^2 - S_{21}^2}},$$
(6)

$$\eta = \frac{1}{kd} \cos^{-1} \left[\frac{1}{2S_{21}} (1 + S_{21}^2 - S_{11}^2) \right],\tag{7}$$

where *Z* is impedance, η is refractive index, *K* is wave number, *d* is thickness of MCP, and *S*₁₁ and *S*₂₁ are scattering parameters.

The bottom layer is completely covered with copper, therefore scattering parameter S_{21} is zero, but to calculate normalized impedance (Z) from the above equation, S_{21} is required. In order to obtain S_{21} , small square slots having a dimension of 0.5×0.5

 mm^2 are removed from the four corners of the bottom layer to a certain extent that the PCR responses do not deviate. Hence, S_{21} is obtained as ground plane is not fully covered with copper.

Two effective parameters effective permittivity (ε_{eff}) and effective permeability (μ_{eff}) are calculated from equations (8) and (9), to explain the PCR mechanism.

$$\varepsilon_{eff} = \frac{\eta}{Z},\tag{8}$$

$$\mu_{eff} = \eta Z. \tag{9}$$

The normalized input impedance $Z(\omega)$ is computed from (10) and (11),

$$Z(\omega) = \sqrt{\frac{\mu_0 \mu_{eff}}{\varepsilon_0 \varepsilon_{eff}}} = \eta_0 \sqrt{\frac{\mu_{eff}}{\varepsilon_{eff}}},$$
(10)



Fig. 11. Simulated PCR response under normal incident wave for different polarization angles.



Fig. 12. Simulated PCR response under oblique incident wave for TE polarization angles.



Fig. 13. Simulated PCR response under oblique incident wave for TM polarization angles.

Normalized impedance =
$$\frac{Z(\omega)}{\eta_0} = \sqrt{\frac{Re(\mu_{eff}) - jIm(\mu_{eff})}{Re(\varepsilon_{eff}) - jIm(\varepsilon_{eff})}}$$
. (11)



Fig. 14. Simulated and measured co-polarization reflection coefficient.

Variation of $Z(\omega)$ versus frequency is shown in Fig. 6; it is concluded from the figure that real and imaginary parts are approaching toward unity and zero, respectively, at PCR peaks which indicated proper impedance matching is achieved resultant in maximum PCR. $Z(\omega)$ approaches toward unity because the values of ε_{eff} and μ_{eff} change rapidly at PCR frequencies.

The metamaterial behavior in the region of interest can be proved by observing Figs 7 and 8. In which real part of permittivity and permeability, similarly imaginary part of permittivity and permeability are almost similar to each other which indicates the structure is behaving as a metamaterial structure in the region of interest.

Current and electric field distribution

To explain minimization of co-polarized reflection coefficient, field distribution is calculated at two distinct PCR peaks (9.44 and 11.47 GHz). The current distribution at the top and bottom surface is anti-parallel with respect to each other as shown in Fig. 9. Due to circulating current, magnetic excitation is created perpendicular to magnetic field. Electric field is induced due to electric excitation as shown in Fig. 10, due to this strong EM resonance occurs which minimizes co-polarizer reflection level.

Magnetic and electric excitation occur simultaneously, proved by observing Figs 7 and 8 in which ε_{eff} and μ_{eff} get large deviation near all the two PCR peaks.

Investigation under normal and oblique incident waves

The MCP structure is investigated under normal incident wave up to 90° at each 15° increment as shown in Fig. 11. It is observed that PCR magnitude decreases as the normal incident wave moves from 0° to 45° and reaches to minimum level and again increases as the normal incident wave moves from 60° to 90° and reaches to maximum level which confirms that the proposed structure is polarization sensitive.

The structure is further examined under oblique incident wave. The proposed structure is investigated at different angles from 0° to 45° at each 15° increment for both TE and TM polarization and the PCR magnitudes are plotted in Figs 12 and 13, respectively. It is observed that PCR magnitude greater than 87% with wide bandwidth of 3.49 GHz is obtained. The response degrades as angle of incidence increases above 45°.



Fig. 15. Fabricated model of the proposed MCP with an array of 17 × 17 and an enlarged view.



Fig. 16. Experimental setup inside anechoic chamber.

Measurement setup

The proposed MCP is fabricated on the FR-4 substrate as shown in Fig. 14 with an array of 17×17 unit cell placed on the sheet, as shown in Fig. 15.



Fig. 17. Simulated and measured co-polarization reflection coefficient.



Fig. 18. Simulated and measured PCR response.

Table 1. Comparison of simulated and measured results of the proposed MCP

Simulated			Measured		
Peak frequency	PCR response (%)	Bandwidth (GHz)	Peak frequency	PCR response (%)	Bandwidth (GHz)
9.44	99.39	3.49	9.40	99.59	3.59
11.47	99.00		11.67	99.45	

Table 2. Comparison of between proposed and already published MCP articles

Ref. No	Centre frequency (GHz)	Size of unit cell (mm)	Thickness (mm)	Bandwidth (GHz)	Fractional bandwidth (%)
[32]	8.52	$11 \times 11 \ (0.36\lambda \times 0.36\lambda)$	1.6 (0.05λ)	0.37	4.34
[33]	19.95	$14 \times 14 \ (0.93\lambda \times 0.93\lambda)$	2 (0.13λ)	0.5	2.50
[34]	29.75	$12 \times 12 \ (1.20\lambda \times 1.20\lambda)$	2.5 (0.25λ)	0.5	1.68
[35]	10.25	$16 \times 16 \ (0.55\lambda \times 0.55\lambda)$	3 (0.10λ)	1.5	14.63
[36]	14.65	$8.1 \times 8.1 \ (0.40\lambda \times 0.40\lambda)$	3.1 (0.15λ)	1.9	12.96
[37]	11.15	$10 \times 10 \ (0.38\lambda \times 0.38\lambda)$	1.62 (0.06λ)	2.7	24.21
[37]	28.56	$15 \times 15 \ (0.53\lambda \times 0.53\lambda)$	4.2 (0.05λ)	3.19	11.16
Proposed work	10.54	$8.6 \times 8.6 \ (0.30\lambda \times 0.30\lambda)$	1.6 (0.05λ)	3.49	33.11

The measurement of fabricated structure is carried out inside the anechoic chamber. The fabricated sheet is placed in a stand kept at far field of two horn antennas. One antenna acts as a receiver while the other acts as a transmitter; both the antennas are connected through VNA and this full set up is positioned inside the anechoic chamber. For computing the PCR response and co-polarizer reflection coefficient on the first hand, a similar copper sheet is kept and the PCR response and co-polarizer reflection coefficient are measured, which act as a reference for other measurements. The PCR response and co-polarizer reflection coefficient of fabricated structure are computed through the medium of VNA and the difference between reference and fabrication of MCP is noted. The experimental set up inside the anechoic chamber is shown in Fig. 16.

The combined simulated and measured curves of co-polarized and cross-polarized reflection coefficients and PCR response are shown in Figs 14, 17, and 18. A detailed comparison has been shown in Table 1 for the simulated and measured results and it is observed that these simulated and measured results are extremely closer to each other, with minute differences due to fabrication tolerance.

The proposed and already reported MCP is compared with respect to size of unit cell, bandwidth, fractional bandwidth, and thickness in Table 2. It is observed from the table that the proposed MCP is compact in terms of size and thickness. The proposed MCP also has larger and fractional bandwidths compared to the reported articles and used for X-band.

Conclusion

A wideband MCP is proposed which consists of anisotropic design. The overall dimension of a unit cell is $8.6 \text{ mm} \times 8.6 \text{ mm} \times 1.6 \text{ mm}$. After simulation bandwidth of 3.49 GHz (8.8-12.29 GHz) is achieved, which approximately envelopes the X-band. The bandwidth of 4.55 GHz (8.5-13.05 GHz) at FWHM is achieved with

two distinct PCR peaks at 9.44 and 11.47 GHz with PCR magnitude of 9.39 and 99.00%, respectively. The simulated and measured curves obtained for PCR response and co-polarizer reflection coefficient are similar to one another with minute difference due to fabrication tolerance. The proposed and already reported MCP are compared and it is observed that the proposed MCP is compact in terms of thickness. As the proposed MCP covers X-band, it finds practical applications in the field of civil, military, weather monitoring, air traffic control, maritime vessel traffic control, defense tracking, and vehicle speed detection for law enforcement.

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