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DATA ANALYSIS AND CALIBRATION OF RADIOCARBON DATING RESULTS FROM THE CEMETERY OF THE MARQUISES OF JIN

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ABSTRACT. The chronology study of the cemetery of Marquises of Jin is valuable to improving the chronological table of Marquis of Jin family. It is also helpful for improving the chronological table of the Zhou Dynasty. The samples were measured at Peking University (PKUAMS). We also made an interlaboratory check with Isotrace to ensure the accuracy. By careful analysis of archaeological information, we built different models and calibrated by OxCal. The calibration results, both sampling contexts and estimations, are in very good agreement with the historical record. Because the dates of some events correspond to the special part of the curve, the calibration gets very high precision. The calibration result of tomb M93 suggests that its host is Marquis Shangshu instead of Marquis Wen.

INTRODUCTION

Jin was one of the vassal states of the Zhou Dynasty. The cemetery of the Marquises of Jin is located at Tianma-Qucun in the Shanxi Province. Eight marquis mausoleums and several related tombs were excavated. Based on archaeological studies show that those mausoleums belonged to the third to the tenth (or eleventh) marquiess for seven (or eight) generations. Those marquises lived from the early-middle Western Zhou Dynasty to the early Eastern Zhou Dynasty (Archaeology Department 1995). In the chronological table of the Zhou Dynasty in Shi Ji, the part prior to 841 BC is not given. For Jin, the chronological table of the family is in a similar state. This is an opportunity for radiocarbon to help to improve the table.

For the project "Xia-Shang-Zhou Chronology", Peking University (PKUAMS) measured samples from five of the eight marquises and the related tombs. The original sample material is charcoal and bone. To figure out which component of bone is better for ^{14}C dating, we pretreated some bone by gelatin-extraction and some by the amino-acid method (Wu et al. 2000). Because the upgrade of the PKUAMS system was just finished when we measured those samples, we also sent two pretreated samples to the Isotrace Laboratory in Toronto, Canada, for interlaboratory checking. The checking result of both laboratories shows good agreement.

Some of the results (Wu et al. 2000) were presented at the most recent accelerator mass spectrometry conference (AMS-8) in Vienna. At that time, we had only limited experience with the application of OxCal. We took a conservative model for the calibration, and only 10 dates were used. The calibration results agree with the archaeologists suggestion. In this paper, we try to improve the calibration model to get higher precision. We also replenished a few new data in the calibration.

Archaeological Information

There are 17 tombs with some sacrificial pits in the Jin Marquises' cemetery, which is divided into eight groups respective to eight marquises and their wives. From the historical record and archaeological study, those tombs have the following relationship as shown in Table 1.

Most of the hosts have been identified by archaeologists, except the host of M93. Some believe that the host of M93 is Marquis Wen, but others believe that the host is his uncle Shang. Those events offer

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a continual time sequence. The taphonomy of the unearthed artifacts shows that those tombs covered the period from early Western Zhou to early Eastern Zhou. The beginning of Eastern Zhou is 770 BC.

The tombs at locations M11 and M87 are sacrificial pits. M11 broke through the south passage of M8. M87 broke through the south passage of M64. Except for horse bone, there were no other remains in the sacrificial pits.

When the cemetery was unearthed, archaeologists found that many tombs had been disturbed. Therefore, they collected samples from only five groups of tombs.

Table 1 Relationship of Marquises of Jin and the tombs in the cemetery

Marquis name	Tomb code			Pit code (sacrificial remains)
	Marquis	Marquis's wife	Human buried alive	
Wu	M9[a]	M13[a]		
Cheng	M6	M7		
Li	M33	M32	M108[a]	
Jing	M91	M92		
Lii	M1	M2		
Xian	M8[a]	M31	M39[a]	M11[a]
Mu	M64[a]	M62	M63	M87[a]
Wen	M93[a]	M102		M93[a] (Pit 27)

[a]Sample collected and measured from the tomb

METHOD

Sample Collection, Preparation, and Measurement

Three kinds of samples were available for ^{14}C dating. They were bone (human and animal), charcoal, and wood. The wood samples were usually from a coffin. Such a sample probably had a very long lifetime, so it is difficult to know its age at the time of use. However, bone is good for ^{14}C dating; from some tombs, archaeologists found marquises bones that directly related with the marquises death dates. The animal bone is from horses found in some sacrificial pits. The bone is related to memorial ceremonies that may have been held at a time very close to the burial. For other tomb groups, because of distribution, archaeologists can only offer a charcoal sample. All of the charcoals were prepared from small tree branches. The average diameter of each carbonated branch was about 1 cm. That means the material did not live very long. The charcoals were put between the tomb pit and coffin to keep the environment dry. This means they could not be prepared much earlier than the burial. Therefore, the charcoal is a better sample material than the coffin planking. For the above reasons, we chose bone and charcoal samples for ^{14}C dating.

All the samples were prepared at the laboratory of the Department of Archaeology, Peking University (Wu et al. 1999) and measured at the AMS laboratory at the Institute of Heavy Ion Physics, Peking University (PKUAMS). The samplepreparation details have been described previously (Wu et al. 2000; Guo et al. 2000). To check the reproduction of sample preparation for samples SA98094 and SA98096, we arranged the preparation twice, which was distinguished by the extension codes "-1" and "-2". To determine which component from bone was better for ^{14}C dating, those bones were pretreated by both gelatin-extraction and the amino-acid method. The latter was marked with an extra code "A". Table 2 shows the measurement results as well as the data from ISOTRACE.

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Calibration

Using Bayesian statistics is a good approach to reduce the uncertainty range of the calibration results for those data that have some relation. The OxCal program (Bronk Ramsey 1995, 1999, 2000) was used to convert the ^{14}C ages to calendar ages. Following the program definitions, data have been arranged in different phases. Because no "overlap" occurred in our study, we use "BOUNDARY" (Buck et al. 1992) to separate the phases for higher calibration precision. The beginning and end of the data sequence are also restricted by boundaries. OxCal 3.5 and INTCAL98 (Stuiver et al. 1998) have been used for the calibration. The following is our calibration model (Model A):

```
Plot
{
Sequence "Cemetery of Jin"
{
Boundary "START";
Phase "Wu"
{
R_Date "M9(SA98089)" 2785 50;
R_Date "M13(SA98090)" 2725 55;
};
Boundary "B-1";
Phase "Cheng"
{
Event "Cheng (Estimation)";
};
Boundary "B-2";
Phase "Li"
{
R_Date "M108(SA98091)" 2735 50;
};
Boundary "B-3";
Phase "Jing"
{
Event "Jing(Estimation)";
};
Boundary "B-4";
Phase "Lii"
{
Event "Lii(Estimation)";
};
Boundary "B-5";
Phase "Xian"
{
R_Date "M8(SA98155)" 2640 50;
R_Date "M39(SA98092)" 2685 50;
R_Combine "98094"
{
R_Date "M11(SA98094-1)" 2560 55;
R_Date "M11(SA98094-2)" 2610 50;
R_Date "M11(SA98094A-2)" 2575 50;
};
};
Boundary "B-6";
Phase "Mu"
{
R_Date "M64(SA98157)" 2540 55;
R_Date "M87(SA98095)" 2555 50;
};
Boundary "B-7";
Phase "Wen"
{
R_Date "M93(SA98156-1)" 2650 60;
R_Combine "98096"
{ R_Date "M93(SA98096-1)" 2515 55;
R_Date "M93(SA98096-2)" 2595 50;
R_Date "M93(SA98096A)" 2530 50;
};
};
Boundary "END";
};
};
```

Table 3 shows the calibration result. In this model, although we know that the sacrificial pits M11 are not earlier than tomb M8, and M87 is not earlier than M64, we do not know the time gap. It is possible that the memorial ceremonies were held very close to the time when the Marquises died. For this reason, we set the data with the Marquises in the same phase.

In the calibration, we use the "R_Combine" function to combine the data of the samples SA98094 and SA98096, because those data are from the same origin. The combination also can provide a more reliable result and higher precision. We do not combine the data of SA98157 with SA99043. Although those two samples are from M64, one is charcoal and the other is human bone. Their carbon origins are different.

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Table 2 ^{14}C results from the cemetery of the Marquises of Jin

Marquise	Tomb	Material	Lab code	$\delta^{13}C$ (‰)	^{14}C age (BP)
Wu	M9	Human bone	SA98089	−12.77	2785 ± 50
	M13	Human bone	SA98090	−8.36	2725 ± 55
Li	M108	Human bone	SA98091	−7.93	2735 ± 50
Xian	M8	Charcoal	SA98155	−25.13	2640 ± 50
			TO-7998[a]		2630 ± 40
	M39	Human bone	SA98092	−7.38	2685 ± 50
	M11	Horse bone	SA98094-1	−13.18	2560 ± 55
			SA98094-2	−12.77	2610 ± 50
			TO-7999[b]		2570 ± 50
			SA98094A	−12.29	2575 ± 50
Mu	M64	Human bone	SA99043	−10.07	2670 ± 40
	M64	Charcoal	SA98157	−24.44	2540 ± 55
	M87	Horse bone	SA98095	−15.33	2555 ± 50
Wen	M93	Charcoal	SA98156	−22.62	2650 ± 60
or	M93s	Horse bone	SA98096-1	−15.70	2515 ± 55
Uncle			SA98096-2	−16.57	2595 ± 50
Shang			SA98096A	−13.80	2530 ± 55

[a]The same prepared sample of SA98155.

[b]The same prepared sample of SA98094. The TO- dates were measured by ISOTRACE and were not involved in the calibration.

DISCUSSION

The Boundary Constrained Empty Phases

The calibration model should be a correct expression of the chronological process. In our study, the samples are only related with five marquises. If the model were built as:

```
Plot                          Phase "Xian";
{                             Boundary "B-3";
Sequence "Jin Marquises"      Phase "Mu";
{                             Boundary "B-4";
Boundary "START";             Phase "Wen";
Phase "Wu";                   Boundary "END";
Boundary "B-1";               };
Phase "Li";                   };
Boundary "B-2";
```

this would mean that all of those phases were chronologically abutted (Bronk Ramsey 1995, 1999, 2000). This is incorrect. In fact, between Marquis Wu and Li, there was Marquis Cheng. Marquises Jing and Lii should take the position between Marquis Li and Xian. To solve this problem, we put three empty phases in the relevant positions. To check the calibration result, we also set "EVENT" in the empty phases to estimate the probability of correspondence to the marquis death date. From the comparison between the historical record and the estimation result of "EVENT", we can get an auxiliary evaluation. This is also a test of the prior assumption of "Uniform Phase period" (Bronk Ramsey 1995, 1999, 2000).

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Table 3 The calibration results from the cemetery of the Marquises of Jin

			Calibrated date (BC)						Marquis death yr (BC) from Shiji
			Model A		Model B		Model C		
Marquis	Sample	Material	1σ	2σ	1σ	2σ	1σ	2σ	
Wu	SA98089	Human bone	930–855	980–830	930–855	980–840	935–860	980–840	
	SA98090	Human bone	925–855	980–830	930–855	980–840	930–860	980–830	
Cheng[a]			905–840		905–845		910–850		
Li	SA98091	Human bone	879–830	915–815	880–832	920–815	890–835	925–820	858
Jing[a]			856–816		858–817		866–824		841
Lii[a]			833–804		834–805		845–812		823
Xian	SA98155	Charcoal	814–797	826–793	814–798	827–794	825–805	836–797	812
	SA98092	Human bone	814–798	827–793	814–798	828–795	826–804	839–798	
	SA98094[b]	Horse bone	810–795	824–792	807–795	819–790	798–782	800–768	
Mu	SA99043	Human bone	804–791	813–783	804–791	812–784[c]	812–796	823–792	785
	SA98157	Charcoal	801–788	808–776	802–789	809–780	809–792	822–785	
	SA98095	Horse bone	801–788	808–776	800–785	804–775	796–782	800–766	
Wen	SA98156-1	Charcoal	795–772	799–762	796–778	800–764[c]	802–788	809–775	781 (Shang)
Or Shang	SA98096[b]	Horse bone	794–774	796–763	792–772	796–760	796–781	800–765	746 (Wen)
Total agreement			A=108.4%		A=119.3%		A=176.6%		

[a] The calibrated result is estimated by model.
[b] It is combined ^{14}C data to calibrate.
[c] Poor agreement (<60%).

The Other Possibilities of the Sacrificial Pits in the Time Sequence

When Bayesian statistics is used in calibration, a correct result depends on the correct related information. During the calibration, we have several questions:

What is the relation between the sacrifices and the marquises? It is rare to find artifacts in the sacrificial pit, and most remains are from animals. The judgment on the relation is mainly based on the position. Archaeologists affirmed that the sacrifices were buried after the marquis death. But, they cannot estimate the exact time gap (X Liu personal communication 2000).

In our study, we dated horse bones from the three sacrifice pits that belonged to the last three marquises. All of those pits had formed in almost the same way that broken the south passage of Marquis' tomb. The ^{14}C results of those horse bones are quite similar. After combination, the ^{14}C age of the horse bone of M11 is 2580 ± 26 BP. The age of M87 is 2555 ± 50 BP. The age of M93 is 2549 ± 30 BP (combined). So, it is reasonable to assume that the memorial ceremonies were held at the same time. Archaeologist also cannot dismiss this possibility (Liu. 2000).

With those questions in mind, we modified the calibration model to try other possibilities. We choose two of the possibilities to build Models B and C.

In Model B, the dates of the sacrificial pits were arranged just after the marquis to which it belonged. The part of Model B is as following:

```
{
{
{
...
Phase "Xian"
{
Sequence "S-M8"
{
Phase "P-M8"
{
R_Date "M8(SA98155)" 2640 50;
R_Date "M39(SA98092)" 2685 50;
};
R_Combine "M11"
{
R_Date "M11(SA98094-1)" 2560 55;
R_Date "M11(SA98094-2)" 2610 50;
R_Date"M11(SA98094A-2)"2575 50;
};
};
};
Boundary "B-6";
Phase "Mu"
{
Sequence "S-M64"
{
Phase "P-M64"
{
R_Date "M64(SA99043)" 2670 40;
R_Date "M64(SA98157)" 2540 55;
};
R_Date "M87(SA98095)" 2555 50;
};
};
Boundary "B-7";
Phase "Wen"
{
Sequence "S-M93"
{
R_Date "M93(SA98156-1)" 2650 60;
R_Combine "M93"
{
R_Date "M93(SA98096-1)" 2515 55;
R_Date "M93(SA98096-2)" 2595 50;
R_Date "M93(SA98096A)"2530 50;
};
};
};
Boundary "END";
};
};
```

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In Model C, we set a phase in which the data of M11, M87, and M93 horse bones were collected together and the phase precedes the charcoal from M93 in time sequence. Following is that part of Model C:

```
{
{
...
Phase "Xian"
{
R_Date "M8(SA98155)" 2640 50;
R_Date "M39(SA98092)" 2685 50;
};
Boundary "B-6";
Phase "Mu"
{
R_Date "M64(SA99043)" 2670 40;
R_Date "M64(SA98157)" 2540 55;
};
Boundary "B-7";
Phase "Wen"
{
Sequence "S-M93"
{
R_Date "M93(SA98156-1)" 2650 60;
Phase "sacrifice"
{
R_Combine "M11"
{
R_Date "M11(SA98094-1)" 2560 55;
R_Date "M11(SA98094-2)" 2610 50;
R_Date "M11(SA98094A-2)"2575 50;
};
R_Date "M87(SA98095)" 2555 50;
R_Combine "M93"
{
R_Date "M93(SA98096-1)" 2515 55;
R_Date "M93(SA98096-2)" 2595 50;
R_Date "M93(SA98096A)" 2530 50;
};
};
};
};
Boundary "END";
};
};
```

The calibration results of Models B and C are also listed in Table 3.

Result Comparisons of Different Models

From Table 3 one can see that the differences in the calibration results of those models are very small. Although Model C provided the oldest result, all of the results of marquises death dates agreed well with historical record of Shiji within 2σ range. In Model B, we have ranged the sacrificial pit following the marquises tomb in time sequence, but the calibrated results of those three tombs have not been significantly changed even though the agreements of SA99043 and SA98156-1 were below the confidential limitation. For SA99043, the agreement was 55.8% and for SA98156-1, it was 59.9%. The total agreement is increased from Model A to Model C. From those results, we still cannot solve the puzzle of the bury date of the sacrificial pits. Model C gives the oldest result and the time range is also larger, because we have moved the data of the younger samples from the earlier phases to the end of the sequence. Corresponding to the special shape of the calibration curve, the calibrated results of the samples of M8 and M64 showed very high precision. It is comparable with the precision of INTCAL98 curve. By the constraint of BOUNDARY, the result of M93 was also in a very short time range. For the possible buried time of M93, the results of all models cannot reach 746 BC. If there are only two options to select, the calibration results point toward Uncle Shang more than Marquis Wen.

A Question

In our study, the difference in the ^{14}C results of SA99043 and SA98157 is obvious. Both samples were from tomb M64. SA99043 was prepared from the human bone and SA98157 was prepared from charcoal. In general, the charcoal sample often gives an older ^{14}C age. This is a question that needs further study.

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CONCLUSION

1. In the calibration study of the cemetery of the marquises of Jin, it is necessary to use the empty phases associated with the separation by boundaries to complete the chronological sequence.
2. The calibration results agreed well with the historical record. The buried time of the sacrificial pits did not affect the calibration results significantly.
3. The estimated results of the death dates of Marquises Jing and Lii also agreed well with the historical record. That means the mathematics approach of uniform phase span of OxCal program (Bronk Ramsey 1995, 1999, 2000) is suitable in this case.
4. The calibration result of M93 indicates that the host was probably Uncle Shang instead of Marquis Wen (died in 746 BC).
5. Corresponding to the special segment of the calibration curve, the calibration results of the samples from M8 group, M64 group and M93 showed very high precision.

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