# FIRST PRINCIPLES AB INITIO STUDY OF CO<sub>2</sub> ADSORPTION ON THE KAOLINITE (001) SURFACE

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Abstract—The capture and storage of carbon dioxide  $(CO<sub>2</sub>)$  have considerable potential for mitigating climate change. Adsorption is one of the most popular methods for the storage of  $CO<sub>2</sub>$ . The adsorption of CO2 molecules on the hydroxylated (001) surface of kaolinite was investigated using density-functional theory within the generalized gradient approximation and a supercell approach. The coverage dependence of the adsorption structures and energetics was studied systematically for a wide range of coverage,  $\Theta$ [from 0.11 to 1.0 monolayers (ML)], and adsorption sites. The  $CO<sub>2</sub>$  was adsorbed on the two-fold bridge-x (see the text for a definition) and the one-fold top-x sites in the bent, recumbent configuration, and on the three-fold hollow-z, two-fold bridge-z site, and the one-fold top-z sites in the vertical configuration. The surface-adsorbed binding site of  $CO<sub>2</sub>$  was strongest at the bridge-x site and weakest at the top-z site. The adsorption energy increased with coverage, thus indicating the greater stability of surface adsorption and a tendency to form  $CO_2$  islands (clusters) with increasing coverage. The other properties of the  $CO_2/$ kaolinite (001) system, including the different charge distribution, the lattice relaxation, and the electronic density of states, were also studied and are discussed in detail.

Key Words—Adsorption, Carbon Dioxide, First-principles Calculations, Kaolinite.

### INTRODUCTION

The control of greenhouse gases is arguably the most challenging environmental policy issue facing the world today. Carbon dioxide is considered to be the major greenhouse gas (GHG) (He et al., 2012). The average concentration of  $CO<sub>2</sub>$  in the atmosphere has increased significantly from 280 ppm (parts per million) in about 1850 to 379 ppm in 2005 and as a result, the average global temperature has increased by 0.6-1ºC during this period (Li *et al.*, 2013). The technology of  $CO<sub>2</sub>$  capture and storage (CCS) can provide a medium-term solution to mitigate environmental impacts (Kaya, 1995; Li et al., 2013; Luis et al., 2012; Aspelund and Jordal, 2007; Waldo, 2011). The technology of CCS usually consists of three parts:  $CO<sub>2</sub>$  capture, transport, and storage. Capture and storage have, by far, been the two most studied parts of the CCS chain, because transport has been considered to be the least technically challenging. As a result, many investigators have studied experimentally the storage of  $CO<sub>2</sub>$  using chemical and physical treatments. Adsorption is one of the most popular methods for the storage of  $CO<sub>2</sub>$  (Araki *et al.*, 2012; Lopez-Carreno et al., 1997; Choe et al., 2001; Do and Do, 2006; Baltrusaitis et al., 2011; Smykowski et al., 2013; Wood et al., 2012). Natural clay minerals, in particular, have received much attention as a possible low-cost adsorbent in the storage of  $CO<sub>2</sub>$  taken from contaminated air. A number of studies concerning clay minerals used to store  $CO<sub>2</sub>$  have been reported (Volzone, 2007; Venaruzzo et al., 2002; Xu et al., 2005; Ketzer et al., 2009). Due to the limitations of the experimental methods used, a theoretical analysis of the adsorption mechanism of  $CO<sub>2</sub>$  monomers on natural clay minerals from a microscopic point of view will improve understanding of the adsorptive properties of the clay mineral $-CO_2$  interface and the influence of  $CO_2$ adsorbed on the structure of clay minerals. Computer simulation based on density-functional theory (DFT) has proven a powerful and reliable tool in the study of  $CO<sub>2</sub>$ -solid interfaces at the molecular level. Kaolinite is one of the most abundant clay minerals and so a greater insight into the adsorption of  $CO<sub>2</sub>$  molecules on kaolinite surfaces through detailed first-principles analysis is needed.

Existing experimental (Adams, 1983; Benco et al., 2001; Bish, 1993) and theoretical (Hayashi, 1997; Hess and Saunders, 1992; Hobbs et al., 1997; Plancon and Giese, 1997; Teppen, et al., 1997; Hu and Angelos, 2008) data for the kaolinite  $Al_2Si_2O_5(OH)_4$  surface are often rationalized by modeling two surfaces as almost perfect 1:1 layer structures consisting of two different aluminosilicate surfaces. One side consists of a gibbsitetype sheet where Al ions are coordinated octahedrally by oxygen ions and hydroxyl groups; the other side of the layer consists of a silica sheet in which Si ions are coordinated tetrahedrally by oxygen ions only. Quantitative estimates indicate that gibbsite-sheet OH groups and adjoining silica-sheet O atoms in kaolinite have a certain degree of van der Waals attraction and hydrogen bonding (Sato et al., 2005). While the silica-

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sheet side is saturated and hydrophobic, the hydroxyl at the Al (oxyhydr)oxide side is hydrophilic. Kaolinite microparticles exist as hexagonal plates with a dominant (001) basal surface with almost perfect cleavage; this is the plane mainly exposed in kaolinite crystals (Solc  $et$ al., 2011; Giese, 1973). The kaolinite  $(001)$  hydroxyl surface is of primary interest in adsorption studies. The objectives here were to investigate  $CO<sub>2</sub>$  adsorption sites, adsorption energies, charge transfer,  $CO<sub>2</sub>$  structure during adsorption, and the structure of the intermediate reaction complex.

#### METHODS

Calculations were performed using the local-density approximation (LDA) as implemented in the Vienna ab initio simulation package (VASP) (Kress and Furthmüller, 1996). Projector augmented wave (PAW) pseudopotentials (Blöchl, 1994; Kress and Joubert, 1999) and plane waves were used. The energy cutoff for the plane-wave basis was 400 eV, which was sufficient to ensure errors of <0.01 eV in the calculated values for adsorption energies and activation barriers. The so-called 'repeated slab' geometries were applied. The kaolinite (001) surface was modeled using a slab composed of six atomic layers and a vacuum region of 20 A, which was found to be sufficiently convergent. During the calculations, all the H, O, and Al atoms in the outermost three layers (octahedral Al oxide surface), as well as the  $CO<sub>2</sub>$  molecules, were allowed to relax while the other three atomic layers (including the middle O and H atoms, the bottom silica, and the O atoms) of the slab were kept fixed at the calculated bulk positions. Unless otherwise mentioned, a  $(3 \times 3 \times 1)$  k-point grid for the p  $(2\times2)$  and p  $(3\times3)$  surface cell with a Monkhorst-Pack (Monkhorst and Pack, 1976) scheme was used. A Fermi broadening of  $0.02$  eV/ $\AA$  was chosen to smear the occupation of the bands around  $E_F$  by a finite-T Fermi function and extrapolating to  $T = 0$  K. In the present study, calculations for adsorbed  $CO<sub>2</sub>$ molecules at surface coverages that ranged from 0.11 to 1.0 ML were performed for 12 adsorption sites (Figure 1). The 12 adsorption sites included three onefold top sites  $(T_1-T_3)$ , three two-fold bridge sites  $(B_1 - B_3)$ , and six three-fold hollow sites  $(H_1 - H_6)$ . The CO2 coverages of 0.11, 0.33, 0.44, 0.67, 0.89, and 1.0 ML were calculated using the  $p$  (3  $\times$  3) surface unit cell, while coverages of 0.25, 0.5, and 0.75 ML were calculated for the  $p$  (2  $\times$  2) surface cell. The calculated lattice parameters of bulk kaolinite  $a = 5.155$  Å,  $b =$ 5.155 Å,  $c = 7.405$  Å,  $\alpha = 75.14^{\circ}$ ,  $\beta = 84.12^{\circ}$ , and  $\gamma =$  $60.18<sup>o</sup>$  were used throughout the study (Hess and Saunders, 1992).

## RESULTS

One important quantity,  $E_{ads}$ , tailored for the present study, is the average adsorption energy of the  $CO<sub>2</sub>$ molecules on the kaolinite substrate, defined as:

$$
E_{\text{ads}}(\Theta) =
$$
  
-  $\frac{1}{N_{\text{CO}_2}} \left[ E_{\text{CO}_2/\text{kaolinite}(001)} - E_{\text{kaolinite}(001)} - N_{\text{CO}_2} E_{\text{CO}_2} \right]$  (1)

Here  $N_{\text{CO}_2}$  is the total number of  $\text{CO}_2$  molecules present in the supercell at the coverage  $\Theta$  considered ( $\Theta$ is defined as the ratio of the number of molecules



Figure 1. Top view of the kaolinite (001) surface with (a) three top adsorption sites  $(T_1-T_3)$ , (b) three bridge adsorption sites  $(B_1-B_3)$ , and (c) six hollow adsorption sites  $(H_1-H_6)$ . White spheres = hydrogen; red spheres = oxygen; yellow spheres = aluminum; and purple spheres = silicon.

adsorbed to the number of molecules in an ideal substrate layer). The  $E_{\text{CO}_2/\text{k} \text{a} \text{o} \text{l} \text{in} \text{ite}}$  (001),  $E_{\text{k} \text{a} \text{o} \text{l} \text{in} \text{ite}}$  (001), and  $E_{CO}$ , are the total energies of the slabs containing CO2, of the corresponding clean kaolinite surface, and of a free  $CO<sub>2</sub>$  molecule, respectively. According to this definition, a positive value of  $E_{ads}$  indicates that the adsorption is exothermic (stable) with respect to a free CO2 molecule and a negative value indicates an endothermic (unstable) reaction. The adsorbed  $CO<sub>2</sub>$ coverage was  $0 < \Theta \le 1.0$ . All of the three kinds of high-symmetry adsorption sites on the (001) surface were considered (Hu and Angelos, 2008). The x, y, and z were used to differentiate  $O - C - O$  orientations. As shown in Figure 1, 36 kinds of adsorption sites for  $CO<sub>2</sub>$ molecules on the kaolinite (001) surface were considered. By employing the notation used in Figure 1, the

sites as top1 (top2, top3)-x, y, z, bridge1 (bridge2, bridge3)-x, y, z, and hollow1 (hollow2, hollow3, hollow4, hollow5, hollow6)-x, y, z were represented. For optimization, the three kinds of top-x and bridge-x adsorption states with the  $CO<sub>2</sub>$  molecule recumbent (laying) on the surface were stable (Figure 2a,c). The recumbent orientation represented a configuration with one C and two O atoms of  $CO<sub>2</sub>$  forming bonds with the surface. The end-down orientation represented a configuration in which one oxygen atom of  $CO<sub>2</sub>$  formed a bond with the surface (Figure 2b,d,e). The adsorption sites of the end-down configuration were all of the three kinds of the top-z, bridge-z, and six kinds of hollow-z sites after geometry optimization, respectively. The  $O - C - O$  bond of adsorbed  $CO<sub>2</sub>$  molecules on all six kinds of hollow-z site had an angle with the kaolinite (001) surface.



Figure 2. Top view of  $CO_2$  molecule adsorbed on the (a) top-x, (b) top-z, (c) bridge-x, (d) bridge-z, and (e) hollow-z sites of kaolinite (001). The adsorbed  $CO<sub>2</sub>$  molecule is shown in blue for clarity.



Figure 3. Calculated adsorption energy,  $E_{ads}$ , of the CO<sub>2</sub>/kaolinite (001) system vs. the coverage for the CO<sub>2</sub> molecule adsorption in different sites. The solid lines connecting the calculated adsorption energies are used as visual guides.

Meanwhile, all three kinds of top-x, bridge-x, top-z, bridge-z, and six kinds of hollow-z adsorption sites for  $CO<sub>2</sub>$  molecules had similar adsorption energies in the coverage regime  $0<\Theta \leq 1.0$ . The calculated adsorption energies,  $E_{ads}$ , of  $CO<sub>2</sub>$  on these five kinds of surface sites with respect to the free molecule  $CO<sub>2</sub>$  are illustrated (Figure 3) and summarized (Table 1) for different  $CO<sub>2</sub>$ coverage in the regime  $0 < \Theta \le 1.0$ . The calculated adsorption energies (Figure 3) revealed that the x orientation adsorption was always greater than for the z orientation adsorption. For the x orientation adsorption, the bridge-x site was more stable than the top-x site while for the z orientation adsorption, the hollow-z was more favorable than the bridge-z and top-z sites in the coverage regime  $0<\theta \leq 1.0$ . Meanwhile, the quantity for top-x, top-z, bridge-x, bridge-z, and hollow-z adsorption displayed a modestly increasing tendency with the  $CO<sub>2</sub>$ coverage (Figure 3), while the overall variation in the magnitude of  $E_{ads}$  was rather small in the range of coverage. The increasing adsorption with coverage indicated a significant attraction between the on-surface  $CO<sub>2</sub>$  molecules and implied a tendency to form  $CO<sub>2</sub>$ 

islands or clusters on the kaolinite (001) surface at  $0 < \Theta \le 1.0$ . In addition, the adsorption-energy difference between the top-x and bridge-x sites, as well as among the top-z, bridge-z, and hollow-z, displayed a notable increase with  $CO<sub>2</sub>$  coverage, which implied a substrateinduced anisotropy in the  $CO<sub>2</sub>$ -solid chemical bonding.

Calculated geometries for  $CO<sub>2</sub>$ -molecule adsorption on top-x, top-z, bridge-x, bridge-z, and hollow-z sites of kaolinite (001) at  $\Theta = 0.25, 0.5, 0.75,$  and 1.0, including the C-O bond lengths,  $d_{C-O}$  ( $\AA$ ), and bond angles,  $\angle OCO$  ( $\degree$ ), are listed in Table 2. In the first row of that table, the calculated values for a gaseous  $CO<sub>2</sub>$  molecule are listed for comparison. For all the adsorption sites, the two C-O bond lengths,  $d_{C-O1}$  and  $d_{C-O2}$ , varied little around 1.17 Å with increasing  $\Theta$  values. The values of the  $O - C - O$  internal angle ( $\angle OCO$ ) for all five types of adsorption sites decreased with increasing  $\Theta$ . Compared with the gas-phase value,  $\angle$ OCO on the top-z and bridgez sites decreased by 11º and 7º from 180º, respectively, while on the top-x, bridge-x, and hollow-z,  $\angle$ OCO varied little around 180°. For different CO<sub>2</sub> coverage values,  $\Theta$ , the height,  $h_{\text{CO}_2-H}$ , of adsorbate  $\text{CO}_2$  above the surface

Table 1. The calculated adsorption energy,  $E_{ads}$  (eV), as a function of molecular  $CO_2$  coverage on the different sites of kaolinite (001).

Site	ML0.11	ML0.25	ML0.33	ML0.44	ML0.5	ML0.67	ML0.75	ML0.89	ML1.0
$Top-x$	0.50	0.53	0.55	0.58	0.59	0.61	0.61	0.63	0.63
$Top-z$	0.19	0.20	0.24	0.25	0.25	0.27	0.30	0.30	0.31
Bridge-x	0.62	0.65	0.66	0.66	0.68	0.69	0.69	0.69	0.70
Bridge-z	0.20	0.26	0.26	0.28	0.31	0.35	0.35	0.36	0.36
Hollow-z	0.31	0.34	0.36	0.36	0.37	0.38	0.39	0.41	0.41

Table 2. The calculated geometries for the  $CO<sub>2</sub>$  molecule adsorption on top-x, top-z, bridge-x, bridge-z, and hollow-z sites of kaolinite (001). The C–O bond lengths  $d_{C-O}$  (Å) and bond angles ( $\angle OCO$ ) (°) of CO<sub>2</sub> molecules are listed. The first row lists the calculated values for a gaseous  $CO<sub>2</sub>$  molecule for comparison.

Site				$\underbrace{\hspace{1cm}} d_{C-O1} (\mathring{A})$ $\underbrace{\hspace{1cm}} d_{C-O2} (\mathring{A})$ $\underbrace{\hspace{1cm}}$				∠OCO				
	M <sub>1</sub> 0.25	M10.5	M10.75	M11.0	M10.25	M10.5	M <sub>1</sub> 0.75	M11.0	M10.25	M <sub>1</sub> 0.5	M <sub>1</sub> 0.75	M11.0
Free	1.16	1.16	1.16	1.16	1.16	1.16	1.16	1.16	180.0	180.0	180.0	180.0
$Top-x$	1.17	1.17	1.17	1.17	1.16	1.16	1.16	1.16	179.9	179.7	179.7	179.5
$Top-z$	1.18	1.18	1.18	1.17	1.18	1.18	1.17	1.17	169.1	168.1	168.0	171.2
Bridge-x	1.17	1.17	1.17	1.17	1.16	1.16	1.16	1.16	179.9	179.7	179.9	179.3
Bridge-z	1.17	1.17	1.17	1.17	1.17	1.17	1.17	1.17	172.5	171.9	171.9	172.1
Hollow-z	1.17	1.17	1.17	1.17	1.17	1.16	1.16	l.16	179.9	179.6	179.2	179.2

and the topmost interlayer relaxation  $\Delta d_{12}$  with CO<sub>2</sub> in the top-x, bridge-x, top-z, bridge-z, and hollow-z sites are summarized in Table 3. The  $\Delta d_{12}$  value was calculated according to the equation  $\Delta d_{12}$  =  $(d_{12}-d_0)/d_0$ , where  $d_{12}$  and  $d_0$  were the depth between the first and second layers of the relaxed surface and the corresponding depth between the first and second layers of the clean kaolinite (001) surface, respectively. The calculated results showed that the adsorption of  $CO<sub>2</sub>$  on kaolinite (001) induced notable changes in the interlayer distance of the substrate. In particular, for the top-x, topz, bridge-z, and hollow-z adsorption, the value of  $\Delta d_{12}$ was negative and decreased with  $CO<sub>2</sub>$  coverage, which meant that the distance between the topmost two atomic layers of the kaolinite (001) surface had contracted with increasing  $CO<sub>2</sub>$  coverage. On the contrary, for bridge-x adsorption, the value of  $\Delta d_{12}$  was negative from  $-7.63\%$ to  $-14.06\%$  in the coverage regime  $0.11 \le \Theta \le 1.0$ , which meant the topmost interlayer had also contracted but became smaller with increasing  $CO<sub>2</sub>$  coverage. These changes reflected the strong influence of the  $CO<sub>2</sub>$ adsorbates on the neighboring O atoms and, thus, resulted from significant redistribution of the electronic structure. The results verified that  $CO<sub>2</sub>$  adsorption caused the outermost kaolinite (001) layer separation to relax back to something close to its 'ideal' bulk value. With respect to the height  $h_{\text{CO}_2-H}$  of adsorbate  $\text{CO}_2$ above the surface, the results showed (Table 3) that, for all five types of adsorption sites, the values of  $h_{\text{CO}_2-H}$ decreased with increasing  $\Theta$ . The short height  $h_{\text{CO}_2-H}$ 

implied a strong interaction between the  $CO<sub>2</sub>$  and kaolinite surfaces. Note that the bridge-x site was slightly shorter than the other four types of sites, consistent with the fact that the bridge-x site was the most stable.

To gain more insight into the precise nature of the chemisorbed molecular state in the  $CO<sub>2</sub>/k$ aolinite (001) system, the electronic partial density of state (PDOS) of the  $CO<sub>2</sub>$  molecule and the neighboring O atoms were calculated. The results were analyzed by means of the electron density difference  $\Delta \rho(r)$  which was obtained by subtracting the electron densities of non-interacting component systems,  $\rho^{koolinite}$  (001)(r) +  $\rho CO_2(r)$ , from the density  $\rho(r)$  of the CO<sub>2</sub>/kaolinite (001) system, while retaining the atomic positions of the component system at the same location as in  $CO<sub>2</sub>/k$ aolinite (001). Positive (blue)  $\rho(r)$  indicated an accumulation of electron density upon binding, while a negative (yellow) corresponded to electron-density depletion. As a typical example, the PDOS for the two kinds of stable adsorption configuration of top-z and bridge-x were plotted (Figure 4); the electron-density differences are shown in Figure 4b,f (insets). For comparison, the PDOS of the free  $CO<sub>2</sub>$ molecule and the neighboring O atoms of clean kaolinite  $(001)$  were also calculated. After  $CO<sub>2</sub>$ -molecule adsorption on the top-z site of kaolinite (001), the  $3\sigma$ ,  $4\sigma$ ,  $1\pi$ , 5 $\sigma$  bonding, and  $2\pi$  antibonding orbitals of CO<sub>2</sub> shifted down in energy by 3.70, 3.53, 3.85, 3.55, and 3.85 eV, respectively. Furthermore, the amplitudes of all bonding and antibonding orbitals were much weaker than those in

Table 3. The calculated adsorbate height ( $h_{C-O}$ ) and the interlayer relaxation ( $\Delta d_{12}$ ) for different coverage of atomic CO<sub>2</sub> adsorption on the kaolinite (001) surface.

Coverage $\Theta$			$h_{C-O}$		$\Delta d_{12}$ (%) –				
	$0.25$ ML	$0.5$ ML	$0.75$ ML	$1.0$ ML	$0.25$ ML	$0.5$ ML	$0.75$ ML	1.0 ML	
$Top-x$	2.13	2.13	2.08	2.03	$-6.01$	$-5.38$	$-4.84$	$-4.63$	
$Top-z$	4.02	3.83	3.64	3.51	$-7.77$	$-6.83$	$-6.53$	$-5.89$	
Bridge-x	2.29	2.27	2.27	2.26	$-7.63$	$-10.62$	$-10.62$	$-14.06$	
Bridge-z	3.79	3.64	3.49	3.31	$-7.13$	$-6.20$	$-5.19$	$-4.93$	
Hollow-z	3.78	3.49	3.26	3.12	$-7.14$	$-6.34$	$-5.87$	$-5.64$	



Figure 4. The PDOS plots for the  $CO_2$  molecule and the neighboring O atoms bonded to  $CO_2$  at the stable top-z and bridge-x adsorption sites on surface: (a,b) free and adsorbed  $CO<sub>2</sub>$  molecule at the stable top-z adsorption site; (c,d) clean and adsorbed kaolinite (001) surface at the stable top-z adsorption site; (e,f) free and adsorbed  $CO_2$  molecule at the stable bridge-x adsorption site; (g,h) clean and adsorbed kaolinite (001) surface at the stable bridge-x adsorption site. The insets show the side view of electron density difference for the CO<sub>2</sub> atom at the stable (b) top-z and (f) bridge-x adsorption sites. The Fermi energy is set at zero.

the free  $CO<sub>2</sub>$ . These features were essentially caused by the different electronegativities of kaolinite and  $CO<sub>2</sub>$ molecules, which induced charge redistribution and thus built a global electrostatic attraction between the  $CO<sub>2</sub>$ and neighboring O atoms. The result was substantiated by the 3D electron density difference. A large charge accumulation existed between the adsorbate and substrate; an O-O bond was formed, which donated electrons from a neighboring surface O atom to the CO2 molecule. The PDOS for the most stable adsorption configuration of bridge-x was calculated (Figure 4e,h). The bonding and antibonding orbitals of the  $CO<sub>2</sub>$ molecule were shifted to a much lower energy and the amplitudes of  $1\pi$  bonding and  $2\pi$  antibonding were weaker than those in free  $CO<sub>2</sub>$  molecules, even in the adsorbed  $CO<sub>2</sub>$  on the top-z site. The sp electronic states of adsorbed  $CO<sub>2</sub>$ , in particular, expanded in energy compared with free  $CO<sub>2</sub>$  and the adsorbed  $CO<sub>2</sub>$  on the top-z site. The overlap in energy between adsorbed  $CO<sub>2</sub>$ and neighboring O atoms of kaolinite (001) surface electrons ranged from  $-9.15$  eV to  $-3.46$  eV. From the

3D electron-density difference (Figure 4h, inset), one C-O and two H-O bonds were formed, among which two donate electrons from surface H atoms to the O atoms of the  $CO<sub>2</sub>$  molecule and donated electrons from the C atom of the  $CO<sub>2</sub>$  molecule to neighboring surface O atoms. These results illustrated that the bridge-x adsorption site was more stable than the top-z adsorption site for  $CO<sub>2</sub>$  molecules.

The orbital-resolved PDOS for  $CO<sub>2</sub>$  adsorption on the bridge-x site, and the neighboring O and H atoms at  $\Theta$  = 0.11 and  $\Theta = 1.0$ , are shown in Figures 5a and 5b, respectively. The Fermi energy was set at zero. At low coverage ( $\Theta = 0.11$ ), the narrow, low-amplitude peak at  $\sim$  -7.92 eV was denoted the 'CO<sub>2</sub> p state' (Figure 5a), which was largely hybridized with the p state of the neighboring O atoms; the hybridization between  $CO<sub>2</sub>$  p and O sp states was negligible. With increasing  $CO<sub>2</sub>$ coverage (Figure 5b for  $\Theta = 1.0$  ML), three prominent changes involving the C-O chemical bonding occurred: (1) the peaks in the CO<sub>2</sub> 3 $\sigma$ , 4 $\sigma$ , 1 $\pi$ , 5 $\sigma$  bonding, and  $2\pi$ antibonding shifted down in energy; the amplitudes of all



Figure 5. The PDOS for the bridge-x adsorption site on surface  $CO_2$  molecules and the neighboring O atoms at (a)  $\Theta = 0.11$  and (b)  $\Theta$  $= 1.0$  where the inset shows the top view of electron density difference for CO<sub>2</sub> atoms at  $\Theta = 0.11$  and  $\Theta = 1.0$ , respectively. The Fermi energy is set at zero.

bonding and antibonding orbitals were much weaker than those in the case of  $\Theta = 0.11$  ML. (2) Compared to the case where  $\Theta = 0.11$  ML, the hybridization of CO<sub>2</sub> p and surface O p states was distinctly enhanced in the case of  $\Theta$  = 1.0 ML. The change in the CO<sub>2</sub> p PDOS was due to the fact that at high coverage for  $\Theta = 1.0$  ML, the CO<sub>2</sub> adatom was highly coordinated, which drove the  $CO<sub>2</sub>$  p state to bond not only with the s states but also the p states of the O and H atoms. Because the O sp states lay mainly in the interior of the valence band, the  $CO<sub>2</sub>$  p state thus had to shift down in energy in order to overlap with the O p states. In particular, the main peak around  $E = -8.6$  eV in the  $CO<sub>2</sub>$  p PDOS in Figure 5b was a result of the hybridization between  $CO<sub>2</sub>$  p states and O sp states. (3) The small  $CO_2$  peak at  $-9.36$  eV tended to vanish due to a large weight transfer of these states to a higher energy, caused by the formation of bonding states between  $CO<sub>2</sub>$  p and O p orbitals. This was most notable for surface O p states. In fact, in the  $-9.7 \leq E \leq -7.9$  eV energy interval (Figure 5b), a large number of surface O p states were empty in this energy region at low  $CO<sub>2</sub>$ coverage (Figure 5a) of the kaolinite (001) surface. The empty O p states will gain energy, which will overcompensate the energy lost by elevation of the  $CO<sub>2</sub>$  p state when adsorbed  $CO<sub>2</sub>$  coverage is increased. A top view of the electron density difference between  $CO_2$  atoms at  $\Theta$  = 0.11 and  $\Theta$  = 1.0 (Figures 5a and 5b) reveals that the charge redistribution was mainly at the surface and involved the adsorbed  $CO<sub>2</sub>$  molecule and the topmost O atoms. The results further revealed that upon adsorption,

electrons flowed from the O  $p$  state into the  $CO<sub>2</sub>$  p state, resulting in a depletion of the surface electrons. With increasing  $CO<sub>2</sub>$  coverage, more surface O sp electrons transferred to the localized  $CO<sub>2</sub>$  1 $\pi$  and 5 $\sigma$  orbitals, suggesting that the covalent character of the  $O-O$ bonding increased with  $CO<sub>2</sub>$  coverage (Figure 5b, inset).

## SUMMARY

The adsorption of  $CO<sub>2</sub>$  molecules on the kaolinite (001) surface were investigated systematically using first-principles DFT-LDA calculations. A wide range of coverage from 0.11 to 1.0 ML was considered using different surface models [i.e. p (3 × 3) and p (2 × 2) surface unit cells] for adsorption in the surface top-x, top-z, bridge-x, bridge-z, and hollow-z sites. In the coverage range of  $0 < \Theta < 1.0$ , the most stable among all possible pure adsorbed sites was the bridge-x site, followed by the top-x, hollow-z, bridge-z, and top-z sites. The atomic geometry, the charge-density distribution, and the electronic structure upon the adsorbed  $CO<sub>2</sub>$ was also studied, which showed consistently the fundamental influence of covalent bonding between the  $CO<sub>2</sub>$ molecule and surface O atoms. Remarkably, this influence on the energy increased with increasing the  $CO<sub>2</sub>$  coverage. The increase in the  $CO<sub>2</sub>$  adsorption energy for all five types of sites with  $\Theta$  in the coverage range  $0<\Theta \leq 1.0$  implied the effective attraction between the  $CO<sub>2</sub>$  adsorbates and kaolinite (001) surface, making it favorable for the formation of  $CO<sub>2</sub>$  islands or clusters.

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