

ON DISCRIMINANTS OF BINARY QUADRATIC FORMS WITH A SINGLE CLASS IN EACH GENUS

S. CHOWLA AND W. E. BRIGGS

1. Introduction. Consider the classes of positive, primitive binary quadratic forms $ax^2 + bxy + cy^2$ of discriminant $-\Delta = d = b^2 - 4ac < 0$. Dickson (2, p. 89) lists 101 values of Δ such that $-\Delta$ is a discriminant having a single class in each genus. The largest value given is 7392, and Swift (7) has shown that there are no more up to 10^7 . Sixty-five of these values are divisible by 4. For these values, $\Delta/4$ is called an idoneal number; its properties were investigated by Euler.

We write as usual

$$L_k(s) = \sum_1^{\infty} \chi(n)n^{-s}, \quad \Re(s) > 0,$$

where throughout $\chi(n)$ is a real non-principal character modulo k ; also $\zeta(s)$ is the Riemann zeta function defined for $\Re(s) > 1$ by

$$\zeta(s) = \sum_1^{\infty} n^{-s}.$$

We prove the two theorems:

THEOREM I. *If $\Delta > 10^{60}$, there is at most one fundamental discriminant $-\Delta$ with a single class in each genus.*

THEOREM II. *If $L_k(53/54) \geq 0$ for $k > 10^{14}$, there are for $\Delta > 10^{14}$ no fundamental discriminants $-\Delta$ with a single class in each genus.*

Chowla (1) proved that as d approaches $-\infty$, the number of classes in each genus tends to ∞ , so that after some indeterminate point, there are no discriminants with a single class in each genus. This also follows from the well-known inequality of Siegel (6) which states that $L_k(1) > k^{-\epsilon}$, $k > k_0(\epsilon)$.

If $h(d)$ is the class number, then for fundamental discriminants $d < -4$,

$$h(d) = \frac{\sqrt{\Delta}}{\pi} \sum_1^{\infty} \left(\frac{d}{n}\right) \frac{1}{n} = \frac{\sqrt{\Delta}}{\pi} L_{\Delta}(1),$$

since the Kronecker symbol is a real non-principal character modulo Δ . The number of genera into which these classes are divided is either 2^{t-1} or 2^t , where t is the number of distinct prime factors of d .

Received March 8, 1954. This research was supported by the United States Air Force, through the Office of Scientific Research of the Air Research and Development Command.

2. Some lemmas.

LEMMA 1. $|\zeta(\frac{1}{2} + it)| \leq 2(|t| + 1)$.

Since (8, p. 14)

$$\zeta(s) = \frac{s}{s-1} - s \int_1^\infty \frac{x - [x]}{x^{s+1}} dx, \quad \Re(s) > 0,$$

$$|\zeta(\frac{1}{2} + it)| \leq \left| \frac{\frac{1}{2} + it}{-\frac{1}{2} + it} \right| + (\frac{1}{2} + |t|) \int_1^\infty x^{-3/2} dx = 1 + 2(\frac{1}{2} + |t|).$$

LEMMA 2. $|L_k(\frac{1}{2} + it)| \leq (2|t| + 1)\sqrt{k} \log k$.

Let

$$S(x) = \sum_{n \leq x} \chi(n).$$

Then, for $\Re(s) > 0$,

$$\begin{aligned} L_k(s) &= \sum_1^\infty \frac{S(n) - S(n-1)}{n^s} = \sum_1^\infty S(n) \{n^{-s} - (n+1)^{-s}\} \\ &= \sum_1^\infty S(n) s \int_n^{n+1} \frac{dx}{x^{s+1}} = s \int_1^\infty \frac{S(x)}{x^{s+1}} dx. \end{aligned}$$

But $|S(x)| \leq \sqrt{k} \log k$ (5, Satz 494), hence,

$$|L_k(\frac{1}{2} + it)| \leq (\frac{1}{2} + |t|)\sqrt{k} \log k \int_1^\infty x^{-3/2} dx = 2\sqrt{k}(\frac{1}{2} + |t|) \log k.$$

We define for complex $s \neq 1$,

$$F(s) = \zeta(s) L_k(s).$$

For $\Re(s) > 1$, we write

$$\zeta(s)L_k(s) = \sum_1^\infty a_n n^{-s}.$$

Then $a_1 = 1$, $a_n \geq 0$, and $a_n \geq 1$ if $n = r^2$ (3, p. 428). Let

$$G(x) = \sum_1^\infty a_n e^{-nx}, \quad x > 0.$$

By a theorem of Mellin (5, Satz 231),

$$e^{-x} = \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} \Gamma(s)x^{-s} ds, \quad x > 0.$$

Therefore

$$G(x) = \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} \Gamma(s)x^{-s} F(s) ds.$$

This integral can be evaluated by applying Cauchy's Theorem to the rectangle with vertices $2 \pm Ti$, $\frac{1}{2} \pm Ti$, $T > 0$. On the horizontal paths, the integral has the order (5, Satz 229, Satz 407)

$$O\left(\frac{T^{5/2}}{e^{\frac{1}{2}\pi T} \sqrt{x}}\right).$$

Letting $T \rightarrow \infty$, we obtain, because of the singularity at $s = 1$,

$$(1) \quad G(x) = \frac{L_k(1)}{x} + \frac{1}{2\pi i} \int_{\frac{1}{2}-i\infty}^{1+i\infty} \Gamma(s)x^{-s}F(s) ds.$$

LEMMA 3.

$$|\Gamma(\frac{1}{2} + it)| = \frac{\sqrt{\pi}}{\sqrt{\cosh \pi t}}.$$

This follows from $\Gamma(s) \Gamma(1 - s) = \pi/\sin s\pi$.

From Lemma 3,

$$(2) \quad |\Gamma(\frac{1}{2} + it)| \leq \sqrt{2\pi} e^{-\frac{1}{2}\pi |t|}.$$

LEMMA 4. If $L_k(53/54) \geq 0$ for $k > 10^{14}$, then

$$L_k(1) > \frac{1}{54} k^{1/27}.$$

From (1), (2), Lemmas 1 and 2, we obtain

$$\begin{aligned} \left|G(x) - \frac{L_k(1)}{x}\right| &< \frac{1}{2\pi\sqrt{x}} \int_{-\infty}^{\infty} \sqrt{2\pi} e^{-\frac{1}{2}\pi |t|} 2\sqrt{k}(2|t|^2 + 3|t| + 1) \log k dt \\ &= \frac{2\sqrt{2k} \log k}{\sqrt{\pi x}} \int_0^{\infty} (2t^2 + 3t + 1) e^{-\frac{1}{2}\pi t} dt \\ &= \frac{2\sqrt{2k} \log k}{\sqrt{\pi x}} \left(\frac{32}{\pi^3} + \frac{12}{\pi^2} + \frac{2}{\pi}\right) < \frac{5\sqrt{k} \log k}{\sqrt{x}}, \end{aligned}$$

and

$$(3) \quad \left|G\left(\frac{x}{k}\right) - \frac{kL_k(1)}{x}\right| < \frac{5k \log k}{\sqrt{x}}.$$

Next for $\Re(s) > 1$,

$$\begin{aligned} \Gamma(s) &= \int_0^{\infty} e^{-x} x^{s-1} dx = \left(\frac{n}{k}\right)^s \int_0^{\infty} e^{-nx/k} x^{s-1} dx, \\ k^s \Gamma(s) F(s) &= \int_0^{\infty} x^{s-1} G\left(\frac{x}{k}\right) dx. \end{aligned}$$

Therefore

$$(4) \quad k^s \Gamma(s) F(s) - \frac{kL_k(1)t^{s-1}}{s-1} = \int_0^t x^{s-1} \left\{G\left(\frac{x}{k}\right) - \frac{kL_k(1)}{x}\right\} dx + \int_t^{\infty} x^{s-1} G\left(\frac{x}{k}\right) dx = I_1 + I_2.$$

From now on suppose $53/54 \leq s < 1$. Then (4) still holds on noting (3).

Now set $t = 1/k$. Then, for $k > 10^{14}$,

$$\begin{aligned} I_2 &= \int_{k^{-1}}^{\infty} x^{s-1} G\left(\frac{x}{k}\right) dx = k^s \int_{k^{-2}}^{\infty} x^{s-1} G(x) dx > k^s \int_{k^{-2}}^1 G(x) dx \\ &\geq k^s \int_{k^{-2}}^1 \sum_{r=1}^{\infty} e^{-r^2 x} dx \geq k^s \sum_{r=1}^{100} \frac{1}{r^2} (e^{-r^2/k^2} - e^{-r^2}) \\ &\geq k^s \left\{ \left[\frac{\pi^2}{6} - 10^{-2} \right] [1 - 10^{-24}] - \sum_1^3 \frac{e^{-r^2}}{r^2} - 97 \frac{e^{-16}}{16} \right\} \\ &\geq \frac{5}{4} k^s. \\ |I_1| &< \int_0^t x^{s-1} \frac{5k \log k}{\sqrt{x}} dx = \frac{5kt^{s-\frac{1}{2}}}{s-\frac{1}{2}} \log k. \end{aligned}$$

Hence

$$I_1 + I_2 > \frac{5}{4} k^s - \frac{5k^{(3/2)-s}}{s-\frac{1}{2}} \log k;$$

and it is easily seen that for $k > 10^{14}$

$$I_1 + I_2 > k^s.$$

To complete the proof of Lemma 4, take $s = 53/54$. Then $\zeta(s) < 0$ and $L_k(s) \geq 0$. Hence the first term of (4) is non-positive and so

$$\frac{kL_k(1)t^{s-1}}{1-s} > k^s$$

or

$$(5) \quad L_k(1) > (1-s)k^{2(s-1)}.$$

This is the result, since (5) holds at $53/54$.

LEMMA 5. *If $-d = \Delta > 10^{14}$ then $2^t < \Delta^{0.3}$, and if $-d = \Delta > 10^{60}$ then $2^t < \Delta^{0.2}$.*

The smallest positive integer with r prime factors is the product of the first r primes. Let this product be P_r . Then the lemma follows easily by induction, since if

$$\begin{aligned} 2^r &< (P_r)^m, \\ 2^{r+1} &< 2(P_r)^m < (P_{r+1})^m, & p_{r+1} &> 2^{1/m}, \end{aligned}$$

and $r = 13$ is the smallest value of r such that $P_r > 10^{14}$, and $r = 37$ is the smallest value of r such that $P_r > 10^{60}$.

3. Proof of the theorems. We first prove Theorem II. From

$$h(d) = \frac{\sqrt{\Delta}}{\pi} L_{\Delta}(1),$$

and Lemma 4, we have for $\Delta > 10^{14}$,

$$h(d) > \frac{\sqrt{\Delta}}{\pi} \frac{1}{54 \Delta^{1/27}} = \frac{\Delta^{25/54}}{54\pi}.$$

By Lemma 5, the number of genera is less than $\Delta^{0.3}$ for $\Delta > 10^{14}$. Therefore the theorem is true whenever

$$\frac{\Delta^{25/54}}{54\pi} > \Delta^{0.3}$$

which holds for $\Delta > 10^{14}$.

We now prove Theorem I. We assume there are two such discriminants d_1, d_2 with $\Delta_1 > \Delta_2 > 10^{60}$ and show that this leads to a contradiction. The tests given by Swift (7) for a discriminant to have more than a single class in each genus show that if d has a single class in each genus, then $d, d/4$, or $d/16$ is a fundamental discriminant. From this Theorem 1 can be extended to all discriminants without difficulty but with tedium.

Landau (4, p. 281) proved

$$(6) \quad \frac{h(d_1)}{\sqrt{\Delta_1 \log^2 \Delta_1}} + \frac{h(d_2)}{\sqrt{\Delta_2 \log^2 \Delta_2}} > \frac{1}{5 \log^5 (\Delta_1 \Delta_2)}.$$

By assumption

$$(7) \quad h(d_1) \leq 2^{t_1} < \Delta_1^\delta; \quad h(d_2) \leq 2^{t_2} < \Delta_2^\delta, \quad \delta < 1/5,$$

where the upper bound for δ follows from Lemma 4. From (6)

$$\frac{2}{\Delta_1^{1-\delta} \log^2 \Delta_1} > \frac{1}{5 \log^5 (\Delta_2^2)} = \frac{1}{160 \log^5 \Delta_2},$$

or

$$(8) \quad \log \Delta_2 > \Delta_1^{(1-2\delta)/10}.$$

Next define

$$P(s) = \zeta(s) L_{k_1}(s) L_{k_2}(s) L_{k_1, k_2}(s),$$

where χ_1, χ_2 , the characters in

$$L_{k_1}(s), L_{k_2}(s),$$

are real primitive non-principal characters modulo k_1 and $k_2, k_1 \neq k_2$. Also

$$L_{k_1, k_2}(s) = \sum_1^\infty \frac{\chi_1(n) \chi_2(n)}{n^s}.$$

Write for $\Re(s) > 1$

$$P(s) = \sum_1^\infty b_n n^{-s}.$$

Again $b_1 = 1, b_n \geq 0$, and $b_n \geq 1$ if $n = r^2$. Let

$$H(x) = \sum_1^\infty b_n e^{-nx}, \quad x > 0.$$

As we obtained (1), we now obtain

$$(9) \quad H(x) = \frac{L^*}{x} + \frac{1}{2\pi i} \int_{\frac{1}{2}-i\infty}^{\frac{1}{2}+i\infty} \Gamma(s) x^{-s} P(s) ds,$$

where

$$L^* = L_{k_1}(1) L_{k_2}(1) L_{k_1 k_2}(1).$$

From (9), (2), Lemmas 1 and 2, results,

$$\begin{aligned} & \left| H(x) - \frac{L^*}{x} \right| \\ & < \frac{1}{2\pi\sqrt{x}} \int_{-\infty}^{\infty} \sqrt{2\pi} e^{-\frac{1}{2}\pi|t|} 2(|t|+1)(2|t|+1)^3 k_1 k_2 \log k_1 \log k_2 \log(k_1 k_2) dt \\ & = \frac{2\sqrt{2} k_1 k_2 \log k_1 \log k_2 \log(k_1 k_2)}{\sqrt{\pi x}} \int_0^{\infty} e^{-\frac{1}{2}\pi t} (8t^4 + 20t^3 + 18t^2 + 7t + 1) dt \\ & = \frac{2\sqrt{2} k_1 k_2 \log k_1 \log k_2 \log(k_1 k_2)}{\sqrt{\pi x}} \left(\frac{6144}{\pi^5} + \frac{1920}{\pi^4} + \frac{288}{\pi^3} + \frac{28}{\pi^2} + \frac{2}{\pi} \right) \\ & < \frac{100 k_1 k_2 \log k_1 \log k_2 \log(k_1 k_2)}{\sqrt{x}}. \end{aligned}$$

Therefore

$$(10) \quad \left| H\left(\frac{x}{k_1 k_2}\right) - \frac{L^* k_1 k_2}{x} \right| < \frac{100(k_1 k_2)^{3/2} \log k_1 \log k_2 \log(k_1 k_2)}{\sqrt{x}}.$$

As we obtained (4), we now obtain, for $\Re(s) > 1$,

$$\begin{aligned} (11) \quad & (k_1 k_2)^s \Gamma(s) P(s) - \frac{k_1 k_2 L^* q^{s-1}}{s-1} \\ & = \int_0^q x^{s-1} \left\{ H\left(\frac{x}{k_1 k_2}\right) - \frac{k_1 k_2 L^*}{x} \right\} dx + \int_q^{\infty} x^{s-1} H\left(\frac{x}{k_1 k_2}\right) dx \\ & = J_1 + J_2. \end{aligned}$$

Suppose now $53/54 \leq s < 1$. Then (11) still holds by (10). Put $q = (k_1 k_2)^{-2}$. As before, we obtain

$$J_2 > \frac{5}{4} (k_1 k_2)^s,$$

and

$$|J_1| < 100(k_1 k_2)^{3/2} \log k_1 \log k_2 \log(k_1 k_2) \frac{q^{s-\frac{1}{2}}}{s-\frac{1}{2}}.$$

Hence for $s \geq 53/54$

$$J_1 + J_2 > (k_1 k_2)^s, \quad k_1, k_2 > 10^{60}.$$

Therefore from (11) follows

LEMMA 6. If $P(s_0) \leq 0$, $53/54 \leq s_0 < 1$, then

$$L_{k_1}(1) L_{k_2}(1) L_{k_1 k_2}(1) > (1 - s_0) (k_1 k_2)^{3(s_0-1)}$$

for $k_1, k_2 > 10^{60}$.

From (7),

$$(12) \quad L_{\Delta_1}(1) < \frac{\pi}{\Delta_1^{\frac{1}{4}-\delta}}; \quad L_{\Delta_2}(1) < \frac{\pi}{\Delta_2^{\frac{1}{4}-\delta}}.$$

But

$$\frac{\pi}{\Delta_1^{\frac{1}{4}-\delta}} < \frac{1}{54\Delta_1^{1/27}}, \quad \Delta_1 > 10^{60},$$

and therefore by Lemma 4,

$$L_{\Delta_1}(53/54) < 0,$$

which means that

$$L_{\Delta_1}(s_0) = 0, \quad 53/54 < s_0 < 1,$$

and that $P(s_0) = 0$. Furthermore

$$(13) \quad L_{\Delta_1}(1) = (1 - s_0) L'_{\Delta_1}(v), \quad s_0 < v < 1.$$

Let $53/54 \leq s < 1$ and $S(x) = \sum_1^x \chi(n)$. Then

$$L'_k(s) = - \sum_1^\infty \frac{\chi(n) \log n}{n^s} = - \sum_{x=1}^\infty S(x) \left[\frac{\log x}{x^s} - \frac{\log(x+1)}{(x+1)^s} \right],$$

so that

$$\begin{aligned} |L'_k(s)| &\leq \sum_{x=1}^k x \left| \frac{\log x}{x^s} - \frac{\log(x+1)}{(x+1)^s} \right| + \sum_{k+1}^\infty \sqrt{k} \log k \left| \frac{\log x}{x^s} - \frac{\log(x+1)}{(x+1)^s} \right| \\ &\leq \sum_{x=1}^k x \left| \frac{1 - s \log(x+c_x)}{(x+c_x)^{s+1}} \right| + \frac{\log^2 k}{k^{s-\frac{1}{4}}}, \quad 0 < c_x < 1, \\ &\leq 1 + 1 + \sum_{x=3}^k x \frac{s \log x}{x^{s+1}} + \frac{\log^2 k}{k^{s-\frac{1}{4}}} \\ &\leq 2 + 54 \log k [k^{1/54} - 2^{1/54}] + 10^{-24} \log^2 k, \quad k > 10^{60}. \\ &< 55 k^{1/54} \log k. \end{aligned}$$

Also

$$L_{\Delta_1}(1) = \frac{\pi}{\sqrt{\Delta_1}} h(d_1) \geq \frac{\pi}{\sqrt{\Delta_1}}.$$

Therefore from (13), we obtain

$$(14) \quad 1 - s_0 > \frac{\pi}{55\Delta_1^{14/27} \log \Delta_1}.$$

By (8),

$$\Delta_2 > \exp \Delta_1^{3/50} > \Delta_1^5, \quad \Delta_1 > 10^{60},$$

or

$$(15) \quad \Delta_1 < \Delta_2^{1/5}.$$

As is well known (4, p. 281),

$$L_{\Delta_1, \Delta_2}(1) < 3 \log(\Delta_1 \Delta_2).$$

Applying this, (12), (14), (15) to Lemma 6, gives

$$\begin{aligned} L_{\Delta_2}(1) &> \frac{(\Delta_2^{6/5})^{-1/18}}{165(\Delta_2^{1/5})^{\delta+1/54} \log(\Delta_2^{1/5}) \log(\Delta_2^{6/5})} \\ &> \frac{1}{40\Delta_2^{(2/27)+\delta/5} \log^2 \Delta_2} > \frac{1}{40\Delta_2^{0.2}} > \frac{\pi}{\Delta_2^{\frac{1}{2}-\delta}}, \end{aligned}$$

which contradicts (12).

REFERENCES

1. S. Chowla, *An extension of Heilbron's class-number theorem*, Quart. J. Math., 5 (1934), 304–307.
2. L. E. Dickson, *Introduction to the theory of numbers* (Chicago, 1929).
3. E. Landau, *Handbuch der Lehre von der Verteilung der Primzahlen* (Berlin, 1909).
4. ———, *Über Imaginär-quadratische Zahlkörper mit gleicher Klassenzahl*, Gött. Nachr. (1918), 277–284.
5. ———, *Vorlesungen über Zahlentheorie* (New York, 1947).
6. C. L. Siegel, *Über die Classenzahl quadratischer Zahlkörper*, Acta Arith., 1 (1936), 83–86.
7. J. D. Swift, *Note on discriminants of binary quadratic forms with a single class in each genus*, Bulletin Amer. Math. Soc., 54 (1948), 560–561.
8. E. C. Titchmarsh, *The theory of the Riemann Zeta-Function* (Oxford, 1951).

University of Colorado