

THE DIVISOR PROBLEM FOR (k, r) — INTEGERS¹

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1. Introduction

Let k and r be fixed integers such that $1 < r < k$. It is well-known that a positive integer is called r -free if it is not divisible by the r -th power of any integer > 1 . We call a positive integer n , a (k, r) -integer, if n is of the form $n = a^k b$, where a is a positive integer and b is a r -free integer. In the limiting case, when k becomes infinite, a (k, r) -integer becomes a r -free integer and so one might consider the (k, r) integers as generalized r -free integers.

It has been shown by one of the authors and V. Siva Rama Prasad [4] that if $\tau_{(r)}(n)$ denotes the number of r -free divisors of n , then for $x \geq 3$,

$$(1.1) \quad \sum_{n \leq x} \tau_{(r)}(n) = \frac{x}{\zeta(r)} \left(\log x + 2\gamma - 1 - \frac{r\zeta'(r)}{\zeta(r)} \right) + \Delta_r(x),$$

where $\Delta_r(x) = O(x^{1/r} \delta(x))$ or $O(x^\alpha)$, according as $r = 2, 3$ or $r \geq 4$; $\delta(x) = \exp\{-A \log^{3/5} x (\log \log x)^{-1/5}\}$, A being a positive constant and α is the number which appears in the Dirichlet divisor problem

$$(1.2) \quad \sum_{n \leq x} \tau(n) = x(\log x + 2\gamma - 1) + O(x^\alpha),$$

where $\tau(n)$ is the number of divisors of n .

It is known that $\frac{1}{4} < \alpha < \frac{1}{3}$ (cf. [1], p. 272). The best result yet proved has been obtained recently by Kolesnik [2], who proved that the error term in (1.2) is $O(x^{(12/37)+\epsilon})$, for any $\epsilon > 0$. There is a conjecture that $\alpha = \frac{1}{4} + \epsilon$. In the formula (1.1), $\zeta(s)$, denotes the Riemann Zeta function and $\zeta'(s)$ its derivative and γ is Euler's constant.

It has also been shown in [4] on the assumption of the Riemann hypothesis that $\Delta_2(x) = O(x^{(2-\alpha)/(5-4\alpha)} \omega(x))$, $\Delta_3(x) = O(x^{(2-\alpha)/(7-6\alpha)} \omega(x))$ and $\Delta_r(x) = O(x^\alpha)$

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for $r \geq 4$, where $\omega(x) = \exp\{A \log x (\log \log x)^{-1}\}$, A being a positive constant. For earlier (weaker) estimations of $\Delta_r(x)$ by various authors, we refer to the bibliography given in [4].

Let us call a divisor d of a positive integer n , a (k, r) -divisor of n if d is a (k, r) -integer. Let $\tau_{(k,r)}(n)$ denote the number of (k, r) -divisors of n . The object of this paper is to prove the following:

THEOREM 1. For $1 < r < k$ and $x \geq 3$,

$$(1.3) \quad \sum_{n \leq x} \tau_{(k,r)}(n) = \frac{\zeta(k)x}{\zeta(r)} \left(\log x + 2\gamma - 1 - \frac{r\zeta'(r)}{\zeta(r)} + \frac{k\zeta'(k)}{\zeta(k)} \right) + \Delta_{k,r}(x),$$

where $\Delta_{k,r}(x) = O(x^{1/r} \delta(x))$ or $O(x^\alpha)$, according as $r = 2, 3$ or $4 \leq r < k$, the θ -estimates being uniform in k ; $\delta(x) = \exp\{-B \log^{3/5} x (\log \log x)^{-1/5}\}$, B being a positive constant and α is the number which appears in (1.2).

THEOREM 2. If the Riemann hypothesis is true, then the error term $\Delta_{k,r}(x)$ in (1.3) has the following improved θ -estimates:

$$\Delta_{3,2}(x) = O(x^{5/11} \omega(x)), \Delta_{k,2}(x) = O(x^{(2-\alpha)/(5-4\alpha)} \omega(x))$$

for $k \geq 4$, $\Delta_{k,3}(x) = O(x^{(2-\alpha)/(7-6\alpha)} \omega(x))$ for $k \geq 4$ and $\Delta_{k,r}(x) = O(x^\alpha)$ for $4 \leq r < k$; where the θ -estimates are uniform in k and $\omega(x) = \exp\{A \log x (\log \log x)^{-1}\}$, A being a positive constant and α is given by (1.2).

It may be noted that in the limiting case when $k \rightarrow \infty$, formula (1.3) coincides with (1.1) and the θ -estimates of $\Delta_r(x) = \Delta_{\infty,r}(x)$ obtained in [4] follow as a particular case.

2. Prerequisites

In this section we prove some lemmas which are needed in the proofs of Theorem 1 and 2. Throughout the following, x denotes a real variable ≥ 3 . The following elementary estimates are well-known:

$$(2.1) \quad \sum_{n \leq x} \frac{1}{n^s} = O(x^{1-s}) \text{ if } 0 \leq s < 1.$$

$$(2.2) \quad \sum_{n > x} \frac{1}{n^s} = \zeta(s) - \sum_{n \leq x} \frac{1}{n^s} = O\left(\frac{1}{x^{s-1}}\right) \text{ if } s > 1.$$

$$(2.3) \quad \sum_{n < x} \frac{\log n}{n^s} = -\zeta'(s) - \sum_{n \leq x} \frac{\log n}{n^s} = O\left(\frac{\log x}{x^{s-1}}\right) \text{ if } s > 1.$$

LEMMA 2.1 (cf., [6]; Satz 3, p. 191).

$$(2.4) \quad M(x) = \sum_{n \leq x} \mu(n) = O(x\delta(x)),$$

where

$$(2.5) \quad \delta(x) = \exp \{ -A \log^{3/5} x (\log \log x)^{-1/5} \},$$

A being a positive constant.

LEMMA 2.2 (cf. [4] Lemma 2.2). For any $s > 1$,

$$(2.6) \quad \sum_{n \leq x} \frac{\mu(n)}{n^s} = \frac{1}{\zeta(s)} + O\left(\frac{\delta(x)}{x^{s-1}}\right).$$

LEMMA 2.3 (cf. [4], Lemma 2.3). For any $s > 1$,

$$(2.7) \quad \sum_{n \leq x} \frac{\mu(n) \log n}{n^s} = \frac{\zeta'(s)}{\zeta^2(s)} + O\left(\frac{\delta(x) \log x}{x^{s-1}}\right).$$

LEMMA 2.4 (cf. [5], Theorem 14-26 (A), p. 316). If the Riemann hypothesis is true, then

$$(2.8) \quad M(x) = \sum_{n \leq x} \mu(n) = O(x^{1/2} \omega(x)),$$

where

$$(2.9) \quad \omega(x) = \exp \{ A \log x (\log \log x)^{-1} \},$$

A being a positive constant.

LEMMA 2.5 (cf. [4], Lemma 2.5). If the Riemann hypothesis is true, then for any $s > 1$,

$$(2.10) \quad \sum_{n \leq x} \frac{\mu(n)}{n^s} = \frac{1}{\zeta(s)} + O(x^{\frac{1}{2}-s} \omega(x)).$$

LEMMA 2.6 (cf. [4], Lemma 2.6). If the Riemann hypothesis is true, then for any $s > 1$,

$$(2.11) \quad \sum_{n \leq x} \frac{\mu(n) \log n}{n^s} = \frac{\zeta'(s)}{\zeta^2(s)} + O(x^{\frac{1}{2}-s} \omega(x) \log x).$$

LEMMA 2.7 (cf. [3], Lemma 2.6). If $q_{k,r}(n)$ denotes the characteristic function of the set of (k, r) -integers, that is, $q_{k,r}(n) = 1$ or 0 according as n is or is not a (k, r) -integer, then

$$(2.12) \quad q_{k,r}(n) = \sum_{a^k b^r c = n} \mu(b).$$

LEMMA 2.8. $\tau_{(k,r)}(n) = \sum_{a^k b^r c = n} \mu(b) \tau(c).$

PROOF. We have $\tau_{(k,r)}(n) = \sum_{d\delta = n} q_{k,r}(d)$, so that by (2.12),

$$\tau_{(k,r)}(n) = \sum_{d\delta = n} \sum_{a^k b^r c = d} \mu(b) = \sum_{a^k b^r c\delta = n} \mu(b)$$

$$\begin{aligned}
 &= \sum_{a^k b^r | n} \mu(b) \sum_{c\delta = (n/a^k b^r)} 1 = \sum_{a^k b^r | n} \mu(b) \tau\left(\frac{n}{a^k b^r}\right) \\
 &= \sum_{a^k b^r c = n} \mu(b) \tau(c).
 \end{aligned}$$

Hence Lemma 2.8 follows.

LEMMA 2.9. For $k \geq 3$,

$$(2.13) \quad \sum_{a^k c \leq x} \tau(c) = \zeta(k)x \left(\log x + 2\gamma - 1 + \frac{k\zeta'(k)}{\zeta(k)} \right) + R_k(x),$$

where

$$(2.14) \quad R_k(x) = O(x^{\frac{1}{2}} \log x) \text{ or } O(x^\alpha), \text{ according as } k = 3 \text{ or } k \geq 4, \text{ where the second } O\text{-estimate is uniform in } k$$

PROOF. We have by (1.2), (2.2) and (2.3),

$$\begin{aligned}
 \sum_{a^k c \leq x} \tau(c) &= \sum_{a \leq k\sqrt{x}} \sum_{c \leq x/a^k} \tau(c) \\
 &= \sum_{a \leq k\sqrt{x}} \left\{ \frac{x}{a^k} \left(\log \frac{x}{a^k} + 2\gamma - 1 \right) + O\left(\frac{x^\alpha}{a^{k\alpha}}\right) \right\} \\
 &= x(\log x + 2\gamma - 1) \sum_{a \leq k\sqrt{x}} \frac{1}{a^k} - kx \sum_{a \leq k\sqrt{x}} \frac{\log a}{a^k} + O\left(x^\alpha \sum_{a \leq k\sqrt{x}} a^{-k\alpha}\right) \\
 &= x(\log x + 2\gamma - 1) \{ \zeta(k) + O(x^{-1+(1/k)}) \} - kx \{ -\zeta'(k) \\
 &\quad + O\left(\frac{\log x}{x^{1-1/k}}\right) \} + O\left(x^\alpha \sum_{a \leq k\sqrt{x}} a^{-k\alpha}\right) \\
 &= \zeta(k)x \left(\log x + 2\gamma - 1 + \frac{k\zeta'(k)}{\zeta(k)} \right) + O(x^{1/k} \log x) + O\left(x^\alpha \sum_{a \leq k\sqrt{x}} a^{-k\alpha}\right).
 \end{aligned}$$

Since $\frac{1}{4} < \alpha < \frac{1}{3}$, we have $k\alpha \leq 1$ according as $k = 3$ or $k \geq 4$. Hence, by (2.1) and (2.2), the last O -term in the above is $O(x^{\frac{1}{2}})$ or $O(\zeta(k\alpha)x^\alpha) = O(\zeta(4\alpha)x^\alpha) = O(x^\alpha)$, uniformly in k , according as $k = 3$ or $k \geq 4$. Hence Lemma 2.9 follows.

3. Proof of Theorem 1

By Lemma 2.8, we have

$$\tau_{(k,r)}(n) = \sum_{a^k b^r c = n} \mu(b) \tau(c).$$

Hence

$$(3.1) \quad \sum_{n \leq x} \tau_{(k,r)}(n) = \sum_{n \leq x} \sum_{a^k b^r c = n} \mu(b)\tau(c) = \sum_{a^k b^r c \leq x} \mu(b)\tau(c),$$

where the summation on the right being taken over all ordered triads (a, b, c) such that $a^k b^r c \leq x$.

Let $z = x^{1/r}$. Further, let $0 < \rho = \rho(x) < 1$, where the function $\rho(x)$ will be suitably chosen later.

Now, if $a^k b^r c \leq x$, then both $b > \rho z$ and $a^k c > \rho^{-r}$ can not simultaneously hold good. Hence from (3.1), we have

$$(3.2) \quad \sum_{n \leq x} \tau_{(k,r)}(n) = \sum_{\substack{a^k b^r c \leq x \\ b \leq \rho z}} \mu(b)\tau(c) + \sum_{\substack{a^k b^r c \leq x \\ a^k c \leq \rho^{-r}}} \mu(b)\tau(c) - \sum_{\substack{b \leq \rho z \\ a^k c \leq \rho^{-r}}} \mu(b)\tau(c) \\ = S_1 + S_2 - S_3, \text{ say.}$$

By (2.13), we have

$$(3.3) \quad S_1 = \sum_{\substack{a^k b^r c \leq x \\ b \leq \rho z}} \mu(b)\tau(c) = \sum_{b \leq \rho z} \mu(b) \sum_{a^k c \leq (x/b^r)} \tau(c) \\ = \sum_{b \leq \rho z} \mu(b) \left\{ \zeta(k) \frac{x}{b^r} \left(\log \frac{x}{b^r} + 2\gamma - 1 + \frac{k\zeta'(k)}{\zeta(k)} \right) + R_k \left(\frac{x}{b^r} \right) \right\} \\ = \zeta(k)x \left(\log x + 2\gamma - 1 + \frac{k\zeta'(k)}{\zeta(k)} \right) \sum_{b \leq \rho z} \frac{\mu(b)}{b^r} \\ - \zeta(k)rx \sum_{b \leq \rho z} \frac{\mu(b)\log b}{b^r} + E_{k,r}(x),$$

where

$$(3.4) \quad E_{k,r}(x) = \sum_{b \leq \rho z} \mu(b)R_k \left(\frac{x}{b^r} \right).$$

Hence by (3.3), (2.6) and (2.7), we have

$$(3.5) \quad S_1 = \zeta(k)x \left(\log x + 2\gamma - 1 + \frac{k\zeta'(k)}{\zeta(k)} \right) \left\{ \frac{1}{\zeta(r)} + O \left(\frac{\delta(\rho z)}{(\rho z)^{r-1}} \right) \right\} \\ - \zeta(k)rx \left\{ \frac{\zeta'(r)}{\zeta(r)} + O \left(\frac{\delta(\rho z)\log(\rho z)}{(\rho z)^{r-1}} \right) \right\} + E_{k,r}(x) \\ = \frac{\zeta(k)x}{\zeta(r)} \left(\log x + 2\gamma - 1 - \frac{r\zeta'(r)}{\zeta(r)} + \frac{k\zeta'(k)}{\zeta(k)} \right) \\ + O(\zeta(k)\rho^{1-r}z\delta(\rho z)\log z) + E_{k,r}(x).$$

By (2.14) and (3.4), we have

$$E_{k,r}(x) = O \left(\sum_{b \leq \rho z} \frac{x^{\frac{1}{3}}}{b^{r/3}} \log \left(\frac{x}{b^r} \right) \right) \text{ or } O \left(\sum_{b \leq \rho z} \frac{x^\alpha}{b^{r\alpha}} \right),$$

according as $k = 3$ or $k \geq 4$. Since $1 < r < k$, we have $r = 2$, when $k = 3$ and since $\frac{1}{4} < \alpha < \frac{1}{3}$, we have by (2.1) and (2.2), the following 0-estimates:

$$(3.6) \quad \begin{cases} E_{3,2}(x) = O(\rho^{1/3} x^{1/2} \log x) \\ E_{4,r}(x) = O(\rho^{1-r\alpha z}) \\ E_{k,r}(x) = O(\rho^{1-r\alpha z}) \text{ or } O(x^\alpha), \\ \text{according as } r = 2, 3 \text{ or } 4 \leq r < k; \end{cases}$$

where the 0-estimates are uniform in k . We have

$$\begin{aligned} S_2 &= \sum_{\substack{a^k b^r c \leq x \\ a^k c \leq \rho^{-r}}} \mu(b)\tau(c) = \sum_{a^k c \leq \rho^{-r}} \tau(c) \sum_{b \leq \sqrt[r]{(x/a^k c)}} \mu(b) \\ &= \sum_{a^k c \leq \rho^{-r}} \tau(c) M\left(\sqrt[r]{\frac{x}{a^k c}}\right) \\ &= O\left(x^{1/r} \sum_{a^k c \leq \rho^{-r}} \tau(c) a^{-k/r} c^{-1/r} \delta\left(\sqrt[r]{\frac{x}{a^k c}}\right)\right), \end{aligned}$$

by (2.4). Since $\delta(x)$ is monotonic decreasing and $\sqrt[r]{\frac{x}{a^k c}} \geq \delta z$, we have

$\delta\left(\sqrt[r]{\frac{x}{a^k c}}\right) \leq \delta(\rho z)$. Also, by (2.1), (2.2) and (1.2),

$$\begin{aligned} \sum_{a^k c \leq \rho^{-r}} \tau(c) a^{-k/r} c^{-1/r} &= \sum_{a \leq \rho^{-r/k}} a^{-k/r} \sum_{c \leq \rho^{-r} a^{-k}} \tau(c) c^{-1/r} \\ &= O\left(\sum_{a \leq \rho^{-r/k}} a^{-k/r} (\rho^{-r} a^{-k})^{1-(1/r)} \log(\rho^{-r} a^{-k})\right) \\ &= O\left(\rho^{1-r} \log\left(\frac{1}{\rho}\right) \sum_{a \leq \rho^{-r/k}} a^{-k}\right) \\ &= O\left(\zeta(k) \rho^{1-r} \log\left(\frac{1}{\rho}\right)\right). \end{aligned}$$

Hence

$$(3.7) \quad S_2 = O\left(\zeta(k) \rho^{1-r} z \delta(\rho z) \log\left(\frac{1}{\rho}\right)\right).$$

Further, we have by (2.4) and (2.13),

$$(3.8) \quad \begin{aligned} S_3 &= \sum_{\substack{b \leq \rho z \\ a^k c \leq \rho^{-r}}} \mu(b)\tau(c) = \sum_{b \leq \rho z} \mu(b) \sum_{a^k c \leq \rho^{-r}} \tau(c) \\ &= M(\rho z) \sum_{a^k c \leq \rho^{-r}} \tau(c) \\ &= O(\rho z \delta(\rho z) \zeta(k) \rho^{-r} \log(\rho^{-r})) \end{aligned}$$

$$= O\left(\zeta(k)\rho^{1-r}z\delta(\rho z)\log\left(\frac{1}{\rho}\right)\right).$$

Hence by (3.2), (3.5), (3.7) and (3.8)

$$\begin{aligned} \sum_{n \leq x} \tau_{(k,r)}(n) &= \frac{\zeta(k)x}{\zeta(r)} \left(\log x + 2\gamma - 1 - \frac{r\zeta'(r)}{\zeta(r)} + \frac{k\zeta'(k)}{\zeta(k)} \right) \\ (3.9) \quad &+ O(\zeta(k)\rho^{1-r}z\delta(\rho z)\log z) \\ &+ O\left(\zeta(k)\rho^{1-r}z\delta(\rho z)\log\left(\frac{1}{\rho}\right)\right) + E_{k,r}(x). \end{aligned}$$

Now, we choose,

$$(3.10) \quad \rho = \rho(x) = \{\delta(x^{1/2r})\}^{1/r},$$

and write

$$\begin{aligned} (3.11) \quad f(x) &= \log^{3/5}(x^{1/2r})\{\log \log(x^{1/2r})\}^{-1/5} \\ &= \left(\frac{1}{2r}\right)^{3/5} U^{3/5}(V - \log 2)^{-1/5}, \end{aligned}$$

where $U = \log x$ and $V = \log \log x$.

(3.12) For $V \geq 2 \log 2r$, that is, $U \geq 4r^2$, $x \geq \exp(4r^2)$, we have

$$V^{-1/5} \leq (V - \log 2r)^{-1/5} \leq \left(\frac{V}{2}\right)^{-1/5}$$

and therefore

$$(3.13) \quad \frac{1}{2} r^{-3/5} U^{3/5} V^{-1/5} \leq f(x) \leq r^{-3/5} U^{3/5} V^{-1/5}.$$

(3.14) We assume without loss of generality that the constant A in (2.5) is less than 1.

By (3.10), (2.5) and (3.11), we have

$$(3.15) \quad \rho = \exp\left\{-\frac{A}{r}f(x)\right\}.$$

By (3.12), we have

$$r^{-8/5} U^{3/5} V^{-1/5} \leq \frac{U}{2r}.$$

Hence, by (3.13), (3.14), (3.15) and the above,

$$\begin{aligned} \rho &\geq \exp(-A r^{-8/5} U^{3/5} V^{-1/5}) \geq \exp(-r^{-8/5} U^{3/5} V^{-1/5}) \\ &\geq \exp\left(-\frac{U}{2r}\right) = \exp\left(-\frac{\log x}{2r}\right), \end{aligned}$$

so that $\rho \geq x^{-(1/2r)}$.

$$(3.16) \quad \log\left(\frac{1}{\rho}\right) \leq \log(\sqrt{z}) = O(\log x) \text{ and } \rho z \geq x^{1/(2r)}.$$

Since $\delta(x)$ is monotonic decreasing, we have $\delta(\rho z) \leq \delta(x^{1/(2r)}) = \rho^r$, by (3.10), so that by (3.13) and (3.15), we have

$$(3.17) \quad \rho^{1-r} \delta(\rho z) \leq \rho \leq \exp\left\{-\frac{A}{2} r^{-8/5} U^{3/5} V^{-1/5}\right\}.$$

Hence, by (3.16) and (3.17), the first and second 0-terms of (3.9) are

$$\begin{aligned} &O(\zeta(k)x^{1/r} \exp\left\{-\frac{A}{2} r^{-8/5} U^{3/5} V^{-1/5}\right\} \log x) \\ &= O(\zeta(r+1)x^{1/r} \exp\left\{-\frac{A}{2} r^{-8/5} U^{3/5} V^{-1/5}\right\} \log x), \text{ since } k \geq r+1 \\ &= O(x^{1/r} \exp\left\{-\frac{A}{2} r^{-8/5} U^{3/5} V^{-1/5}\right\} \log x), \text{ uniformly in } k. \end{aligned}$$

Hence, if $\Delta_{k,r}(x)$ denotes the error term in the asymptotic formula (3.9), then we have

$$(3.18) \quad \Delta_{k,r}(x) = O(x^{1/r} \exp\left\{-\frac{A}{2} r^{-8/5} U^{3/5} V^{-1/5}\right\} \log x) + E_{k,r}(x),$$

where the 0-estimate is uniform in k .

Case $k = 3$. In this case r must be $= 2$. By (3.6) and (3.17), we have

$$E_{3,2}(x) = O(x^{1/2} \exp\left\{-\frac{A}{6}(2)^{-8/5} U^{3/5} V^{-1/5}\right\} \log x),$$

so that by (3.18),

$$(3.19) \quad \Delta_{3,2}(x) = O(x^{1/2} \exp\left\{-B \log^{3/5} x (\log \log x)^{-1/5}\right\}),$$

where B is a positive constant $\left(0 < B < \frac{A}{6}(2)^{-8/5}\right)$

Case $k = 4$. In this case $r = 2$ or 3 . Since $\frac{1}{4} < \alpha < \frac{1}{3}$, we have $0 < 1 - r\alpha < 1$. By (3.6) and (3.17), we have

$$E_{4,r}(x) = O\left(x^{1/r} \exp\left\{-\frac{A(1-r\alpha)}{2} r^{-8/5} U^{3/5} V^{-1/5}\right\}\right).$$

Again, since $0 < 1 - r\alpha < 1$, the first 0-term in (3.18) is also of the above order of $E_{4,r}(x)$. Hence

$$(3.20) \quad \Delta_{4,r}(x) = O(x^{1/r} \exp\left\{-B \log^{3/5} x (\log \log x)^{-1/5}\right\}),$$

where B is a positive constant.

Case $k \geq 5$. In this case $r = 2, 3$ or $4 \leq r < k$. When $r = 2$ or 3 , by (3.6) and (3.17), we have

$$E_{k,r}(x) = O\left(x^{1/r} \exp\left\{-\frac{A(1-r\alpha)}{2} r^{-8/5} U^{3/5} V^{-1/5}\right\}\right),$$

so that by (3.18),

$$(3.21) \quad \Delta_{k,r}(x) = O(x^{1/r} \exp\{-B \log^{3/5} x (\log \log x)^{-1/5}\}),$$

where B is a positive constant and the 0-estimate is uniform in k .

When $4 \leq r < k$, by (3.6), $E_{k,r}(x) = O(x^\alpha)$ and the first O -term in (3.18) is $O(x^{1/r})$, so that we have

$$(3.22) \quad \Delta_{k,r}(x) = O(x^\alpha),$$

where the 0-estimate is uniform in k .

Hence, by (3.9), (3.18)–(3.22), Theorem 1 follows.

4. Proof of theorem 2

Following the same procedure adopted in the proof of theorem 1 and making use of (2.10) and (2.11) instead of (2.6) and (2.7) we get that

$$(4.1) \quad \Delta_{k,r}(x) = O\left(\rho^{1/2-r} z^{1/2} \omega(\rho z) \log z\right) + O\left(\rho^{1/2-r} z^{1/2} \omega(\rho z) \log\left(\frac{1}{\rho}\right)\right) + E_{k,r}(x),$$

where the 0-estimates are uniform in k and $E_{k,r}(x)$ is given by (3.6).

Case $k = 3$. In this case r must be $= 2$. Choosing $\rho = z^{-3/11}$, we see that $0 < \rho < 1, \frac{1}{\rho} < z$, so that $\log\left(\frac{1}{\rho}\right) < \log z$, and

$$\rho^{1/2-2} z^{1/2} = \rho^{1/3} z = x^{5/11}.$$

Since $\omega(x)$ is monotonic increasing, $\omega(\rho z) < \omega(z)$. Hence, by (4.1), (3.6) and the above, we have

$$(4.2) \quad \begin{aligned} \Delta_{3,2}(x) &= O(x^{5/11} \omega(x^{1/2}) \log x) + O(x^{5/11} \log x) \\ &= O(x^{5/11} \omega(x)). \end{aligned}$$

Case $k = 4$. In this case $r = 2$ or 3 . Choosing $\rho = z^{-1/(1+2r(1-\alpha))}$, we see that $0 < \rho < 1, \frac{1}{\rho} < z$, so that $\log\left(\frac{1}{\rho}\right) < \log z$, and

$$\rho^{1/2-r} z^{1/2} = \rho^{1-r\alpha} z = x^{2-a/(1+2r(1-\alpha))}.$$

Since $\omega(x)$ is monotonic increasing, $\omega(\rho z) < \omega(z)$. Hence by (4.1), (3.6) and the

above, we have

$$(4.3) \quad \begin{aligned} \Delta_{4,r}(x) &= O(x^{2-\alpha/(1+2r(1-\alpha))} \omega(x^{1/2}) \log x) \\ &= O(x^{2-\alpha/(1+2r(1-\alpha))} \omega(x)). \end{aligned}$$

Case $k \geq 5$. In this case $r = 2, 3$ or $4 \leq r < k$. When $r = 2$ or 3 , we have by (3.6), $E_{k,r}(x) = O(\rho^{1-r\alpha} z)$. Choosing $\rho = z^{-(1/(1+2r(1-\alpha)))}$, as in the case $k = 4$, we get that

$$(4.4) \quad \Delta_{k,r}(x) = O(x^{(2-\alpha)/(1+(2r(1-\alpha)))} \omega(x)),$$

where the O -estimate is uniform in k . When $4 \leq r < k$, by (3.6), we have $E_{k,r}(x) = O(x^\alpha)$. We have $\omega(x) = O(x^\epsilon)$ and $\log z = O(x^\epsilon)$ for every $\epsilon > 0$. We assume that $0 < \epsilon < 1$. Hence, by (4.1), we have

$$(4.5) \quad \begin{aligned} \Delta_{k,r}(x) &= O(\rho^{1/2-r+\epsilon} z^{1/2+2\epsilon}) \\ &\quad + O\left(\rho^{1/2-r+\epsilon} z^{1/2+\epsilon} \log\left(\frac{1}{\rho}\right)\right) + O(x^\alpha). \end{aligned}$$

Now, choosing $\rho = z^{-(2r\alpha-1+4\epsilon)/(2r-1-2\epsilon)}$, we see that $0 < \rho < 1$, $\frac{1}{\rho} < z$, so that $\log\left(\frac{1}{\rho}\right) < \log z = O(z^\epsilon)$ and

$$\rho^{1/2-r+\epsilon} z^{1/2+2\epsilon} = x^\alpha.$$

Hence, by (4.5), we have

$$(4.6) \quad \Delta_{k,r}(x) = O(x^\alpha),$$

where the O -estimate is uniform in k . Hence, by (4.2), (4.3), (4.4) and (4.6), Theorem 2 follows.

REMARK. In the case $4 \leq r < k$, we may choose the function $\rho = \rho(x)$, which tends to zero as $x \rightarrow \infty$ to be a function which tends to zero more rapidly than that chosen above. In such a case, although the first and second O -terms in (4.5) are $O(x^\beta)$, where $\beta < \alpha$, but because of the third O -term in (4.5), we again get $\Delta_{k,r}(x) = O(x^\alpha)$. Hence we can not improve the result that $\Delta_{k,r}(x) = O(x^\alpha)$ for $4 \leq r < k$, even on the assumption of the Riemann hypothesis.

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