

DISSECTIONS OF QUOTIENTS OF THETA-FUNCTIONS

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We prove a general theorem on dissections of quotients of theta-functions. As corollaries, we establish six q -series identities that were conjectured by M.D. Hirschhorn:

1. INTRODUCTION

An N -dissection of a q -series $F(q)$ with integral powers is a representation of the form

$$F(q) = \sum_{k=0}^{N-1} q^k F_k(q^k),$$

where $F_k(q)$ is a series in q with integral powers.

Ramanujan was most probably the first person to give dissections of q -series identities. In his lost notebook [6], he recorded dissections of the generating function of cranks and the generating function of ranks. Since Ramanujan's time, and inspired by his partition congruences, a great deal of work has been done by many people on identities and partition theorems obtained through dissection techniques.

For $|q| < 1$ and any integer n , set

$$(a; q)_n = \prod_{k=0}^{n-1} \frac{1 - aq^k}{1 - aq^{n+k}}$$

and $(a; q)_\infty = \lim_{n \rightarrow \infty} (a; q)_n$.

Next, we define Ramanujan's theta-function by

$$(1.1) \quad f(a, b) := \sum_{n=-\infty}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2}, \quad |ab| < 1,$$

which satisfies the Jacobi triple product identity ([3, p. 35, Entry 19])

$$(1.2) \quad f(a, b) = (-a; ab)_\infty (-b; ab)_\infty (ab; ab)_\infty.$$

We also define

$$f(-q) := (q; q)_\infty.$$

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Recently, working jointly with Sellers on overpartitions, Hirschhorn conjectured a total of six identities, (3.1) – (3.6) below, which are dissections of quotients of theta-functions. Hirschhorn then communicated these conjectures in [4] to the author. Thus our primary aim of this paper is to prove a theorem which yields these six conjectures as special cases.

In Section 2, we prove a general theorem (Theorem 2.1), from which all of (3.1) – (3.6) follow as corollaries. The six conjectures, (3.1) – (3.6) are then stated and proved in Section 3. In Section 4 of this paper, we give an alternative proof of (3.1) and (3.2), which involves the reciprocal of the quintuple product identity (4.1).

2. A GENERAL THEOREM

We prove the following theorem, which is an N -dissection of a quotient of theta-functions, where N is any positive integer.

THEOREM 2.1. *Let N be any positive integer. Then for $|q^N| < |x| < 1$, we have*

(2.1)

$$\frac{(-x; q^N)_\infty (-q^N/x; q^N)_\infty}{(x; q^N)_\infty ((q^N/x); q^N)_\infty} = 2 \frac{(-q^N; q^N)_\infty^2}{(q^N; q^N)_\infty^2} \sum_{k=0}^{N-1} x^k \frac{(-x^N q^{kN}; q^{N^2})_\infty (-q^{N(N-k)} x^{-N}; q^{N^2})_\infty (q^{N^2}; q^{N^2})_\infty^2}{(x^N; q^{N^2})_\infty (q^{N^2} x^{-N}; q^{N^2})_\infty (-q^{Nk}; q^{N^2})_\infty (-q^{N(N-k)}; q^{N^2})_\infty}.$$

PROOF: Recall Ramanujan’s famous ${}_1\psi_1$ summation formula

$$\sum_{n=-\infty}^{\infty} \frac{(a; q)_n}{(b; q)_n} z^n = \frac{(az; q)_\infty ((q/az); q)_\infty ((b/a); q)_\infty (q; q)_\infty}{(z; q)_\infty ((b/az); q)_\infty ((q/a); q)_\infty (b; q)_\infty},$$

which is valid for $|b/a| < |z| < 1$. (See [1, 2], [3, p. 32, Entry 17] and [5] for proofs.) Letting $(a, b, z) = (y, yq, x)$, we obtain the useful corollary

(2.2)
$$\sum_{n=-\infty}^{\infty} \frac{x^n}{1 - yq^n} = \frac{(xy; q)_\infty ((q/xy); q)_\infty (q; q)_\infty^2}{(x; q)_\infty ((q/x); q)_\infty (y; q)_\infty ((q/y); q)_\infty}.$$

Next, we specialise (2.2) by letting $y = -1$ and replacing q by q^N , where N is any positive integer, to deduce that

(2.3)
$$\sum_{n=-\infty}^{\infty} \frac{x^n}{1 + q^{Nn}} = \frac{(-x; q^N)_\infty (-q^N/x; q^N)_\infty (q^N; q^N)_\infty^2}{2(x; q^N)_\infty ((q^N/x); q^N)_\infty (-q^N; q^N)_\infty^2}.$$

We multiply (2.3) by $2((-q^N; q^N)_\infty^2)/((q^N; q^N)_\infty^2)$ to obtain

(2.4)
$$\begin{aligned} \frac{(-x; q^N)_\infty (-q^N/x; q^N)_\infty}{(x; q^N)_\infty ((q^N/x); q^N)_\infty} &= 2 \frac{(-q^N; q^N)_\infty^2}{(q^N; q^N)_\infty^2} \sum_{n=-\infty}^{\infty} \frac{x^n}{1 + q^{Nn}} \\ &= 2 \frac{(-q^N; q^N)_\infty^2}{(q^N; q^N)_\infty^2} \sum_{k=0}^{N-1} x^k \sum_{n=-\infty}^{\infty} \frac{x^{Nn}}{1 + q^{N^2n + Nk}}. \end{aligned}$$

$$\begin{aligned}
 &= 1/(q^5; q^{50})_\infty (q^{10}; q^{50})_\infty (q^{15}; q^{50})_\infty (q^{20}; q^{50})_\infty (q^{30}; q^{50})_\infty (q^{35}; q^{50})_\infty (q^{40}; q^{50})_\infty (q^{45}; q^{50})_\infty^6 \\
 &\quad + 2q/(q^5; q^{50})_\infty^4 (q^{10}; q^{50})_\infty^4 (q^{15}; q^{50})_\infty^5 (q^{20}; q^{50})_\infty (q^{25}; q^{50})_\infty^2 (q^{30}; q^{50})_\infty (q^{35}; q^{50})_\infty^5 \\
 &\quad \quad \quad (q^{40}; q^{50})_\infty^4 (q^{45}; q^{50})_\infty^4 \\
 &\quad + 2q^2/(q^5; q^{50})_\infty^5 (q^{10}; q^{50})_\infty^2 (q^{15}; q^{50})_\infty^4 (q^{20}; q^{50})_\infty^3 (q^{25}; q^{50})_\infty^2 (q^{30}; q^{50})_\infty^3 (q^{35}; q^{50})_\infty^4 \\
 &\quad \quad \quad (q^{40}; q^{50})_\infty^2 (q^{45}; q^{50})_\infty^5 \\
 &\quad + 2q^3/(q^5; q^{50})_\infty^6 (q^{15}; q^{50})_\infty^3 (q^{20}; q^{50})_\infty^5 (q^{25}; q^{50})_\infty^2 (q^{30}; q^{50})_\infty^5 (q^{35}; q^{50})_\infty^3 (q^{45}; q^{50})_\infty^6 \\
 &\quad + 4q^4/(q^5; q^{50})_\infty^4 (q^{10}; q^{50})_\infty^3 (q^{15}; q^{50})_\infty^4 (q^{20}; q^{50})_\infty^2 (q^{25}; q^{50})_\infty^4 (q^{30}; q^{50})_\infty^2 (q^{35}; q^{50})_\infty^4 \\
 &\quad \quad \quad (q^{40}; q^{50})_\infty^3 (q^{45}; q^{50})_\infty^4,
 \end{aligned}$$

(3.5)

$$\begin{aligned}
 &\sum_{n=-\infty}^{\infty} (-1)^n q^{(5n^2+n)/2} / \sum_{n=-\infty}^{\infty} q^{(5n^2+n)/2} \\
 &= 1/(q^5; q^{50})_\infty (q^{15}; q^{50})_\infty^2 (q^{20}; q^{50})_\infty^3 (q^{30}; q^{50})_\infty^3 (q^{35}; q^{50})_\infty^2 (q^{45}; q^{50})_\infty^4 \\
 &\quad - 2q^2/(q^5; q^{50})_\infty^3 (q^{10}; q^{50})_\infty (q^{15}; q^{50})_\infty^2 (q^{20}; q^{50})_\infty^2 (q^{25}; q^{50})_\infty^2 (q^{30}; q^{50})_\infty^2 (q^{35}; q^{50})_\infty^2 \\
 &\quad \quad \quad (q^{40}; q^{50})_\infty (q^{45}; q^{50})_\infty^3 \\
 &\quad - 2q^3/(q^5; q^{50})_\infty^2 (q^{10}; q^{50})_\infty^2 (q^{15}; q^{50})_\infty^3 (q^{20}; q^{50})_\infty (q^{25}; q^{50})_\infty^2 (q^{30}; q^{50})_\infty (q^{35}; q^{50})_\infty^3 \\
 &\quad \quad \quad (q^{40}; q^{50})_\infty^2 (q^{45}; q^{50})_\infty^2 \\
 &\quad + 2q^4/(q^5; q^{50})_\infty^3 (q^{15}; q^{50})_\infty^2 (q^{20}; q^{50})_\infty^3 (q^{25}; q^{50})_\infty^2 (q^{30}; q^{50})_\infty^3 (q^{35}; q^{50})_\infty^2 (q^{45}; q^{50})_\infty^3,
 \end{aligned}$$

and

(3.6)

$$\begin{aligned}
 &\sum_{n=-\infty}^{\infty} (-1)^n q^{(5n^2+3n)/2} / \sum_{n=-\infty}^{\infty} q^{(5n^2+3n)/2} \\
 &= 1/(q^5; q^{50})_\infty^2 (q^{10}; q^{50})_\infty^3 (q^{15}; q^{50})_\infty^4 (q^{35}; q^{50})_\infty^4 (q^{40}; q^{50})_\infty^3 (q^{45}; q^{50})_\infty^2 \\
 &\quad - 2q/(q^5; q^{50})_\infty^2 (q^{10}; q^{50})_\infty^3 (q^{15}; q^{50})_\infty^3 (q^{25}; q^{50})_\infty^2 (q^{35}; q^{50})_\infty^3 (q^{40}; q^{50})_\infty^3 (q^{45}; q^{50})_\infty^2 \\
 &\quad + 2q^2/(q^5; q^{50})_\infty^3 (q^{10}; q^{50})_\infty (q^{15}; q^{50})_\infty^2 (q^{20}; q^{50})_\infty^2 (q^{25}; q^{50})_\infty^2 (q^{30}; q^{50})_\infty^2 (q^{35}; q^{50})_\infty^2 \\
 &\quad \quad \quad (q^{40}; q^{50})_\infty (q^{45}; q^{50})_\infty^3 \\
 &\quad - 2q^3/(q^5; q^{50})_\infty^2 (q^{10}; q^{50})_\infty^2 (q^{15}; q^{50})_\infty^3 (q^{20}; q^{50})_\infty (q^{25}; q^{50})_\infty^2 (q^{30}; q^{50})_\infty (q^{35}; q^{50})_\infty^3 \\
 &\quad \quad \quad (q^{40}; q^{50})_\infty^2 (q^{45}; q^{50})_\infty^2.
 \end{aligned}$$

We now begin our proof of Corollaries 3.1 and 3.2.

PROOF OF (3.1): Letting $(x, N) = (q, 3)$ in Theorem 2.1, we obtain

$$\begin{aligned}
 &\frac{(-q; q^3)_\infty (-q^2; q^3)_\infty}{(q; q^3)_\infty (q^2; q^3)_\infty} \\
 &= 2 \frac{(-q^3; q^3)_\infty^2}{(q^3; q^3)_\infty^2} \sum_{k=0}^2 q^k \frac{(-q^{3k+3}; q^9)_\infty (-q^{9-3k-3}; q^9)_\infty (q^9; q^9)_\infty^2}{(q^3; q^9)_\infty (q^6; q^9)_\infty (-q^{3k}; q^9)_\infty (-q^{9-3k}; q^9)_\infty}
 \end{aligned}$$

$$\begin{aligned}
 &= \frac{(-q^3; q^3)_\infty^2 (-q^3; q^9)_\infty (-q^6; q^9)_\infty (q^9; q^9)_\infty^2}{(q^3; q^3)_\infty^2 (q^3; q^9)_\infty (q^6; q^9)_\infty (-q^9; q^9)_\infty^2} \\
 &+ 2q \frac{(-q^3; q^3)_\infty^2 (-q^6; q^9)_\infty (-q^3; q^9)_\infty (q^9; q^9)_\infty^2}{(q^3; q^3)_\infty^2 (q^3; q^9)_\infty (q^6; q^9)_\infty (-q^3; q^9)_\infty (-q^6; q^9)_\infty} \\
 &+ 4q^2 \frac{(-q^3; q^3)_\infty^2 (-q^9; q^9)_\infty^2 (q^9; q^9)_\infty^2}{(q^3; q^3)_\infty^2 (q^3; q^9)_\infty (q^6; q^9)_\infty (-q^6; q^9)_\infty (-q^3; q^9)_\infty} \\
 &= \frac{(-q^3; q^3)_\infty^3}{(q^3; q^9)_\infty^3 (q^6; q^9)_\infty^3 (-q^9; q^9)_\infty^3} + \frac{2q(-q^3; q^3)_\infty^2}{(q^3; q^9)_\infty^3 (q^6; q^9)_\infty^3} + \frac{4q^2(-q^3; q^3)_\infty (-q^9; q^9)_\infty^3}{(q^3; q^9)_\infty^3 (q^6; q^9)_\infty^3} \\
 &= \frac{1}{(q^3; q^9)_\infty^3 (q^6; q^9)_\infty^3 (q^3; q^{18})_\infty^3 (q^{15}; q^{18})_3^3} + \frac{2q}{(q^3; q^9)_\infty^3 (q^6; q^9)_\infty^3 (q^3; q^6)_\infty^2} \\
 &+ \frac{4q^2}{(q^3; q^9)_\infty^3 (q^6; q^9)_\infty^3 (q^3; q^6)_\infty (q^9; q^{18})_3^3}.
 \end{aligned}$$

□

PROOF OF (3.2): Let $(x, N) = (-q, 3)$ in Theorem 2.1 and proceed as in the proof of (3.1). □

PROOF OF (3.3): Let $(x, N) = (q, 5)$ in Theorem 2.1 and proceed as in the proof of (3.1). □

PROOF OF (3.4): Let $(x, N) = (q^2, 5)$ in Theorem 2.1 and proceed as in the proof of (3.1). □

PROOF OF (3.5): Let $(x, N) = (-q, 5)$ in Theorem 2.1 and proceed as in the proof of (3.1). □

PROOF OF (3.6): Let $(x, N) = (-q^2, 5)$ in Theorem 2.1 and proceed as in the proof of (3.1). □

4. RECIPROCAL OF THE QUINTUPLE PRODUCT IDENTITY.

In this section, we provide a completely different proof of Corollary 3.1. First, we express the reciprocal of the quintuple product identity [3, p. 80, equation (38.2)] in the form (4.1), as a three dissection.

THEOREM 4.1. (Quintuple Product Identity.) *With $f(a, b)$ defined by (1.1),*

$$(4.1) \quad f(P^3Q, Q^5/P^3) - P^2 f(Q/P^3, P^3Q^5) = f(-Q^2) \frac{f(-P^2, -Q^2/P^2)}{f(PQ, Q/P)}.$$

Recall the elementary identity

$$(4.2) \quad \frac{1}{A - B} = \frac{A^2 + AB + B^2}{A^3 - B^3}.$$

We set $A = f(P^3Q, Q^5/P^3)$ and $B = P^2 f(Q/P^3, P^3Q^5)$ in (4.2). Replacing P by $\omega^k P$ in (4.1), $k = 0, 1, 2$, where ω is a primitive cube root of unity, and multiplying all three results together, we find that

$$(4.3) \quad A^3 - B^3 = \prod_{k=0}^2 \{f(\omega^{3k} P^3 Q, Q^5/\omega^{3k} P^3) - \omega^{2k} P^2 f(Q/\omega^{3k} P^3, \omega^{3k} P^3 Q^5)\}$$

$$= f^3(-Q^2) \frac{f(-P^6, -Q^6/P^6)}{f(P^3Q^3, Q^3/P^3)}.$$

Thus, by (4.1), (4.2) and (4.3), we have obtained the following theorem.

THEOREM 4.2. With $f(a, b)$ defined by (1.1),

(4.4)

$$\begin{aligned} f^2(-Q^2) \frac{f(PQ, Q/P)}{f(-P^2, -Q^2/P^2)} &= \frac{f(P^3Q^3, Q^3/P^3)f^2(P^3Q, Q^5/P^3)}{f(-P^6, -Q^6/P^6)} \\ &+ P^2 \frac{f(P^3Q^3, Q^3/P^3)f(P^3Q, Q^5/P^3)f(Q/P^3, P^3Q^5)}{f(-P^6, -Q^6/P^6)} \\ &+ P^4 \frac{f(P^3Q^3, Q^3/P^3)f^2(Q/P^3, P^3Q^5)}{f(-P^6, -Q^6/P^6)}. \end{aligned}$$

We now give a different proof of (3.2) and (3.1) using Theorems 4.1 and 4.2, respectively.

SECOND PROOF OF (3.2): Apply (4.1) with $P = -q^{1/2}$ and $Q = q^{3/2}$ and divide by $f(-q^3)$. □

SECOND PROOF OF (3.1): Apply (4.4) with $P = -q^{1/2}$ and $Q = q^{3/2}$ and divide by $f^2(-q^3)$. □

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