

MATHEMATICAL NOTES

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ON A THEOREM OF NIVEN

BY
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Introduction. In [3], Niven proved that for any positive integer k , the density of the set of positive integers n for which $(n, (\varphi(n)) \leq k$ is zero (where φ is the Euler totient function). In this paper, we prove a related result—namely if k and j are any positive integers, then the density of the set of positive integers n for which $(n, \sigma_j(n)) \leq k$ is zero (where $\sigma_j(n)$ is the sum of the j th powers of the positive divisors of n). We will borrow from Niven’s technique, but we must make some crucial modifications.

Before we prove the theorem, we recall the following formula.

$$(\neq) \quad \sigma_j(n) = \prod_{p^e \parallel n} (p^{ej} + p^{(e-1)j} + \dots + p^j + 1)$$

THEOREM 1. *For any positive integers k and j , the density of the set of positive integers n for which $(n, \sigma_j(n)) \leq k$ is zero. That is, if $A_{j,k}(m)$ is the number of positive integers n not exceeding m for which $(n, \sigma_j(n)) \leq k$, then $\lim_{m \rightarrow \infty} A_{j,k}(m)/m = 0$.*

Proof. We use the following two results of Niven [3].

(1) For any fixed positive integer b , if $\{p_i\}$ is a set of primes for which $\sum p_i^{-1} = \infty$, and if T is any sequence whose members are divisible by at most b of these primes only to the first degree, then $d(T) = 0$ (where $d(T)$ denotes the density of T).

(2) For a sequence T of positive integers, let T_p be the set of elements of T which are divisible by p but not by p^2 . If for a set of primes $\{p_i\}$ we have $d(T_{p_i}) = 0$ for every i and if $\sum p_i^{-1} = \infty$, then $d(T) = 0$.

Since finite unions of sets of density zero are also of density zero, it suffices to prove that the density of the set T of positive integers n such that $(n, \sigma_j(n)) = k$ is zero. With r defined so that $2^r \parallel j$, we define a set of primes $\{p_i\}$ by

$$\{p_i\} = \{p; p \nmid k \text{ and } p \equiv 1 \pmod{2^{r+1}}\}$$

By Dirichlet’s Theorem, $\sum p_i^{-1} = \infty$ and so, by (2), it will suffice to show that $d(T_{p_i}) = 0$ for each i .

Choose an i , and recall (cf. [2, Theorem 4-13]) that the congruence $x^j \equiv -1 \pmod{p_i}$ is solvable if and only if $(-1)^{(p_i-1)/d} \equiv 1 \pmod{p_i}$, where $d = (j, p_i - 1)$.

Since $2 \mid (p_i - 1)/d$, it follows that the congruence $x^j \equiv -1 \pmod{p_i}$ has a solution, t_i . Let $\{q_s\}$ be the sequence of primes of the form $yp_i + t_i$.

Now any member n of T_{p_i} can be written $n = mp_i$, where $(m, p_i) = 1$. Also, $(\sigma_j(m), p_i) = 1$ because otherwise $p_i \mid \sigma_j(m)$, whence $p_i \mid \sigma_j(n)$ and so $p_i \mid (n, \sigma_j(n))$, which is a contradiction of the definition of p_i . Thus any prime divisor of m which divides m only to the first degree cannot, by (#), be a member of $\{q_s\}$. For if so, $\sigma_j(m)$ would have a factor $q_s^j + 1 \equiv (yp_i + t_i)^j + 1 \equiv t_i^j + 1 \equiv 0 \pmod{p_i}$, whereas we know $(\sigma_j(m), p_i) = 1$. Since by Dirichlet's Theorem $\sum q_s^{-1} = \infty$, it follows from (1) that the set of permissible values for m has density zero. Thus $d(T_{p_i}) = 0$ and we are done.

Finally, we have the following result.

THEOREM 2. *For any positive integers k and j , the density of the set of positive integers n for which $(\varphi(n), \sigma_j(n)) \leq k$ is zero.*

Proof. If $\omega(m)$ is the number of distinct prime divisors of the positive integer m and $\Omega(m)$ is the total number of prime divisors of m , then it is known that the density of the set of positive integers m satisfying both

$$(1) \quad \frac{4}{5} \log \log m < \omega(m) < \frac{6}{5} \log \log m$$

and

$$(2) \quad \frac{4}{5} \log \log m < \Omega(m) < \frac{6}{5} \log \log m$$

is 1. (cf. [1, Theorem 431].)

From (1) we see that the density of the set of positive integers m satisfying

$$(3) \quad \omega(m) > 2k$$

is 1. Also from (1) and (2) we see that the density of the set of positive integers m such that

$$(4) \quad 1 \leq \frac{\Omega(m)}{\omega(m)} \leq \frac{3}{2}$$

is 1.

Since the density of the set of positive integers m satisfying both (3) and (4) is 1, it follows that the density of the set of positive integers m having at least k odd prime divisors which divide m only to the first degree is 1. (If $\omega(m) > 2k$ and m has less than k odd prime divisors which divide m only to the first degree then $\Omega(m)/\omega(m) > 3/2$.) For these m , $2^k \mid \varphi(m)$ and $2^k \mid \sigma_j(m)$ and so $(\varphi(m), \sigma_j(m)) > k$. Thus the density of the set of positive integers n for which $(\varphi(n), \sigma_j(n)) \leq k$ is zero.

BIBLIOGRAPHY

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