



Estimates for generalized Bohr radii in one and higher dimensions

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Abstract. In this article, we study a generalized Bohr radius $R_{p,q}(X)$, $p, q \in [1, \infty)$ defined for a complex Banach space X . In particular, we determine the exact value of $R_{p,q}(\mathbb{C})$ for the cases (i) $p, q \in [1, 2]$, (ii) $p \in (2, \infty)$, $q \in [1, 2]$, and (iii) $p, q \in [2, \infty)$. Moreover, we consider an n -variable version $R_{p,q}^n(X)$ of the quantity $R_{p,q}(X)$ and determine (i) $R_{p,q}^n(\mathcal{H})$ for an infinite-dimensional complex Hilbert space \mathcal{H} and (ii) the precise asymptotic value of $R_{p,q}^n(X)$ as $n \rightarrow \infty$ for finite-dimensional X . We also study the multidimensional analog of a related concept called the p -Bohr radius. To be specific, we obtain the asymptotic value of the n -dimensional p -Bohr radius for bounded complex-valued functions, and in the vector-valued case, we provide a lower estimate for the same, which is independent of n .

1 Introduction and the main results

The celebrated theorem of Harald Bohr [13] states (in sharp form) that for any holomorphic self-mapping $f(z) = \sum_{n=0}^{\infty} a_n z^n$ of the open unit disk \mathbb{D} ,

$$\sum_{n=0}^{\infty} |a_n| r^n \leq 1$$

for $|z| = r \leq 1/3$, and this quantity $1/3$ is the best possible. Inequalities of the above type are commonly known as *Bohr inequalities* nowadays, and appearance of any such inequality in a result is generally termed as the occurrence of the *Bohr phenomenon*. This theorem was an outcome of Bohr's investigation on the "absolute convergence problem" of ordinary Dirichlet series of the form $\sum a_n n^{-s}$, and did not receive much attention until it was applied to answer a long-standing question in the realm of operator algebras in 1995 (cf. [19]). Starting there, the Bohr phenomenon continues to be studied from several different aspects for the last two decades, for example, in certain abstract settings (cf. [1]), for ordinary and vector-valued Dirichlet series (see, f.i., [3, 15]), for uniform algebras (see [28]), for free holomorphic functions (cf. [30]), for a Faber–Green condenser (see [26]), for vector-valued functions (cf. [17, 23, 24]), for Hardy space functions (see [5]), and for functions in several variables (see, for example, [2, 8, 12, 21, 29]). We also urge the reader to glance through the

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references of these abovementioned articles to get a more complete picture of the recent developments in this area.

We will now concentrate on a variant of the Bohr inequality, introduced for the first time in [9] in order to investigate the Bohr phenomenon on Banach spaces. Let us start by defining an n -variable analog of this modified inequality. For this purpose, we need to introduce some concepts. Let $\mathbb{D}^n = \{(z_1, z_2, \dots, z_n) \in \mathbb{C}^n : \|z\|_\infty := \max_{1 \leq k \leq n} |z_k| < 1\}$ be the open unit polydisk in the n -dimensional complex plane \mathbb{C}^n , and let X be a complex Banach space. Any holomorphic function $f : \mathbb{D}^n \rightarrow X$ can be expanded in the power series

$$(1.1) \quad f(z) = x_0 + \sum_{|\alpha| \in \mathbb{N}} x_\alpha z^\alpha, \quad x_\alpha \in X,$$

for $z \in \mathbb{D}^n$. Here and hereafter, we will use the standard multi-index notation: α denotes an n -tuple $(\alpha_1, \alpha_2, \dots, \alpha_n)$ of nonnegative integers, $|\alpha| := \alpha_1 + \alpha_2 + \dots + \alpha_n$, $\alpha! := \alpha_1! \alpha_2! \dots \alpha_n!$, z denotes an n -tuple (z_1, z_2, \dots, z_n) of complex numbers, and z^α is the product $z_1^{\alpha_1} z_2^{\alpha_2} \dots z_n^{\alpha_n}$. For $1 \leq p, q < \infty$ and for any f as in (1.1) with $\|f\|_{H^\infty(\mathbb{D}^n, X)} \leq 1$, we denote

$$R_{p,q}^n(f, X) = \sup \left\{ r \geq 0 : \|x_0\|^p + \left(\sum_{k=1}^\infty \sum_{|\alpha|=k} \|x_\alpha z^\alpha\| \right)^q \leq 1 \text{ for all } z \in r\mathbb{D}^n \right\},$$

where $H^\infty(\mathbb{D}^n, X)$ is the space of bounded holomorphic functions f from \mathbb{D}^n to X and $\|f\|_{H^\infty(\mathbb{D}^n, X)} = \sup_{z \in \mathbb{D}^n} \|f(z)\|$. We further define

$$R_{p,q}^n(X) = \inf \{ R_{p,q}^n(f, X) : \|f\|_{H^\infty(\mathbb{D}^n, X)} \leq 1 \}.$$

Following the notations of [9], throughout this article, we will use $R_{p,q}(f, X)$ for $R_{p,q}^1(f, X)$ and $R_{p,q}(X)$ for $R_{p,q}^1(X)$. Clearly, $R_{1,1}(\mathbb{C}) = 1/3$. The reason for reshaping the original Bohr inequality in the above fashion becomes clear from [9, Theorem 1.2], which shows that the notion of the classical Bohr phenomenon is not very useful for $\dim(X) \geq 2$. For a given pair of p and q in $[1, \infty)$, it is known from the results of [9] that depending on X , $R_{p,q}(X)$ may or may not be zero. A characterization theorem in this regard has further been established in [6]. However, the question of determination of the exact value of $R_{p,q}(X)$ is challenging, and to the best of our knowledge, there is lack of progress on this problem—even for $X = \mathbb{C}$. In fact, only known optimal result in this direction is the following:

$$(1.2) \quad R_{p,1}(\mathbb{C}) = \frac{p}{2+p}$$

for $1 \leq p \leq 2$ (cf. [9, Proposition 1.4]), along with rather recent generalizations of (1.2) (see, for example, [27]). This motivates us to address this problem in the first theorem of this article.

Theorem 1.1 *Given $p, q \in [1, \infty)$, let us denote*

$$A_{p,q}(a) = \frac{(1-a^p)^{\frac{1}{q}}}{1-a^2+a(1-a^p)^{\frac{1}{q}}}, \quad a \in [0, 1)$$

and

$$S_{p,q}(a) = \left(\frac{(1-a^p)^{\frac{2}{q}}}{1-a^2 + (1-a^p)^{\frac{2}{q}}} \right)^{\frac{1}{2}}, \quad a \in [0,1).$$

Furthermore, let \widehat{a} be the unique root in $(0,1)$ of the equation

$$(1.3) \quad x^p + x^q = 1.$$

Then

$$R_{p,q}(\mathbb{C}) = \begin{cases} \inf_{a \in [\widehat{a},1)} A_{p,q}(a) & \text{if } p, q \in [1,2], \\ \min \left\{ (1/\sqrt{2}), \inf_{a \in [\widehat{a},1)} A_{p,q}(a) \right\} & \text{if } p \in (2, \infty) \text{ and } q \in [1,2], \\ 1/\sqrt{2} & \text{if } p, q \in [2, \infty). \end{cases}$$

For $p \in [1,2]$ and $q \in (2, \infty)$, $R_{2,q}(\mathbb{C}) = 1/\sqrt{2}$, $R_{p,q}(\mathbb{C}) = \inf_{a \in [\widehat{a},1)} A_{p,q}(a)$ if $p < 2$ and in addition the inequality

$$(1.4) \quad q\widehat{a}^2 + p\widehat{a}^{p+2} \leq p\widehat{a}^p + q\widehat{a}^{p+2}$$

is satisfied. In all other scenarios, we have, in general,

$$(1.5) \quad 0 < \inf_{a \in [0,1)} S_{p,q}(a) \leq R_{p,q}(\mathbb{C}) \leq \frac{1}{\sqrt{2}}.$$

Remarks 1.2 (a) A closer look at the proof of Theorem 1.1 reveals that the conclusions of this theorem remain unchanged if the interval $[1,2]$ is replaced by $(0,2]$ everywhere in its statement. However, doing so includes cases where positive Bohr radius is nonexistent; for example, $R_{p,q}(\mathbb{C}) = \inf_{a \in [\widehat{a},1)} A_{p,q}(a) \leq \lim_{a \rightarrow 1^-} A_{p,q}(a) = 0$ if $0 < q < 1$. Therefore, throughout this paper, we stick to the assumption $p, q \geq 1$.

(b) Following methods similar to the proof of Theorem 1.1, it is easy to see that for any given complex Hilbert space \mathcal{H} with dimension at least 2, the following statements are true:

- (i) For $p, q \in [2, \infty)$, $R_{p,q}(\mathcal{H}) = 1/\sqrt{2}$.
- (ii) For $p \in [1,2)$ and $q \in [2, \infty)$, inequalities (1.5) are satisfied with $R_{p,q}(\mathbb{C})$ replaced by $R_{p,q}(\mathcal{H})$.

Note that the assumption $q \geq 2$ is justified by [6, Corollary 4]. Later, in Theorem 1.4, we obtain a more complete result for $\dim(\mathcal{H}) = \infty$.

We now turn our attention to the Bohr radius $R_{p,q}^n(X)$, where X is a complex Banach space. The first question we encounter is the identification of the Banach spaces X with $R_{p,q}^n(X) > 0$, which is in fact equivalent to the one-dimensional version of the same problem.

Proposition 1.3 For any given $n \in \mathbb{N}$ and $p, q \in [1, \infty)$, $R_{p,q}^n(X) > 0$ for some complex Banach space X if and only if $R_{p,q}(X) > 0$ for the same Banach space X .

Note that from [6, Theorem 1], it is known that $R_{p,q}(X) > 0$ if and only if there exists a constant C such that

$$(1.6) \quad \Omega_X(\delta) \leq C \left((1 + \delta)^q - (1 + \delta)^{q-p} \right)^{1/q}$$

for all $\delta \geq 0$. We mention here that for any $\delta \geq 0$, $\Omega_X(\delta)$ is defined to be the supremum of $\|y\|$ taken over all $x, y \in X$ such that $\|x\| = 1$ and $\|x + zy\| \leq 1 + \delta$ for all $z \in \mathbb{D}$ (see [22]). Now, in view of the above discussion, it looks appropriate to consider the Bohr phenomenon, i.e., studying $R_{p,q}^n(X)$ for particular Banach spaces X . We resolve this problem completely for $X = \mathcal{H}$ —a complex Hilbert space of infinite dimension. While this question remains open for $\dim(\mathcal{H}) < \infty$, we succeed in determining the correct asymptotic behavior of $R_{p,q}^n(X)$ as $n \rightarrow \infty$ for any finite-dimensional complex Banach space X with $R_{p,q}(X) > 0$.

Theorem 1.4 For any given $n \in \mathbb{N}$, $p \in [1, \infty)$, $q \in [2, \infty)$ and for any infinite-dimensional complex Hilbert space \mathcal{H} ,

$$R_{p,q}^n(\mathcal{H}) = \inf_{a \in [0,1]} \left(1 - (1 - (S_{p,q}(a))^2)^{\frac{1}{n}} \right)^{\frac{1}{2}},$$

$S_{p,q}(a)$ as defined in the statement of Theorem 1.1. For any complex Banach space X with $\dim(X) < \infty$ and with $R_{p,q}(X) > 0$, we have

$$\lim_{n \rightarrow \infty} R_{p,q}^n(X) \sqrt{\frac{n}{\log n}} = 1.$$

At this point, we like to discuss another interesting related concept called the p -Bohr radius. First, we pose an n -variable version of the definition of p -Bohr radius given in [10]. For any $p \in [1, \infty)$ and for any complex Banach space X , we denote

$$r_p^n(f, X) = \sup \left\{ r \geq 0 : \|x_0\|^p + \sum_{k=1}^{\infty} \sum_{|\alpha|=k} \|x_{\alpha} z^{\alpha}\|^p \leq 1 \text{ for all } z \in r\mathbb{D}^n \right\},$$

where f is as given in (1.1) with $\|f\|_{H^{\infty}(\mathbb{D}^n, X)} \leq 1$, and then define the n -dimensional p -Bohr radius of X by

$$r_p^n(X) = \inf \{ r_p^n(f, X) : \|f\|_{H^{\infty}(\mathbb{D}^n, X)} \leq 1 \}.$$

Again, following the notations of [10], we will write $r_p(f, X)$ for $r_p^1(f, X)$ and $r_p(X)$ for $r_p^1(X)$. Clearly, for $X = \mathbb{C}$, one only needs to consider $p \in [1, 2)$, as $r_p^n(\mathbb{C}) = 1$ for all $p \geq 2$ and for any $n \in \mathbb{N}$. The quantities $r_p(\mathbb{C})$ and $r_p^n(\mathbb{C})$ were first considered in [20]. Unlike $R_{p,q}(\mathbb{C})$, a precise value of $r_p(\mathbb{C})$ has already been obtained in [25]. We make further progress by determining the asymptotic behavior of $r_p^n(\mathbb{C})$ for all $p \in (1, 2)$ (the case $p = 1$ is already resolved) in the first half of Theorem 1.5.

On the other hand, to get a nonzero value of $r_p^n(X)$ where $\dim(X) \geq 2$, one necessarily has to consider $p \geq 2$ and work with p -uniformly PL -convex complex Banach spaces X . A complex Banach space X is said to be p -uniformly PL -convex

($2 \leq p < \infty$) if there exists a constant $\lambda > 0$ such that

$$(1.7) \quad \|x\|^p + \lambda \|y\|^p \leq \frac{1}{2\pi} \int_0^{2\pi} \|x + e^{i\theta} y\|^p d\theta$$

for all $x, y \in X$. Denote by $I_p(X)$ the supremum of all λ satisfying (1.7). Now, if we assume $r_p^n(X) > 0$ for some $n \in \mathbb{N}$, then evidently $r_p(X) > 0$ (as any member of $H^\infty(\mathbb{D}, X)$ can be considered as a member of $H^\infty(\mathbb{D}^n, X)$ as well), and therefore [10, Theorem 1.10] asserts that X is p -uniformly \mathbb{C} -convex, which is equivalent to saying that X is p -uniformly PL -convex. The second half of our upcoming theorem shows that for any p -uniformly PL -convex complex Banach space X ($p \geq 2$) with $\dim(X) \geq 2$, the Bohr radius $r_p^n(X) > 0$ for all $n \in \mathbb{N}$ and unlike $r_p^n(\mathbb{C})$ or $R_{p,q}^n(X)$, $r_p^n(X)$ does not converge to 0 as $n \rightarrow \infty$.

Theorem 1.5 For any $p \in (1, 2)$ and $n > 1$, we have

$$r_p^n(\mathbb{C}) \sim \left(\frac{\log n}{n} \right)^{\frac{2-p}{2p}}.$$

For any p -uniformly PL -convex ($p \geq 2$) complex Banach space X with $\dim(X) \geq 2$, we have

$$\left(\frac{I_p(X)}{2^p + I_p(X)} \right)^{\frac{2}{p}} \leq r_p^n(X) \leq 1$$

for all $n \in \mathbb{N}$.

We clarify that for any two sequences $\{p_n\}$ and $\{q_n\}$ of positive real numbers, we write $p_n \sim q_n$ if there exist constants $C, D > 0$ such that $Cq_n \leq p_n \leq Dq_n$ for all $n > 1$. In Section 2, we will give the proofs of all the results stated so far.

2 Proofs of the main results

We start by recalling the following result of Bombieri (cf. [14]), which is at the heart of the proof of our Theorem 1.1.

Theorem A For any holomorphic self-mapping $f(z) = \sum_{n=0}^{\infty} a_n z^n$ of the open unit disk \mathbb{D} ,

$$\sum_{n=1}^{\infty} |a_n| r^n \leq \begin{cases} \frac{r(1-a^2)}{1-ar} & \text{for } r \leq a, \\ \frac{r\sqrt{1-a^2}}{\sqrt{1-r^2}} & \text{for } r \in [0, 1) \text{ in general,} \end{cases}$$

where $|z| = r$ and $|a_0| = a$.

It should be mentioned that the above result is not recorded in the present form in [14]. For a direct derivation of the first inequality in Theorem A, see the proof of Theorem 9 of [7]. The second inequality is an easy consequence of the Cauchy-Schwarz inequality combined with the fact that $\sum_{n=1}^{\infty} |a_n|^2 \leq 1 - |a_0|^2$.

Proof of Theorem 1.1 Given a holomorphic function $f(z) = \sum_{n=0}^{\infty} a_n z^n$ mapping \mathbb{D} inside \mathbb{D} , a straightforward application of Theorem A yields

$$(2.1) \quad |a_0|^p + \left(\sum_{n=1}^{\infty} |a_n| r^n \right)^q \leq \begin{cases} a^p + (1 - a^2)^q \left(\frac{r}{1 - ar} \right)^q & \text{for } r \leq a, \\ a^p + (1 - a^2)^{\frac{q}{2}} \left(\frac{r}{\sqrt{1 - r^2}} \right)^q & \text{for } r \in [0, 1). \end{cases}$$

Now,

$$a^p + (1 - a^2)^q \left(\frac{r}{1 - ar} \right)^q \leq 1$$

whenever $r \leq A_{p,q}(a)$. A little calculation reveals that $A_{p,q}(a) \leq a$ whenever $a^p + a^q \geq 1$, i.e., whenever $a \geq \widehat{a}$, \widehat{a} being the root of equation (1.3). Thus, from (2.1), it is clear that

$$(2.2) \quad |a_0|^p + \left(\sum_{n=1}^{\infty} |a_n| r^n \right)^q \leq 1$$

for $r \leq \inf_{a \in [\widehat{a}, 1)} A_{p,q}(a)$, provided that $a \geq \widehat{a}$. On the other hand,

$$a^p + (1 - a^2)^{\frac{q}{2}} \left(\frac{r}{\sqrt{1 - r^2}} \right)^q \leq 1$$

for $r \leq S_{p,q}(a)$, i.e., inequality (2.2) remains valid for $r \leq \inf_{a \in [0, \widehat{a}]} S_{p,q}(a)$, provided that $a \leq \widehat{a}$. Therefore, we conclude that for any given $p, q \in [1, \infty)$,

$$(2.3) \quad R_{p,q}(\mathbb{C}) \geq \min \left\{ \inf_{a \in [0, \widehat{a}]} S_{p,q}(a), \inf_{a \in [\widehat{a}, 1)} A_{p,q}(a) \right\}.$$

We also record some other facts which we will need to use later. Observe that for all $p, q \in [1, \infty)$,

$$S_{p,q}(a) = \sqrt{\frac{T(a)}{1 + T(a)}} \text{ where } T(a) = \frac{(1 - a^p)^{\frac{2}{q}}}{1 - a^2},$$

and therefore

$$S'_{p,q}(a) = \frac{T'(a)}{2\sqrt{T(a)(1 + T(a))}^3}$$

for $a \in (0, 1)$, where

$$(2.4) \quad T'(a) = \frac{2a^{p-1}T(a)}{1 - a^p} \left(\frac{a^2(1 - a^p)}{a^p(1 - a^2)} - \frac{p}{q} \right).$$

Setting $y = 1/a$ for convenience, we write

$$\frac{a^2(1 - a^p)}{a^p(1 - a^2)} = \frac{y^p - 1}{y^2 - 1} = P(y)$$

defined on $(1, \infty)$. Note that

$$(2.5) \quad \frac{d}{da} P(y) = P'(y) \frac{dy}{da} = -y^3 \frac{py^p - py^{p-2} - 2y^p + 2}{(y^2 - 1)^2},$$

and that

$$(2.6) \quad Q'(y) = y^{p-3}(y^2 - 1)p(p - 2),$$

where $Q(y) = py^p - py^{p-2} - 2y^p + 2$.

Furthermore, observe that for the disk automorphisms $\phi_a(z) = (a - z)/(1 - az)$, $z \in \mathbb{D}$, $a \in [\widehat{a}, 1)$, $R_{p,q}(\phi_a, \mathbb{C}) = A_{p,q}(a)$, and hence $R_{p,q}(\mathbb{C}) \leq \inf_{a \in [\widehat{a}, 1)} A_{p,q}(a)$. Moreover, for $\xi(z) = z\phi_{1/\sqrt{2}}(z)$, $z \in \mathbb{D}$, we have $R_{p,q}(\xi, \mathbb{C}) = 1/\sqrt{2}$. Combining these two facts, we write

$$(2.7) \quad R_{p,q}(\mathbb{C}) \leq \min \left\{ (1/\sqrt{2}), \inf_{a \in [\widehat{a}, 1)} A_{p,q}(a) \right\}.$$

We now deal with the problem case by case.

Case $p, q \in [1, 2]$: Let us start with $p < 2$. From (2.6), it is evident that $Q'(y) < 0$ for $p < 2$, and hence $Q(y) < Q(1) = 0$ for all $y \in (1, \infty)$. Thus, from (2.5), it is clear that $P(y)$ is strictly increasing in $(0, 1)$ with respect to a . Consequently, for all $y \in (1, \infty)$,

$$(2.8) \quad P(y) < \lim_{a \rightarrow 1^-} P(y) = \frac{p}{2},$$

and using the above estimate in (2.4) gives, for all $a \in (0, 1)$,

$$T'(a) < \frac{2a^{p-1}T(a)}{1 - a^p} \left(\frac{p}{2} - \frac{p}{q} \right) \leq 0,$$

as $q \leq 2$. Therefore, $S_{p,q}(a)$ is strictly decreasing in $(0, 1)$, and after some calculations, we have, as a consequence,

$$\inf_{a \in [0, \widehat{a}]} S_{p,q}(a) = S_{p,q}(\widehat{a}) = A_{p,q}(\widehat{a}) \geq \inf_{a \in [\widehat{a}, 1)} A_{p,q}(a).$$

Hence, from (2.3), we have $R_{p,q}(\mathbb{C}) \geq \inf_{a \in [\widehat{a}, 1)} A_{p,q}(a)$. For $p = 2$, if $q < 2$, then $T'(a) < 0$ for all $a \in (0, 1)$, which (as in the case $p < 2$) again gives $R_{2,q}(\mathbb{C}) \geq \inf_{a \in [\widehat{a}, 1)} A_{2,q}(a)$. Otherwise, if $p = q = 2$, then $\widehat{a} = 1/\sqrt{2}$, and for all $a \in [0, 1)$, we get

$$S_{2,2}(a) = 1/\sqrt{2} = \inf_{a \in [\widehat{a}, 1)} A_{2,2}(a).$$

Therefore, for all $p, q \in [1, 2]$, we have $R_{p,q}(\mathbb{C}) \geq \inf_{a \in [\widehat{a}, 1)} A_{p,q}(a)$, and from (2.7), it is known that $R_{p,q}(\mathbb{C}) \leq \inf_{a \in [\widehat{a}, 1)} A_{p,q}(a)$. This completes the proof for this case.

Case $p \in (2, \infty)$, $q \in [1, 2]$: From (2.6), it is clear that $Q'(y) > 0$ for $p > 2$, and therefore $Q(y) > Q(1) = 0$ for all $y \in (1, \infty)$. It follows from (2.5) that $P(y)$ is strictly decreasing in $(0, 1)$ with respect to a . Thus, for $q < 2$, the value of the quantity

$$P(y) - \frac{p}{q} = \frac{a^2(1 - a^p)}{a^p(1 - a^2)} - \frac{p}{q}$$

decreases from

$$\lim_{a \rightarrow 0^+} (P(y) - (p/q)) = +\infty \text{ to } \lim_{a \rightarrow 1^-} (P(y) - (p/q)) = p((1/2) - (1/q)) < 0,$$

i.e., $P(y) - (p/q) > 0$ in $(0, b_1)$ and $P(y) - (p/q) < 0$ in $(b_1, 1)$ for some $b_1 \in (0, 1)$, where $P(b_1) = (p/q)$. As a consequence, $T'(a) = 0$ only for $a = 0, b_1$, and $T'(a) > 0$ in $(0, b_1)$, $T'(a) < 0$ in $(b_1, 1)$. Hence, $S_{p,q}(a)$ strictly increases in $(0, b_1)$, and then strictly decreases in $(b_1, 1)$, which implies that

$$\inf_{a \in [0, \widehat{a}]} S_{p,q}(a) = \min \{S_{p,q}(0), S_{p,q}(\widehat{a})\} = \min \left\{ (1/\sqrt{2}), A_{p,q}(\widehat{a}) \right\}.$$

Moreover, from the proof of the case $p, q \in [2, \infty)$, we have $R_{p,2}(\mathbb{C}) = 1/\sqrt{2}$. These two facts combined with (2.3) readily yield

$$R_{p,q}(\mathbb{C}) \geq \min \left\{ (1/\sqrt{2}), \inf_{a \in [\widehat{a}, 1]} A_{p,q}(a) \right\},$$

and making use of (2.7), we arrive at our desired conclusion.

Case $p, q \in [2, \infty)$: Applying (2.7) of this paper, (1.9) of [9], and [10, Remark 1.2] together, the proof follows immediately from the observation:

$$(1/\sqrt{2}) \geq R_{p,q}(\mathbb{C}) \geq R_{2,2}(\mathbb{C}) \geq (1/\sqrt{2})r_2(\mathbb{C}) = 1/\sqrt{2}.$$

Case $p \in [1, 2], q \in (2, \infty)$: The fact that $R_{2,q}(\mathbb{C}) = 1/\sqrt{2}$ is evident from the proof of the case $p, q \in [2, \infty)$. Furthermore, as we have already seen, from (2.1) it is clear that inequality (2.2) holds for $r \leq S_{p,q}(a), a \in [0, 1)$, and therefore for $r \leq \inf_{a \in [0, 1)} S_{p,q}(a)$. From this and (2.7), we have (1.5) as an immediate consequence. The assertion $\inf_{a \in [0, 1)} S_{p,q}(a) > 0$ is validated from the fact that $S_{p,q}(a) \neq 0$ for all $a \in [0, 1)$ and that $\lim_{a \rightarrow 1^-} S_{p,q}(a) = 1$. Now, we will show that the imposition of the additional condition (1.4) gives an optimal value for $R_{p,q}(\mathbb{C})$. We know that for $p < 2, P(y)$ is strictly increasing in $(0, 1)$ with respect to a , and as a result, $P(y) - (p/q)$ increases from

$$\lim_{a \rightarrow 0^+} (P(y) - (p/q)) = -p/q \text{ to } \lim_{a \rightarrow 1^-} (P(y) - (p/q)) = p((1/2) - (1/q)) > 0,$$

i.e., $P(y) - (p/q) < 0$ in $(0, b_2)$ and $P(y) - (p/q) > 0$ in $(b_2, 1)$ for some $b_2 \in (0, 1)$, where $P(b_2) = (p/q)$. As a consequence, $T'(a) = 0$ only for $a = 0, b_2$, and $T'(a) < 0$ in $(0, b_2)$, $T'(a) > 0$ in $(b_2, 1)$. Hence, $S_{p,q}(a)$ strictly decreases in $(0, b_2)$, and then strictly increases in $(b_2, 1)$. Now, if we assume the condition (1.4) in addition, it is equivalent to saying that $T'(\widehat{a}) \leq 0$, i.e., $\widehat{a} \leq b_2$. Thus, $\inf_{a \in [0, \widehat{a}]} S_{p,q}(a) = S_{p,q}(\widehat{a}) = A_{p,q}(\widehat{a})$. Consequently, from (2.3), we get $R_{p,q}(\mathbb{C}) \geq \inf_{a \in [\widehat{a}, 1)} A_{p,q}(a)$, which completes our proof for this case. ■

Proof of Proposition 1.3 As any holomorphic function $f : \mathbb{D} \rightarrow X$ can also be considered as a holomorphic function from \mathbb{D}^n to X , it immediately follows that $R_{p,q}^n(X) > 0$ for any $n \in \mathbb{N}$ implies that $R_{p,q}(X) > 0$. Thus, we only need to establish

the converse. Any holomorphic $f : \mathbb{D}^n \rightarrow X$ with an expansion (1.1) can be written as

$$(2.9) \quad f(z) = x_0 + \sum_{k=1}^{\infty} P_k(z), z \in \mathbb{D}^n,$$

where $P_k(z) := \sum_{|\alpha|=k} x_\alpha z^\alpha$. Thus, for any fixed $z_0 \in \mathbb{T}^n$ (the n -dimensional torus), we have

$$(2.10) \quad g(u) := f(uz_0) = x_0 + \sum_{k=1}^{\infty} P_k(z_0)u^k : \mathbb{D} \rightarrow X$$

is holomorphic, and if $\|f\|_{H^\infty(\mathbb{D}^n, X)} \leq 1$, then $\|g\|_{H^\infty(\mathbb{D}, X)} \leq 1$. Hence, starting with the assumption $R_{p,q}(X) = R > 0$, we have $\|P_k(z_0)\| \leq (1/R^k)(1 - \|x_0\|^p)^{1/q}$, and since z_0 is arbitrary, we conclude that $\sup_{z \in \mathbb{T}^n} \|P_k(z)\| \leq (1/R^k)(1 - \|x_0\|^p)^{1/q}$ for any $k \in \mathbb{N}$. Therefore, for a given $k \in \mathbb{N}$ and for any α with $|\alpha| = k$, we have

$$\begin{aligned} \|x_\alpha\| &= \left\| \frac{1}{(2\pi i)^n} \int_{|z_1|=1} \int_{|z_2|=1} \cdots \int_{|z_n|=1} \frac{P_k(z)}{z^{\alpha+1}} dz_n dz_{n-1} \cdots dz_1 \right\| \\ &\leq \sup_{z \in \mathbb{T}^n} \|P_k(z)\| \leq \frac{1}{R^k} (1 - \|x_0\|^p)^{\frac{1}{q}}. \end{aligned}$$

As a result, we have, for all $r < R$,

$$\|x_0\|^p + \left(\sum_{k=1}^{\infty} r^k \sum_{|\alpha|=k} \|x_\alpha\| \right)^q \leq \|x_0\|^p + (1 - \|x_0\|^p) \left(\left(\frac{R}{R-r} \right)^n - 1 \right)^q,$$

which is less than or equal to 1 whenever $r \leq R(1 - (1/2)^{1/n})$, thereby asserting that $R_{p,q}^n(X) > 0$. ■

Proof of Theorem 1.4 (i) Before we start proving the first part of this theorem, note that the choice of $q \in [2, \infty)$ is again justified due to Proposition 1.3 and [6, Corollary 4]. Now, given a holomorphic $f : \mathbb{D}^n \rightarrow \mathcal{H}$ with an expansion (1.1) and with $\|f(z)\| \leq 1$ for all $z \in \mathbb{D}^n$, we have, for any fixed $R \in (0, 1)$,

$$(2\pi)^{-n} \int_{\theta_1=0}^{2\pi} \int_{\theta_2=0}^{2\pi} \cdots \int_{\theta_n=0}^{2\pi} \|f(Re^{i\theta_1}, Re^{i\theta_2}, \dots, Re^{i\theta_n})\|^2 d\theta_n d\theta_{n-1} \cdots d\theta_1 \leq 1,$$

which is the same as saying that

$$\|x_0\|^2 + \sum_{|\alpha| \in \mathbb{N}} \|x_\alpha\|^2 R^{2|\alpha|} + (2\pi)^{-n} M R^{|\alpha|+|\beta|} \leq 1$$

with $M := \sum_{\alpha \neq \beta} \langle x_\alpha, x_\beta \rangle \int_{\theta_1=0}^{2\pi} \int_{\theta_2=0}^{2\pi} \cdots \int_{\theta_n=0}^{2\pi} e^{i(\theta_1(\alpha_1-\beta_1)+\cdots+\theta_n(\alpha_n-\beta_n))} d\theta_n d\theta_{n-1} \cdots d\theta_1$. Here, $\langle \cdot, \cdot \rangle$ is the inner product of \mathcal{H} , α and β denote as usual n -tuples $(\alpha_1, \alpha_2, \dots, \alpha_n)$ and $(\beta_1, \beta_2, \dots, \beta_n)$ of nonnegative integers, respectively. As we know $\int_0^{2\pi} e^{ik\theta} d\theta = 0$ for any $k \in \mathbb{Z} \setminus \{0\}$, $M = 0$. Letting $R \rightarrow 1^-$ in the above inequality, we therefore get $\|x_0\|^2 + \sum_{k=1}^{\infty} \sum_{|\alpha|=k} \|x_\alpha\|^2 \leq 1$. Taking $z \in r\mathbb{D}^n$ and using this inequality, we obtain

$$\begin{aligned} \|x_0\|^p + \left(\sum_{k=1}^{\infty} \sum_{|\alpha|=k} \|x_\alpha z^\alpha\|\right)^q &\leq \|x_0\|^p + \left(\sum_{k=1}^{\infty} \sum_{|\alpha|=k} \|x_\alpha\|^2\right)^{\frac{q}{2}} \left(\sum_{k=1}^{\infty} \sum_{|\alpha|=k} |z^\alpha|^2\right)^{\frac{q}{2}} \\ &\leq \|x_0\|^p + (1 - \|x_0\|^2)^{\frac{q}{2}} \left(\sum_{k=1}^{\infty} \binom{n+k-1}{k} r^{2k}\right)^{\frac{q}{2}} \\ &= \|x_0\|^p + (1 - \|x_0\|^2)^{\frac{q}{2}} \left(\frac{1}{(1-r^2)^n} - 1\right)^{\frac{q}{2}}, \end{aligned}$$

which is less than or equal to 1 if

$$(2.11) \quad r \leq \left(1 - (1 - (S_{p,q}(\|x_0\|))^2)^{\frac{1}{n}}\right)^{\frac{1}{2}},$$

and therefore

$$(2.12) \quad R_{p,q}^n(\mathcal{H}) \geq \inf_{a \in [0,1]} \left(1 - (1 - (S_{p,q}(a))^2)^{\frac{1}{n}}\right)^{\frac{1}{2}}.$$

As the quantity on the right-hand side of inequality (2.11) becomes $\sqrt{1 - (1/2)^{1/n}}$ at $x_0 = 0$ and converges to 1 as $\|x_0\| \rightarrow 1$, we conclude that the infimum in inequality (2.12) is attained at some $b_3 \in [0, 1)$. Since every Hilbert space \mathcal{H} has an orthonormal basis and, in our case, $\dim(\mathcal{H}) = \infty$, we can choose a countably infinite set $\{e_\alpha\}_{|\alpha| \in \mathbb{N} \cup \{0\}}$ of orthonormal vectors in \mathcal{H} . Setting $r_3 = (1 - (1 - (S_{p,q}(b_3))^2)^{\frac{1}{n}})^{\frac{1}{2}}$, we construct

$$\chi(z) := b_3 e_0 + \frac{1 - b_3^2}{(1 - b_3^p)^{\frac{1}{q}}} \sum_{k=1}^{\infty} r_3^k \left(\sum_{|\alpha|=k} z^\alpha e_\alpha\right) : \mathbb{D}^n \rightarrow \mathcal{H},$$

which satisfies $\|\chi(z)\| \leq 1$ for all $z \in \mathbb{D}^n$, and $r_3 = R_{p,q}^n(\chi, \mathcal{H}) \geq R_{p,q}^n(\mathcal{H})$. This completes the proof for the first part of this theorem.

(ii) The proof for this part is rather lengthy, so we break it into a couple of steps. Prior to each step, we will provide some auxiliary information whenever needed.

Background for Step 1: If $R_{p,q}(X) > 0$, we have

$$\Omega_X(\delta) \leq C((1 + \delta)^q - (1 + \delta)^{q-p})^{1/q}, \quad \delta \geq 0$$

for some constant C (see (1.6) in the introduction). Given any such X , and given any holomorphic function $G(u) = \sum_{n=0}^{\infty} y_n u^n : \mathbb{D} \rightarrow X$ with $\|G(u)\| \leq 1$ in \mathbb{D} , it is known from the proof of [6, Theorem 1] that

$$(2.13) \quad \|y_k\| \leq 2\Omega_X(1 - \|y_0\|) \leq 2C((2 - \|y_0\|)^q - (2 - \|y_0\|)^{q-p})^{1/q}$$

for all $k \geq 1$.

Step 1: In our context, for any given holomorphic $f : \mathbb{D}^n \rightarrow X$ with an expansion (1.1) and with $\|f\|_{H^\infty(\mathbb{D}^n, X)} \leq 1$, we define the holomorphic function $g(u) = x_0 + \sum_{k=1}^{\infty} P_k(z_0)u^k : \mathbb{D} \rightarrow X$ as in (2.10), which satisfies $\|g(u)\| \leq 1$ for all $u \in \mathbb{D}$, z_0 being any chosen point on \mathbb{T}^n . Since $R_{p,q}(X) > 0$, making use of inequality (2.13), we

conclude that for any $k \geq 1$,

$$\|P_k(z_0)\| \leq 2C ((2 - \|x_0\|)^q - (2 - \|x_0\|)^{q-p})^{1/q}$$

for any $z_0 \in \mathbb{T}^n$. Therefore,

$$(2.14) \quad \sup_{z \in \mathbb{T}^n} \|P_k(z)\| \leq 2C ((2 - \|x_0\|)^q - (2 - \|x_0\|)^{q-p})^{1/q}$$

for any $k \in \mathbb{N}$, C being the constant for which (1.6) is satisfied.

Background for Step 2 : For $1 \leq p < \infty$ and for a linear operator $U : X_0 \rightarrow Y_0$ between the complex Banach spaces X_0 and Y_0 , we say that U is p -summing if there exists a constant $c \geq 0$ such that regardless of the natural number m and regardless of the choice of f_1, f_2, \dots, f_m in X_0 , we have

$$\left(\sum_{i=1}^m \|U(f_i)\|^p\right)^{1/p} \leq c \sup_{\phi \in B_{X_0^*}} \left(\sum_{i=1}^m |\phi(f_i)|^p\right)^{1/p},$$

where $B_{X_0^*}$ is the open unit ball in the dual space X_0^* . The least c for which the above inequality always holds is denoted by $\pi_p(U)$, and the set of all p -summing operators from X_0 into Y_0 is denoted by $\Pi_p(X_0, Y_0)$. Now, from [18, Proposition 2.3], we know that:

Fact I. If $U : X_0 \rightarrow Y_0$ is a bounded linear operator and $\dim(U(X_0)) < \infty$, then U is p -summing for every $p \in [1, \infty)$.

Moreover, [18, Theorem 2.8] states that:

Fact II. If $1 \leq p < q < \infty$, then $\Pi_p(X_0, Y_0) \subset \Pi_q(X_0, Y_0)$. Moreover, for $U \in \Pi_p(X_0, Y_0)$, we have $\pi_q(U) \leq \pi_p(U)$.

Step 2 : Coming back to our proof now, we set $X_0 = Y_0 = X$ and $U = I$ —the identity operator on X . As X is finite-dimensional, $\dim(I(X)) < \infty$ in this case and thus using Fact I, we have $I \in \Pi_p(X, X)$ for all $p \geq 1$. Therefore,

$$\left(\sum_{|\alpha|=k} \|x_\alpha\|^{\frac{2k}{k+1}}\right)^{\frac{k+1}{2k}} \leq \pi_{\frac{2k}{k+1}}(I) \sup_{\phi \in B_{X^*}} \left(\sum_{|\alpha|=k} |\phi(x_\alpha)|^{\frac{2k}{k+1}}\right)^{\frac{k+1}{2k}}$$

for all $k \in \mathbb{N}$. Since $2k/(k + 1) > 1$ for all $k \geq 2$, Fact II asserts that $\pi_{\frac{2k}{k+1}}(I) \leq \pi_1(I)$. Hence, there exists a constant $D = \pi_1(I)$ (depending only on X) such that

$$(2.15) \quad \left(\sum_{|\alpha|=k} \|x_\alpha\|^{\frac{2k}{k+1}}\right)^{\frac{k+1}{2k}} \leq D \sup_{\phi \in B_{X^*}} \left(\sum_{|\alpha|=k} |\phi(x_\alpha)|^{\frac{2k}{k+1}}\right)^{\frac{k+1}{2k}}$$

for all $k \in \mathbb{N}$.

Background for Step 3 : From [4, Theorem 1.1], we know that for any $\varepsilon > 0$, there exists $\mu > 0$ such that, for any complex k -homogeneous polynomial ($k \geq 1$) $P(z) = \sum_{|\alpha|=k} c_\alpha z^\alpha$ ($c_\alpha \in \mathbb{C}$), we have

$$\left(\sum_{|\alpha|=k} |c_\alpha|^{\frac{2k}{k+1}}\right)^{\frac{k+1}{2k}} \leq \mu(1 + \varepsilon)^k \sup_{z \in \mathbb{D}^n} |P(z)|.$$

Step 3: Recall from (2.9) now that $P_k(z) = \sum_{|\alpha|=k} x_\alpha z^\alpha$, $x_\alpha \in X$, and hence $\phi(P_k(z)) = \sum_{|\alpha|=k} \phi(x_\alpha) z^\alpha$ for any $\phi \in B_{X^*}$. Consequently, using the above inequality, we get that for any $\varepsilon > 0$, there exists $\mu > 0$ such that

$$\sup_{\phi \in B_{X^*}} \left(\sum_{|\alpha|=k} |\phi(x_\alpha)|^{\frac{2k}{k+1}} \right)^{\frac{k+1}{2k}} \leq \mu(1 + \varepsilon)^k \sup_{\phi \in B_{X^*}} \sup_{z \in \mathbb{D}^n} |\phi(P_k(z))| = \mu(1 + \varepsilon)^k \sup_{z \in \mathbb{T}^n} \|P_k(z)\|$$

for all $k \geq 1$. Combining this inequality with inequalities (2.14) and (2.15) appropriately, we get

$$\left(\sum_{|\alpha|=k} \|x_\alpha\|^{\frac{2k}{k+1}} \right)^{\frac{k+1}{2k}} \leq 2\mu CD(1 + \varepsilon)^k ((2 - \|x_0\|)^q - (2 - \|x_0\|)^{q-p})^{1/q}.$$

It follows that

$$\begin{aligned} \left(\sum_{k=1}^\infty r^k \sum_{|\alpha|=k} \|x_\alpha\| \right)^q &\leq \left(\sum_{k=1}^\infty r^k \left(\sum_{|\alpha|=k} \|x_\alpha\|^{\frac{2k}{k+1}} \right)^{\frac{k+1}{2k}} \binom{n+k-1}{k}^{\frac{k-1}{2k}} \right)^q \\ &\leq X \left(\sum_{k=1}^\infty r^k (1 + \varepsilon)^k \binom{n+k-1}{k}^{\frac{k-1}{2k}} \right)^q, \end{aligned}$$

where $X = \mu^q C_1^q ((2 - \|x_0\|)^q - (2 - \|x_0\|)^{q-p})$, $C_1 = 2CD$. Hence, for $z \in r\mathbb{D}^n$, the inequality

$$\|x_0\|^p + \left(\sum_{k=1}^\infty \sum_{|\alpha|=k} \|x_\alpha z^\alpha\| \right)^q \leq 1$$

is satisfied if

$$(2.16) \quad \left(\frac{X}{1 - \|x_0\|^p} \right)^{\frac{1}{q}} \left(\sum_{k=1}^\infty r^k (1 + \varepsilon)^k \binom{n+k-1}{k}^{\frac{k-1}{2k}} \right) \leq 1.$$

Now, analyzing the function $f_1(t) = ((2 - t)^p - 1)/(1 - t^p)$, $t \in [0, 1]$, we see that $f_1(t) \leq f_1(0) = 2^p - 1$ for all $t \in [0, 1]$, and hence

$$\frac{X}{1 - \|x_0\|^p} = \mu^q C_1^q (2 - \|x_0\|)^{q-p} f_1(\|x_0\|) \leq \begin{cases} \mu^q C_1^q 2^{q-p} (2^p - 1) & \text{if } q \geq p, \\ \mu^q C_1^q (2^p - 1) & \text{if } q \leq p. \end{cases}$$

Thus, inequality (2.16) is satisfied if

$$C_2 \left(\sum_{k=1}^\infty r^k (1 + \varepsilon)^k \binom{n+k-1}{k}^{\frac{k-1}{2k}} \right) \leq 1,$$

where C_2 is a new constant depending on μ, p, q and the Banach space X . Using the estimate

$$\binom{n+k-1}{k} \leq \frac{(n+k-1)^k}{k!} < \left(\frac{e}{k} \right)^k (n+k-1)^k < e^k \left(1 + \frac{n}{k} \right)^k,$$

we get, by setting $r = (1 - 2\varepsilon)\sqrt{(\log n)/n}$,

$$\sum_{k=1}^{\infty} r^k (1 + \varepsilon)^k \binom{n+k-1}{k}^{\frac{k-1}{2k}} \leq \sum_{k=1}^{\infty} \left(\sqrt{\frac{\log n}{n}} \sqrt{e} (1 - 2\varepsilon)(1 + \varepsilon) \right)^k \left(1 + \frac{n}{k}\right)^{\frac{k-1}{2}}.$$

Hence, inequality (2.16) is satisfied if

$$(2.17) \quad C_2 \sum_{k=1}^{\infty} \left(\sqrt{\frac{\log n}{n}} \sqrt{e} (1 - 2\varepsilon)(1 + \varepsilon) \right)^k \left(1 + \frac{n}{k}\right)^{\frac{k-1}{2}} \leq 1.$$

Starting here, we will follow the similar lines of argument as in [4, pp. 743–744]. For n large enough,

$$t_n := \frac{\sqrt{\log n}}{n^{1/4}} \sqrt{2e} (1 - 2\varepsilon)(1 + \varepsilon) < 1,$$

and for $k > \sqrt{n}$, observe that

$$\left(1 + \frac{n}{k}\right)^{\frac{k-1}{2}} < (2\sqrt{n})^{\frac{k}{2}}.$$

Using both the above facts,

$$\begin{aligned} & \sum_{k > \sqrt{n}} \left(\sqrt{\frac{\log n}{n}} \sqrt{e} (1 - 2\varepsilon)(1 + \varepsilon) \right)^k \left(1 + \frac{n}{k}\right)^{\frac{k-1}{2}} \\ & \leq \sum_{k > \sqrt{n}} \left(\frac{\sqrt{\log n}}{n^{1/4}} \sqrt{2e} (1 - 2\varepsilon)(1 + \varepsilon) \right)^k \leq \frac{t_n}{1 - t_n}, \end{aligned}$$

which goes to 0 as $n \rightarrow \infty$. For $k \leq \sqrt{n}$, we start by making n sufficiently large such that $2 < k_0 \leq \log n$ can be chosen for which the inequalities

$$k_0^{\frac{1}{k_0-1}} \leq 1 + \frac{\varepsilon}{2}, \quad \sum_{k_0 \leq k \leq \sqrt{n}} ((1 - 2\varepsilon)(1 + \varepsilon)^{3/2})^k \leq \frac{1}{2C_2} \quad \text{and} \quad \left(\frac{1}{n}\right)^{\frac{k_0-2}{2(k_0-1)}} \leq \frac{\varepsilon}{2}$$

are satisfied. Observing that $x^{1/(x-1)}$ is decreasing and $(x-2)/2(x-1)$ is increasing in $(1, \infty)$, we obtain, for $k \geq k_0$,

$$\begin{aligned} \left(k^{\frac{k}{k-1}} \left(\frac{1}{n} + \frac{1}{k}\right)\right)^{\frac{k-1}{k}} & \leq \left(\left(\frac{1}{n}\right)^{\frac{k-2}{2(k-1)}} + k^{\frac{1}{k-1}}\right)^{\frac{k-1}{k}} \\ & \leq \left(\left(\frac{1}{n}\right)^{\frac{k_0-2}{2(k_0-1)}} + k_0^{\frac{1}{k_0-1}}\right)^{\frac{k-1}{k}} \leq (1 + \varepsilon)^{\frac{k-1}{k}} \leq 1 + \varepsilon, \end{aligned}$$

which, after a little simplification, gives

$$\left(1 + \frac{n}{k}\right)^{\frac{k-1}{2}} \leq (1 + \varepsilon)^{\frac{k}{2}} \frac{n^{\frac{k}{2}}}{n^{\frac{1}{2}} k^{\frac{k}{2}}}.$$

Since $x \mapsto n^{1/x} x$ is decreasing up to $x = \log n$ and increasing thereafter, we have $n^{1/k} k \geq e \log n$. Therefore,

$$\begin{aligned} & \sum_{k_0 \leq k \leq \sqrt{n}} \left(\sqrt{\frac{\log n}{n}} \sqrt{e(1-2\varepsilon)(1+\varepsilon)} \right)^k \left(1 + \frac{n}{k} \right)^{\frac{k-1}{2}} \\ & \leq \sum_{k_0 \leq k \leq \sqrt{n}} \left(\sqrt{e \log n (1-2\varepsilon)(1+\varepsilon)^{3/2}} \sqrt{\frac{1}{n^{1/k} k}} \right)^k \\ & \leq \sum_{k_0 \leq k \leq \sqrt{n}} ((1-2\varepsilon)(1+\varepsilon)^{3/2})^k \leq \frac{1}{2C_2}. \end{aligned}$$

It remains to analyze the case $1 \leq k \leq k_0$. In this case, we observe that for n large enough,

$$\frac{k}{n} + 1 \leq \frac{k_0}{n} + 1 \leq \varepsilon + 1,$$

and hence

$$\left(1 + \frac{n}{k} \right)^{\frac{k-1}{2}} \leq (1 + \varepsilon)^{\frac{k}{2}} \left(\frac{n}{k} \right)^{\frac{k-1}{2}}.$$

Making use of the above inequality and the fact that $x \mapsto n^{1/x} x$ is decreasing in $[1, k_0]$ (i.e., $n^{1/k} k \geq n^{1/k_0} k_0$), it is easily seen that

$$\begin{aligned} & \sum_{k=1}^{k_0} \left(\sqrt{\frac{\log n}{n}} \sqrt{e(1-2\varepsilon)(1+\varepsilon)} \right)^k \left(1 + \frac{n}{k} \right)^{\frac{k-1}{2}} \\ & \leq \sum_{k=1}^{k_0} \left(\sqrt{e \log n (1-2\varepsilon)(1+\varepsilon)^{3/2}} \frac{k^{1/(2k)}}{k_0^{1/2} n^{1/(2k_0)}} \right)^k, \end{aligned}$$

which tends to 0 as $n \rightarrow \infty$. Combining all the above three estimates, we have

$$\sum_{k=1}^{\infty} \left(\sqrt{\frac{\log n}{n}} \sqrt{e(1-2\varepsilon)(1+\varepsilon)} \right)^k \left(1 + \frac{n}{k} \right)^{\frac{k-1}{2}} \leq \frac{1}{2C_2} + o(1)$$

for n large enough. Therefore, inequality (2.17) is satisfied for large enough n . Hence, for any given $\varepsilon > 0$, $R_{p,q}^n(X) \geq (1-2\varepsilon)\sqrt{\log n}/\sqrt{n}$ for sufficiently large n . This yields the following:

$$\liminf_{n \rightarrow \infty} R_{p,q}^n(X) \sqrt{n}/\sqrt{\log n} \geq 1.$$

Step 4 : In view of the above, it is only left to show that

$$(2.18) \quad \limsup_{n \rightarrow \infty} R_{p,q}^n(X) \sqrt{n}/\sqrt{\log n} \leq 1.$$

As $R_{p,q}^n(X) \leq R_{p,q}^n(\mathbb{C})$, it is sufficient to establish this part for $X = \mathbb{C}$. The proof is exactly the same as the proof for the case $p = q = 1$ given in [12, p. 2977], but

for the sake of completeness, we reproduce the argument here. From the Kahane–Salem–Zygmund inequality, it is known that there is a constant B such that for every collection of complex numbers c_α and every integer $k > 1$, there is a choice of plus and minus signs for which the supremum of the modulus of $\sum_{|\alpha|=k} \pm c_\alpha z^\alpha$ in \mathbb{D}^n does not exceed $B \left(n \sum_{|\alpha|=k} |c_\alpha|^2 \log k \right)^{1/2}$. We choose $c_\alpha = k!/\alpha!$. Then $\sum_{|\alpha|=k} |c_\alpha|^2 \leq k!n^k$. By the definition of the generalized Bohr inequality in our context, we get

$$\begin{aligned} \left((R_{p,q}^n(\mathbb{C}))^k n^k \right)^q &= \left(\sum_{|\alpha|=k} |c_\alpha| (R_{p,q}^n(\mathbb{C}))^k \right)^q \\ &\leq B^q \left(n \sum_{|\alpha|=k} |c_\alpha|^2 \log k \right)^{q/2} \leq B^q \left(n^{\frac{k+1}{2}} (k! \log k)^{1/2} \right)^q, \end{aligned}$$

or, equivalently,

$$R_{p,q}^n(\mathbb{C}) \leq B^{1/k} n^{\frac{1-k}{2k}} (k! \log k)^{\frac{1}{2k}}.$$

We use Stirling’s formula $\lim_{k \rightarrow \infty} k!(\sqrt{2\pi k}(k/e)^k)^{-1} = 1$ to conclude that

$$R_{p,q}^n(\mathbb{C}) \leq \sqrt{\frac{k}{n}} \left(\frac{B_1^{1/k} n^{\frac{1}{2k}} k^{\frac{1}{4k}} (\log k)^{\frac{1}{2k}}}{\sqrt{e}} \right)$$

for a new constant B_1 . Setting $k = \lfloor \log n \rfloor$ ($\lfloor \cdot \rfloor$ is the floor function), we observe

$$\limsup_{n \rightarrow \infty} R_{p,q}^n(\mathbb{C}) \sqrt{\frac{n}{\log n}} \leq \lim_{n \rightarrow \infty} \frac{B_1^{1/\lfloor \log n \rfloor} n^{\frac{1}{2\lfloor \log n \rfloor}} \lfloor \log n \rfloor^{\frac{1}{4\lfloor \log n \rfloor}} (\log \lfloor \log n \rfloor)^{\frac{1}{2\lfloor \log n \rfloor}}}{\sqrt{e}} = 1,$$

which implies our desired inequality (2.18). This completes the proof. ■

Proof of Theorem 1.5 (i) Given a complex-valued holomorphic function f with an expansion (1.1) in \mathbb{D}^n (“ x_α ’s” are complex numbers in this case) and satisfying $\|f\|_{H^\infty(\mathbb{D}^n, \mathbb{C})} \leq 1$, an application of Hölder’s inequality yields

$$\begin{aligned} |x_0|^p + \sum_{k=1}^\infty r^{kp} \sum_{|\alpha|=k} |x_\alpha|^p &= \sum_{k=0}^\infty \sum_{|\alpha|=k} |x_\alpha|^{2-p} r^{kp} |x_\alpha|^{2p-2} \\ &\leq \left(\sum_{k=0}^\infty r^{\frac{kp}{2-p}} \sum_{|\alpha|=k} |x_\alpha| \right)^{2-p} \left(\sum_{k=0}^\infty \sum_{|\alpha|=k} |x_\alpha|^2 \right)^{p-1} \\ &\leq \left(\sum_{k=0}^\infty r^{\frac{kp}{2-p}} \sum_{|\alpha|=k} |x_\alpha| \right)^{2-p}. \end{aligned}$$

Therefore, $r_p^n(\mathbb{C}) \geq (r_1^n(\mathbb{C}))^{(2-p)/p}$. Since $\lim_{n \rightarrow \infty} r_1^n(\mathbb{C}) (\sqrt{n}/\sqrt{\log n}) = 1$ (cf. [4]), we have

$$\liminf_{n \rightarrow \infty} r_p^n(\mathbb{C}) \left(\frac{n}{\log n} \right)^{\frac{2-p}{2p}} \geq \liminf_{n \rightarrow \infty} \left(r_1^n(\mathbb{C}) \sqrt{\frac{n}{\log n}} \right)^{\frac{2-p}{p}} = 1,$$

and thus $r_p^n(\mathbb{C}) \geq C((\log n)/n)^{(2-p)/2p}$ for some constant $C > 0$ and for all $n > 1$. The upper bound $r_p^n(\mathbb{C}) \leq D((\log n)/n)^{(2-p)/2p}$ for some $D > 0$ has already been established in [20, p. 76]. This completes the proof.

(ii) To handle the second part of this theorem, we first construct $g(u)$ as in (2.10) from a given holomorphic $f : \mathbb{D}^n \rightarrow X$ with an expansion (1.1) and satisfying $\|f\|_{H^\infty(\mathbb{D}^n, X)} \leq 1$. Now, since X is p -uniformly PL -convex, from the proof of [11, Proposition 2.1(ii)], we obtain

$$\|P_1(z_0)\| \leq \frac{2}{(I_p(X))^{\frac{1}{p}}} (1 - \|x_0\|^p)^{\frac{1}{p}}$$

for any arbitrary $z_0 \in \mathbb{T}^n$. Using a standard averaging trick (see, f.i., [10, p. 94]), it can be shown that the $P_1(z_0)$ in the above inequality could be replaced by $P_k(z_0)$ for any $k \geq 2$. Thus, we conclude that

$$(2.19) \quad \sup_{z \in \mathbb{T}^n} \|P_k(z)\| \leq \frac{2}{(I_p(X))^{\frac{1}{p}}} (1 - \|x_0\|^p)^{\frac{1}{p}}.$$

Now, from [16, Lemma 25.18], it is known that there exists $R > 0$ such that

$$\left(\sum_{|\alpha|=k} \|x_\alpha\|^p \right) R^{kp} \leq \int_{\mathbb{T}^n} \|P_k(z)\|^p dz.$$

Using inequality (2.19) gives

$$\sum_{|\alpha|=k} \|x_\alpha\|^p \leq \frac{2^p}{I_p(X) R^{kp}} (1 - \|x_0\|^p).$$

Assuming $r < R$, it is easy to see that

$$\begin{aligned} \|x_0\|^p + \sum_{k=1}^{\infty} r^{kp} \sum_{|\alpha|=k} \|x_\alpha\|^p &\leq \|x_0\|^p + \frac{2^p}{I_p(X)} (1 - \|x_0\|^p) \sum_{k=1}^{\infty} \left(\frac{r}{R}\right)^{kp} \\ &\leq \|x_0\|^p + \frac{2^p}{I_p(X)} (1 - \|x_0\|^p) \frac{r^p}{R^p - r^p}, \end{aligned}$$

which is less than or equal to 1 if

$$r \leq R \left(\frac{I_p(X)}{2^p + I_p(X)} \right)^{\frac{1}{p}} = \left(\frac{I_p(X)}{2^p + I_p(X)} \right)^{\frac{2}{p}},$$

as from the arguments in [16, p. 627], it is clear that we can take $R^p = I_p(X)/(I_p(X) + 2^p)$. This proves the lower estimate for $r_p^n(X)$, and the upper estimate is trivial due to the fact that $r_p^n(X) \leq r_p^n(\mathbb{C}) = 1$ for $p \geq 2$. ■

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