

K-UNIFORM ROTUNDITY OF SEQUENCE ORLICZ SPACES

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ABSTRACT. This paper presents a criterion of KUR for sequence Orlicz spaces with Luxemburg's norm. The result also indicates that for any integer $k \geq 1$, there exists a $k + 1$ -uniformly rotund Banach space not being k -uniformly rotund.

Let $M: (-\infty, +\infty) \rightarrow [0, +\infty)$ be convex, even, continuous and $M(u) = 0 \Leftrightarrow u = 0$. For a given sequence $x = (x_n)$, denote $\rho(x) = \sum_n M(x_n)$ and $\ell_M = \{x = (x_n) : \exists \lambda > 0, \rho(\lambda x) < \infty\}$, $\|x\| = \inf\{\lambda > 0 : \rho(x/\lambda) \leq 1\}$ for $x \in \ell_M$, then $(\ell_M, \|\cdot\|)$ is a Banach space.

We say $M \in \delta_2$, if $\overline{\lim}_{u \rightarrow 0} M(2u)/M(u) < \infty$,

LEMMA 1 [2,3]. *If $M \in \delta_2$, then*

(a) $\|x^n\| \rightarrow 0 \Leftrightarrow \rho(x^n) \rightarrow 0$,

(b) $\|x^n\| \rightarrow 1 \Leftrightarrow \rho(x^n) \rightarrow 1$ and

(c) for any $1 > 0, \epsilon > 0$, there exists $\delta > 0$ such that $\rho(x) \leq 1, \rho(y) \leq \delta$ imply

$$|\rho(x+y) - \rho(x)| < \epsilon$$

$M(u)$ is said to be *uniformly convex* on some interval $[a, b]$, if for any $\epsilon > 0$, there exists $\delta > 0$ such that u, v in $[a, b], |u - v| \geq \epsilon \max\{u, v\}$ imply

$$M\left[\frac{1}{2}(u+v)\right] \leq \frac{1}{2}(1-\delta)[M(u)+M(v)]$$

LEMMA 2. *The following are equivalent*

(I) $M(u)$ is uniformly convex on $[0, a]$,

(II) for any $\beta \in [0, a), b \geq a$ and $\epsilon > 0$, there exists $\delta > 0$ such that $\max\{u, v\} \leq b, 0 \leq \min\{u, v\} \leq \beta$ and $|u - v| \geq \epsilon \max\{u, v\}$ imply

$$M\left[\frac{1}{2}(u+v)\right] \leq \frac{1}{2}(1-\delta)[M(u)+M(v)]$$

(III) for any integer $m \geq 2, \beta \in [0, a), b \geq a$ and $\epsilon > 0$, there exists $\delta > 0$ such that $\max_{1 \leq j \leq m} u_j \leq b, 0 \leq \min_{1 \leq j \leq m} u_j \leq \beta$ and $\max_{1 \leq i, j \leq m} |u_i - u_j| \geq \epsilon \max_{1 \leq j \leq m} u_j$ imply

$$M\left(\sum_j u_j / m\right) \leq (1-\delta) \sum_j M(u_j) / m$$

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PROOF. (I)⇒(II). If (2) is not true, then there exist $\beta < a, b \geq a, \epsilon > 0$ and $u_n, v_n \leq b, u_n - v_n \geq \epsilon u_n > 0$ such that

$$(1) \quad M\left[\frac{1}{2}(u_n + v_n)\right] > \frac{1}{2}\left(1 - \frac{1}{n}\right)[M(u_n) + M(v_n)] \quad (n = 1, 2, \dots).$$

Without loss of generality, we may assume $u_n \rightarrow u'$ and $v_n \rightarrow v'$; then by (1), $u' \geq a > \beta \geq v'$ and from (1) it is easy to see $M[\frac{1}{2}(u' + v')] = \frac{1}{2}[M(u') + M(v')]$ which shows that $M(u)$ is linear on $[v', u']$ contradicting (I).

(II)⇒(III). For given $\beta < a, b \geq a$ and $\epsilon > 0$, select $\delta > 0$ satisfying (II). For any integer $m \geq 2$ and real numbers $\{u_j\}$ satisfying the conditions in (III), we may assume $u_1 = \max_{1 \leq j \leq m} u_j, u_2 = \min_{1 \leq j \leq m} u_j$.

If m is even, then

$$\begin{aligned} M\left[\frac{u_1 + \dots + u_m}{m}\right] &= M\left\{\left[\frac{1}{2}(u_1 + u_2) + \dots + \frac{1}{2}(u_{m-1} + u_m)\right] / (m/2)\right\} \\ &\leq (2/m) \left\{M\left[\frac{1}{2}(u_1 + u_2)\right] + \dots + M\left[\frac{1}{2}(u_{m-1} + u_m)\right]\right\} \\ &\leq (2/m) \left\{\frac{1}{2}(1 - \delta)[M(u_1) + M(u_2)] + \frac{1}{2}[M(u_3) + M(u_4)] \right. \\ &\quad \left. + \dots + \frac{1}{2}[M(u_{m-1}) + M(u_m)]\right\} \\ &= \sum_j M(u_j) / m - \delta [M(u_1) + M(u_2)] / m \\ &\leq \sum_j M(u_j) / m - \delta \sum_j M(u_j) / m^2 \\ &= (1 - \delta / m) \sum_j M(u_j) / m \end{aligned}$$

If m is odd, observe $u_1 > \sum_j u_j / m > u_2$, by the result we just obtained, we have

$$\begin{aligned} M\left[\frac{u_1 + \dots + u_m}{m}\right] &= M\left\{\left[\frac{u_1 + \dots + u_m + (u_1 + \dots + u_m)}{m+1}\right] / (m+1)\right\} \\ &\leq [1 - \delta / (m+1)] \left\{\sum_j M(u_j) + M\left[\frac{u_1 + \dots + u_m}{m}\right]\right\} / (m+1) \\ &\leq [1 - \delta / (m+1)] \sum_j M(u_j) / m \end{aligned}$$

(III)⇒(I). In fact, (I) is the special case of (III) when $m = 2, \beta = (1 - \epsilon)a$ and $b = a$.

Now, we turn to the k -uniform rotundity. A Banach space X is k -uniformly rotund, provided that for any $\epsilon > 0$, there exists $\delta > 0$ such that for any $x^1, \dots, x^{k+1} \in S(X)$, the sphere of $X, \Delta(x^1, \dots, x^{k+1}) \geq \epsilon$ implies $\|x^1 + \dots + x^{k+1}\| \leq (1 - \delta)(k + 1)$, where

$$\Delta(x^1, \dots, x^{k+1}) = \sup_{f_j \in S(X^*)} \begin{vmatrix} 1 & 1 & \dots & 1 \\ f_1(x^1) & f_1(x^2) & \dots & f_1(x^{k+1}) \\ \vdots & \vdots & \vdots & \vdots \\ f_k(x^1) & f_k(x^2) & \dots & f_k(x^{k+1}) \end{vmatrix}$$

(see [1]).

THEOREM 1. *M is k-uniformly rotund (k ≥ 1) if and only if (a) M ∈ δ₂ and (b) M(u) is uniformly convex on [0, M⁻¹(1/(k + 1))].*

PROOF. Necessity: (a) is trivial since k-uniform rotundity implies reflexivity. If (b) does not hold, then there exists ε > 0, u_n, v_n ∈ [0, M⁻¹(1/(k + 1))], u_n - v_n ≥ εu_n satisfying

$$M\left[\frac{1}{2}(u_n + v_n)\right] > \frac{1}{2}\left(1 - \frac{1}{n}\right)[M(u_n) + M(v_n)] \quad (n = 1, 2, \dots).$$

Denote w_n = [(k - 1)u_n + (k + 1)v_n]/2k, then M(v_n) < M(w_n) < M(u_n) ≤ 1/(k + 1), therefore, M(u_n) + kM(w_n) < 1. Choose an integer m_n such that 1/2 < m_n[M(u_n) + kM(w_n)] ≤ 1 and t_n ≥ 0 such that m_n[M(u_n) + kM(w_n)] + M(t_n) = 1, define

$$\begin{aligned} x^{(n,1)} &= (\overbrace{w_n, \dots, w_n}^{km_n}, \overbrace{u_n, \dots, u_n}^{m_n}, t_n, 0, 0, \dots) \\ x^{(n,2)} &= (\overbrace{u_n, \dots, u_n}^{m_n}, \overbrace{w_n, \dots, w_n}^{km_n}, t_n, 0, 0, \dots) \\ x^{(n,3)} &= (\overbrace{w_n, \dots, w_n}^{m_n}, \overbrace{u_n, \dots, u_n}^{m_n}, \overbrace{w_n, \dots, w_n}^{(k-1)m_n}, t_n, 0, 0, \dots) \\ &\vdots \\ x^{(n,k)} &= (\overbrace{w_n, \dots, w_n}^{(k-2)m_n}, \overbrace{u_n, \dots, u_n}^{m_n}, \overbrace{w_n, \dots, w_n}^{2m_n}, t_n, 0, 0, \dots) \\ x^{(n,k+1)} &= (\overbrace{w_n, \dots, w_n}^{(k-1)m_n}, \overbrace{u_n, \dots, u_n}^{m_n}, \overbrace{w_n, \dots, w_n}^{2m_n}, t_n, 0, 0, \dots) \quad (n = 1, 2, \dots), \end{aligned}$$

then for each n ≥ 1 and j ≤ k + 1, ρ(x^(n,j)) = m_nM(u_n) + km_nM(w_n) + M(t_n) = 1; therefore, ||x^(n,j)|| = 1. Furthermore

$$\begin{aligned} \rho\left(\sum_j x^{(n,j)} / (k + 1)\right) &= (k + 1)m_n M\left[(u_n + kw_n) / (k + 1)\right] + M(t_n) \\ &= (k + 1)m_n M\left[\frac{1}{2}(u_n + v_n)\right] + M(t_n) \\ &> (k + 1)m_n \left(1 - \frac{1}{n}\right) \frac{[M(u_n) + M(v_n)]}{2} + M(t_n) \\ &= \left(1 - \frac{1}{n}\right) \left\{ m_n M(u_n) + km_n \frac{[(k - 1)M(u_n) + (k + 1)M(v_n)]}{2k} + M(t_n) \right\} \\ &\geq \left(1 - \frac{1}{n}\right) \{ m_n M(u_n) + km_n M(w_n) + M(t_n) \} \\ &= \left(1 - \frac{1}{n}\right) \rightarrow 1 \end{aligned}$$

it follows ||∑_j x^(n,j)|| → k + 1, (n → ∞).

Set $c_n = [m_n M^{-1}(\frac{1}{m_n})]^{-1}$ and

$$f^{(n,j)} = (\overbrace{0, \dots, 0}^{(j-1)m_n}, \overbrace{c_n, \dots, c_n}^{m_n}, 0, 0, \dots) \quad (n = 1, 2, \dots; j = 1, 2, \dots, k)$$

then $f^{(n,j)} \in \ell_M^*$ and $\|f^{(n,j)}\| = 1 \quad (n = 1, 2, \dots; j = 1, 2, \dots, k)$, (see [4,5]) thus

$$\begin{aligned} \Delta(x^{(n,1)}, \dots, x^{(n,k+1)}) &\geq \begin{vmatrix} 1 & 1 & \dots & 1 \\ f^{(n,1)}(x^{(n,1)}) & f^{(n,1)}(x^{(n,2)}) & \dots & f^{(n,1)}(x^{(n,k+1)}) \\ \vdots & \vdots & \vdots & \vdots \\ f^{(n,k)}(x^{(n,1)}) & f^{(n,k)}(x^{(n,2)}) & \dots & f^{(n,k)}(x^{(n,k+1)}) \end{vmatrix} \\ &= \begin{vmatrix} c_n m_n (u_n - w_n) & 0 & 0 & \dots & 0 \\ 0 & c_n m_n (u_n - w_n) & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & c_n m_n (u_n - w_n) \end{vmatrix} \\ &= [c_n m_n (u_n - w_n)]^k \\ &= [c_n m_n (k + 1)(u_n - v_n) / 2k]^k \\ &\geq [(k + 1)\epsilon c_n m_n u_n / 2k]^k \end{aligned}$$

By (1), $m_n(k + 1)M(u_n) > \frac{1}{2}$, therefore

$$\begin{aligned} c_n m_n u_n &= u_n / M^{-1}(1 / m_n) \\ &> u_n / M^{-1}[2(k + 1)M(u_n)] \\ &> \frac{1}{2(k + 1)} \end{aligned}$$

Thus,

$$\begin{aligned} \Delta(x^{(n,1)}, \dots, x^{(n,k+1)}) &\geq [(k + 1)\epsilon / 4k(k + 1)]^k \\ &= (\epsilon / 4k)^k \end{aligned}$$

a contradiction.

Sufficiency: let $x^{(n,1)}, \dots, x^{(n,k+1)} \in \mathcal{S}(\ell_M)$ and $\rho \left[\frac{\sum_j x^{(n,j)}}{(k+1)} \right] \rightarrow 1$ as $n \rightarrow \infty$. We need to prove that for any $\tau > 0$, we have $\Delta_n \equiv \Delta(x^{(n,1)}, \dots, x^{(n,k+1)}) \leq O(\tau)$ for all n large enough.

By Lemma 1, we can select $\epsilon > 0$ satisfying $(k + 1)\epsilon < 1$ and

$$(2) \quad \rho(x) \leq 1, \rho(y) \leq (k + 2)\epsilon \Rightarrow |\rho(x + y) - \rho(x)| < \tau,$$

$$(3) \quad \rho(z) \leq (k + 1)\epsilon \Rightarrow \|z\| < \tau.$$

Choose $\beta \in (0, M^{-1}[\frac{1}{(k+1)}])$ such that

$$(4) \quad kM(\beta) + M[(1 + \epsilon)\beta] > 1$$

and denote

$$\begin{aligned}
 I_n &= \{ i : \max_{j,1} \{ |x_i^{(nj)} - x_i^{(n,1)}| \} < \epsilon \max_j |x_i^{(nj)}| \}, \\
 J_n &= \{ i : \max_{j,1} \{ |x_i^{(nj)} - x_i^{(n,1)}| \} \geq \epsilon \max_j |x_i^{(nj)}| \}; \quad \text{or } (x_i^{(nj)})_j \\
 &\quad \text{have different signs or they have same sign but } \min_j |x_i^{(nj)}| \leq \beta \} \\
 K_n &= \{ i : \max_{j,1} \{ |x_i^{(nj)} - x_i^{(n,1)}| \} \geq \epsilon \max_j |x_i^{(nj)}| \}; \quad (x_i^{(nj)})_j \\
 &\quad \text{have same sign and } \max_j |x_i^{(nj)}| > \beta \} \quad (n = 1, 2, \dots).
 \end{aligned}$$

Since $M \in \delta_2$, for $f_n \in S(\ell_M^*)$, there exists $(y_i^{(n)})_i \in \ell_N$ such that $f_n(x) = \sum_i x_i y_i^{(n)}$, where $N(v) = \sup_u \{ uv - M(u) \}$ (see[4,5]). Therefore

$$\begin{aligned}
 \Delta_n &= \sup_{\substack{\|y\|_N \leq 1; \\ (j=1, \dots, k)}} \left| \begin{array}{ccc} \sum_i y_i^{(1)}(x_i^{(n,2)} - x_i^{(n,1)}) & \cdots & \sum_i y_i^{(1)}(x_i^{(n,k+1)} - x_i^{(n,1)}) \\ \vdots & & \vdots \\ \sum_i y_i^{(k)}(x_i^{(n,2)} - x_i^{(n,1)}) & \cdots & \sum_i y_i^{(k)}(x_i^{(n,k+1)} - x_i^{(n,1)}) \end{array} \right| \\
 (5) \quad &= \sup \left| \begin{array}{ccc} \sum_{I_n+J_n+H_n} y_i^{(1)}(x_i^{(n,2)} - x_i^{(n,1)}) & \cdots & \sum_{I_n+J_n+H_n} y_i^{(1)}(x_i^{(n,k+1)} - x_i^{(n,1)}) \\ \vdots & & \vdots \\ \sum_{I_n+J_n+H_n} y_i^{(k)}(x_i^{(n,2)} - x_i^{(n,1)}) & \cdots & \sum_{I_n+J_n+H_n} y_i^{(k)}(x_i^{(n,k+1)} - x_i^{(n,1)}) \end{array} \right|
 \end{aligned}$$

Denote $e^i = (0, \dots, 0, \overset{\text{ith}}{1}, 0, \dots)$. By the choice of I_n and $(K + 1)\epsilon < 1$, we have

$$\begin{aligned}
 \rho \left(\sum_{I_n} (x_i^{(nj)} - x_i^{(n,1)}) e^i \right) &= \sum_{I_n} M(x_i^{(nj)} - x_i^{(n,1)}) \\
 &\leq \sum_{I_n} M(\epsilon(|x_i^{(n,1)}| + \dots + |x_i^{(n,k+1)}|)) \\
 (6) \quad &< (k + 1)\epsilon \sum_{I_n} M \left(\frac{\sum_j |x_i^{(nj)}|}{(k + 1)} \right) \\
 &\leq \epsilon \sum_j \sum_i M(x_i^{(nj)}) \\
 &= (k + 1)\epsilon \quad (j = 1, \dots, k + 1)
 \end{aligned}$$

It follows from (3) that $\left\| \left(\sum_{I_n} (x_i^{(nj)} - x_i^{(n,1)}) e^i \right) \right\| < \tau$, therefore

$$\begin{aligned}
 \left| \sum_{I_n} y_i^{(h)} (x_i^{(nj)} - x_i^{(n,1)}) \right| &\leq \|y^{(h)}\|_N \left\| \sum_{I_n} (x_i^{(nj)} - x_i^{(n,1)}) e^i \right\| \\
 &< \tau \quad (n = 1, 2, \dots; j = 2, \dots, k + 1; h = 1, \dots, k).
 \end{aligned}$$

Next we show

$$(8) \quad \rho \left(\sum_{J_n} (x_i^{(nj)} - x_i^{(n,1)}) e^i \right) \rightarrow 0 \quad (n \rightarrow \infty)$$

By Lemma 2, for $i \in J_n$ and all $(x_i^{(n,j)})_j$ have same sign; besides $\min_j |x_i^{(n,j)}| \leq \beta$, then

$$(9) \quad M \left(\sum_j x_i^{(n,j)} / (k + 1) \right) \leq (1 - \delta) \sum_j M(x_i^{(n,j)}) / (k + 1)$$

Otherwise $(x_i^{(n,j)})_j$ have different signs since $i \in J_n$. Say $x_i^{(n,1)}, \dots, x_i^{(n,p)}$ have same sign, $x_i^{(n,p+1)}, \dots, x_i^{(n,k+1)}$ have same sign and $\sum_{j \leq p} |x_i^{(n,j)}| \geq \sum_{j > p} |x_i^{(n,j)}|$. Consider the $K + 1$ numbers $x_i^{(n,1)}, \dots, x_i^{(n,p)}, 0, \dots, 0$; obviously, they satisfy all the conditions in Lemma 2, for $b = M^{(-1)}(1)$, $a = M^{-1}(\frac{1}{k+1})$ and $m = K + 1$. Therefore, there exists $\delta > 0$ such that

$$\begin{aligned} M \left(\sum_{j \leq k+1} \frac{x_i^{(n,j)}}{k + 1} \right) &< M \left(\sum_{j \leq p} \frac{x_i^{(n,j)}}{k + 1} \right) \\ &\leq (1 - \delta) \sum_{j \leq p} \frac{M(x_i^{(n,j)})}{k + 1} \\ &< (1 - \delta) \sum_{j \leq k+1} \frac{M(x_i^{(n,j)})}{k + 1}. \end{aligned}$$

If (8) is not true, we can find a subsequence of $\{n\}$, again denoted by $\{n\}$, such that $\sum_{J_n} M(x_i^{(n,j)} - x_i^{(n,1)}) \geq \sigma > 0$ ($n \geq 1$). It follows from $M \in \delta_2$ that there exists a positive constant c such that $\sum_{J_n} M(\frac{x_i^{(n,j)} - x_i^{(n,1)}}{2}) \geq c\sigma$ ($n \geq 1$). Therefore by (9)

$$\begin{aligned} 0 &\leftarrow 1 - \sum_{i \geq 1} M \left(\sum_{j \leq k+1} \frac{x_i^{(n,j)}}{k + 1} \right) \\ &= \sum_{i \geq 1} \left\{ \sum_{j \leq k+1} M \left(\frac{x_i^{(n,j)}}{k + 1} \right) - M \left(\sum_{j \leq k+1} \frac{x_i^{(n,j)}}{k + 1} \right) \right\} \\ &\geq \sum_{J_n} \left\{ \sum_{j \leq k+1} M(x_i^{(n,j)}) / (k + 1) - M \left(\sum_{j \leq k+1} x_i^{(n,j)} / (k + 1) \right) \right\} \\ &> \delta \sum_{J_n} \left\{ \sum_{j \leq k+1} M(x_i^{(n,j)}) / (k + 1) \right\} \\ &\geq \delta \sum_{J_n} M \left(\frac{x_i^{(n,j)} - x_i^{(n,1)}}{2} \right) / (k + 1) \\ &\geq \frac{\delta c \sigma}{k + 1}. \end{aligned}$$

This contradiction verifies (8). Hence from (3), for all n large enough

$$(10) \quad \left| \sum_{J_n} y_i^{(h)}(x_i^{(n,j)} - x_i^{(n,1)}) \right| \leq \|y^{(h)}\|_N \left\| \sum_{J_n} (x_i^{(n,j)} - x_i^{(n,1)}) e^i \right\| < \tau$$

From (10), (7) and (5), it is easy to see that

$$(11) \quad \Delta_n = \sup \left| \begin{array}{ccc} \sum_{H_n} y_i^{(1)}(x_i^{(n,2)} - x_i^{(n,1)}) & \cdots & \sum_{H_n} y_i^{(1)}(x_i^{(n,k+1)} - x_i^{(n,1)}) \\ \vdots & \vdots & \vdots \\ \sum_{H_n} y_i^{(k)}(x_i^{(n,2)} - x_i^{(n,1)}) & \cdots & \sum_{H_n} y_i^{(k)}(x_i^{(n,k+1)} - x_i^{(n,1)}) \end{array} \right| + O(\tau)$$

Now, we show that H_n contains no more than K different numbers. In fact, otherwise, we may assume $1, \dots, K + 1 \in H_n$, $x_1^{(n,2)} = \min_j |x_1^{(n,j)}|$, $x_1^{(n,1)} = \max_j |x_1^{(n,j)}|$. From the definition of H_n , we have $x_1^{(n,2)} \geq \beta$ and $x_1^{(n,1)} - x_1^{(n,2)} \geq \epsilon x_1^{(n,1)}$, hence $x_1^{(n,1)} \geq (1 + \epsilon)\beta$. Combined with (4) we obtain a contradiction

$$1 = \rho(x^{(n,1)}) \geq \sum_{j \leq k+1} M(x_j^{(n,1)}) > M((1 + \epsilon)\beta) + kM(\beta) > 1.$$

We may assume, without loss of generality, $H_n = \{1, \dots, k\}$ for all $n \geq 1$. (11) can be written as

$$(12) \quad \Delta_n = \sup \left| \begin{array}{ccc} \sum_{i \leq k} y_i^{(1)}(x_i^{(n,2)} - x_i^{(n,1)}) & \dots & \sum_{i \leq k} y_i^{(1)}(x_i^{(n,k+1)} - x_i^{(n,1)}) \\ \vdots & & \vdots \\ \sum_{i \leq k} y_i^{(k)}(x_i^{(n,2)} - x_i^{(n,1)}) & \dots & \sum_{i \leq k} y_i^{(k)}(x_i^{(n,k+1)} - x_i^{(n,1)}) \end{array} \right| + O(\tau)$$

Select a subsequence of $\{\Delta_n\}$, once more symbolized by $\{\Delta_n\}$, such that corresponding sequence $\{x_i^{(n,j)}\}_n$ converges, say the limit is $a_i^j (i = 1, 2, \dots, k; j = 1, \dots, k+1)$. Then from (12) it is easy to deduce

$$(13) \quad \Delta_n = \sup \left| \begin{array}{ccc} \sum_{i \leq k} y_i^{(1)}(a_i^2 - a_i^1) & \dots & \sum_{i \leq k} y_i^{(1)}(a_i^{k+1} - a_i^1) \\ \vdots & & \vdots \\ \sum_{i \leq k} y_i^{(k)}(a_i^2 - a_i^1) & \dots & \sum_{i \leq k} y_i^{(k)}(a_i^{k+1} - a_i^1) \end{array} \right| + O(\tau)$$

for n large enough.

Notice that $\sum_{i \geq 1} \left\{ \sum_{j \leq k+1} M(x_i^{(n,j)}) / (k + 1) - M(\sum_{j \leq k+1} x_i^{(n,j)} / (k + 1)) \right\} \rightarrow 0$, so $\sum_{j \leq k+1} M(x_i^{(n,j)}) / (k + 1) - M(\sum_{j \leq k+1} x_i^{(n,j)} / (k + 1)) \rightarrow 0$. Let $n \rightarrow \infty$; we have

$$\sum_{j \leq k+1} M(a_i^j) / (k + 1) = M\left(\sum_{j \leq k+1} a_i^j / (k + 1)\right) \quad (i = 1, 2, \dots, k)$$

which shows $(a_i^j)_j$ are in the same interval on which $M(u)$ is linear, say

$$(14) \quad M(u) = P_i u + Q_i; \quad (i \leq k)$$

From (6) and (8), when n is large enough, we have $\sum_{I_n \cup J_n} M(x_i^{(n,j)} - x_i^{(n,1)}) < (k + 2)\epsilon$ ($j \leq k + 1$) it follows from (2)

$$\left| \sum_{I_n \cup J_n} M(x_i^{(n,j)}) - \sum_{I_n \cup J_n} M(x_i^{(n,1)}) \right| < \tau \quad (j \leq k + 1)$$

Therefore, by $\rho(x^{(n,j)}) = 1 (j \leq k + 1)$, we have $|\sum_{H_n} M(x_i^{(n,j)}) - \sum_{H_n} M(x_i^{(n,1)})| < \tau$ ($j \leq k + 1$). Let $n \rightarrow \infty$, we obtain $|\sum_{i \leq k} P_i(a_i^j - a_i^1)| \leq \tau$. Thus

$$a_i^j - a_i^1 \leq -\frac{1}{P_1} \sum_{i=2}^k P_i(a_i^j - a_i^1) + \frac{\tau}{P_1} \quad (j \leq k + 1)$$

Notice that $0 < M'(\beta) \leq M'(a_i^j) = P_i \leq M'(M^{-1}(1))$ ($i = 1, 2, \dots, k$), it is easy to deduce

$$\Delta_n = \sup \left| \begin{array}{ccc} \sum_{i=2}^k (y_i^{(1)} - y_1^{(1)} P_i / P_1)(a_i^2 - a_i^1) & \dots & \sum_{i=2}^k (y_i^{(1)} - y_1^{(1)} P_i / P_1)(a_i^{k+1} - a_i^1) \\ \vdots & & \vdots \\ \sum_{i=2}^k (y_i^{(k)} - y_1^{(k)} P_i / P_1)(a_i^2 - a_i^1) & \dots & \sum_{i=2}^k (y_i^{(k)} - y_1^{(k)} P_i / P_1)(a_i^{k+1} - a_i^1) \end{array} \right| + O(\tau)$$

Finally, we expand the last determinant into $(K - 1)^{k-1}$ many determinants of order K ; each of them has the form

$$\left| \begin{array}{ccc} (y_{s_1}^{(1)} - y_1^{(1)} P_{s_1} / P_1)(a_{s_1}^2 - a_{s_1}^1) & \dots & (y_{s_k}^{(1)} - y_1^{(1)} P_{s_k} / P_1)(a_{s_k}^{k+1} - a_{s_k}^1) \\ \vdots & & \vdots \\ (y_{s_1}^{(k)} - y_1^{(k)} P_{s_1} / P_1)(a_{s_1}^2 - a_{s_1}^1) & \dots & (y_{s_k}^{(k)} - y_1^{(k)} P_{s_k} / P_1)(a_{s_k}^{k+1} - a_{s_k}^1) \end{array} \right|$$

Since each s_i is between 2 and K , such determinant has at least two proportional columns, therefore it vanishes, i.e., (15) becomes $\Delta_n = O(\tau)$.

For any integer $K \geq 1$, we define $M(u) = u^2$ on $(-M^{-1}(\frac{1}{k+1}), M^{-1}(\frac{1}{k+1}))$ and $M(u) = 2M^{-1}(1/(k+1))|u| - (M^{-1}(1/(k+1)))^2$ otherwise; then it is easily verified by the theorem that ℓ_M , generated by $M(u)$, is k UR but not $(k - 1)$ UR.

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