



## Hecke Algebras and Automorphic Forms

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**Abstract.** The goal of this paper is to carry out some explicit calculations of the actions of Hecke operators on spaces of algebraic modular forms on certain simple groups. In order to do this, we give the coset decomposition for the supports of these operators. We present the results of our calculations along with interpretations concerning the lifting of forms. The data we have obtained is of interest both from the point of view of number theory and of representation theory. For example, our data, together with a conjecture of Gross, predicts the existence of a Galois extension of  $\mathbb{Q}$  with Galois group  $G_2(\mathbb{F}_5)$  which is ramified only at the prime 5. We also provide evidence of the existence of the symmetric cube lifting from  $\mathrm{PGL}_2$  to  $\mathrm{PGSp}_4$ .

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### 1. Introduction

Let  $G$  be a connected reductive group over  $\mathbb{Q}$  with  $G(\mathbb{R})$  compact. We will keep this assumption on all groups over  $\mathbb{Q}$  in this paper. We denote by  $\hat{\mathbb{Q}} = \mathbb{Q} \otimes \hat{\mathbb{Z}}$  the ring of finite adèles of  $\mathbb{Q}$ .

We will be studying certain spaces of modular forms for  $G$ . The *weight* of the forms will be an algebraic representation  $W$  of  $G$  over a number field  $E$  and the *level* will be an open compact subgroup  $K$  of  $G(\hat{\mathbb{Q}})$ . Following [10, 13] we define the space of modular forms of weight  $W$  and level  $K$  on  $G$  to be the  $E$ -vector space

$$M(W, K) = \{F: G(\hat{\mathbb{Q}})/K \rightarrow W(E) : F(\gamma g) = \gamma F(g), \text{ for all } \gamma \in G(\mathbb{Q})\},$$

When  $W = \mathbb{Q}$  is the trivial representation this is simply the space of  $\mathbb{Q}$ -valued functions on the (finite) double coset space  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}})/K$ .

Let  $K_p \subset G(\mathbb{Q}_p)$  be an open compact subgroup and let  $dg$  be the Haar measure giving  $K_p$  volume 1. The Hecke algebra,  $\mathcal{H}(G(\mathbb{Q}_p), K_p)$ , is the convolution algebra with respect to  $dg$  of compactly supported  $\mathbb{Q}$ -valued functions on  $G(\mathbb{Q}_p)$  which are bi-invariant by  $K_p$ . When there is no confusion, we will denote this algebra

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$\mathcal{H}_{K_p}$ . If  $K_p$  is hyperspecial maximal compact,  $\mathcal{H}_{K_p}$  is often called the *spherical* Hecke algebra.

If the level  $K$  is a product of local factors  $K = \prod_p K_p$  then there is an action of each  $\mathcal{H}_{K_p}$  on  $M(W, K)$ . If  $T \in \mathcal{H}_{K_p}$  and  $f \in M(W, K)$  we have  $Tf \in M(W, K)$  given by

$$Tf(h) = \int_{G(\mathbb{Q}_p)} T(g)f(hg)dg.$$

This integral is actually a finite sum, taken over the cosets of  $K_p$  contained in the support of  $T$ . Indeed, if the support of  $T$  is  $\bigcup_i a_i K_p$  then

$$Tf(h) = \sum_i T(a_i)f(ha_i). \quad (1)$$

Although we will not make direct use of it, it is worth pointing out the close connection between these modular forms and automorphic representations. If  $W$  is absolutely irreducible, it follows from [10, Prop 8.5] that the irreducible  $\mathcal{H}_K$ -submodules of  $M(W, K) \otimes \mathbb{C}$  correspond to the irreducible automorphic representations  $\pi = \pi_\infty \otimes \hat{\pi}$  with  $\pi_\infty \cong W \otimes \mathbb{C}$  and  $\hat{\pi}^K \neq 0$ . In fact if the irreducible submodule  $N$  corresponds to the automorphic representation  $\pi$ , then  $N$  and  $\hat{\pi}^K$  are isomorphic as Hecke modules. Thus knowing the action of the Hecke algebra  $\mathcal{H}_{K_p}$  on the irreducible pieces of  $M(W, K) \otimes \mathbb{C}$  allows us to identify the local components of the automorphic representations with infinite component  $W$  and having  $K$ -fixed vectors.

The goal of this paper is to carry out some explicit calculations of the action of local Hecke algebras on certain spaces of modular forms. In Section 4 we discuss some aspects of modular forms that can be read off from our data. In Section 5 we present the results of our calculations along with interpretations of these results in light of the discussion in Section 4. The data we have obtained are of interest both from the point of view of number theory and of representation theory. For example, our data, together with a conjecture of Gross, predicts the existence of a Galois extension of  $\mathbb{Q}$  with Galois group  $G_2(\mathbb{F}_5)$  which is ramified only at the prime 5 [11, §2, §5]. We also provide evidence of the existence of the symmetric cube lifting from  $\mathrm{PGL}_2$  to  $\mathrm{PGSp}_4$  (see Section 4.3).

The first step in making these calculations is purely local. We determine coset representatives  $a_i$  of the various cosets of  $K_p$  in the support of an operator  $T \in \mathcal{H}_{K_p}$ . We work this out in Section 2, for  $G$  split over  $\mathbb{Q}_p$  and  $K_p$  either a hyperspecial maximal compact or an Iwahori subgroup. The analysis there closely follows [17]. We also handle the case where  $G$  is a form of  $\mathrm{PGSp}_4$  not split over  $\mathbb{Q}_p$  and  $K_p$  is the Iwahori subgroup.

We then give an overview of the global aspects of our algorithm, especially the issue of finding double coset representatives for  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}}) / K$ . This, along with some comments on the reliability of the computer calculations, appears in Section 3.2.

We performed our calculations for compact forms of  $G_2$  and  $\mathrm{PGSp}_4$ , but the methods are fairly general and can be applied in other cases, subject to constraints on computer speed and memory.

## 2. Double Cosets in Groups over Local Fields

### 2.1. PRELIMINARIES

Throughout this section,  $G$  will denote a connected semisimple algebraic group that is split over a non-Archimedean local field  $F$  with ring of integers  $\mathcal{O}_F$  and prime ideal  $\mathfrak{p}$ . (We will apply the results of this section to the  $\mathbb{Q}_p$  points of various rational algebraic groups.) Let  $\pi$  in  $\mathfrak{p}$  denote a uniformizing parameter, let  $k$  be the residue field  $\mathcal{O}_F/\mathfrak{p}$ , and let  $R \subset \mathcal{O}_F$  be a set of representatives for  $k$  containing 0. Let  $q$  denote the cardinality of  $k$ .

We select a hyperspecial maximal compact subgroup  $K$  of  $G(F)$ . The group  $K$  gives rise to a Chevalley group scheme  $\underline{G}$  over  $\mathcal{O}_F$  such that  $K = \underline{G}(\mathcal{O}_F) \subset \underline{G}(F) = G(F)$  (cf. [23, 3.4.1, 3.8.1]) and such that the special fiber  $\overline{G}$  of  $\underline{G}$  is semisimple of the same type as  $G$ .

Let  $\underline{T} \subset \underline{G}$  be a split maximal torus scheme, and let  $T$  be its general fiber. We define  $N_T$  to be the normalizer of  $T$  in  $G$ . Denote by  $X^*(T)$  the character module  $\mathrm{Hom}(T, \mathbb{G}_m)$  of  $T$  and by  $X_*(T)$  the co-character module  $\mathrm{Hom}(\mathbb{G}_m, T)$  of  $T$ . Let  $\Phi \subset X^*(T)$  be the set of roots of  $T$ ,  $\Phi^+ \subset \Phi$  a subset of positive roots, and  $\Delta \subset \Phi^+$  the corresponding set of simple roots. Also, let  $\Phi^\vee \subset X_*(T)$  be the coroots of  $T$  and  $\alpha \mapsto \alpha^\vee$  the standard bijection between  $\Phi$  and  $\Phi^\vee$ .

For each  $\alpha \in \Phi$  let  $\underline{U}_\alpha$  be the one-dimensional unipotent subgroup scheme of  $\underline{G}$  corresponding to  $\alpha$ . Denote the general fiber of  $\underline{U}_\alpha$  by  $U_\alpha$ . We choose for each  $\alpha$  an isomorphism  $x_\alpha: \mathbb{G}_a \rightarrow \underline{U}_\alpha$ . When considered as a map  $F \rightarrow \underline{U}_\alpha(F)$ ,  $x_\alpha$  restricts to an isomorphism of  $\mathcal{O}_F$  with  $\underline{U}_\alpha(\mathcal{O}_F) = U_\alpha(F) \cap K$ .

Let  $W$  be the Weyl group  $N_T/T = (N_T(F) \cap K)/\underline{T}(\mathcal{O}_F)$  of  $G$  and  $\tilde{W}$  the extended affine Weyl group  $N_T(F)/\underline{T}(\mathcal{O}_F)$ . Then  $W$  and  $\tilde{W}$  act as groups of affine transformations on the space  $X_*(T) \otimes_{\mathbb{Z}} \mathbb{R}$ . The stabilizer in  $\tilde{W}$  of  $0 \in X_*(T) \otimes \mathbb{R}$  is  $W$ , and there is an isomorphism  $\tilde{W} \cong X_*(T) \rtimes W$ , where  $X_*(T)$  is embedded in  $\tilde{W}$  as a group of translations on  $X_*(T) \otimes \mathbb{R}$ . We denote by  $e$  the identity element of  $\tilde{W}$  and by  $t(\lambda)$  the element of  $\tilde{W}$  corresponding to  $\lambda$  in  $X_*(T)$ . We can and will choose the above isomorphism so that the image of  $\lambda(\pi)$  is  $t(\lambda)$ . Observe that in this notation  $w t(\lambda) w^{-1} = t(w\lambda)$ . We let  $\langle \cdot, \cdot \rangle: X^*(T) \times X_*(T) \rightarrow \mathbb{Z}$  be the usual  $W$ -invariant pairing, and we define  $X_+ \subset X_*(T)$  to be the set of all co-characters  $\lambda$  such that  $\langle \alpha, \lambda \rangle > 0$  for all  $\alpha$  in  $\Phi^+$ .

Denote by  $w_\alpha$  the reflection in  $W$  through the vanishing hyperplane in  $X_*(T) \otimes \mathbb{R}$  of the root  $\alpha$ . Let  $\Phi = \Phi_1 \cup \dots \cup \Phi_m$  be the decomposition of  $\Phi$  into irreducible root systems. (Each  $\Phi_i$  corresponds to the root system of an almost simple normal subgroup of  $G$ .) Also, let  $\Delta_i = \Delta \cap \Phi_i$ , and put  $l_i = \#\Delta_i$ . Then  $l_1 + \dots + l_m = l$ , the dimension of  $T$ , i.e., the rank of  $G$ . Let  $\alpha_{0,i}$  be the highest root of  $\Phi_i$  with respect

to the basis of simple roots  $\Delta_i$ . Then the Coxeter group with set of involutive generators

$$\tilde{S} = \{w_\alpha | \alpha \in \Delta\} \cup \left\{ w_{\alpha_{0,i}} t(\alpha_{0,i}^\vee) | 1 \leq i \leq m \right\}$$

is isomorphic to the affine Weyl group  $W_{\text{af}}$  of  $\Phi$  ([23, Prop. 1.1]). Via this isomorphism, we will view  $W_{\text{af}}$  as a subgroup of  $\tilde{W}$ .

Let  $I$  be the Iwahori subgroup of  $K$  generated by  $\underline{T}(\mathcal{O}_F)$ , the subgroups  $x_\alpha(\mathcal{O}_F) = \underline{U}_\alpha(\mathcal{O}_F)$  for all  $\alpha$  in  $\Phi^+$ , and the subgroups  $x_\alpha(\mathfrak{p})$  for all  $\alpha$  in  $\Phi^-$ . If we denote by  $\overline{G}$  the semisimple algebraic group over  $k$  obtained by taking the special fiber of  $\underline{G}$  then (as in [23, §3.5]) the reduction mod  $\mathfrak{p}$  map  $K \rightarrow \overline{G}(k)$  is surjective, and  $I$  is the inverse image in  $K$  of the Borel subgroup of  $\overline{G}(k)$  corresponding to  $\Phi^+$ . The triple  $(G(F), I, N_T(F))$  is a generalized Tits system in the sense of [16], a fact which will be used in 2.3 to study the structure of  $G(F)$ .

Denote the normalizer of  $I$  in  $G(F)$  by  $\tilde{I}$  and let  $\Omega \subset \tilde{W}$  be the group  $(N_T(F) \cap \tilde{I})/\underline{T}(\mathcal{O}_F)$ . The group  $\Omega$  is finite Abelian and canonically isomorphic to  $X_*(T)/\Lambda_r$ , where  $\Lambda_r$  is the submodule of  $X_*(T)$  generated by  $\Phi^\vee$  (cf. [16, §2]). Moreover,  $\Omega$  normalizes  $W_{\text{af}}$  and there is an isomorphism  $\tilde{W} \cong W_{\text{af}} \rtimes \Omega$ .

For  $w$  in  $\tilde{W}$ , let  $l(w)$  denote the standard combinatorial length of  $w$  with respect to the set  $\tilde{S}$ . If  $w' \in \tilde{W}$  then we can write  $w' = w_1 \cdots w_d \rho$  for some  $w_1, \dots, w_d$  in  $\tilde{S}$  and  $\rho$  in  $\Omega$ , and we say that the expression  $w' = w_1 \cdots w_d \rho$  is reduced if  $l(w) = d$ . (Under this definition, the expression  $e = e$  is also to be considered reduced.)

## 2.2. THE GROUPS $W$ AND $\tilde{W}$

Let  $W'$  be a subgroup of  $W$  which is generated by a subset of involutions  $S' \subset S$ . We will refer to such a subgroup as a *special subgroup* of  $W$ . Note that the stabilizer  $W^\lambda = \{w \in W \mid w(\lambda) = \lambda\}$  of  $\lambda$  in  $W$  is special. For any special  $W'$ , define  $[W/W']$  to be the set

$$\{w \in W \mid l(ww') = l(w) + l(w') \text{ for all } w' \in W'\}.$$

The elements of  $[W/W']$  are the representatives for  $W/W'$  of minimal length (cf. [5, §2.5]).

For the coset decomposition of 2.4, we will need a result on the additivity of lengths of certain elements of the extended affine Weyl group similar to that of Howlett in [6, §2.7]. This lemma will follow from the geometric interpretation of  $\tilde{W}$  as a group of affine transformations on the space  $X_*(T) \otimes \mathbb{R}$ . The key idea is the connection between the length of an element  $\sigma$  of  $\tilde{W}$  and the inner products of the translation part of  $\sigma$  with certain roots as given in [17, §1.9]. We summarize the relevant facts in the following propositions.

**PROPOSITION 2.1.** *For all  $\lambda$  in  $X_*(T)$  and  $w$  in  $W$*

$$l(t(\lambda)w) = \sum_{\alpha \in \Phi^+ \cap w\Phi^+} |\langle \alpha, \lambda \rangle| + \sum_{\alpha \in \Phi^+ \cap w\Phi^-} |\langle \alpha, \lambda \rangle - 1|.$$

*In particular,  $l(w) = \#(\Phi^+ \cap w\Phi^-)$ .*

**PROPOSITION 2.2.** *Let  $\lambda \in X_*(T)$ . Then, there is a unique element  $\sigma_\lambda$  in  $W$  such that  $l(t(\lambda)\sigma_\lambda) = \min_{w \in W} l(t(\lambda)w)$ , and, in fact,  $l(t(\lambda)\sigma_\lambda w) = l(t(\lambda)\sigma_\lambda) + l(w)$  for all  $w$  in  $W$ . Moreover, if we put*

$$\Phi_1 = \{\alpha \in \Phi^+ \mid \langle \alpha, \lambda \rangle \leq 0\}, \quad \Phi_2 = \{\alpha \in \Phi^+ \mid \langle \alpha, \lambda \rangle > 0\},$$

*then*

$$l(t(\lambda)\sigma_\lambda) = \sum_{\alpha \in \Phi_1} |\langle \alpha, \lambda \rangle| + \sum_{\alpha \in \Phi_2} (\langle \alpha, \lambda \rangle - 1). \tag{2}$$

Let  $\lambda$  be an element of  $X_+$ , and let  $\sigma_\lambda$  be as in Proposition 2. The length additivity result that we wish to prove is the following: for all  $w$  in  $W$  and  $\tau$  in  $[W/W^\lambda]$ ,  $l(\tau t(\lambda)\sigma_\lambda w) = l(\tau) + l(t(\lambda)\sigma_\lambda) + l(w)$ .

We will need the following auxiliary lemma on  $[W/W^\lambda]$  for the proof of the additivity result.

**LEMMA 2.3.** *Let  $\lambda \in X_+$ ,  $\tau \in [W/W^\lambda]$ , and  $\beta \in \Phi^+ \cap \tau^{-1}\Phi^-$ . Then  $\langle \beta, \lambda \rangle > 0$ .*

*Proof.* Since  $\lambda \in X_+$ , we have that  $\langle \beta, \lambda \rangle \geq 0$ . Thus, we need only rule out  $\langle \beta, \lambda \rangle = 0$ . If this is the case, then  $w_\beta(\lambda) = \lambda$  so  $w_\beta \in W^\lambda$ . Let  $J \subset \Delta$  be the set of simple roots  $\alpha$  such that  $\langle \alpha, \lambda \rangle = 0$ . Then the special subgroup  $W^\lambda$  equals  $\langle w_\alpha \mid \alpha \in J \rangle$ . As shown in [5, §2.5],  $\tau \in [W/W^\lambda]$  if and only if  $\tau(\alpha) \in \Phi^+$  for all  $\alpha$  in  $J$ . Furthermore, since  $w_\beta \in W^\lambda$ ,  $\beta$  is a sum of roots in  $J$ , and therefore  $\tau(\beta) \in \Phi^+$ . This contradicts  $\beta \in \tau^{-1}\Phi^-$ .  $\square$

We now state and prove the length additivity lemma.

**LEMMA 2.4.** *Suppose  $\lambda \in X_+$ ,  $w \in W$ , and  $\tau \in [W/W^\lambda]$ , then*

$$l(\tau t(\lambda)\sigma_\lambda w) = l(\tau) + l(t(\lambda)\sigma_\lambda) + l(w).$$

*Proof.* By Proposition 2.2, we have that  $l(t(\lambda)\sigma_\lambda w) = l(t(\lambda)\sigma_\lambda) + l(w)$ . Therefore, it suffices to show that

$$l(\tau t(\lambda)\sigma) = l(\tau) + l(t(\lambda)\sigma) \tag{3}$$

for any  $\sigma$  in  $W$ .

By Proposition 2.1 we have

$$l(\tau) + l(t(\lambda)\sigma) = \sum_{\beta \in \Phi^+ \cap \tau\Phi^-} 1 + \sum_{\beta \in \Phi^+ \cap \sigma\Phi^+} |\langle \beta, \lambda \rangle| + \sum_{\beta \in \Phi^+ \cap \sigma\Phi^-} |\langle \beta, \lambda \rangle - 1|. \tag{4}$$

On the other hand, we also have

$$\begin{aligned} l(\tau t(\lambda)\sigma) &= l(t(\tau(\lambda))\tau\sigma) \\ &= \sum_{\alpha \in \Phi^+ \cap \tau\sigma\Phi^+} |\langle \alpha, \tau(\lambda) \rangle| + \sum_{\alpha \in \Phi^+ \cap \tau\sigma\Phi^-} |\langle \alpha, \tau(\lambda) \rangle - 1| \\ &= \sum_{\alpha \in \Phi^+ \cap \tau\sigma\Phi^+} |\langle \tau^{-1}(\alpha), \lambda \rangle| + \sum_{\alpha \in \Phi^+ \cap \tau\sigma\Phi^-} |\langle \tau^{-1}(\alpha), \lambda \rangle - 1| \\ &= \sum_{\beta \in \tau^{-1}\Phi^+ \cap \sigma\Phi^+} |\langle \beta, \lambda \rangle| + \sum_{\beta \in \tau^{-1}\Phi^+ \cap \sigma\Phi^-} |\langle \beta, \lambda \rangle - 1| \end{aligned} \tag{5}$$

In order to show that (5) equals (4), we will break up the sets over which the sums in (5) are taken and rearrange the resulting sums.

First, we note that

$$\begin{aligned} (\tau^{-1}\Phi^+ \cap \sigma\Phi^\pm) - (\Phi^+ \cap \sigma\Phi^\pm) &= \tau^{-1}\Phi^+ \cap \sigma\Phi^\pm \cap \Phi^- = \Phi^- \cap \tau^{-1}\Phi^+ \cap \sigma\Phi^\pm, \\ (\Phi^+ \cap \sigma\Phi^\pm) - (\tau^{-1}\Phi^+ \cap \sigma\Phi^\pm) &= \Phi^+ \cap \sigma\Phi^\pm \cap \tau^{-1}\Phi^- = \Phi^+ \cap \tau^{-1}\Phi^- \cap \sigma\Phi^\pm. \end{aligned}$$

It follows that the first term of (5) is

$$\begin{aligned} \sum_{\tau^{-1}\Phi^+ \cap \sigma\Phi^+} |\langle \beta, \lambda \rangle| &= \sum_{\Phi^+ \cap \sigma\Phi^+} |\langle \beta, \lambda \rangle| + \sum_{\Phi^- \cap \tau^{-1}\Phi^+ \cap \sigma\Phi^+} |\langle \beta, \lambda \rangle| - \\ &\quad - \sum_{\Phi^+ \cap \tau^{-1}\Phi^- \cap \sigma\Phi^+} |\langle \beta, \lambda \rangle| \end{aligned} \tag{6}$$

while the second term of (5) is

$$\begin{aligned} &\sum_{\tau^{-1}\Phi^+ \cap \sigma\Phi^-} |\langle \beta, \lambda \rangle - 1| \\ &= \sum_{\Phi^+ \cap \sigma\Phi^-} |\langle \beta, \lambda \rangle - 1| + \sum_{\Phi^- \cap \tau^{-1}\Phi^+ \cap \sigma\Phi^-} |\langle \beta, \lambda \rangle - 1| - \\ &\quad - \sum_{\Phi^+ \cap \tau^{-1}\Phi^- \cap \sigma\Phi^-} |\langle \beta, \lambda \rangle - 1|. \end{aligned} \tag{7}$$

Adding (6) and (7), we obtain

$$\begin{aligned}
 & \sum_{\tau^{-1}\Phi^+ \cap \sigma\Phi^+} |\langle \beta, \lambda \rangle| + \sum_{\tau^{-1}\Phi^+ \cap \sigma\Phi^-} |\langle \beta, \lambda \rangle - 1| \\
 &= \sum_{\Phi^+ \cap \sigma\Phi^+} |\langle \beta, \lambda \rangle| + \sum_{\Phi^+ \cap \sigma\Phi^-} |\langle \beta, \lambda \rangle - 1| + \\
 &+ \sum_{\Phi^- \cap \tau^{-1}\Phi^+ \cap \sigma\Phi^+} |\langle \beta, \lambda \rangle| - \sum_{\Phi^+ \cap \tau^{-1}\Phi^- \cap \sigma\Phi^+} |\langle \beta, \lambda \rangle| + \\
 &+ \sum_{\Phi^- \cap \tau^{-1}\Phi^+ \cap \sigma\Phi^-} |\langle \beta, \lambda \rangle - 1| - \sum_{\Phi^+ \cap \tau^{-1}\Phi^- \cap \sigma\Phi^-} |\langle \beta, \lambda \rangle - 1|.
 \end{aligned} \tag{8}$$

Therefore, in order to show that (4) equals (5), we must prove that the sum of the last four terms of (8) is  $\sum_{\Phi^+ \cap \tau\Phi^-} 1$ .

Replacing  $\beta$  with  $-\beta$  in the third and fifth terms, we find that the sum of the last four terms of (8) is

$$\sum_{\Phi^+ \cap \tau^{-1}\Phi^- \cap \sigma\Phi^-} (|\langle \beta, \lambda \rangle| - |\langle \beta, \lambda \rangle - 1|) + \sum_{\Phi^+ \cap \tau^{-1}\Phi^- \cap \sigma\Phi^+} (|\langle \beta, \lambda \rangle + 1| - |\langle \beta, \lambda \rangle|).$$

By Lemma 2.3, the set of  $\beta$  in  $\Phi^+ \cap \tau^{-1}\Phi^-$  which satisfy  $\langle \beta, \lambda \rangle = 0$  is empty. Thus for  $\beta \in \Phi^+ \cap \tau^{-1}\Phi^- \cap \sigma\Phi^-$ ,  $\langle \beta, \lambda \rangle > 0$  so that  $|\langle \beta, \lambda \rangle| - |\langle \beta, \lambda \rangle - 1| = 1$  and  $|\langle \beta, \lambda \rangle + 1| - |\langle \beta, \lambda \rangle| = 1$ .

This means that the sum of the last four terms of (8) equals

$$\sum_{\Phi^+ \cap \tau^{-1}\Phi^- \cap \sigma\Phi^-} 1 + \sum_{\Phi^+ \cap \tau^{-1}\Phi^- \cap \sigma\Phi^+} 1 = \sum_{\Phi^+ \cap \tau^{-1}\Phi^-} 1 = \sum_{\Phi^+ \cap \tau\Phi^-} 1,$$

since  $l(\tau) = l(\tau^{-1})$ . This gives (3) and completes the proof. □

The following corollary follows easily from Lemma 2.4

**COROLLARY 2.5.** *If  $\lambda \in X_+$  then the unique element of shortest length in the double coset  $Wt(\lambda)W$  is  $t(\lambda)\sigma_\lambda$ .*

### 2.3. DOUBLE COSET DECOMPOSITION FOR IWAHORI SUBGROUPS

We will now give a summary of the aspects of the structure of  $G(F)$  which stem from the fact that the triple  $(G(F), I, N_T(F))$  is a generalized Tits system (as defined in [16]). We also state a result of Iwahori and Matsumoto ([17, Cor. 2.7]) which gives a set of representatives for the left cosets of  $I$  contained in an arbitrary double coset of  $I$ .

We first state a result of Iwahori and Matsumoto ([17, Prop. 2.34]) concerning double cosets of subgroups of  $G(F)$  containing  $I$ . For any such subgroup  $P$ , we denote by  $W_P$  the subgroup  $(N_T(F) \cap P)/\underline{I}(O_F)$  of  $\tilde{W}$ .

PROPOSITION 2.6. *Let  $P, P_1$  and  $P_2$  be subgroups of  $G(F)$  containing  $I$ . Then,*

- (i)  $P = IW_P I = \coprod_{w \in \tilde{W}_P} IwI.$
- (ii) *If  $\Sigma_{P_1, P_2} \subset \tilde{W}$  is a set of representatives for  $W_{P_1} \backslash \tilde{W} / W_{P_2}$  then*

$$G(F) = \coprod_{\sigma \in \Sigma_{P_1, P_2}} P_1 \sigma P_2.$$

*In particular, if  $\gamma, \gamma' \in \tilde{W}$  then  $P_1 \gamma P_2 = P_1 \gamma' P_2$  if and only if  $W_{P_1} \gamma W_{P_2} = W_{P_1} \gamma' W_{P_2}.$*

Note that if we take  $P = G(F)$  in Proposition 2.6(i), we obtain the affine Bruhat decomposition  $G(F) = \coprod_{w \in \tilde{W}} IwI$ . Thus the Iwahori–Hecke algebra  $\mathcal{H}(G(F), I)$  is spanned by the characteristic functions  $\text{char}_{IwI}$  ( $w \in \tilde{W}$ ) so in order to decompose the support of a Hecke operator into left cosets of  $I$ , it suffices to obtain a decomposition for each double coset  $IwI$ .

The following proposition summarizes the structure of  $I \backslash G(F) / I$  ([17, Prop 2.8, Theorem 3.3]).

PROPOSITION 2.7. *Let  $w, w'$  be elements of  $\tilde{W}$ . Then*

- (i) *For all  $s \in \tilde{S}$* 
  - (a)  $IsIwI = IswI$  if  $l(sw) > l(w),$
  - (b)  $IsIwI = IswI \cup IwI$  if  $l(sw) < l(w).$
- (ii) *If  $l(ww') = l(w) + l(w')$  then*

$$IwIw'I = Iww'I. \tag{9}$$

*In particular, if  $s_1, \dots, s_d \in \tilde{S}, \rho \in \Omega$  and  $w = s_1 \cdots s_d \rho$  is a reduced expression, then*

$$Is_1 I \cdots Is_d I \rho I = IwI. \tag{10}$$

In addition to the information resulting from the fact that the triple  $(G(F), I, N_T(F))$  is a generalized Tits system, we will also need the following statement (cf. [17, Cor. 2.7]) concerning representatives for the left cosets of  $I$  inside certain double cosets of  $I$  (namely, those corresponding to the elements of  $\tilde{S}$ ). Recall that  $R$  is a set of representatives in  $\mathcal{O}_F$  for  $k$  containing 0.

PROPOSITION 2.8. *Suppose  $\alpha \in \Delta$  and  $i \in \{1, \dots, m\}$ , where  $m$  is the number of irreducible root systems into which  $\Phi$  decomposes. Then*

- (i)  $Iw_\alpha I = \coprod_{v \in R} x_\alpha(v) w_\alpha I,$
- (ii)  $Iw_{\alpha_{0,i}} I(\alpha_{0,i}^\vee) I = \coprod_{v \in R} x_{-\alpha_{0,i}}(\pi v) w_{\alpha_{0,i}} I(\alpha_{0,i}^\vee) I.$



*Remark 2.9.* While we have restricted our attention thus far to split groups, a result similar to Corollary 2.8 holds even when  $G$  is not split. We will need this result for forms of  $\text{PGSp}_4$  over  $\mathbb{Q}_p$  of split rank one. In this case, the corresponding Iwahori double cosets will contain either  $p$  or  $p^2$  (easily enumerated) single cosets.

We now develop notation which will allow us to derive a formula for representatives of the left cosets of  $I$  in an arbitrary double coset in  $I \backslash G(F) / I$ . This formula will follow easily from the above results. For each  $s$  in  $\tilde{S}$ , we fix a lifting  $\bar{s}$  of  $s$  to  $N_T(F)$ . We define elements  $g_s(v) \in G(F)$  for all  $s$  in  $\tilde{S}$  and  $v$  in  $R$  by setting

$$g_s(v) = \begin{cases} x_\alpha(v)\bar{s} & \text{if } s = w_\alpha \text{ for some } \alpha \text{ in } \Delta, \\ x_{-\alpha_{0,i}}(\pi v)\bar{s} & \text{if } s = t(\alpha_{0,i}^\vee)w_{\alpha_{0,i}} \text{ for some } i \text{ in } \{1, \dots, m\}. \end{cases}$$

In this notation, Proposition 2.8 says that for each  $s \in \tilde{S}$ ,  $IsI = \coprod_{v \in R} g_s(v)I$ . For each  $\rho$  in  $\Omega$  we also choose some lifting  $\bar{\rho}$  of  $\rho$  to  $N_T(F)$ .

For each  $w$  in  $\tilde{W}$  we fix an  $(l(w) + 1)$ -tuple  $e(w) = (s_{w,1}, \dots, s_{w,l(w)}, \rho_w)$  in  $\tilde{S}^{l(w)} \times \Omega$  such that  $w = s_{w,1} \cdots s_{w,l(w)}\rho_w$ . We define  $g_w: R^{l(w)} \rightarrow G(F)$  to be the function which assigns to each  $(v_1, \dots, v_{l(w)})$  in  $R^{l(w)}$  the element  $g_{s_{w,1}}(v_1) \cdots g_{s_{w,l(w)}}(v_{l(w)})\bar{\rho}_w$ , using the notation of the previous paragraph. Then we have the following fact concerning the coset space  $IwI/I$ .

**COROLLARY 2.10.** *Suppose that  $w \in \tilde{W}$  and that  $w = s_1 \cdots s_d\rho$  is a reduced expression (i.e.,  $d = l(w)$ ), where  $s_1, \dots, s_d \in \tilde{S}$  and  $\rho \in \Omega$ . Then the index  $[IwI : I]$  is  $q^{l(w)}$ . In fact,*

$$IwI = \coprod_{v_i \in R} g_{s_1}(v_1) \cdots g_{s_d}(v_d)\bar{\rho}I = \coprod_{v \in R^{l(w)}} g_w(v)I.$$

*Proof.* By Proposition 2.7(ii),  $IwI = Is_1I \cdots Is_dI\rho I$ . It follows (cf. [7, §3.5]) that

$$[IwI : I] = [Is_1I : I] \cdots [Is_dI : I][I\rho I : I] = q^{l(w)}.$$

To complete the proof it suffices to show that the union of the  $q^{l(w)}$  cosets given above is all of  $IwI$ . This also follows from Propositions 2.7 and 2.8 since

$$\begin{aligned} IwI &= Is_1s_2 \cdots s_d\rho I = Is_1Is_2I \cdots Is_dI\rho I \\ &= \bigcup_{v_1 \in R} g_{s_1}(v_1)Is_2I \cdots Is_dI\rho I \\ &= \bigcup_{v_1, \dots, v_d \in R} g_{s_1}(v_1)g_{s_2}(v_2) \cdots g_{s_d}(v_d)\bar{\rho}I. \quad \square \end{aligned}$$

#### 2.4. DOUBLE COSET DECOMPOSITION FOR $K$

As stated earlier, the explicit determination of the action of a spherical Hecke operator on a modular form necessitates the decomposition of the support of that operator into left cosets of  $K$ . The Cartan decomposition states that

$G(F) = \coprod_{\lambda \in X_+} K\lambda(\pi)K$ . Therefore, the local Hecke algebra  $\mathcal{H}(G(F), K)$  is spanned by the characteristic functions  $\text{char}(K\lambda(\pi)K)$  ( $\lambda \in X_+$ ). As a result, we now concentrate on decomposing a given double coset  $K\lambda(\pi)K = Kt(\lambda)K$  into a union of left cosets of  $K$ .

Fix  $\lambda$  in  $X_+$ . We begin by considering the decomposition of  $K\lambda(\pi)K$  into cosets  $IgK$ .

LEMMA 2.11. *The double coset  $K\lambda(\pi)K = Kt(\lambda)K$  is the disjoint union of the cosets  $I\tau t(\lambda)K$  as  $\tau$  ranges over  $[W/W^\lambda]$ .*

*Proof.* Since  $W_K = (N_T(F) \cap K)/\underline{T}(\mathcal{O}_F) = W$  we have by Proposition 2.6(i) that  $K = \coprod_{w \in W} IwI$ . (This is simply the lifting of the Bruhat decomposition for the group  $\overline{G}(k)$  to  $K$ .) It follows that  $Kt(\lambda)K = \bigcup_{w \in W} IwIt(\lambda)K$ . We will show that this last expression is equal to  $\bigcup_{w \in W} Iwt(\lambda)K$ .

By Equation (10) in Proposition 2.7, if we write  $w'$  in  $W$  as a reduced expression  $w' = s_1 \cdots s_d$  where  $s_1, \dots, s_d \in S$ , we have that

$$Iw'It(\lambda)I = Is_1 \cdots s_d It(\lambda)I = Is_1 I \cdots Is_d It(\lambda)I.$$

By Proposition 2.7(i) and induction on  $d$ , it follows that

$$Iw'It(\lambda)I = Is_1 I \cdots Is_d It(\lambda)I \supset Is_1 \cdots s_d t(\lambda)I = Iw' t(\lambda)I$$

and hence that

$$\bigcup_{w \in W} IwIt(\lambda)K = \bigcup_{w \in W} IwIt(\lambda)IK \supset \bigcup_{w \in W} Iwt(\lambda)IK = \bigcup_{w \in W} Iwt(\lambda)K.$$

On the other hand, we know by Proposition 2.7 that for any  $s$  in  $S$  and  $\gamma$  in  $\tilde{W}$ ,

$$IsI\gamma I \subset I\gamma I \cup Is\gamma I \subset \bigcup_{w \in W} Iw\gamma I.$$

Thus if  $w' = s_1 \cdots s_d \in W$  is a reduced expression, we have, by induction on  $d$  again,

$$Iw'It(\lambda)I = Is_1 I \cdots Is_d It(\lambda)I \subset \bigcup_{w \in W} Iwt(\lambda)I.$$

Hence, it follows that

$$\bigcup_{w \in W} IwIt(\lambda)K = \bigcup_{w \in W} IwIt(\lambda)IK \subset \bigcup_{w \in W} Iwt(\lambda)IK = \bigcup_{w \in W} Iwt(\lambda)K$$

so that

$$\bigcup_{w \in W} Iwt(\lambda)K = \bigcup_{w \in W} IwIt(\lambda)K.$$

Thus

$$Kt(\lambda)K = \bigcup_{w \in W} Iwt(\lambda)K. \tag{11}$$

We must now determine which of the terms in the above union are the same. To this end, we apply Proposition 2.6 to the subgroups  $I$  and  $K$  of  $G(F)$ . Since  $W_I = \langle e \rangle$  and  $W_K = W$ , it follows that for any  $w, w'$  in  $W$ ,  $Iwt(\lambda)K = Iw't(\lambda)K$  if and only if  $wt(\lambda) \equiv w't(\lambda) \pmod{W}$ , that is, if and only if  $t(w(\lambda)) = t(w'(\lambda))$ . Thus the two double cosets are equal if and only if  $w \equiv w' \pmod{W^\lambda}$ . Therefore, to obtain a disjoint union in (11) we take the union over the set of representatives  $[W/W^\lambda]$ . We therefore obtain

$$Kt(\lambda)K = \coprod_{\tau \in [W/W^\lambda]} I\tau t(\lambda)K. \quad \square \tag{12}$$

It remains now to express each coset  $I\tau t(\lambda)K$  as a union of distinct left cosets of  $K$ .

**THEOREM 2.12.** *Let  $\lambda \in X_+$  and let  $\sigma_\lambda$  be as in Section 2.2. Then the double coset  $Kt(\lambda)K$  is equal to the disjoint union*

$$\coprod_{\tau \in [W/W^\lambda]} \coprod_{v \in R^{l(\tau t(\lambda)\sigma_\lambda)}} g_{\tau t(\lambda)\sigma_\lambda}(v)K,$$

where  $R$  is a set of representatives for  $\mathcal{O}_F/\mathfrak{p}$  containing 0.

*Proof.* Let  $\tau \in [W/W^\lambda]$ . Clearly,  $I\tau t(\lambda)K = I\tau t(\lambda)\sigma_\lambda K = I\tau t(\lambda)\sigma_\lambda I K$  and by Corollary 2.10, this is equal to

$$\left( \coprod_{v \in R^{l(\tau t(\lambda)\sigma_\lambda)}} g_{\tau t(\lambda)\sigma_\lambda}(v)I \right) K = \bigcup_{v \in R^{l(\tau t(\lambda)\sigma_\lambda)}} g_{\tau t(\lambda)\sigma_\lambda}(v)K. \tag{13}$$

Because of Lemma 2.11, the theorem will follow if we show that the cosets in the union (13) are distinct for distinct  $v$ . So suppose that  $g_{\tau t(\lambda)\sigma_\lambda}(v)K = g_{\tau t(\lambda)\sigma_\lambda}(v')K$  for some  $\tau \in [W/W^\lambda]$  and  $v, v' \in R^{l(\tau t(\lambda)\sigma_\lambda)}$ . We will show that  $v = v'$ . The main idea of the argument is to transfer the problem from  $K$ -cosets in  $G(F)$  to  $W$ -cosets in  $\tilde{W}$  and then to bring to bear our results on Coxeter groups from Section 2.2.

First, we note that by Proposition 2.6(i) and Corollary 2.10,

$$\begin{aligned} g_{\tau t(\lambda)\sigma_\lambda}(v)K &= \coprod_{w \in W} g_{\tau t(\lambda)\sigma_\lambda}(v)IwI \\ &= \coprod_{w \in W} \coprod_{v'' \in R^{l(w)}} g_{\tau t(\lambda)\sigma_\lambda}(v)g_w(v'')I \end{aligned} \tag{14}$$

and similarly

$$g_{\tau t(\lambda)\sigma_\lambda}(v')K = \coprod_{w \in W} \coprod_{v'' \in R^{l(w)}} g_{\tau t(\lambda)\sigma_\lambda}(v')g_w(v'')I. \tag{15}$$

Since these two  $K$ -cosets are equal, each  $I$ -coset in (14) must also appear in (15). In particular,  $g_{\tau t(\lambda)\sigma_\lambda}(v)g_e(0)I = g_{\tau t(\lambda)\sigma_\lambda}(v)I$  and  $g_{\tau t(\lambda)\sigma_\lambda}(v')g_w(v'')I$  must be equal for some  $w$  in  $W$  and  $v''$  in  $R^{l(w)}$ . We will show that this equality can only hold if  $w = e$ . Then

we will have that  $g_{\tau t(\lambda)\sigma_\lambda}(v)I = g_{\tau t(\lambda)\sigma_\lambda}(v')I$ , which immediately implies that  $v = v'$  by Corollary 2.10.

So suppose that  $g_{\tau t(\lambda)\sigma_\lambda}(v)I = g_{\tau t(\lambda)\sigma_\lambda}(v')g_w(v'')I$ , where  $w \in W$  and  $v'' \in R^{l(w)}$ . By the definition of  $g_{\tau t(\lambda)\sigma_\lambda}(v)$ , we have that

$$g_{\tau t(\lambda)\sigma_\lambda}(v)I \subset I\tau t(\lambda)\sigma_\lambda I. \tag{16}$$

Similarly, for each  $v''$  in  $R^{l(w)}$ ,

$$g_{\tau t(\lambda)\sigma_\lambda}(v')g_w(v'')I \subset I\tau t(\lambda)\sigma_\lambda w I.$$

We are now able to use Section 2.2 since  $\lambda \in X_+$ ,  $\tau \in [W/W^\lambda]$  and  $w \in W$ . By Theorem 2.4, we conclude that  $l(\tau t(\lambda)\sigma_\lambda w) = l(\tau t(\lambda)\sigma_\lambda) + l(w)$ . This implies via equation (20) in Proposition 2.7 that  $I\tau t(\lambda)\sigma_\lambda w I = I\tau t(\lambda)\sigma_\lambda I$ . Hence,

$$g_{\tau t(\lambda)\sigma_\lambda}(v')g_w(v'')I \subset I\tau t(\lambda)\sigma_\lambda w I. \tag{17}$$

Since the double cosets in (16) and (17) both contain the left coset

$$g_{\tau t(\lambda)\sigma_\lambda}(v)I = g_{\tau t(\lambda)\sigma_\lambda}(v')g_w(v'')I,$$

we conclude that they must be equal. But  $I\tau t(\lambda)\sigma_\lambda I = I\tau t(\lambda)\sigma_\lambda w I$  implies  $w = e$  since  $I \backslash G(F) / I$  is represented by  $\tilde{W}$  (Proposition 2.6).  $\square$

The following corollary follows easily from Theorem 2.12 and Lemma 2.4.

**COROLLARY 2.13.** *The number of left (or right) cosets of  $K$  in  $K\lambda(\pi)K$  is*

$$q^{\min_{w \in W} l(t(\lambda)w)} \sum_{\tau \in [W/W^\lambda]} q^{l(\tau)} = \sum_{\gamma \in W t(\lambda) W} q^{l(\gamma)} / \sum_{w \in W} q^{l(w)}.$$

### 2.5. AN EXAMPLE

In later sections, we determine the actions of local Hecke algebras on spaces of modular forms on the compact form of  $G_2$  over  $\mathbb{Q}$  and on certain compact forms of  $\text{PGSp}_4$  over  $\mathbb{Q}$ . To do this we need to know the coset representatives  $a_i$  appearing in the sum (1) in the introduction. Below we illustrate the use of Theorem 2.12 to compute these representatives for the split group  $G_2$  over an arbitrary non-Archimedean local field  $F$ .

The rank of  $G_2$  is 2, and a set of simple roots consists of a long root  $\alpha_1$  and a short root  $\alpha_2$ . We let  $\alpha_0$  be the corresponding highest root. The Weyl group  $W$ , which is dihedral of order 12, is generated by the reflections  $w_1 = w_{\alpha_1}$  and  $w_2 = w_{\alpha_2}$ , while  $W_{\text{af}}$  is generated by these reflections and  $w_0 = w_{\alpha_0} t(\alpha_0^\vee)$ . Since  $G_2$  is both simply connected and adjoint,  $\Omega$  is trivial and it follows that  $\tilde{W} = W_{\text{af}}$ . Let  $\check{\omega}_1, \check{\omega}_2$  be the fundamental co-characters (i.e., those satisfying  $\langle \alpha_i, \check{\omega}_j \rangle = \delta_{ij}$ ).

We have that  $W^{\check{\omega}_1} = \langle w_2 \rangle$  and  $[W/W^{\check{\omega}_1}]$  is the set  $\{e, w_1, w_2 w_1, w_1 w_2 w_1, w_2 w_1 w_2 w_1, w_1 w_2 w_1 w_2 w_1\}$ . Also,  $t(\check{\omega}_1)$  can be shown to have the reduced expression

$w_0w_1w_2w_1w_2w_1$ , while a reduced expression for  $t(\check{\omega}_1)\sigma_{\check{\omega}_1}$  is  $w_0$ . Hence, it follows from Theorem 2.12 that  $K\check{\omega}_1(\pi^{-1})K$  is the union of the

$$q + q^2 + q^3 + q^4 + q^5 + q^6 = q \cdot \frac{q^6 - 1}{q - 1}$$

left cosets

$$\begin{aligned} g_{w_0}(v_1)K & \quad (v_1 \in R) \\ g_{w_1w_0}(v_2)K & \quad (v_2 \in R^2) \\ g_{w_2w_1w_0}(v_3)K & \quad (v_3 \in R^3) \\ g_{w_1w_2w_1w_0}(v_4)K & \quad (v_4 \in R^4) \\ g_{w_2w_1w_2w_1w_0}(v_5)K & \quad (v_5 \in R^5) \\ g_{w_1w_2w_1w_2w_1w_0}(v_6)K & \quad (v_6 \in R^6). \end{aligned}$$

For the short co-weight  $\check{\omega}_2$ , we have  $W^{\check{\omega}_2} = \langle w_1 \rangle$  and  $[W/W^{\check{\omega}_2}] = \{e, w_2, w_1w_2, w_2w_1w_2, w_1w_2w_1w_2, w_2w_1w_2w_1w_2\}$ . A reduced expression for  $t(\check{\omega}_2)$  is  $w_0w_1w_2w_1w_0w_2w_1w_2w_1w_2$ , and for  $t(\check{\omega}_2)\sigma_{\check{\omega}_2}$  is  $w_0w_2w_1w_2w_0$ . Hence, the double coset  $K\check{\omega}_2(\pi^{-1})K$  is the disjoint union of the

$$q^5 + q^6 + q^7 + q^8 + q^9 + q^{10} = q^5 \cdot \frac{q^6 - 1}{q - 1}$$

cosets

$$\begin{aligned} g_{w_0w_2w_1w_2w_0}(v_1)K & \quad (v_1 \in R) \\ g_{w_2w_0w_2w_1w_2w_0}(v_2)K & \quad (v_2 \in R^2) \\ g_{w_1w_2w_0w_2w_1w_2w_0}(v_3)K & \quad (v_3 \in R^3) \\ g_{w_2w_1w_2w_0w_2w_1w_2w_0}(v_4)K & \quad (v_4 \in R^4) \\ g_{w_1w_2w_1w_2w_0w_2w_1w_2w_0}(v_5)K & \quad (v_5 \in R^5) \\ g_{w_2w_1w_2w_1w_2w_0w_2w_1w_2w_0}(v_6)K & \quad (v_6 \in R^6). \end{aligned}$$

### 3. The Calculations

We now return to the global setting. We take  $G$  to be a connected reductive group over  $\mathbb{Q}$  and as above we assume that  $G(\mathbb{R})$  is compact. We let  $K = \prod K_p$  where each  $K_p$  is a parahoric subgroup of  $G(\mathbb{Q}_p)$ , with all but finitely many  $K_p$  hyperspecial maximal compact. We also let  $W$  be an algebraic representation of  $G$  over a number field  $E$ .

Our goal is to compute the actions of various Hecke operators on the space

$$M(W, K) = \{F: G(\hat{\mathbb{Q}})/K \rightarrow W(E) : F(\gamma g) = \gamma F(g), \text{ for all } \gamma \in G(\mathbb{Q})\}$$

of modular forms of weight  $W$  and level  $K$  on  $G$ . We begin with an overview of these calculations and then indicate how to carry out some of the steps for the particular  $G$  in which we are interested.

3.1. OVERVIEW

A function  $f \in M(W, K)$  is determined by its values on a system of representatives of  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}}) / K$ . We fix such a system  $\{g_\alpha\}$ . Note that for  $f \in M(W, K)$  each  $f(g_\alpha)$  lies in  $W^{\Gamma_\alpha}$  where  $\Gamma_\alpha$  is the finite subgroup  $G(\mathbb{Q}) \cap g_\alpha K g_\alpha^{-1}$  of  $G(\mathbb{Q})$  stabilizing  $g_\alpha K$ . Conversely, any function  $f: \{g_\alpha\} \rightarrow W$  with  $f(g_\alpha) \in W^{\Gamma_\alpha}$  for all  $\alpha$  extends uniquely to an element of  $M(W, K)$ . We pick bases  $\{v_{\alpha,k}\}$  of the  $W^{\Gamma_\alpha}$  and define  $\delta_\alpha^k$  to be the modular form such that

$$\delta_\alpha^k(g_\beta) = \begin{cases} v_{\alpha,k} & \text{if } \beta = \alpha, \\ 0 & \text{otherwise.} \end{cases}$$

Then the  $\delta_\alpha^k$  form a basis of  $M(W, K)$ . We will do all of our calculations with respect to this basis.

Now consider the action of a Hecke operator  $T \in \mathcal{H}(G(\mathbb{Q}_p), K_p)$ . We wish to compute  $T\delta_\alpha^k(g_v)$ . Using Equation (1) and writing the support of  $T$  as a disjoint union of cosets  $a_l K_p$  we see

$$T\delta_\alpha^k(g_v) = \sum_l T(a_l)\delta_\alpha^k(g_v a_l). \tag{18}$$

Note that  $T\delta_\alpha^k(g_v) \in W^{\Gamma_v}$ , so once we have computed it we can write

$$T\delta_\alpha^k(g_v) = \sum_l m_{\alpha v}^{kl} v_{v,l},$$

so that

$$T\delta_\alpha^k = \sum_{v,l} m_{\alpha v}^{kl} \delta_v^l. \tag{19}$$

These  $m_{\alpha v}^{kl}$  are the entries in the matrix for  $T$  with respect to the basis  $\delta_\alpha^k$  of  $M(W, K)$ . So once we have seen how to carry out each step of this outline, we will be able to compute matrices for the actions of our Hecke operators.

We discuss our computation of the  $g_\alpha$  in 3.2, pointing out aspects particular to some individual examples. Once we have the  $g_\alpha$ , computing the groups  $\Gamma_\alpha$  and the fixed spaces  $W^{\Gamma_\alpha}$  is straightforward, and gives us our explicit basis  $\{\delta_\alpha^k\}$ .

We will only be interested in Hecke operators  $T$  supported at primes where  $K_p$  is Iwahori or  $G(\mathbb{Q}_p)$  is split and  $K_p$  is hyperspecial and so Corollary 2.10, Remark 2.9 and Theorem 2.12 allow us to find the  $a_i$  we need for decomposition of the support of  $T$ .

All that remains then to complete the calculation is to evaluate the  $\delta_\alpha^k$  at various points  $h$ . To do so, it suffices to write  $h$  as a product  $h = r g_\alpha k$  with  $r \in G(\mathbb{Q})$ ,

$k \in K$ , and  $g_z$  one of our fixed coset representatives. Our method for finding this product decomposition is essentially brute force. In each case it amounts to analyzing the congruence constraints placed on  $r$  by insisting that  $g_z^{-1}r^{-1}h$  be integral and searching through the solution space of these congruences.

3.2. GLOBAL DOUBLE COSETS

We will begin with a general description of the space  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}}) / K$  which is finite since  $K$  is open and  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}})$  is compact [2].

Suppose first that  $G$  is the general fiber of a group scheme  $\underline{G}$  over  $\mathbb{Z}$  and each  $K_p$  is the group  $\underline{G}(\mathbb{Z}_p)$  of  $\mathbb{Z}_p$  points of  $\underline{G}$ . In this case, the size  $h$  of  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}}) / K$  is called the class number of  $\underline{G}$ . In [9] Gross compiles a table of some values of the class number when  $G$  is simply connected and the  $K_p$  are all hyperspecial.

If  $K' = \prod K'_p \subset K$  is any deeper level, the following easy proposition relates  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}}) / K$  to  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}}) / K'$ .

**PROPOSITION 3.1.** *Let  $G$  be a connected reductive group over  $\mathbb{Q}$  arising as the general fiber of a group scheme  $\underline{G}$  over  $\mathbb{Z}$ . Suppose that  $G(\mathbb{R})$  is compact and that  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}}) / \underline{G}(\hat{\mathbb{Z}})$  has representatives  $g_1, \dots, g_h$ . For each  $i$ , let  $\Gamma'_i$  be the finite group  $g_i^{-1}G(\mathbb{Q})g_i \cap \underline{G}(\hat{\mathbb{Z}})$ .*

*For each  $p$ , let  $K_p$  be a parahoric subgroup of  $\underline{G}(\mathbb{Z}_p)$  with  $K_p = \underline{G}(\mathbb{Z}_p)$  for  $p$  outside a finite set  $S$ . Then there is a natural bijection between  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}}) / K$  and*

$$\coprod_i \left( \Gamma'_i \backslash \prod_{p \in S} \mathcal{F}_p(\mathbb{F}_p) \right)$$

where  $\mathcal{F}_p$  is the flag variety of parabolics of the same type as the reduction of  $K_p$  in  $\underline{G}(\mathbb{F}_p)$ .

Proposition 3.1 reduces our problem of finding systems of representatives for  $G(\mathbb{Q}) \backslash G(\hat{\mathbb{Q}}) / K$  for various  $K$  to first doing so once with  $K$  the integral points on a model of  $G$  and then simply enumerating flag varieties over finite fields. We will now discuss each of these issues in two examples.

3.2.1. Double Cosets for the Group  $G_2$

There are only two forms of  $G_2$  over  $\mathbb{Q}$ , one is split and the other is compact at  $\mathbb{R}$  [22]. We describe the compact form below. If we replace the Cayley octonions with the split octonions in the construction, we would obtain the split group.

Let  $\mathbb{O}$  be a maximal order in the Cayley octonions over  $\mathbb{Q}$ .  $\mathbb{O}$  has an anti-involution given by  $\bar{e}_0 = e_0$  and  $\bar{e}_i = -e_i$  for  $1 \leq i \leq 7$ . We have the trace given by  $\text{Tr } x = x + \bar{x}$  and the norm given by  $\text{N}x = x\bar{x}$ . The trace allows us to define an inner product  $\langle x, y \rangle = \text{Tr } x\bar{y}$ . Note that the norm, trace and inner product all take

integral values on  $\mathbb{O}$  and so can be extended to  $\mathbb{O} \otimes R$  for any ring  $R$ . Note also that  $R$  embeds in  $\mathbb{O} \otimes R$  as  $Re_0$ .

We define a group  $G$  over  $\mathbb{Z}$  by letting  $G(R)$  be the group of  $R$ -algebra automorphisms of  $\mathbb{O} \otimes R$ . Then  $G$  is a model of  $G_2$  over  $\mathbb{Z}$  in the sense of [9]. In particular for all  $p$ ,  $G(\mathbb{Q}_p)$  is  $G_2(\mathbb{Q}_p)$ , the split group of type  $G_2$  over  $\mathbb{Q}_p$ , and  $G(\mathbb{Z}_p) \subset G(\mathbb{Q}_p)$  is a hyperspecial maximal compact subgroup. Further  $G(\mathbb{R})$  is compact, and so  $G(\mathbb{Z})$  is finite, being a discrete subgroup of  $G(\mathbb{R})$ . In fact  $G(\mathbb{Z})$  is the group  $G_2(\mathbb{F}_2)$ , and has size 12096. Additionally,  $G$  has class number one, that is  $G(\hat{\mathbb{Q}}) = G(\mathbb{Q})G(\hat{\mathbb{Z}})$  [9].

If  $M = \mathbb{O} \otimes k$  for  $k$  a field of characteristic not 2, we define  $M_0$  to be the orthogonal complement of  $e_0$  in  $M$ , namely the elements of trace 0 in  $M$ . Automorphisms of  $M$  preserve  $M_0$ , as well as both the norm and trace [18, §3].

Suppose  $G$  is split over  $k$  with the characteristic of  $k$  still not 2. Then a Borel subgroup of  $G(k)$  is the stabilizer of a flag  $0 \subset V_1 \subset V_2$  in the 7-dimensional space  $M_0$  with  $V_1$  spanned by a vector of norm, and hence square, 0 (a null line) and  $V_2$  such that  $xy = 0$  for all  $x, y \in V_2$  (a null plane). Note that any such flag can be extended uniquely to a complete null flag by setting

$$V_3 = \{y: xy = 0 \text{ for all } x \in V_2\}$$

$$V_i = V_{7-i}^\perp \text{ for } 4 \leq i \leq 7,$$

so that a Borel is the stabilizer of a complete flag.

The other two types of parabolics stabilize partial flags. They are  $P_1$ , the stabilizer of a null line, and  $P_2$ , the stabilizer of a null plane. The collection of null flags (resp. lines, resp. planes) exactly parametrizes the space of Borel subgroups (resp. parabolics of type  $P_1$ , resp. parabolics of type  $P_2$ ). If  $k$  is the finite field  $\mathbb{F}_p$ , there are  $(p^6 - 1)/(p - 1)$  parabolic subgroups of types  $P_1$  and  $P_2$  and  $(p^6 - 1)(p + 1)/(p - 1)$  Borel subgroups in  $G(k)$ .

### 3.2.2. Double Cosets for the Groups $\text{PGSp}_4^H$

Let  $H$  be a quaternion algebra over  $\mathbb{Q}$ , and let  $u \mapsto \bar{u}$  be its canonical involution. Then we define an algebraic group  $G^H$  over  $\mathbb{Q}$  whose  $R$  points are

$$\{g \in M_2(H \otimes_{\mathbb{Q}} R): g\bar{g}^t = v(g)I, v(g) \in R^*\}$$

for any commutative  $\mathbb{Q}$ -algebra  $R$ . If  $H$  splits over  $R$ , then  $G^H$  is isomorphic to  $\text{GSp}_4$  over  $R$ . Thus  $G^H$  is a form of  $\text{GSp}_4$  over  $\mathbb{Q}$ . We will henceforth denote  $G^H$  by  $\text{GSp}_4^H$ . We then let  $\text{PGSp}_4^H$  be the quotient of  $\text{GSp}_4^H$  by its center. If  $H$  is ramified at  $\infty$  then  $\text{PGSp}_4^H(\mathbb{R})$  is compact. We will assume this is the case from here on.

If we choose a maximal order  $M$  in  $H$  we can give  $\text{GSp}_4^H$  and  $\text{PGSp}_4^H$  the structure of algebraic groups over  $\mathbb{Z}$  as we did for  $G_2$ . If  $H$  is split at  $p$ , so that  $\text{PGSp}_4^H$  is split over  $\mathbb{Q}_p$ , then  $\text{PGSp}_4^H(\mathbb{Z}_p)$  is a hyperspecial maximal compact subgroup of  $\text{PGSp}_4^H(\mathbb{Q}_p) = \text{PGSp}_4(\mathbb{Q}_p)$ . If  $H$  is not split at  $p$ , then  $\text{PGSp}_4^H$  has split rank 1 over



$\mathbb{Q}_p$ .  $K_p = \text{PGSp}_4^H(\mathbb{Z}_p)$  is still maximal compact, but is not hyperspecial ( $\text{PGSp}_4^H(\mathbb{Q}_p)$  has no hyperspecials).

The global situation is more complicated for  $\text{PGSp}_4^H$  than for  $G_2$ , in that  $\text{PGSp}_4^H$  need not have class number 1 (with respect to the integral structure given by a maximal order  $M$ ). Following Shimura [21] we consider the action of  $\text{PGSp}_4^H(\hat{\mathbb{Q}})$  on the set of  $M$ -lattices in  $H^2$ . We see that the stabilizer of  $M^2$  is  $\text{PGSp}_4^H(\hat{\mathbb{Z}})$ , so that  $\text{PGSp}_4^H(\hat{\mathbb{Q}})/\text{PGSp}_4^H(\hat{\mathbb{Z}})$  is the orbit of  $M^2$ , called the *principal genus* of  $M$ -lattices.  $\text{PGSp}_4^H(\mathbb{Q})$  acts on the principal genus, its orbits are called classes. So the class number of  $\text{PGSp}_4^H$  is the number of classes in the principal genus.

In [14, Theorem 2] Hashimoto and Ibukiyama give a formula for this class number and they tabulate some small values in their §5-3. In §6-1 they give an algorithm for finding representative of the various classes in the principal genus, which amounts to finding representatives for

$$\text{PGSp}_4^H(\mathbb{Q}) \backslash \text{PGSp}_4^H(\hat{\mathbb{Q}}) / \text{PGSp}_4^H(\hat{\mathbb{Z}}).$$

If  $H$  is ramified only at 2 and  $\infty$  or only at 3 and  $\infty$ , the class number of  $\text{PGSp}_4^H$  is 1. If  $H$  is ramified at 5 and  $\infty$  the class number is 2. We represent this  $H$  by the algebra  $\mathbb{Q} + \mathbb{Q}i + \mathbb{Q}j + \mathbb{Q}k$  with  $i^2 = -5, j^2 = -2$ , and  $i, j, k$  satisfying the usual product formulas. We take here the maximal order spanned by

$$1, j, \frac{2+j-k}{4}, \frac{2i+j+k}{4}$$

and then a choice of representative for the nontrivial double coset is

$$\begin{pmatrix} 2+k & -2i-9j \\ -2i-9j & 2+k \end{pmatrix}.$$

We now fix a quaternion algebra  $H$  ramified at  $\infty$  and at some finite set  $S_0$  of primes and choose a maximal order  $M$  in  $H$ . We let  $G = \text{PGSp}_4^H$  with the  $\mathbb{Z}$ -structure induced by  $M$ .

We must again look at the flag varieties  $G(\mathbb{F}_p)/B_p$ . For an unramified prime (i.e.  $p \notin S_0$ ),  $G$  is isomorphic to the split group  $\text{PGSp}_4$  over  $\mathbb{F}_p$ . The Borel subgroups are again parametrized by flags consisting of a null line contained in a null plane (now with respect to the symplectic inner product on  $\mathbb{F}_p^4$ ), and the parabolics by partial flags. For a ramified prime, the Borels are parametrized by the projective line over  $\mathbb{F}_p$  in a computable way. That is,  $G(\mathbb{F}_p)$  acts transitively on  $\mathbb{P}^1$ , with stabilizer  $B_p$ .

### 3.3. COMPUTING AND RELIABILITY

So far we have presented the algorithms for carrying out our calculations, but have said nothing about how they are implemented. A few words on that topic are in order.

Most of the calculations were carried out by programs we wrote in C++ and compiled with gcc running under SunOS on a Sun SPARCserver-1000 and separately under egcs running under Linux on an Intel Pentium 2. Some parts (especially processing the matrices obtained as the actions of the Hecke operators) were done using Mathematica [19], Gap [20], and Pari [8]. All calculations were done using exact data types (i.e. integers or rationals, rather than reals). Any  $p$ -adic numbers were only needed modulo a fixed power of  $p$ , and so could be represented by an integer. When dealing with data produced by large programs, one hopes for as many checks as possible to verify that the program has worked correctly. Fortunately, our data allows for a great many strong checks. For example, we know that the spherical Hecke operators all commute as elements of  $\mathcal{H}_K$ . So when we compute the actions of these Hecke operators on a space of forms, the matrices we obtain ought to commute. This is the case in every example we have computed. Since these matrices can have several hundred rows and columns, this is a rather meaningful check.

We mention two other checks that we will come across later. In Section 4.3 we discuss some conjectured ‘liftings’ of modular forms from  $\mathrm{PGL}_2$ . We have been able to identify many of these lifts. Finally in an upcoming paper the second author and S. Padowitz use some of our data, along with the stable trace formula, to work out an explicit formula for the dimension of a certain subspace of  $M(W, K)$ . Our data over-determines the explicit formula, and the fact that the formula fits all of our data points is a very strong indication of the validity of our calculations.

## 4. Interpretations of the Data

### 4.1. THE STEINBERG SPACE

Let  $\pi$  be the Steinberg representation of  $G(\mathbb{Q}_p)$ . Then  $\pi$  has a one-dimensional space of fixed vectors for an Iwahori subgroup  $I$ . So  $\pi^I$  induces a character of the Iwahori–Hecke algebra  $\mathcal{H}(G(\mathbb{Q}_p), I_p)$  called the Steinberg character.

To describe this character concretely we adopt the notation of Section 2 and let  $s \in \tilde{S}$  be a standard involutive generator of  $W_{\mathrm{af}}$ . Then the Steinberg character sends the Hecke operator  $U_s = \mathrm{char}_{I_s I}$  to  $-1$ . Any irreducible representation of  $\mathcal{H}_{I_p}$  which has a vector on which each  $U_s$  acts by  $-1$  is in fact 1-dimensional. These representations are called special characters.

If  $K = I_S = \prod_{p \notin S} K_p \prod_{p \in S} I_p$  with  $K_p$  hyperspecial, we call the subspace of  $M(W, K)$  on which each  $\mathcal{H}_{I_p}$  acts by a special character the Steinberg subspace and denote it  $M(W, K)^{St}$ . Note that this is an abuse of language as we allow any special character, not just the Steinberg. This subspace is of particular number theoretic interest (cf. [10, §12]). Our calculations of the action of the Iwahori–Hecke algebra on various spaces of forms allows us to identify the Steinberg subspaces. We have tabulated some dimensions of these Steinberg subspaces in Section 5.

4.2. SATAKE AND LANGLANDS PARAMETERS

4.2.1. *Satake Parameters*

Given an eigenform  $f$  for the Hecke algebra  $\mathcal{H}(G(\mathbb{Q}_p), K_p)$  we get a complex character  $\theta_f: \mathcal{H}(G(\mathbb{Q}_p), K_p) \rightarrow \mathbb{C}^*$ . We will describe a convenient indexing of such characters, for  $K_p$  hyperspecial.

Let  $\hat{G}$  be the complex dual group of  $G$ , and let  $\hat{T}$  be a maximal torus of  $\hat{G}$ . Then  $G$  and  $\hat{G}$  have the same Weyl group  $W$ , which acts on the character group  $X^*(\hat{T})$ , and hence on the group algebra  $\mathbb{Z}[X^*(\hat{T})]$ . Then the *representation ring* of  $\hat{G}$  is the ring of formal sums of characters of representations of  $\hat{G}(\mathbb{C})$ :  $R(\hat{G}) = \mathbb{Z}[X^*(\hat{T})]^W$ . The Satake transform gives an isomorphism [12, Prop. 3.6]

$$\mathcal{H}(G(\mathbb{Q}_p), K_p) \otimes \mathbb{Z}[p^{1/2}, p^{-1/2}] \cong R(\hat{G}) \otimes \mathbb{Z}[p^{1/2}, p^{-1/2}].$$

So our character  $\theta_f$  induces a character on  $R(\hat{G}) \otimes \mathbb{Z}[p^{1/2}, p^{-1/2}]$ , and hence on  $R(\hat{G}) \otimes \mathbb{C}$ . Such characters are parametrized by the semi-simple conjugacy classes in  $\hat{G}(\mathbb{C})$  [12, §6]. In particular, if  $s$  is such a conjugacy class we define a character  $\omega_s$  of  $R(\hat{G}) \otimes \mathbb{C}$  which sends  $\chi = \sum a_\lambda \cdot \lambda$  to

$$\omega_s(\chi) = \sum a_\lambda \cdot \lambda(s_0)$$

where  $s_0$  is any element of  $s \cap \hat{T}$ . Since  $\chi$  is  $W$ -invariant, this sum is independent of our choice of  $s_0$ .

Thus we associate to  $\theta_f$ , and hence to  $f$ , a semi-simple conjugacy class  $s_p(f) \in \hat{G}(\mathbb{C})$ . We call this class the *Satake parameter* of  $f$ . To compute  $s_p(f)$  it suffices to know the eigenvalues  $\theta_f(T_i(p))$  of the generators of  $\mathcal{H}(G(\mathbb{Q}_p), K_p)$  on  $f$ , and the images of the  $T_i(p)$  under the Satake transform.

In [12] Gross works out the Satake transform in several cases. For  $G = G_2$ ,  $\hat{G}(\mathbb{C}) = G_2(\mathbb{C})$  and Gross finds

$$\begin{aligned} \text{Tr}(V_7) &= \frac{S(T_1(p)) + 1}{p^3} \\ \text{Tr}(V_{14}) &= \frac{S(T_1(p)) + S(T_2(p)) + (1 + p^4)}{p^5} \end{aligned}$$

where, for  $V$  a representations of  $\hat{G}(\mathbb{C})$ ,  $\text{Tr}(V) \in \hat{G}(\mathbb{C})$  is the formal sum of the weights that appear in  $V$ . A conjugacy class  $s$  in  $G_2(\mathbb{C})$  is determined by its traces on  $V_7$  and  $V_{14}$ . Since  $\wedge^2 V_7 \cong V_{14} \oplus V_7$  this information is encoded in the characteristic polynomial of  $s$  on  $V_7$ . Using also that  $\wedge^3 V_7 \oplus V_{14} \cong V_7 \otimes V_7$  and that  $G_2(\mathbb{C})$  acts orthogonally on  $V$ , we can work out the characteristic polynomial of  $s_p(f)$  on  $V_7$  given the Hecke eigenvalues  $\alpha_i = \theta_f(T_i(p))$  of  $f$  [12, cf. Eq. 6.10].

For  $G = \text{PGSp}_4$ ,  $\hat{G}(\mathbb{C}) = \text{Sp}_4(\mathbb{C})$  and Gross gets

$$\begin{aligned}\text{Tr}(V_4) &= \frac{S(T_1(p))}{p^{\frac{3}{2}}} \\ \text{Tr}(V_5) &= \frac{S(T_2(p)) + 1}{p^2}\end{aligned}$$

Once again, this information determines a conjugacy class  $s$  in  $\text{Sp}_4(\mathbb{C})$ , and is equivalent to the information contained in the characteristic polynomial of  $s$  on  $V_4$ .

#### 4.2.2. Archimedean Parameters

If  $G(\mathbb{R})$  is compact, an irreducible representation  $\pi$  of  $G(\mathbb{R})$  is classically parametrized by a dominant weight  $\chi \in X^*(T)$  where  $T$  is a maximal torus of  $G$  and  $X^*(T)$  is the character module over  $\mathbb{C}$ . Note that  $X^*(T) \cong X_*(\hat{T})$  where  $\hat{T}$  is the corresponding maximal torus of  $\hat{G}$ , so we may view  $\chi$  as an element of  $X_*(\hat{T})$ . Let  $\mu = \chi + \rho$ , where  $\rho$  is half the sum of the positive weights. Then, viewing  $\mu \in X_*(\hat{T}) \otimes \mathbb{R}$  we have a map  $\mathbb{C}^* \rightarrow \hat{T}(\mathbb{C}) \subset \hat{G}(\mathbb{C})$  given by  $z \mapsto z^\mu \bar{z}^{-\mu}$  (see [3, §9.1, §10.5] for details).

This map is the Archimedean Langlands parameter of  $\pi$ . If  $f$  is a modular form for  $G$  of weight  $W$  we denote the Langlands parameter of  $W$  by  $\phi_\infty(f)$ .

#### 4.3. LIFTINGS

If  $G$  and  $G'$  are reductive groups over  $\mathbb{Q}$  and  $\rho: \hat{G}(\mathbb{C}) \rightarrow \hat{G}'(\mathbb{C})$ , then we can use  $\rho$  to ‘lift’ Satake and Archimedean parameters from  $\hat{G}(\mathbb{C})$  to  $\hat{G}'(\mathbb{C})$ . If  $f$  is a Hecke eigenform for  $G$ , then we get a collection of Satake parameters  $\{\rho(s_p(f))\}$  and a Langlands parameter  $\rho \circ \phi_\infty(f)$  for  $G'$ . It is natural to ask if these (or at least all but finitely many of these) arise as the parameters of a Hecke eigenform  $f'$  for  $G'$ . If  $G'$  is quasi-split, then Langlands functoriality conjectures that the answer will be yes [1, pg. 12]. Such an  $f'$ , if it exists, is called a lift of  $f$ . If  $G'$  is not quasi-split, not all maps  $\rho$  are expected to yield lifts, and even when they do not all  $f$  are expected to lift. In this section we discuss some maps of dual groups, and analyze the corresponding lifts of modular forms.

First we look at lifts from  $\text{PGL}_2$  to  $G_2$ . Recall that the dual group of  $\text{PGL}_2$  is  $\text{SL}_2$ , while  $G_2$  is its own dual. There are 4 non-trivial conjugacy classes of unipotents in  $G_2$  [15, pg. 132] and so there are 4 conjugacy classes of non-trivial maps  $\text{SL}_2(\mathbb{C}) \rightarrow G_2(\mathbb{C})$ . The images of these are the long root, short root, principal and subregular  $\text{SL}_2$ ’s. Of these all but the principal lie in a proper parabolic. This implies (c.f. [4, §8.2]) that of these maps, only the principal homomorphism provides a lift from modular forms on  $\text{PGL}_2$  to modular forms on the anisotropic form of  $G_2$ . (In particular, under the other maps the real components of the corresponding automorphic representations do not transfer to representations on the compact form of  $G_2(\mathbb{R})$ .) However, the long and short root embeddings can be chosen to have

commuting images and so piece together to give a map from  $SL_2(\mathbb{C}) \times SL_2(\mathbb{C})$  to  $G_2(\mathbb{C})$ . The connected centralizer of the subregular  $SL_2$  is unipotent so we can not make a similar construction.

We first discuss the map  $\rho: SL_2(\mathbb{C}) \times SL_2(\mathbb{C}) \rightarrow G_2(\mathbb{C})$  given by the short root embedding in the first coordinate, and the long root in the second. Suppose that  $\phi_p$  and  $\psi_p$  are Satake parameters for  $PGL_2(\mathbb{Q}_p)$  (i.e. conjugacy classes in  $SL_2(\mathbb{C})$ ) given by Satake parameters with characteristic polynomials  $x^2 - a_p x + 1$  and  $x^2 - b_p x + 1$ . Then  $(\phi_p, \psi_p)$  lifts to the Satake parameter for  $G_2$  whose characteristic polynomial in the 7-dimensional representation is

$$(x - 1)(x^2 - (a_p^2 - 2)x + 1)(x^4 - a_p b_p x^3 + (a_p^2 + b_p^2 - 2)x^2 - a_p b_p x + 1).$$

From this we can obtain the traces of the Satake parameter on the 7 and 14-dimensional representations and then use the Satake isomorphism to compute the eigenvalues  $\lambda_1$  and  $\lambda_2$  of the Hecke operators  $T_1(p)$  and  $T_2(p)$  on the corresponding representation of the Hecke algebra. We find

$$\begin{aligned} \lambda_1 &= p^3(a_p b_p + a_p^2 - 1) - 1, \\ \lambda_2 &= p^5(a_p^3 b_p + a_p^2 - 2a_p b_p + b^2 - 2) - p^3(a_p b_p + a_p^2 - 1) - p^4. \end{aligned}$$

We now look at the Archimedean parameters. Suppose  $\phi$  and  $\psi$  are the Archimedean Langlands parameters of the real components of automorphic forms corresponding to classical eigenforms of weights  $k$  and  $j$ . If  $k = j$  or  $3k - 2 = j$  then  $(\phi, \psi)$  does not lift to an admissible parameter for the compact form of  $G_2$ . Otherwise it does and the lifted parameter corresponds to the representation of highest weight in the Weyl orbit of:

$$\begin{cases} \frac{j-2}{2}\omega_1 + \frac{k-4}{2}\omega_2, & \text{if } j < k, \\ (j-5)\omega_1 + \frac{k-j+2}{2}\omega_2, & \text{if } k < j < 3k-2, \\ (j-6)\omega_1 + \frac{k-j+4}{2}\omega_2, & \text{if } 3k-2 < j. \end{cases}$$

We will consider lifting systems of parameters  $\phi$  and  $\psi$  that arise from classical eigenforms or from the trivial representation of an anisotropic form  $H$  of  $PGL_2$ . In the former case, if  $f$  is a normalized eigenform of weight  $k$  with Fourier expansion  $\sum a_n q^n$  then  $s_p(f)$  has characteristic polynomial  $x^2 - p^{\frac{k-1}{2}} a_p + 1$ . In the later case, at primes  $p$  where the group  $H$  is split the Satake parameter has characteristic polynomial  $x^2 - (p + 1)x + 1$  while the representation at the real place has the same parameter as a weight two cusp form.

For example, there is a unique cusp form  $f$  on  $PGL_2$  of weight 4 and level  $\Gamma_0(5)$ , given by

$$f = q(\eta(z)\eta(5z))^4 = q - 4q^2 + 2q^3 + 8q^4 - 5q^5 - 8q^6 + 6q^7 + \dots$$

There is also a unique cusp form  $g$  on  $\mathrm{PGL}_2$  of weight 6 and level  $\Gamma_0(5)$ , given by

$$g = q + 2q^2 - 4q^3 - 28q^4 + 25q^5 - 8q^6 + 192q^7 + \dots$$

We let  $\phi_f$  and  $\phi_g$  be the corresponding parameters, and let  $\phi_\tau$  be the parameter of the trivial representation of an anisotropic form of  $\mathrm{PGL}_2$  (say the one ramified at 5 and  $\infty$ ).

Lifting  $(\phi_f, \phi_\tau)$  to  $G_2$  we expect to find a form of trivial weight for which the short and long Hecke operators at  $p = 2$  have eigenvalues  $-17$  and  $144$ , while the Hecke operators at  $p = 3$  have eigenvalues  $0$  and  $364$ . Indeed, we have seen there is such a form of level  $K^5$ . If we lift  $(\phi_\tau, \phi_g)$  we are then looking for a form on  $G_2$  of trivial weight with Hecke eigenvalues  $33$  and  $94$  for  $p = 2$  and  $100$  and  $164$  for  $p = 3$ . Once again, we have seen such a form of level  $K^5$ . Finally if we lift  $(\phi_f, \phi_g)$  we are looking for a form with weight the 7-dimensional representation with Hecke eigenvalues  $3$  and  $-16$  for  $p = 2$  and  $-80/3$  and  $-4004/9$  for  $p = 3$ . There is in fact such a form, of level  $K^5$  (see Table V).

Now consider the map  $\mathrm{SL}_2(\mathbb{C}) \rightarrow G_2(\mathbb{C})$  corresponding to the principal unipotent. Again let  $\phi_p$  be a Satake parameter for  $\mathrm{PGL}_2(\mathbb{Q}_p)$  having characteristic polynomial  $x^2 - a_p x + 1$ . Then  $\phi_p$  lifts to the Satake parameter for  $G_2(\mathbb{Q}_p)$  corresponding to the character of Hecke algebra for which the  $T_i(p)$  have eigenvalues

$$\begin{aligned}\lambda_1 &= p^3(a^6 - 5a^4 + 6a^2 - 1), \\ \lambda_2 &= p^5(a^{10} - 9a^8 + 18a^6 - 35a^4 + 16a^2 - 2) - p^3(a^6 - 5a^4 + 6a^2 - 1) - p^4.\end{aligned}$$

The Archimedean Langlands parameter of the real component of an automorphic representation corresponding to a classical eigenform of weight  $k$  now lifts to the parameter of the representation of  $G_2(\mathbb{R})$  with highest weight  $(k-2)\rho$ , where  $\rho$  as usual is half the sum of the positive roots.

For example, if  $f$  is the unique cusp form of weight 2 and level  $\Gamma_0(11)$  given by

$$f = q(\eta(z)\eta(11z))^2 = q - 2q^2 - q^3 + 2q^4 + q^5 + 2q^6 - 2q^7 + \dots$$

then we are looking for a form on  $G_2$  with weight  $\mathbb{C}$  and Hecke eigenvalues  $-9$  and  $56$  for  $p = 2$ . Indeed, we do find such a form.

We now look at lifts to  $\mathrm{PGSp}_4$ . Here there are three conjugacy classes of unipotents, corresponding to the long root, short root, and principal  $\mathrm{SL}_2$ 's. Once again we do not get lifts from the root  $\mathrm{SL}_2$ 's directly, but we can choose two commuting long root  $\mathrm{SL}_2$ 's and get a map  $\mathrm{SL}_2(\mathbb{C}) \times \mathrm{SL}_2(\mathbb{C}) \rightarrow \mathrm{Sp}_4(\mathbb{C})$ . The reductive part of the connected centralizer of the short root  $\mathrm{SL}_2$  is a torus, and so does not enable us to construct a map of dual groups whose image does not lie in the a proper parabolic.

First consider the map  $\mathrm{SL}_2(\mathbb{C}) \times \mathrm{SL}_2(\mathbb{C}) \rightarrow \mathrm{Sp}_4(\mathbb{C})$  arising from two commuting long root  $\mathrm{SL}_2$ 's. Again let  $\phi_p$  and  $\psi_p$  be Satake parameters for  $\mathrm{PGL}_2(\mathbb{Q}_p)$  with characteristic polynomial  $x^2 - a_p x + 1$  and  $x^2 - b_p x + 1$ . Then  $(\phi_p, \psi_p)$  lifts to the Satake parameter whose characteristic polynomial in the 4-dimensional

representation is

$$x^4 - (a_p + b_p)x^3 + (a_p b_p + 2)x^2 - (a_p + b_p)x + 1.$$

We then find that the eigenvalues  $\lambda_1$  and  $\lambda_2$  of  $T_1(p)$  and  $T_2(p)$  on the corresponding representation of the Hecke algebra are

$$\lambda_1 = p^{\frac{3}{2}}(a_p + b_p), \quad \lambda_2 = p^2(a_p b_p + 1) - 1.$$

If  $\phi$  and  $\psi$  are the Langlands parameters for the real component of an automorphic representation corresponding to classical cusp forms of weights  $k$  and  $j$  then  $(\phi, \psi)$  lifts to a parameter of a representation of compact  $\mathrm{PGSp}_4(\mathbb{R})$  if and only if  $k \neq j$ . If so, we may assume without loss of generality that  $k > j$ . In that case the lifted parameter corresponds to the representation of highest weight  $((k - j - 2)/2)\omega_2 + (j - 2)\omega_1$ , where  $\omega_1$  and  $\omega_2$  are the long and short fundamental weights.

Now we consider lifts via the principal homomorphism,  $\mathrm{SL}_2(\mathbb{C}) \rightarrow \mathrm{Sp}_4(\mathbb{C})$ . Again let  $\phi_p$  be the Satake parameter for  $\mathrm{PGL}_2(\mathbb{Q}_p)$  having characteristic polynomial  $x^2 - a_p x + 1$ . Then we find that  $\phi_p$  lifts to the parameter whose characteristic polynomial in the 4-dimensional representation is

$$x^4 - (a_p^3 - 2a_p)x^3 + (a_p^4 - 3a_p^2 + 1)x^2 - (a_p^3 - 2a_p)x + 1.$$

We find that the corresponding Hecke eigenvalues are

$$\lambda_1 = p^{\frac{3}{2}}(a_p^3 - 2a_p), \quad \lambda_2 = p^2(a_p^4 - 3a_p^2 + 1) - 1$$

The Langlands parameter corresponding to a classical cusp form of weight  $k$  now lifts to the parameter of the representation of  $\mathrm{PGSp}_4$  of highest weight  $(k - 2)\rho$  where  $\rho$  is again half the sum of the positive roots. We should point out that the principal homomorphism here is the symmetric cube map  $\mathrm{SL}_2 \rightarrow \mathrm{Sp}_4$ .

### 5. Data

In this chapter we present some of the data from our calculations. More data, including the matrices giving the action of the Hecke operators, can be found at the second author’s web page at [www.math.ohio-state.edu/~pollack](http://www.math.ohio-state.edu/~pollack).

In Tables I–III we tabulate the dimensions of the various spaces of modular forms we have calculated. The entry in the row corresponding to  $S$  and the column corresponding to  $W$  is

$$\dim(M(W, K^S)), \dim(M(W, K^S)^{S_I}).$$

Recall that  $K^S = \prod_{p \notin S} K_p \prod_{p \in S} I_p$  with each  $K_p$  hyperspecial and each  $I_p$  an Iwahori subgroup.

The next three tables (Tables IV–VI) give the decompositions of  $M(W, K^S)$  into irreducible representations of  $\mathcal{H}(G(\hat{\mathbb{Q}}), K^S)$  for certain  $W$  and  $S$ . We only include

Table I. The dimensions of  $M(W, K^S)$  and  $M(W, K^S)^{St}$  for  $G_2$ 

	$W_1$	$W_7$	$W_{14}$	$W_{27}$	$W_{64}$
{2}	1, 0	0, 0	0, 0	2, 0	1, 1
{3}	3, 0	0, 0	1, 0	7, 2	
{5}	7, 1	13, 7	26, 11	63, 31	
{7}	29, 13	82, 54	194, 120		
{11}	187, 134				
{13}	523, 385				
{2, 3}	43, 1				
{2, 7}	2532, 252				
{3, 5}	2956				

Table II. The dimensions of  $M(W, K^S)$  and  $M(W, K^S)^{St}$  for  $\mathrm{PGSp}_4^{H_2}$ 

	$W_1$	$W_5$
{2}	1, 0	0, 0
{2, 3}	3, 0	3, 1
{2, 5}	11, 2	
{2, 7}	28, 5	
{2, 11}	99, 34	

Table III. The dimensions of  $M(W, K^S)$  and  $M(W, K^S)^{St}$  for  $\mathrm{PGSp}_4^{H_5}$ 

	$W_1$
{2, 5}	13, 2
{3, 5}	36, 9

those cases where  $M(W, K^S)$  has relatively low dimension, but in each case we include we do give the complete decomposition into irreducibles. We now give a guide to reading these tables.

Each row in the table corresponds to an irreducible Hecke-submodule  $N$  of a space of forms  $M(W, K^S)$ . The first two columns specify the level  $K^S$  and the weight  $W$ .

Let  $\mathcal{H}_I = \otimes_{p \in S} \mathcal{H}_{I_p}$  be the tensor product of the Iwahori–Hecke algebras and  $\mathcal{H}_{\mathrm{HS}} = \tilde{\otimes}_{p \notin S} \mathcal{H}_{K_p}$  be the restricted tensor product of the spherical Hecke algebras. Then  $N$  is a module for  $\mathcal{H}_I \otimes \mathcal{H}_{\mathrm{HS}}$  and as such a tensor product  $N_I \otimes N_{\mathrm{HS}}$  of two irreducible representations. Note that  $N_I$  is absolutely irreducible, while  $N_{\mathrm{HS}}$  decomposes over  $\overline{\mathbb{Q}}$  as a sum of characters.

The third column (labeled  $H_I$ ) in the table gives the dimension of  $N_I$ . If  $N_I$  is a special representation of  $\mathcal{H}_{I_p}$  for each  $p \in S$  then the third column contains the entry ‘ $St$ ’ rather than 1.

The next columns in the table regard the spherical Hecke operators. If  $N_{\mathrm{HS}}$  is one-dimensional then the column labeled  $T_i(p)$  contains the (unique) eigenvalue of  $T_i(p)$  on  $N_{\mathrm{HS}}$ . Otherwise, some of the  $T_i(p)$  have eigenvalues that aren’t rational



Table IV. Irreducible Hecke submodules for  $\text{PGSp}_4^{H_5}$

Level	Weight	$\mathcal{H}_1$	$T_1(3)$	$T_2(3)$	$T_1(7)$	$T_2(7)$	Lifted?
$K^{(2,5)}$	$\mathbb{Q}$	1	40	120	400	2800	**
		2	4	-24	52	16	$(S_4(\Gamma_0(10)), \tau)$
		4	-4	-8	-4	-96	
		4	14	16	62	96	$(S_4(\Gamma_0(5)), \tau)$
		St	1	3	10	-86	
		St	7	3	-26	58	

Table V. Irreducible Hecke submodules for  $G_2$

Level	Weight	$\mathcal{H}_1$	$T_1(2)$	$T_2(2)$	$T_1(3)$	$T_2(3)$	$T_1(5)$	Lifted?	
$K^2$	$\mathbb{Q}$	1	.	.	1092	88452	19530	**	
		$W_{27}$	2	.	.	$\frac{140}{3}$	$\frac{-9884}{9}$	$\frac{4914}{3}$	$(\tau, 10)$
		$W_{64}$	St	.	.	-28	$\frac{1988}{9}$	$\frac{1638}{25}$	
$K^3$	$\mathbb{Q}$	1	126	2016	.	.	19530	**	
		2	9	-90	.	.	810	$(\tau, 6)$	
		$W_{14}$	1	-18	180	.	.	$-\frac{2214}{25}$	$(6, \tau)$
		$W_{27}$	2	$\frac{81}{2}$	$\frac{711}{4}$	.	.	.	$(\tau, 10)$
		3	0	-126	.	.	.	$(\tau, 10)$	
$K^5$	$\mathbb{Q}$	St	$N_{\text{HS}}$ is 2-dimensional						
		1	126	2016	1092	88452	.	**	
		St	-3	-38	28	-196	.		
		2	33	94	100	164	.	$(\tau, 6)$	
		3	-17	144	0	364	.	$(4, \tau)$	
		$W_7$	2	$-\frac{19}{2}$	$\frac{111}{4}$	-10	$-\frac{143}{3}$	.	
			3	3	-16	$-\frac{80}{3}$	$-\frac{4004}{9}$	.	$(4, 6)$
		1	6	-104	52	-988	.	$(\tau, 8)$	
		St	$N_{\text{HS}}$ is 7-dimensional						
		$W_{14}$	1	-2	24	$-\frac{380}{9}$	$\frac{28748}{27}$	.	$(6, \tau)$
3	$-\frac{33}{4}$		$-\frac{133}{8}$	$\frac{65}{3}$	$-\frac{1850}{9}$	.			
3	21		11	$-\frac{104}{3}$	$-\frac{572}{9}$	.	$(4, 8)$		
4	$N_{\text{HS}}$ is 2-dimensional			(4, 8)					
St	$N_{\text{HS}}$ is 2-dimensional								
St	$N_{\text{HS}}$ is 9-dimensional								
$K-7$	$\mathbb{Q}$	1	126	2016	1092	88452	19530	**	
		3	-14	126	-48	1212	610	$(4, \tau)$	
		3	-3	-134	60	-816	438	$(\tau, 6)$	
		6	-3	-6	-4	-240	-138		
		2	$N_{\text{HS}}$ is 2-dimensional					$(\tau, 6)$	
		St	$N_{\text{HS}}$ is 2-dimensional						
		St	$N_{\text{HS}}$ is 10-dimensional						
$K^{11}$	$\mathbb{Q}$	The full space of forms here is 187-dimensional. We will only comment here that there is a form in the Steinberg space here that seems to be lifted from $S_2(\Gamma_0(11))$ via the principal $\text{SL}_2$ . We have only checked that the Satake parameter at 2 is what the lift predicts.							

Table VI. Irreducible Hecke submodules for  $\text{PGSp}_4^{H_2}$

Level	Weight	$\mathcal{H}_1$	$T_1(3)$	$T_2(3)$	$T_1(5)$	$T_2(5)$	$T_1(7)$	$T_2(7)$	$T_1(11)$	$T_2(11)$	Lifted?		
$K^{(2)}$	$\mathbb{Q}$	1	40	120	156	780	400	2800	1464	16104	**		
$K^{(2,3)}$	$\mathbb{Q}$	1	.	.	156	780	400	2800	1464	16104	**		
		2	.	.	36	60	40	-80	144	264	$(S_4(\Gamma_0(6)), \tau)$		
		$W_5$	St	.	.	$-\frac{84}{5}$	$\frac{156}{5}$	$-\frac{80}{7}$	-80	24	$-\frac{1416}{11}$		
$K^{(2,5)}$	$\mathbb{Q}$	2	.	.	$\frac{156}{5}$	$\frac{156}{5}$	$\frac{352}{7}$	$\frac{16}{7}$	$\frac{888}{11}$	$-\frac{5448}{11}$	$(S_6(\Gamma_0(3)), \tau)$		
		1	40	120	.	.	400	2800	1464	16104	**		
		2	4	-24	.	.	52	16	144	264	$(S_4(\Gamma_0(10)), \tau)$		
$K^{(2,5)}$	$\mathbb{Q}$	2	-4	-8	.	.	-4	-96	-16	-120			
		4	14	16	.	.	62	96	164	504	$(S_4(\Gamma_0(5)), \tau)$		
		St	1	3	.	.	10	-86	-18	-42			
		St	7	3	.	.	-26	58	6	-186			
		1	40	120	156	780	.	.	1464	16104	**		
		2	0	-20	-4	-40	.	.	-16	-136			
		2	20	40	16	-60	.	.	104	-216	$(S_4(\Gamma_0(14)), \tau)$		
		2	10	0	18	-48	.	.	180	696	$(S_4(\Gamma_0(14)), \tau)$		
		4	10	0	46	120	.	.	124	24	$(S_4(\Gamma_0(7)), \tau)$		
		4	-8	12	16	24	.	.	-8	120			
$K^{(2,7)}$	$\mathbb{Q}$	4	-8	12	-12	24	.	.	48	120			
		St	0	4	-16	8	.	.	-64	104			
		St	0	0	16	20	.	.	24	-136			
		St	-8	6	-6	-12	.	.	-30	84			
		St	4	-12	0	24	.	.	0	120	$S_2(\Gamma_0(14)), \text{ princ.}$		
		St	0	-26	-10	20	.	.	50	20			
		2	$N_{\text{HS}}$ is 2-dimensional										

and we simply record the dimension of  $N_{\text{HS}}$ . In some cases the characteristic polynomials of the  $T_i(p)$  on  $N_{\text{HS}}$  can be found on the second author’s web page.

Finally, the last column regards apparent lifting of forms. Note that in no cases have we *proven* that a form is lifted from a smaller group. Rather we have located forms that *appear* to be lifts, in that the Satake parameters we have computed agree with ones predicted by a lift. In the lifting column of the  $\text{PGSp}_4$  tables there are three types of entries. An ordered pair  $(S_k(\Gamma_0(N)), \tau)$  indicates that the forms in the corresponding irreducible Hecke submodule appear to be lifted, via the  $\text{SL}_2 \times \text{SL}_2$  embedding discussed in Section 4.3, from a classical cusp form of weight  $k$  and level  $N$  together with the parameter,  $\tau$ , of the trivial representation of a compact form of  $\text{PGL}_2$ . Next, we mark the forms corresponding to the trivial automorphic representation with ‘\*\*’. These forms are lifts in two ways. Namely they arise from the pair  $(E_4, \tau)$  via the  $\text{SL}_2 \times \text{SL}_2$  lift and from  $\tau$  via the principal lift, where  $E_4$  is the classical Eisenstein series of weight 4. Finally one entry indicates that the forms are lifted via the principal  $\text{SL}_2$ .

In the  $G_2$  tables (Table V) we use similar notation. Recall that the  $\text{SL}_2 \times \text{SL}_2$  embedding has the short root in the first coordinate and the long root in the second. We record only the weight of the cusp forms being lifted; in each case the level

is the prime appearing in the level of the forms on  $G_2$ . Again we mark the forms corresponding to the trivial representation with ‘\*\*’. Here these forms are lifts in three ways: from  $(E_4, \tau)$  and  $(\tau, E_6)$  under the  $SL_2 \times SL_2$  lift and from  $\tau$  under the principal lift.

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