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1 **Management Approaches to Intraluminal Thrombi in Acutely Symptomatic Carotid**
2 **Stenosis**

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28 **Abstract**

29

30 Background: The presence of an intraluminal thrombus in acutely symptomatic carotid stenosis
31 is thought to represent a high-risk lesion for short term stroke reoccurrence though the evidence
32 on natural history and treatment is lacking; leading to much equipoise and variation in practice.
33 The objective of this study was to map these variations in practice (medical management and
34 timing of revascularization), determine the considerations that influence clinician decision
35 making in this condition and gather opinions that inform the development and design of future
36 trials in the area.

37

38 Methods: This was a mixed methods study using both quantitative survey methods and
39 qualitative interview-based methods. International perspectives were gathered by distributing a
40 case-based survey via the Practice Current section of Neurology: Clinical Practice and
41 interviewing international experts using established qualitative research methods.

42

43 Results: The presence of an intraluminal thrombus significantly increased the likelihood of using
44 a regimen containing anticoagulation agents ($p < 0.001$) in acutely symptomatic carotid stenosis in
45 the case-based survey. Themes that emerged from qualitative interview analysis were:
46 therapeutic uncertainty regarding anticoagulation, decision to reimage, revascularization choices,
47 and future trial design and anticipated challenges.

48

49 Conclusion: Results of this study demonstrate preference for anticoagulation and delayed
50 revascularization after reimaging to examine for clot resolution, though much equipoise remains.
51 While there is interest from international experts in future trials, further study is needed to
52 understand the natural history of this condition in order to inform trial design.

53 **Highlights**

54

55 • Intraluminal thrombus in the setting of acutely symptomatic carotid artery stenosis
56 increases physician enthusiasm for anticoagulation.

57 • There remains equipoise in the management of intraluminal thrombus in acutely
58 symptomatic carotid artery stenosis.

59 • Further work is needed to determine the natural history of carotid intraluminal thrombus
60 before conducting trials in the area

61

62 **Introduction**

63

64 Acutely symptomatic carotid stenosis, often referred to as a ‘hot carotid’ (1,2), describes the
65 situation where a patient presents within hours to days of a new stroke or TIA related to carotid
66 artery stenosis ($\geq 50\%$ stenosis). (1,2) This etiology of stroke represents a high risk of recurrent
67 events (3–7) and is a condition with much equipoise in terms of management. (1,2) The hot
68 carotid is further complicated by an intraluminal thrombus (ILT) in as many as 3.1% of cases,
69 the majority of which are due to atherosclerotic plaque rupture (8–11). Carotid ILT, also referred
70 to as a carotid free-floating thrombus (9,12) is generally defined as an thrombus arising from the
71 carotid arterial wall with circumferential blood flow at its distal aspect. (8,9,12)

72

73 The presence of an ILT in the hot carotid is thought to increase the risk of short-term recurrent
74 ischemia while on medical therapy (9,10,12) though there is an absence of high quality evidence
75 to support this claim. In addition to concern regarding medical therapy of ILT in the hot carotid,
76 observational studies and post-hoc analysis from the NASCET trial have suggested that the
77 presence of an ILT increases the risk of periprocedural stroke and mortality with carotid
78 revascularization. (13,14) These studies however are outdated, not reflective of current
79 procedural techniques, were done before the widespread use of dual antiplatelets in stroke and
80 did not consistently report pre-operative anticoagulation in the presence of ILT. (15) We suspect
81 that significant equipoise exists regarding the management of ILT in the hot carotid. In this study
82 we sought to better understand how physicians navigate this uncertainty, specifically as it relates

83 to anti-thrombotic management and the timing of carotid revascularization as well as exploring
84 considerations regarding future study in the area.

85
86 This objective of this study was to use mixed methods to: [1] map the varying practice patterns
87 of international experts in carotid ILT management, [2] explore the experiences and practical
88 considerations that inform their management and uncertainties encountered in the process, and
89 [3] understand clinician perspectives regarding future trials in patients with carotid ILT. The
90 results of this study will encourage critical reflection of individual and institutional practice
91 patterns as well as informing the development and design of future trials on carotid ILT.

92 93 **Methods**

94
95 This was a mixed-methods study of physician approaches to the management of the hot carotid
96 using survey and interview-based methods. The quantitative data included here are from a
97 worldwide (English language) case-based survey of physicians conducted through the “Practice
98 Current” section of *Neurology: Clinical Practice* and the methodology has been previously
99 reported. (16) This survey was part of a larger study of acutely symptomatic carotid stenosis (hot
100 carotid) and descriptive results have been previously published. (16)

101
102 The questions in the survey were based on a representative case (Included in Supplement 1) and
103 were oriented around medical management and revascularization decisions in acutely
104 symptomatic carotid stenosis with and without an associated ILT. The survey was open between
105 September 6, 2018 and November 10, 2019. Demographic questions in the survey included years
106 in practice and practice location (country). Additionally, the preferred method of carotid
107 revascularization in hot carotid cases (endarterectomy or stenting) was asked though not
108 specifically in the context of ILT.

109
110 IBM SPSS Statistics (Version 26) was used to analyze the data. Univariable analysis of the use
111 of anticoagulation, dual antiplatelet therapy (DAPT) or single antiplatelet therapy (SAPT)
112 between the ILT and non-ILT cases were done via a Fisher Exact Test and the cutoff for
113 significance was $p < 0.05$. Multivariable logistic regressions were also completed to adjust for

114 confounding factors (region of practice, years in practice and preferred revascularization
115 procedure [Carotid Endarterectomy or Carotid stenting]). Preferred revascularization technique
116 was controlled for as it is possible that procedural nuances and differences in timing between
117 techniques may influence the selection of antithrombotic regimens; however, as a sensitivity
118 analysis, we also examined the regression results when not controlling for this variable. Results
119 were expressed as adjusted odds ratios and 95% confidence intervals were determined.

120
121 The interview-based component of the study used a qualitative descriptive methodology (17) to
122 explore the decision-making approaches, opinions and attitudes of physicians regarding the
123 management of patients with acutely symptomatic carotid stenosis. The methods of this study as
124 well as the results of these interviews regarding general imaging, medical management, and
125 revascularization in acutely symptomatic carotid stenosis without ILT have been reported
126 elsewhere. (1,18) The interview and qualitative methodology are outlined in brief below and
127 further details can be found in previous publications related to this study. (1,18) Interviews were
128 conducted entirely in English and took place between May 2018-June 2021.

129 Participants were recruited using a snowball sampling strategy with purposive sampling. (19–21)
130 Participants were sought to ensure sampling of different specialties (neurology and/or internal
131 medicine/geriatrics [for the United Kingdom alone where internists/geriatricians frequently lead
132 stroke teams], neurovascular surgery, interventional neuroradiology) and geographic region
133 (United States of America [USA]/Canada, Latin America or Caribbean, Europe, Africa, Asia and
134 Oceania)

135 Interviews were conducted until saturation of themes was reached. (17,22–25) and snowball
136 sampling was used to recruit interview participants. (21) Semi-structured interviews were
137 conducted by neurology residents/fellows with an interest in stroke neurology. Interviewers
138 (A.G, G.J and R.J.S) were trained in qualitative interviewing by D.J.T.C (MD/PhD with
139 extensive qualitative methodology experience) and a topic specific interview guide was used to
140 ensure consistency of interview style and structure. Interview guides were developed based on
141 principles of “grounded theory” (26,27) and were intended to encourage interviewees to think
142 about their approaches, the challenges they experience and factors they consider in decision

143 making when caring for a patient with a hot carotid. The guide was pilot tested before use in the
144 study (included in Supplement 1).

145 Interviews were digitally recorded and transcribed verbatim by research assistants. Transcripts
146 were imported into NVivo 12 Plus Qualitative Data Analysis software to facilitate analysis and
147 thematic coding by two reviewers (A.G and B.B). Opinions relating to ILT in the hot carotid
148 were identified and categorized based on conventional qualitative analysis methods. (28) All
149 interviews were coded by two reviewers and the team met to review coding and coding strategy
150 and sought to achieve consensus in coding. To synthesize themes from a large number of codes
151 authors A.G and B.B employed the concept of ‘Grounded Theory’ and conventional qualitative
152 content analysis, both of which are recognized methods in qualitative research used to construct
153 theory from systematically gathered qualitative data. (27–29)

154 The results of the qualitative portion of this study are reported in accordance with the
155 consolidated criteria for reporting qualitative research (COREQ) checklist (Supplement 2) (30)

156 **Results**

157

158 **Quantitative Data**

159

160 Responses from 668 unique participants were recorded over the course of the survey, of which
161 561 (84.0%) completed the survey in full, though completion of all survey questions was not
162 required. Demographic characteristics of the survey have been previously published (1) and are
163 included in Supplement 3 (Table 1).

164

165 In the case presented in the survey of a hot carotid without ILT, 311/621 (50.1%) participants
166 indicated they would use single antiplatelet therapy (SAPT), 238/621 (38.3%) would use DAPT
167 and only 72/621 (11.6%) would use anticoagulation (Table 1). In the context of ILT 399/611
168 (66.0%) would use anticoagulation and only 97/612 (15.0%) and 120/612 (19.1%) would use
169 DAPT or SAPT, respectively (Table 1). The presence of ILT significantly reduced the likelihood
170 of participants using SAPT or DAPT for their patient ($p < 0.001$) and significantly increased the
171 likelihood of using a regimen containing anticoagulation agents ($p < 0.001$) (Table 1).

172 The most common antithrombotic regimen selected by respondents in the case of ILT was
173 heparin monotherapy (27.8%) followed by low-molecular weight heparin monotherapy (16.1%)
174 (Figure 1, Table 2). To control for confounding factors a multivariable logistic regression was
175 performed examining factors associated with preference for anticoagulation or SAPT in the case
176 of ILT. When controlling for years in practice and preferred method of revascularization we
177 found that respondents practicing in Europe (aOR 0.44 [95% CI 0.27-0.71]) or Central/South
178 America (aOR 0.34 [95% CI 0.19-0.60]) were less likely to choose a regimen containing
179 anticoagulation for a patient with ILT (Table 3). In the multivariable regression, we also found
180 that respondents from Europe (aOR 3.04 [95% CI 1.68-5.50]) or Central/South America (aOR
181 2.44 [95% CI 1.22-4.88]) were more likely to use SAPT in the context of hot carotid with ILT
182 (Table 4). Results were similar on sensitivity analyses that did not adjust for preferred
183 revascularization technique.

184

185 **Qualitative Data**

186

187 We interviewed 22 physicians between May 2018 and June 2021 (24 approached, 2 refused due
188 to other commitments). The demographic characteristics have been previously reported and are
189 included in Supplement 3 (Table 2). Interviews lasted 30-60 minutes. Relevant quotes from the
190 interviews are organized thematically and included in Table 5. A coding matrix of interview
191 codes used to develop the themes below is included in Supplement 3 (Figure 3).

192

193 ***Therapeutic Uncertainty Regarding Anticoagulation***

194

195 The debate about using DAPT versus anticoagulation in the acute management of ILT in the hot
196 carotid emerged as theme in this study, with no clear consensus or preference amongst
197 participants Supplement 3 (Figure 1). The decision to favor the use of DAPT or anticoagulation
198 did not display any regional or specialty variation. In terms of decision making regarding the use
199 of DAPT or anticoagulation a theme emerged regarding the size of the stroke as being a factor
200 that may dissuade participants from anticoagulating patients. Here participants were weighing
201 the risks of recurrent stroke against the risk of hemorrhagic transformation when choosing an
202 optimal antithrombotic therapy. Participants expressed uncertainty regarding the appropriate

203 management choice in this setting Supplement 3 (Figure 2), noting the absence of high-quality
204 natural history data for this condition with current strategies.

205

206 *“Some people anticoagulate these patients. I still tend to give them dual antiplatelets.”*
207 *(Europe, Neurologist 1)”*

208

209 *“I might be inclined to give heparin depending on the size of the stroke. If it is a large*
210 *stroke with risk of hemorrhage I would avoid heparin but I would tend to give heparin*
211 *and aspirin, even both, if the stroke is smaller or a TIA.” (North America, Neurologist 4)*

212

213 ***Decision to Reimage***

214

215 There was a preference for re-imaging patients in 3-7 days after initiating treatment to look for
216 complete or partial clot resolution in patients being considered for revascularization (i.e.
217 suspected stenosis greater than $\geq 50\%$). In patients with mild to moderate stenosis, who were not
218 being considered for revascularization, participants favored a longer interval of follow-up
219 imaging, up to 6 weeks after initiating therapy.

220

221 *“Our approach in these cases has been to put them on a heparin infusion and then re-*
222 *image them in 3 days or so to see if the clot has resolved. If there is an associated*
223 *stenosis, then I won’t stent that until I’ve seen some resolution of the clot. The rationale*
224 *being that otherwise I might send a piece of the clot flying off during the procedure, if it’s*
225 *unstable.” (North America, Neuroradiologist 2)*

226

227 Importantly, the rationale for reimaging was not just to ensure resolution of the clot but also to
228 clarify the true extent of the underlying plaque and its associated degree of stenosis. Participants
229 noted that it can be challenging in the initial imaging to adequately distinguish the boundaries
230 between ILT and the underlying plaque; as such, as the clot resolves in follow-up imaging, it
231 may become evident that the plaque is actually resulting in minimal stenosis – which, for several
232 participants, would dampen their enthusiasm for revascularization.

233

234 “Sometimes cross sectional imaging would over estimate [the degree of stenosis in the
235 case of ILT] and on [repeat imaging] you might not see the same [degree of stenosis]”
236 *(North America, Neuroradiologist 1)*

237

238

239 ***Revascularization Choices***

240

241 In general, participants favored not doing hyperacute revascularization and waiting for clot
242 resolution or partial resolution with medical therapy before proceeding with revascularization if
243 indicated. This was driven by concern of high risk for perioperative distal embolization events.

244

245 *If there is a mobile thrombus [then] no surgery immediately. Vascular surgery and*
246 *interventional radiology think risk is too high (Asia Neurologist 1)*

247

248

249 In cases where revascularization procedures were performed in the context of ILT, there
250 appeared to be a preference for CEA. The rationale expressed for this preference was a perceived
251 high risk of clot embolization when passing a filter/catheter by the ILT, which is required in
252 CAS, and therefore there was a desire to avoid this by performing CEA instead.

253

254 *If there is a mobile thrombus in artery, we think there is a very high risk of embolization*
255 *and the risk is higher if we perform a endovascular treatment because you have to pass*
256 *through the artery with a filter in all the procedures. There is a risk of embolization that*
257 *we believe is lower if the patients get endarterectomy” (Europe, Neurologist 2)*

258

259 However, some favoured stenting over CEA in the setting of ILT. The argument here was that
260 stenting offered a better means of visualizing residual clot using contrast injections while the
261 procedure was in progress.

262

263 *“Certainly my recommendation in such cases would be to avoid endarterectomy because*
264 *with that surgery you won’t be able to directly visualize the clot and you have no idea*

265 *whether you've sent it off as an embolus while you're working on it. On the other hand*
266 *with stenting, you can keep your eye on any residual clot while you're working."* (North
267 *America, Neuroradiologist 2)*

268

269 ***Future Trial Design and Anticipated Challenges***

270

271 There was a clear interest in further high quality studies (i.e RCTs) on the management of ILT in
272 the hot carotid. Participants identified DAPT as minimum acceptable therapy and indicated they
273 would be happy to randomize patients to DAPT versus anticoagulation regimens. Experts had
274 interest in future trials and viewed these as ethical based on the significant equipoise in the area
275 and a lack of high quality evidence to inform clinical practice.

276

277 *"In the acute setting with hot carotid I think there is enough equipoise that [physicians]*
278 *would be willing to randomize to that trial."* (North America, Neurologist 3)

279

280 In the interviews, multiple experts raised concerns regarding recruitment and achieving an
281 adequate event rate to effectively study ILT management in hot carotid. ILT in the hot carotid
282 might not be encountered frequently enough to achieve rapid enrolment; as such, the experts felt
283 that it was important for trials to have a very inclusive and pragmatic approach with international
284 recruitment in order to avoid further narrowing an already small patient pool. Additionally there
285 was desire for future study to quantify the natural history of ILT to therefore better inform future
286 trial development.

287

288 *"The core challenge, of course, is to recruit the patients. This is not a very frequent*
289 *condition."* (Europe, Neurologist 3)

290

291 *"I am swayed by the pathologists who tell me that every time they look at an acute plaque*
292 *which has been resected they always find fresh thrombus so that means to me well, that*
293 *doesn't mean that fresh thrombus is more or less dangerous"* (North America,
294 *Neurologist 2)*

295 **Discussion**

296

297 The results of this mixed methods study provide a description of the current practice patterns of
298 stroke physicians in managing ILT in the hot carotid, particularly with relation to antithrombotic
299 management, revascularization and imaging. This data provides insight into the factors that
300 affect physicians' decision making in these cases as well as mapping interest and considerations
301 regarding future trials of ILT in the hot carotid population.

302

303 Results of the quantitative analysis suggest that the presence of an ILT significantly alters
304 antithrombotic management choices by increasing likelihood of using anticoagulation and
305 decreasing the use of SAPT or DAPT. This is consistent with other reports highlighting
306 enthusiasm and institutional preference for anticoagulation in these cases. (10,31) There does
307 however remain equipoise in antithrombotic strategies, as evident in our survey, where one third
308 of physicians preferred antiplatelet agents over anticoagulation in cases of ILT, with significant
309 geographic practice variation noted as well, suggesting an unmet need to answer the question of
310 optimal medical therapy in ILT. This equipoise is supported by the thematic analysis of our
311 qualitative interviews. Quantitative analysis of the survey included here suggest that factors that
312 influence decision making in antithrombotic management may be related to practice region;
313 specifically, when controlling for years in practice and preferred revascularization, physicians
314 from Europe and Central/South America were less likely to use antithrombotic regimens
315 containing anticoagulation in patients with ILT and a hot carotid. Regional variations in practice
316 as we see here have been previously published other related areas in the stroke literature, for
317 example geographic variation in thrombolysis rates. (32,33) No clear regional or specialty
318 variation emerged on the topic of antithrombotic management in the interview thematic analysis
319 though consideration of stroke size and associated hemorrhagic transformation risk did emerge
320 as an important consideration in terms of deciding when to use anticoagulation.

321

322 This observed equipoise is consistent with previous literature (9,12) and is likely related to a
323 general lack of high-quality evidence and conflicting reports on the topic. The most robust
324 evidence for antithrombotic management in ILT is a recent meta-analysis of 525 cases derived
325 from a systematic review of case reports and case series of ILT in the hot carotid which showed

326 no benefit of anticoagulation in reducing adverse outcomes (Stroke, TIA, Death). Similar results
327 were reported in a 2007 systematic review as well.(9) The nature of this evidence (meta-analysis
328 of case reports/case series) however is low-quality. Additionally, given concerns of
329 ascertainment and information bias in prior studies, the authors underscored the need for large-
330 scale prospective cohort data to better inform practice and ensure feasibility of future trials, a
331 concern that was echoed in our interviews. (12)

332
333 Results of the qualitative analysis suggest a preference for avoiding hyperacute revascularization
334 and ideally waiting for thrombus resolution following antithrombotic therapy before pursuing
335 revascularization though few participants commented on this consideration. Compared to the
336 issue of antithrombotic management there appeared to be less equipoise regarding
337 revascularization timing amongst interview experts. This is perhaps driven by the somewhat
338 outdated though comparatively more methodologically robust evidence regarding risk of carotid
339 endarterectomy (CEA) in the presence of ILT. (34,35) For example, a retrospective study of
340 1160 CEAs performed at 12 sites between 1987 and 1990 found that ILT was associated with a
341 numerically higher frequency of 30-day stroke recurrence 14.3% in ILT versus 5.4% without
342 ILT; however, this was not statistically significant and there were only 28 patients with ILT
343 included. (34) More recently, in the above-mentioned meta-analysis, (2019) there was no
344 association of early revascularization (within 72 hours) with the composite outcome of TIA,
345 stroke or death when controlling for other variables in regression analysis though as mentioned
346 the generalizability of this finding is limited. (12) These reviews however rely on outdated data
347 (12,34) and thus do not reflect current procedural techniques and other medical management (e.g
348 high intensity statin therapy).

349
350 With regards to procedure type, few interviewed experts commented on this consideration. From
351 the results here however, CEA appears to be the preferred intervention though equipoise was
352 noted. Specifically, experts were making this decision based on perceived risk of clot
353 embolization though contrasting opinions were noted here with some expressing that risk of
354 embolization in CEA was prohibitively high while other experts expressed the same opinion
355 regarding CAS. These results support that there is an absence of literature to inform the decision

356 of CAS versus CEA in ILT cases and this likely depends on multidisciplinary and context-
357 specific considerations of the treating physician.

358

359 The results of the qualitative interviews showed support for future trials examining management
360 of ILT in the hot carotid. Interviewed experts expressed an interest in a trial that would compare
361 DAPT versus anticoagulation in this group and were agreeable to randomizing these therapies.
362 In preparation for trials there seems to be a need for high quality natural history data on patients
363 with ILT in the context of current practices, expanding on the current data which is limited to
364 case series. High quality data on recurrent stroke outcomes with current practices is needed and
365 this will help inform estimations of effect size and event rate for powering trials. Preliminary
366 work in this regard has come from a recent prospective cohort study of ILTs (with range of ILT
367 at different extra and intracranial locations, majority being carotid ILT) which showed low rates
368 of stroke recurrence (6.6%) and high rates of partial or complete thrombus resolution (74.6%)
369 with medical therapy (heparin plus aspirin). (31)

370

371 **Limitations**

372 This study does have a few important limitations to acknowledge. The first of which is the
373 sample demographic in both the survey and interview portions of this study. Both the survey and
374 interview were conducted in English which limited participation from non-English speaking
375 participants. Additionally, there was a significant overrepresentation of North American and
376 European clinicians both in the survey and interviews which could limited generalizability to
377 other regions. Women were also under-represented in the interviews. Furthermore, given that the
378 survey analysis here were post-hoc, the questions were not optimized for all aspects of ILT
379 management, and did not include questions regarding how age and stroke size/location would
380 influence management. Additionally, the survey did not ask patients about practice subspeciality
381 (i.e general neurology, stroke neurology, neuroradiology/interventionalist) which could influence
382 practice patterns. In terms of methodology for the qualitative portion of study, snowball
383 sampling does have the potential to introduce bias (36) as participates may be more likely to
384 recommend like-minded colleagues for inclusion in the study. That being said, snowball
385 sampling remains one of the most used and well-studied sampling methods in qualitative
386 research.(19,36) Finally it should be acknowledged that there has been significant gap in time

387 between data collection and publication (data collection completed in June 2021). Despite this,
388 the questions posed in this study remain relevant in today’s context with very little work being
389 published in field of carotid ILT in recent years.

390

391 **Conclusion:**

392

393 The management of ILT in patients with a hot carotid continues to represent a treatment dilemma
394 for physicians. Driven largely by methodologically limited and often outdated data, physicians
395 must determine their management of these cases by weighing the risk of recurrent ischemic
396 events with more conservative therapy against the possible harms of more aggressive therapies
397 such as anticoagulation or hyperacute revascularization. While the results of this study show a
398 preference for anticoagulation and delayed revascularization in patients with ILT and a hot
399 carotid, much equipoise remains. Further study should be conducted to first better understand the
400 natural history of ILT in hot carotid, specifically high-quality prospective cohort studies,
401 followed by pragmatic randomized trials to determine optimal management techniques. Our
402 interviews specifically have helped establish what would be considered top contenders for
403 comparative strategies in future studies (i.e. at least dual antiplatelet vs anticoagulation). Doing
404 so would provide answers to the management of a condition that continues to be surrounded by
405 much of the same uncertainty as it was in decades past.

406

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408

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495 Recommendations from a research project on anti-dam movements in Southeast Asia. *PLoS*
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- 497

498 Table 1. Survey respondents choice of antithrombotic management with and without associated
 499 ILT. Chi-squared test using Fisher's exact methods reported as p-values. ILT (intraluminal
 500 thrombus).

Use of Anticoagulation in Hot Carotid With and Without ILT			
	No ILT n,(%)	ILT n,(%)	Chi-Squared - Fisher's Exact (p- value)
SAPT	311 (50.1%)	120 (19.1%)	<0.001
DAPT	238 (38.3%)	97 (15.0%)	<0.001
Anticoagulation (+/- antiplatelet agent)	72 (11.6%)	399 (66.0%)	<0.001
Total N	621	616	

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503 Table 2. Antithrombotic regimens selected by survey respondents in the case of hot carotid with
 504 ILT. Regimens with less than 10 total responses not included here. ILT (intraluminal thrombus).

Antithrombotic Regimens Selected in the Case of Hot Carotid with ILT	
N(%)	
Heparin (monotherapy)	171 (27.8%)
Low-molecular weight heparin (monotherapy)	99 (16.1%)
Aspirin + Clopidogrel	93 (15.1%)
Aspirin (monotherapy)	73 (11.9%)
Clopidogrel (monotherapy)	41 (6.7%)
Direct oral anticoagulant (monotherapy)	33 (5.4%)
Heparin + Aspirin	25 (4.1%)
Low-molecular weight heparin + Aspirin	20 (3.2%)
Other combinations	61 (9.9%)
Anticoagulation + (Clopidogrel or Ticagralor)	50/61 (82%)

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507 Table 3. Factors associated with choosing a regimen containing anticoagulation for a patient with
 508 acutely symptomatic carotid stenosis awaiting revascularization, when told that there was an
 509 associated intraluminal thrombus. Significant P-values are indicated with an asterisk.

Factors associated with choosing a regimen containing anticoagulation				
	Univariable Analysis		Multivariable Logistic regression	
	N(%)	P-Value	Adjusted Odds Ratio (95%CI)	P-value
Preferred Revascularization		0.857		
Carotid Endarterectomy	272/443 (61.4%)		Reference	
Carotid Stenting	115/184 (62.5%)		1.16 (0.77-1.73)	0.482
Years in Practice		0.941		
In training	83/133		Reference	
Less than 10 years	164/256		1.00 (0.64-1.56)	0.985
More than 10 years	116/181		0.93 (0.58-1.51)	0.776
Region		0.01		
North America	127/181 (70.2%)		Reference	
Europe			0.44 (0.27-0.71)	<0.001*
Central/South America	121/218 (55.5%)		0.31 (0.18-0.55)	<0.001*
Asia			0.69 (0.39-1.22)	0.203
Australia	50/110 (45.5%)		0.87 (0.26-2.95)	0.827
Africa	77/124 (62.1%)		0.80 (0.20-3.28)	0.761
	10/15 (66.7%)			
	9/15 (60.0%)			

511 Table 4. Factors associated with choosing SAPT (*single antiplatlet therapy) for a patient with
 512 acutely symptomatic carotid stenosis awaiting revascularization, when told that there was an
 513 associated Intraluminal thrombus. Significant P-values are indicated with an asterisk.

Factors associated with choosing SAPT*				
	Univariable Analysis		Multivariable Logistic regression	
	N(%)	P-Value	Adjusted Odds Ratio (95%CI)	P-value
Preferred Revascularization		0.738		0.481
Carotid Endarterectomy	86/443		Reference	
Carotid Stenting	33/184		0.84 (0.52-1.36)	
Years in Practice		0.901		
In training	25/133		Reference	
Less than 10 years	52/256		1.17 (0.65-2.12)	0.400
More than 10 years	34/181		1.26 (0.73-2.18)	0.598
Region		0.005		
North America	20/181		Reference	
Europe	55/218		3.04(1.68-5.50)	<0.001*
Central/South America	23/110		2.44 (1.22-4.88)	0.012*
Asia	18/124		1.52 (0.72-3.20)	0.272
Australia	3/15		0.56 (0.07 -	0.588
Africa	1/5		4.56) 0.77 (0.09-6.50)	0.812

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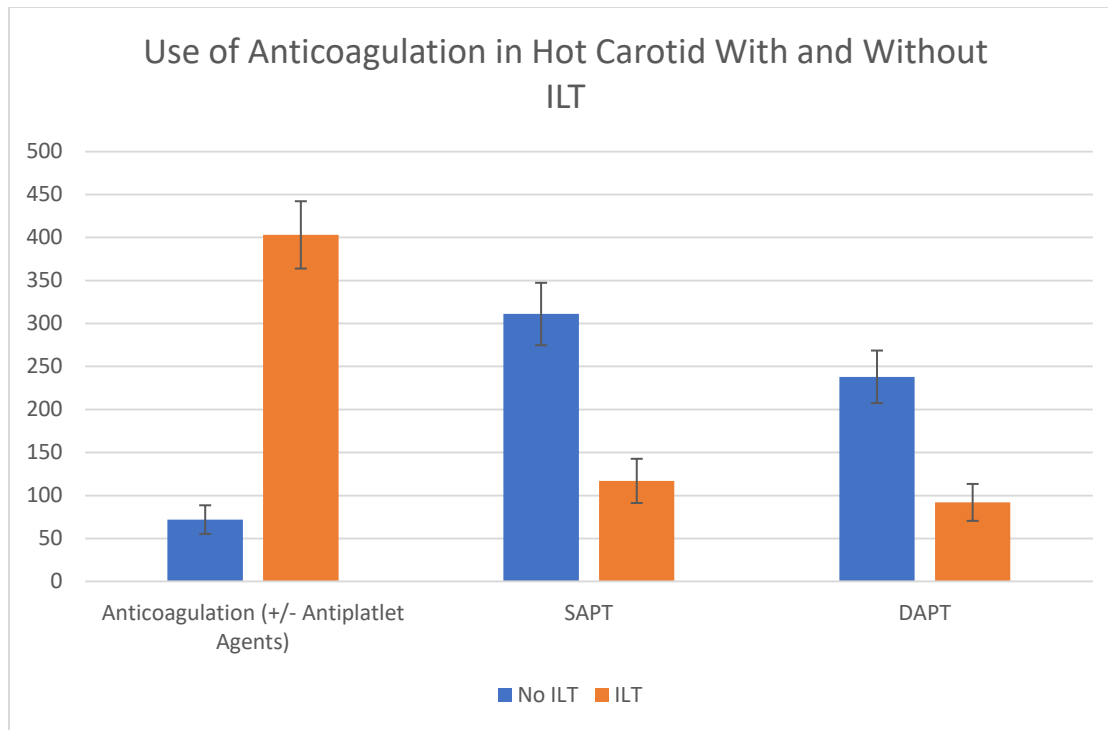
517 Table 5. Summary of key themes from interviews with representative quotes.

Theme	Representative Quotes
Therapeutic Uncertainty Regarding Anticoagulation	<ul style="list-style-type: none"> - “If there is any element of thrombus in the plaque on the CTA (CT Angiogram) , [I would] add heparin” (North America, Neurovascular surgeon 1) - “If someone has a big infarct, it pushes me away from anticoagulation due to hemorrhage risk.” (Europe, Neurologist 4)
Decision to Reimage	<ul style="list-style-type: none"> - “I would delay and we would do an everyday check with ultrasound and then we would make the decision together with the surgeons. (Europe, Neurologist 3) - “Reimage in 1 week. If it’s a significant stenosis, they would be on dual antiplatelets and be considered for revascularization.” (Europe, Neurologist 1)
Revascularization timing	<ul style="list-style-type: none"> - “What we would like to see is that once the patient is on dual antiplatelet or anticoagulation therapy, then we would like to see that this fresh thrombus is actually dissolved and then we would operate only if there is some residual stenosis.” (Europe, Neurologist 3) - “Because of the perceived high surgical risk of doing an endarterectomy on a patient with floating thrombus we would opt for cooling down the thrombus or the plaque maybe with a few days [Before Revascularizing]” (North America, Neurologist 4)
Revascularization Type	<ul style="list-style-type: none"> - “We would prefer open surgery. Do thrombectomy with open surgery and if there is still a distal occlusion which requires acute treatment we would go through the stenosis, extract the distal clot and then deal with what is left. We would try with aspiration first, try to get this clot proximally but preferable remove it distally first and deal with that is left.” (Europe, Neuroradiologist 1)

<p>Future Studies - Comparator Groups</p>	<ul style="list-style-type: none"> - “Are we going to be using a heparin drip vs DAPT (dual antiplatelet therapy) before stenting? That’s the question I want the answer to.” (North America, Neurologist 1) - “If they have a mobile thrombus maybe I would randomize them to compare anticoagulant therapy [versus] double antiplatelets.” (Europe, Neurologist 2) - “The minimal acceptable therapy would be DAPT (dual antiplatelet therapy) vs heparin” (North America, Neurologist 3) - “I think the challenge here is to have a comparison arm that would be clinically acceptable to the treating physician in terms of risk versus benefit” (North America, Neuroradiologist 2)
<p>Future Studies - Anticipated Challenges</p>	<ul style="list-style-type: none"> - “The core challenge, of course, is to recruit the patients. This is not a very frequent condition.” (Europe, Neurologist 3) - “Often, we find that on paper we would have lots of eligible patient for the trial but in reality, there are often reasons why patients are different from what you’re expecting. Any trial would have to be flexible and pragmatic in terms of inclusion and exclusion criteria to get large enough numbers.” (Europe, Geriatrician 3)

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521 Figure 1. Survey respondents choice of antithrombotic management with and without associated
 522 ILT (intraluminal thrombus). Error bars represent 95% confidence intervals. SAPT (single
 523 antiplatelet agent), DAPT (dual antiplatelet agents).

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528

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553 Author contributions.

554 D.M was responsible for data analysis, primary manuscript writing and revision of the paper.

555 B. B analyzed data and helped revise the paper.

556 G.A.E.J collected data, assisted with analysis, and helped revise the paper.

557 L.B helped develop the quantitative survey and helped revise the paper.

558 D.J.T.C was involved in the design of the study, analysis of data, and revision of the paper.

559 M. V was involved in data collection, analysis of the data, and revision of the paper.

560 R.J.S was involved in the design of the study, data collection, and revision of the paper.

561 J.H.W was involved in study design and manuscript revision.

562 B.K.M supervised the study and was involved in the conception, design, writing, analysis, and
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564 A. G was involved in the conception and design of the study, collected and analyzed the data, co-
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