

Forsterite Formed on MgO Single Crystals During In-Situ Annealing

J. Bentley,* J.K. Farrer,^{‡§} M.T. Johnson,^{‡†} and C.B. Carter[‡]

*Metals & Ceramics Div., Oak Ridge National Laboratory, PO Box 2008, Oak Ridge, TN 37831-6064

[‡]Chemical Engineering and Materials Science, University of Minnesota, Minneapolis, MN 55455

[§]Now at: Dept. of Physics & Astronomy, Brigham Young University, Provo, UT 84602

[†]Now at: Seagate Technology, 7801 Computer Avenue, Bloomington, MN 55435

Wang et al. previously annealed MgO in the TEM to ~1500 K, observed a phase transformation at the surface, and identified the phase as MgO₂ [1]. They primarily studied bulk, cleaved {100} surfaces in the reflection geometry (REM, RHEED, and REELS), but also studied thin cleavage fragments by transmission electron microscopy (TEM), selected area diffraction (SAD), and transmission electron energy-loss spectroscopy (EELS). In light of the extensive use of annealed single crystal MgO as a substrate for epitaxial growth, numerous previous studies of MgO surfaces, and especially the seemingly unlikely formation of MgO₂ (stable only at high oxygen partial pressures) in the vacuum of the TEM, additional in-situ annealing studies have been performed. Single-crystal {001} MgO TEM specimens, prepared following an involved and proven protocol [2], were annealed in situ with the use of a Gatan model 628 single-tilt heating holder. Most anneals employed a Philips CM30; additional anneals used an oil-free, dry-pumped Philips CM200-FEG. Specimens were heated in situ to ~1500 K at ~1 × 10⁻⁷ Torr, held at that temperature for a few minutes, and cooled. Other than preliminary experiments to determine appropriate conditions, in-situ anneals were performed with specimens kept out of the electron beam. Comparison specimens were annealed in a vacuum furnace at 10⁻⁵ to 10⁻⁶ Torr, but no second phase formed.

In figure 1, the weak-beam image shows a contamination-free, step-terrace structure, the SAD pattern shows only expected MgO reflections, and the EELS shows only O and Mg edges, quantified with Gatan EL/P software to yield Mg/O = 1.0 ± 0.1. Following in-situ annealing (figure 2) the bright-field image shows ~100-nm grains on the specimen surfaces, the SAD pattern has the same features as in Wang et al. [1] (extra reflections at 3/2{100} (type 1) and {110} (type 2) plus double diffraction), and EELS shows Si, possibly Al, and lower Mg relative to O. Quantification yields Mg/O = 0.50 ± 0.05 and Si/Mg = 0.49 ± 0.05, consistent with forsterite Mg₂SiO₄. Upon additional annealing in air at 1623 K for 15 min the polycrystalline film dewets the surface and forms small islands with moiré fringes visible on some particles [3]. Large-area SAD patterns exhibit the same features as after in-situ annealing, except 4-fold spots around MgO reflections (possibly <111> re- rods from defects on {111}) are no longer present. Tilting experiments (on carefully selected individual particles) around 130_f (type 1)//200_M reflections to [312] (10°) and [311] (25°) forsterite zones and around 002_f (type 2)//220_M reflections to [210] (5°), [310] (16.5°) and [410] (24°) forsterite zones, determined the orientation relationship as (100)_f//($\bar{1}\bar{1}1$)_M, (010)_f//(211)_M and (001)_f//(011)_M (12 variants), where subscripts f and M refer to forsterite and MgO, respectively [3]. Notable d-spacing matches are d₄₀₀ forsterite ~ d₂₂₂ MgO and d₀₀₄ forsterite ~ d₂₂₀ MgO.

Possible sources of Si contamination were considered. The SHaRE CM30 always used silicon-free vacuum oils and greases. Furthermore, identical results were obtained with the SHaRE CM200-FEG specially equipped with oil-free “dry” vacuum pumps. The source of the Si was eventually traced to silicate sintering aids in the ceramic used to embed and electrically isolate the Pt heating element in the Gatan model 628 single-tilt heating holder used in the present [3] and earlier [1] work. The need to be aware of the details of the hardware used for in-situ experiments as a source of potential artifacts, and the usefulness of comparative ex-situ experiments cannot be underestimated [4].

1. Z.L. Wang, J. Bentley, E.A. Kenik, L.L. Horton and R.A. McKee, *Surf. Sci.* **273** (1992) 88-108.
2. M.G. Norton, S.R. Summerfelt and C.B. Carter, *Appl. Phys. Lett.* **56** (1990) 2246-8.
3. J.K. Farrer, M.T. Johnson, J. Bentley and C.B. Carter, *Surf. Sci.* **587** (2005) 205-18.

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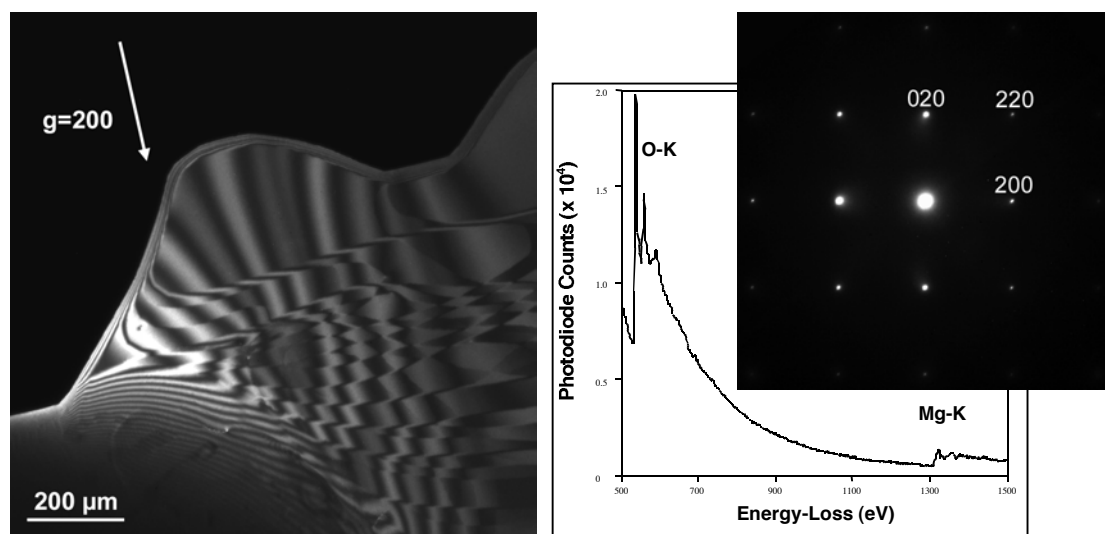


Fig. 1. Characterization of MgO specimen before in-situ annealing. Weak-beam dark-field image showing steps and terraces. [001] MgO SAD pattern showing only expected reflections. EELS data showing O and Mg K-edges quantified to yield O/Mg = 1.0 ± 0.1 .

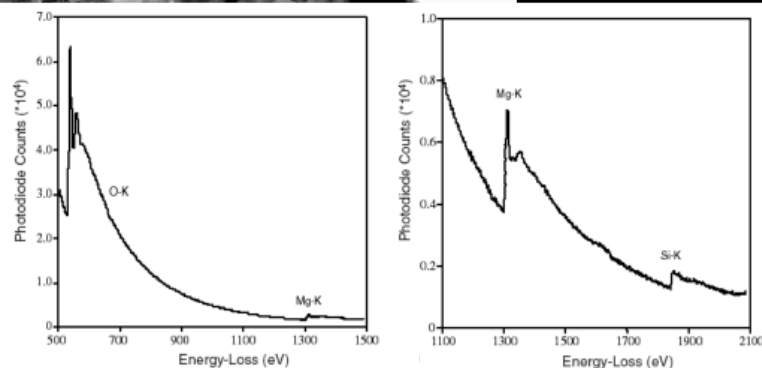
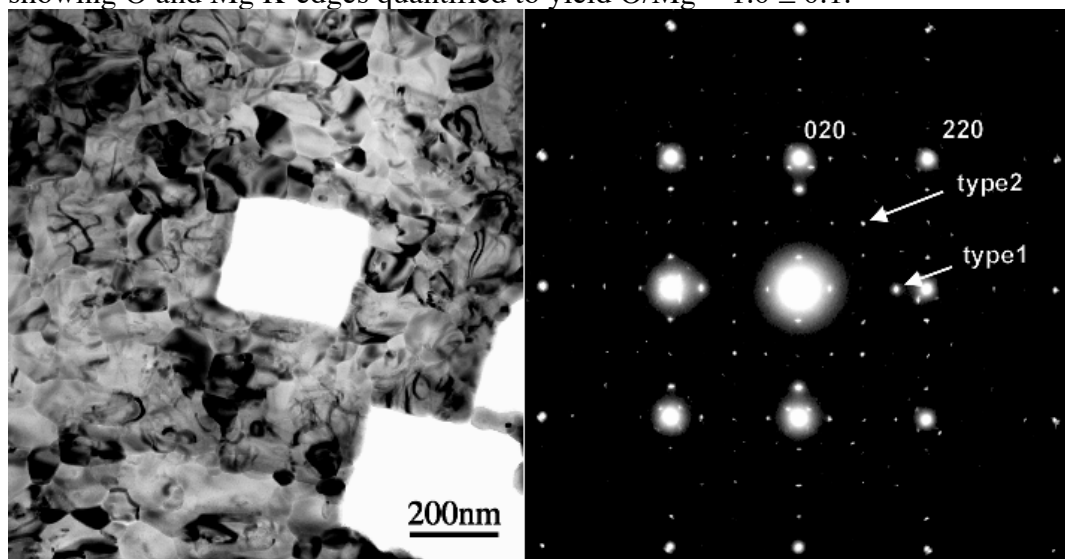


Fig. 2. Characterization after in-situ annealing. Bright-field image showing ~ 100 -nm grains on surfaces. SAD pattern showing extra reflections at $3/2\{100\}$ (type 1) and $\{110\}$ (type 2) plus double diffraction with [001] MgO reflections. EELS from particle at edge showing Si, possibly Al, Mg and O. Quantification yields Mg/O = 0.50 ± 0.05 and Si/Mg = 0.49 ± 0.05 , consistent with forsterite Mg_2SiO_4 .