

## LATTICES WHOSE IDEAL LATTICE IS STONE

by R. BEAZER

(Received 1st December 1981)

### 1. Introduction

An elementary fact about ideal lattices of bounded distributive lattices is that they belong to the equational class  $\mathcal{B}_\omega$  of all distributive  $p$ -algebras (distributive lattices with pseudocomplementation). The lattice of equational subclasses of  $\mathcal{B}_\omega$  is known to be a chain

$$\mathcal{B}_0 \subset \mathcal{B}_1 \subset \cdots \subset \mathcal{B}_n \subset \cdots \subset \mathcal{B}_\omega$$

of type  $\omega+1$ , where  $\mathcal{B}_0$  is the class of Boolean algebras and  $\mathcal{B}_1$  is the class of Stone algebras. G. Grätzer in his book [7] asks after a characterisation of those bounded distributive lattices whose ideal lattice belongs to  $\mathcal{B}$  ( $n \geq 1$ ). The answer to the problem for the case  $n=0$  is well known: the ideal lattice of a bounded lattice  $L$  is Boolean if and only if  $L$  is a finite Boolean algebra. D. Thomas [10] recently solved the problem for the case  $n=1$  utilising the order-topological duality theory for bounded distributive lattices and in [5] W. Bowen obtained another proof of Thomas's result via a construction of the dual space of the ideal lattice of a bounded distributive lattice from its dual space. In this paper we give a short, purely algebraic proof of Thomas's result and deduce from it necessary and sufficient conditions for the ideal lattice of a bounded distributive lattice to be a relative Stone algebra. Grätzer's problem for the case  $n=1$  can be paraphrased as: Characterise those bounded distributive lattices whose congruence kernels form a Stone algebra. We ask and answer the same question for distributive  $p$ -algebras and distributive double  $p$ -algebras drawing from the main result a characterisation of those double Heyting algebras whose congruence lattice is Stone.

### 2. Preliminaries

Let  $\langle L, \vee, \wedge, 0, 1 \rangle$ , henceforth simply  $L$ , be a bounded distributive lattice. Throughout, we shall write  $\text{Cen}(L)$  for the centre of  $L$ ,  $\mathcal{I}(L)$  for its ideal lattice and  $L/I$  for  $L/\theta(I)$ , where  $\theta(I)$  is the principal congruence of  $L$  generated by  $I \in \mathcal{I}(L)$ . If  $L$  is equipped with a unary operation  $*$  characterised by the property:

$$a \wedge x = 0 \quad \text{if and only if} \quad x \leq a^*$$

then  $L$  is called a *distributive  $p$ -algebra* or *distributive lattice with pseudocomplementation*.

If, in any such algebra, we write  $B(L) = \{x \in L; x = x^{**}\}$  then  $\langle B(L), \cup, \wedge, *, 0, 1 \rangle$  is a Boolean algebra, called the *skeleton* of  $L$ , when the join operation  $\cup$  is defined on  $B(L)$  by  $a \cup b = (a \vee b)^{**}$  and  $D(L) = \{x \in L; x^* = 0\}$  is a filter in  $L$ , called the *dense filter*. A *Stone algebra* is a distributive  $p$ -algebra satisfying the identity  $x^* \vee x^{**} = 1$  and a *relative Stone algebra* is a bounded lattice in which every interval is a Stone algebra. Relative Stone algebras are intimately related to *Heyting algebras*; this is, bounded (distributive) lattices equipped with a binary operation  $*$  characterised by the property:

$$a \wedge x \leq b \text{ if and only if } x \leq a * b.$$

Indeed, the classes of relative Stone algebras and Heyting algebras satisfying the identity  $(x * y) \vee (y * x) = 1$  are coincident. A distributive  $p$ -algebra endowed with a unary operation  $+$  characterised by the property dual to that for  $*$  is called a *distributive double  $p$ -algebra* and a Heyting algebra endowed with a binary operation  $+$  characterised by the property dual to that for  $*$  is called a *double Heyting algebra*. If  $L$  is a distributive double  $p$ -algebra and  $a \in L$  then elements  $a^{n(**+)} (n < \omega)$  can be defined recursively by

$$a^{0(**+)} = a, a^{(k+1)(**+)} = a^{k(**+)*+}.$$

Elements  $a^{n(**+)} (n < \omega)$  can also be defined in a similar fashion and the following are known to hold (see [2]):

$$x \leq x^{**+}, (x \vee y)^{**+} = x^{**+} \vee y^{**+}, \text{Cen}(L) = \{x \in L; x = x^{**+}\}.$$

By a *congruence relation* on a distributive  $p$ -algebra, distributive double  $p$ -algebra, double Heyting algebra we mean a lattice congruence preserving  $*$ ,  $*$  and  $+$ ,  $*$  and  $+$ , respectively, and by a *congruence kernel* we mean any congruence class containing 0.

All undefined terms as well as general lattice theoretic results and facts about distributive  $p$ -algebras may be found in [1] or [7].

### 3. Grätzer's problem for $n = 1$

The key to the solution of the problem is the following simple observation:

**Lemma 1.** *An ideal  $I$  in a bounded distributive lattice  $L$  is complemented if and only if it is of the form  $\langle z \rangle$ , for some  $z \in \text{Cen}(L)$ .*

**Proof.** Clearly, if  $z \in \text{Cen}(L)$  then  $\langle z \rangle$  has complement  $\langle z' \rangle$  in  $\mathcal{I}(L)$ . Conversely, if  $I \in \text{Cen}(\mathcal{I}(L))$  then  $I \vee I^* = L$  so that  $1 = z \vee w$ , for some  $z \in I, w \in I^*$  which since  $I^* = \{x \in L; x \wedge i = 0 \text{ for all } i \in I\}$ , shows that  $z \in \text{Cen}(L)$  and  $z' = w$ . For any  $x \in I^*$ , we have  $x \wedge z = 0$  so that  $x \leq z^* = z' = w$  and, therefore,  $I^* \subseteq \langle w \rangle$ . Thus,  $I^* = \langle w \rangle$ , since  $w \in I^*$ , and it follows that  $I = \langle z \rangle$ .

**Theorem 2.** *The ideal lattice of a bounded distributive lattice  $L$  is a Stone algebra if and only if  $L$  is a Stone algebra whose centre is complete.*

**Proof.** If  $\mathcal{S}(L)$  is Stone then  $(a]^* \in \text{Cen}(\mathcal{S}(L))$ , for any  $a \in L$ , and so  $(a]^* = (z]$ , for some  $z \in \text{Cen}(L)$ , by Lemma 1. However,  $(a]^* = \{x \in L; x \wedge a = 0\}$  and so  $a^*$  exists and belongs to  $\text{Cen}(L)$ , for any  $a \in L$ . In other words,  $L$  is a Stone algebra. In order to show that  $\text{Cen}(L)$  is a complete lattice, it is enough by Lemma 1 to show that if  $Z \subseteq \text{Cen}(L)$  then  $\bigcap \{(z]; z \in Z\}$  is of the form  $I^*$ , for some  $I \in \mathcal{S}(L)$ . We claim that  $I = \bigvee \{(z']; z \in Z\}$  is an ideal satisfying our needs. Indeed,  $x \in I^*$  if and only if  $x \wedge a = 0$ , for all  $a \in \bigvee \{(z']; z \in Z\}$ , and by distributivity this is equivalent to  $x \wedge z' = 0$ , for all  $z \in Z$ , since  $\bigvee \{(z']; z \in Z\}$  consists of all finite joins of elements in the set union  $\bigcup \{(z']; z \in Z\}$ . This, in turn, holds if and only if  $x \in \bigcap \{(z]; z \in Z\}$ . Thus,  $I^* = \bigcap \{(z]; z \in Z\}$ .

Conversely, suppose that  $L$  is a Stone algebra and that  $\text{Cen}(L)$  is complete. In order to show that  $\mathcal{S}(L)$  is Stone it is enough to show that  $I^* \in \text{Cen} \mathcal{S}(L)$ , for any  $I \in \mathcal{S}(L)$ . First, observe that  $i^* \in \text{Cen}(L)$  for any  $i \in I$ , since  $L$  is Stone, and so the existence of  $z = \bigwedge \{i^*; i \in I\}$ , taken in  $\text{Cen}(L)$ , is guaranteed. We claim that  $I^* = (z]$ . Indeed,  $x \in I^*$  if and only if  $x$  is a lower bound in  $L$  of  $\{i^*; i \in I\}$  or, equivalently,  $x^{**}$  is a lower bound in  $L$  of  $\{i^*; i \in I\}$ . This, in turn, is equivalent to  $x \leq z$ , since  $x \leq x^{**} \in \text{Cen}(L)$  and  $z^{**} = z \in \text{Cen}(L)$ . Thus,  $I^* = (z] \in \text{Cen}(\mathcal{S}(L))$ .

**Corollary 3.** For a bounded distributive lattice  $L$ , the following are equivalent:

- (i)  $\mathcal{S}(L)$  is a relative Stone algebra,
- (ii) For any  $I \in \mathcal{S}(L)$ ,  $L/I$  is a Stone algebra whose centre is complete.
- (iii)  $L$  is a relative Stone algebra whose centre is complete and, for any  $I \in D(\mathcal{S}(L))$ ,  $L/I$  is a Stone algebra whose centre is complete.

**Proof.** It is well known (see [1]) that for a bounded distributive lattice  $L$  to be relative Stone it is necessary and sufficient that every principal filter of  $L$  be a Stone algebra. This fact, applied to  $\mathcal{S}(L)$  in conjunction with Theorem 2 and the equally well known fact that  $\mathcal{S}(L/I) \cong [I]$ , for any  $I \in \mathcal{S}(L)$ , establishes the equivalence of (i) and (ii). Now, if  $\mathcal{S}(L)$  is relative Stone and  $b \in L$  is arbitrary then  $L/(b]$  is a Stone algebra. In particular, it follows that, given any  $a \in L$ , there exists  $\bar{a} \in L$  such that

$$[a]_b \wedge [x]_b = [0]_b \quad \text{if and only if} \quad [x]_b \leq [\bar{a}]_b,$$

where  $[x]_b$  denotes the congruence class of  $\theta((b])$  containing  $x$ . As a consequence of this and the well known description of principal congruences on distributive lattices we conclude that

$$a \wedge x \leq b \quad \text{if and only if} \quad x \leq \bar{a} \vee b$$

and so  $L$  is a Heyting algebra in which  $a * b = \bar{a} \vee b$ , for any  $a, b \in L$ . Furthermore, the identity  $(a * b) \vee (b * a) = 1$  holds in  $L$  by virtue of the fact that it holds in  $\mathcal{S}(L)$ . Indeed, for any  $I, J \in \mathcal{S}(L)$ , we have  $I * J = \{x \in L; x \wedge i \in J, \text{ for all } i \in I\}$  and so, in particular,  $(a] * (b] = (a * b]$ , for any  $a, b \in L$ . Therefore,  $(a * b) \vee (b * a) = L$  and so  $(a * b) \vee (b * a) = 1$ . Thus,  $L$  is relative Stone and the proof that (ii) implies (iii) is complete. Moreover, condition (iii) in conjunction with Theorem 2 shows that  $\mathcal{S}(L/I)$  and therefore  $[I]$  is a Stone algebra, for any  $I \in D(\mathcal{S}(L))$ . Thus, (iii) implies (i), since it is well known that for a

Stone algebra to be relative Stone it is necessary and sufficient that each of its principal filters generated by a dense element be a Stone algebra (see [1]).

**Corollary 4.** *The congruence lattice of a Boolean lattice  $L$  is relative Stone if and only if every homomorphic image of  $L$  is complete.*

In connection with Corollaries 3 and 4 we point out that if  $L$  is a Stone algebra whose centre is complete and  $I \in \mathcal{F}(L)$  the  $L/I$  is not necessarily Stone nor is its centre necessarily complete. Indeed, if  $L$  is the Stone algebra obtained by adjoining a new zero and unit to the four-element Boolean algebra and  $I$  is the principal ideal of  $L$  generated by its only atom then  $L/I$  is isomorphic to the four-element Boolean algebra with a new unit adjoined and so is not Stone. Furthermore, while the field of all subsets of an uncountable set  $X$  is complete, its quotient modulo the ideal of all countable subsets of  $X$  is not.

**4. The problem for distributive  $p$ -algebras and double  $p$ -algebras**

Earlier we pointed out that a subset of a bounded distributive lattice is an ideal if and only if it is a congruence kernel. W. Cornish [6] showed that an ideal  $I$  in a distributive  $p$ -algebra is a congruence kernel if and only if  $i^{**} \in I$  whenever  $i \in I$ . In addition, Cornish showed that the lattice of congruence kernels of a distributive  $p$ -algebra  $L$  is isomorphic to the ideal lattice of the skeleton  $B(L)$  of  $L$ . T. S. Blyth [4] showed that exactly the same is true for pseudo-complemented semilattices with, of course, the appropriate definition of congruence kernel in this context. Thus, we have

**Theorem 5.** *The lattice of congruence kernels of a pseudo-complemented semilattice or of a distributive  $p$ -algebra  $L$  is a Stone algebra if and only if the skeleton of  $L$  is complete.*

The situation for distributive double  $p$ -algebras is not so simple but nevertheless tractable. It follows on dualising results in [2] that a subset  $I$  of a distributive double  $p$ -algebra is a congruence kernel if and only if  $i^{*+} \in I$  whenever  $i \in I$ . Moreover, it is easy to show, utilising the well known description of infinite joins in ideal lattices of distributive lattices and the identity  $(x \vee y)^{*+} = x^{*+} \vee y^{*+}$  which holds in any distributive double  $p$ -algebra, that the lattice  $K(L)$  of congruence kernels of a distributive double  $p$ -algebra  $L$  is a complete sublattice of the ideal lattice  $\mathcal{I}(L)$  of  $L$ . Consequently,  $K(L) \in \mathcal{B}_\omega$  and, using Lemma 1, it is easy to see that  $\text{Cen}(K(L)) = \{z; z \in \text{Cen}(L)\}$ . When, then, is  $K(L)$  Stone?

**Theorem 6.** *The lattice  $K(L)$  of congruence kernels of a distributive double  $p$ -algebra  $L$  is a Stone algebra if and only if  $\bigwedge_{n < \omega} a^{n(+*)}$  and  $\bigwedge S$  exist in  $L$ , for any  $a \in B(L)$  and  $S \subseteq \text{Cen}(L)$ .*

**Proof.** We start with the observation that if  $a \in L$  and  $I(a) = \{x \in L; x \leq a^{n(+*)}, \text{ for some } n < \omega\}$  then  $I(a) \in K(L)$ , since  $a^{n(+*)} \leq a^{m(+*)}$  whenever  $n \leq m$ , and claim that  $I(a)^* = \bigcap_{n < \omega} (a^{*n(+*)})$ . With the aim of showing that the ideal  $\bigcap_{n < \omega} (a^{*n(+*)}) \in K(L)$ , let

$x \in \bigcap_{n < \omega} (a^{*n(+*)})$  and let  $k < \omega$ . Then  $x \leq a^{*(k+1)(+*)}$  so that  $x^* \geq a^{(k+1)(+*)**} \geq a^{(k+1)(+*)}$  and, therefore,  $x^{*+} \leq a^{(k+1)(+*)+} = a^{*k(+*)+} \leq a^{*k(+*)}$ . Thus,  $x^{*+} \in \bigcap_{n < \omega} (a^{*n(+*)})$ . Moreover, if  $x \in I(a) \cap \bigcap_{n < \omega} (a^{*n(+*)})$  then  $x \leq a^{n(+*)}$ , for some  $n < \omega$ , and  $x \leq a^{*n(+*)} = a^{n(+*)*}$  so that  $x \leq a^{n(+*)} \wedge a^{n(+*)*} = 0$ . Therefore,  $I(a) \cap \bigcap_{n < \omega} (a^{*n(+*)}) = \{0\}$ . In addition, if  $K \in K(L)$  satisfies  $I(a) \cap K = \{0\}$  and  $k \in K$  then  $k \wedge a^{n(+*)} = 0$  for all  $n < \omega$ ; that is,  $k \leq a^{n(+*)*} = a^{*n(+*)}$  for all  $n < \omega$ . Thus,  $K \subseteq \bigcap_{n < \omega} (a^{*n(+*)})$  and we conclude that  $I^* = \bigcap_{n < \omega} (a^{*n(+*)})$ . It follows, now, that if  $K(L)$  is a Stone algebra and  $a \in L$  then  $I(a)^* \in \text{Cen}(K(L))$  and so  $I(a)^* = (z]$ , for some  $z \in \text{Cen}(L)$ . Thus,  $\bigwedge_{n < \omega} a^{*n(+*)}$  exists, for any  $a \in L$ . Equivalently,  $\bigwedge_{n < \omega} a^{n(+*)}$  exists, for any  $a \in B(L)$ . Next, it is straightforward to show that if  $S \subseteq \text{Cen}(L)$  and  $I(S)^* = \{x \in L; x \leq s'_1 \vee \dots \vee s'_n$ , for some  $s_i \in S, 1 \leq i \leq n\}$  then  $I(S)^* \in K(L)$ . Moreover, by distributivity,  $I \in K(L)$  satisfies  $I \cap I(S)^* = \{0\}$  if and only if  $i \wedge s' = 0$ , for all  $i \in I$  and  $s \in S$ : equivalently, if and only if  $I \subseteq \bigcap \{(s]; s \in S\}$ . Consequently,  $I(S)^* = \bigcap \{(s]; s \in S\}$  which, since  $I(S)^* = (z]$  for some  $z \in \text{Cen}(L)$ , shows that  $\bigwedge S$  exists, for any  $S \subseteq \text{Cen}(L)$ .

Conversely, suppose that, for any  $a \in B(L)$  and  $S \subseteq \text{Cen}(L)$ ,  $\bigwedge_{n < \omega} a^{n(+*)}$  and  $\bigwedge S$  exist in  $L$ . We start by showing that all such meets necessarily belong to  $\text{Cen}(L)$ . Indeed, if  $a \in B(L)$ ,  $k < \omega$  and  $m(a) = \bigwedge_{n < \omega} a^{n(+*)}$  then  $m(a) \leq a^{(k+1)(+*)}$  so that  $m(a)^* \geq a^{(k+1)(+*)**} = a^{k(+*)+**} \geq a^{k(+*)+}$  and, therefore,  $m(a)^{*+} \leq a^{k(+*)+} \leq a^{k(+*)}$ . It follows that  $m(a)^{*+} \leq m(a)$  and so  $m(a) \in \text{Cen}(L)$ , since  $x \leq x^{*+}$  holds for any  $x \in L$ . Moreover, if  $S \subseteq \text{Cen}(L)$  and  $m(S) = \bigwedge S$  then, since  $m(S) \leq s$  implies  $m^{*+}(S) \leq s$ , for any  $s \in S$ , we have  $m^{*+}(S) \leq m(S)$  and so  $m(S) \in \text{Cen}(L)$ . It follows, now, that if  $i \in I \in K(L)$  then  $m(i^*)$  and, therefore,  $z = \bigwedge \{m(i^*); i \in I\}$  exists and is central. We claim that  $I^* = (z]$ . Indeed, if  $x \in I^*$ ,  $i \in I$  and  $n < \omega$  then  $x \wedge i^{n(+*)} = 0$  so that  $x \leq i^{n(+*)*} = i^{*n(+*)}$ . Therefore,  $x \leq m(i^*)$ , for all  $i \in I$ , and so  $x \leq z$ . Hence,  $I^* \subseteq (z]$ . For the reverse inclusion, first observe that if  $x \leq z$ ,  $i \in I$  and  $m < \omega$  then  $x^{m(+*)} \leq z^{m(+*)} = z \leq i^*$ , since  $z \in \text{Cen}(L)$ , and so  $i \leq i^{**} \leq x^{m(+*)*}$ . It follows, now, that  $I(x) \cap I = \{0\}$ ; because if  $i \in I(x) \cap I$  then  $i \leq x^{n(+*)}$ , for some  $n < \omega$ , and so  $i \leq x^{n(+*)} \wedge x^{n(+*)*} = 0$ . Therefore,  $x \in I(x) \subseteq I^*$ . Thus, we have shown that  $I^*$  is complemented in  $K(L)$ , for any  $I \in K(L)$ . Equivalently,  $K(L)$  is a Stone algebra.

In [9] P. Köhler call  $s$  an ideal  $I$  in a double Heyting algebra  $L$  normal if  $a \in I$  implies  $a^{*+} \in I$  and proves that the congruence lattice of  $L$  is isomorphic to the lattice of normal ideals of  $L$ . Thus, we have

**Corollary 7.** *The congruence lattice of a double Heyting algebra  $L$  is a Stone algebra if and only if  $\bigwedge_{n < \omega} a^{n(+*)}$  and  $\bigwedge S$  exist, for any  $a \in B(L)$  and  $S \subseteq \text{Cen}(L)$ .*

A double  $p$ -algebra is called regular if it satisfies the identity  $(x \wedge x^+) \vee (y \vee y^*) = y \vee y^*$ . In [8], T. Katriňák shows that a regular double  $p$ -algebra is, in fact, a double Heyting algebra in which  $x * y$  and  $x + y$  are double  $p$ -algebra polynomials. As a consequence, double Heyting algebra congruences and double  $p$ -algebra congruences coincide for regular double  $p$ -algebras. Thus, Corollary 7 is a generalisation and, simultaneously, an improvement of the main result of [3].

**Added in proof.** Recently, I learnt that Theorem 2 was proved by T. Katriňák in his paper “Notes on Stone lattices I”, *Mat. Časopis Sloven. Akad. Vied.* **16** (1966), 128–142 (in Russian). A version of Theorem 2 for join similattices with 1 appears in his paper “Pseudocomplementäre Halbverbände”, *Mat. Časopis Sloven. Akad. Vied.* **18** (1968), 121–143.

## REFERENCES

1. R. BALBES and PH. DWINGER, *Distributive Lattices* (Univ. Missouri Press, Columbia, Missouri, 1974).
2. R. BEAZER, The determination congruence on double  $p$ -algebras, *Algebra Universalis* 6 (1976), 121–129.
3. R. BEAZER, Regular double  $p$ -algebras with Stone congruence lattices, *Algebra Universalis* 9 (1979), 238–243.
4. T. S. BLYTH, Ideals and filters of pseudo-complemented semilattices, *Proc. Edinburgh Math. Soc.* 23 (1980), 301–316.
5. W. BOWEN, *Lattice Theory and Topology* (Ph.D. thesis, Oxford, 1981).
6. W. CORNISH, Congruences on distributive pseudocomplemented lattices, *Bull. Austral. Math. Soc.* 8 (1973), 161–179.
7. G. GRÄTZER, *General Lattice Theory* (Birkhäuser Verlag, Basel, 1978).
8. T. KATRIŇAK, The structure of distributive double  $p$ -algebras. Regularity and congruences, *Algebra Universalis* 3 (1973), 238–246.
9. P. KÖHLER, A subdirectly irreducible double Heyting algebra which is not simple, *Algebra Universalis* 10 (1980), 189–194.
10. D. THOMAS, *Problems in Functional Analysis* (Ph.D. thesis, Oxford, 1976).

DEPARTMENT OF MATHEMATICS  
UNIVERSITY OF GLASGOW  
GLASGOW G12 8QW