

## A NOTE ON MODULAR FORMS MOD $p$

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### §1. Introduction

In this paper we shall study relations among the spaces of modular forms mod  $p$  attached to  $S_k(Np, \psi\chi)$  and  $S_k(N, \psi)$  by using certain identities between dimensions of these spaces.

Let  $N$  be a positive integer and  $\chi$  be an arbitrary character of  $(\mathbf{Z}/N\mathbf{Z})^\times$ . Let  $\Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbf{Z}) \mid c \equiv 0 \pmod{N} \right\}$ . Let  $f(z)$  be a cusp form of weight  $k$  satisfying

$$f(\sigma(z)) = (cz + d)^k \chi(d) f(z) \quad \text{for all } \sigma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N).$$

Then we call  $f(z)$  a cusp form of type  $(k, \chi)$  on  $\Gamma_0(N)$ , and we denote by  $S_k(N, \chi)$  the space of all cusp forms of type  $(k, \chi)$  on  $\Gamma_0(N)$ . If  $\chi$  is trivial, we simply denote  $S_k(N)$ .

From now we fix a rational prime  $p$ ,  $p \geq 5$ . Let  $N$  be a positive integer such that  $(p, N) = 1$ . Let  $\psi$  and  $\chi$  be arbitrary characters of  $(\mathbf{Z}/N\mathbf{Z})^\times$  and  $(\mathbf{Z}/p\mathbf{Z})^\times$  respectively such that

$$(1.1) \quad \psi\chi(-1) = 1.$$

Let  $t$  denote the order of  $\chi$  and put

$$(1.2) \quad \kappa = \frac{(p-1)(t-a)}{t}.$$

with any integer  $a$  such that  $1 \leq a \leq t$ ,  $(a, t) = 1$ . Let  $k$  be any even positive integer. Then we shall prove the following simple identities between dimensions of spaces of cusp forms by using Hijikata's explicit trace formula [2]:

**THEOREM 1.1.** *The notation being as above, we have*

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$$(1.3) \quad \dim_{\mathbb{C}} S_k(Np, \psi\chi) = \dim_{\mathbb{C}} S_{(p+1)(k/2)-\epsilon}(N, \psi) + \dim_{\mathbb{C}} S_{(p+1)(k/2)-(p-1-\epsilon)}(N, \psi).$$

In § 3, we shall prove a statement analogous to Theorem 1.1 when  $k$  is odd,  $k \geq 3$ .

Let  $\widetilde{S}_k(1)$  and  $\widetilde{S}_k(p)$  denote the space of modular forms mod  $p$  attached to  $S_k(1)$  and  $S_k(p)$  respectively. Some of fundamental results on modular forms mod  $p$ , due to Serre and Swinnerton-Dyer are the followings:

$$(1.4) \quad \widetilde{S}_k(1) \subset \widetilde{S_{k+p-1}}(1),$$

$$(1.5) \quad \widetilde{S}_k(p) = \widetilde{S_{p+1}}(1).$$

It is essential to prove (1.5) that it holds

$$\dim_{\mathbb{C}} S_2(p) = \dim_{\mathbb{C}} S_{p+1}(1)$$

which is a special case of Theorem 1.1. Hence next to do is to generalize (1.5), namely to get relations between the spaces of modular forms mod  $p$  attached to  $S_k(Np, \psi\chi)$  and  $S_{k'}(N, \psi)$  as an application of Theorem 1.1.

To state further results, we fix  $N, \psi$  and  $k$  as above. Take an algebraic number field  $K$  of finite degree over the rational number field which contains all eigenvalues of all Hecke operators acting on  $S_k(Np, \psi\chi)$  for all characters  $\chi$  of  $(\mathbb{Z}/p\mathbb{Z})^\times$  and on  $S_{k'}(N, \psi)$  for all  $k' \leq (k/2)(p+1)$ , and all  $p(p-1)$ -th roots of unity. We fix a prime divisor  $\mathfrak{p}$  of  $K$  lying over  $p$ . Let  $\nu$  be the valuation of  $K$  attached to  $\mathfrak{p}$  normalized by  $\nu(p) = p^{-1}$ . Also we write  $\mathfrak{o} = \{\alpha \in K \mid \nu(\alpha) \leq 1\}$  and  $F = \mathfrak{o}/\mathfrak{p}$ .

For any character  $\chi$  of  $(\mathbb{Z}/p\mathbb{Z})^\times$ , we define  $V_\chi$  and  $V_{k'}$  by

$$(1.6) \quad V_\chi = \left\{ f(z) = \sum_{n=1}^{\infty} a_n q^n \in S_k(Np, \psi\chi) \mid a_n \in K \text{ for all } n \geq 1 \right\},$$

$$(1.7) \quad V_{k'} = \left\{ g(z) = \sum_{n=1}^{\infty} b_n q^n \in S_{k'}(N, \psi) \mid b_n \in K \text{ for all } n \geq 1 \right\}.$$

where  $q = e^{2\pi iz}$ . Then  $V_\chi$  and  $V_{k'}$  are vector spaces over  $K$  with same dimensions as those of  $S_k(Np, \psi\chi)$  and  $S_{k'}(N, \psi)$  over the complex number field respectively. For any subspace  $V$  of  $V_\chi$  or  $V_{k'}$ , we define

$$(1.8) \quad V(\mathfrak{o}) = \left\{ f(z) = \sum_{n=1}^{\infty} a_n q^n \in V \mid a_n \in \mathfrak{o} \text{ for all } n \geq 1 \right\}.$$

For any  $f = \sum_{n=1}^{\infty} a_n q^n$  in  $V(\mathfrak{o})$ , we put

$$\tilde{f} = \sum_{n=1}^{\infty} \bar{a}_n q^n \in F[[q]]$$

where  $\bar{a}_n = a_n \pmod{p}$ .  $\tilde{f}$  is a cusp form mod  $p$  in slightly more generalized sense than that of Serre and Swinnerton-Dyer. Let  $\tilde{V}$  denote the space over  $F$  spanned by  $\tilde{f}$  for all  $f \in V(\mathfrak{o})$ . Then  $\tilde{V}$  has the same dimension over  $F$  as that of  $V$  over  $K$ .  $\tilde{V}$  is called the space of modular forms mod  $p$  attached to  $V$ .

Let  $W_p = \begin{pmatrix} px & 1 \\ pNy & p \end{pmatrix}$  with some rational integers  $x$  and  $y$ , be a matrix with determinant  $p$ . We define a linear operator  $W_p$  on  $V_x$  by

$$(1.9) \quad (f | W_p)(z) = p^{k/2}(pNyz + p)^{-k} f\left(\frac{pxz + 1}{pNyz + p}\right).$$

Then we shall prove that  $W_p$  gives an isomorphism between  $V_x$  and  $V_{\bar{\chi}}$  where  $\bar{\chi}$  is the character of  $(\mathbf{Z}/p\mathbf{Z})^\times$  defined by  $\bar{\chi}(n) =$  the complex conjugate of  $\chi(n)$ .

Since we fix a prime divisor  $\mathfrak{p}$ , there exists a unique character  $\omega$  of  $(\mathbf{Z}/p\mathbf{Z})^\times$  such that

$$\omega(n) \equiv n \pmod{\mathfrak{p}}$$

for all integers  $n \in \mathbf{Z}$ ,  $(n, p) = 1$ . Since  $\chi$  is a character of  $(\mathbf{Z}/p\mathbf{Z})^\times$  of order  $t$ , there exists a unique rational integer  $a$ ,  $(1 \leq a \leq t, (a, t) = 1)$  such that

$$(1.10) \quad \chi = \omega^{(p-1)(t-a)/t}.$$

Put

$$(1.11) \quad \kappa = (p - 1)(t - a)/t.$$

**THEOREM 1.2.** *The notation being as above, there is a decomposition of  $V_x$  into a direct sum of subspaces  $V_{1,x}$  and  $V_{2,x}$  satisfying following properties:*

$$(1.12) \quad \dim_K V_{1,x} = \dim_{\mathbf{C}} S_{(p+1)(k/2) - (p-1-\kappa)}(N, \psi),$$

$$\dim_K V_{2,x} = \dim_{\mathbf{C}} S_{(p+1)(k/2) - \kappa}(N, \psi).$$

$$(1.13) \quad \widetilde{V}_{1,x} = \widetilde{V_{(p+1)(k/2) - (p-1-\kappa)}}.$$

$$(1.14) \quad (\widetilde{V}_{2,x} | W_p) = \widetilde{V_{(p+1)(k/2) - \kappa}}.$$

When  $N = 1$ ,  $k = 2$  and  $\chi$  is trivial, Theorem 1.2 implies  $\widetilde{S}_2(p) = \widetilde{S}_{p+1}(1)$ .

As a corollary of Theorem 1.2, we shall prove

COROLLARY 1.3. For any Hecke operator  $T(n)$  with  $(n, Np) = 1$ , the following congruence holds:

$$(1.15) \quad \begin{aligned} \operatorname{tr} T(n) \text{ on } S_k(Np, \psi\chi) &\equiv \operatorname{tr} T(n) \text{ on } S_{(p+1)(k/2)-(p-1-k)}(N, \psi) \\ &+ n^k \operatorname{tr} T(n) \text{ on } S_{(p+1)(k/2)-k}(N, \psi) \pmod{p}. \end{aligned}$$

Some results of this note were already announced in [5] without proofs. For general terminology on automorphic forms, we refer to Shimura’s textbook [9].

When  $k = 2$  and  $\psi$  and  $\chi$  are trivial characters, Dr. Hatada obtained earlier similar results to Theorem 1.1 and Theorem 1.2 in Part 2 of his doctoral thesis at University of Tokyo, April 1979.

§2. Proof of Theorem 1.1

We shall prove Theorem 1.1.

Hijikata’s trace formula for  $\dim_C S_k(N, \chi)$  can be read as follows [2]: let  $N$  be a positive integer and  $k \geq 2$  be an integer. Let  $\chi$  be an arbitrary  $C^\times$ -valued character of  $(Z/NZ)^\times$  such that  $\chi(-1) = (-1)^k$ .

Then we have

$$(2.1) \quad \begin{aligned} \dim_C S_k(N, \chi) &= t_0(k, N, \chi) + t_p(k, N, \chi) + t_{e_1}(k, N, \chi) \\ &+ t_{e_2}(k, N, \chi) + t_s(k, N, \chi), \end{aligned}$$

where

$$(2.2) \quad t_0(k, N, \chi) = \frac{k-1}{12} \prod_{p|N} p^v \left(1 + \frac{1}{p}\right),$$

$$(2.3) \quad t_{e_1}(k, N, \chi) = \begin{cases} -\frac{1}{3} \frac{\omega^{k-1} - \omega'^{k-1}}{\omega - \omega'} \prod_{p|N} \frac{1}{2} \left(1 + \left(\frac{-3}{p}\right)\right) (\chi_p(\omega) + \chi_p(\omega')) & \text{if } 3^2 \nmid N, \\ 0 & \text{if } 3^2 \mid N, \end{cases}$$

$$(2.4) \quad t_{e_2}(k, N, \chi) = \begin{cases} -\frac{1}{4} i^{k-2} \prod_{p|N} \frac{1}{2} \left(1 + \left(\frac{-4}{p}\right)\right) (\chi_p(i) + \chi_p(-i)) & \text{if } 4 \nmid N, \text{ and } k \text{ even,} \\ 0 & \text{otherwise,} \end{cases}$$

$$(2.5) \quad t_s(k, N, \chi) = \begin{cases} 1 & \text{if } k = 2 \text{ and } \chi \text{ is trivial,} \\ 0 & \text{otherwise,} \end{cases}$$

$$\chi = \prod_{p|N} \chi_p, \quad N = \prod_{p|N} p^v, \quad X^2 + X + 1 = (X - \omega)(X - \omega'),$$

and all products run over all prime divisors  $p$  of  $N$ .  $t_p(k, N, \chi)$  denotes the term which comes from the contribution of the parabolic elements. Later we shall describe it clearly.

*Remark.* If  $\chi$  does not satisfy the condition  $\chi(-1) = (-1)^k$ ,  $\dim_{\mathbb{C}} S_k(N, \chi)$  is automatically equal to zero. But the right hand side does not vanish generally. Hence (2.1) does not hold in general if  $\chi(-1) \neq (-1)^k$ .

Now we fix a rational prime  $p \geq 5$ . Let  $N$  be a positive rational integer which is prime to  $p$ . Let  $k \geq 2$  be an even rational integer. Let  $\psi$  and  $\chi$  be arbitrary  $\mathbb{C}^\times$ -valued characters of  $(\mathbb{Z}/N\mathbb{Z})^\times$  and  $(\mathbb{Z}/p\mathbb{Z})^\times$  respectively satisfying

$$\psi\chi(-1) = 1 .$$

Let  $t$  denote the order of  $\chi$ . Then  $t$  is a divisor of  $p - 1$ . For any integer  $a$  such that  $1 \leq a \leq t$  and  $(a, t) = 1$ , we put  $\kappa = ((p - 1)(t - a))/t$ . Put

$$k_1 = \frac{k}{2}(p + 1) - (p - 1 - \kappa) ,$$

and

$$k_2 = \frac{k}{2}(p + 1) - \kappa .$$

We shall prove

**THEOREM 2.1.** *The notation being as above, we have*

$$(2.6) \quad t_\alpha(k, Np, \psi\chi) = t_\alpha(k_1, N, \psi) + t_\alpha(k_2, N, \psi)$$

for  $\alpha = v, p, e1, e2, \delta$ .

*Remark 2.2.* It is clear that Theorem 1.1 follows from Theorem 2.1.

*Proof.* We should remark that  $\psi(-1) = (-1)^{k_1} = (-1)^{k_2}$ . Because if  $\psi(-1) = 1$ , then  $\chi(-1) = 1$ . Hence  $\kappa$  is even. So  $k_1$  and  $k_2$  are also even. If  $\psi(-1) = -1$ , then  $\chi(-1) = -1$ . Hence  $\kappa$  is odd. So  $k_1$  and  $k_2$  are also odd. We should remark that, for  $\alpha = v, e1, e2$ , the terms corresponding to prime factors  $\ell$  of  $N$  are common in both hand sides of (2.6).

For  $\alpha = v$ , we have

$$\begin{aligned}
 t_v(k_1, 1, \psi) + t_v(k_2, 1, \psi) &= \frac{1}{12} \{k_1 + k_2 - 2\} \\
 &= \frac{1}{12} \{k(p + 1) - (p - 1) - 2\} \\
 &= \frac{1}{12} (k - 1)(p + 1) \\
 &= t_v(k, p, \chi) .
 \end{aligned}$$

For  $\alpha = \delta$ , the left hand side of (2.6) is equal to 1 if and only if  $k = 2$  and  $\psi$  and  $\chi$  are trivial characters. Then we have  $t = 1$  and  $\kappa = 0$ . Hence  $k_1 = 2$  and  $k_2 = p + 1$ . Therefore the right hand side of (2.6) is equal to 1. Otherwise  $k_1 \neq 2$  and  $k_2 \neq 2$ . Hence the right hand side of (2.6) is equal to zero.

For  $\alpha = e1$ , it is sufficient to prove:

$$\begin{aligned}
 (\omega^{k-1} - \omega'^{k-1}) \frac{1}{2} \left( 1 + \left( \frac{-3}{p} \right) \right) (\chi(\omega) + \chi(\omega')) \\
 = \omega^{k_1-1} - \omega'^{k_1-1} + \omega^{k_2-1} - \omega'^{k_2-1} .
 \end{aligned}$$

When  $p \equiv 2 \pmod{3}$ , the left hand side of (2.6) is equal to zero. On the other hand, we have  $k_1 \equiv \kappa - 1 \pmod{3}$  and  $k_2 \equiv -\kappa \pmod{3}$ . Hence  $\omega^{k_1-1} = \omega^{\kappa-2}$  and  $\omega^{k_2-1} = \omega^{-\kappa-1}$ . To prove (2.6), it is sufficient to prove the following lemma:

LEMMA 2.3. For any integer  $\kappa$ , we have

$$(2.7) \quad \omega^{\kappa-2} - \omega'^{\kappa-2} = \omega'^{-\kappa-1} - \omega^{-\kappa-1}$$

*Proof.* If  $\kappa \equiv 0 \pmod{3}$ , we have  $\omega^{\kappa-2} = \omega$  and  $\omega'^{-\kappa-1} = \omega'^{-1} = \omega$ . If  $\kappa \equiv 1 \pmod{3}$ , we have  $\omega^{\kappa-2} = \omega^{-1} = \omega'$  and  $\omega'^{-\kappa-1} = \omega'^{-2} = \omega'$ . If  $\kappa \equiv 2 \pmod{3}$ , we have  $\omega^{\kappa-2} = 1$  and  $\omega'^{-\kappa-1} = 1$ . Hence Lemma 2.3 is proved.

When  $p \equiv 1 \pmod{3}$ , the left hand side of (2.6) is equal to  $(\chi(\omega) + \chi(\omega'))(\omega^{k-1} - \omega'^{k-1})$ . In this case,  $\omega^\kappa$  is proved to be equal to  $\chi(\omega)$  or  $\chi(\omega')$ . Since  $\omega^{k_1-1} = \omega^\kappa \cdot \omega^{k-1}$  and  $\omega^{k_2-1} = \omega^{-\kappa} \omega^{k-1}$ , we have

$$\omega^{k_1-1} - \omega'^{k_1-1} + \omega^{k_2-1} - \omega'^{k_2-1} = (\chi(\omega) + \chi(\omega'))(\omega^{k-1} - \omega'^{k-1}) .$$

Hence Theorem 2.1 is proved for  $\alpha = e1$ . Now we shall prove (2.6) for  $\alpha = e2$ . If  $\chi(-1) = -1$ ,  $\kappa$  is odd. Hence  $k_1$  and  $k_2$  are also odd. Hence the both hand sides of (2.6) are equal to zero. Therefore we may assume that  $\chi(-1) = 1$ . Hence  $k_1$  and  $k_2$  are even, so it is sufficient to prove:

$$(2.8) \quad \left(1 + \left(\frac{-4}{p}\right)\right)\chi(i)i^{k-2} = i^{k_1-2} + i^{k_2-2}.$$

When  $p \equiv 3 \pmod{4}$ , the left hand side of (2.8) is equal to zero. Since  $k_1 - k_2 \equiv p - 1 \equiv 2 \pmod{4}$ , we have  $i^{k_1-2} + i^{k_2-2} = 0$ . Hence (2.8) is true. When  $p \equiv 1 \pmod{4}$ , the left hand side of (2.8) is equal to  $2\chi(i)i^{k-2}$ . Since  $\chi(-1) = 1$ ,  $\chi(i)$  is equal to  $\pm 1$ . Hence  $\chi(i) = i^\epsilon = i^{-\epsilon}$ . Therefore we have  $i^{k_1-2} = i^\epsilon i^{k-2}$  and  $i^{k_2-2} = i^{-\epsilon} i^{k-2} = i^\epsilon \cdot i^{k-2}$ , so

$$i^{k_1-2} + i^{k_2-2} = 2i^\epsilon \cdot i^{k-2} = 2\chi(i)i^{k-2}.$$

Now we calculate  $t_p(k, N, \chi)$  following the formula in [2]. We use the same notation as in [2]. Let  $s = 2$  and  $\Phi(X) = (X - 1)^2$ . For  $1 \leq f \leq N$ , we calculate  $c(2, f)$ . Let  $p$  be a prime divisor of  $N$  and  $\rho = \text{ord}_p f$ . Then  $\nu = \text{ord}_p N$ . Let  $p^m$  denote the conductor of  $\chi_p$ . Put

$$\begin{aligned} \tilde{A} &= \{x \in \mathbf{Z} \mid (x - 1)^2 \equiv 0 \pmod{p^{\nu+2\rho}}, 2x \equiv 2 \pmod{p^\rho}\} \\ \tilde{B} &= \{x \in \tilde{A} \mid (x - 1)^2 \equiv 0 \pmod{p^{\nu+1+2\rho}}\}. \end{aligned}$$

Let  $A$  (resp.  $B$ ) be a complete system of representatives of  $\tilde{A}$  (resp.  $\tilde{B}$ ) modulo  $p^{\nu+\rho}$ .  $c(2, f)$  and  $c(2, f, p)$  are defined by the followings:

$$\begin{aligned} c(2, f) &= \prod_{p \mid N} c(2, f, p), \\ c(2, f, p) &= \sum_{x \in A} \chi_p(x) + \sum_{y \in B} \chi_p(2 - y). \end{aligned}$$

(Case of  $\nu = 2n, n \in \mathbf{Z}$ ). We have  $\tilde{A} = \{x \in \mathbf{Z} \mid x \equiv 1 \pmod{p^{n+\rho}}\}$  and  $\tilde{B} = \{x \in \mathbf{Z} \mid x \equiv 1 \pmod{p^{n+1+\rho}}\}$ . Hence we have  $A = \{x \pmod{p^{2n+\rho}}, x \equiv 1 \pmod{p^{n+\rho}}\}$  and  $B = \{x \pmod{p^{2n+\rho}}, x \equiv 1 \pmod{p^{n+\rho+1}}\}$ , so  $|A| = p^n$  and  $|B| = p^{n-1}$ . Therefore we have

$$(2.9) \quad c(2, f, p) = \begin{cases} p^n + p^{n-1} & \text{if } m \leq n + \rho, \\ p^{n-1} & \text{if } m = n + \rho + 1, \\ 0 & \text{if } m > n + \rho + 1. \end{cases}$$

(Case of  $\nu = 2n + 1, n \in \mathbf{Z}$ ). We have  $\tilde{A} = \tilde{B} = \{x \in \mathbf{Z} \mid x \equiv 1 \pmod{p^{n+1+\rho}}\}$ . Hence we have  $A = B = \{x \pmod{p^{2n+1+\rho}}, x \equiv 1 \pmod{p^{n+1+\rho}}\}$  and  $|A| = |B| = p^n$ . Therefore we have

$$(2.10) \quad c(2, f, p) = \begin{cases} 2p^n & \text{if } m \leq n + \rho + 1, \\ 0 & \text{if } m > n + \rho + 1. \end{cases}$$

Since  $\chi(-1) = (-1)^k$ ,  $t_p(k, N, \chi)$  is given by the following:

$$\begin{aligned}
 (2.11) \quad t_p(k, N, \chi) &= -\frac{2}{4N} \sum_f c(2, f), \\
 &= -\frac{2}{4N} \sum_f \prod_{p|N} c(2, f, p),
 \end{aligned}$$

where  $f$  ranges from 1 to  $N$ .

We should write  $c(2, f, N, \chi)$  or  $c(2, f, p, N, \chi)$  instead of  $c(2, f)$  or  $c(2, f, p)$  to make explicit contributions of the level  $N$  and the character  $\chi$ . It is clear that  $t_p(k, N, \chi)$  is independent of  $k$ .

LEMMA 2.4. *Let  $f$  be an integer such that  $1 \leq f \leq N$ . Put  $f' = f + iN$  for  $0 \leq i \leq p - 1$ . Then, for any prime divisor  $\ell$  of  $N$ , we have*

$$(2.12) \quad c(2, f', \ell, Np, \psi\chi) = c(2, f, \ell, N, \psi).$$

*Proof.* Put  $\rho = \text{ord}_\ell f$ ,  $\rho' = \text{ord}_\ell f'$  and  $\nu = \text{ord}_\ell N$ . Let  $\ell^m$  denote the conductor of  $\psi_\ell$ . Since  $\ell \neq p$ , we have  $(\psi\chi)_\ell = \psi_\ell$ . If  $\rho < \nu$ ,  $\rho'$  is equal to  $\rho$ . Hence (2.12) is true. If  $\rho \geq \nu$ , it also holds  $\rho' \geq \nu$ . But it is clear that  $m \leq \nu$ , so we have

$$n + \rho \geq m \quad \text{and} \quad n + \rho' \geq m.$$

Hence (2.12) is true.

LEMMA 2.5. *If  $\nu = 1$ , we have*

$$c(2, f, p) = 2$$

for any integer  $f$ .

*Proof.* When  $\nu = 1$ , we have  $n = 0$ . Hence  $n + \rho + 1 \geq 1$  and  $m \leq 1$ , so  $n + \rho + 1 \geq m$ . Therefore  $c(2, f, p) = 2$ .

Now we shall prove Theorem 2.1 for  $\alpha = p$ . We have

$$\begin{aligned}
 t_p(k, Np, \psi\chi) &= -\frac{2}{4Np} \sum_{\substack{f' = f + iN \\ 1 \leq f \leq N \\ 0 \leq i \leq p-1}} c(2, f', p, Np, \psi\chi) \prod_{\ell|N} c(2, f', \ell, Np, \psi\chi) \\
 &= -\frac{4}{4Np} \sum_{\substack{f' = f + iN \\ 1 \leq f \leq N \\ 0 \leq i \leq p-1}} \prod_{\ell|N} c(2, f, \ell, N, \psi) \\
 &= -\frac{4}{4N} \sum_{1 \leq f \leq N} c(2, f, N, \psi) \\
 &= t_p(k_1, N, \psi) + t_p(k_2, N, \psi).
 \end{aligned}$$

This completes the proof.



§3. Supplements to Theorem 1.1

Here we shall give several supplements to Theorem 1.1.

Let  $S_k^0(N, \chi)$  denote the subspace of all new forms in  $S_k(N, \chi)$ . We consider the same situation as in Theorem 1.1. Let  $M$  denote the conductor of  $\psi$ . If the conductor of  $\chi$  is  $p$ , we have

$$S_k(Np, \psi\chi) = \bigoplus_M \bigoplus_{d|N/M} S_k^0(Mp, \psi\chi)^d \quad (\text{direct sum})$$

where  $S_k^0(Mp, \psi\chi)^d = \{f(dz) | f \in S_k^0(Mp, \psi\chi)\}$  and the summation ranges over all positive divisors  $M$  of  $N$  which are multiples of  $M$  and over all positive divisors  $d$  of  $N/M$ .

If  $\chi$  is the trivial character, we have  $S_k(Np, \psi\chi) = S_k(Np, \psi)$  and

$$S_k(Np, \psi) = \bigoplus_M \bigoplus_{d|N/M} \{S_k^0(M, \psi)^d \oplus S_k^0(M, \psi)^{dp}\} \bigoplus_M \bigoplus_{d|N/M} S_k^0(Mp, \psi)^d \quad (\text{direct sum})$$

where the summation ranges over the same sets as above. Therefore, using inductions on levels and Theorem 1.1, we can easily prove the following:

**THEOREM 3.1.** *We use the same notation as in Theorem 1.1. We assume that  $\chi$  is not trivial, then we have*

$$\begin{aligned} \dim_{\mathbb{C}} S_k^0(Np, \psi\chi) &= \dim_{\mathbb{C}} S_{(k/2)(p+1)-(p-1-\kappa)}^0(N, \psi) \\ &\quad + \dim_{\mathbb{C}} S_{(k/2)(p+1)-\kappa}^0(N, \psi). \end{aligned}$$

We also have

$$\begin{aligned} \dim_{\mathbb{C}} S_k^0(Np, \psi) + 2 \dim_{\mathbb{C}} S_k^0(N, \psi) &= \dim_{\mathbb{C}} S_{(k/2)(p+1)-(p-1)}^0(N, \psi) \\ &\quad + \dim_{\mathbb{C}} S_{(k/2)(p+1)}^0(N, \psi), \end{aligned}$$

where  $\psi$  is an arbitrary character of  $(\mathbb{Z}/N\mathbb{Z})^\times$  such that  $\psi(-1) = 1$ .

Combining the above theorem and Hijikata's results in [3], we can prove identities between dimensions of spaces of cusp forms with respect to Fuchsian groups which are obtained from split orders of indefinite division quaternion algebras.

In Theorem 1.1, we assume that  $k$  is even. Now we consider the case that  $k$  is odd and  $k \geq 3$ . Let  $\psi$  and  $\chi$  be arbitrary characters of  $(\mathbb{Z}/N\mathbb{Z})^\times$  and  $(\mathbb{Z}/p\mathbb{Z})^\times$  respectively satisfying  $\psi\chi(-1) = -1$ . Let  $t$  denote the order of  $\chi$ . Put  $\kappa = ((p-1)(t-a))/t$  with any integer  $a$  such that  $1 \leq a \leq t$  and  $(a, t) = 1$ . Put

$$k_1 = \frac{k}{2}(p + 1) - (p - 1 - \kappa)$$

and

$$k_2 = \frac{k}{2}(p + 1) - \kappa .$$

We have to check whether  $\psi(-1) = (-1)^{k_1}$  or not.

LEMMA 3.2. *We have*

$$\psi(-1) = \begin{cases} (-1)^{k_1} = (-1)^{k_2} & \text{if } p \equiv 1 \pmod{4} , \\ -(-1)^{k_1} = -(-1)^{k_2} & \text{if } p \equiv 3 \pmod{4} . \end{cases}$$

*Proof.* If  $p \equiv 3 \pmod{4}$ , we have  $k_1 \equiv \kappa \pmod{2}$  and  $k_2 \equiv \kappa \pmod{2}$ . Since  $\chi(-1) = (-1)^\kappa$ , we have  $\psi(-1) = -\chi(-1) = -(-1)^\kappa = -(-1)^{k_1} = -(-1)^{k_2}$ . If  $p \equiv 1 \pmod{4}$ , we have  $k_1 \equiv 1 + \kappa$  and  $k_2 \equiv 1 + \kappa \pmod{2}$ . Since  $\chi(-1) = (-1)^\kappa$ , we have  $\psi(-1) = -\chi(-1) = (-1)^{1+\kappa} = (-1)^{k_1} = (-1)^{k_2}$ .

Considering a statement analogous to Theorem 1.1 in the case  $k$  is odd, we have almost no problem if  $p \equiv 1 \pmod{4}$ . But, if  $p \equiv 3 \pmod{4}$ , the right hand side of (1.3) is automatically equal to zero, because  $\dim_{\mathbb{C}} S_{k_1}(N, \psi) = 0$  if  $\psi(-1) \neq (-1)^{k_1}$ . So we have to define the followings: let  $N$  be a positive integer and  $k \geq 2$  be an integer. Let  $\chi$  be an arbitrary  $\mathbb{C}^\times$ -valued character of  $(\mathbb{Z}/N\mathbb{Z})^\times$ . We don't assume that  $\chi(-1) = (-1)^k$ . For the triple  $(k, N, \chi)$ , we define  $t_v(k, N, \chi)$ ,  $t_{e_1}(k, N, \chi)$ ,  $t_{e_2}(k, N, \chi)$  and  $t_\delta(k, N, \chi)$  by (2.2) ~ (2.5). For the definition of  $t_p(k, N, \chi)$ , we use (2.11). The definitions of these numbers don't need the condition  $\chi(-1) = (-1)^k$ .

Then we put

$$(3.1) \quad d(k, N, \chi) = \sum_{\alpha=v, e_1, e_2, p, \delta} t_\alpha(k, N, \chi) .$$

Hence if  $\chi(-1) = (-1)^k$ ,  $d(k, N, \chi)$  coincides with  $\dim_{\mathbb{C}} S_k(N, \chi)$ .

THEOREM 3.3. *We suppose  $k$  is odd,  $k \geq 3$  and  $\psi\chi(-1) = -1$ . The notation being as above, we have*

$$(3.2) \quad d(k, Np, \psi\chi) = d(k_1, N, \psi) + d(k_2, N, \psi) .$$

*Proof.* In proving Theorem 2.1, we don't use the condition  $k$  is even except for the proof in the case  $\alpha = e_2$ . Since  $k$  is odd, the left hand side is always equal to zero. If  $p \equiv 3 \pmod{4}$ , we have  $(-4/p) = -1$ , so the right hand side is equal to zero. We may assume  $p \equiv 1 \pmod{4}$ . Then

we have  $k_1 \equiv k_2 \equiv 1 + \kappa \pmod{2}$ . If  $\kappa$  is even, namely  $\chi(-1) = 1$ , the right hand side is equal to zero. If  $\chi(-1) = -1$ , we have  $\chi(i) + \chi(-i) = 0$ .

This completes the proof.

EXAMPLE 3.4. We calculate  $d(k) = d(k, 1, \chi^0)$  for any odd  $k$  and the trivial character  $\chi^0$ . We have

$$(3.3) \quad d(k) = \frac{k-1}{12} - \frac{1}{2} - \frac{1}{3} \frac{\omega^{k-1} - \omega'^{k-1}}{\omega - \omega'}$$

$$= \begin{cases} \frac{m}{2} - \frac{1}{2} & \text{if } k = 6m + 1, \\ \frac{m}{2} & \text{if } k = 6m + 3, \\ \frac{m}{2} - \frac{1}{2} & \text{if } k = 6m + 5. \end{cases}$$

Let  $p$  be a rational prime such that  $p \equiv 3 \pmod{4}$  and  $p \geq 5$ . We apply Theorem 3.3 for  $\dim_c S_k(p, \chi)$  for an odd  $k, k \geq 3$  and an arbitrary character  $\chi$  of  $(\mathbb{Z}/p\mathbb{Z})^\times$  such that  $\chi(-1) = -1$ . Let  $t$  denote the order of  $\chi$ . Then we have

$$(3.4) \quad \dim_c S_k(p, \chi) = d\left(\left\{\frac{k}{2}(p+1) - \left(p-1 - \frac{p-1}{t}\right)\right\}\right) + d\left(\left\{\frac{k}{2}(p+1) - \frac{p-1}{t}\right\}\right).$$

When  $\chi(n) = (n/p)$ , we have

$$\dim_c S_k\left(p, \left(\frac{\cdot}{p}\right)\right) = 2d\left(\left\{\frac{k-1}{2}(p+1) + 1\right\}\right).$$

§4. Proof of Theorem 1.2

We use the same notation as in the introduction. We prove the following lemma.

LEMMA 4.1.  $W_p$  gives the isomorphism between  $V_x$  and  $V_{\bar{x}}$ .

Proof. By virtue of results of Miyake [7] and Asai [1],  $W_p$  gives the isomorphism between  $S_k(Np, \psi\chi)$  and  $S_k(Np, \psi\bar{\chi})$ . Therefore it is sufficient to prove that  $W_p$  maps  $V_x$  into  $V_{\bar{x}}$ , or  $W_p$  maps a suitable basis of  $V_x$  into  $V_{\bar{x}}$ . As a suitable basis of  $V_x$ , we can choose following elements:

- (i)  $f_i(z) = \sum_{n=1}^{\infty} a_n q^n \in S_k^0(Np, \psi\chi)$  are common eigenfunctions of all

Hecke operators such that  $\alpha_i = 1$  which are called primitive eigenforms in  $S_k(Np, \psi\chi)$ ,

(ii)  $g_j(z)$  are primitive eigenforms in  $S_k(M, \psi\chi)$  for any positive divisors  $M$  of  $Np$  such that  $\psi\chi$  is defined modulo  $M$ ,

(iii)  $g_j(dz)$  for any positive divisors  $d$  of  $Np/M$ .

By Lemma 3 in [1],  $W_p$  maps  $f_i(z)$  into  $V_{\bar{z}}$ . If  $g_j(z)$  is a primitive eigenform in  $S_k(Mp, \psi\chi)$  where  $M$  is a positive divisor of  $N$ , for any positive divisors  $d$  of  $N/M$ , we have

$$\begin{aligned} g_j(dz)|W_p &= d^{-k/2}g_j(z)\begin{bmatrix} d & 0 \\ 0 & 1 \end{bmatrix}\begin{bmatrix} px, & 1 \\ pNy, & p \end{bmatrix} \\ &= d^{-k/2}g_j(z)\begin{bmatrix} px, & d \\ p(N/d)y, & p \end{bmatrix}\begin{bmatrix} d & 0 \\ 0 & 1 \end{bmatrix}. \end{aligned}$$

Hence we can apply Lemma 3 in [1] to  $g_j(z)$ , so  $W_p$  maps  $g_j(dz)$  into  $V_{\bar{z}}$ . If  $g_j(z)$  is a primitive eigenform in  $S_k(M, \psi)$  where  $M$  is a positive divisor of  $N$ ,  $\chi$  must be the trivial character. Hence we have, for any positive divisors  $d$  of  $N/M$ ,

$$\begin{aligned} g_j(dz)|W_p &= d^{-k/2}g_j(z)\begin{bmatrix} d & 0 \\ 0 & 1 \end{bmatrix}\begin{bmatrix} px, & 1 \\ pNy, & p \end{bmatrix} \\ &= d^{-k/2}g_j(z)\begin{bmatrix} x, & d \\ (N/d)y, & p \end{bmatrix}\begin{bmatrix} pd & 0 \\ 0 & 1 \end{bmatrix}. \end{aligned}$$

Since  $\begin{bmatrix} x, & d \\ (N/d)y, & p \end{bmatrix}$  belongs to  $\Gamma_0(N/d)$ ,  $W_p$  maps  $g_j(dz)$  into  $V_{\bar{z}}$ . Hence Lemma 4.1 is completely proved.

Let  $K_p$  denote the completion of  $K$  at  $p$  and  $\mathfrak{o}_p$  the closure of  $\mathfrak{o}$  in  $K_p$ . We mainly consider  $V_x \otimes K_p$  and  $V_{x'} \otimes K_p$  (over  $K$ ) in this section. It is clear that  $W_p$  is uniquely extended to the  $K_p$ -linear isomorphism between  $V_x \otimes K_p$  and  $V_{\bar{x}} \otimes K_p$ , so we also denote this by  $W_p$ . We define  $K_p$ -norms  $N_x$  and  $N'_x$  on  $V_x \otimes K_p$  as follows: for any  $f = \sum_{n=1}^{\infty} a_n q^n \in V_x \otimes K_p$ ,  $a_n \in K_p$ , we define  $N_x$  and  $N'_x$  by

$$(4.1) \quad N_x(f) = \sup_n \nu(a_n),$$

and

$$(4.2) \quad N'_x(f) = N_{\bar{x}}(f|W_p).$$

Hence by virtue of Proposition 4 of Chap II, § 2 in [10], there exists the following decomposition

$$(4.3) \quad V_x \otimes K_p = V_1 \oplus \dots \oplus V_{d_x}$$

of  $V_x \otimes K_p$  into a direct sum of subspaces  $V_i$  of dimension 1 such that

$$N_x(\sum v_i) = \sup_i N_x(v_i) ,$$

and

$$N'_x(\sum v_i) = \sup_i N'_x(v_i) ,$$

whenever  $v_i \in V_i$  for  $1 \leq i \leq d_x$  where  $d_x$  denotes the dimension of  $V_x \otimes K_p$  over  $K_p$ . Here we may assume that  $V_i = K_p \cdot v_i$  with  $v_i \in V_i$  and  $N_x(v_i) = 1$  for  $1 \leq i \leq d_x$ . Changing the order of  $i$  suitably, we may assume

$$(4.4) \quad \begin{cases} N'_x(v_i) < p^{\epsilon/(p-1)} & \text{for } 1 \leq i \leq r_x , \\ N'_x(v_i) \geq p^{\epsilon/(p-1)} & \text{for } r_x < i \leq d_x . \end{cases}$$

**THEOREM 4.2.** *The notation being as above, we have*

$$(4.5) \quad r_x = \dim_{\mathbb{C}} S_{(k/2)(p+1) - (p-1-\epsilon)}(N, \psi) .$$

*Proof.* We use the same idea as in the proof of Theorem (3.4) in [4], so we quote several results from [4]. For any odd Dirichlet character  $\eta$  mod  $p$ , let

$$E_{1,\eta} = 1 + c_\eta \sum_{\substack{m>0 \\ m_1>0}} \eta(m) e^{2\pi i m m_1 z} ,$$

$$c_\eta = - \frac{2p}{\sum_{1 \leq a \leq p-1} \eta(a) a}$$

denote the normalized Eisenstein series of type  $(1, \eta)$  on  $\Gamma_0(p)$ . Then we have

$$E_{1,\bar{\omega}} \begin{vmatrix} 0 & -1 \\ p & 0 \end{vmatrix} = \frac{c_{\bar{\omega}}}{c_\omega} \frac{\sqrt{p}}{C(\omega)} E_{1,\omega}$$

where  $C(\omega) = \sum_{a=1}^{p-1} \omega(a) e^{2\pi i(a/p)}$  is the Gauss sum.

For each  $v_i$ ,  $1 \leq i \leq d_x$ , put

$$(4.6) \quad h_i = v_i(E_{1,\bar{\omega}})^{(k/2)(p-1) - (p-1-\epsilon)} .$$

Then  $h_i$  is written by a  $K_p$ -linear sum of elements in  $S_{k_1}(Np, \psi)$ . Hence we can define

$$T_r(h_i) = h_i + \psi(p)^{-1} p^{1 - (k_1/2)} (h_i | W_p) | U(p) ,$$

as in [4].

Then  $T_r(h_i)$  is written by a  $K_p$ -linear sum of elements in  $S_{k_1}(N, \psi)$ . We shall prove

$$(4.7) \quad T_r(h_i) \equiv h_i \pmod{\mathfrak{p}}$$

for  $i, 1 \leq i \leq r_x$ .

For any element  $f = \sum_{n=1}^{\infty} a_n q^n \in K_p[[q]]$ , we define

$$N(f) = \sup_n \nu(a_n).$$

Then, in order to prove (4.7), it sufficient to prove

$$(4.8) \quad N(p^{1-(k_1/2)} h_i | W_p) < 1$$

for  $1 \leq i \leq r_x$ . It is clear that

$$\begin{aligned} h_i | W_p &= (v_i | W_p) \left( E_{1, \bar{\omega}} \begin{bmatrix} 0 & -1 \\ p & 0 \end{bmatrix} \right)^{k_1-k} \\ &= (v_i | W_p) \cdot \left( \frac{\sqrt{p} c_{\bar{\omega}}}{C(\omega) c_{\omega}} \right)^{k_1-k} E_{1, \bar{\omega}}^{k_1-k}. \end{aligned}$$

Therefore, using Proposition (1.2) in [4], we have

$$\begin{aligned} N(p^{1-(k_1/2)} h_i | W_p) &= p^{-(1-(k_1/2))} N'_x(v_i) p^{(-(1/2)-(1/p-1))(k_1-k)} \\ &= p^{-(\kappa/(p-1))} N'_x(v_i). \end{aligned}$$

Hence (4.8) holds for  $1 \leq i \leq r_x$ .

Since  $h_i \equiv v_i \pmod{\mathfrak{p}}$ , we have

$$T_r(h_i) \equiv v_i \pmod{\mathfrak{p}}$$

for  $1 \leq i \leq r_x$ . Hence  $\{\tilde{v}_i, 1 \leq i \leq r_x\}$  are contained in  $\tilde{V}_{k_1}$ . Since  $\{\tilde{v}_i, 1 \leq i \leq r_x\}$  are linearly independent over  $F$ , we have

$$(4.9) \quad r_x \leq \dim_C S_{k_1}(N, \psi).$$

We apply the same argument to  $V_{\bar{x}}$ . Let

$$(4.10) \quad u_i = N'_x(v_i)^{-1} (v_i | W_p)$$

for  $1 \leq i \leq d_x$ . Then  $\{u_i, 1 \leq i \leq d_x\}$  forms a basis of  $V_{\bar{x}} \otimes K_p$ . For  $\bar{x}, \kappa$  changes to  $p-1-\kappa$ .

Hence we have

$$N'_x(u_i) < p^{1-(\kappa/(p-1))}$$

for  $r_x + 1 \leq i \leq d_x$ . Therefore we have

$$(4.11) \quad d_x - r_x \leq \dim_{\mathbb{C}} S_{k_2}(N, \psi).$$

By virtue of Theorem 1.1, we have

$$(4.12) \quad d_x = \dim_{\mathbb{C}} S_{k_1}(N, \psi) + \dim_{\mathbb{C}} S_{k_2}(N, \psi).$$

Combining (4.9), (4.11) and (4.12), we obtain the proof of Theorem 4.2.

*Proof of Theorem 1.2.* For each  $v_i, 1 \leq i \leq d_x$ , there exists an element  $f_i$  in  $V_x$  satisfying  $v_i \equiv f_i \pmod{\mathfrak{p}}$ . As  $V_{1,x}$  and  $V_{2,x}$ , we can take the spaces spanned by  $\{f_i, 1 \leq i \leq r_x\}$  and  $\{f_i, r_x + 1 \leq i \leq d_x\}$  respectively over  $K$ . Then the proof of Theorem 4.2 shows that (1.12), (1.13) and (1.14) are true.

**§ 5. Proof of Corollary 1.3**

We use the same notation as in the proof of Theorem 4.2, except for  $d_x$  and  $r_x$ . We simply write  $d$  and  $r$  instead of  $d_x$  and  $r_x$ . Let  $\{v_i, 1 \leq i \leq d\}$  be the basis of  $V_x \otimes K_p$ . For any positive integer  $n$  such that  $(n, Np) = 1$ , we simply denote by  $T(n)$  the Hecke operator of degree  $n$  acting on  $S_k(Np, \psi\chi)$  or  $S_{k'}(N, \chi)$ . Since  $T(n)$  are  $K$ -linear endomorphisms of  $V_x$  or  $V_{k'}$ ,  $T(n)$  are uniquely extended to  $K_p$ -linear endomorphisms of  $V_x \otimes K_p$  or  $V_{k'} \otimes K_p$ , which we also denote by  $T(n)$ . Let  $\ell$  be a prime such that  $\ell \nmid Np$ . Then, for any  $v = \sum_{n=1}^{\infty} a(n)q^n \in V_x \otimes K_p, a(n) \in K_p$ , we have

$$(5.1) \quad v | T(\ell) = \sum_{n=1}^{\infty} \left\{ a(n\ell) + \ell^{k-1} \psi\chi(\ell) a\left(\frac{n}{\ell}\right) \right\} q^n,$$

where  $a(n/\ell) = 0$  if  $\ell \nmid n$ .

Theorem 1.2 implies that, for each  $v_i, 1 \leq i \leq r$ , there exists an element  $f_i$  in  $V_{k_1} \otimes K_p$  such that

$$(5.2) \quad v_i \equiv f_i \pmod{\mathfrak{p}}.$$

Then it is clear that  $\{f_i, 1 \leq i \leq r\}$  forms a basis of  $V_{k_1} \otimes K_p$ . For any  $f = \sum_{n=1}^{\infty} b(n)q^n \in V_{k_1} \otimes K_p, b(n) \in K_p$ , we have

$$(5.3) \quad f | T(\ell) = \sum_{n=1}^{\infty} \left\{ b(n\ell) + \ell^{k_1-1} \psi(\ell) b\left(\frac{n}{\ell}\right) \right\} q^n.$$

Since  $\ell^{k_1-1} = \ell^{(k/2)(p+1) - (p-1-k) - 1} \equiv \ell^{k-1} \cdot \ell^k \equiv \chi(\ell)\ell^{k-1} \pmod{\mathfrak{p}}$ , by (5.1), (5.2) and (5.3), we have

$$(5.4) \quad v_i | T(n) \equiv f_i | T(n) \pmod{\mathfrak{p}}$$

for any  $i, 1 \leq i \leq r$  and for any positive integers  $n$  such that  $(n, Np) = 1$ .

We should remark that  $V_{k_1}$  is closed under the action of  $T(n)$ , but the space spanned by  $v_i, 1 \leq i \leq r$  may not be closed under the action of any  $T(n)$ .

Take suitable constants  $c_i \in K_p$  satisfying

$$(5.5) \quad \begin{cases} v'_i = v_i | W_p, \\ u_i = c_i v'_i, \quad N_{\bar{\chi}}(u_i) = 1 \end{cases} \quad \text{for } 1 \leq i \leq d.$$

For any  $u = \sum_{n=1}^{\infty} c(n)q^n \in V_{k_2} \otimes K_p, c(n) \in K_p$ , we have

$$(5.6) \quad u | T(\ell) = \sum_{n=1}^{\infty} \left\{ c(n\ell) + \ell^{k_2-1} \psi(\ell) \bar{\chi}(\ell) c\left(\frac{n}{\ell}\right) \right\} q^n.$$

Theorem 1.2 implies that for each  $i, r + 1 \leq i \leq d$ , there exists an element  $g_i$  in  $V_{k_2} \otimes K_p$  such that

$$(5.7) \quad u_i \equiv g_i \pmod{\mathfrak{p}}.$$

For any  $g = \sum_{n=1}^{\infty} d(n)q^n \in V_{k_2} \otimes K_p, d(n) \in K_p$ , we have

$$(5.8) \quad g | T(\ell) = \sum_{n=1}^{\infty} \left\{ d(n\ell) + \ell^{k_2-1} \psi(\ell) d\left(\frac{n}{\ell}\right) \right\} q^n.$$

Since  $\ell^{k_2-1} = \ell^{(k/2)(p+1)-s-1} \equiv \ell^{k-1} \cdot \ell^{-s} \equiv \ell^{k-1} \bar{\chi}(\ell) \pmod{\mathfrak{p}}$ , by (5.6), (5.7) and (5.8), we have

$$(5.9) \quad u_i | T(n) \equiv g_i | T(n) \pmod{\mathfrak{p}},$$

for any  $i, r + 1 \leq i \leq d$  and for any positive integer  $n$  such that  $(n, Np) = 1$ . Let

$$(5.10) \quad \begin{aligned} [v_{r+1}, \dots, v_d] | T(n) &= [v_1, \dots, v_d] A(n), \\ [u_{r+1}, \dots, u_d] | T(n) &= [u_1, \dots, u_d] C(n), \\ [g_{r+1}, \dots, g_d] | T(n) &= [g_{r+1}, \dots, g_d] D(n), \end{aligned}$$

where  $A(n), C(n), D(n)$  are  $d \times d - r, d \times d - r, d - r \times d - r$  matrices whose elements are in  $\mathfrak{o}_p$ . Then (5.9) shows that

$$(5.11) \quad C(n) \pmod{\mathfrak{p}} = \left[ \begin{array}{c} O \\ D(n) \end{array} \right]_r \pmod{\mathfrak{p}}.$$

In [1], Asai proved that, for any positive integer  $n$  such that  $(n, Np) = 1$ , it holds

$$(5.12) \quad \chi(n) \{v_i | W_p\} | T(n) = \{v_i | T(n)\} | W_p,$$

for any  $i, 1 \leq i \leq d$ . We should remark that he considered only when



the level is square free but it is easily shown that his method is applicable to our case.

Therefore we have

$$\begin{aligned} [v_{r+1}, \dots, v_d] | T(n) | W_p &= [v_1, \dots, v_d] A(n) | W_p \\ &= ([v_1, \dots, v_d] | W_p) A(n) \\ &= [u_1, \dots, u_d] \begin{bmatrix} c_1^{-1} & & 0 \\ & \ddots & \\ 0 & & c_d^{-1} \end{bmatrix} A(n) \end{aligned}$$

and

$$\begin{aligned} \chi(n) [v_{r+1}, \dots, v_d] | W_p | T(n) &= \chi(n) [u_{r+1}, \dots, u_d] \begin{bmatrix} c_{r+1}^{-1} & & 0 \\ & \ddots & \\ 0 & & c_d^{-1} \end{bmatrix} | T(n) \\ &= \chi(n) [u_1, \dots, u_d] C(n) \begin{bmatrix} c_{r+1}^{-1} & & 0 \\ & \ddots & \\ 0 & & c_d^{-1} \end{bmatrix}. \end{aligned}$$

Hence we have

$$\begin{bmatrix} c_1^{-1} & & 0 \\ & \ddots & \\ 0 & & c_d^{-1} \end{bmatrix} A(n) = \chi(n) C(n) \begin{bmatrix} c_{r+1}^{-1} & & 0 \\ & \ddots & \\ 0 & & c_d^{-1} \end{bmatrix},$$

so

$$\begin{aligned} (5.12) \quad C(n) &= \chi(n)^{-1} \begin{bmatrix} c_1^{-1} & & 0 \\ & \ddots & \\ 0 & & c_d^{-1} \end{bmatrix} A(n) \begin{bmatrix} c_{r+1} & & 0 \\ & \ddots & \\ 0 & & c_d \end{bmatrix} \\ &= \chi(n)^{-1} \left[ \begin{array}{ccc} * & & \\ \hline \alpha_{r+1, r+1} & & * \\ & \ddots & \\ * & & \alpha_{d, d} \end{array} \right] \Bigg\}_{r}, \end{aligned}$$

where  $A(n) = (a_{ij})$ .

Then combining (5.4), (5.9), (5.11) and (5.12) we have

$$(5.13) \quad \text{tr } T(n) \text{ on } V_x \equiv \text{tr } T(n) \text{ on } V_{k_1} + \chi(n) \text{tr } T(n) \text{ on } V_{k_2} \pmod{p}.$$

This completes the proof.

*Remark 5.1.* In subsequent papers [6], we shall give another method to prove these congruences. It is to compute  $\text{tr } T(n)$  on  $V_x \pmod{\mathfrak{p}}$ ,  $\text{tr } T(n)$  on  $V_k \pmod{\mathfrak{p}}$  directly by using Hijikata's explicit trace formulae for Hecke operators [2], and to compare both hands sides of (5.13).

*Remark 5.2.* If (5.13) is proved by an another method, we can get simpler proof of Theorem 1.1 applying (5.13) to the case  $n = 1$ .

**§6. Supplements to Theorem 1.2**

Here we assume that  $p \equiv 1 \pmod{4}$ ,  $k$  is odd,  $k \geq 3$  and  $\psi\chi(-1) = -1$ . In Theorem 3.3, §3, we proved certain identities of dimensions of spaces of cusp forms analogous to Theorem 1.1. Hence it is natural to study a statement analogous to Theorem 1.2 in this case. We slightly modify the proof of Theorem 1.2 at following points:

(i)  $K$  must be enlarged to contain  $\sqrt{d}$  for all positive divisors  $d$  of  $Np$ .

(ii) We consider  $S_k(Np, \psi\chi\omega^{(p-1)/2})$  instead of  $S_k(Np, \psi\chi)$ . Since  $p \equiv 1 \pmod{4}$ , we have  $\omega^{(p-1)/2}(-1) = 1$  and  $\psi\chi\omega^{(p-1)/2}(-1) = -1$ . Hence the order of  $\chi\omega^{(p-1)/2}$  coincides with that of  $\chi$ . Therefore we have

$$\dim_{\mathbb{C}} S_k(Np, \psi\chi\omega^{(p-1)/2}) = \dim_{\mathbb{C}} S_k(Np, \psi\chi) .$$

Since  $\omega^{(p-1)/2}$  is a real valued character, we have

$$\overline{(\chi\omega^{(p-1)/2})} = \bar{\chi}\omega^{(p-1)/2} .$$

(iii)  $V_x$  should be changed as follows:

$$V_x = \left\{ f(z) = \sum_{n=1}^{\infty} a_n q^n \in S_k(Np, \psi\chi\omega^{(p-1)/2}) \mid a_n \in K \text{ for all } n \geq 1 \right\} .$$

(iv) Since  $k$  is odd, we have

$$\bar{\omega}^{(p-1)(k/2) - (p-1-k)} = \bar{\omega}^{(p-1)/2} \bar{\omega}^k = \omega^{(p-1)/2} \bar{\omega}^k .$$

Hence, following the arguments in §4, §5, we can prove

**THEOREM 6.1.** *The notation being as above, there is a decomposition of  $V_x$  into a direct sum of subspaces  $V_{1,x}$  and  $V_{2,x}$  satisfying following properties:*

$$(6.1) \quad \begin{aligned} \dim_K V_{1,x} &= \dim_{\mathbb{C}} S_{k_1}(N, \psi) , \\ \dim_K V_{2,x} &= \dim_{\mathbb{C}} S_{k_2}(N, \psi) . \end{aligned}$$

$$(6.2) \quad \widetilde{V}_{1,x} = \widetilde{V}_{k_1}.$$

$$(6.3) \quad (\widetilde{V}_{2,x} | \widetilde{W}_p) = \widetilde{V}_{k_2}.$$

COROLLARY 6.2. *For any Hecke operator  $T(n)$ , with  $(n, Np) = 1$ , the following congruence holds:*

$$(6.4) \quad \begin{aligned} \operatorname{tr} T(n) \text{ on } S_k(Np, \psi\lambda\omega^{(p-1)/2}) &\equiv \operatorname{tr} T(n) \text{ on } S_{k_1}(N, \psi) \\ &+ n^x \operatorname{tr} T(n) \text{ on } S_{k_2}(N, \psi) \pmod{p}. \end{aligned}$$

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