

## Epidemiology of infectious encephalitis, differences between a prospective study and hospital discharge data

S. BERNARD<sup>1\*</sup>, A. MAILLES<sup>2</sup> AND J. P. STAHL<sup>1</sup> on behalf of the Steering Committee and Investigators Group†

<sup>1</sup> Infectious Diseases, University Hospital and Grenoble 1 Joseph Fourier University, Grenoble, France

<sup>2</sup> French Institute for Public Health surveillance, Saint Maurice, France

Received 18 July 2012; Final revision 21 September 2012; Accepted 17 October 2012;  
first published online 21 November 2012

### SUMMARY

The French epidemiology of infectious encephalitis has been described in a 2007 prospective study. We compared these results with available data (demographic features, causative agents, case-fatality ratio) obtained through the French national hospital discharge 2007 database (PMSI), in order to evaluate it as a surveillance tool for encephalitis. Causative agents were identified in 52% of cases in the study, and 38% in PMSI ( $P < 0.001$ ). The incidence of encephalitis in France in 2007 was estimated as 2.6 cases/100 000 inhabitants. HSV and VZV were the most frequent aetiological agents in both databases with similar rates. *Listeria monocytogenes* and *Mycobacterium tuberculosis* were less frequent in PMSI than in the study (*Listeria*: 2% vs. 5%,  $P = 0.001$ ; *Mycobacterium*: 2% vs. 8%,  $P < 0.001$ ). The case-fatality ratios were similar, except for *Listeria* (46% in the study vs. 16%). Nevertheless, despite the absence of case definitions and a possible misclassification weakening PMSI data, we suggest that PMSI may be used as a basic surveillance tool at a limited cost.

**Key words:** Encephalitis, epidemiology, herpes simplex virus (HSV).

### INTRODUCTION

Few epidemiological studies on acute encephalitis are population-based [1–3] because the syndrome is rare and it may be related to several infectious causes. For several years published studies were based mainly on national hospital discharge databases to define the disease burden and give an overview of causes [4–9]. However, the usefulness and limitations of national databases for encephalitis surveillance had never been

evaluated. Acute encephalitis is a severe clinical syndrome, resulting from an inflammation of the brain associated with neurological dysfunction [10, 11]. Infectious diseases are the main identified causes, but immune-mediated encephalitis has also recently been described [2, 12, 13]. More than 100 different pathogens have been identified as causative agents of encephalitis, but demonstrating a causative relationship is sometimes difficult [14, 15]. Viruses are responsible for most diagnosed aetiologies, and herpes viruses are the most commonly identified pathogens in industrialized countries. Herpes simplex virus (HSV) accounted for about 20% of total cases in recent population-based studies, followed by varicella-zoster virus (VZV) and *Mycobacterium (M.) tuberculosis*

\* Author for correspondence: Dr S. Bernard, Infectious Diseases Unit, CHU Grenoble, BP217, 38043 Grenoble, Cedex 9, France. (Email: Sbernard@chu-grenoble.fr)

† Steering Committee and Investigators Group are listed in the Appendix.

[1, 2, 10, 16]. Nevertheless, the epidemiology of encephalitis throughout the world is characterized by the predominance of cases of unknown origin, despite extensive laboratory investigation [1, 2, 17]. In previous decades, emergent or re-emergent infectious diseases causing encephalitis raised medical concern worldwide, especially infections due to West Nile virus (WNV) [18], Nipah virus [19], enterovirus 71 [20], *Mycoplasma pneumonia* [21], European tick-borne encephalitis virus [22], and Lacrosse virus [23]. However, these causative agents are responsible for outbreaks, more easily identified and diagnosed than sporadic cases. According to previous studies, the global impact includes high case-fatality ratios (CFRs) (4–12%) [2, 8], long-term hospitalization (15–30 days on average) [1, 9] and severe sequelae such as physical, cognitive, emotional, behavioural, and social impairment [16, 24, 25]. Encephalitis is probably an underestimated public health issue. Even if its incidence is low, it remains a serious clinical syndrome and some effective preventive or therapeutic interventions are available to fight against pathogens such as HSV, varicella, or measles. In France, infectious encephalitis is not mandatorily notifiable, but a few infections, responsible for encephalitis are: listeriosis, tuberculosis, measles, and rabies. The epidemiological data for infectious encephalitis is available from the national hospital discharge database [7] and from a prospective population-based study conducted in 2007 [1]. This study aimed to compare the data from the French national hospital discharge database (Programme de Médicalisation des Systèmes d'Information; PMSI) and from the prospective study conducted in 2007, to evaluate the reliability of PMSI as a tool to assess the trends of encephalitis in France for frequent, rare, and unknown aetiologies and their epidemiological characteristics, and for the detection of emergence or outbreaks.

## METHODS

### Data sources

Two hundred and fifty-three patients were enrolled in the prospective study [1]. According to the case definition, patients were aged  $\geq 28$  days, lived in mainland France, were hospitalized in public hospitals, were negative for HIV, and had remained in hospital for  $\geq 5$  days for surviving patients. The collected data included demographical and clinical features, and the

causative agent when identified. The data was processed with Stata v. 11 (StataCorp., USA). The PMSI is a national exhaustive hospital discharge database implemented in 1997, which describes public and private hospital activity in France. For each hospitalization, the diagnoses are included in the database according to the World Health Organization (WHO) International Classification of Diseases codes, 10th revision, 2007 version (ICD-10-2007). Demographical data (age, sex), length of stay, hospital location, and death occurring during hospitalization are also recorded.

We selected records in the PMSI using criteria closely matching the case definition used in the prospective study:

- Patients aged  $\geq 28$  days, hospitalized in mainland France from January 1 to December 31 2007 in a public hospital.
- An encephalitis-associated hospitalization was defined as a hospitalization for which at least one of the ICD-10-2007 codes for encephalitis was listed as a discharge diagnosis (main, related, or secondary). The ICD-10-2007 codes for encephalitis used to select the records are listed in Table 1.

Patients with multiple hospitalizations were detected using their unique identifier and only data from the first hospitalization was taken into account.

Patients matching the following criteria were excluded to maintain comparability between hospital discharge data and the prospective study:

- surviving patients with a hospital stay  $< 5$  days,
- any ICD-10-2007 code consistent with HIV infection (R75, Z21, B20–B24, F024) on the patient's file,
- codes for intracranial abscess (G06, G07), prion diseases (A810), and cerebral malaria (B500) on the patient's file.

Furthermore, if hospitalization with an unexplained or unspecified encephalitis code (Table 1) was associated with any other code consistent with encephalitis-like diseases, the patient was excluded. Toxic (G92, F10–F16, F18, F19, T40–T44) autoimmune (G35–G37, G04.0, M30–36, D86), metabolic (G40.5, E05, E10.0), vascular (G43, G45, G46, I60, I63–I68), neoplastic (C79.3, C70–C72), psychiatric (F28, F29), and congenital (G80, G60, G10–13) diseases were considered as potential encephalitis mimickers. When an encephalitis-associated code appeared in the secondary diagnosis, the patient was included if the

Table 1. List of diagnostic codes in ICD-10-2007 used for extraction of encephalitis cases

ICD-10-2007 code	Diagnosis
<b>Known cause</b>	
B00.4	Herpes viral encephalitis
B01.1	Varicella encephalitis
B02.0	Zoster encephalitis
B05.0	Measles complicated by encephalitis
B26.2	Mumps encephalitis
A85.0	Enteroviral encephalitis
A85.1	Adenoviral encephalitis
A82	Rabies
A83.0	Japanese encephalitis
A83.1	Western equine encephalitis
A83.2	Eastern equine encephalitis
A83.3	Saint Louis encephalitis
A83.4	Australian encephalitis
A83.5	California encephalitis
A83.6	Roccio virus disease
A84.0	Far Eastern tick-borne encephalitis (Russian spring-summer encephalitis)
A84.1	Central European tick-borne encephalitis
<b>Unknown cause</b>	
Unspecified cause	
A83	Mosquito-borne viral encephalitis
A83.8	Other mosquito-borne viral encephalitis
A83.9	Mosquito-borne viral encephalitis, unspecified
A84	Tick-borne viral encephalitis
A84.8	Other tick-borne viral encephalitis
A84.9	Tick-borne viral encephalitis, unspecified
A85	Other viral encephalitis, not elsewhere classified
A85.2	Arthropod-borne viral encephalitis, unspecified
A85.8	Other specified viral encephalitis
A86	Unspecified viral encephalitis
A88.8	Other specified viral infections of central nervous system
A89	Unspecified viral infection of central nervous system
G04.2	Bacterial meningoencephalitis and meningomyelitis, not elsewhere classified
G05.0	Encephalitis myelitis and encephalomyelitis in bacterial diseases classified elsewhere
G05.1	Encephalitis myelitis and encephalomyelitis in viral diseases classified elsewhere
G05.2	Encephalitis myelitis and encephalomyelitis in others infectious and parasitic diseases classified elsewhere
Unexplained cause	
G04.8	Other encephalitis, myelitis and encephalomyelitis
G04.9	Encephalitis, myelitis and encephalomyelitis, unspecified
G05	Encephalitis, myelitis and encephalomyelitis in diseases classified elsewhere
G05.8	Encephalitis myelitis and encephalomyelitis in others diseases classified elsewhere

main discharge diagnosis was related to encephalitis (compatible symptom or complication); for example, main diagnosis R40 (somnolence, stupor, and coma), and secondary diagnosis B00.4 (herpes viral encephalitis).

Incidence was calculated by using the number of inhabitants in mainland France in 2007, as estimated by the National Institute of Statistics and Economic Studies, which has responsibility for the national census.

### Causes of encephalitis

Aetiological agents were determined in the PMSI, using specific infectious encephalitis codes when defined in ICD-10-2007 (e.g. B02.0 zoster encephalitis). Some pathogens did not have any specific encephalitis code in ICD-10-2007, such as *Mycoplasma pneumoniae* or cytomegalovirus. In this case, the aetiological agent was kept for the diagnosis if one code listed in Table 2 (in the main, related or secondary diagnosis)

Table 2. List of diagnostic codes in ICD-10-2007 used for aetiological identification when unknown causes code of encephalitis was extracted

ICD-10-2007 code	Diagnosis
<b>Bacterial</b>	
A17.8	Other tuberculosis of nervous system
A17.9	Tuberculosis of nervous system, unspecified
A32.1	Listerial meningitis and meningoencephalitis
A69.2	Lyme disease
B96.0	<i>Mycoplasma pneumonia</i> as the cause of diseases classified to other chapters
A49.3	<i>Mycoplasma</i> infection, unspecified
A28.1	Cat-scratch disease
A21.9	Generalized tularaemia
A52.1	Symptomatic neurosyphilis
A48.1	Legionnaire's disease
A77.1	Spotted fever due to <i>Rickettsia conorii</i>
A78	Q fever
A27.8	Other forms of leptospirosis
<b>Viral</b>	
B02.8	Zoster with other complications
B01.8	Varicella with other complications
B25.8	Other cytomegaloviral diseases
B25.9	Cytomegaloviral disease, unspecified
B27.0	Gamma herpes viral mononucleosis
J10.8	Influenza with other manifestations, other influenza virus identified
J11.8	Influenza with other manifestations, virus not identified
B06.0	Rubella with neurological complications
A92.3	West Nile virus infection
A90	Dengue fever
A91	Dengue haemorrhagic fever
<b>Parasitic</b>	
B45.1	Cerebral cryptococcosis
B58.2	<i>Toxoplasma</i> meningoencephalitis

was associated with a code for unspecified or unexplained encephalitis aetiology (Table 1).

We classified acute encephalitis hospitalizations collected from the PMSI by known cause or unknown cause and compared them with the prospective study's results.

Diagnosis in the PMSI are coded as primary diagnosis, related diagnosis ('medical condition related to primary diagnosis') or 'associated' (secondary) diagnosis ('any medical condition that is relevant to primary diagnosis'). Within the PMSI, we compared the main characteristics of patients with primary or related diagnosis, to those of patients with secondary diagnosis.

### Analysis

Encephalitis-associated cases were processed using Stata statistical software, v. 11 (StataCorp.) and sorted according to aetiology, age, gender, district of

residence, duration of hospital stay, and death during hospitalization. We compared all encephalitis cases and aetiological groups in the prospective study and PMSI using two-sided *t* tests or non-parametric tests for continuous variables and  $\chi^2$  or Fisher's exact test for categorical variables. Comparisons were assessed for statistical significance at  $P = 0.05$ .

## RESULTS

### Hospital discharge data (PMSI)

In 2007, a total of 1694 non-HIV patients presenting with acute encephalitis were recorded in the PMSI, in mainland France, making an estimated incidence of encephalitis of 2.6 cases/100000 inhabitants. The most frequently identified causes of encephalitis were HSV [320 cases (19%), 0.5 cases/100000 inhabitants], VZV [146 cases (8%), 0.2/100000 inhabitants], *M. tuberculosis* [30 cases (2%), 0.04/100000 inhabitants],

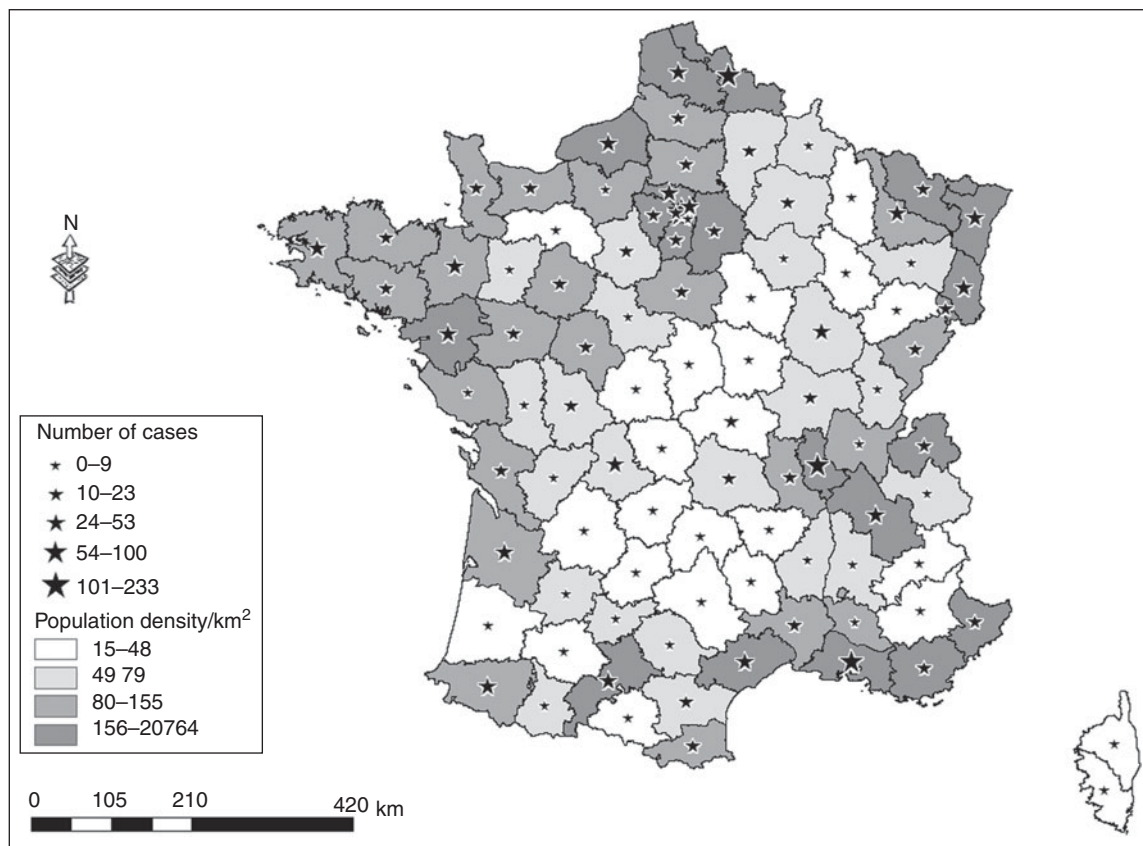


Fig. 1. District of residence for patients presenting with encephalitis; extracted from the PMSI in France, in 2007.

and *Listeria monocytogenes* [31 cases (2%), 0.04/100 000 inhabitants]. The aetiology was unknown for 1047 (62%) patients presenting with encephalitis. The number of hospitalizations for encephalitis was higher for men (913 admissions, 54%) than for women (781 admissions, 46%), and the median age was 52 years [interquartile range (IQR) 24–71 years]. The 0–15 years age group accounted for 18% of all admissions for acute encephalitis, the median age for this group was 6 years (IQR 3–10 years). The CFR for encephalitis was 9.5% in 2007. All French districts recorded at least one case of encephalitis during that year, and the number of cases was related to the population density (Fig. 1). Overall during 2007, encephalitis patients accounted for 35557 hospital days (first hospital stay only), there was no seasonal trend by month for the main aetiological groups, for encephalitis of unknown origin and for the whole studied population.

For 1317/1694 patients (78%), encephalitis was the primary diagnosis and for 72 (4%) other patients, encephalitis was the ‘related’ diagnosis. For 377/1694 (22%) patients, encephalitis was an associated

diagnosis. Patients with encephalitis as a primary or related diagnosis did not significantly differ from other patients (associated diagnosis) for age, sex or length of hospitalization, frequency of encephalitis due to VZV, tuberculosis and listeriosis, or for the global proportion of patients with an aetiological diagnosis. By contrast, patients significantly differed for the proportion of patients with HSV encephalitis (20% of patients with encephalitis as a primary/related diagnosis vs. 16% in patients with an associated diagnosis,  $P=0.01$ ). The CFR was significantly different with 8% death in patients with encephalitis as a primary diagnosis and 15% in patients with encephalitis as an associated diagnosis ( $P=10^{-5}$ ).

#### Comparison with prospective data

Table 3 displays the features of both populations (PMSI and prospective study) and their comparison (age, sex, age group, length of stay, proportion of known causes, CFR). No significant difference for global mean and median age was observed between the PMSI and the study populations. The number of



Table 3. Comparison between prospective and hospital discharge data, in France, 2007

Characteristic	Prospective study (n = 253)	Hospital discharge data (PMSI) (n = 1694)	P value
Age, years, n (%)			
< 16	26 (10)	305 (18)	0.004
16–74	181 (72)	1050 (61)	
> 4	46 (18)	339 (20)	
Women, n (%)	99 (39)	781 (46)	0.038
Causes identified, n (%)	131 (52)	647 (38)	< 0.001
Length of stay, median days (± IQR)	30 (± 27)	20 (± 22)	< 10 <sup>-4</sup>
Case-fatality ratio, n (%)	27 (11)	160 (9)	0.54

PMSI, Programme de Médicalisation des Systèmes d'Information (French national hospital discharge database); IQR, interquartile range.

Table 4. Distribution of main causes of encephalitis found in the prospective study and the PMSI in France, 2007

Main causes	Prospective study (n = 253) (n, %)	PMSI (n = 1694) (n, %)	P value
Herpes simplex virus	55 (22%)	320 (19%)	0.284
Varicella zoster virus	20 (8%)	146 (9%)	0.705
<i>Mycobacterium tuberculosis</i>	20 (8%)	30 (2%)	< 10 <sup>-4</sup>
<i>Listeria monocytogenes</i>	13 (5%)	31 (2%)	0.001
Others	23 (9%)	120 (7%)	0.26
Non specified causes	122 (48%)	1049 (62%)	< 10 <sup>-4</sup>

PMSI, Programme de Médicalisation des Systèmes d'Information (French national hospital discharge database).

patients in the 0–15 years age group was higher in the PMSI than in the prospective study (18% vs. 10%,  $P=0.002$ ), the proportion of encephalitis with identified aetiology was higher in the prospective study (52% vs. 38%,  $P<0.001$ ), and the mean length of stay was shorter in the PMSI (20 days vs. 30 days,  $P<10^{-4}$ ). There was no significant difference between the PMSI and the study for the overall CFR.

The most frequent aetiological agents associated with encephalitis were the same in both databases, nevertheless the proportion of cases of encephalitis due to *M. tuberculosis* and *L. monocytogenes* were significantly lower in the PMSI than in the prospective study (2% vs. 8%,  $P<10^{-4}$  and 2% vs. 5%,  $P=0.001$ , respectively) (Table 4). The epidemiological data was compared within each aetiological group for the main causative agents (Table 5). There was no difference for HSV and *M. tuberculosis*

encephalitis when considering the mean age, sex, age group, length of stay, and CFR. Older mean age and higher CFR (70 vs. 57 years,  $P=0.03$  and 46% vs. 16%,  $P=0.03$ , respectively) was observed for *L. monocytogenes* encephalitis patients in the prospective study.

We found a higher number of patients in the 0–15 years age group and a shorter mean length of stay in the PMSI (18 vs. 28 mean days,  $P<0.001$ ) when considering encephalitis of unknown causes; but there was no difference regarding mortality. Other pathogens associated with encephalitis such as cytomegalovirus, *Mycoplasma pneumoniae*, *Borrelia burgdorferi*, Epstein–Barr virus, Central European tick-borne encephalitis virus, enterovirus, *Legionella pneumophila*, influenza virus, *Cryptococcus neoformans*, and *Francisella tularensis* were found in both databases (Table 6). Toscana virus (TOSV), WNV and *Rickettsia*

Table 5. Comparison of demographical characteristic and case-fatality ratio by main aetiological agent and unknown causes between the prospective study and the PMSI in France, 2007

Aetiological agent ...	Herpes simplex virus			Varicella-zoster virus			Mycobacterium tuberculosis			Listeria monocytogenes			Non-specified causes		
	Prospective study (n=55)	PMSI (n=320)	P value	Prospective study (n=20)	PMSI (n=146)	P value	Prospective study (n=20)	PMSI (n=30)	P value	Prospective study (n=13)	PMSI (n=31)	P value	Prospective study (n=122)	PMSI (n=1049)	P value
Age, mean years (±s.d.)	56 (±17)	58 (±20)	0.3	55 (±31)	49 (±34)	0.4	53 (±21)	54 (±21)	0.8	70 (±12)	57 (±20)	0.03	46 (±24)	45 (±26)	0.7
Women, n (%)	31 (56)	170 (53)	0.77	15 (75)	88 (60)	0.23	12 (60)	16 (53)	0.77	9 (69)	17 (55)	0.51	71 (58)	546 (52)	0.212
Length of stay, mean days (±s.d.)	34 (±23)	28 (±26)	0.005	26 (±17)	22 (±32)	0.01	45 (±31)	39 (±28)	0.5	28 (±24)	27 (±22)	0.8	28 (±29)	18 (±19)	<0.001
Mortality, n (%)	3 (5)	36 (11)	0.1	3 (15)	13 (1)	0.4	6 (30)	4 (13)	0.1	6 (46)	5 (16)	0.03	6 (5)	96 (9)	0.1

PMSI, Programme de Médicalisation des Systèmes d'Information (French national hospital discharge database).

conorii-associated encephalitis were only reported in the prospective study. Lymphocytic choriomeningitic virus, *Toxoplasma gondii*, measles virus, mumps virus and *Bartonella henselae*-associated encephalitis were only reported in the PMSI. No comparison was made for these rare pathogens due to the small number of cases.

DISCUSSION

The main strength of the prospective study was a single case definition and the investigation of a wide range of pathogens, but this type of study is too expensive to be conducted on a continuous basis for epidemiological surveillance. A national hospital discharge database has the advantage of being continuous, exhaustive, and available at low cost but its accuracy is limited. When compared with the multi-centre prospective study [1], the encephalitis-related cases reported in PMSI in France in 2007 proved adequate for basic information. The most frequently identified causative agents were the same in both databases: HSV, VZV, *M. tuberculosis* and *L. monocytogenes*. This suggests that the PMSI may provide reliable data to study trends of encephalitis aetiologies. We found less similarity for rare pathogens such as arboviruses. The PMSI should be used with caution for these rare cases with important implications for health authorities. However, autochthonous emergence of such pathogens is likely to present as outbreaks, which are more easily detected than sporadic cases in non-endemic countries [26]. There is no variable that allows us to distinguish imported from autochthonous cases in the PMSI. Moreover, not all arboviral infections have a specific code in ICD-10-2007.

In the PMSI, the proportion of patients with HSV encephalitis was different according to the field of encephalitis code (20% of patient with encephalitis as a primary/related diagnosis vs. 16% in patients with associated diagnosis, P=0.01). This small difference, although significant, could be attributed to the occurrence of herpes encephalitis in patients with current severe medical conditions: these conditions may be more economically beneficial as a primary diagnosis than encephalitis. Another explanation might be that patients with herpes encephalitis frequently present with long-term sequelae such as seizure, these syndromes then become the primary diagnosis after the acute episode.

Table 6. Rare causes of encephalitis found in the prospective study and the PMSI in France, 2007

Rare aetiological agent	Prospective study (n=23)	PMSI (n=120)	P value
Cytomegalovirus	3 (2%)	10 (2%)	0.2
Epstein–Barr virus	3 (2%)	8 (1%)	0.4
Tick-borne encephalitis virus	3 (2%)	3 (0.5%)	0.03
Lyme disease	2 (2%)	24 (4%)	0.4
<i>Mycoplasma pneumoniae</i>	2 (2%)	20 (3%)	0.5
Enterovirus	2 (2%)	5 (1%)	0.4
<i>Legionella pneumophila</i>	1 (1%)	5 (1%)	0.99
<i>Cryptococcus neoformans</i>	1 (1%)	5 (1%)	0.99
Influenza disease	1 (1%)	4 (1%)	0.8
<i>Francisella tularensis</i>	1 (1%)	1 (0.5%)	0.21
Toscana virus	2 (2%)	—	—
<i>Rickettsia conorii</i>	1 (1%)	—	—
West Nile virus	1 (1%)	—	—
Lymphocytic choriomeningitis virus	—	7 (1%)	—
<i>Toxoplasma gondii</i>	—	7 (1%)	—
Mumps	—	5 (1%)	—
Measles	—	4 (0.5%)	—
<i>Bartonella henselae</i>	—	3 (0.5%)	—
Other causes	—	6 (1%)	—

PMSI, Programme de Médicalisation des Systèmes d'Information (French national hospital discharge database).

Data are number (% of known causes).

Other causes included leptospirosis, pneumococcus, Japanese encephalitis, rubella, adenovirus.

The CFR was also significantly lower in patients with encephalitis as a primary diagnosis. Here, the most probable explanation is also the occurrence of encephalitis in patients with severe conditions (such as cancer), frequently responsible for death, and therefore more economically beneficial as a primary diagnosis.

HSV (19%) and VZV (8%) remained the main causes of acute encephalitis in both databases, as previously reported. They usually account for 13–22% and 5–6% of all published encephalitis cases, respectively [2, 5, 8]. Our results suggest that the PMSI might be used for a rapid and low-cost monitoring of trends and characteristics of disease due to these pathogens. The number of deaths occurring during hospitalization in the PMSI (9%) and in the prospective study (11%) was similar for the total population and by aetiological group. We observed a difference for *L. monocytogenes* (16% vs. 46%, respectively) that could be explained by the inclusion of older patients in the prospective study (mean age, 57 vs. 70 years). The global CFR in the PMSI (9%) was higher than in previous retrospective studies based on national hospital discharge databases in England (6.5%) [5], and the USA (7%) [4], and was lower than to the CFR reported in a recent prospective study in England (12%) [2].

Several limitations of the PMSI as an epidemiological tool were identified in our study. One is the absence of specific codes for rare pathogens with important public health implications in ICD-10-2007. Smaller proportions were observed for *M. tuberculosis* and *L. monocytogenes* in the PMSI than in the prospective study. This probably reflects the lack of any specific code in ICD-10-2007. There is no code for tuberculous encephalitis; there is only a specific code for tuberculous meningitis (A17.0 tuberculous meningitis) and thus it was not included in our study. The misclassification of encephalitis as meningitis could explain the lower proportion of tuberculous encephalitis cases found in the PMSI. In the English prospective study, the proportion of tuberculosis was also higher (5%) than in the PMSI [2] and was similar to the proportion of tuberculosis in the French prospective study, suggesting that data from the prospective study was more reliable than that of the PMSI for tuberculous encephalitis. There was no specific code that allowed us to distinguish between encephalitis and meningitis due to *L. monocytogenes*. The code A32.1 (*Listeria meningitis* and meningo-encephalitis) was not used to avoid an overestimation of encephalitis due to *L. monocytogenes* (see Methods section) by including meningitis cases. The cases were identified by using the association of the listeriosis



code with a code for unexplained or unspecified encephalitis (Table 1). This could explain the lower number of cases found in the PMSI. The lower CFR of listeriosis is due to the fact that younger patients were coded in the PMSI. This result could be explained by the absence of a specific case definition for encephalitis due to *L. monocytogenes* for the PMSI, and by the broad use of the term ‘meningitis’ for all central nervous system infections due to listeriosis.

Mainland France is concerned essentially by three potentially autochthonous arboviruses that may cause encephalitis: WNV, TOSV, and Central European tick-borne encephalitis (TBE). Arboviruses became a medical concern in France in 2000 after the equine WNV epidemic in Camargue, France [27]. Since then, a multispecies surveillance system has been implemented for the French Mediterranean coast region, and TOSV was added to the system in 2010. However, the occurrence of WNV autochthonous infection in France is rare and TOSV is more often responsible for meningitis than for encephalitis. For TBE there is no active surveillance because the number of TBE cases is expected to be low in France (between 5 and 10 cases per year) and its occurrence is generally limited to the eastern region (Alsace) which is the occidental limit of the European endemic zone [28]. Three cases of TBE, one of TOSV and one of WNV encephalitis were reported in the prospective study. In the PMSI, only three cases of TBE and one of Japanese encephalitis were recorded. The absence of TOSV and WNV cases in the PMSI is probably related to the absence of a specific code in ICD-10-2007. This is an important limitation when using the PMSI for the surveillance of arboviruses in France. In 2010, several European countries were confronted with a WNV epidemic (Greece, Romania, Italia) [29–32] and ten cases of neuroinvasive TOSV infection occurred in France [33]. This emphasizes the importance of maintaining a specific surveillance system for these arboviruses in France and in Europe, and the PMSI might not be of great value for such rare pathogens. Moreover, PMSI data is not available in real time and thus does not have the reactivity required for an early detection and alerting tool.

PMSI can not be considered a real surveillance tool for several reasons, such as lack of case definition in ICD-10-2007, and the timeliness of the availability of the data. Therefore, it can only be used to assess trends of hospitalization for a disease. It can be

assumed that in the near future, the improvement in information technology will speed up access to such data; however, some limitations for their use as a surveillance system will remain. First, the main objective of this database will remain economic and some questions will still arise about its reliability for epidemiology. Moreover, despite advanced technology, verification and ‘data smoothing’ procedures will still be needed, and those will have to be performed, or at least regularly checked by human beings, making the timeline still too long to achieve a reactive epidemiological surveillance.

Cases of encephalitis associated with measles were recorded only in the PMSI ( $n=4$ ). The reported incidence of this complication in the literature is 1/1000 cases [34], suggesting that 4000 measles cases may have occurred in France in 2007. Measles has been mandatorily notifiable in France since 2005 and only 35 cases were notified in 2007 [35]. From 2008 to 2011, 21743 cases and 10 related deaths have been notified to the French Institute for Public Health Surveillance [35]. The cases recorded in the PMSI in 2007 might have indicated the re-emergence of the disease; nevertheless the late availability (2-year delay) of the database makes it useless to early detect any re-emergence. We note that there is a specific encephalitis code for this disease which is interesting when considering the lack of accuracy for arboviruses. Adding specific codes for arboviruses might improve the database for their surveillance.

We first chose to exclude patients with short length of stay in the study because we wanted to design a specific case definition, and avoid enrolling patients with meningitis without brain infection. In ‘real life’, some patients actually suffering encephalitis might be hospitalized for  $\leq 5$  days without a fatal outcome, especially young children presenting with cerebellitis. However, such short length of stay is likely to be rare in France in the case of brain involvement.

The absence of a case definition of encephalitis in the PMSI is another limitation for epidemiological studies or surveillance. Mistakes in coding may occur, especially for rare pathogens not well-known by all coders. Data are primarily encoded by the attending physician. In all hospitals, a specific unit (‘medical data unit’) encompassing trained coders receives data from all wards on a regular basis (daily or weekly). This unit is responsible for verifying the completeness of data and checking for major errors (incoherent association of codes). It is also responsible for

smoothing coding differences between wards and between physicians for similar cases.

Two entities rarely associated with encephalitis were found in the PMSI and appear doubtful. The first was toxoplasmic encephalitis (TE) which is a rare disease in non-immunocompromised patients. Only a few cases were reported in immunocompetent patients [36, 37] and seven cases of TE were recorded in the PMSI without any association with codes related to HIV or any other immunodeficiency. Similarly lymphocytic choriomeningitis virus is rarely a cause of encephalitis; usually its clinical presentation is non-specific with flu-like symptoms [38]. We found seven cases in the PMSI and we suspect that it was mistakenly coded and actually lymphocytic meningitis. Another typical case is rabies; a case was recorded for a 78-year-old patient who died on the first day of hospitalization. Rabies is mandatorily notifiable in France and its specific diagnosis is only made by the Institut Pasteur. No case was notified in France in 2007. The current knowledge about rare diseases suggests some probable misclassifications. This illustrates the need to continue quality control in coding which has been implemented for a few years in order to sensitize physicians in accurate coding.

The wide range of aetiological agents explored by the prospective study can account for the smaller reported number of unknown causes compared to the PMSI. Furthermore, a diagnosis tool for rare aetiological agents may not be available in secondary- or primary-care hospitals. A retrospective study was made between 2000 and 2002 in France, using the PMSI to define encephalitis epidemiology; unknown causes accounted for 80% of all cases, which is higher than the 62% reported in the 2007 database [7]. The observed difference could be explained by the recent change of hospital funding in France. Indeed, since 2004 public hospital funding has depended exclusively on the coding of each hospitalization by main, related, and secondary diagnosis as defined in ICD-10-2007 [39]. This change probably improved the completeness and accuracy of the database. The number of unknown causes (62%) was similar to results of other retrospective studies based on national hospital discharge databases made in North America (59.5%) and Australia (69.8%), but the management and investigation of encephalitis cases could be different in other countries. Furthermore, a higher number of patients in the 0–15 years age group and a shorter mean length of stay in the PMSI was found

when considering encephalitis of unknown causes. The higher number of patients in this age group in the PMSI might be explained by the presence in the PMSI of clinical syndromes such as cerebellitis, that can mimic encephalitis but have a far better outcome, or acute disseminated encephalo-myelitis (ADEM). This hypothesis is reinforced by the absence of a specific code for cerebellitis in ICD-10-2007. Moreover, only a few children were enrolled in the study which is the most probable explanation for this discrepancy [1].

The main limitation of our study is the assumption that the prospective study was representative of the global situation in France. Two main explanations can be proposed to explain the different number of cases in the two datasets. First, the prospective study included a sample of the total population of encephalitis patients because it was conducted on a voluntary basis and required a huge involvement from the investigators. The number of cases in the study is therefore an underestimation of the total number of cases of encephalitis in France in 2007. However, the similarity of our results (distribution of causative agents) with those published by Granerod *et al.* [2] despite a different study design, suggest that the patients enrolled in our study might be representative of the global population of encephalitis patients. This is the reason why we considered the study as the 'gold standard' for evaluation of the PMSI.

Regarding PMSI, an overestimation of the total number of case is possible and could be explained by miscoding of the most serious cases of meningitis or by autoimmune cases (e.g. ADEM, encephalitis autoantibodies, lupus). However, a total number of 1694 cases in a year in mainland France represents an incidence of 2.6/100000 inhabitants, which is consistent with data from other countries. We are therefore confident that the discrepancy between the PMSI and the prospective study is due to a limited but representative participation in the study.

We found that cases in 0–15 years age group were under-reported in the prospective study. The PMSI might be more accurate for this age group. The authors of previous retrospective studies found that children usually accounted for 19–30% of cases, close to the 18% we identified in the PMSI. But we could not demonstrate that the adult population in the prospective study was not representative of adult encephalitis in France, and the similarities between the French and English results suggest a good

representativeness of the patients enrolled in the prospective study.

## CONCLUSION

This is the first study to compare these two types of data simultaneously for several aetiologies with the objective of assessing the PMSI's reliability as an epidemiological tool. The PMSI could be a useful tool for following the epidemiological trends of encephalitis of most frequent origins (HSV, VZV), as well as the characteristics of the patients and the relative frequency of these agents in encephalitis of all causes. However, the PMSI lacks accuracy and sensitivity for rare pathogens without a specific encephalitis code like *M. tuberculosis* or *L. monocytogenes*. Moreover, for rare or exotic pathogens, the absence of specific codes makes it impossible to detect their emergence and the PMSI does not allow us to distinguish between imported and autochthonous infections. Finally, the delay before database availability makes it useless for the detection of outbreaks. Our study demonstrated that data encoded in the PMSI lack accuracy about the aetiology of encephalitis, mainly due to the absence of specific codes for encephalitis due to rare infectious agents, or agents rarely responsible for encephalitis. Introducing such codes might improve both the epidemiological accuracy of the PMSI, and its accuracy as an economic tool if the severity of encephalitis, according to the aetiological agent, is taken into account.

## APPENDIX

### Steering committee

Cecile Bébéar (Bordeaux), Cecile Brouard (Saint-Maurice), Thomas De Broucker (Saint-Denis), Eric Cua (Nice), Henri Dabernat (Toulouse), Daniel Floret (Lyon), Benoit Guery (Lille), Marc Lecuit (Paris), Bruno Lina (Lyon), Olivier Lortholary (Paris), Alexandra Mailles (Saint-Maurice), Christian Michelet (Rennes), Patrice Morand (Grenoble), Bruno Pozzetto (Saint-Etienne), Jean-Paul Stahl (Grenoble), Veronique Vaillant (Saint-Maurice), Herve Zeller (Lyon).

### Investigators

Philippe Abboud (Rouen), Chakib Alloui (Paris), Christine Archimbaud (Clermont-Ferrand), Bruno

Barroso (Pau), Louis Bernard (Garches), Pascal Beuret (Roanne), Geneviève Billaud (Lyon), David Boutolleau (Paris), Fabrice Bruneel (Versailles), Marielle Buisson (Dijon), Anne Caramella (Nice), Bernard Castan (Auch), Isabelle Cattaneo (Bry sur Marne), Charles Cazanave (Bordeaux), Stéphane Chabrier (Saint-Etienne), Marie-Laure Chadenat (Versailles), Martine Chambon (Clermont-Ferrand), Pascal Chavanet (Dijon), Pierre Clavelou (Clermont-Ferrand), Eric Cua (Nice), Fabienne de Brabant (Montélimar), Arnaud De La Blanchardière (Caen), Geoffroy De La Gastine (Caen), Henri De Montclos (Bourg-en-Bresse), Eric Denes (Limoges), Anny Dewilde (Lille), Aurelien Dinh (Garches), Guillaume Emeriaud (Grenoble), Olivier Epaulard (Grenoble), Giovanni Favaretto (Avranche), François Fourrier (Lille), Véronique Gaday (Pontoise), Jacques Gaillat (Annecy), Serge Gallet (Montluçon), Nicole Gazuy (Clermont-Ferrand), Stéphanie Gouarin (Caen), Joel Gozlan (Paris), Philippe Granier (Bourg-en-Bresse), Isabelle Gueit (Rouen), Amélie Guihot (Paris), Yves Guimard (Bourges), Yves Hansmann (Strasbourg), Cécile Henquell (Clermont-Ferrand), Jean-Louis Herrmann (Garches), Jérôme Honnorat (Lyon), Nadhira Houhou (Paris), Benoit Jaulhac (Strasbourg), Manoelle Kossorotoff (Paris), Frédéric Laurent (Lyon), Jean-Jacques Laurichesse (Paris), Sylvain Lavoue (Rennes), Leila Lazaro (Bayonne), Stéphane Legriel (Versailles), Olivier Lesens (Clermont-Ferrand), Muriel Mace (Orléans), Alain Makinson (Montpellier), Hélène Marchandin (Montpellier), Laurent Martinez-Almoyna (Saint-Denis), Patrick Marthelet (Montélimar), Martin Martinot (Colmar), Laurence Maulin (Aix-en-Provence), Benoit Misset (Paris), Catherine Neuwirth (Dijon), Florence Nicot (Toulouse), Jérôme Pacanowski (Paris), Jean-Bernard Palcoux (Clermont-Ferrand), Patricia Pavese (Grenoble), Thomas Perpoint (Lyon), Martine Pestel-Caron (Rouen), Robin Pouyau (Lyon), Virginie Prendki (Paris), Christophe Rapp (Saint-Mandé), Christel Regagnon (Clermont-Ferrand), Matthieu Rigal (Auch), Nathalie Roch (Grenoble), Olivier Rogeaux (Chambéry), Sylvie Rogez (Limoges), Anne Signori-Schmuck (Grenoble), Fabrice Simon (Marseille), Abdelilah Taimi (Roanne), Jérôme Tayoro (Le Mans), Daniel Terral (Clermont-Ferrand), Francis Vuillemet (Colmar).

## DECLARATION OF INTEREST

None.

## REFERENCES

- Mailles A, Stahl JP. Infectious encephalitis in France in 2007: a national prospective study. *Clinical Infectious Diseases* 2009; **49**: 1838–1847.
- Granerod J, et al. Causes of encephalitis and differences in their clinical presentations in England: a multicenter, population-based prospective study. *Lancet Infectious Diseases* 2010; **10**: 835–844.
- Glaser CA, et al. Beyond viruses: clinical profiles and etiologies associated with encephalitis. *Clinical Infectious Diseases* 2006; **43**: 1565–1577.
- Khetsuriani N, Holman RC, Anderson LJ. Burden of encephalitis-associated hospitalisations in the United States, 1988–1997. *Clinical Infectious Diseases* 2002; **35**: 175–182.
- Davison KL, et al. Viral encephalitis in England, 1989–1998: what did we miss? *Emerging Infectious Diseases* 2003; **9**: 234–240.
- Trevejo RT. Acute encephalitis hospitalisations, California, 1990–1999: unrecognized arboviral encephalitis? *Emerging Infectious Diseases* 2004; **10**: 1442–1449.
- Mailles A, Vaillant V, Stahl JP. Infectious encephalitis in France from 2000 to 2002: the hospital database is a valuable but limited source of information for epidemiological studies. *Médecine et Maladies Infectieuses* 2007; **37**: 95–102.
- Huppertz C, et al. Etiology of encephalitis in Australia, 1990–2007. *Emerging Infectious Diseases* 2009; **15**: 1359–1365.
- Barbadoro P, et al. Trend of hospital utilization for encephalitis. *Epidemiology and Infection* 2012; **140**: 753–764.
- Johnson RT. Acute encephalitis. *Clinical Infectious Diseases* 1996; **23**: 219–224.
- Tunkel AR, et al. The management of encephalitis: clinical practice guidelines by the Infectious Diseases Society of America. *Clinical Infectious Diseases* 2008; **47**: 303–327.
- Dalmau J, et al. Anti-NMDA-receptor encephalitis: case series and analysis of the effects of antibodies. *Lancet Neurology* 2008; **7**: 1091–1098.
- Irani SR, et al. N-methyl-D-aspartate antibody encephalitis: temporal progression of clinical and paraclinical observations in a predominantly non-paraneoplastic disorder of both sexes. *Brain* 2010; **133**: 1655–1667.
- Stahl JP, et al. Epidemiology of viral encephalitis in 2011. *Médecine et Maladies Infectieuses* 2011; **41**: 453–464.
- Granerod J, et al. Causality in acute encephalitis: defining etiologies. *Epidemiology and Infection* 2010; **138**: 783–800.
- Whitley RJ, Lakeman F. Herpes simplex virus infections of the central nervous system: therapeutic and diagnostic considerations. *Clinical Infectious Diseases* 1995; **20**: 414–420.
- Glaser CA, et al. In search of encephalitis etiologies: diagnostic challenges in the California Encephalitis Project, 1998–2000. *Clinical Infectious Diseases* 2003; **36**: 731–742.
- Campbell GL, et al. West Nile virus. *Lancet Infectious Diseases* 2002; **2**: 519–529.
- Chua KB, et al. Fatal encephalitis due to Nipah virus among pig-farmers in Malaysia. *Lancet* 1999; **354**: 1257–1259.
- Ooi MH, et al. Clinical features, diagnosis, and management of enterovirus 71. *Lancet Neurology* 2010; **9**: 1097–1105.
- Domenech C, et al. Role of *Mycoplasma pneumoniae* in pediatric encephalitis. *European Journal of Clinical Microbiology and Infectious Diseases* 2009; **28**: 91–94.
- Kunze U. Tick-borne encephalitis: the impact of epidemiology, changing lifestyle, and environmental factors. Conference report of the 12th Annual Meeting of the International Scientific Working Group on Tick-Borne Encephalitis (ISW-TBE). *Vaccine* 2011; **29**: 1355–1356.
- Haddow AD, Bixler D, Odoi A. The spatial epidemiology and clinical features of reported cases of La Crosse virus infection in West Virginia from 2003 to 2007. *BMC Infectious Diseases* 2011; **11**: 29.
- Clarke M, et al. Childhood encephalopathy: viruses, immune response, and outcome. *Developmental Medicine and Child Neurology* 2006; **48**: 294–300.
- Hokkanen L, Launes J. Neuropsychological sequelae of acute-onset sporadic viral encephalitis. *Neuropsychological Rehabilitation* 2007; **17**: 450–477.
- Bode AV, et al. West Nile virus disease: a descriptive study of 228 patients hospitalised in a 4-county region of Colorado in 2003. *Clinical Infectious Diseases* 2006; **42**: 1234–1240.
- Murgue B, et al. West Nile outbreak in horses in southern France, 2000: the return after 35 years. *Emerging Infectious Diseases* 2001; **7**: 692–696.
- Hansmann Y, et al. Tick-borne encephalitis in eastern France. *Scandinavian Journal of Infectious Diseases* 2006; **38**: 520–526.
- Zeller HG, Schuffenecker I. West Nile virus: an overview of its spread in Europe and the Mediterranean basin in contrast to its spread in the Americas. *European Journal of Clinical Microbiology and Infectious Diseases* 2004; **23**: 147–156.
- Sirbu A, et al. Outbreak of West Nile virus infection in humans, Romania, July to October 2010. *Eurosurveillance* 2011; **16**.
- Rizzo C, et al. West Nile virus transmission with human cases in Italy, August–September 2009. *Eurosurveillance* 2009; **14**.
- Papa A, et al. Ongoing outbreak of West Nile virus infections in humans in Greece, July–August 2010. *Eurosurveillance* 2010; **15**.
- Institut de Veille Sanitaire. Human surveillance of West Nile virus and Toscana virus infections (<http://www.invs.sante.fr/Regions-et-territoires/L-InVS-dans-votre-region/Provence-Alpes-Cote-d-Azur-et-Corse/Programmes-de-la-Cire/Surveillance-humaine-des-Infections-a-virus-West-Nile-et-Toscana>). Accessed 24 May 2011.

34. **Reuter D, Schneider-Schlaulies J.** Measles virus infection of the CNS: Human disease, animal models, and approaches to therapy. *Medical Microbiology and Immunology* 2010; **199**: 261–271.
35. **Measles Surveillance in France.** (<http://www.invs.sante.fr/fr/Dossiers-thematiques/Maladies-infectieuses/Maladies-a-prevention-vaccinale/Rougeole/Points-d-actualites>). Accessed 16 March 2012.
36. **Habek M, et al.** Unusual cause of dementia in an immunocompetent host: toxoplasmic encephalitis. *Neurological Sciences* 2009; **30**: 45–49.
37. **Kaushik RM, et al.** Toxoplasmic meningoencephalitis in an immunocompetent host. *Transactions of the Royal Society of Tropical Medicine and Hygiene* 2005; **99**: 874–878.
38. **Brouqui P, et al.** Meningitis due to lymphocytic choriomeningitis virus: four cases in France. *Clinical Infectious Diseases* 1995; **20**: 1082–1083.
39. **Fender P, Weill A.** Epidemiology, public health and medical rates databases. *Revue d'Epidémiologie et de Santé Publique* 2004; **52**: 113–117.