




The generator rank of subhomogeneous C^* -algebras

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Abstract. We compute the generator rank of a subhomogeneous C^* -algebra in terms of the covering dimension of the pieces of its primitive ideal space corresponding to irreducible representations of a fixed dimension. We deduce that every \mathcal{Z} -stable approximately subhomogeneous algebra has generator rank one, which means that a generic element in such an algebra is a generator.

This leads to a strong solution of the generator problem for classifiable, simple, nuclear C^* -algebras: a generic element in each such algebra is a generator. Examples of Villadsen show that this is not the case for all separable, simple, nuclear C^* -algebras.

1 Introduction

The generator rank of a unital, separable C^* -algebra A is the smallest integer $n \geq 0$ such that the self-adjoint $(n + 1)$ -tuples that generate A as a C^* -algebra are dense in A_{sa}^{n+1} (see Definition 2.1 for the nonunital and nonseparable case). This invariant was introduced in [Thi21] to study the generator problem, which asks to determine the minimal number of (self-adjoint) generators for a given C^* -algebra.

One difficulty when studying the generator problem is that the minimal number of generators for a C^* -algebra can increase when passing to ideals or inductive limits. The main advantage of the generator rank is that it enjoys nice permanence properties: it does not increase when passing to ideals, quotients, or inductive limits (see Section 2).

For example, using these permanence properties, one can easily show that approximately finite-dimensional C^* -algebras (AF-algebras) have generator rank at most one. In particular, every AF-algebra is generated by two self-adjoint elements, which solves the generator problem for this class of algebras (see [Thi21, Theorem 7.3]).

In this paper, we compute the generator rank of subhomogeneous C^* -algebras. Recall that a C^* -algebra is said to be d -homogeneous (d -subhomogeneous) if each of its irreducible representations has dimension (at most) d . The typical example of a d -homogeneous C^* -algebra is $C_0(X, M_d)$ for a locally compact Hausdorff space X . Furthermore, a C^* -algebra is subhomogeneous if and only if it is a sub- C^* -algebra of $C_0(X, M_d)$ for some X and some d (see, for example, [Bla06, Proposition IV.1.4.3]).

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Subhomogeneous C^* -algebras and their inductive limits (called *approximately subhomogeneous algebras* [ASH-algebras]) play an important role in the structure and classification theory of C^* -algebras since the algebras covered by the Elliott program are either purely infinite or approximately subhomogeneous. To be precise, let us say that a C^* -algebra is *classifiable* if it is unital, separable, simple, nuclear, and \mathcal{Z} -stable (that is, it tensorially absorbs the Jiang–Su algebra \mathcal{Z}) and satisfies the Universal Coefficient Theorem (UCT). By the recent breakthrough in the Elliott classification program [EGLN15, GLN20, TWW17], two classifiable C^* -algebras are isomorphic if and only if their Elliott invariants (K -theoretic and tracial data) are isomorphic.

Classifiable C^* -algebras come in two flavors: stably finite and purely infinite. Every stably finite, classifiable C^* -algebra is automatically an ASH-algebra. A major application of our results is that every \mathcal{Z} -stable ASH-algebra has generator rank one (see Corollary C). In [Thi20], we show that every \mathcal{Z} -stable C^* -algebra of real rank zero has generator rank one. This includes all purely infinite, classifiable C^* -algebras. It follows that every classifiable C^* -algebra has generator rank one and therefore contains a dense G_δ -subset of generators (see Corollary E).

One important aspect of the generator problem is to determine if every separable, simple C^* -algebra is generated by a single operator (equivalently, by two self-adjoint elements). While this remains unclear, we can refute the possibility that every separable, simple C^* -algebra contains a dense set of generators: Villadsen constructed examples of separable, simple, approximately homogeneous C^* -algebras (AH-algebras) of arbitrarily high real rank (see [Vil99]). Let A be such an AH-algebra with $\text{rr}(A) = \infty$. By [Thi21, Proposition 3.10] (see Proposition 2.4), the real rank is dominated by the generator rank, whence $\text{gr}(A) = \infty$. In particular, for every n , the generating self-adjoint n -tuples (if there are any) are not dense in A_{sa}^n .

In [TW14, Theorem 3.8], the author and Winter showed that every unital, separable, \mathcal{Z} -stable C^* -algebra is singly generated. The results of this paper and of [Thi20] show that under additional assumptions, a (unital) separable, \mathcal{Z} -stable C^* -algebra even contains a dense set of generators. This raises the natural question if every \mathcal{Z} -stable C^* -algebra has generator rank one (see [Thi20, Remarks 5.8(2)]).

Given a locally compact Hausdorff space X , the local dimension $\text{locdim}(X)$ is defined as the supremum of the covering dimension of all compact subsets, with the convention that $\text{locdim}(\emptyset) = -1$. For σ -compact (in particular, second countable), locally compact Hausdorff spaces, the local dimension agrees with the usual covering dimension (in general they differ). In Section 4, we compute the generator rank of arbitrary homogeneous C^* -algebras.

Theorem A (4.17) *Let A be a d -homogeneous C^* -algebra. Set $X := \text{Prim}(A)$. If $d = 1$, then $\text{gr}(A) = \text{locdim}(X \times X)$. If $d \geq 2$, then*

$$\text{gr}(A) = \left\lceil \frac{\text{locdim}(X) + 1}{2d - 2} \right\rceil.$$

In particular, $\text{gr}(C(X, M_d)) = \left\lceil \frac{\dim(X)+1}{2d-2} \right\rceil$ if X is a compact Hausdorff space and $d \geq 2$. To prove Theorem A, we first show a Stone–Weierstraß-type result that characterizes when a tuple generates $C(X, M_d)$: the tuple has to generate M_d pointwise,

and it has to suitably separate the points in X (see Proposition 4.1). This indicates the general strategy to determine when generating n -tuples in $C(X, M_d)$ are dense: first, we need to characterize when every tuple can be approximated by tuples that generate M_d pointwise, and second, we need to characterize when a pointwise generating tuple can be approximated by tuples that separate the points. To address the first point, we compute the codimension of the manifold of generating n -tuples of self-adjoint d -matrices (see Lemma 4.11). For the second point, we use known results characterizing when continuous maps to a manifold can be approximated by embeddings, in conjunction with a suitable version of the homotopy extension lifting property.

In Section 5, we compute the generator rank of d -subhomogeneous C^* -algebras by induction over d . Given a d -subhomogeneous C^* -algebra A , we consider the ideal $I \subseteq A$ corresponding to irreducible representations of dimension d . Then A/I is $(d - 1)$ -subhomogeneous. Using Theorem A and the assumption of the induction, we know the generator rank of I and A/I . The crucial result to compute the generator rank of the extension is the following proposition, which we also expect to have further applications in the future.

Proposition B (5.3) *Let A be a separable C^* -algebra, and let $(I_k)_{k \in \mathbb{N}}$ be a decreasing sequence of ideals satisfying $\bigcup_k \text{hull}(I_k) = \text{Prim}(A)$. Then,*

$$\text{gr}(A) = \sup_k \text{gr}(A/I_k).$$

The main result of this paper is the following theorem.

Theorem C (5.5) *Let A be a subhomogeneous C^* -algebra. For each $d \geq 1$, set $X_d := \text{Prim}_d(A)$, the subset of the primitive ideal space of A corresponding to d -dimensional irreducible representations. Then,*

$$\text{gr}(A) = \max \left\{ \text{locdim}(X_1 \times X_1), \max_{d \geq 2} \left\lceil \frac{\text{locdim}(X_d) + 1}{2d - 2} \right\rceil \right\}.$$

The main application is the following corollary.

Corollary D (5.10) *Let A be a nonzero, separable, \mathbb{Z} -stable ASH-algebra. Then, $\text{gr}(A) = 1$, and so a generic element of A is a generator.*

It was shown in [TW14, Theorem 3.8] that every unital, separable, \mathbb{Z} -stable C^* -algebra is singly generated. We note that Corollary D does not require unitality. In particular, Corollary D implies that certain C^* -algebras are singly generated that were not considered in [TW14].

Together with the main result of [Thi20], we obtain the following consequence.

Corollary E [Thi20, Corollary 5.7] *Let A be a unital, separable, simple, nuclear, \mathbb{Z} -stable C^* -algebra satisfying the UCT. Then, A has generator rank one. In particular, a generic element in A is a generator.*

Notation We set $\mathbb{N} := \{0, 1, 2, \dots\}$. Given a C^* -algebra A , we use A_{sa} to denote the set of self-adjoint elements in A . We denote by \tilde{A} the minimal unitization of A . By an ideal in a C^* -algebra, we mean a closed, two-sided ideal. We write M_d for the C^* -algebra of d -by- d matrices $M_d(\mathbb{C})$.

Given $a, b \in A$, and $\varepsilon > 0$, we write $a =_\varepsilon b$ if $\|a - b\| < \varepsilon$. Given $a \in A$ and $G \subseteq A$, we write $a \in_\varepsilon G$ if there exists $b \in G$ with $a =_\varepsilon b$. We use bold letters to denote tuples, for example, $\mathbf{a} = (a_1, \dots, a_n) \in A^n$. Given $\mathbf{a}, \mathbf{b} \in A^n$, we write $\mathbf{a} =_\varepsilon \mathbf{b}$ if $a_j =_\varepsilon b_j$ for $j = 1, \dots, n$. We use $C^*(\mathbf{a})$ to denote the sub- C^* -algebra of A generated by the elements of \mathbf{a} . We write A_{sa}^n for $(A_{sa})^n$, the space of n -tuples of self-adjoint elements in A .

2 The generator rank and its precursor

In this section, we briefly recall the definition and basic properties of the generator rank gr and its predecessor gr_0 from [Thi21].

Definition 2.1 [Thi21, Definitions 2.1 and 3.1] Let A be a C^* -algebra. We define $\text{gr}_0(A)$ as the smallest integer $n \geq 0$ such that for every $\mathbf{a} \in A_{sa}^{n+1}$, $\varepsilon > 0$, and $c \in A$, there exists $\mathbf{b} \in A_{sa}^{n+1}$ such that

$$\mathbf{b} =_\varepsilon \mathbf{a}, \quad \text{and} \quad c \in_\varepsilon C^*(\mathbf{b}).$$

If no such n exists, we set $\text{gr}_0(A) = \infty$. The generator rank of A is $\text{gr}(A) := \text{gr}_0(\tilde{A})$.

We use $\text{Gen}_n(A)_{sa}$ to denote the set of tuples $\mathbf{a} \in A_{sa}^n$ that generate A as a C^* -algebra. For separable C^* -algebras, the generator rank and its predecessor can be described by the denseness of such tuples.

Theorem 2.2 [Thi21, Theorem 3.4] Let A be a separable C^* -algebra and $n \in \mathbb{N}$. Then:

- (1) $\text{gr}_0(A) \leq n$ if and only if $\text{Gen}_{n+1}(A)_{sa} \subseteq A_{sa}^{n+1}$ is a dense G_δ -subset.
- (2) $\text{gr}(A) \leq n$ if and only if $\text{Gen}_{n+1}(\tilde{A})_{sa} \subseteq \tilde{A}_{sa}^{n+1}$ is a dense G_δ -subset.

Remark 2.3 Let A be a separable C^* -algebra. If A has generator rank at most one, then the set of (nonself-adjoint) generators in A is a dense G_δ -subset (see [Thi21, Remark 3.7]). If A is unital, then the converse also holds: we have $\text{gr}(A) \leq 1$ if and only if a generic element in A is a generator.

The connection between gr_0 , gr and the real rank is summarized by the next result, which combines Proposition 3.12 and Theorem 3.13 in [Thi21]. In Theorem 5.5, we show that gr_0 and gr agree for subhomogeneous C^* -algebras. In general, however, it is unclear if $\text{gr}_0 = \text{gr}$ (see [Thi21, Question 3.16]).

Proposition 2.4 Let A be a C^* -algebra. Then,

$$\max \{ \text{rr}(A), \text{gr}_0(A) \} = \text{gr}(A) \leq \text{gr}_0(A) + 1.$$

We will frequently use the following permanence properties of gr_0 and gr , which were shown in Propositions 2.2, 2.7, and 2.9 and Theorem 6.2 in [Thi21].

Theorem 2.5 Let A be a C^* -algebra, and let $I \subseteq A$ be an ideal. Then,

$$\max \{ \text{gr}_0(I), \text{gr}_0(A/I) \} \leq \text{gr}_0(A) \leq \text{gr}_0(I) + \text{gr}_0(A/I) + 1,$$

and

$$\max \{ \text{gr}(I), \text{gr}(A/I) \} \leq \text{gr}(A) \leq \text{gr}(I) + \text{gr}(A/I) + 1.$$

Recall that a C^* -algebra A is said to be *approximated* by sub- C^* -algebras $A_\lambda \subseteq A$ if, for every finite subset $F \subseteq A$ and $\varepsilon > 0$, there is λ such that $a \in_\varepsilon A_\lambda$ for each $a \in F$. We do not require the subalgebras to be nested. Thus, while $\bigcup_\lambda A_\lambda$ is a dense subset of A , it is not necessarily a subalgebra. The next result combines Propositions 2.3 and 2.4 and Theorem 6.3 in [Thi21].

Theorem 2.6 *Let A be a C^* -algebra that is approximated by sub- C^* -algebras $A_\lambda \subseteq A$, and let $n \in \mathbb{N}$. If $\text{gr}_0(A_\lambda) \leq n$ for each λ , then $\text{gr}_0(A) \leq n$. Analogously, if $\text{gr}(A_\lambda) \leq n$ for each λ , then $\text{gr}(A) \leq n$.*

Moreover, if $A = \varinjlim_j A_j$ is an inductive limit, then

$$\text{gr}_0(A) \leq \liminf_j \text{gr}_0(A_j), \quad \text{and} \quad \text{gr}(A) \leq \liminf_j \text{gr}(A_j).$$

Theorem 2.7 [Thi21, Theorem 5.6] *Let X be a locally compact Hausdorff space. Then,*

$$\text{gr}_0(C_0(X)) = \text{gr}(C_0(X)) = \text{locdim}(X \times X).$$

3 Reduction to the separable case

Let us recall a few concepts from model theory that allow us to reduce some proofs in the following sections to the case of separable C^* -algebras. We refer to [FHL+21, FK10] for details.

3.1. Let A be a C^* -algebra. We use $\text{Sub}_{\text{sep}}(A)$ to denote the set of separable sub- C^* -algebras of A . A collection $\mathcal{S} \subseteq \text{Sub}_{\text{sep}}(A)$ is said to be σ -complete if we have $\bigcup\{B : B \in \mathcal{T}\} \in \mathcal{S}$ for every countable directed subcollection $\mathcal{T} \subseteq \mathcal{S}$. Furthermore, \mathcal{S} is said to be *cofinal* if, for every $B_0 \in \text{Sub}_{\text{sep}}(A)$, there is $B \in \mathcal{S}$ such that $B_0 \subseteq B$. It is well known that the intersection of countably many σ -complete, cofinal collections is again σ -complete and cofinal.

In [Thi13, Definition 1], I formalized the notion of a *noncommutative dimension theory* as an assignment that to each C^* -algebra A associates a number $d(A) \in \{0, 1, 2, \dots, \infty\}$ such that six axioms are satisfied. Axioms (D1)–(D4) describe compatibility with passing to ideals, quotients, direct sums, and unitizations. The other axioms are:

- (D5) If $n \in \mathbb{N}$ and if A is a C^* -algebra that is approximated by sub- C^* -algebras $A_\lambda \subseteq A$ (as in Theorem 2.6) such that $d(A_\lambda) \leq n$ for each λ , then $d(A) \leq n$.
- (D6) If A is a C^* -algebra and $B_0 \subseteq A$ is a separable sub- C^* -algebra, then there is a separable sub- C^* -algebra $B \subseteq A$ such that $B_0 \subseteq B$ and $d(B) \leq d(A)$.

It was noted in [Thi21, Paragraph 4.1] that if d is an assignment from C^* -algebras to $\{0, 1, \dots, \infty\}$ that satisfies (D5) and (D6), then for each $n \in \mathbb{N}$ and each C^* -algebra A satisfying $d(A) \leq n$, the collection

$$\{B \in \text{Sub}_{\text{sep}}(A) : d(B) \leq n\}$$

is σ -complete and cofinal. It was shown in [Thi21] that gr_0 and gr satisfy (D5) and (D6).

Lemma 3.2 *Let A be a C^* -algebra, and let $I \subseteq A$ be an ideal. We have:*

- (1) *Let $\mathcal{S} \subseteq \text{Sub}_{\text{sep}}(I)$ be a σ -complete and cofinal subcollection. Then, the family $\{B \in \text{Sub}_{\text{sep}}(A) : B \cap I \in \mathcal{S}\}$ is σ -complete and cofinal.*
- (2) *Let $\mathcal{S} \subseteq \text{Sub}_{\text{sep}}(A/I)$ be a σ -complete and cofinal subcollection. Then, the family $\{B \in \text{Sub}_{\text{sep}}(A) : B/(B \cap I) \in \mathcal{S}\}$ is σ -complete and cofinal.*

Proof (1): Set $\mathcal{T} := \{B \in \text{Sub}_{\text{sep}}(A) : B \cap I \in \mathcal{S}\}$. It is easy to see that \mathcal{T} is σ -complete. To show that it is cofinal, let $B_0 \in \text{Sub}_{\text{sep}}(A)$. We will inductively find increasing sequences $(I_k)_k$ in \mathcal{S} and $(B_k)_k$ in $\text{Sub}_{\text{sep}}(A)$ such that

$$B_0 \cap I \subseteq I_0 \subseteq B_1 \cap I \subseteq I_1 \subseteq \dots$$

Assume that we have obtained B_k for some $k \in \mathbb{N}$. Then, $B_k \cap I \in \text{Sub}_{\text{sep}}(I)$, and since \mathcal{S} is cofinal in $\text{Sub}_{\text{sep}}(I)$, we obtain $I_k \in \mathcal{S}$ such that $B_k \cap I \subseteq I_k$. Then, let B_{k+1} be the sub- C^* -algebra of A generated by B_k and I_k .

Set $B := \overline{\bigcup_k B_k}$, which belongs to $\text{Sub}_{\text{sep}}(A)$ and contains B_0 . We have $B \cap I = \overline{\bigcup_k I_k}$. Since \mathcal{S} is σ -complete, $B \cap I$ belongs to \mathcal{S} . Thus, B belongs to \mathcal{T} , as desired.

Statement (2) is shown similarly. ■

3.3. Recall that a C^* -algebra is called d -homogeneous (for some $d \geq 1$) if all its irreducible representations are d -dimensional, and it is called homogeneous if it is d -homogeneous for some d (see [Bla06, Definition IV.1.4.1, p. 330]).

Let A be a d -homogeneous C^* -algebra, and set $X := \text{Prim}(A)$, the primitive ideal space of A . Then, X is a locally compact Hausdorff space, and there exists a locally trivial bundle over X with fiber M_d such that A is canonically isomorphic to the algebra of continuous cross sections vanishing at infinity, with pointwise operations (see [Fel61, Theorem 3.2]).

It follows that the center of A is canonically isomorphic to $C_0(X)$, and this gives A the structure of a continuous $C_0(X)$ -algebra, with each fiber isomorphic to M_d . For the definition and results of $C_0(X)$ -algebras, we refer the reader to Section 2 of [Dad09]. Given a $C_0(X)$ -algebra A and a closed subset $Y \subseteq X$, we let $A(Y)$ denote the quotient of A corresponding to Y . The fiber of A at $x \in X$ is $A(x) := A(\{x\})$. Given $a \in A$ and $x \in X$, we write $a(x)$ for the image of a in the quotient $A(x)$. Given $\mathbf{a} = (a_0, \dots, a_n) \in A^{n+1}$, we set $\mathbf{a}(x) := (a_0(x), \dots, a_n(x)) \in A(x)^{n+1}$.

Given a locally compact Hausdorff space X , the local dimension of X is

$$\text{locdim}(X) := \sup \{ \dim(K) : K \subseteq X \text{ compact} \},$$

with the convention that $\text{locdim}(\emptyset) = -1$. As noted in [Thi21, Paragraph 5.5], if X is nonempty, then $\text{locdim}(X)$ agrees with the dimension of the one-point compactification of X . If X is σ -compact, then $\dim(X) = \text{locdim}(X)$.

Lemma 3.4 *Let $d \geq 1$, $l \in \mathbb{N}$, and let X be a compact Hausdorff space satisfying $\dim(X) \leq l$. Set $A := C(X, M_d)$. Then,*

$$\mathcal{S} := \{B \in \text{Sub}_{\text{sep}}(A) : B \text{ } d\text{-homogeneous, } \text{locdim}(\text{Prim}(B)) \leq l\}$$

is σ -complete and cofinal.

Proof σ -completeness: Let $\mathcal{T} \subseteq \mathcal{S}$ be a countable directed family, and set $C := \overline{\bigcup \{B : B \in \mathcal{T}\}}$. To show that C is d -homogeneous, let ρ be an irreducible representation

of C . Since C is d -subhomogeneous (as a subalgebra of A), the dimension of ρ is at most d . If $\dim(\rho) < d$, then the restriction of ρ to each $B \in \mathcal{T}$ is zero, whence $\rho = 0$, a contradiction.

In [BP09, Section 2.2], Brown and Pedersen introduce the *topological dimension* of type IC^* -algebras. Given a homogeneous C^* -algebra D , the topological dimension $\text{topdim}(D)$ is equal to $\text{locdim}(\text{Prim}(D))$. Hence, each $B \in \mathcal{T}$ satisfies $\text{topdim}(B) = \text{locdim}(\text{Prim}(B)) \leq l$. By [Thi13, Lemma 3], a continuous trace C^* -algebra (in particular, a homogeneous C^* -algebra) has topological dimension at most l whenever it is approximated by sub- C^* -algebras with topological dimension at most l . Hence,

$$\text{locdim}(\text{Prim}(C)) = \text{topdim}(C) \leq l,$$

which verifies that C belongs to \mathcal{S} , as desired.

Cofinality: Let $B_0 \subseteq A$ be a separable sub- C^* -algebra. We identify A with $C(X) \otimes M_d$. Let $e_{jk} \in M_d, j, k = 1, \dots, d$, be matrix units. Let $C(Y) \subseteq C(X)$ be a separable, unital sub- C^* -algebra such that $f \in C(X)$ belongs to $C(Y)$ whenever $f \otimes e_{jk} \in B_0$ for some j, k . Using that the real rank satisfies (D6), let $C(Z) \subseteq C(X)$ be a separable sub- C^* -algebra containing $C(Y)$ such that $\text{rr}(C(Z)) \leq \text{rr}(C(X))$. Then,

$$\dim(Z) = \text{rr}(C(Z)) \leq \text{rr}(C(X)) = \dim(X) \leq l,$$

and it follows that $C(Z) \otimes M_d \subseteq C(X) \otimes M_d$ has the desired properties. ■

Proposition 3.5 *Let $d \geq 1, l \in \mathbb{N}$, and let A be a d -homogeneous C^* -algebra satisfying $\text{locdim}(\text{Prim}(A)) \leq l$. Then,*

$$\mathcal{S} := \{B \in \text{Sub}_{\text{sep}}(A) : B \text{ } d\text{-homogeneous, } \text{locdim}(\text{Prim}(B)) \leq l\}$$

is σ -complete and cofinal.

Proof As in the proof of Lemma 3.4, we obtain that \mathcal{S} is σ -complete.

Cofinality: Let $B_0 \subseteq A$ be a separable sub- C^* -algebra. Let $I \subseteq A$ be the ideal generated by B_0 . Then, I is d -homogeneous and $X := \text{Prim}(I)$ is σ -compact. We view I as a $C_0(X)$ -algebra with all fibers isomorphic to M_d . Since the M_d -bundle associated with I is locally trivial, and since X is σ -compact, we can choose a sequence of compact subsets $X_0, X_1, X_2, \dots \subseteq X$ that cover X such that $I(X_j) \cong C(X_j) \otimes M_d$ for each $j \in \mathbb{N}$.

Given j , let $\pi_j: I \rightarrow C(X_j) \otimes M_d$ be the corresponding quotient map, and set

$$\mathcal{S}_j := \{B \in \text{Sub}_{\text{sep}}(I) : \pi_j(B) \text{ } d\text{-homogeneous, } \text{locdim}(\text{Prim}(\pi_j(B))) \leq l\}.$$

Applying Lemmas 3.2(2) and 3.4, we obtain that \mathcal{S}_j is σ -complete and cofinal. It follows that $\mathcal{S} := \bigcap_{j=0}^\infty \mathcal{S}_j$ is σ -complete and cofinal as well. Choose $B \in \mathcal{S}$ satisfying $B_0 \subseteq B$.

To verify that B is d -homogeneous, let ρ be an irreducible representation of B . Since B is d -subhomogeneous, we have $\dim(\rho) \leq d$. Extend ρ to an irreducible representation ρ' of I (a priori on a possibly larger Hilbert space). Then, there exists $x \in X$ such that ρ' is isomorphic to the quotient map to the fiber at x . Let $j \in \mathbb{N}$ such that $x \in X_j$. Since B belongs to \mathcal{S}_j , it exhausts the fiber at x , and we deduce that $\dim(\rho) \geq d$.

To see that $\text{locdim}(\text{Prim}(B)) \leq l$, let $K \subseteq \text{Prim}(B)$ be a compact subset. For each j , let $F_j \subseteq \text{Prim}(B)$ be the closed subset corresponding to the quotient $\pi_j(B)$ of B . Since B belongs to \mathcal{S}_j , we have $\text{locdim}(F_j) \leq l$. Hence, $\dim(K \cap F_j) \leq l$. We have

$K = \bigcup_j (K \cap F_j)$, and therefore

$$\dim(K) = \sup_j \dim(K \cap F_j) \leq l$$

by the Countable Sum Theorem (see [Pea75, Theorem 3.2.5, p. 125]; see also the introduction to Section 5). ■

4 Homogeneous C^* -algebras

In this section, we compute the generator rank of homogeneous C^* -algebra; (see Theorem 4.17). We first consider the unital separable case (Lemma 4.15), we then generalize to the unital nonseparable case (Proposition 4.16) and finally to the general case. Unlike for commutative C^* -algebras, the unital separable case is highly nontrivial and it requires a delicate analysis of the codimension of certain submanifolds of $(M_d)_{sa}^{n+1}$ (Lemma 4.11) in connection with a suitable version of the homotopy extension lifting property (Lemma 4.14).

The next result characterizes generating tuples in separable $C(X)$ -algebras with simple fibers, and thus in particular in unital, separable, homogeneous C^* -algebras. Given a map $\varphi: D \rightarrow E$ between C^* -algebras and $\mathbf{a} = (a_0, \dots, a_n) \in D^{n+1}$, we set

$$\varphi(\mathbf{a}) := (\varphi(a_0), \dots, \varphi(a_n)) \in E^{n+1}.$$

Proposition 4.1 *Let X be a compact metric space, and let A be a separable $C(X)$ -algebra such that all fibers are simple. Let $n \in \mathbb{N}$ and $\mathbf{a} \in A_{sa}^{n+1}$. Then, $\mathbf{a} \in \text{Gen}_{n+1}(A)_{sa}$ if and only if the following are satisfied:*

- (a) \mathbf{a} generates each fiber, that is, $\mathbf{a}(x) \in \text{Gen}_{n+1}(A(x))_{sa}$ for each $x \in X$.
- (b) \mathbf{a} separates the points of X in the sense that for distinct $x, y \in X$, there is no isomorphism $\alpha: A(x) \rightarrow A(y)$ satisfying $\alpha(\mathbf{a}(x)) = \mathbf{a}(y)$.

Proof Let us first assume that $\mathbf{a} \in \text{Gen}_{n+1}(A)_{sa}$. For $x \in X$, let $\pi_x: A \rightarrow A(x)$ be the quotient map onto the fiber at x . Since π_x is a surjective $*$ -homomorphism, it maps $\text{Gen}_{n+1}(A)_{sa}$ to $\text{Gen}_{n+1}(A(x))_{sa}$, which verifies (a). Similarly, for distinct points $x, y \in X$, the map $\pi_x \oplus \pi_y: A \rightarrow A(x) \oplus A(y)$ is a surjective $*$ -homomorphism. It follows that $(\mathbf{a}(x), \mathbf{a}(y)) = (\pi_x \oplus \pi_y)(\mathbf{a}) \in \text{Gen}_{n+1}(A(x) \oplus A(y))_{sa}$. To verify (b), assume that $\alpha: A(x) \rightarrow A(y)$ is an isomorphism satisfying $\alpha(\mathbf{a}(x)) = \mathbf{a}(y)$. Then,

$$C^*((\mathbf{a}(x), \mathbf{a}(y))) = \{(d, \alpha(d)) \in A(x) \oplus A(y) : d \in A(x)\} \neq A(x) \oplus A(y),$$

which contradicts that $(\mathbf{a}(x), \mathbf{a}(y))$ generates $A(x) \oplus A(y)$. Thus, no such α exists.

Conversely, let us assume that (a) and (b) are satisfied. Set $B := C^*(\mathbf{a})$. We need to prove $B = A$. This follows from [TW14, Lemma 3.2] once we show that B exhausts the fiber $A(x)$ for each $x \in X$, and that for distinct $x, y \in X$, there exists $b \in B$ such that $b(x)$ is full in $A(x)$ and $b(y) = 0$. The exhaustion of fibers follows directly from (a).

Let $x, y \in X$ be distinct, and set $C := (\pi_x \oplus \pi_y)(B) \subseteq A(x) \oplus A(y)$. Note that C is the sub- C^* -algebra of $A(x) \oplus A(y)$ generated by $(\mathbf{a}(x), \mathbf{a}(y))$. If $C \neq A(x) \oplus A(y)$, using that $A(x)$ and $A(y)$ are simple, it follows from [Thi21, Lemma 5.10] that there exists an isomorphism $\alpha: A(x) \rightarrow A(y)$ such that

$$C = \{(d, \alpha(d)) \in A(x) \oplus A(y) : d \in A(x)\},$$

which implies that $\alpha(\mathbf{a}(x)) = \mathbf{a}(y)$. Since this contradicts (b), we deduce that $C = A(x) \oplus A(y)$. Hence, there exists $b \in B$ such that $b(x)$ is full in $A(x)$ and $b(y) = 0$. ■

Notation 4.2 For $d \geq 2$ and $n \in \mathbb{N}$, we set

$$E_d^{n+1} := (M_d)_{sa}^{n+1}, \quad \text{and} \quad G_d^{n+1} := \text{Gen}_{n+1}(M_d)_{sa} \subseteq E_d^{n+1}.$$

Note that E_d^{n+1} is isomorphic to $\mathbb{R}^{(n+1)d^2}$ as topological vector spaces. In particular, E_d^{n+1} is a (real) manifold with $\dim(E_d^{n+1}) = (n + 1)d^2$.

We let \mathcal{U}_d denote the unitary group of M_d . It is a compact Lie group of dimension d^2 . Every automorphism of M_d is inner, and the kernel of $\mathcal{U}_d \rightarrow \text{Aut}(M_d)$ is the group of central unitaries $\mathbb{T}1 \subseteq \mathcal{U}_d$. Hence, $\text{Aut}(M_d)$ is naturally isomorphic to $\mathcal{PU}_d := \mathcal{U}_d/(\mathbb{T}1)$, the projective unitary group, which is a compact Lie group of dimension $d^2 - 1$. Given $u \in \mathcal{U}_d$, we use $[u]$ to denote its class in \mathcal{PU}_d .

The action $\mathcal{PU}_d \curvearrowright M_d$ induces an action $\mathcal{PU}_d \curvearrowright E_d^{n+1}$ by setting

$$[u].\mathbf{a} := (ua_0u^*, \dots, ua_nu^*)$$

for $u \in \mathcal{U}_d$ and $\mathbf{a} = (a_0, \dots, a_n) \in E_d^{n+1}$.

4.3. Let A be a unital, separable, d -homogeneous C^* -algebra, and let $n \in \mathbb{N}$. Set $X := \text{Prim}(A)$. We consider A with its canonical $C(X)$ -algebra structure, with each fiber isomorphic to M_d (see Paragraph 3.3). Set

$$\text{Gen}_{n+1}^{\text{fiber}}(A)_{sa} := \{ \mathbf{a} \in A_{sa}^{n+1} : \mathbf{a}(x) \in \text{Gen}_{n+1}(A(x))_{sa} \text{ for each } x \in X \}.$$

Given $x \in X$, let $\pi_x: A \rightarrow A(x)$ denote the map to the fiber at x . This induces a map $A_{sa}^{n+1} \rightarrow (A(x))_{sa}^{n+1}$, which we also denote by π_x . Choose an isomorphism $A(x) \cong M_d$, which induces an isomorphism $(A(x))_{sa}^{n+1} \cong E_d^{n+1} = (M_d)_{sa}^{n+1}$. Since the isomorphism $A(x) \cong M_d$ is unique up to an automorphism of M_d , we obtain a canonical homeomorphism $(A(x))_{sa}^{n+1} / \text{Aut}(A(x)) \cong E_d^{n+1} / \mathcal{PU}_d$. We let $\psi_x: A_{sa}^{n+1} \rightarrow E_d^{n+1} / \mathcal{PU}_d$ be the resulting natural map.

Given $\mathbf{a} \in A_{sa}^{n+1}$, one checks that $\psi_x(\mathbf{a})$ depends continuously on x . This allows us to define $\Psi: A_{sa}^{n+1} \rightarrow C(X, E_d^{n+1} / \mathcal{PU}_d)$ by

$$\Psi(\mathbf{a})(x) := \psi_x(\mathbf{a}),$$

for $\mathbf{a} \in A_{sa}^{n+1}$ and $x \in X$. Restricting Ψ to $\text{Gen}_{n+1}^{\text{fiber}}(A)_{sa}$ gives a continuous map

$$\Psi: \text{Gen}_{n+1}^{\text{fiber}}(A)_{sa} \rightarrow C(X, G_d^{n+1} / \mathcal{PU}_d).$$

We let $E(X, G_d^{n+1} / \mathcal{PU}_d)$ denote the set of continuous maps $X \rightarrow G_d^{n+1} / \mathcal{PU}_d$ that are injective. By Proposition 4.1, a tuple $\mathbf{a} \in A_{sa}^{n+1}$ belongs to $\text{Gen}_{n+1}(A)_{sa}$ if and only if (a): $\mathbf{a} \in \text{Gen}_{n+1}^{\text{fiber}}(A)_{sa}$, and (b): $\Psi(\mathbf{a}) \in E(X, G_d^{n+1} / \mathcal{PU}_d)$. Thus, to determine the generator rank of A , we need to answer the following questions:

- (a) When is $\text{Gen}_{n+1}^{\text{fiber}}(A)_{sa}$ dense in A_{sa}^{n+1} ?
- (b) When is $E(X, G_d^{n+1} / \mathcal{PU}_d)$ dense in $C(X, G_d^{n+1} / \mathcal{PU}_d)$?

Analogous as for the computation of the generator rank for unital, separable, commutative C^* -algebras in [Thi21, Section 5], the answer to question (a) is determined by $\dim(X)$, and the answer to (b) is determined by $\dim(X \times X)$. However, while in the commutative case the dominating condition was (b) involving $\dim(X \times X)$, we will

see that for d -homogeneous C^* -algebras with $d \geq 2$ the dominating condition is (a) involving $\dim(X)$.

To study (a), we will determine the dimension of $E_d^{n+1} \setminus G_d^{n+1}$. For this, we study the action $\mathcal{P}\mathcal{U}_d \curvearrowright E_d^{n+1}$. We will show that G_d^{n+1} consists precisely of the tuples in E_d^{n+1} with trivial stabilizer subgroup (see Lemma 4.7). This allows us to describe $E_d^{n+1} \setminus G_d^{n+1}$ as the union of the submanifolds corresponding to nontrivial stabilizer subgroups. We then estimate the dimension of these submanifolds (see Lemma 4.11).

To study (b), we show that G_d^{n+1} is an open subset of E_d^{n+1} (see Lemma 4.9). Hence, G_d^{n+1} is a manifold with $\dim(G_d^{n+1}) = \dim(E_d^{n+1}) = (n + 1)d^2$. We let $G_d^{n+1}/\mathcal{P}\mathcal{U}_d$ denote the quotient space. Since $\mathcal{P}\mathcal{U}_d$ is a compact Lie group of dimension $d^2 - 1$, it follows that $G_d^{n+1}/\mathcal{P}\mathcal{U}_d$ is a manifold of dimension $(n + 1)d^2 - (d^2 - 1) = nd^2 + 1$. We then use a result of [Luu81] which characterizes when a continuous map to a manifold can be approximated by injective maps.

Finally, we use a version of the homotopy extension lifting property for the projection $G_d^{n+1} \rightarrow G_d^{n+1}/\mathcal{P}\mathcal{U}_d$ (see Lemma 4.14) to show that a given tuple in $\text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}}$ can be approximated by tuples that are mapped to $E(X, G_d^{n+1}/\mathcal{P}\mathcal{U}_d)$ by Ψ .

4.4. Let G be a compact Lie group, acting smoothly on a connected manifold M . We briefly recall the orbit-type decomposition. For details, we refer the reader to [Bre72, Mei03]. We will later apply this for the action $\mathcal{P}\mathcal{U}_d \curvearrowright E_d^{n+1}$.

The stabilizer subgroup of $m \in M$ is

$$\text{Stab}(m) := \{g \in G : g.m = m\}.$$

Two subgroups H and H' of G are conjugate, denoted $H \sim H'$, if there exists $g \in G$ such that $H = gH'g^{-1}$. We let

$$T := \{H' : H' \sim \text{Stab}(m)\} : m \in M\}$$

denote the collection of all conjugation classes of stabilizer subgroups. Set

$$M_t := \{m \in M : \text{Stab}(m) \in t\}$$

for $t \in T$. We have $\text{Stab}(g.m) = g \text{Stab}(m)g^{-1}$ for all $g \in G$ and $m \in M$, which implies that each M_t is G -invariant.

Let us additionally assume that each M_t is connected. Then, by [Mei03, Theorem 1.30], each M_t is a smooth embedded submanifold of M , and M decomposes as a disjoint union $M = \bigcup_{t \in T} M_t$. (See also [Bre72, Theorem IV.3.3, p. 182].) Furthermore, this decomposition satisfies the frontier condition: for all $t', t \in T$, if $M_{t'} \cap \overline{M_t} \neq \emptyset$, then $M_{t'} \subseteq \overline{M_t}$. This defines a partial order on T by setting $t' \leq t$ if $M_{t'} \subseteq \overline{M_t}$. The depth of $t \in T$ is defined as $\text{depth}(t) = 0$ if t is maximal, and otherwise

$$\text{depth}(t) := \sup \{k \geq 1 : t < t_1 < t_2 < \dots < t_k \text{ for some } t_1, \dots, t_k \in T\}.$$

In many cases, one knows that T is finite and contains a largest element (see Sections IV.3 and IV.10 of [Bre72]).

Set $M_{\text{free}} := \{m \in M : \text{Stab}(m) = \{1\}\}$. If $M_{\text{free}} \neq \emptyset$, then the conjugacy class of the trivial subgroup is the largest element in T , and M_{free} is an open submanifold of M . The restriction of the action to M_{free} is free.

Proposition 4.5 *Retain the situation from Paragraph 4.4. Assume that M is metrizable with metric d_M , T is finite, and $M \neq M_{\text{free}} \neq \emptyset$. Let X be a compact Hausdorff space. Then, the following are equivalent:*

- (1) $C(X, M_{\text{free}}) \subseteq C(X, M)$ is dense with respect to the metric $d(f, g) := \sup_{x \in X} d_M(f(x), g(x))$, for $f, g \in C(X, M)$.
- (2) $\dim(X) < \dim(M) - \dim(M \setminus M_{\text{free}})$.

Proof Note that T contains exactly one element of depth zero, namely the conjugacy class of $\{1\}$. Therefore,

$$M \setminus M_{\text{free}} = \bigcup_{t \in T, \text{depth}(t) \geq 1} M_t,$$

and it follows that

$$\dim(M \setminus M_{\text{free}}) = \max \{ \dim(M_t) : \text{depth}(t) \geq 1 \}.$$

To show that (1) implies (2), assume that $\dim(X) \geq \dim(M) - \dim(M \setminus M_{\text{free}})$. Choose $t \in T$ of depth ≥ 1 such that $\dim(X) \geq \dim(M) - \dim(M_t)$. As noted in [BE91, Proposition 1.6], it follows that $C(X, M \setminus M_t) \subseteq C(X, M)$ is not dense, which implies that (1) fails.

Assuming (2), let us prove (1). Let $f \in C(X, M)$ and $\varepsilon > 0$. The proof is similar to that of Theorem 1.3 in [BE91]. We inductively change f to avoid each M_t , but instead of proceeding by the (co)dimension of the submanifolds, we use their depths.

It follows from the frontier condition that for each $t \in T$, the set $\overline{M_t} \setminus M_t$ is contained in the union of submanifolds M_s with $s \in T$ and $\text{depth}(s) > \text{depth}(t)$. Let t_1, \dots, t_K be an enumeration of the elements in T with $\text{depth} \geq 1$, such that $\text{depth}(t_1) \geq \text{depth}(t_2) \geq \dots \geq \text{depth}(t_K)$. Note that M_{t_1} is a closed submanifold (since t_1 has maximal depth and thus $\overline{M_{t_1}} \setminus M_{t_1} = \emptyset$), and for each $j \geq 2$, the set $\overline{M_{t_j}} \setminus M_{t_j}$ is contained in $M_{t_1} \cup \dots \cup M_{t_{j-1}}$. Furthermore, every M_{t_j} is a submanifold of codimension $\geq \dim(X) + 1$.

By [BE91, Lemma 1.4], if $Y \subseteq M$ is submanifold of codimension $\geq \dim(X) + 1$, if $\delta > 0$, and if $g \in C(X, M)$ satisfies $g(\overline{X}) \cap (\overline{Y} \setminus Y) = \emptyset$, then there exists $g' \in C(X, M)$ such that $d(g, g') \leq \delta$ and $g'(X) \cap \overline{Y} = \emptyset$. Set $f_0 := f$. We will inductively find $f_k \in C(X, M)$ such that, for each $k = 1, \dots, K$, we have

$$d(f_{k-1}, f_k) < \frac{\varepsilon}{2^k}, \quad \text{and} \quad f_k(X) \cap \overline{M_{t_j}} = \emptyset \text{ for } j = 1, \dots, k.$$

First, using that the boundary of M_{t_1} is empty, we can apply [BE91, Lemma 1.4] to obtain $f_1 \in C(X, M)$ such that

$$d(f_0, f_1) < \frac{\varepsilon}{2}, \quad \text{and} \quad f_1(X) \cap \overline{M_{t_1}} = \emptyset.$$

For $k \geq 2$, assuming that we have chosen f_{k-1} , let δ_k denote the (positive) distance between the compact set $f_{k-1}(X)$ and $\overline{M_{t_1}} \cup \dots \cup \overline{M_{t_{k-1}}}$. Applying [BE91, Lemma 1.4], we obtain $f_k \in C(X, M)$ such that

$$d(f_{k-1}, f_k) < \min \left\{ \frac{\varepsilon}{2^k}, \delta_k \right\}, \quad \text{and} \quad f_k(X) \cap \overline{M_{t_k}} = \emptyset.$$

By choice of δ_k , it follows that $f_k(X)$ is disjoint from $\overline{M_{t_1}} \cup \dots \cup \overline{M_{t_k}}$.

Finally, the element f_K belongs to $C(X, M_{\text{free}})$ and satisfies $d(f, f_K) < \varepsilon$. ■

4.6. We let $\text{Sub}_1(M_d)$ denote the collection of sub- C^* -algebras of M_d that contain the unit of M_d . Given $\mathbf{a} \in E_d^{n+1} := (M_d)_{\text{sa}}^{n+1}$, we set $C_1^*(\mathbf{a}) := C^*(\mathbf{a}, 1) \in \text{Sub}_1(M_d)$. We let $\mathcal{P}\mathcal{U}_d$ act on $\text{Sub}_1(M_d)$ by $[u].B := uBu^*$ for $u \in \mathcal{U}_d$ and $B \in \text{Sub}_1(M_d)$. Given $B_1, B_2 \in \text{Sub}_1(M_d)$, we write $B_1 \sim B_2$ if B_1 and B_2 lie in the same orbit of this action, that is, if $B_1 = uB_2u^*$ for some $u \in \mathcal{U}_d$.

Given $\mathbf{a} \in E_d^{n+1}$, we have $C_1^*(\mathbf{a}) = M_d$ if and only if $C^*(\mathbf{a}) = M_d$, and thus

$$\begin{aligned} G_d^{n+1} &:= \text{Gen}_{n+1}(M_d)_{\text{sa}} = \{ \mathbf{a} \in (M_d)_{\text{sa}}^{n+1} : C^*(\mathbf{a}) = M_d \} \\ &= \{ \mathbf{a} \in (M_d)_{\text{sa}}^{n+1} : C_1^*(\mathbf{a}) = M_d \}. \end{aligned}$$

Given a sub- C^* -algebra $B \subseteq M_d$, we let $B' := \{c \in M_d : bc = cb \text{ for all } b \in B\}$ denote its commutant. We always have $B' \in \text{Sub}_1(M_d)$, and by the bicommutant theorem, we have $B'' = B$ for all $B \in \text{Sub}_1(M_d)$.

Lemma 4.7 *Let $\mathbf{a} \in E_d^{n+1}$. Then,*

$$\text{Stab}(\mathbf{a}) = \{ [u] : u \in \mathcal{U}(C^*(\mathbf{a})') \}.$$

Furthermore, we have $\mathbf{a} \in G_d^{n+1}$ if and only if $\text{Stab}(\mathbf{a}) = \{ [1] \}$.

Proof Given $u \in \mathcal{U}_d$, we have $[u].\mathbf{a} = \mathbf{a}$ if and only if $uxu^* = x$ for every $x \in C^*(\mathbf{a})$. This implies the formula for $\text{Stab}(\mathbf{a})$.

If $\mathbf{a} \in G_d^{n+1}$, then $C^*(\mathbf{a})' = \mathbb{C}1$, which implies that $\text{Stab}(\mathbf{a})$ is trivial. Conversely, assuming that $\mathbf{a} \in E_d^{n+1} \setminus G_d^{n+1}$, let us verify that \mathbf{a} has nontrivial stabilizer subgroup. Since $C^*(\mathbf{a}) \neq M_d$, we also have $C_1^*(\mathbf{a}) \neq M_d$. Using the bicommutant theorem, we deduce that $C_1^*(\mathbf{a})'$ is strictly larger than the center of M_d . Using that $C^*(\mathbf{a})' = C_1^*(\mathbf{a})'$, we obtain a noncentral unitary in $C^*(\mathbf{a})'$. ■

Lemma 4.8 *Let $\mathbf{a}, \mathbf{b} \in E_d^{n+1}$. Then, we have $\text{Stab}(\mathbf{a}) \sim \text{Stab}(\mathbf{b})$ if and only if $C_1^*(\mathbf{a}) \sim C_1^*(\mathbf{b})$.*

Proof Let $B_1, B_2 \in \text{Sub}_1(M_d)$. If $u \in \mathcal{U}_d$ satisfies $uB_1u^* = B_2$, then one checks $uB_1'u^* = B_2'$. Using also that B_1 and B_2 agree with their bicommutants, we obtain

$$B_1 \sim B_2 \iff B_1' \sim B_2'.$$

Using that $C_1^*(\mathbf{a}) = C^*(\mathbf{a})''$ and $C_1^*(\mathbf{a})' = C^*(\mathbf{a})'$, and similarly $C_1^*(\mathbf{b}) = C^*(\mathbf{b})''$ and $C_1^*(\mathbf{b})' = C^*(\mathbf{b})'$, we need to show

$$\text{Stab}(\mathbf{a}) \sim \text{Stab}(\mathbf{b}) \iff C^*(\mathbf{a})' \sim C^*(\mathbf{b})'.$$

To prove the forward implication, we assume that $\text{Stab}(\mathbf{a}) \sim \text{Stab}(\mathbf{b})$. Let $v \in \mathcal{U}_d$ such that $[v]\text{Stab}(\mathbf{a})[v]^{-1} = \text{Stab}(\mathbf{b})$. Given $u \in \mathcal{U}(C^*(\mathbf{a})')$, it follows from Lemma 4.7 that

$$[vuv^*] \in \text{Stab}(\mathbf{b}) = \{ [w] : w \in \mathcal{U}(C^*(\mathbf{b})') \}.$$

Using that $\mathbb{T}1 \subseteq \mathcal{U}(C^*(\mathbf{b})')$, we obtain $\nu u \nu^* \in \mathcal{U}(C^*(\mathbf{b})')$. Since $C^*(\mathbf{a})'$ is spanned by its unitary elements, we get $\nu C^*(\mathbf{a})' \nu^* \subseteq C^*(\mathbf{b})'$. The reverse inclusion is shown analogously, whence $\nu C^*(\mathbf{a})' \nu^* = C^*(\mathbf{b})'$, that is, $C^*(\mathbf{a})' \sim C^*(\mathbf{b})'$.

Conversely, if $C^*(\mathbf{a})' \sim C^*(\mathbf{b})'$, let $\nu \in \mathcal{U}_d$ such that $\nu C^*(\mathbf{a})' \nu^* = C^*(\mathbf{b})'$. Using Lemma 4.7, we get $[\nu] \text{Stab}(\mathbf{a})[\nu]^{-1} = \text{Stab}(\mathbf{b})$, that is, $\text{Stab}(\mathbf{a}) \sim \text{Stab}(\mathbf{b})$. ■

Lemma 4.9 *Let B be a finite-dimensional C^* -algebra and $n \geq 1$. Then, the set $\{\mathbf{a} \in B_{\text{sa}}^{n+1} : C_1^*(\mathbf{a}) = B\}$ is a path-connected, dense, open subset of B_{sa}^{n+1} .*

Proof Set $G := \{\mathbf{a} \in B_{\text{sa}}^{n+1} : C_1^*(\mathbf{a}) = B\}$.

Denseness: By [Thi21, Lemma 7.2], we have $\text{gr}(B) \leq 1 \leq n$. Since B is unital and separable, it follows from Theorem 2.2 that $\text{Gen}_{n+1}(B)_{\text{sa}} \subseteq B_{\text{sa}}^{n+1}$ is dense. Using that $\text{Gen}_{n+1}(B)_{\text{sa}} \subseteq G$, we get that G is also dense in B_{sa}^{n+1} .

Openness: Let \mathcal{D} denote the family of sub- C^* -algebras $D \subseteq B$ such that $D + \mathbb{C}1_B$ is a proper sub- C^* -algebra of B (that is, $C_1^*(D) \neq B$). Then,

$$G = B_{\text{sa}}^{n+1} \setminus \bigcup_{D \in \mathcal{D}} D_{\text{sa}}^{n+1}.$$

Thus, we need to show that $\bigcup_{D \in \mathcal{D}} D_{\text{sa}}^{n+1}$ is a closed subset of B_{sa}^{n+1} .

We let $\mathcal{U}(B)$ denote the unitary group of B . It naturally acts on \mathcal{D} by setting $u \cdot D := u D u^*$ for $u \in \mathcal{U}(B)$ and $D \in \mathcal{D}$. Since B is finite-dimensional, two sub- C^* -algebras $D_1, D_2 \subseteq B$ are unitarily equivalent if and only if $D_1 \cong D_2$ and the inclusions induce the same maps in ordered K_0 -theory. It follows that the action $\mathcal{U}(B) \curvearrowright \mathcal{D}$ has only finitely many orbits, and we choose representatives $D_1, \dots, D_m \in \mathcal{D}$. Then, $\mathcal{D} = \bigcup_{j=1}^m \bigcup_{u \in \mathcal{U}(B)} u D_j u^*$.

For each j , since D_j is a closed subset of B , it follows that $(D_j)_{\text{sa}}^{n+1}$ is a closed subset of B_{sa}^{n+1} . Since B is finite-dimensional, $\mathcal{U}(B)$ is compact, and it follows that

$$\bigcup_{D \in \mathcal{D}} D_{\text{sa}}^{n+1} = \bigcup_{j=1}^m \bigcup_{u \in \mathcal{U}(B)} u (D_j)_{\text{sa}}^{n+1} u^*$$

is closed, as desired.

Path-connectedness: We only sketch the argument for the case $B = M_d$ for some $d \geq 2$. Let $\mathbf{a} \in \text{Gen}_{n+1}(M_d)_{\text{sa}}$. Using that the unitary group of M_d is path-connected, and that a_0 is unitarily equivalent to a diagonal matrix, we find a path in $\text{Gen}_{n+1}(M_d)_{\text{sa}}$ from \mathbf{a} to some \mathbf{b} such that b_0 is diagonal. By splitting multiple eigenvalues of b_0 and moving them away from zero, we find a path $(x_t)_{t \in [0,1]}$ inside the self-adjoint, diagonal matrices starting with $x_0 = b_0$ and ending with some x_1 such that x_1 has k distinct, nonzero diagonal entries, and such that $b_0 \in C^*(x_t)$ for each $t \in [0, 1]$. Then, $t \mapsto (x_t, b_1, \dots, b_n)$ defines a path inside $\text{Gen}_{n+1}(M_d)_{\text{sa}}$.

Let S denote the set of self-adjoint matrices in M_d such that every off-diagonal entry is nonzero. Note that S is path-connected. Next, we let $(y_t)_{t \in [0,1]}$ be a path inside the self-adjoint matrices starting with $y_0 = b_1$, ending with some matrix y_1 that has the eigenvalues $1, 2, \dots, d$ such that y_t belongs to S for every $t \in (0, 1]$. Note that x_1 and y_t generated M_d for every $t \in (0, 1]$. It follows that $t \mapsto (x_1, y_t, b_2, \dots, b_n)$ defines a path inside $\text{Gen}_{n+1}(M_d)_{\text{sa}}$.

Conjugating by a suitable path of unitaries, we find a path in $\text{Gen}_{n+1}(M_d)_{\text{sa}}$ from $(x_1, y_1, b_2, \dots, b_n)$ to some $\mathbf{c} = (c_0, c_1, \dots, c_n)$ such that $c_1 = \text{diag}(1, 2, \dots, d)$.

Arguing as above, we find a path in $\text{Gen}_{n+1}(M_d)_{\text{sa}}$ that changes c_0 to the matrix \tilde{c}_0 with all entries 1. Then, \tilde{c}_0 and c_1 generate M_d .

Then, $t \mapsto (\tilde{c}_0, c_1, (1-t)c_2, \dots, (1-t)c_n)$ is a path in $\text{Gen}_{n+1}(M_d)_{\text{sa}}$ connecting to $(\tilde{c}_0, c_1, 0, \dots, 0)$. Thus, every $\mathbf{a} \in \text{Gen}_{n+1}(M_d)_{\text{sa}}$ is path-connected to the same element. ■

4.10. Let $d \geq 2$, and $n \in \mathbb{N}$. The compact Lie group \mathcal{PU}_d acts smoothly on the manifold $E_d^{n+1} := (M_d)_{\text{sa}}^{n+1}$. We will describe the corresponding orbit-type decomposition of E_d^{n+1} .

Given $\mathbf{a}, \mathbf{b} \in E_d^{n+1}$, by Lemma 4.8, we have $\text{Stab}(\mathbf{a}) \sim \text{Stab}(\mathbf{b})$ if and only if $C_1^*(\mathbf{a}) \sim C_1^*(\mathbf{b})$. Moreover, given $B \in \text{Sub}_1(M_d)$, there exists $\mathbf{a} \in E_d^{n+1}$ with $B = C_1^*(\mathbf{a})$. It follows that the orbit types of $\mathcal{PU}_d \curvearrowright E_d^{n+1}$ naturally correspond to the orbit types of the action $\mathcal{PU}_d \curvearrowright \text{Sub}_1(M_d)$.

Given $B_1, B_2 \in \text{Sub}_1(M_d)$, it is well known that $B_1 \sim B_2$ if and only if B_1 and B_2 are isomorphic, that is, $B_1 \cong B_2 \cong \bigoplus_{j=1}^L M_{d_j}$ for some $L, d_1, \dots, d_L \geq 1$, and if, for each j , the maps $M_{d_j} \rightarrow B_1 \rightarrow M_d$ and $M_{d_j} \rightarrow B_2 \rightarrow M_d$ have the same multiplicity m_j . Thus, to parametrize the orbit types of $\mathcal{PU}_d \curvearrowright \text{Sub}_1(M_d)$, we consider

$$T_0 := \left\{ ((d_1, \dots, d_L), (m_1, \dots, m_L)) : L, d_j, m_j \geq 1, \sum_{j=1}^L d_j m_j = d \right\}.$$

Given $(\mathbf{d}, \mathbf{m}) \in T_0$, we let $B(\mathbf{d}, \mathbf{m}) \subseteq M_d$ be the sub- C^* -algebra of block diagonal matrices, with m_1 equal blocks of size d_1 , followed by m_2 equal blocks of size d_2 , and so on. We point out that the numbers d_1, \dots, d_L are not required to be distinct. For example, $B((d), (1)) = M_d$, $B((1), (d)) = \mathbb{C}1$, and $B((1, \dots, 1), (1, \dots, 1))$ is the algebra of diagonal matrices.

We define an equivalence relation on T_0 by setting $(\mathbf{d}, \mathbf{m}) \sim (\mathbf{d}', \mathbf{m}')$ if all tuples $\mathbf{d}, \mathbf{m}, \mathbf{d}', \mathbf{m}'$ contain the same number of elements, say $L \geq 1$, and if there is a permutation σ of $\{1, \dots, L\}$ such that

$$d_j = d'_{\sigma(j)}, \quad m_j = m'_{\sigma(j)} \text{ for } j = 1, \dots, L.$$

For example, we have $((2, 2), (1, 2)) \sim ((2, 2), (2, 1))$, but $((2, 2), (1, 2)) \not\sim ((2), (3))$.

We have $(\mathbf{d}, \mathbf{m}) \sim (\mathbf{d}', \mathbf{m}')$ if and only if $B(\mathbf{d}, \mathbf{m}) \sim B(\mathbf{d}', \mathbf{m}')$.

Set $T := T_0/\sim$. Given $(\mathbf{d}, \mathbf{m}) \in T_0$, we let $[\mathbf{d}, \mathbf{m}]$ denote its equivalence class in T . For every $B \in \text{Sub}_1(M_d)$, there exists $(\mathbf{d}, \mathbf{m}) \in T_0$ such that $B \sim B(\mathbf{d}, \mathbf{m})$. It follows that the orbit types of $\mathcal{PU}_d \curvearrowright \text{Sub}_1(M_d)$ are parametrized by T :

$$\text{Sub}_1(M_d)/\mathcal{PU}_d = \text{Sub}_1(M_d)/\sim \cong T_0/\sim = T.$$

Given $[\mathbf{d}, \mathbf{m}] \in T$, set

$$E_{[\mathbf{d}, \mathbf{m}]} := \{ \mathbf{a} \in E_d^{n+1} : C_1^*(\mathbf{a}) \sim B(\mathbf{d}, \mathbf{m}) \}.$$

Then, $E_{[\mathbf{d}, \mathbf{m}]}$ is the submanifold of E_d^{n+1} corresponding to orbit type $[\mathbf{d}, \mathbf{m}]$, and the orbit-type decomposition (as described in Paragraph 4.4) for $\mathcal{PU}_d \curvearrowright E_d^{n+1}$ is

$$E_d^{n+1} = \bigcup_{[\mathbf{d}, \mathbf{m}] \in T} E_{[\mathbf{d}, \mathbf{m}]}.$$

By Lemma 4.7, a tuple $\mathbf{a} \in E_d^{n+1}$ has trivial stabilizer group if and only if \mathbf{a} belongs to G_d^{n+1} . It follows that $G_d^{n+1} = E_{[(d),(1)]}$, and in the notation of Paragraph 4.4, with $M = E_d^{n+1}$, we have $M_{\text{free}} = G_d^{n+1}$.

Lemma 4.11 *Let $[\mathbf{d}, \mathbf{m}] \in T$ with $[\mathbf{d}, \mathbf{m}] \neq [(d), (1)]$. Then, $E_{[\mathbf{d}, \mathbf{m}]}$ is a connected submanifold of E_d^{n+1} satisfying*

$$\dim(E_{[\mathbf{d}, \mathbf{m}]}) \leq (n + 1)d^2 - 2n(d - 1).$$

Furthermore, $\dim(E_{[(d-1,1),(1,1)]}) = (n + 1)d^2 - 2n(d - 1)$.

Proof Set $B := B(\mathbf{d}, \mathbf{m})$. Note that a tuple $\mathbf{a} \in E_d^{n+1}$ belongs to $E_{[\mathbf{d}, \mathbf{m}]}$ if and only if $C_1^*(\mathbf{a}) \sim B$. Set

$$F := \{\mathbf{a} \in E_d^{n+1} : C_1^*(\mathbf{a}) = B\}.$$

By Lemma 4.9, F is connected. Since every orbit in $E_{[\mathbf{d}, \mathbf{m}]}$ meets F , and since $\mathcal{P}\mathcal{U}_d$ is connected, it follows that $E_{[\mathbf{d}, \mathbf{m}]}$ is connected as well.

By [Bre72, Theorem IV.3.8], if a compact Lie group L acts smoothly on a connected manifold M such that all orbits have the same type, then $\dim(M) = \dim(M/L) + \dim(L/K)$, where K is the stabilizer subgroup of any element in M .

Let $K \subseteq \mathcal{P}\mathcal{U}_d$ be the stabilizer subgroup of some element in F . By considering the restricted action $\mathcal{P}\mathcal{U}_d \curvearrowright E_{[\mathbf{d}, \mathbf{m}]}$, we obtain that

$$\dim(E_{[\mathbf{d}, \mathbf{m}]}) = \dim(E_{[\mathbf{d}, \mathbf{m}]} / \mathcal{P}\mathcal{U}_d) + \dim(\mathcal{P}\mathcal{U}_d / K).$$

Closed subgroups of Lie groups are again Lie groups. It follows that K is a Lie group as well. Since K is acting freely on the connected manifold $\mathcal{P}\mathcal{U}_d$ with only one orbit type, we also get $\dim(\mathcal{P}\mathcal{U}_d / K) = \dim(\mathcal{P}\mathcal{U}_d) - \dim(K)$ and thus

$$(4.1) \quad \dim(E_{[\mathbf{d}, \mathbf{m}]}) = \dim(E_{[\mathbf{d}, \mathbf{m}]} / \mathcal{P}\mathcal{U}_d) + \dim(\mathcal{P}\mathcal{U}_d) - \dim(K).$$

Set

$$N := \{[u] \in \mathcal{P}\mathcal{U}_d : uBu^* = B\},$$

which is a closed subgroup of $\mathcal{P}\mathcal{U}_d$. Given $\mathbf{a} \in F$ and $[u] \in \mathcal{P}\mathcal{U}_d$, we have $[u] \cdot \mathbf{a} \in F$ if and only if $[u] \in N$. It follows that N naturally acts on F . Furthermore, for each $\mathbf{a} \in F$, the N -orbit $N \cdot \mathbf{a}$ agrees with $\mathcal{P}\mathcal{U}_d \cdot \mathbf{a} \cap F$. Since every $\mathcal{P}\mathcal{U}_d$ -orbit in $E_{[\mathbf{d}, \mathbf{m}]}$ meets F , we deduce that $E_{[\mathbf{d}, \mathbf{m}]} / \mathcal{P}\mathcal{U}_d \cong F / N$. Note that B_{sa}^{n+1} is a linear space. By Lemma 4.9, F is an open subset of B_{sa}^{n+1} . It follows that F is a manifold satisfying

$$\dim(F) = \dim(B_{\text{sa}}^{n+1}) = (n + 1) \sum_{j=1}^L d_j^2.$$

Analogous to (4.1), by considering the action of the compact Lie group N on F , we obtain

$$(4.2) \quad \dim(F) = \dim(F / N) + \dim(N) - \dim(K).$$

Note that N contains $\{[u] : u \in \mathcal{U}(B)\}$, which implies that

$$\dim(N) \geq \left(\sum_{j=1}^L d_j^2 \right) - 1.$$

Combining this estimate with (4.1) and (4.2), using that $E_{[\mathbf{d}, \mathbf{m}]} / \mathcal{PU}_d \cong F/N$, and that $[\mathbf{d}, \mathbf{m}] \neq [(d), (1)]$, we get

$$\begin{aligned} \dim(E_{[\mathbf{d}, \mathbf{m}]}) &= \dim(F) + \dim(\mathcal{PU}_d) - \dim(N) \\ &\leq \left((n+1) \sum_{j=1}^L d_j^2 \right) + (d^2 - 1) - \left(\left(\sum_{j=1}^L d_j^2 \right) - 1 \right) \\ &= d^2 + n \sum_{j=1}^L d_j^2 \\ &\leq d^2 + n((d-1)^2 + 1) = (n+1)d^2 - 2n(d-1). \end{aligned}$$

For $[\mathbf{d}, \mathbf{m}] = [(d-1, 1), (1, 1)]$, we have $B(\mathbf{d}, \mathbf{m}) \cong M_{d-1} \oplus \mathbb{C} \subseteq M_d$. In this case, we get $N = \{[u] \in \mathcal{PU}_d : u \in \mathcal{U}(M_{d-1} \oplus \mathbb{C})\}$ and thus $\dim(N) = (d-1)^2 + 1 - 1 = (d-1)^2$. It follows that

$$\begin{aligned} \dim(E_{[(d-1, 1), (1, 1)]}) &= \dim(F) + \dim(\mathcal{PU}_d) - \dim(N) \\ &= (n+1)((d-1)^2 + 1) + (d^2 - 1) - (d-1)^2 \\ &= (n+1)d^2 - 2n(d-1). \end{aligned} \quad \blacksquare$$

Lemma 4.12 *Let X be a compact Hausdorff space, $d \geq 2$, and $n \in \mathbb{N}$. Then, the following are equivalent:*

- (1) $C(X, G_d^{n+1}) \subseteq C(X, E_d^{n+1})$ is dense.
- (2) $\dim(X) < 2n(d-1)$.

Proof We use the notation from Paragraph 4.10. The orbit-type decomposition for the action $\mathcal{PU}_d \curvearrowright E_d^{n+1}$ is

$$E_d^{n+1} = \bigcup_{[\mathbf{d}, \mathbf{m}] \in T} E_{[\mathbf{d}, \mathbf{m}]}, \quad E_{[\mathbf{d}, \mathbf{m}]} := \{\mathbf{a} \in E_d^{n+1} : C_1^*(\mathbf{a}) \sim B(\mathbf{d}, \mathbf{m})\}.$$

Furthermore, $G_d^{n+1} = E_{[(d), (1)]}$, which is the submanifold of orbits with trivial stabilizers. In the notation of Paragraph 4.4, with $M = E_d^{n+1}$, we have $M_{\text{free}} = G_d^{n+1}$.

Applying Proposition 4.5, we obtain that $C(X, G_d^{n+1}) \subseteq C(X, E_d^{n+1})$ is dense if and only if

$$\dim(X) < \dim(E_d^{n+1}) - \dim(E_d^{n+1} \setminus G_d^{n+1}).$$

Since $E_d^{n+1} \setminus G_d^{n+1}$ is the finite union of $E_{[\mathbf{d}, \mathbf{m}]}$ for $[\mathbf{d}, \mathbf{m}] \neq [(d), (1)]$, we obtain from Lemma 4.11

$$\dim(E_d^{n+1} \setminus G_d^{n+1}) = \max_{[\mathbf{d}, \mathbf{m}] \neq [(d), (1)]} \dim(E_{[\mathbf{d}, \mathbf{m}]}) = (n+1)d^2 - 2n(d-1).$$

Now, the result follows using that $\dim(E_d^{n+1}) = (n+1)d^2$. ■

The next result provides the answer to question (a) from Paragraph 4.3. Recall that $\text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}}$ denotes the set of tuples that are fiberwise generators.

Lemma 4.13 *Let A be a unital, separable, d -homogeneous C^* -algebra, $d \geq 2$, and $n \in \mathbb{N}$. Then, $\text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}} \subseteq A_{\text{sa}}^{n+1}$ is open. Furthermore, the following are equivalent:*

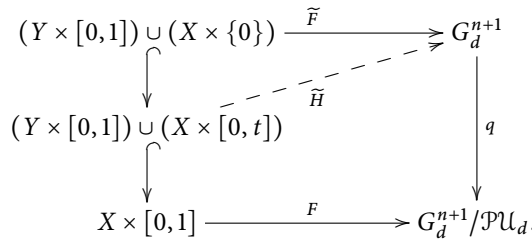
- (1) $\text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}}$ is dense in A_{sa}^{n+1} .
- (2) $\dim(\text{Prim}(A)) < 2n(d - 1)$.

Proof Set $X := \text{Prim}(A)$. Since X is compact, and since the M_d -bundle associated with A is locally trivial, we can choose closed subsets $X_1, \dots, X_m \subseteq X$ that cover X such that $A(X_j) \cong C(X_j, M_d)$ for each j . Let $\pi_j: A \rightarrow C(X_j, M_d)$ be the corresponding quotient map, which induces a natural map $A_{\text{sa}}^{n+1} \rightarrow C(X_j, M_d)_{\text{sa}}^{n+1} \cong C(X_j, E_d^{n+1})$ that we also denote by π_j .

A tuple $\mathbf{a} \in A_{\text{sa}}^{n+1}$ belongs to $\text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}}$ if and only if $\pi_j(\mathbf{a})$ belongs to $C(X_j, G_d^{n+1})$ for each j . It follows from Lemma 4.9 that $G_d^{n+1} \subseteq E_d^{n+1}$ is open. Since X is compact, we obtain that $C(X_j, G_d^{n+1}) \subseteq C(X_j, E_d^{n+1})$ is always open. Hence, $\text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}} \subseteq A_{\text{sa}}^{n+1}$ is open.

Since the intersection of finitely many open dense sets is again dense, we see that (1) holds if and only if $C(X_j, G_d^{n+1}) \subseteq C(X_j, E_d^{n+1})$ is dense for each j . By Lemma 4.12, this is in turn equivalent to $\dim(X_j) < 2n(d - 1)$ for each j . Using that $\dim(X) = \max_j \dim(X_j)$, this is finally equivalent to (2). ■

Lemma 4.14 *Let X be a compact metric space, let $Y \subseteq X$ be closed, and let F and \tilde{F} be continuous maps as in the diagram below such that $q \circ \tilde{F}$ agrees with F on $(Y \times [0, 1]) \cup (X \times \{0\})$.*



Then, there exist $t > 0$ and a continuous map \tilde{H} making the above diagram commute.

Proof Using that the action $\mathcal{P}\mathcal{U}_d \curvearrowright G_d^{n+1}$ is free, it follows that the quotient map $q: G_d^{n+1} \rightarrow G_d^{n+1}/\mathcal{P}\mathcal{U}_d$ is the projection of a fiber bundle with base space $G_d^{n+1}/\mathcal{P}\mathcal{U}_d$ and with fibers homeomorphic to $\mathcal{P}\mathcal{U}_d$. Using the homotopy lifting property for fiber bundles, we obtain $H: X \times [0, 1] \rightarrow G_d^{n+1}$ such that

$$q \circ H = F, \quad \text{and} \quad H(x, 0) = \tilde{F}(x, 0), \quad \text{for } x \in X.$$

Next, we will correct H to agree with \tilde{F} on $Y \times [0, t]$ for some $t > 0$.

Given $(y, s) \in Y \times [0, 1]$, we have

$$q(H(y, s)) = F(y, s) = q(\tilde{F}(y, s)).$$

Let $c(y, s) \in \mathcal{P}\mathcal{U}_d$ be the unique element such that $H(y, s) = c(y, s) \cdot \tilde{F}(y, s)$. This defines a map $c: Y \times [0, 1] \rightarrow \mathcal{P}\mathcal{U}_d$. Using that the fiber bundle is locally trivial, we

see that c is continuous. For every $y \in Y$, we have $H(y, 0) = \tilde{F}(y, 0)$ and therefore $c(y, 0) = 1$. We extend c to a map $c: (Y \times [0, 1]) \cup (X \times \{0\}) \rightarrow \mathcal{PU}_d$ by setting $c(x, 0) := 1$ for every $x \in X$.

Every Lie group is a (metrizable) locally contractible, finite-dimensional space and therefore an absolute neighborhood extensor (see Theorems 1.2.7 and 4.2.33 in [vM01]). This allows us to extend c to a continuous map $\tilde{c}: U \rightarrow \mathcal{PU}_d$ defined on a neighborhood U of $(Y \times [0, 1]) \cup (X \times \{0\}) \subseteq X \times [0, 1]$. Then, define $\tilde{H}: U \rightarrow G_d^{n+1}$ by

$$\tilde{H}(x, s) := \tilde{c}(x, s) \cdot \tilde{F}(x, s), \quad \text{for } (x, s) \in U \subseteq X \times [0, 1].$$

Choose $t > 0$ such that $(Y \times [0, 1]) \cup (X \times [0, t]) \subseteq U$. Then, the restriction of \tilde{H} to $(Y \times [0, 1]) \cup (X \times [0, t])$ has the desired properties. ■

Lemma 4.15 *Let A be a unital, separable, d -homogeneous C^* -algebra, $d \geq 2$. Then,*

$$\text{gr}(A) = \left\lceil \frac{\dim(\text{Prim}(A)) + 1}{2d - 2} \right\rceil.$$

Proof Set $X := \text{Prim}(A)$. Since A is noncommutative, we have $\text{gr}(A) \geq 1$ by [Thi21, Proposition 5.7]. We also have $\left\lceil \frac{\dim(X)+1}{2d-2} \right\rceil \geq 1$ for every value of $\dim(X)$. Thus, it is enough to show that, for every $n \geq 1$, the following holds:

$$\text{gr}(A) \leq n \iff \dim(X) < 2n(d - 1).$$

Recall that we use $E(X, G_d^{n+1}/\mathcal{PU}_d)$ to denote the set of injective continuous maps $X \rightarrow G_d^{n+1}/\mathcal{PU}_d$. As explained in Paragraph 4.3, we have the following inclusions and maps:

$$\begin{array}{ccccc} \text{Gen}_{n+1}(A)_{\text{sa}} & \subseteq & \text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}} & \subseteq & A_{\text{sa}}^{n+1} \\ \downarrow \Psi & & \downarrow \Psi & & \\ E(X, G_d^{n+1}/\mathcal{PU}_d) & \subseteq & C(X, G_d^{n+1}/\mathcal{PU}_d) & & \end{array}$$

Assume that $\text{gr}(A) \leq n$. Since A is separable, it follows from Theorem 2.2 that $\text{Gen}_{n+1}(A)_{\text{sa}} \subseteq A_{\text{sa}}^{n+1}$ is dense. Since $\text{Gen}_{n+1}(A)_{\text{sa}} \subseteq \text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}}$, we deduce from Lemma 4.13 that $\dim(X) < 2n(d - 1)$.

Conversely, assume that $\dim(X) < 2n(d - 1)$. Applying Lemma 4.13, we see that $\text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}} \subseteq A_{\text{sa}}^{n+1}$ is dense and open. Furthermore, by Proposition 4.1, a tuple $\mathbf{a} \in \text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}}$ belongs to $\text{Gen}_{n+1}(A)_{\text{sa}}$ if and only if $\Psi(\mathbf{a})$ belongs to $E(X, G_d^{n+1}/\mathcal{PU}_d)$. Thus, to verify $\text{gr}(A) \leq n$, it suffices to show the following.

Let $\mathbf{a} \in \text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}}$ and $\varepsilon > 0$. Then, there exists $\mathbf{b} \in \text{Gen}_{n+1}^{\text{fiber}}(A)_{\text{sa}}$ such that

$$\mathbf{b} =_{\varepsilon} \mathbf{a}, \quad \text{and} \quad \Psi(\mathbf{b}) \in E(X, G_d^{n+1}/\mathcal{PU}_d).$$

By [Luu81, Theorem 5.1], if M is a metrizable manifold with $2 \dim(X) < \dim(M)$, then $E(X, M) \subseteq C(X, M)$ is dense with respect to the metric $d(f, g) = \sup\{d_M(f(x), g(x)) : x \in X\}$, where d_M is a metric inducing the topology on M .

By Lemma 4.9, G_d^{n+1} is an open subset of E_d^{n+1} and therefore is a manifold of dimension $(n + 1)d^2$. Furthermore, \mathcal{PU}_d is a compact Lie group of dimension $d^2 - 1$,

acting freely on G_d^{n+1} . Hence, as noted in the proof of Lemma 4.11, it follows from [Bre72, Theorem IV.3.8] that G_d^{n+1}/\mathcal{PU}_d is a manifold of dimension $(n + 1)d^2 - (d^2 - 1) = nd^2 + 1$. By assumption, we have $\dim(X) < 2n(d - 1)$, and thus

$$2 \dim(X) < 4n(d - 1) \leq nd^2 + 1.$$

It follows that $E(X, G_d^{n+1}/\mathcal{PU}_d)$ is dense in $C(X, G_d^{n+1}/\mathcal{PU}_d)$.

Set $f := \Psi(\mathbf{a})$. Then, $f: X \rightarrow G_d^{n+1}/\mathcal{PU}_d$ is a continuous map, which can be approximated arbitrarily closely by embeddings. To complete the proof, we need to show that one of these embeddings is realized as $\Psi(\mathbf{b})$ for some $\mathbf{b} \in A_{sa}^{n+1}$ close to \mathbf{a} . We will do this by successively applying our version of the homotopy extension lifting property proved in Lemma 4.14.

Every manifold is finite-dimensional and locally contractible and therefore an absolute neighborhood retract (ANR) (see [vM01, Theorem 4.2.33]). Given a homotopy $H: X \times [0, 1] \rightarrow M$ and $t \in [0, 1]$, we let $H_t: X \rightarrow M$ be given by $H_t(x) := H(x, t)$.

Step 1: We find a homotopy $F: X \times [0, 1] \rightarrow G_d^{n+1}/\mathcal{PU}_d$ such that $F_0 = f$ and such that $F_{1/k}$ belongs to $E(X, G_d^{n+1}/\mathcal{PU}_d)$ for every $k \geq 1$.

Set $M := G_d^{n+1}/\mathcal{PU}_d$. We use that M is an ANR. Given $\delta > 0$, one says that $H: X \times [0, 1] \rightarrow M$ is a δ -homotopy if $d(H_0, H_t) < \delta$ for all $t \in [0, 1]$. By [vM01, Theorem 4.1.1], for every $\delta > 0$, there exists $\gamma > 0$ such that, for every $g \in C(X, M)$ satisfying $d(f, g) < \gamma$, there exists a δ -homotopy $H: X \times [0, 1] \rightarrow M$ with $H_0 = f$ and $H_1 = g$. Given $n \in \mathbb{N}$, we apply this for $\delta_n = \frac{1}{2^n}$, to obtain $\gamma_n > 0$. Using that $E(X, M) \subseteq C(X, M)$ is dense, choose $g_n \in E(X, M)$ satisfying $d(f, g_n) < \gamma_n$. By choice of γ_n , we obtain a $\frac{1}{2^n}$ -homotopy $H^{(n)}: X \times [0, 1] \rightarrow M$ satisfying $H_0^{(n)} = f$ and $H_1^{(n)} = g_n$.

Next, we define $H: X \times [0, \infty) \rightarrow M$ by

$$H(x, t) = \begin{cases} H^{(k)}(x, 2k + 1 - t), & \text{if } t \in [2k, 2k + 1], \\ H^{(k+1)}(x, t - 2k - 1), & \text{if } t \in [2k + 1, 2k + 2]. \end{cases}$$

Thus, H is the concatenation of the reverse of $H^{(1)}$, followed by $H^{(2)}$ and its reverse, and so on, as shown in the following picture:

$$\begin{array}{ccccccccc} & H_{1-t}^{(0)} & H_{t-1}^{(1)} & H_{3-t}^{(1)} & H_{t-3}^{(2)} & H_{5-t}^{(2)} & & & \\ & | & | & | & | & | & & & \\ 0 & 1 & 2 & 3 & 4 & 5 & & & \end{array}$$

Note that $H(_, 2k) = g_k$ for each $k \in \mathbb{N}$, and $\lim_{t \rightarrow \infty} H(x, t) = f(x)$ for every $x \in X$. Let $\rho: (0, 1] \rightarrow [0, \infty)$ be a strictly decreasing, continuous map satisfying $\rho(\frac{1}{k}) = 2k - 2$ for $k \geq 1$. Then, $F: X \times [0, 1]$ defined by $F(x, 0) = f(x)$ and $F(x, t) = H(x, \rho(t))$ for $t \in (0, 1]$ has the desired properties.

Step 2: Since X is compact, and since the M_d -bundle associated with A is locally trivial, we can choose closed subsets $X_1, \dots, X_m \subseteq X$ that cover X such that $A(X_j) \cong C(X_j, M_d)$ for each j . Let $\pi_j: A \rightarrow C(X_j, M_d)$ be the corresponding quotient map. Abusing notation, we also use π_j to denote the naturally induced map

$$\pi_j: A_{sa}^{n+1} \rightarrow C(X_j, M_d)_{sa}^{n+1} \cong C(X_j, E_d^{n+1}).$$

Given $j, k \in \{1, \dots, m\}$, both π_j and π_k induce an isomorphism between $A(X_j \cap X_k)$ and $C(X_j \cap X_k, M_d)$. Let $c_{k,j}: X_j \cap X_k \rightarrow \mathcal{PU}_d = \text{Aut}(M_d)$ be the continuous map

such that $c_{k,j}(x) \cdot \pi_j(e)(x) = \pi_k(e)(x)$ for every $e \in A$ and $x \in X_j \cap X_k$. Then,

$$(4.3) \quad c_{k,j}(x) \cdot \pi_j(\mathbf{e})(x) = \pi_k(\mathbf{e})(x)$$

for every $\mathbf{e} \in A_{sa}^{n+1}$ and $x \in X_j \cap X_k$.

Step 3: We will successively choose $t_1 \geq t_2 \geq \dots \geq t_m > 0$ and continuous maps

$$H^{(k)}: X_k \times [0, t_k] \rightarrow G_d^{n+1}$$

such that

$$(4.4) \quad H^{(k)}(_, 0) = \pi_k(\mathbf{a}), \quad \text{and} \quad q \circ H^{(k)} = F|_{X_k \times [0, t_k]},$$

and such that, for every $j \leq k$ and $(x, s) \in (X_j \cap X_k) \times [0, t_k]$, we have

$$(4.5) \quad c_{k,j}(x) \cdot H^{(j)}(x, s) = H^{(k)}(x, s).$$

We start by setting $t_1 := 1$. The map $\pi_1(\mathbf{a}): X_1 \rightarrow G_d^{n+1}$ satisfies $q \circ \pi_1(\mathbf{a}) = f|_{X_1}$. Thus, $\pi_1(\mathbf{a})$ is a lift of $F|_{X_1 \times \{0\}}$. Using the homotopy lifting property for fiber bundles, we obtain $H^{(1)}: X_1 \times [0, 1] \rightarrow G_d^{n+1}$ such that

$$H_0^{(1)} = \pi_1(\mathbf{a}), \quad \text{and} \quad q \circ H^{(1)} = F|_{X_1 \times [0, 1]}.$$

Next, assume that we have chosen $t_1 \geq \dots \geq t_{k-1}$ and $H^{(j)}$ for $j = 1, \dots, k-1$. Set $Y_k := X_k \cap (X_1 \cup \dots \cup X_{k-1})$, which is a closed subset of X_k . We define $\tilde{F}^{(k)}: (Y_k \times [0, t_{k-1}]) \cup (X_k \times \{0\}) \rightarrow G_d^{n+1}$ by

$$\tilde{F}^{(k)}(x, t) := \begin{cases} c_{k,j}(x) \cdot H^{(j)}(x, t), & \text{if } x \in X_k \cap X_j, \text{ for } j \leq k-1, \\ \pi_k(\mathbf{a})(x), & \text{if } t = 0. \end{cases}$$

It follows from (4.5) that $\tilde{F}^{(k)}$ is well defined. Furthermore, using (4.4), we obtain that $q \circ \tilde{F}^{(k)}$ and F agree on $(Y_k \times [0, t_{k-1}]) \cup (X_k \times \{0\})$. Applying Lemma 4.14, we obtain $t_k \in (0, t_{k-1}]$ and $H^{(k)}$ making the following diagram commute:

$$\begin{array}{ccc} (Y_k \times [0, t_{k-1}]) \cup (X_k \times \{0\}) & \xrightarrow{\tilde{F}^{(k)}} & G_d^{n+1} \\ \downarrow & \dashrightarrow^{H^{(k)}} & \downarrow q \\ (Y_k \times [0, t_{k-1}]) \cup (X_k \times [0, t_k]) & & \\ \downarrow & \xrightarrow{F} & G_d^{n+1}/\mathcal{PU}_d \\ X_k \times [0, t_{k-1}] & & \end{array}$$

One checks that $H^{(k)}$ has the desired properties.

Step 4: Let $t \in [0, t_m]$. For each $j \in \{1, \dots, m\}$, the map $H_t^{(j)}: X_j \rightarrow G_d^{n+1}$ defines an element in $\mathbf{b}_t^{(j)} \in C(X_j, M_d)_{sa}^{n+1}$. Given $j \leq k$ in $\{1, \dots, m\}$ and $x \in X_j \cap X_k$, it follows from (4.5) that

$$c_{k,j}(x) \cdot \mathbf{b}_t^{(j)}(x) = \mathbf{b}_t^{(k)}(x).$$

Thus, $\mathbf{b}_t^{(1)}, \dots, \mathbf{b}_t^{(m)}$ can be patched to give $\mathbf{b}_t \in A_{sa}^{n+1}$ such that $\mathbf{b}_t^{(j)} = \pi_j(\mathbf{b}_t)$ for each j . One checks that each $\mathbf{b}_t^{(j)}$ depends continuously on t , which implies that the map

$[0, t_m] \rightarrow A_{sa}^{n+1}$, $t \mapsto \mathbf{b}_t$, is continuous. By construction, we have $\mathbf{b}_0 = \mathbf{a}$, and $\Psi(\mathbf{b}_t) = F_t$ for each $t \in [0, t_m]$. Using that \mathbf{a} belongs to $\text{Gen}_{n+1}^{\text{fiber}}(A)_{sa}$, which is an open subset of A_{sa}^{n+1} , we can choose $k \geq 1$ such that

$$\mathbf{a} =_\varepsilon \mathbf{b}_{1/k} \in \text{Gen}_{n+1}^{\text{fiber}}(A)_{sa}.$$

We have $\Psi(\mathbf{b}_{1/k}) = F_{1/k}$, which by construction of F (Step 1) belongs to $E(X, G_d^{n+1}/\mathcal{P}\mathcal{U}_d)$. It follows that $\mathbf{b}_{1/k} \in \text{Gen}_{n+1}(A)_{sa}$. ■

Proposition 4.16 *Let A be a unital d -homogeneous C^* -algebra, $d \geq 2$. Then,*

$$\text{gr}(A) = \left\lceil \frac{\dim(\text{Prim}(A)) + 1}{2d - 2} \right\rceil.$$

Proof Set $n := \text{gr}(A)$ and $l := \dim(\text{Prim}(A))$, and then set

$$\begin{aligned} \mathcal{S}_1 &:= \{B \in \text{Sub}_{\text{sep}}(A) : 1 \in B, \text{gr}(B) \leq n\}, \quad \text{and} \\ \mathcal{S}_2 &:= \{B \in \text{Sub}_{\text{sep}}(A) : B \text{ } d\text{-homogeneous, } \text{locdim}(\text{Prim}(B)) \leq l\}. \end{aligned}$$

As noted in Paragraph 3.1, since gr satisfies (D5) and (D6), it follows that \mathcal{S}_1 is σ -complete and cofinal. By Proposition 3.5, \mathcal{S}_2 is σ -complete and cofinal. Hence, $\mathcal{S}_1 \cap \mathcal{S}_2$ is σ -complete and cofinal as well.

Let $B \in \mathcal{S}_1 \cap \mathcal{S}_2$. Then, B is a unital, separable, d -homogeneous C^* -algebra. Hence, $\dim(\text{Prim}(B)) = \text{locdim}(\text{Prim}(B))$, and by Lemma 4.15, we have

$$\text{gr}(B) = \left\lceil \frac{\dim(\text{Prim}(B)) + 1}{2d - 2} \right\rceil.$$

Thus, each $B \in \mathcal{S}_1 \cap \mathcal{S}_2$ satisfies $\text{gr}(B) \leq \left\lceil \frac{l+1}{2d-2} \right\rceil$. Since A is approximated by the family $\mathcal{S}_1 \cap \mathcal{S}_2$, we obtain $\text{gr}(A) \leq \left\lceil \frac{l+1}{2d-2} \right\rceil$ by Theorem 2.6.

To show the converse inequality, set

$$m := \max \left\{ m_0 \in \mathbb{N} : n \geq \left\lceil \frac{m_0 + 1}{2d - 2} \right\rceil \right\}.$$

Then, each $B \in \mathcal{S}_1 \cap \mathcal{S}_2$ satisfies $\text{topdim}(B) = \dim(\text{Prim}(B)) \leq m$. Arguing with the topological dimension as in the proof of Lemma 3.4, we deduce that $\dim(\text{Prim}(A)) = \text{topdim}(A) \leq m$, and thus $n \geq \left\lceil \frac{\dim(\text{Prim}(A))+1}{2d-2} \right\rceil$, as desired. ■

Theorem 4.17 *Let A be a d -homogeneous C^* -algebra. Set $X := \text{Prim}(A)$. If $d = 1$, then $\text{gr}_0(A) = \text{gr}(A) = \text{locdim}(X \times X)$. If $d \geq 2$, then*

$$\text{gr}_0(A) = \text{gr}(A) = \left\lceil \frac{\text{locdim}(X) + 1}{2d - 2} \right\rceil.$$

Proof For $d = 1$, this follows from Theorem 2.7. So assume that $d \geq 2$. By Proposition 2.4, we have $\text{gr}_0(A) \leq \text{gr}(A)$. Let $K \subseteq X$ be a compact subset. The corresponding quotient $A(K)$ is a unital d -homogeneous C^* -algebra with $\text{Prim}(A(K)) \cong K$. Using Proposition 4.16 at the first step, and using Theorem 2.5 at the last step, we get

$$\left\lceil \frac{\dim(K) + 1}{2d - 2} \right\rceil = \text{gr}(A(K)) = \text{gr}_0(A(K)) \leq \text{gr}_0(A).$$

Since this holds for every compact subset of X , we deduce that

$$\left\lceil \frac{\text{locdim}(X) + 1}{2d - 2} \right\rceil \leq \text{gr}_0(A) \leq \text{gr}(A).$$

To verify that $\text{gr}(A) \leq \left\lceil \frac{\text{locdim}(X)+1}{2d-2} \right\rceil$, set $l := \text{locdim}(X)$, which we may assume to be finite. By Proposition 3.5, the collection

$$\mathcal{S} := \{B \in \text{Sub}_{\text{sep}}(A) : B \text{ } d\text{-homogeneous, } \text{locdim}(\text{Prim}(B)) \leq l\}$$

is σ -complete and cofinal. Let $B \in \mathcal{S}$. We view B as a locally trivial M_d -bundle over $Y := \text{Prim}(B)$. Since B is separable, Y is σ -compact and thus $\dim(Y) = \text{locdim}(Y) \leq l < \infty$. By [Phi07, Lemma 2.5], the M_d -bundle has finite type. Applying [Phi07, Proposition 2.9], we obtain a locally trivial M_d -bundle over the Stone-Ćech-compactification βY extending the bundle associated with B . This means that there is a unital d -homogeneous C^* -algebra D with $\text{Prim}(D) \cong \beta(Y)$ such that B is an ideal in D . Since Y is a normal space, we have $\dim(\beta Y) = \dim(Y)$ by [Pea75, Proposition 6.4.3, p. 232]. Using Theorem 2.5 at the first step, and Proposition 4.16 at the second step, we get

$$\text{gr}(B) \leq \text{gr}(D) = \left\lceil \frac{\dim(\beta Y) + 1}{2d - 2} \right\rceil \leq \left\lceil \frac{l + 1}{2d - 2} \right\rceil.$$

Since A is approximated by \mathcal{S} , we obtain $\text{gr}(A) \leq \left\lceil \frac{l+1}{2d-2} \right\rceil$ by Theorem 2.6. ■

In Corollary 5.7, we will generalize the following result to compute the generator rank of direct sums of subhomogeneous C^* -algebras.

Lemma 4.18 *Let A and B be d -homogeneous C^* -algebras. Then,*

$$\text{gr}(A \oplus B) = \max \{ \text{gr}(A), \text{gr}(B) \}.$$

Proof For $d = 1$, this follows from [Thi21, Proposition 5.9]. So assume that $d \geq 2$. Set $X := \text{Prim}(A)$, and $Y := \text{Prim}(B)$. Then, $A \oplus B$ is d -homogeneous with $\text{Prim}(A \oplus B) \cong X \sqcup Y$, the disjoint union of X and Y . Applying Theorem 4.17 at the first and last steps, we obtain

$$\begin{aligned} \text{gr}(A \oplus B) &= \left\lceil \frac{\text{locdim}(X \sqcup Y) + 1}{2d - 2} \right\rceil = \left\lceil \frac{\max\{\text{locdim}(X), \text{locdim}(Y)\} + 1}{2d - 2} \right\rceil \\ &= \max \left\{ \left\lceil \frac{\text{locdim}(X) + 1}{2d - 2} \right\rceil, \left\lceil \frac{\text{locdim}(Y) + 1}{2d - 2} \right\rceil \right\} \\ &= \max \{ \text{gr}(A), \text{gr}(B) \}. \end{aligned}$$
■

Remark 4.19 Let A be a unital d -homogeneous C^* -algebra. Set $X := \text{Prim}(A)$. If $d = 1$, then $A \cong C(X)$, and by Theorem 2.7, the generator rank of A is $\dim(X \times X)$. The value of $\dim(X \times X)$ is either $2 \dim(X)$ or $2 \dim(X) - 1$, and accordingly we say that X is of *basic type* or of *exceptional type* (see [Thi21, Proposition 5.3]).

If $d \geq 2$, then by Proposition 4.16, the generator rank of A only depends on $\dim(X)$ (and d), but not on $\dim(X \times X)$. Thus, in this case, the generator rank of A does not depend on whether X is of basic or exceptional type.

Remark 4.20 Let $m \geq 1$ and $d \geq 2$, and set $A = C([0, 1]^m, M_d)$. Let $\text{gen}(A)$ denote the minimal number of self-adjoint generators for A . By [Nag04, Theorem 4], [BE91, Corollary 3.2] (see also [Bla06, Theorem V.3.2.6]), and Proposition 4.16, we have

$$\text{gen}(A) = \left\lceil \frac{m-1}{d^2} + 1 \right\rceil, \quad \text{rr}(A) = \left\lceil \frac{m}{2d-1} \right\rceil, \quad \text{gr}(A) = \left\lceil \frac{m+1}{2d-2} \right\rceil.$$

5 Subhomogeneous C^* -algebras

In this section, we compute the generator rank of subhomogeneous C^* -algebras (see Theorem 5.5). Recall that a C^* -algebra is d -subhomogeneous (for some $d \geq 1$) if all of its irreducible representations have dimension at most d , and it is subhomogeneous if it is d -subhomogeneous for some d (see [Bla06, Definition IV.1.4.1, p. 330]). It is known that a C^* -algebra is subhomogeneous if and only if it is a sub- C^* -algebra of a homogeneous C^* -algebra; equivalently, it is a sub- C^* -algebra of $C(X, M_d)$ for some compact Hausdorff space X and some $d \geq 1$.

Inductive limits of subhomogeneous C^* -algebras are called *ASH-algebras*. As an application, we show that every nonzero, \mathcal{Z} -stable ASH-algebra has generator rank one (see Theorem 5.10).

To compute the generator rank of a subhomogeneous C^* -algebra, we use that it is a successive extension by homogeneous C^* -algebras. Using the results from Section 4, we compute the generator rank of the homogeneous parts. The crucial extra ingredient is Proposition 5.3, which allows us to compute the generator rank of the extension by a homogeneous C^* -algebra.

Given a C^* -algebra A , we equip the primitive ideal space $\text{Prim}(A)$ with the hull-kernel topology (see [Bla06, Section II.6.5, p. 111ff] for details). Given an ideal $I \subseteq A$, the set $\text{hull}(I) := \{J \in \text{Prim}(A) : I \subseteq J\}$ is a closed subset of $\text{Prim}(A)$, and this defines a natural bijection between ideals of A and closed subsets of $\text{Prim}(A)$.

Lemma 5.1 *Let A be a unital C^* -algebra, and let $(I_k)_{k \in \mathbb{N}}$ be a decreasing sequence of ideals. Then, the following are equivalent:*

- (1) $\bigcup_k \text{hull}(I_k) = \text{Prim}(A)$.
- (2) For each $\varphi \in A^*$, we have $\lim_{k \rightarrow \infty} \|\varphi|_{I_k}\| = 0$.

Proof For each $k \in \mathbb{N}$, let z_k denote the support projection of I_k in A^{**} , and let $\pi_k: A \rightarrow A/I_k$ denote the quotient map.

Claim: Let $\varphi \in A^*_+$ and $k \in \mathbb{N}$. Then, $\|\varphi|_{I_k}\| = \varphi^{**}(z_k)$. To prove the claim, let $(h_\alpha)_\alpha$ denote an increasing, positive, contractive approximate unit of I_k . Since $\varphi|_{I_k}$ is a positive functional on I_k , we have $\|\varphi|_{I_k}\| = \lim_\alpha \varphi(h_\alpha)$ by [Bla06, Proposition II.6.2.5]. Using also that z_k is the weak*-limit of $(h_\alpha)_\alpha$ in A^{**} , we get

$$\|\varphi|_{I_k}\| = \lim_\alpha \varphi(h_\alpha) = \varphi^{**}(z_k),$$

which proves the claim.

Let $S(A)$ denote the set of states on A , which is a compact, convex subset of A^* , and let $P(A)$ denote the pure states on A , which agrees with the set of extreme points in $S(A)$. Given $a \in (A^{**})_{\text{sa}}$, we let $\widehat{a}: S(A) \rightarrow \mathbb{R}$ be given by

$$\widehat{a}(\varphi) = \varphi^{**}(a),$$

for $\varphi \in S(A)$. Then, \widehat{a} is affine. If $a \in A_{sa}$, then \widehat{a} is continuous. Given $k \in \mathbb{N}$, let $(h_\alpha)_\alpha$ be an increasing approximate unit of I_k . Then, \widehat{z}_k is the pointwise supremum of the increasing net $(\widehat{h_\alpha})_\alpha$ of continuous functions, and therefore lower-semicontinuous.

To show that (1) implies (2), assume that $\bigcup_k \text{hull}(I_k) = \text{Prim}(A)$. Let $\varphi \in P(A)$. Since every pure state on A factors through an irreducible representation, there exists k such that φ factors through π_k . Let $\tilde{\varphi} \in (A/I_k)^*$ such that $\varphi = \tilde{\varphi} \circ \pi_k$. We have $\pi_k^{**}(z_k) = 0$, and therefore

$$\widehat{z}_k(\varphi) = \varphi^{**}(z_k) = \tilde{\varphi}^{**}(\pi_k^{**}(z_k)) = 0.$$

Thus, $(\widehat{z}_k)_k$ is a decreasing sequence of lower semicontinuous, affine functions with $\lim_{k \rightarrow \infty} \widehat{z}_k(\varphi) = 0$ for each $\varphi \in P(A)$. By [Alf71, Proposition 1.4.10, p. 36], we have $\lim_{k \rightarrow \infty} \widehat{z}_k(\varphi) = 0$ for each $\varphi \in S(A)$. Applying the claim, it follows that $\lim_{k \rightarrow \infty} \|\varphi|_{I_k}\| = 0$ for every $\varphi \in S(A)$. Now, (2) follows using that every functional in A^* is a linear combination of four states, by [Bla06, Theorem II.6.3.4, p. 106].

To show that (2) implies (1), assume that $\bigcup_k \text{hull}(I_k) \neq \text{Prim}(A)$. We will show that (2) does not hold. Let $J \subseteq A$ be a primitive ideal with $J \notin \bigcup_k \text{hull}(I_k)$, and let $\tilde{\varphi}$ be a pure state on A/J . Let $\pi: A \rightarrow A/J$ denote the quotient map. Set $\varphi := \tilde{\varphi} \circ \pi$, which is a pure state on A . Let $k \in \mathbb{N}$. In general, the restriction of a pure state to an ideal is either zero or again a pure state. Since $J \notin \text{hull}(I_k)$, we have $\varphi|_{I_k} \neq 0$, and thus $\|\varphi|_{I_k}\| = 1$. Thus, $\lim_{k \rightarrow \infty} \|\varphi|_{I_k}\| = 1 \neq 0$. ■

Proposition 5.2 *Let A be a C^* -algebra, let $(I_k)_{k \in \mathbb{N}}$ be a decreasing sequence of ideals such that $\bigcup_k \text{hull}(I_k) = \text{Prim}(A)$, and let $B \subseteq A$ be a sub- C^* -algebra. Assume that $B/(B \cap I_k) = A/I_k$ for each k . Then, $B = A$.*

Proof We first reduce to the unital case. So assume that A is nonunital, let \widetilde{A} denote its minimal unitization, and let \widetilde{B} denote the sub- C^* -algebra of \widetilde{A} generated by B and the unit of \widetilde{A} . For each $k \in \mathbb{N}$, we consider I_k as an ideal in \widetilde{A} . Let $\pi_k: A \rightarrow A/I_k$ and $\pi_k^+: \widetilde{A} \rightarrow \widetilde{A}/I_k$ denote the quotient maps. Note that \widetilde{A}/I_k is naturally isomorphic to $(A/I_k)^+$, the forced unitization of A/I_k . By assumption, $\pi_k(B) = \pi_k(A)$. It follows that $\pi_k^+(\widetilde{B}) = \pi_k^+(\widetilde{A})$. Furthermore, $\text{Prim}(\widetilde{A})$ is the union of the hulls of the I_k . Then, assuming that the results holds in the unital case, we obtain $\widetilde{B} = \widetilde{A}$, which implies $B = A$.

Thus, we may assume from now on that A is unital. To reach a contradiction, assume that $B \neq A$. Using Hahn–Banach, we choose $\varphi \in A^*$ with $\varphi|_B \equiv 0$ and $\|\varphi\| = 1$. Apply Lemma 5.1 to obtain k such that $\|\varphi|_{I_k}\| < \frac{1}{8}$. Since every functional is a linear combination of four states [Bla06, Theorem II.6.3.4, p.106], we obtain $\psi_m \in (I_k)_+^*$ with $\varphi|_{I_k} = \sum_{m=0}^3 i^m \psi_m$, and we may also ensure that $\|\psi_m\| \leq \|\varphi|_{I_k}\| < \frac{1}{8}$. Using [Bla06, Theorem II.6.4.16, p. 111], we can extend each ψ_m to a positive functional $\tilde{\psi}_m \in A_+^*$ with $\|\tilde{\psi}_m\| = \|\psi_m\|$. Set $\omega := \varphi - \sum_{m=0}^3 i^m \tilde{\psi}_m$. Then, $\omega \in A^*$ satisfies $\omega|_{I_k} \equiv 0$ and $\|\varphi - \omega\| < \frac{1}{2}$.

Let $\tilde{\omega} \in (A/I_k)^*$ satisfy $\omega = \tilde{\omega} \circ \pi_k$. Given $a \in A$, use that $A/I_k = \pi_k(B)$ to choose $b \in B$ with $\pi_k(b) = \pi_k(a)$ and $\|b\| = \|\pi_k(a)\|$ (see [Bla06, Proposition II.5.1.5]). Then, $\omega(a) = \omega(b)$, and thus

$$|\omega(a)| = |\omega(b)| \leq |\omega(b) - \varphi(b)| + |\varphi(b)| \leq \|\omega - \varphi\| \|b\| \leq \frac{1}{2} \|a\|.$$

Hence, $\|\omega\| \leq \frac{1}{2}$, and so $1 = \|\varphi\| \leq \|\varphi - \omega\| + \|\omega\| < 1$, which is a contradiction. ■

Proposition 5.3 *Let A be a separable C^* -algebra, and let $(I_k)_{k \in \mathbb{N}}$ be a decreasing sequence of ideals satisfying $\bigcup_k \text{hull}(I_k) = \text{Prim}(A)$. Then,*

$$\text{gr}_0(A) = \sup_k \text{gr}_0(A/I_k), \quad \text{and} \quad \text{gr}(A) = \sup_k \text{gr}(A/I_k).$$

Proof *Part 1:* We verify the equality for gr_0 . For each k , set $B_k := A/I_k$ and let $\pi_k: A \rightarrow B_k$ denote the quotient map. By Theorem 2.5, we have $\text{gr}_0(A) \geq \text{gr}_0(B_k)$. It thus remains to prove $\text{gr}_0(A) \leq \sup_k \text{gr}_0(B_k)$. Set $n := \sup_k \text{gr}_0(B_k)$, which we may assume to be finite. For each k , set

$$D_k := \{(a_0, \dots, a_n) \in A_{\text{sa}}^{n+1} : (\pi_k(a_0), \dots, \pi_k(a_n)) \in \text{Gen}_{n+1}(B_k)_{\text{sa}}\}.$$

Since $\text{gr}_0(B_k) \leq n$, and since B_k is separable, $\text{Gen}_{n+1}(B_k)_{\text{sa}}$ is a dense G_δ -subset of $(B_k)_{\text{sa}}^{n+1}$ by Theorem 2.2. We deduce that D_k is a dense G_δ -subset of A_{sa}^{n+1} . Then, by the Baire category theorem, $D := \bigcap_k D_k$ is a dense subset of A_{sa}^{n+1} .

Let us show that $D \subseteq \text{Gen}_{n+1}(A)_{\text{sa}}$, which will imply that $\text{gr}_0(A) \leq n$. Let $\mathbf{a} \in D$, and set $B := C^*(\mathbf{a}) \subseteq A$. By construction, we have $\pi_k(B) = A/I_k$ for each k . Applying Proposition 5.2, we get $B = A$, and thus $\mathbf{a} \in \text{Gen}_k(A)_{\text{sa}}$.

Part 2: We verify the equality for gr . If A is unital, this follows from Part 1. So assume that A is nonunital. We consider I_k as an ideal in \tilde{A} . As in the proof of Proposition 5.2, we see that $\tilde{A}/I_k \cong (A/I_k)^+$, and that $\text{Prim}(\tilde{A})$ is the union of the hulls of the I_k . By [Thi21, Lemma 6.1], we have $\text{gr}(B) = \text{gr}(B^+)$ for every C^* -algebra B . Applying Part 1 at the second step, we get

$$\text{gr}(A) = \text{gr}_0(\tilde{A}) = \sup \text{gr}_0(\tilde{A}/I_k) = \sup \text{gr}_0((A/I_k)^+) = \sup \text{gr}(A/I_k). \quad \blacksquare$$

Lemma 5.4 *Let A and B be separable C^* -algebras. Assume that no nonzero quotient of A is isomorphic to a quotient of B . Then,*

$$\text{gr}_0(A \oplus B) = \max \{ \text{gr}_0(A), \text{gr}_0(B) \}, \quad \text{and} \quad \text{gr}(A \oplus B) = \max \{ \text{gr}(A), \text{gr}(B) \}.$$

Proof The equality for gr_0 follows directly from [Thi21, Proposition 5.10] by considering the ideal $I := A$. Applying Proposition 2.4 at the first and last steps, and using the formula for gr_0 and that $\text{rr}(A \oplus B) = \max \{ \text{rr}(A), \text{rr}(B) \}$ at the second step, we get

$$\begin{aligned} \text{gr}(A \oplus B) &= \max \{ \text{gr}_0(A \oplus B), \text{rr}(A \oplus B) \} \\ &= \max \{ \text{gr}_0(A), \text{gr}_0(B), \text{rr}(A), \text{rr}(B) \} = \max \{ \text{gr}(A), \text{gr}(B) \}. \quad \blacksquare \end{aligned}$$

Theorem 5.5 *Let A be a subhomogeneous C^* -algebra. For each $d \geq 1$, set $X_d := \text{Prim}_d(A)$, the subset of the primitive ideal space of A corresponding to d -dimensional irreducible representations. Then,*

$$\text{gr}_0(A) = \text{gr}(A) = \max \left\{ \text{locdim}(X_1 \times X_1), \max_{d \geq 2} \left\lceil \frac{\text{locdim}(X_d) + 1}{2d - 2} \right\rceil \right\}.$$

Proof By Proposition 2.4, we have $\text{gr}_0(A) \leq \text{gr}(A)$. Given $d \geq 1$, let A_d denote the ideal quotient of A corresponding to the locally closed set $\text{Prim}_d(A) \subseteq \text{Prim}(A)$. Applying Theorem 2.5, we obtain $\text{gr}_0(A_d) \leq \text{gr}_0(A)$. Note that A_d is d -homogeneous. In particular, $A_1 \cong C_0(X_1)$. Using Theorem 2.7, we get

$$\text{locdim}(X_1 \times X_1) = \text{gr}_0(A_1) \leq \text{gr}_0(A).$$

For $d \geq 2$, applying Theorem 4.17, we get

$$\left\lceil \frac{\text{locdim}(X_d) + 1}{2d - 2} \right\rceil = \text{gr}_0(A_d) \leq \text{gr}_0(A).$$

It remains to verify that

$$(5.1) \quad \text{gr}(A) \leq \max \left\{ \text{locdim}(X_1 \times X_1), \sup_{d \geq 2} \left\lceil \frac{\text{locdim}(X_d) + 1}{2d - 2} \right\rceil \right\}.$$

Recall that a C^* -algebra is m -subhomogeneous if each of its irreducible representations has dimension at most m . We prove the inequality (5.1) by induction over m . Note that 1-subhomogeneous C^* -algebras are precisely commutative C^* -algebras, in which case (5.1) follows from Theorem 2.7.

Let $m \geq 2$, assume that (5.1) holds for $(m - 1)$ -subhomogeneous C^* -algebras, and assume that A is m -subhomogeneous. Let I be the ideal of A corresponding to the open subset $X_m \subseteq \text{Prim}(A)$. Note that A/I is $(m - 1)$ -subhomogeneous. Set

$$n := \max \left\{ \text{locdim}(X_1 \times X_1), \sup_{d=1, \dots, m-1} \left\lceil \frac{\text{locdim}(X_d) + 1}{2d - 2} \right\rceil \right\}.$$

By assumption of the induction, we have $\text{gr}(A/I) \leq n$. We need to prove that

$$\text{gr}(A) \leq \max \left\{ n, \left\lceil \frac{\text{locdim}(X_m) + 1}{2m - 2} \right\rceil \right\}.$$

Set $l := \text{locdim}(X_m)$ and

$$\mathcal{S}_1 := \{B \in \text{Sub}_{\text{sep}}(A) : \text{gr}(B/(B \cap I)) \leq n\}, \quad \text{and}$$

$$\mathcal{S}_2 := \{B \in \text{Sub}_{\text{sep}}(A) : B \cap I \text{ } m\text{-homogeneous, } \text{locdim}(\text{Prim}(B \cap I)) \leq l\}.$$

As noted in Paragraph 3.1, the collection $\{D \in \text{Sub}_{\text{sep}}(A/I) : \text{gr}(D) \leq n\}$ is σ -complete and cofinal. Applying Lemma 3.2(2), we obtain that \mathcal{S}_1 is σ -complete and cofinal. Similarly, using Proposition 3.5 and Lemma 3.2(1), we see that \mathcal{S}_2 is σ -complete and cofinal. Hence, $\mathcal{S}_1 \cap \mathcal{S}_2$ is σ -complete and cofinal as well. Using Theorem 2.6, and using that A is approximated by $\mathcal{S}_1 \cap \mathcal{S}_2$, it suffices to verify that every $B \in \mathcal{S}_1 \cap \mathcal{S}_2$ satisfies

$$\text{gr}(B) \leq \max \left\{ n, \left\lceil \frac{l + 1}{2m - 2} \right\rceil \right\}.$$

Let $B \in \mathcal{S}_1 \cap \mathcal{S}_2$. Set $J := B \cap I$. By construction, J is m -homogeneous with $\text{locdim}(\text{Prim}(J)) \leq l$, and B/J is $(m - 1)$ -subhomogeneous with $\text{gr}(B/J) \leq n$. Note that J is the ideal of B corresponding to $\text{Prim}_m(B)$. Since B is separable, $\text{Prim}_m(B)$ is σ -compact. Choose an increasing sequence $(Y_k)_{k \in \mathbb{N}}$ of compact subsets of $\text{Prim}_m(B)$ such that $\text{Prim}_m(B) = \bigcup_k Y_k$.

For each k , note that $Y_k \subseteq \text{Prim}_m(B)$ is closed, and let J_k be the ideal of J corresponding to the open subset $\text{Prim}_m(B) \setminus Y_k$. Considering J_k as an ideal of B , we have $B/J_k \cong (J/J_k) \oplus B/J$. Since J/J_k is m -homogeneous, and B/J is $(m - 1)$ -subhomogeneous, no nonzero quotient of J/J_k is isomorphic to a quotient of B/J .

Applying Lemma 5.4, we obtain

$$\text{gr}(B/J_k) = \text{gr}((J/J_k) \oplus B/J) = \max \{ \text{gr}(J/J_k), \text{gr}(B/J) \}.$$

Since J/J_k is a quotient of J , and J is m -homogeneous with $\text{locdim}(\text{Prim}(J)) \leq l$, it follows from Theorems 2.5 and 4.17 that

$$\text{gr}(J/J_k) \leq \text{gr}(J) = \left\lceil \frac{\text{locdim}(\text{Prim}(J)) + 1}{2m - 2} \right\rceil \leq \left\lceil \frac{l + 1}{2m - 2} \right\rceil.$$

Applying Proposition 5.3 at the first step, we obtain

$$\text{gr}(B) = \sup_k \text{gr}(B/J_k) = \sup_k \max \{ \text{gr}(J/J_k), \text{gr}(B/J) \} \leq \max \left\{ n, \left\lceil \frac{l + 1}{2m - 2} \right\rceil \right\},$$

as desired. ■

Remark 5.6 Let A be an m -subhomogeneous C^* -algebra. For $d = 1, \dots, m$, let A_d be the ideal quotient of A corresponding to the locally closed subset $\text{Prim}_d(A)$. Then, it follows from Theorem 5.5 that

$$\text{gr}(A) = \max \{ \text{gr}(A_1), \dots, \text{gr}(A_m) \}.$$

Analogous formulas hold for the real and stable rank (see [Bro16, Lemma 3.4]).

Corollary 5.7 Let A and B be subhomogeneous C^* -algebras. Then,

$$\text{gr}(A \oplus B) = \max \{ \text{gr}(A), \text{gr}(B) \}.$$

Proof Let $m \geq 1$ be such that A and B are m -subhomogeneous. Let A_d be the ideal quotient of A corresponding to $\text{Prim}_d(A)$, and analogous for B_d , for $d = 1, \dots, m$. Then, A_d and B_d are d -homogeneous, and $A_d \oplus B_d$ is naturally isomorphic to the ideal quotient of $A \oplus B$ corresponding to $\text{Prim}_d(A \oplus B)$. Applying Theorem 5.5 (see also Remark 5.6) at the first and last steps, and using Lemma 4.18 at the second step, we get

$$\begin{aligned} \text{gr}(A \oplus B) &= \max_{d=1, \dots, m} \text{gr}(A_d \oplus B_d) = \max_{d=1, \dots, m} \max \{ \text{gr}(A_d), \text{gr}(B_d) \} \\ &= \max \left\{ \max_{d=1, \dots, m} \text{gr}(A_d), \max_{d=1, \dots, m} \text{gr}(B_d) \right\} = \max \{ \text{gr}(A), \text{gr}(B) \}. \quad \blacksquare \end{aligned}$$

It is natural to expect that the generator rank of a direct sum of C^* -algebras is the maximum of the generator ranks of the summands. The next result shows that this is the case if one of the summands is subhomogeneous. In general, however, this is unclear (see [Thi21, Questions 2.12 and 6.4]).

Proposition 5.8 Let A and B be C^* -algebras and assume that B is subhomogeneous. Then,

$$\text{gr}_0(A \oplus B) = \max \{ \text{gr}_0(A), \text{gr}_0(B) \}, \quad \text{and} \quad \text{gr}(A \oplus B) = \max \{ \text{gr}(A), \text{gr}(B) \}.$$

Proof Let $m \geq 1$ such that B is m -subhomogeneous. The proof proceeds analogous to that of [Thi21, Proposition 5.12] (which is the result for $m = 1$) by considering the smallest ideal $I \subseteq A$ such that A/I is m -subhomogeneous (instead of the smallest

I such that A/I is commutative), and by using Corollary 5.7 instead of [Thi21, Proposition 5.9]. ■

Lemma 5.9 *Let A be a nonzero, subhomogeneous C^* -algebra. Then, $\text{gr}(A \otimes \mathcal{Z}) = 1$.*

Proof Given a finite subset $F \subseteq A$, set $A_F := C^*(F) \subseteq A$. Then, A_F is a finitely generated, subhomogeneous C^* -algebra. By [NW06, Theorem 1.5], there is $k \in \mathbb{N}$ such that $\text{locdim}(\text{Prim}_d(A_F)) \leq k$ for every $d \geq 1$. For $p, q \in \mathbb{N}$, let $Z_{p,q}$ denote the dimension-drop algebra

$$Z_{p,q} = \{f: [0, 1] \rightarrow M_p \otimes M_q : f \text{ continuous, } f(0) \in 1 \otimes M_q, f(1) \in M_p \otimes 1\}.$$

For p and q sufficiently large (for example, $p, q \geq k + 2$), it follows from Theorem 5.5 that $\text{gr}(A_F \otimes Z_{p,q}) \leq 1$. Using that \mathcal{Z} is an inductive limit of dimension-drop algebras Z_{p_n, q_n} with $\lim_n p_n = \lim_n q_n = \infty$, we have $\text{gr}(A_F \otimes \mathcal{Z}) \leq 1$ by Theorem 2.6. The family of sub- C^* -algebras $A_F \otimes \mathcal{Z} \subseteq A \otimes \mathcal{Z}$, indexed over the finite subsets of A ordered by inclusion, approximates $A \otimes \mathcal{Z}$, whence $\text{gr}(A \otimes \mathcal{Z}) \leq 1$ by Theorem 2.6.

By [Thi21, Proposition 5.7], every noncommutative C^* -algebra has generator rank at least one, and thus $\text{gr}(A \otimes \mathcal{Z}) = 1$. ■

Theorem 5.10 *Every nonzero, \mathcal{Z} -stable ASH-algebra has generator rank one.*

If A is a separable, \mathcal{Z} -stable ASH-algebra, then a generic element of A is a generator.

Proof Let A be a nonzero, \mathcal{Z} -stable ASH-algebra. Let $(A_\lambda)_\lambda$ be an inductive system of subhomogeneous C^* -algebras such that $A \cong \varinjlim_\lambda A_\lambda$. Then,

$$A \cong A \otimes \mathcal{Z} \cong \varinjlim_\lambda A_\lambda \otimes \mathcal{Z}.$$

By Lemma 5.9, we have $\text{gr}(A_\lambda \otimes \mathcal{Z}) \leq 1$ for each λ . Using Theorem 2.6, we get $\text{gr}(A) \leq 1$. Since A is noncommutative, we deduce that $\text{gr}(A) = 1$ by [Thi21, Proposition 5.7].

If A is also separable, then the generators in A form a dense G_δ -subset (see Remark 2.3). ■

Remark 5.11 Let A be a unital, separable, \mathcal{Z} -stable C^* -algebra. It was shown in [TW14, Theorem 3.8] that A contains a generator. If A is also approximately subhomogeneous, then Theorem 5.10 shows that generators are even dense in A . I expect that every \mathcal{Z} -stable C^* -algebra has generator rank one. However, in general, we do not even know that every \mathcal{Z} -stable C^* -algebra has real rank at most one.

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