

1 Diagnosing the fast-heating process of the double-cone 2 ignition scheme with x-ray spectroscopy

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20 **Abstract:** In the double-cone ignition scheme of inertial confinement fusion, the head-on
21 collision of two compressed fuel jets from the cone-tips forms an isochoric plasma, which is
22 then heated suddenly by an MeV relativistic electron beam produced by ultra-intense
23 picosecond laser pulses. This fast-heating process was studied experimentally at the
24 Shenguang II upgrade laser facility. By observing temporal resolved x-ray emission and
25 spatial resolved x-ray spectrum, the colliding process and heating process are carefully

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1 studied. The colliding plasma was imaged to have dimensions of $\sim 86 \mu\text{m}$ in the implosion
2 direction and $\sim 120 \mu\text{m}$ in the heating direction. By comparing the simulated plasma x-ray
3 spectrum with experimental data, the electron temperature of the heated plasma was found
4 to rapidly increase to $600 \pm 50 \text{ eV}$, almost doubled temperature achieved before the heating
5 laser incidence.

6 *Key words: fast ignition, plasma spectroscopy, direct drive, inertial confinement fusion*

7 I. INTRODUCTION

8 Scientific feasibility of the inertial confinement fusion has been demonstrated by the first success
9 of the deuterium-tritium (DT) fusion ignition at the National Ignition Facility (NIF) in December
10 2022 [1, 2]. However, the coupled compression and heating processes in the central ignition
11 scheme leads to instabilities of compression and low heating efficiency. Fast ignition was proposed
12 as an alternative approach for high-gain laser fusion because it decouples the fuel compression
13 from fuel ignition, leading to a more stable compression and efficient ignition [3].

14 In the fast ignition scheme, ultra-intense laser pulses are utilized to generate a relativistic
15 electron beam (REB), injecting into the pre-compressed isochoric plasma. The REB then travels
16 forward as a strong current, heating the high-density fuel to generated a hot spot [4-8]. Research
17 conducted over the past few decades has demonstrated that a guiding cone for heating could
18 enhance efficiency by reducing the distance from the source to the core. This method has shown
19 promising results in experiments and holds potential for further advancements in the field of fast
20 ignition[8-12]. However, generating isochoric high-density plasmas with sharp ends has been
21 challenging.

1 One emerging solution comes with the double cone ignition (DCI) scheme[13]. The DCI
2 employs focused nanosecond laser beams to implode the DT fuels embedded in two head-on gold
3 cones; collision of compressed plasma jets from tips of the cones forms an isochoric plasma with
4 sharp ends so that the high-current REB in 10 ps, guided by a kilo-Tesla magnetic field[14], may
5 be efficiently injected into the compressed fuel to trigger ignition suddenly. Positioned between
6 the main cones is a heating cone, with its closed end directed towards the collision point at a
7 distance of tens of micrometers. An ultra-intense laser pulse is then directed into the heating cone
8 to generate energetic electrons that heat the fuel. Previous experiments have successfully validated
9 the first three steps of the DCI scheme[15]. Eight compressing laser beams with a total energy of
10 14 kJ were used to drive chlorine-doped polystyrene shells ($C_{16}H_{14}Cl_2$, CHCl), resulting in a
11 colliding plasma with a central density of up to a few g/cm^3 , an areal density of 100 mg/cm^2 , and
12 a confinement time of 200 ps. The head-on collision effectively enhances the density of the plasma
13 by several times, preheats the fuel, and efficiently converts kinetic energy into internal energy.

14 The DCI scheme allows for a relatively low implosion velocity, resulting in only 20%-30%
15 of the internal energy of the fuel being obtained from the compressing lasers, with the remaining
16 70%-80% required from the heating lasers [10]. Therefore, fast heating plays a crucial role in the
17 DCI scheme. In this study, we have conducted an experiment to investigate the fast-heating effect
18 in DCI on Shenguang II upgrade laser facility (SG-II UP). Through the analysis of time-resolved
19 x-ray images and spatial-resolved spectra, it is confirmed that the high-density collisional plasma
20 is significantly heated by the heating laser beam. The observation of 2.7-2.8 keV Cl K-emission
21 lines from the collisional plasma allows for temperature estimate by comparing the calculated
22 emission spectrum using a collisional-radiative code. The analysis reveals that the implosion core
23 is heated to $600\pm 50\text{ eV}$, nearly twice the temperature achieved before fast-heating.

II. EXPERIMENT

1
2 The experiment was performed on the SG-II UP in Shanghai Institute of Optics and Fine
3 Mechanics, Chinese Academy of Sciences. As shown in Fig. 1(a), 8 compressing lasers @0.351
4 μm and 1 heating laser @1.05 μm were employed to compress and heat the fuel respectively. The
5 total energy of the compressing lasers was 14 kJ with 4.7 ns duration. For uniform irradiation,
6 continuous phase plates (CPP) were used to smooth the compressing beams resulting in a $\phi=700$
7 μm focusing spot for every single beam. The waveform of compressing lasers shown in Fig. 1(b)
8 was designed by Multi-1D code [16], and has been optimized with artificial intelligence algorithm
9 to achieve a quasi-isentropically compression [17]. Simulated results from Multi-1D accorded
10 quite well with the experimental results on the shell velocity of the plasma jets ejected from the
11 vertex. Two spherical shells placed in the main cones were compressed and accelerated towards
12 to the geometric center by directly driving and finally collide together at the center of the two
13 cones. The material of the shells was chlorine-doped polystyrene in which Cl is at 6% atomic ratio.
14 When the areal density of the colliding plasma comes to the maximum, the heating laser beam of
15 500 J with 10 ps duration was injected on a golden planar target assembled between the two cones
16 to produce fast electrons. The incident angle is 9° from the normal of the golden plane. Heating
17 cone was replaced by a golden plane here to reduce the difficulty in assembling and aiming. The
18 focal spot of the heating laser was 30 μm in diameter, which leads to an $I\lambda^2=7.7\times 10^{18} \text{ W}\cdot\mu\text{m}^2\cdot\text{cm}^{-2}$.
19 ². The thickness of the golden plane was 20 μm and its rear surface is 46 μm from the colliding
20 center.

21 As for diagnosis, an x-ray Kirkpatrick-Baez (KB) microscope coupled with a framing
22 camera was installed on the equator nearly perpendicular to the heating laser to monitor the time-
23 resolved self-emission of the colliding plasma. Its spatial and temporal resolution were $\sim 10 \mu\text{m}$

1 and 90 ps. The camera was response to x-ray from 1.0 keV to 3.5 keV by installing a 25 μm
2 beryllium filter [18].

3 A spatial resolved flat crystal spectrometer was also placed on the equator to diagnose the
4 x-ray spectrum. Its field view was large enough to observe the colliding and the coronal area. It
5 was set on the rear side of the golden plane at 45° from the normal direction to avoided the
6 interference of the self-emission produced by the heating laser or visual obstruction by target
7 holder. A potassium acid phthalate (KAP) crystal ($2d=26.7 \text{ \AA}$) was positioned at 181 cm from the
8 target chamber center (TCC). SR-type image plate [19] was placed 20 cm from the crystal. At the
9 entrance of the spectrometer, a transverse slit of 10 μm width and 5 mm length was utilized to
10 realize a spatial resolution in the vertical direction. Its spatial resolution is 19.1 μm which is high
11 enough to distinguish the x-ray from corona and colliding region. The Bragg angle was set to 9.7° ,
12 encompassing a measuring range from 2.66 keV to 2.85 keV. This range covers the He_α line and
13 the associated satellite lines originating from Cl^{15+} and Cl^{14+} . In order to maintain good contrast,
14 distinct filter configurations were implemented at various height inside the spectrometer so that
15 faint signals from colliding area can be detected without any saturation caused by coronal
16 emission. Consequently, the ultimate transmittance was approximately 2% for the corona and 89%
17 for the colliding area.

18 III. EXPERIMENTAL RESULTS

19 Time-resolved 2D images of the colliding plasmas measured by the KB camera are shown in Fig.
20 2. Images (a) to (d) show a shot without heating. Images (e) to (h) show a shot with heating. Image
21 (i) is a schematic geometrical configuration of the image (f) marking with the targets and the
22 heating laser beam. When the plasmas collide together, the forward kinetic energy is transferred

1 to the internal energy by strong coulomb interaction. The electron temperature increases to
2 340~390 eV due to the collisional preheat [18]. The bright spots in images (a) to (d) refer to the
3 emission from the colliding plasma. In images (e) to (h), the other spots arisen on the left are
4 caused by the heating beam. The colliding plasma in image (b) is $\sim 86(\pm 6)$ μm high and $\sim 120(\pm 6)$
5 μm (normalized intensity above 50%, and the errors come from the KB mirrors and the framing
6 camera together[18]) without heating. When the heating laser beam is injected at $6.30(\pm 0.08)$ ns,
7 x-rays caused by the heating beam arises and reaches its maximum at $6.46(\pm 0.08)$ ns. The
8 luminous region caused by the heating laser pulse is shaped by the main cones and the golden
9 plane. As can be seen in image (i), its central area is aligned with the colliding plasma indicating
10 good directivity of the heating laser beam. Under the injection of the heating beam, a transverse
11 high-temperature channel (normalized intensity above 50%) is formed with a length of 134 μm
12 and a height of 53 μm . Compared to the colliding plasma, the self-emission is enhanced by 30%
13 with the heating laser pulse. While, the stagnated duration is approximately invariant.

14 Figure 3(a) shows the space-resolved spectrum from 2.70-2.85 keV obtained by the crystal
15 spectrometer. The transverse slit gives the spatial resolution in vertical direction so that the
16 spectrum can be divided into three regions. The spectrum at the top and the bottom comes from
17 the coronal plasma directly heated by the compressing laser pulses. Between them is the collision
18 area, marked with the red dashed box. The observed spectrum comprises continuous
19 bremsstrahlung emission and line emission of Cl. The line emission consists of a resonance line
20 ("w" at 2789.7eV , $1s2p\ ^1P_1 \rightarrow 1s^2\ ^1S_0$), an intercombination line ("y" at 2775.0eV , $1s2p\ ^3P_1 \rightarrow$
21 $1s^2\ ^1S_0$) and a set of Li-like satellite lines ("jkl" and other small peaks) [20]. Owing to the high
22 energy resolution of $E/\Delta E=1000$, the spectroscopic analysis reveals three discrete peaks in the
23 coronal and collision regions. As can be seen in Fig. 3(b), emission from the colliding region is

1 distinctly enhanced with the injected picosecond heating laser pulse. Since single cell model that
2 assumes the plasma is uniform failed to match the experimental result, radiation-hydrodynamic
3 simulations have been implemented to get the spatially distributed temperature and density to
4 deduce the temperature by x-ray spectroscopy. This will be discussed in the next section. It is
5 worth noting that relative central wavelength shifts are observed between the coronal and collision
6 regions. Specifically, the resonance line (w) exhibited a shift of 4.0 eV, while the intercombination
7 line (y) and the satellite lines (jkl) displayed shifts of 2.5eV and 2.0eV, respectively.

8 **IV. DISCUSSIONS**

9 The enhanced x-ray emission indicates that colliding plasma is heated by the REB generated by
10 the ultra-intense laser pulses. However, simple collision-radiative codes failed to reproduce the
11 measured spectrum. Single cell model assuming a uniform temperature and density distribution is
12 no longer suitable for the colliding plasma. Non-local radiation field becomes important in the
13 calculation due to the high density and the relatively soft x-ray emission. To calculate the spectrum
14 in detail, radiation-hydrodynamics simulation and scaling theory of fast electrons are used to
15 reproduce the experimental results. Noting that the opacity and equation of state tables used in
16 following simulations are calculated by the PROPACEOS code.[21, 22]

17 Process from compression to head-on collision without the fast-heating are simulated by
18 the FLASH ,[23] an open source radiation-hydrodynamics program. This part is simulated in two-
19 dimensional cylindrical coordinates. Figure 4 shows the simulated results of FLASH at 2.0 ns and
20 6.3 ns respectively. In the first 2 ns, the CHCl shell experiences quasi-isentropic compression
21 driven by the three pickets of compressing lasers. Subsequently, shock wave penetrates the shell,
22 marking the onset of the acceleration phase. Hydrodynamics instabilities grow at the ablation front

1 leading to density decline. Still and all, with spherical compression and the confinement of the
 2 main cone, the density grows to 3 g/cm^3 when the fuel is pushed to the apex of the cone. Upon the
 3 collision of the two fuel segments, the forward kinetic energy was efficiently converted into
 4 internal energy, primarily facilitated by the strong coulomb collisions. Figure 5(a) and (b) show
 5 the density and temperature evolution of the shell indetial. In Fig. 5(c), the transverse distribution
 6 of the colliding plasma is presented, as obtained from numerical simulations and experimental
 7 density measurements. Experimental density measurements were conducted using monochrome
 8 backlight photography combined with Abel inversion [15]. The simulated results agree well with
 9 the experiment in terms of collision time and plasma density distribution.

10 As for the fast-heating process, the energy deposition of relativistic electrons was evaluated
 11 by following equation [24, 25]:

$$\begin{aligned}
 12 \quad -\frac{dE}{dx} = \frac{2\pi n_e e^4}{m_e \beta^2 c^2} & \left[\ln \left(\frac{m_e^2 c^2 (\gamma - 1) \lambda_D^2}{2 \hbar^2} \right) + \frac{1}{8} \left(\frac{\gamma - 1}{\gamma} \right)^2 - \frac{2\gamma - 1}{\gamma^2} \right. \\
 13 \quad & \left. + 1 - \ln 2 + \ln \left(\frac{u}{\omega_p \lambda_D} \left(\frac{2}{3} \right)^{1/2} \right)^2 \right], \quad (1)
 \end{aligned}$$

14 where u and n_e are the velocity and the electron density, $\beta = u/c$, $\gamma = (1 - u^2/c^2)^{-1/2}$ is the
 15 Lorentz parameter, λ_D and ω_p are the Debye length and the plasma frequency of the colliding
 16 plasma, respectively. The considered region is within $600 \mu\text{m}$, where the density is above $1 \times$
 17 10^{-5} g/cm^3 and absorbs the majority of the deposited energy. According to the scaling relation, the
 18 temperature of the fast electrons is set to be 1 MeV [26, 27] which is consistent with the
 19 experimental results obtained by an image plates (IP) stack [results to be published]. Figure 5(c)
 20 shows an example of the temperature distribution before and after fast-heating. Note that the
 21 heating effects of this calculation mainly provide the profile of the temperature distribution,

1 serving as a reference input for spectral calculations. The final temperature of the stagnated plasma
2 is derived by matching the spectral results.

3 Plasma spectroscopy has long been used to diagnose plasma parameters [28-31]. To aid in
4 the qualitative assessment of experiments, plasma models and spectral simulation procedures are
5 often employed. In this context, the SPECT3D [32], a radiation-transport atomic-kinetic codes
6 based on a highly detailed atomic mode, is used to calculate the K-shell emission from the plasma.
7 Since collisional depopulation affects the population distributions as well as radiation under such
8 condition, collisional-radiative (CR) model is chosen to solve the level populations. Self-consistent
9 coupling with radiation is dealt with multi-angle long characteristic model which computes
10 photon-induced rates by performing radiative transfer along multiple rays that extend through the
11 entire plasma grid. The spectral simulated results are illustrated in Fig. 6(a) with experimental data.
12 As the intensity of "w" and "jkl" represents the population of He-like ions and Li-like ions, its ratio
13 is commonly used to characterize the plasma temperature when the degree of ionization of the
14 element varies monotonically over the concerned temperature range [28, 30]. Figure 6(b) gives the
15 variation of ionization degree of Cl with temperature for different electron densities. The ratio of
16 population and line intensity varies monotonically in concerned parameter scale. Hence, it can be
17 concluded that the temperature of the colliding plasma has increased to approximately
18 $600 (\pm 50)$ eV due to the heating effect induced by fast electrons.

19 Furthermore, a pronounced blue shift is evident in Fig. 6(a). For "w" and "y", two relatively
20 isolated emission peaks, their peaks shift 4.0eV and 2.5eV respectively. In the case of "jkl"
21 composed of a cluster of satellite lines, the shift of 2.0eV is determined by analyzing the profile
22 involving the rising and falling edges. This phenomenon is the Doppler effect caused by the
23 transverse diffusion of the colliding plasma. The emission peaks emerge from distinct regions of

1 the plasma, each characterized by different diffusion velocities, giving rise to these varying shifts.
2 Figure 7(a) provides insight into the transverse velocity of the plasma, with the right y-axis
3 indicating the Doppler shifts in eV. In Fig. 7(b), the spatial emissivity of each emission peak is
4 presented, with each normalized by its own intensity in spatial position, ensuring that the integral
5 of each line is 100%. This not only explains the energy shift, but also explains the broadening of
6 the resonance line ("w") to a certain extent. According to the spatial emissivity of Fig 7(b) the
7 spatial size of line "w" is 450 μm in radius. Its diameter is 900 μm leading to 8.03 eV broadening
8 accounting for the main part of the broadening compared to the doppler broadening of 0.88 eV and
9 instrumental broadening of 0.48 eV. In addition, the spectral results lack temporal resolution, it is
10 reasonable to infer that the resonance line ("w") is emitted over a larger spatial region and for a
11 longer duration, resulting in a significantly greater broadening compared to the intercombination
12 line ("y").

13 **V. CONCLUSIONS**

14 In summary, the experiment has been conducted at the SG-II UP to investigate the fast-heating of
15 an ultra-intense petawatt laser beam in the DCI scheme. After injecting the heating beam, a
16 substantial increase in electron temperature within the high-density stagnated plasma is achieved,
17 leading to the excitation of tracer elements and the emission of K-shell lines. Employing radiation-
18 hydrodynamics simulations and spectral calculations to replicate and diagnose the colliding plasma,
19 the heated plasma temperature is estimated to be 600 ± 50 eV through the comparison of
20 experimental and simulated results. This notable heating effect is attributed to the favorable
21 alignment between the plasma density and the temperature of the fast electrons. The observed blue

1 shift in the colliding plasma spectrum conveys useful information about its volume velocity and
 2 diffusion dynamics, providing additional insights for interpreting the spectral results.

3

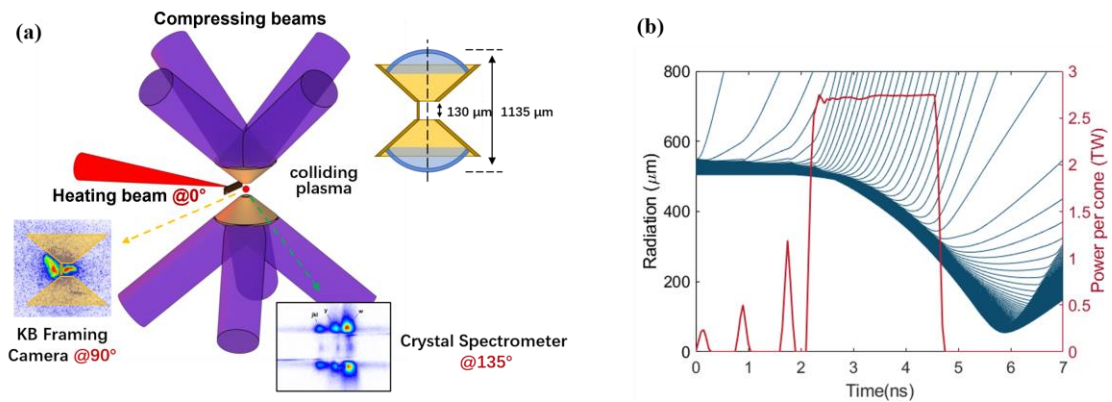
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 6 target fabrication. We would like to thank Dr. Yuxue Zhang from research center of laser fusion,
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 8 observatories, Chinese Academy of Sciences for their valuable recommendations about analyzing
 9 the spectroscopy results.

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 12 National Natural Science Foundation of China (Grants No. 11827807).

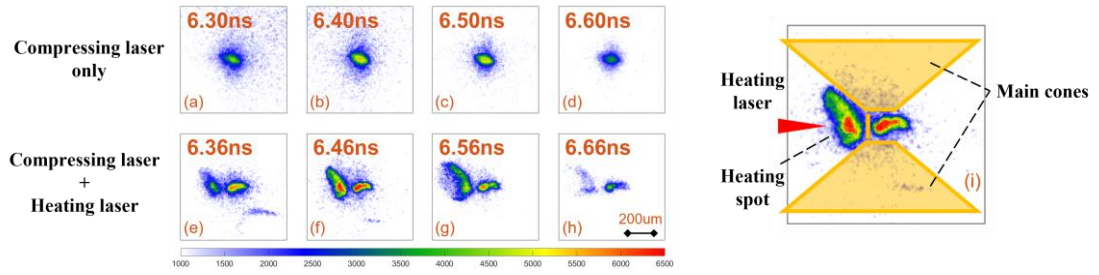
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14 **Figures and tables**



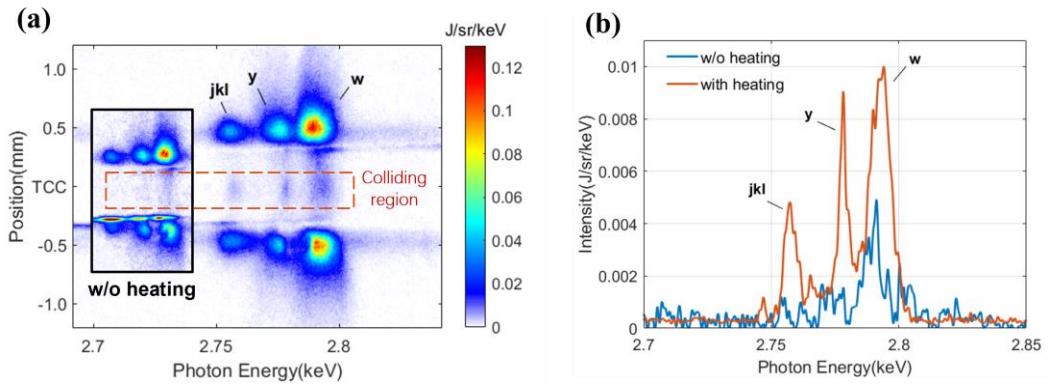
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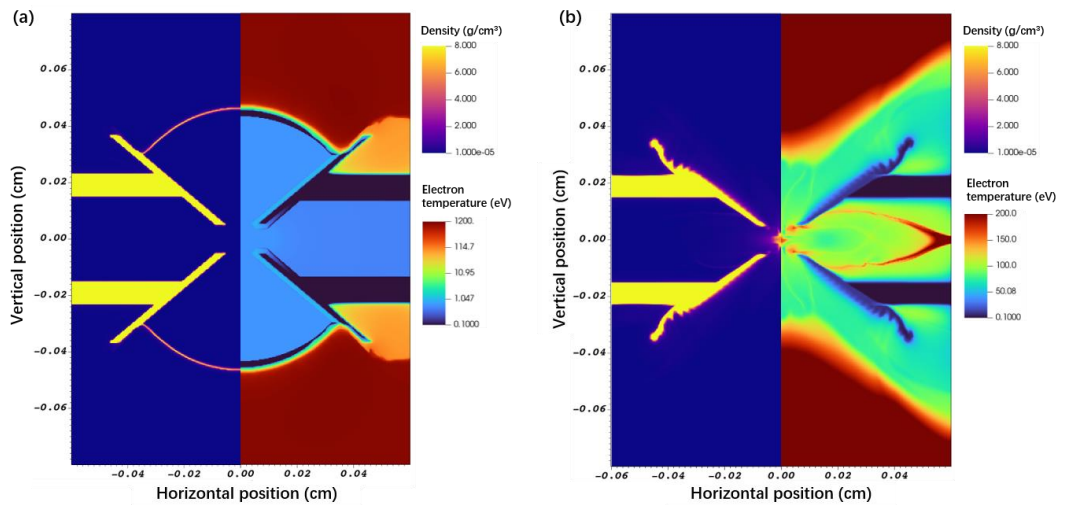
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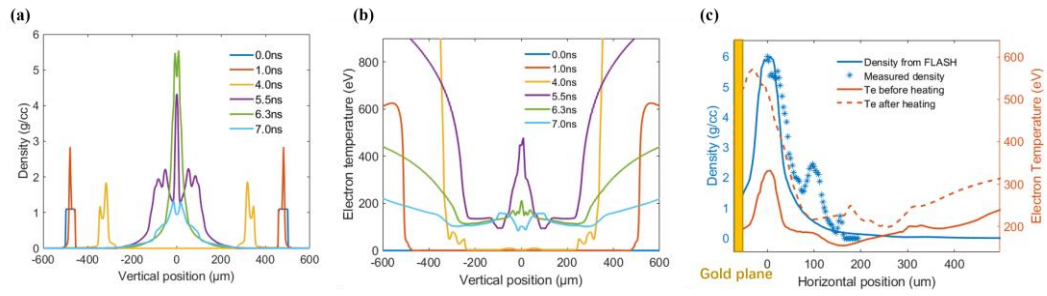
3 Figure 3:

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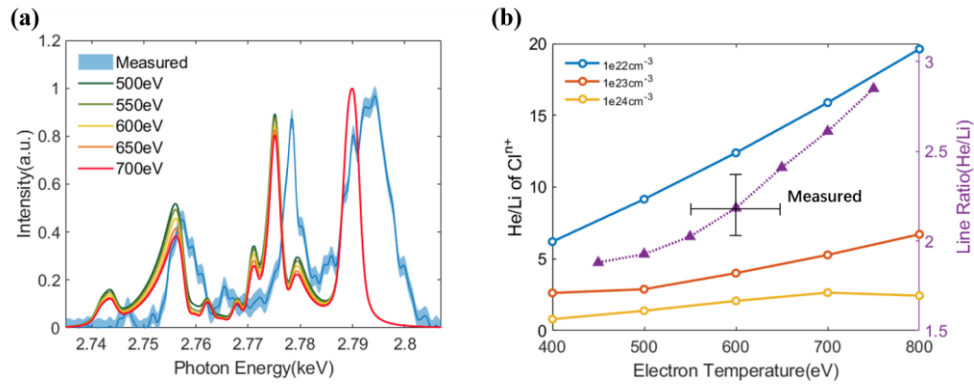
5 Figure 4:

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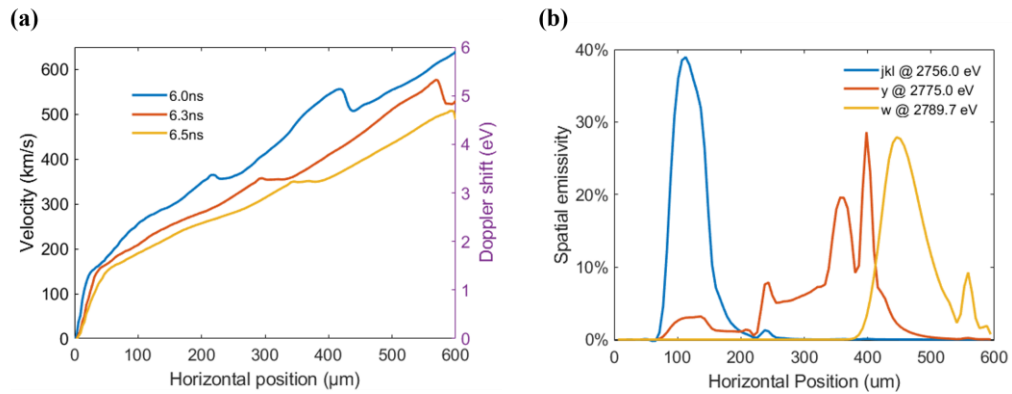
1 Figure 5:

2



3 Figure 6:

4



5 Figure 7:

6 **Figure captions**

7 Figure 1: Experimental configuration and laser waveform used in the experiment. (a), 8 driving
 8 laser beams are used to directly drive the CHCl shells and push the fuel collide together at the
 9 TCC. The heating laser is injected on a golden plane placed near the TCC to generate fast electrons.
 10 (b), The laser waveform and the motion patter calculated by MULTI-1D.
 11

1 Figure 2: The time-resolved self-emission from colliding area taken by KB framing camera. (a)-
 2 (d) are the x-ray emission of colliding plasmas without heating laser beam injected. (e)-(f) are with
 3 the heating laser beam injected from left side. The spots on the left are induced by the heating
 4 beam. (i) is (f) marked with targets and the heating laser beam.

5
 6 Figure 3: (a) Spatial-resolved spectrum of shots with and without (inset) heating. (b) Profiles
 7 derived from the TCC position from the two shots (20 μm averaged on and below the TCC
 8 position), respectively.

9
 10 Figure 4: The 2D simulated results of FLASH at (a) 2.0 ns and (b) 6.3 ns. The left side of them is
 11 the density distribution and the right side is the electron temperature distribution. For clarity, the
 12 color map of temperature is logarithmic in (a) and linear in (b).

13
 14 Figure 5: Simulated results used to calculate the spectrum. (a) and (b) are the density and
 15 electron temperature distribution in vertical direction simulated by FLASH, respectively. (c)
 16 shows the transverse distribution of the colliding plasma. The simulated density (blue line) is
 17 compared with the experimental result (the stars). The electron temperature before (red line) and
 18 after heating (red dotted line) is also shown here.

19
 20 Figure 6: (a), the comparison between the measured and the calculated spectrum. For the
 21 calculated results, temperature range from 500 eV to 700 eV is included. The measured one is
 22 the same as the Fig 3(b) "with heating" with errors marked by the shadow area. The Cl^{n+}
 23 population ratio of He-like ions to Li-like ions is shown in (b), together with the line ratio of "w"
 24 to "jkl".

25
 26 Figure 7: (a), the velocity distribution of the stagnated stage derived from FLASH. (b), Spatial
 27 emissivity of the plasma in different depth along the sight line.

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