



On Certain Isomorphisms between Absolute Galois Groups

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Abstract. Let k be an algebraically closed field of characteristic zero, F be an algebraically closed extension of k of transcendence degree one, and G be the group of automorphisms over k of the field F . The purpose of this note is to calculate the group of continuous automorphisms of G .

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1. Introduction

Let k be an algebraically closed field of characteristic zero, L its finitely generated extension of transcendence degree > 1 , and L' another finitely generated extension of k . It is a result of Bogomolov [3] that any isomorphism between $\text{Gal}(\bar{L}/L)$ and $\text{Gal}(\bar{L}'/L')$ is induced by an isomorphism of fields $\bar{L} \rightarrow \bar{L}'$ identifying L with L' .

If the transcendence degree of L over k is one, the group $\text{Gal}(\bar{L}/L)$ is free, and therefore, its structure tells nothing about the field L .

Let F be an algebraically closed extension of k of transcendence degree one, and $G = G_{F/k}$ be the group of automorphisms over k of the field F . Let the set of subgroups $U_L := \text{Aut}(F/L)$ for all subfields L finitely generated over k be the basis of neighborhoods of the unity in G .

Let λ be a continuous automorphism of G . The purpose of this note is to show that if λ induces an isomorphism $\text{Gal}(F/L) \xrightarrow{\sim} \text{Gal}(F/L')$ then the fields L and L' are isomorphic (see Theorem 4.2 below for a more precise statement).

1.1. NOTATIONS

For a field F_1 and its subfield F_2 we denote by G_{F_1/F_2} the group of automorphisms of the field F_1 over F_2 . Throughout the note k is an algebraically closed field of characteristic zero, F its algebraically closed extension of transcendence degree $1 \leq n < \infty$ and $G = G_{F/k}$. If K is a subfield of F then \bar{K} denotes its algebraic closure in F .

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For a topological group H we denote by H° its subgroup generated by the compact subgroups, and by H^{ab} the quotient of H by the closure of its commutant.

For a smooth projective curve C over a field, $\text{Pic}^{\geq m}(C)$ is the submonoid in $\text{Pic}(C)$ of sheaves of degree $\geq m$.

2. A Galois-Type Correspondence

We consider a topology on G with the basis of neighborhood of an automorphism $\sigma: F \xrightarrow{\sim} F$ over k given by the cosets of the form σU_L for all subfields L of F finitely generated over k , where $U_L = \text{Aut}(F/L)$. This topology was introduced in [4]. One checks that the group G endowed with such topology is Hausdorff, locally compact, and totally disconnected.

PROPOSITION 2.1 ([4], Lemma 1, Section 3). *The map*

$$\{\text{subfields in } F \text{ over } k\} \longrightarrow \{\text{closed subgroups in } G\} \text{ given by}$$

$K \mapsto \text{Aut}(F/K)$ is injective and restricts to bijections

$$\begin{aligned} & - \{\text{subfields } K \text{ with } F = \bar{K}\} \leftrightarrow \{\text{compact subgroups of } G\}; \\ & - \left\{ \begin{array}{l} \text{subfields } K \text{ of } F \text{ finitely} \\ \text{generated over } k \text{ with } F = \bar{K} \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{compact open} \\ \text{subgroups of } G \end{array} \right\}. \end{aligned}$$

The inverse correspondences are given by $G \supset H \mapsto F^H \subseteq F$. □

Denote by G° the subgroup of G generated by the compact subgroups. Obviously, G° is an open normal subgroup in G .

3. Decomposition Subgroups in Abelian Quotients

Let $n = 1$. We are going to show that for any continuous automorphism λ of G and any L of finite type over k one has $\lambda(U_L) = U_{L'}$ for some L' isomorphic to L .

To do that we first need to construct decomposition subgroups in the Abelian quotients U_L^{ab} .

Set $\Phi_L = \text{Hom}(\text{Div}^0(C), \widehat{\mathbb{Z}}(1))$ for a smooth projective model C of L over k . By Kummer theory, $U_L^{\text{ab}} = \text{Hom}(L^\times, \widehat{\mathbb{Z}}(1))$, so, as the groups k^\times and $\text{Pic}^0(C)$ are divisible, but there are no divisible elements in $\widehat{\mathbb{Z}}(1)$ except 0, the short exact sequence $1 \rightarrow L^\times/k^\times \rightarrow \text{Div}^0(C) \rightarrow \text{Pic}^0(C) \rightarrow 0$ induces an embedding $\Phi_L \hookrightarrow U_L^{\text{ab}}$. One identifies Φ_L with the $\widehat{\mathbb{Z}}$ -module of the $\widehat{\mathbb{Z}}(1)$ -valued functions on $C(k)$ modulo the constants.

The next step is to get a description of Φ_L in terms of the Galois groups. Clearly, $U_{k(x)}^{\text{ab}} = \Phi_{k(x)}$.

LEMMA 3.1.

- (1) If U is an open compact subgroup in G then $N_G(U) = N_{G^\circ}(U)$. If, moreover, $N_G(U)/U$ is infinite and has no Abelian subgroups of finite index then $U = U_{k(x)}$ for some $x \in F - k$.
- (2) For any $x \in L - k$ the transfer $U_{k(x)}^{\text{ab}} \rightarrow U_L^{\text{ab}}$ factors through Φ_L .
- (3) The span of images of the transfers $U_{k(x)}^{\text{ab}} \rightarrow U_L^{\text{ab}}$ for all $x \in L - k$ is dense in Φ_L .

Proof. (1) By Proposition 2.1, $U = U_L$ for a field L finitely generated over k . Then the group $N_G(U_L)/U_L$ coincides with the group of automorphisms of the field L over k . As the automorphism groups of projective curves of genus > 1 are finite, if L is isomorphic to the function field of such a curve, then the normalizer of U in G is compact. As the automorphism groups of elliptic curves are generated by elements of order ≤ 4 and contain Abelian subgroups of index ≤ 6 , if L is isomorphic to the function field of such a curve, then the normalizer of U in G is generated by its compact subgroups. This implies that if $N_G(U)/U$ has no Abelian subgroups of finite index then L should be the function field of a rational curve. As the automorphism group of the rational curve is generated by involutions, the normalizer of U in G is generated by its compact subgroups.

(2) The transfer is induced by the norm $L^\times/k^\times \xrightarrow{\text{Nm}_{L/k(x)}} k(x)^\times/k^\times$, which is the restriction of the push-forward $\text{Div}^0(C) \xrightarrow{x_*} \text{Div}^0(\mathbb{P}^1)$. Since $k(x)^\times/k^\times = \text{Div}^0(\mathbb{P}^1)$, the transfer factors through Φ_L .

(3) Each point p of a smooth projective model C of L over k is a difference of very ample effective divisors on C . These divisors themselves are zero-divisors of some rational functions, i.e., there are surjective morphisms $x, y: C \rightarrow \mathbb{P}^1$ and a point $0 \in \mathbb{P}^1$ such that $x^{-1}(0) - y^{-1}(0) = p$. Then $\delta_p = x^*\delta_0 - y^*\delta_0: C(k) \rightarrow \widehat{\mathbb{Z}}(1)$ is a δ -function of the point p of C . As the span of δ -functions is dense in the group Φ_L , we are done. □

For a point of $C(k)$ its *decomposition subgroup* in $\Phi_L \subset U_L^{\text{ab}}$ consists of all functions supported on it. In the case $L = k(x)$ the decomposition subgroups in $U_{k(x)}^{\text{ab}}$ are parametrized by the set (which is isomorphic to $\mathbb{P}^1(k)$) of parabolic subgroups P in $N_G U_{k(x)}/U_{k(x)}$. The subgroup D_P consists of elements in $U_{k(x)}^{\text{ab}}$ fixed under the adjoint action of P . Clearly, $D_P \cong \widehat{\mathbb{Z}}(1)$.

Each inclusion of subgroups $U_L \subset U_{k(x)}$ induces a homomorphism $U_L^{\text{ab}} \rightarrow U_{k(x)}^{\text{ab}}$. For any nonzero element h of the group U_L^{ab} , considered as a homomorphism from the group L^\times , there is an element $x \in L^\times$ with $h(x) \neq 0$, so the image of h in $U_{k(x)}^{\text{ab}}$ is nonzero, and thus, the homomorphism $U_L^{\text{ab}} \xrightarrow{\varphi_L} \prod_{x \in L-k} U_{k(x)}^{\text{ab}}$ is injective.

To construct decomposition subgroups for an arbitrary L , consider such a subgroup $D \cong \widehat{\mathbb{Z}}$ in the target of φ_L that its projection to each of $U_{k(x)}^{\text{ab}}$ is of finite index in some decomposition subgroup. Then our next goal is to show that the set of decomposition subgroups in U_L^{ab} coincides with the set of maximal subgroups among $\Phi_L \cap \varphi_L^{-1}(D)$.

LEMMA 3.2 (= Lemma 5.2 of [2] = Lemma 3.4' of [3]). *Let f be such a function on a projective space \mathbb{P} over an infinite field that the restriction of f to each projective line in \mathbb{P} is constant on the complement to a point on it. Then f is a flag function, i.e., there is a filtration $P_0 \subset P_1 \subset P_2 \subset \dots$ of \mathbb{P} by projective subspaces such that f is constant on P_0 and on all strata $P_{j+1} - P_j$. \square*

The present form of the following lemma as well as its proof are suggested by the referee.

LEMMA 3.3. *For any smooth projective curve C and any $\mathcal{L}, \mathcal{L}' \in \text{Pic}^{\geq 4g-1}(C)$ the natural map $\Gamma(C, \mathcal{L}) \otimes \Gamma(C, \mathcal{L}') \rightarrow \Gamma(C, \mathcal{L} \otimes \mathcal{L}')$ is surjective.*

Proof. We may assume that $\text{deg } \mathcal{L} \leq \text{deg } \mathcal{L}'$. Fix an effective divisor D on C of degree $2g - 1$ and a base point free pencil in $|\mathcal{L}(-D)|$ corresponding to a subspace V in $\Gamma(C, \mathcal{L}(-D))$ of dimension 2, and a subspace $W \subset \Gamma(C, \mathcal{L})$ of dimension 3 such that $W \otimes_k \mathcal{O}_C \rightarrow \mathcal{L}$ is surjective. If R is its kernel, it fits into a natural short exact sequence

$$0 \rightarrow \det V \otimes_k \mathcal{L}^\vee(D) \rightarrow R \rightarrow (W/V) \otimes_k \mathcal{O}_C(-D) \rightarrow 0.$$

This shows that $H^1(C, R \otimes \mathcal{L}') = 0$, hence $W \otimes_k \Gamma(C, \mathcal{L}') \rightarrow \Gamma(C, \mathcal{L} \otimes \mathcal{L}')$ is surjective. \square

LEMMA 3.4. *If $\varphi_L^{-1}(D)$ is in Φ_L then it is a subgroup in a decomposition subgroup in U_L^{ab} .*

Proof. Let $f \in \varphi_L^{-1}(D) \cap \Phi_L$, i.e., $f: C(k) \rightarrow \widehat{\mathbb{Z}}(1)$ for a smooth projective model C of L over k , and for any very ample invertible sheaf \mathcal{L} on C restrictions of the induced function $f: |\mathcal{L}| \rightarrow \widehat{\mathbb{Z}}(1)$ to projective lines in $|\mathcal{L}|$ are ‘ δ -functions’ on them. Then, by Lemma 3.2, f is a flag function. Therefore, the function $\widehat{f}: |\mathcal{L}|^\vee \rightarrow \widehat{\mathbb{Z}}(1)$ given by $H \mapsto f(\text{general point of } H)$ is a ‘ δ -function’.

Let g be the genus of C . Consider the composition $\widehat{f}_\mathcal{L}: C(k) \rightarrow |\mathcal{L}|^\vee \xrightarrow{\widehat{f}} \widehat{\mathbb{Z}}(1)$. It takes x to $f(x) + f(\text{general point of } |\mathcal{L}(-x)|)$. Since it is a ‘ δ -function’, and all the hyperplanes $x + |\mathcal{L}(-x)|$ in \mathcal{L} are pairwise distinct, there are such functions $b_0: \text{Pic}^{\geq 2g+1}(C) \rightarrow \widehat{\mathbb{Z}}(1)$ and $a: \text{Pic}^{\geq 2g+1}(C) \rightarrow C(k)$ that

$$f(x) + f(\text{general point of } |\mathcal{L}(-x)|) = b_0(\mathcal{L})\delta_{x,a(\mathcal{L})} + b_1(\mathcal{L}),$$

where $b_1: \text{Pic}^{\geq 2g}(C) \rightarrow \widehat{\mathbb{Z}}(1)$ is the function sending \mathcal{L} to the general value of f on $|\mathcal{L}|$. Then $f(x) = b_0(\mathcal{L})\delta_{x,a(\mathcal{L})} + b_1(\mathcal{L}) - b_1(\mathcal{L}(-x))$.

By Lemma 3.3, for any $\mathcal{L}, \mathcal{L}' \in \text{Pic}^{\geq 4g-1}(C)$ the image of the map $|\mathcal{L}| \times |\mathcal{L}'| \rightarrow |\mathcal{L} \otimes \mathcal{L}'|$ of summation of divisors is not contained in any hyperplane in $|\mathcal{L} \otimes \mathcal{L}'|$. Then a sum of a general divisor in $|\mathcal{L}|$ and a general divisor in $|\mathcal{L}'|$ is a general divisor in the linear system $|\mathcal{L} \otimes \mathcal{L}'|$, so one has

$$b_1(\mathcal{L} \otimes \mathcal{L}') = b_1(\mathcal{L}) + b_1(\mathcal{L}'),$$

and therefore, for any sheaf \mathcal{L}_0 of degree zero one has

$$b_1(\mathcal{L}') + b_1(\mathcal{L}_0 \otimes \mathcal{L}) = b_1(\mathcal{L}) + b_1(\mathcal{L}_0 \otimes \mathcal{L}'),$$

so $b_2(\mathcal{L}_0) := b_1(\mathcal{L}_0 \otimes \mathcal{L}) - b_1(\mathcal{L}) : \text{Pic}^0(C) \rightarrow \widehat{\mathbb{Z}}(1)$ does not depend on \mathcal{L} . It is easy to see that b_2 is a homomorphism, which therefore should be zero, since $\text{Pic}^0(C)$ is a divisible group. From this we conclude that $b_1(\mathcal{L}) = b_1(\text{deg } \mathcal{L})$, and finally, $f(x) = b_0(\mathcal{L})\delta_{x,a(\mathcal{L})} + b_3(\mathcal{L})$ is a δ -function on $C(k)$, i.e., corresponds to a point of C , or to a decomposition subgroup in U_L^{ab} . \square

4. Automorphisms of Subgroups between G° and G

LEMMA 4.1.

- (1) Suppose that for a subgroup H in G containing G° (the restriction to G° of) a homomorphism $\lambda : H \rightarrow G$ induces the identity map of the set \mathfrak{F} of compact open subgroups in G . Then $\lambda = \text{id}$.
- (2) The centralizer of G° in $G_{F/\mathbb{Q}}$ is trivial.

Proof. For any $\sigma \in H$ and any open compact subgroup U one has

$$\sigma U \sigma^{-1} = \lambda(\sigma U \sigma^{-1}) = \lambda(\sigma)\lambda(U)\lambda(\sigma)^{-1} = \lambda(\sigma)U\lambda(\sigma)^{-1},$$

so $\sigma^{-1}\lambda(\sigma)$ belongs to the normalizer of each U .

For a variety X of dimension n over k without birational automorphisms and any $x \in F - k$ there is a subfield $L_x \subset F$ containing x isomorphic to the function field of X . Then the normalizer of U_{L_x} coincides with U_{L_x} , and the intersection of all U_{L_x} is $\{1\}$, so $\sigma^{-1}\lambda(\sigma) = 1$. On the other hand, if $\tau \in G_{F/\mathbb{Q}}$ normalizes $U_{k(x,P(x)^{1/2})}$ for all polynomials P over k , then $\tau \in G_{F/k}$ and therefore, $\tau = 1$. \square

Let \mathfrak{F} be the set of compact open subgroups in G° , and let $\mathbb{Q}(\chi)$ be the quotient of the free Abelian group generated by \mathfrak{F} by the relations $[U] = [U : U'] \cdot [U']$ for all $U' \subset U$. As the intersection of a pair of compact open subgroups in G is a subgroup of finite index in both of them, $\mathbb{Q}(\chi)$ is a one-dimensional \mathbb{Q} -vector space. The group G acts on it by the conjugations. Let χ be the character of this representation of G .

One can get an explicit formula for χ as follows. Fix a subfield L of F finitely generated and of transcendence degree n over k . Then for any $\sigma \in G$ one has

$$[U_L] = [L\sigma(L) : L] \cdot [U_{L\sigma(L)}] \quad \text{and} \quad [U_{\sigma(L)}] = [L\sigma(L) : \sigma(L)] \cdot [U_{L\sigma(L)}],$$

and therefore, $\chi(\sigma) = [L\sigma(L) : \sigma(L)]/[L\sigma(L) : L]$. This implies that $\chi : G \rightarrow \mathbb{Q}_+^\times$ is surjective, and its restriction to G° is trivial.

For a subgroup H in G let $N_{G_{F/\mathbb{Q}}}(H)$ be its normalizer in $G_{F/\mathbb{Q}}$.

THEOREM 4.2. *Let $n = 1$, H be a subgroup in G containing G° . Then $N_{G_{F/\mathbb{Q}}}(H) \subseteq N_{G_{F/\mathbb{Q}}}(G) = \{\text{automorphisms of } F \text{ preserving } k\}$, and the adjoint action of*

$N_{G_{F/\mathbb{Q}}}(H)$ on H induces an isomorphism from $N_{G_{F/\mathbb{Q}}}(H)$ to the group of continuous open automorphisms of H .

If $H \supseteq \ker \chi$ then $N_{G_{F/\mathbb{Q}}}(H) = N_{G_{F/\mathbb{Q}}}(G^\circ)$.

Proof. For each $U \in \mathfrak{F}$ let Div_U^+ be the free Abelian semi-group, whose generators are decomposition subgroups in U^{ab} , and for each integer $d \geq 2$ let

$$\mathfrak{Gr}_U^{(d)} = \{U_L \supset U \mid [U_L : U] = d, L \cong k(t)\} \subset \mathfrak{F}.$$

For a smooth projective model C of F^U the set $\mathfrak{Gr}_U^{(d)}$ is in bijection with the disjoint union of Zariski-open subsets in Grassmannians:

$$\coprod_{\mathcal{L} \in \text{Pic}^d(C)} \left(\text{Gr}(1, |\mathcal{L}|) - \bigcup_{x \in C(k)} \text{Gr}(1, x + |\mathcal{L}(-x)|) \right).$$

One can define

- an ‘invertible sheaf of degree d without base points’ \mathcal{L} , as a subset of $\mathfrak{Gr}_U^{(d)} \subset \mathfrak{F}$ consisting of elements equivalent under the relation generated by $U_1 \sim_U U_2$ if there are decomposition subgroups $D_a \subset U_1^{\text{ab}}$ and $D_b \subset U_2^{\text{ab}}$ such that their pre-images in U^{ab} contain the same collections of decomposition subgroups with the same indices of their images in D_a and D_b ;
- the ‘linear system’ $|\mathcal{L}|$, as the set of maximal collections of elements of \mathcal{L} ‘intersecting at a single point’, i.e., as the subset of the free Abelian semi-group Div_U^+ ;
- a ‘line presented in \mathcal{L} ’ in $|\mathcal{L}|$, as an element of $\mathcal{L} \subset \mathfrak{Gr}_U^{(d)}$, considered as a subset in $|\mathcal{L}|$;
- an arbitrary ‘line’ in $|\mathcal{L}|$, as a subset in $|\mathcal{L}|$ of type $D + l$, where $D \in \text{Div}_U^+$ and l is a line presented in the sheaf $\mathcal{L}(-D)$ without base points;
- an ‘ s -subspace’ in $|\mathcal{L}|$, as the union of all lines passing through a given point in $|\mathcal{L}|$ and intersecting a given ‘ $(s - 1)$ -subspace’ in $|\mathcal{L}|$.

Now we remark that for any sufficiently big d and any sheaf $\mathcal{L} \subset \mathfrak{Gr}_U^{(d)}$ the set C_U of decomposition subgroups in U^{ab} can be *canonically* identified with the subset of $|\mathcal{L}|^\vee$ consisting of those hyperplanes in $|\mathcal{L}|$ that each line on each of them is ‘absent in \mathcal{L} ’. As $|\mathcal{L}|^\vee$ has a canonical structure of a projective space (but not of a projective space over k), this gives us a *canonical* structure of a scheme on C_U . Let κ_U be the function field of C_U .

Clearly, $\lambda(G^\circ) = G^\circ$ and the restriction of λ to G° induces a bijection $\mathfrak{Gr}_U^{(d)} \xrightarrow{\sim} \mathfrak{Gr}_{\lambda(U)}^{(d)}$ for each $d \geq 2$, and for any sheaf $\mathcal{L} \subset \mathfrak{Gr}_U^{(d)}$ it induces a map $|\mathcal{L}| \rightarrow |\lambda(\mathcal{L})|$ which transforms subspaces into subspaces (of the same dimension), i.e., a collineation. As λ induces a collineation $|\mathcal{L}|^\vee \xrightarrow{\sim} |\lambda(\mathcal{L})|^\vee$, the fundamental theorem of projective geometry (see, e.g., [1]) implies that such λ induces an isomorphism $C_U \xrightarrow{\sim} C_{\lambda(U)}$ of schemes over \mathbb{Q} . This isomorphism does not depend on d and \mathcal{L} , since it determines the collineations $|\mathcal{L}'| \xrightarrow{\sim} |\lambda(\mathcal{L}')|$ for all $\mathcal{L}' \subset \mathfrak{Gr}_U^{(d)}$. Denote by σ_U the induced isomorphism $\kappa_{\lambda(U)} \xrightarrow{\sim} \kappa_U$.

For each subgroup U' of finite index in U the natural map $C_{U'} \rightarrow C_U$ is a morphism of schemes, and in particular, κ_U is naturally embedded into $\kappa_{U'}$. The group G° acts on the field $\lim_{U'} \kappa_U$. By Lemma 4.1 (2), the centralizer of G° in $G_{F/\mathbb{Q}}$ is trivial, and therefore, there is a unique isomorphism $\lim_{U'} \kappa_U \xrightarrow{\sim} F$ commuting with the G° -action. Since the diagram

$$\begin{array}{ccc} C_{U'} & \longrightarrow & C_{\lambda(U')} \\ \downarrow & & \downarrow \\ C_U & \longrightarrow & C_{\lambda(U)} \end{array}$$

commutes, the restriction of $\sigma_{U'}$ to κ_U coincides with σ_U , and finally, we get an automorphism σ of F induced by λ . As k is the only maximal algebraically closed subfield in its arbitrary finitely generated extension, σ induces an automorphism of k , and therefore, normalizes G° .

Then the restriction to G° of $\text{ad}(\sigma) \circ \lambda$ acts trivially on all of $\mathfrak{Gr}_{U'}^{(d)}$. As any open compact subgroup is an intersection of elements of $\mathfrak{Gr}_{U'}^{(d)}$ for d big enough and U' small enough, $\text{ad}(\sigma) \circ \lambda$ acts on \mathfrak{F} also trivially. By Lemma 4.1 (1), this implies that $\lambda = \text{ad}(\sigma^{-1})$. □

Remark. If k is countable then the inverse of any continuous automorphism as in the statement of Theorem 4.2 is automatically continuous:

LEMMA 4.3. *If k is countable, and $U \xrightarrow{\lambda} U'$ is a continuous surjective homomorphism of open subgroups in $G_{F/k}$ and $G_{F/k'}$ then the image in U' of an open subset in U is open.*

Proof. Let $U_L \subset U$ be an open compact subgroup. Then U/U_L is a countable set surjecting onto the set $U'/\lambda(U_L)$. By Proposition 2.1, for the subfield $L' = F^{\lambda(U_L)}$ one has $\bar{L}' = F$. If $\lambda(U_L)$ is not open then L' is not finitely generated over k' , and therefore, $U'/\lambda(U_L)$ is not countable. □

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