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# Inertial migration of a sphere in plane Couette flow

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We study the inertial migration of a torque-free neutrally buoyant sphere in wall-bounded plane Couette flow over a wide range of channel Reynolds numbers *Rec* in the limit of small particle Reynolds number ( $Re_p \ll 1$ ) and confinement ratio ( $\lambda \ll 1$ ). Here,  $Re_c = V_{wall}H/\nu$ , where *H* denotes the separation between the channel walls,  $V_{wall}$  denotes the speed of the moving wall, and  $\nu$  is the kinematic viscosity of the Newtonian suspending fluid. Also,  $\lambda = a/H$ , where *a* is the sphere radius, with  $Re_p = \lambda^2 Re_c$ . The channel centreline is found to be the only (stable) equilibrium below a critical  $Re_c$  ( $\approx$  148), consistent with the predictions of earlier small-*Rec* analyses. A supercritical pitchfork bifurcation at the critical *Rec* creates a pair of stable off-centre equilibria, located symmetrically with respect to the centreline, with the original centreline equilibrium becoming unstable simultaneously. The new equilibria migrate wall-ward with increasing *Rec*. In contrast to the inference based on recent computations, the aforementioned bifurcation occurs for arbitrarily small  $Re_p$  provided that  $\lambda$  is sufficiently small. An analogous bifurcation occurs in the two-dimensional scenario, that is, for a circular cylinder suspended freely in plane Couette flow, with the critical *Rec* being approximately 110.

[Key](#page-16-0) [wo](#page-16-0)[rd](#page-16-1)s: Stokesian dynamics, suspensions, microfluidics

## 1. Introduction

Some of the first observations of cross-stream migration of neutrally buoyant spherical particles, in an ambient shearing flow, were the experiments of Segre & Silberberg (1962*a*,*b*) involving pipe flow of a dilute suspension of such particles. For small [values](https://doi.org/10.1017/jfm.2023.975) [of](https://doi.org/10.1017/jfm.2023.975) [the](https://doi.org/10.1017/jfm.2023.975) pipe Reynolds number, the spheres were found to accumulate at an intermediate annulus, about 0.6 times the pipe radius from the centreline, creating a

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'tubular pinch' effect. Cross-stream migration of spheres in a unidirectional shearing flow is prohibited by the time-reversibility of the Stokes equations, and the observed migration is due to inertial lift forces, occurring only for non-zero particle Reynolds numbers. As described in more detail in Anand & Subramanian (2023*b*), a number of theoretical and numerical studies have since attempted to explain these observations, the first one being that of Ho & Leal (1974), albeit for a shearing flow in a two-dimensional channel geometry. Ho & Leal (1974) determined the inertial lift force on a sphere for [both](#page-16-2) the plane Couette and plane Poiseuille velocity profiles, within a point-par[ticle](#page-15-0) [f](#page-15-0)ramework, for  $Re<sub>c</sub> \ll 1$ , where  $Re<sub>c</sub>$  is the channel Re[ynolds](#page-15-1) number. While the lift force in plane Poiseuille flow also equalled zero at a location intermediate between the wall and the centreline, consistent with the observed intermediate equilibrium in the Segre–Silberberg experiments, that in plane Couette flow always pointed towards the channel centreline, render[ing thi](#page-15-1)s location the only stable equilibrium. A subsequent more accurate calculation of the lift force profiles, again for  $Re<sub>c</sub> \ll 1$ , was performed by Vasseur & Cox (1976) using a Green's function formulation developed earlier by Cox & Brenner (1968).

Later, Feng, Hu [& Jos](#page-15-3)eph (1994) carried out finite element com[putatio](#page-15-2)ns for a neutrally buoyant circular cylinder in plane Couette flow for  $\lambda = 0.125$  with  $Re_p = 0.625$  and 1.25. Here,  $\lambda$  is the confinement ratio, defined as the ratio of the cylinder radius to the inter-wall spacing, with  $Re_p = \lambda^2 Re_c$  being the p[article](#page-15-1) Reynolds number; the point-particle limit corresponds to  $\lambda \ll 1$ , and  $Re_c = 40$  and 80 in the effort of Feng *et al.* (1994), with the authors still obtaining the channel centreline as the only stable equilibrium, consistent with the predictions of the aforementioned small-*Rec* analyses. Very recently, the motion of both a neutrally buoyant circular cylinder (Fox, Schneider & Khair 2020) and sphere (Fox, Schneider & Khair 2021), again in plane Couette flow, was studied using lattice-Boltzmann simulations. The parameter ranges corresponding to  $0.1 \leq Re_p \leq 50$  and  $0.1 \leq \lambda \leq 0.2$  $(0.1 \leq Re_p \leq 50$  and  $0.0625 \leq \lambda \leq 0.25$ ) were examined for the sphere (cylinder), with the associated maximum *Rec* significantly exceeding that in Feng *et al.* (1994). The larger-*Rec* simulations led to the authors finding the emergence of stable off-centre equilibria via a pitchfork bifurcation. For a given  $\lambda$ , the sphere migrated to the only (stable) equilibrium at the centreline below a critical *Rep*, while above this critical value, a pitchfork bifurcation led to an unstable equilibrium at the centre, with a pair of symmetrically lo[cated](#page-16-3) stable equilibria on either side of the centreline. While the simulations pointed to a decrease in the critical  $Re_p$  $Re_p$  with decreasing  $\lambda$ , owing to the limited range of parameters examined, it remained unclear as to whether the bifurcation was a signature of a finite  $Re_p$  (as implied, for instance, in the abstra[cts](#page-3-0) of the said articles), or if it correlated to a threshold level of inertia on scales of order the channel width. Herein, we show that the latter is the case. That is, the bifurcation threshold in plane Couette flow, for both a circular cylinder and a sphere, is shown to correspond to a critical *Rec* rather than *Rep*, and this is accomplished via a point-particle formulation si[mila](#page-6-0)r to that used by Schonberg & Hinch (1989) to study inertial migration in plane Poiseuille flow at finite *Rec*.

The paper is organiz[ed as](#page-16-3) follows. Section 2 discusses the governing equations and boundary conditions for a neutrally buoyant sphere suspended freely in plane Couette flow, for arbitrary  $Re_c$ , in the limit  $Re_p \ll 1$  (implying  $\lambda \ll 1$ ). In § 3, the inertial lift velocity is determined semi-analytically for  $Re<sub>c</sub> \ll 1$  using a reciprocal theorem formulation. Inertia acts as a regular perturbation in this limit, the lift velocity being  $O(Re_p)$  and its [calculation](https://doi.org/10.1017/jfm.2023.975) requiring only knowledge of the velocity fields induced by a Stokeslet and a stresslet confined between (infinite) parallel plane boundaries. In  $\S 4$ , the lift velocity is calculated for  $Re_c \gtrsim O(1)$ , with inertia now acting as a singular perturbation. Similar to Schonberg & Hinch (1989), this calculation involves obtaining coupled second-order

<span id="page-2-0"></span>ordinary differential equations (ODEs) for the partially Fourier transformed pressure and normal velocity fields, and then solving the associated boundary value problem numerically using a shooting method. The results for the equilibrium loci, in § 5, reveal [a supercr](#page-3-1)itical pitchfork bifurcation at  $Re<sub>c</sub> \approx 148$ ; the analogous calculation for a circular cylinder shows a bifurcation at  $Re_c \approx 110$ . We conclude in § 6 by discussing the effects of finite particle size on the bifurcation surface in  $s_{eq}$ – $Re_c$ – $\lambda$  space – where  $s_{eq}$  denotes the (transverse) equilibrium position of the sphere within the channel – and commenting briefly on the role of non-neutral buoyancy.

#### 2. Formulation

Figure 1 shows a schematic of a neutrally buoyant sphere suspended in a Newtonian fluid undergoing plane Couette flow between infinite boundaries separated by [a dis](#page-2-1)tance *H*. Using the sphere radius *a* and the velocity scale  $\dot{\gamma}a$ ,  $\dot{\gamma} = V_{wall}/H$  $\dot{\gamma} = V_{wall}/H$  $\dot{\gamma} = V_{wall}/H$  being the ambient shear rate, the equations governing the fluid motion, in non-dimensional form, are given by

$$
\nabla^2 \mathbf{u} - \nabla p = Re_p \, \mathbf{u} \cdot \nabla \mathbf{u},\tag{2.1a}
$$

<span id="page-2-2"></span><span id="page-2-1"></span>
$$
\nabla \cdot \mathbf{u} = 0,\tag{2.1b}
$$

where  $Re_p = a^2 \dot{\gamma}/v$  is the particle Reynolds number, and v is the kinematic viscosity of the suspending fluid. The unsteady acceleration term has been neglected in (2.1*a*), and the necessary justification for this is provided at the end of § 4.

For  $\lambda \ll 1$ , at leading order, the neutrally buoyant sphere translates with the velocity of the plane Couette flow at its centre, while rotating with half the ambient vorticity. In a reference frame centred at the sphere, and translating with this velocity, *u* still satisfies  $(2.1a)$  and  $(2.1b)$  with the following boundary conditions:

$$
\mathbf{u} = -\frac{1}{2}\mathbf{1}_3 \wedge \mathbf{r} \quad \text{for } \mathbf{r} \in S_p,\tag{2.2a}
$$

$$
u \to r_2 1_1 \quad \text{for } r_1, r_3 \to \infty \ (r_2 \text{ fixed}), \tag{2.2b}
$$

$$
\mathbf{u} = -\lambda^{-1} s \mathbf{1}_1 \quad \text{at } r_2 = -s\lambda^{-1} \text{ (lower wall)},\tag{2.2c}
$$

$$
u = -\lambda^{-1}(1 - s)\mathbf{1}_1 \quad \text{at } r_2 = (1 - s)\lambda^{-1} \text{ (upper wall)}.
$$
 (2.2*d*)

Here,  $S_p$  denotes the surf[ace of th](#page-2-2)e sphere, and  $s = d/H$  denotes its (non-dimensional) transverse location within the channel; see figure 1. In terms of the corresponding disturbance fields,  $u' = u - u^{\infty}$ ,  $p' = p - p^{\infty}$   $(u^{\infty} = r_2 1_1, p^{\infty}$  being an arbitrary constant), the governing equations may be written as

$$
\nabla^2 \mathbf{u}' - \nabla p' = Re_p \left( \mathbf{u}' \cdot \nabla \mathbf{u}' + \mathbf{u}' \cdot \nabla \mathbf{u}^\infty + \mathbf{u}^\infty \cdot \nabla \mathbf{u}' \right),\tag{2.3a}
$$

<span id="page-2-3"></span>
$$
\nabla \cdot \mathbf{u}' = 0,\tag{2.3b}
$$

with boundary conditions (2.2*a*–*d*) taking the form

$$
u' = -\frac{1}{2}1_3 \wedge r - r_2 1_1 \quad \text{for } r \in S_p,\tag{2.4a}
$$

$$
u' \to 0 \quad \text{for } r_1, r_3 \to \infty \ (r_2 \text{ fixed}), \tag{2.4b}
$$

$$
u' = 0 \quad \text{at } r_2 = -s\lambda^{-1}, \, (1-s)\lambda^{-1}.\tag{2.4c}
$$

It is well known that one requires a matched asymptotics expansions approach to solve the Navier–Stokes equations for small but finite *Rep* (Proudman & Pearson 1957).

<span id="page-3-1"></span>

Figure 1. Schematic of a neutrally buoyant sphere suspended in wall-bounded plane Couette flow.

<span id="page-3-0"></span>The matching of an inner expansion, valid in the neighbourhood of the particle, and an outer expansion, valid at distances of order the so-called inertial screening length, is in general nec[es](#page-6-0)sary to calculate inertial corrections. For an ambient linear shearing flow, the screening length is  $a Re_p^{-1/2}$  (Saffman 1965), or alternatively  $H Re_c^{-1/2}$ . The latter expression shows that the walls lie in the inner Stokesian region for  $Re<sub>c</sub> \ll 1$ , with fluid inertia being a regular perturbation (see § 3). When  $Re_c \gtrsim O(1)$ , the inertial screening length is of order the channel width or smaller, and the walls lie in the so-called Oseen region. Fluid inertial effects now constitute a singular perturbation, and one must solve the linearized Navier–Stokes e[quatio](#page-15-4)ns, with the solution b[eing](#page-16-2) [a](#page-16-2) function of *Rec* at leading order (see § 4[\).](#page-15-5)

#### 3. The inertial lift velocity for  $Re<sub>c</sub> \ll 1$

In this section, we revisit the inertial lift calculation for  $Re<sub>c</sub> \ll 1$ , a problem first ad[dress](#page-3-2)ed by Ho & Leal (1974) and Vasseur & [Cox](#page-2-0) (1976). A generalized reciprocal theorem formulation yields the following expression for the inertial lift velocity (Anand & Subramanian 2023*b*):

$$
V_p = -Re_p \int u^{(2)} \cdot (u^{(1)'} \cdot \nabla u^{(1)'} + u^{(1)'} \cdot \nabla u^{\infty} + u^{\infty} \cdot \nabla u^{(1)'} ) dV.
$$
 (3.1)

In (3.1), problem (1) denotes the one described in § 2, namely a neutrally buoyant sphere suspended in wall-bounded plane Couette flow for small but finite *Rep*, and accordingly, the disturbance fields  $(u', p')$  defined in § 2 are denoted as  $(u^{(1)'}, p^{(1)'})$  only in this section. Problem (2) describes a simpler test problem, with  $(u^{(2)}, p^{(2)})$  corresponding to the Stokesian translation of a torque-free sphere between plane parallel walls, under the action of a unit force in the wall-normal direction. The test problem is governed by

<span id="page-3-2"></span>
$$
\nabla^2 \mathbf{u}^{(2)} - \nabla p^{(2)} = 0,\tag{3.2a}
$$

$$
\nabla \cdot \mathbf{u}^{(2)} = 0,\tag{3.2b}
$$

where  $u^{(2)}$  satisfies

$$
u^{(2)} = U_p^{(2)} \quad \text{for } r \in S_p,\tag{3.3a}
$$

$$
u^{(2)} \to 0 \quad \text{for } r_1, r_3 \to \infty \ (r_2 \text{ fixed}), \tag{3.3b}
$$

$$
u^{(2)} = 0 \quad \text{for } r_2 = -s\lambda^{-1}, (1-s)\lambda^{-1}.
$$
 (3.3c)

To  $O(Re_p)$ ,  $u^{(1)'}$  in (3.1) may be replaced by its Stokesian approximation  $u_s^{(1)}$ , the resulting volume integral being convergent. To infer the dominant scales contributing to this integral, it suffices to use estimates of the disturbance fields pertaining to the interval  $1 \ll r \ll \lambda^{-1}$ . Thus, using  $u^{\infty} \sim r$ ,  $u^{(2)} \sim 1/r$ ,  $u^{(1)'} \approx u_s^{(1)} \sim 1/r^2$  yields  $u^{(2)} \cdot (u_s^{(1)} \cdot \nabla u^{\infty} + u^{\infty} \cdot \nabla u_s^{(1)}) \sim 1/r^3$  and  $u^{(2)} \cdot (u_s^{(1)} \cdot \nabla u_s^{(1)}) \sim 1/r^6$ , respectively, for the linear and nonlinear components of the integrand. Since  $dV \sim r^2 dr$ , the dominant contributions to the integral, due to the nonlinear inertial terms, arise from length scales of  $O(a)$ . In contrast, the linearized inertial terms appear to lead to a conditionally convergent integral, implying that the dominant contribution must arise from scales intermediate between *a* and *H* (or 1 and  $\lambda^{-1}$ , respectively, in non-dimensional terms), with logarithmically smaller contributions from  $r \sim O(1)$  and  $O(\lambda^{-1})$ . Now, on account of the inversion symmetry o[f the pl](#page-15-5)ane Couette profile, the inertial lift owes its origin entirely to the asymmetry of the sphere location with respect to the walls – in the absence of a symm[etry](#page-3-2)-breaking bifurcation/instability, a neutrally buoyant sphere in unbounded simple shear flow experiences zero lift regardless of *Rep*. Hence the contributions from  $r \ll O(\lambda^{-1})$ , which must involve unbounded-domain expressions for the disturbance fields at leading order, are identically zero, and the dominant contribution due to the linearized inertial terms also arises from scales of *O*(*H*). Further, the contribution of the linearized inertial terms may be shown to be larger, by a factor of  $O(\lambda^{-1})$ , than that of the nonlinear terms (Anand & Subramanian 2023*b*). This implies that for the purposes of calculating the integral in  $(3.1)$ , one may replace the neutrally buoyant sphere in problem  $(1)$  by a stresslet, and the one in the test problem by a Stokeslet oriented perpendicular to the walls, with the  $O(Re<sub>p</sub>)$  lift velocity given by the following simplified integral:

$$
V_p = -Re_p \int_V u_{St} \cdot (u_{str} \cdot \nabla u^{\infty} + u^{\infty} \cdot \nabla u_{str}) \, dV, \qquad (3.4)
$$

where

<span id="page-4-1"></span><span id="page-4-0"></span>
$$
u_{St} = J \cdot 1_2, \tag{3.5}
$$

$$
u_{str} = -\frac{20\pi E^{\infty}}{3} : \frac{\partial J}{\partial y}.
$$
 (3.6)

Here,  $y = y_1 1_1 + s\lambda^{-1} 1_2 + y_3 1_3$  is the position of the Stokeslet relative to the lower wall, and  $u_{St}$  and  $u_{str}$  are the bounded-domain Stokeslet and stresslet velocity fields, respectively, with the expression for the second-order tensor *J* given in Appendix A;  $E^{\infty} = \frac{1}{2}(1_1 1_2 + 1_2 1_1)$  is the rate of strain tensor of the ambient Couette flow. Note that [\(3.4\)](https://doi.org/10.1017/jfm.2023.975) [correspon](https://doi.org/10.1017/jfm.2023.975)ds to a dimensional lift velocity of  $O(Re_p V_{wall} \lambda)$ .

A more general version of (3.4), pertaining to plane Poiseuille flow, has been evaluated in Anand & Subramanian (2023*b*), using the convolution theorem to transform the integrations along the flow and vorticity directions to ones in Fourier space. The expression



Figure 2. (a) Lift velocity profil[es](#page-15-5) [for](#page-15-5) [a](#page-15-5) [s](#page-15-5)phere in plane Couette flow, for  $Re_c \ll 1$ , obtained using (3.7) and the indicated values of *Kmax*. The wall asymptotes calculated using (3.8) are denoted by dotted horizontal lines. (*b*) Lift velocity profile for a sphere in plane Couette flow for  $Re<sub>c</sub> \ll 1$  and  $K<sub>max</sub> = 10000$  compared against the data obtained from table 4 in Ho & Leal (1974) and from digitizing figure 3 in Vasseur & Cox (1976); the pair of wall asymptotes appears as horizontal dashed lines.

specific to plane Couette flow may be obtai[ned](#page-14-0) [by](#page-14-0) [using](#page-14-0)  $\kappa = 1$ ,  $\beta = 1$  and  $\gamma'' = 0$  in (87) of Anand & Subramanian (2023*b*), and is given by

$$
\frac{V_p}{Re_p} = -\frac{10\pi}{3} \int_0^\infty \mathrm{d}k''_\perp \frac{k''_\perp \exp(-k''_\perp (27s+16)) I(k''_\perp, s)}{48\pi (\exp(2k''_\perp) - 1)[-2\exp(2k''_\perp) (2k''_\perp^2 + 1) + \exp(4k''_\perp) + 1]^2},\tag{3.7}
$$

where the expression for  $I(k_{\perp}^{\prime\prime}, s)$  is given in Appendix B. The integral in (3.7) is evaluated readily using Gauss–Legendre quadrature with a suitably large cutoff *Kmax* for the upper limit. The choice of  $K_{max}$  is crucial close to the walls – as shown in figure 2(*a*), for any finite  $K_{max}$ ,  $V_p$  decreases [to](#page-15-6) [ze](#page-15-6)ro in the neighbourhood of the walls, the neighbourhood shrinking with increasing  $K_{max}$ . For  $K_{max} = 10000$ , a numerically converged profile is obtained for  $0.001 \leq s \leq 0.999$ .

In the neighbourhood of the walls  $(s = 0, 1)$ , the primary contribution to the integral in (3.7) comes from  $k_{\perp}$  of  $O(s^{-1})$  or  $O((1 - s)^{-1})$ , so the dominant length scales are of order the small separation between the sphere and the wall. This also implies that the limiting wall value obtained below may be derived as the far-field limit of the single-wall problem (Cherukat & Mclaughlin 1994). Considering the wall at  $s = 0$ , for instance, and using a rescaled wavenumber  $k_w = k''_{\perp} s$ , gives

<span id="page-5-0"></span>
$$
V_p^{wall} = \lim_{s \to 0} V_p = \frac{5 \, Re_p}{72} \int_0^\infty dk_w \exp(-2k_w) \, k_w (3k_w^2 - 2k_w + 3), \tag{3.8}
$$

which may be evaluate[d ana](#page-15-4)lytically and [gives](#page-15-4)  $55 Re_p/576$ ; the  $O(s)$  [corre](#page-16-2)ction to this asymptote involves scales of  $O(H)$ . It is important to note here t[hat the](#page-16-2) actual lift velocity must go to zero at the wall on account of the diverging lubrication resistance. Thus the finite wall value obtained above must be interpreted as corresponding to the intermediate asymptotic interval  $\lambda \ll s \ll 1$  (for small  $Re_c$ ); this aspect is implicit in the connection to the single-wall problem mentioned above (see Anand & Subramanian 2023*b*).

[Figure](https://doi.org/10.1017/jfm.2023.975) [2\(](https://doi.org/10.1017/jfm.2023.975)*b*) compares the lift velocity profile obtained from (3.7), with  $K_{max} = 10000$ , against profiles extracted from Ho & Leal (1974) and Vasseur & Cox (1976). Agreement with the Ho & Leal (1974) profile is poor in general, especially near the walls. In contrast, a near-exact match is obtained with the Vasseur  $\&$  Cox (1976) profile throughout

<span id="page-6-0"></span>the channel. The wall asymptote above is also shown, and is consistent with the limiting values of our profile and the one in Vasseur & Cox  $(1976)$ ; note that the aforementioned asymptote was also mentioned in Vasseur & Cox (1976), albeit without an explanation. Finally, as is evident from the profiles shown, the only equilibrium for small  $Re<sub>c</sub>$  is the stable one at the channel centreline.

## 4. The inertial lift velocity for  $Re_c \gtrsim O(1)$

For a general shearing flow, the primary contribution to the inertial lift for  $Re_c \gtrsim O(1)$ comes from scales of  $O(H Re<sub>c</sub><sup>-1/2</sup>)$ . For plane Couette flow, however, as already pointed out, the lift ar[ises](#page-2-3) [so](#page-2-3)lely due to the asymmetric interaction of the sphere with the boundaries, and the relevant scales are  $O(H)$  regardless of  $Re_c$ . Now, for  $Re_p \ll 1$ , either *H* or *H*  $Re_c^{-(1/2)}$  is much larger than  $O(a)$ , and determining the lift for  $Re_c \gtrsim O(1)$ , at leading order, requires solving the linearized Navier–Stokes equations, with the neutrally buoyant sphere treated as the same point-singularity (a stresslet) as in  $\S 3$ . It is appropriate to use *H* as the relevant length scale by defining  $r = \lambda^{-1}R$ , with the rescalings  $u' = \lambda^2 U$  and  $p' = \lambda^3 P$  for the velocity and pressure fields based on the Stokesian rates of decay for a stresslet. From  $(2.3a,b)$ , *U* and *P* are seen to satisfy the equations

$$
\nabla^2 \mathbf{U} - \nabla P = Re_c U_2 \mathbf{1}_1 + Re_c R_2 \frac{\partial \mathbf{U}}{\partial R_1} - \frac{20\pi}{3} E^\infty \cdot \nabla \delta(\mathbf{R}),\tag{4.1a}
$$

<span id="page-6-2"></span>
$$
\nabla \cdot \mathbf{U} = 0,\tag{4.1b}
$$

with

$$
U \sim -\frac{5}{2} \frac{R(E^{\infty} : RR)}{R^5} \quad \text{for } R \to 0,
$$
 (4.2*a*)

$$
U = 0 \quad \text{at } R_2 = -s, \ 1 - s. \tag{4.2b}
$$

The neutrally buoyant sphere appears as a stresslet forcing, this being the final term on the right-hand side of  $(4.1a)$ , with  $E^{\infty}$  being the rate of strain tensor of the ambient flow as before. Relation (4.2*a*) is the requirement of matching with the Stokesian field in the inner region, and defined earlier in  $(3.6)$ . Following Schonberg & Hinch (1989), we define a partial Fourier transform

$$
\hat{f}(k_1, R_2, k_3) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(R) \exp(\iota(k_1 R_1 + k_3 R_3)) \, dR_1 \, dR_3,\tag{4.3}
$$

which yields the following coupled ODEs for the transformed pressure and normal velocity fields:

<span id="page-6-1"></span>
$$
\frac{\mathrm{d}^2 \hat{P}}{\mathrm{d} R_2^2} - k_\perp^2 \hat{P} = 2\iota k_1 \, Re_c \, \hat{U}_2,\tag{4.4a}
$$

$$
\frac{d^2 \hat{U}_2}{dR_2^2} - k_\perp^2 \hat{U}_2 = \frac{d\hat{P}}{dR_2} - ik_1 Re_c R_2 \hat{U}_2,
$$
\n(4.4b)

where  $k_{\perp}^2 = k_1^2 + k_3^2$  $k_{\perp}^2 = k_1^2 + k_3^2$  $k_{\perp}^2 = k_1^2 + k_3^2$ , with the matching condition

$$
\hat{U}_2 \sim -\frac{5\pi i k_1 |R_2| \exp(-k_\perp |R_2|)}{3} \quad \text{for } k_1, k_3 \to \infty \text{ and } R_2 \to 0,
$$
 (4.5)

and the wall boundary conditions

<span id="page-7-2"></span><span id="page-7-1"></span>
$$
\hat{U}_2 = \frac{\mathrm{d}\hat{U}_2}{\mathrm{d}R_2} = 0 \quad \text{at } R_2 = -s, 1 - s. \tag{4.6}
$$

The boundary conditions above must be supplemented by the following jump conditions, which relate the limiting values of the transformed fields above and below the location  $(R_2 = 0)$  of the stresslet forcing (Anand & Subramanian 2023*b*):

$$
\hat{P}^+(k_1, 0, k_3) - \hat{P}^-(k_1, 0, k_3) = -\frac{20\pi k_1}{3},\tag{4.7a}
$$

$$
\frac{\mathrm{d}\hat{P}^+}{\mathrm{d}R_2}(k_1, 0, k_3) = \frac{\mathrm{d}\hat{P}^-}{\mathrm{d}R_2}(k_1, 0, k_3),\tag{4.7b}
$$

<span id="page-7-0"></span>
$$
\hat{U}_2^+(k_1, 0, k_3) = \hat{U}_2^-(k_1, 0, k_3),\tag{4.7c}
$$

$$
\frac{d\hat{U}_2^+}{dR_2}(k_1, 0, k_3) - \frac{d\hat{U}_2^-}{dR_2}(k_1, 0, k_3) = -\frac{10\pi i k_1}{3}.
$$
\n(4.7*d*)

Here, the superscripts '−' and '+' pertain to the intervals  $-s \leq R_2 < 0$  and  $0 < R_2 \leq$  $(1 - s)$ , respectively. In the matching region, *U* must reduce to the sum of a singular stresslet contribution at leading order and a uniform flow in the transverse direction that arises due to inertia. Since the sphere is force-free, it must be conv[ecte](#page-6-1)d by the latter uni[form](#page-7-0) flow. The lift velocity may be determined via an inverse Fouri[er tra](#page-7-1)nsform after [removin](#page-6-2)g (for numerical convenience) the norm[al co](#page-7-2)mponent of the stresslet [contribu](#page-7-1)tion:

$$
V_p = \frac{Re_p}{4\pi^2 Re_c} \text{Re} \left\{ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \hat{U}_2^{\pm}(k_1, 0, k_3; Re_c) \, dk_1 \, dk_3 \right\},\tag{4.8}
$$

where  $\text{Re}\{\cdot\}$  denotes the real part of a complex-valued function, and [autom](#page-7-0)atically achieves the removal of the purely imaginary stresslet contribution (see (4.5)). As indicated in (4.8), one may use either  $\hat{U}_2^-$  or  $\hat{U}_2^+$  on account of continuity; see (4.7*c*). The ODEs  $(4.4a,b)$  along with the boundary conditions  $(4.6)$  and jump conditions  $(4.7a-d)$  are solved using the shooting method described in Appendix A of Schmid, Henningson & Jankowski (2002). After computing  $\hat{U}_2$ , the inverse Fourier transform [\(4.8\)](#page-4-0) is evaluated using Gauss–Legendre quadrature in a truncated domain that is a circle of a large but finite radius  $K_m$ . Note that the d[imens](#page-2-1)ional lift velocity corresponding to  $(4.8)$  may be written formally in the form  $Re_p V_{wall} \lambda F(Re_c)$ , where the function  $F(Re_c)$  includes the  $Re<sub>c</sub>$  dependence of the Fourier integral; a plot of  $V<sub>p</sub>$  against  $Re<sub>c</sub>$  (not shown here), for a fixed *s*, shows that  $F(Re_c)$  is bounded by  $Re_c^{-\delta(s)}$ , with  $\delta(s) \sim 1.7{\text -}1.8$  for the range of  $Re_c$ examined.

The lift velocity scaling for  $Re_c \gtrsim O(1)$  above, and the one given in § 3.4 for  $Re_c \ll 1$ , may now be used to justify the quasi-steady approximation used in the formulation. Neglect of the unsteady term in (2.1*a*) requires that the time for momentum to diffuse, over scales contributing dominantly to the lift, be much smaller than the  $O(H/V_p^*)$ time scale for configurational change,  $V_p^*$  here being the dimensional lift velocity. The [dominant sc](https://doi.org/10.1017/jfm.2023.975)ales are  $O(H)$  regardless of  $Re<sub>c</sub>$ , and the momentum diffusion time scale is therefore  $O(H^2/\nu)$ . Using  $V_p^* \sim O(Re_p V_{wall} \lambda)$  for  $Re_c \ll 1$ , the configurational time scale is  $O(\lambda^{-1} Re_p^{-1} H/V_{wall})$ , and the ratio of the aforementioned time scales is  $\lambda Re_p Re_c$ , which is the order of magnitude of the unsteady acceleration (∂*u* /∂*t*) in relation to

the viscous terms. The other terms in the inertial acceleration are larger, being only *O*(*Re<sub>c</sub>*) smaller than the viscous terms. For large *Re<sub>c</sub>*,  $V_p^* \sim O(Re_p Re_c^{-\delta(s)} V_{wall} \lambda)$ , and the resulting ratio of the unsteady acceleration to the viscous terms is  $O(\lambda Re_p Re_c^{1-\delta(s)})$ , which must again be small compared to unity for the quasi-steady assumption to hold. Note that the unsteady acceleration continues to be small in relation to the other inertial terms, and will also remain small compared to the viscous terms when  $\lambda Re_p Re_c^{1-\delta(s)} \ll 1$ , or when  $\lambda \ll O(Re_c^{-(2-\delta(s))/3})$ . For the  $\delta$  values quoted above, the minimum value of  $Re_c^{-(2-\delta(s))/3}$  is 0.49 (for  $Re_c = 1200$ , just prior to transition), and the re[qu](#page-3-0)irement above is satisfied readily in the small- $\lambda$  limit. This implies that the unsteady acceleration remains subdominant over the entire range of *Rec* examined.

#### 5. [Resu](#page-5-0)lts

We first validate our calculation by comparing the lift velocity profiles, for small *Rec*, compu[ted](#page-9-0) us[in](#page-9-0)g the shooting method, against the one calculated in [§](#page-9-0) 3, for  $Re<sub>c</sub> \rightarrow 0$ , using a reciprocal theorem formulation; note that all profiles from here onwards are plotted over only half the channel domain on account of their antisymmetry about the centreline. As is evident from figure 3, the small- $Re<sub>c</sub>$  limiting form given by (3.7) remains an excellent approximation up until  $Re_c \approx 5$ . Further, the wall value obtained in (3.8), again within a small- $Re_c$  framework, remains valid for  $Re_c \gtrsim O(1)$ , implying that the dominant contribution to the ne[ar-wall lif](#page-9-1)t comes from scales of order the small sphere–wall separation regardless of *Rec*. Interestingly, figure 3 shows that the inertial lift profile changes qualitatively with increasing  $Re<sub>c</sub>$ . The profiles for  $Re<sub>c</sub> < 40$ are concave-downward, while those for  $Re<sub>c</sub> = 40$  and 50 exhibit a concave-upward form. This change in curvature is accompanied by a flattening of the profile near the [cent](#page-15-2)[reline](#page-15-3), leading to a progressive decrease in the stability of the centreline equilibrium.

To explore the stability of the centreline equili[brium](#page-9-1) [in](#page-9-1) more detail, we plot lift velocity profiles for higher  $Re<sub>c</sub>$  values in figures  $4(a,b)$ . As  $Re<sub>c</sub>$  increases further, the aforementioned flattening becomes more pronounced, culminating in the appearance of a stable off-centre equilibrium ( $s_{eq}$ ) at  $Re_c \approx 148$ , with the original centreline equilibrium simultaneously becoming unstable (a second equilibrium in the other half of th[e](#page-10-0) [channel](#page-10-0) is implied by symmetry). This validates the original discovery of Fox *et al.* (2020, 2021) within the framework of a small-*Re<sub>p</sub>* point-particle formulation. The emergence of the new equilibrium is seen more clearly on the log-log plot in figure 4(*b*), where the zero crossings corresponding to equilibria appear as dips to negative infinity (marked by vertical dashed lines). The inset in this figure, with  $0.5 - s$  as the abscissa, shows the wall-ward (lower wall) migration of the off-centre equilibrium with  $Re<sub>c</sub>$  increasing beyond 148.

The lift-force equilibria identified above are plotted as a function of *Rec* in figure 5, the resulting locus conforming to a supercritical pitchfork bifurcation; the red dots and black triangles correspond to stable and unstable equilibria, respectively. Thus the central branch of the pitchfork corresponds to the centreline equilibrium that is stable for  $Re<sub>c</sub>$ 148, but unstable for larger *Rec*. The two peripheral branches, consisting entirely of red dots, mark the emergence and subsequent wall-ward migration of the stable off-centre [equilibria with](https://doi.org/10.1017/jfm.2023.975) increasing *Rec*. The upper inset validates the square-root scaling expected in the neighbourhood of the bifurcation threshold defined by  $(Re_c - Re_c^{critical})/Re_c^{critical} \ll$ 1 ( $Re<sub>c</sub>critical \approx 148$  as mentioned above). The lower inset shows the analogous bifurcation for a circular cylinder, with  $Re_c \approx 110$  being the bifurcation threshold; the lower value



<span id="page-9-0"></span>

<span id="page-9-1"></span>Figure 3. Lift velocity profiles for a neutrally buoyant sphere in plane Couette flow, for  $Re_c \gtrsim O(1)$ , compared to the limiting profile for  $Re<sub>c</sub> \ll 1$  (defined by (3.7) in § 3).



Figure 4. Lift velocity profiles for a sphere in plane Couette flow for  $Re_c \ge 50$  on (*a*) linear and (*b*) logarithmic scales. The linear profiles highlig[ht the decre](#page-10-0)ase in the stability of the centreline equilibrium with in[creasin](#page-15-3)g  $Re_c$ ; the equilibrium turns unstable for  $Re_c \gtrsim 148.5$ . The log-log profiles highlight the emergence of an off-centre equilibrium ( $s_{eq}$ ), for  $Re_c \ge 148.5$ , which then shifts towards the lower wall with increasing  $Re_c$ , as is evident from the sequence  $s_{eq,1} = 0.485$ ,  $s_{eq,2} = 0.375$ ,  $s_{eq,3} = 0.234$  and  $s_{eq,4} = 0.152$ .

of the threshold is consistent with the larger disturbance field, and the resulting stronger interaction with the walls, in two dimensions.

While the bifurcation in figure 5 is similar to that in figure 6 of Fox *et al.* (2021), there is a key distinction that needs emphasis – the emergence of the bifurcation within a point-particle formulation, valid for small but finite *Rep*, shows clearly that it corresponds [to a critical](https://doi.org/10.1017/jfm.2023.975)  $Re_c$ , not  $Re_p$ . Since  $Re_p = \lambda^2 Re_c$ , the bifurcation can occur for arbitrarily small *Re<sub>p</sub>*, provided that the confinement ratio is sufficiently small. The existence of the bifurcation in a point-particle formulation also implies that it likely owes its origin to a qualitative change in the disturbance velocity field on length scales larger than  $a Re_p^{-(1/2)}$  –

*Inertial migration of a sphere in plane Couette flow*

<span id="page-10-0"></span>

Figure 5. Equilibrium loci for a neutrally buoyant sphere and cylinder (lower inset) in plane Couette flow conforming to a supercritical pitchfork bifurcation; the vertical dashed lines denote  $Re_c^{critical}$  for the two cases. The upper inset demonstrates the square-root scaling in the vicinity of the bifurcation threshold for the sphere; here,  $\epsilon = ((Re_c - Re_c^{critical})/Re_c^{critical})^{1/2}$ .

a change that likely results in a sphe[re](#page-15-4) [at](#page-15-4) the centreline experiencing a net attractive interaction with its wall-induced images beyond  $Re<sub>c</sub> \approx 148$ .

#### 6. Conclusion

In this paper, we have calculated the lift velocity of a freely rotating neutrally buoyant sphere suspended in wall-bounded plane Couette flow, in the limit  $Re_p \ll 1$ , with  $Re_c$ being arbitrary. Following Ho & Leal (1974), a generalized reciprocal theorem was used to calculate the lift velocity in the limit  $Re<sub>c</sub> \ll 1$ , with the resulting profile exhibiting good agreement with the calculation of Vasseur & Cox (1976). For  $Re_c \gtrsim O(1)$ , the lift was obtained using a shooting method to solve the boundary value problem for the partial Fourier transform of the normal v[elocity](#page-16-3) and pres[sure](#page-15-7) [fi](#page-15-7)elds obtained from the [lineariz](#page-15-5)ed Navier–Stokes equations. The numerical results reveal the channel centreline to be the only (stable) equilibrium for  $Re_c \lesssim 148$ , with a superc[ritical](#page-16-3) pitchfork bifurcation creating a pair of stable equilibria, on either side of the centreline, for larger *Rec*; the analogous bifurcation for a circular cylinder occurs for  $Re_c \approx 110$ . The  $Re_p$  thresholds for these two cases are  $Re_p^{critical} \approx 148\lambda^2$  and  $110\lambda^2$ , implying that the threshold  $Re_p$ can be arbitrarily small for sufficiently small  $\lambda$ . The analogous lift calculation for plane Poiseuille flow (Schonberg & Hinch 1989; Asmolov 1999; Anand & Subramanian 2023*b*), within a point-particle formulation, reveals no change in the number of equilibria [with](https://doi.org/10.1017/jfm.2023.975) [increasing](https://doi.org/10.1017/jfm.2023.975)  $Re<sub>c</sub>$ ; as first shown by Schonberg & Hinch (1989), the original pair of equilibria corresponding to the Segre–Silberberg pinch move towards the walls. It is thus interesting to note that the addition of ambient profile curvature actually simplifies the inertial migration problem! Further, our calculation, together with the emergence of an



<span id="page-11-0"></span>

Figure 6. A tentative sketch of the bifurcation [surface in](#page-10-0)  $s_{eq}$ – $Re_c$ – $\lambda$  space.

inner equilibrium in plane (Anand & Subramanian 2023*a*) and pipe (Matas, Morris & Guazzelli 2004; Nakayama *et al.* 2019) Poiseuille flow, due to finite-size effects, points to a potentially rich equilibrium landscape for a sphere in Couette–Poiseuille flow.

The point-particle formulation here predicts only the bifurcation curve in the limit  $\lambda \rightarrow 0$ . Thus the locus of equilibrium positions in figure 5 must be interpreted as the projection, onto the plane  $\lambda = 0$ , of a bifurcation surface in  $s_{eq}$ - $Re_c$ - $\lambda$  space. Some idea of the nature of this surface may be obtained from the results of Fox *et al.* (2020, 2021). In the latter article,  $Re_c^{critical}$  $Re_c^{critical}$  $Re_c^{critical}$  is found to increase from 30 to 44, and then to 70, as  $\lambda$  increases from 0.1 to 0.15 to 0.2. Note that  $Re_c^{critical}$  for the smallest  $\lambda (= 0.1)$  is far smaller than the threshold value ( $\approx$ 148) found here, suggesting an extremely steep decrease in the threshold as  $\lambda$  increases to finite values. This pronounced [sensitivit](#page-11-0)y to  $\lambda$  is very likely spurious, and a result of the coarse resolution along the *Rec*-axis, in the said simulations. This is also inferred readily from the shapes of the pitch[forks fou](#page-10-0)nd in the simulations, which do not conform to the expected square-root scaling. The less expensive simulations for a cylinder (Fox *et al.* 2020), with a better *Rec* resolution (although not enough to recover the square-root scaling), do suggest an initial modest decrease, followed by a subsequent increase, in  $Re<sub>c</sub><sup>critical</sup>$  with increasing  $\lambda$ ; the results for a cylinder also suggest a narrowing of the pitchfork with increasing  $\lambda$ . The sketch in figure 6 is a tentative depiction of the bifurcation surface based on the evidence above, and it is hoped that more comprehensive computations will delineate this surface in more detail.

Extending the locus of [equilib](#page-15-9)rium positions in figure 5 [to](#page-16-5) [hi](#page-16-5)gher *Rec* will be limited [by](#page-16-6) [tw](#page-16-6)o factors. The first is finite-size effects, w[hich](#page-15-10) [w](#page-15-10)ill need to be [taken](#page-16-7) into account once the off-centre equilibria move sufficiently close to the wall, where one expects the resistance in the thin lubricating layer between the particle and the wall to retard and eventually arrest the wall-ward migration. The second factor is the transition to turbulence, which takes precedence over finite-size effects for sufficiently small  $\lambda$ . This transition is subcritical, being triggered by finite-amplitude perturbations, and known [to](https://doi.org/10.1017/jfm.2023.975) [occur](https://doi.org/10.1017/jfm.2023.975) [for](https://doi.org/10.1017/jfm.2023.975)  $Re_c \approx 1300-1500$  (Lundbladh & Johansson 1991; Tillmark & Alfredsson 1992; Dauchot & Daviaud 1995; Bottin & Chaté 1998; Lemoult *et al.* 2016). Not too far above the transition threshold, one expects the off-centre inertial equilibria to be smeared out into bands of a width determined by the amplitude of turbulent fluctuations. For a

suspension of spherical particles in the turbulent regime, one expects a local peak in the volume fraction profiles sufficiently near the walls, on account of the underlying inertial [equil](#page-15-11)ibria, a feature that see[ms to](#page-16-8) [have](#page-16-9) been observed in simulations (W[ang, A](#page-16-10)bbas & Climent 2017), although one cannot rule out excluded volume effects as also playing a role. Weak turbulent fluctuations may also play a role in 'equipartitioning' spherical particles among the pair of off-centre equilibria, starting from an arbitrary initial distribution along the transverse channel coordinate; stochastic position fluctuations arising from inter-particle [hydrod](#page-15-5)ynamic interactions may play an analogous role, in the dilute limit, in the laminar regime. The analogous role of stochastic orientation fluctuations, in the context of suspension rheology, has been examined recently (Dabade, Marath & Subramanian 2016; Marath & Subramanian 2017, 2018; Marath, Dwivedi & Subramanian 2017).

One may also comment on the implications for anisotropic particles, specifically spheroids. It has been shown recently that the Jeffery-orbit-averaged lift velocity profile for neutrally buoyant spheroids differs from that for spheres by only a proportionality factor that is a function of the Jeffery orbit constant *C* and the spheroid aspect ratio (Anand & Subramanian 2023*b*), therefore the equilibrium positions for spheroids in plane Poiseuille flow remain identical to those for a sphere. Since the Jeffery-orbit-averaged approximation is an accurate one for spheroids with aspect ratios of order unity, the Jeffery-averaged equilibrium locus, for a neutrally buoyant spheroid in plane Couette [flow,](#page-15-12) should ex[hibit](#page-15-7) [an](#page-15-7) identical bifurcation, with emergence of stable off-centreline equilibria above  $Re_c \approx 148$ . Note, however, that the rate of approach of a spheroid, from an arbitrary initial position to either of the off-centre equilibria, will depend on the aspect ratio, in general decreasing with increasing aspect ratio.

Finally, it is worth mentioning briefly the role of non-neutral buoyancy. There have been earlier calculations examining inertial migration of non-neutrally buoyant spheres, in plane Poiseuille flow, using a point-particle [formu](#page-16-11)lation (Hogg 1994; [Asmo](#page-16-12)lov 1999). A sphere in this framework is accounted for by a combination of Stokeslet and stresslet forcings, although only the former was included in the aforesaid efforts. The alignment of gravity relative to the shear flow now enters as an additional parameter, and the cases of flow- and vorticity-aligned gravity are of the most interest among the canonical ones; gradient-aligned gravity leads to an obvious departure from symmetry about the centreline. While [the s](#page-15-3)ymmetric pitchfork bifurcation will be preserved in the vorticity-aligned case, the lift force arising for the flow-aligned case, which has been analysed in the limit of an unbounded simple shear flow by Saffman (1965) and McLaughlin (1991), will lead to a broken pitchfork bifurcation. Our preliminary calculations show that the resulting imperfect bifurcation consists of a continuous branch and an additional pair of equilibria that emerge beyond a threshold *Rec*, via a saddle-node bifurcation, with this threshold being an increasing function of the departure from neutral buoyancy. These results, and their connection to the broken bifurcation scenario identified in the simulations of Fox *et al.* (2021), will be reported separately.

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## <span id="page-13-0"></span>Appendix A

The velocity field due to the Stokeslet confined between plane parallel walls, as in the test problem, may be written as

$$
u_{St} = J \cdot 1_2, \tag{A1}
$$

where  $J = J^{\infty} + J^w$ , with  $J^{\infty}$  being the familiar Oseen–Burger tensor that is given by  $J^{\infty} = (1/8\pi)(I/r + r r/r^{3})$ . Here,  $J^{w}$  is a second-order tensor that characterizes the effect of the walls, and can be [obtain](#page-15-5)ed by solving the govern[ing eq](#page-16-13)uations in the test problem and applying the no-slip condition on both walls. This is best done via implementation of a partial Fourier transform defined by

$$
\hat{f}(k_1, r_2, k_3) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(r) \exp(\iota(k_1 r_1 + k_3 r_3)) dr_1 dr_3.
$$
 (A2)

The solution of the partially transformed equations so obtained is described in detail in Anand & Subramanian (2023*b*) (see also Swan & Brady 2010), and yields

$$
\hat{J}^{w} = C_{im}(k_{\perp}; y_{2}) \exp(k_{\perp}r_{2}) + D_{im}(k_{\perp}; y_{2}) \exp(-k_{\perp}r_{2}) \n+ \frac{1}{4k_{\perp}^{2}} [A_{m}(k_{\perp}; y_{2}) d_{i} \exp(-k_{\perp}r_{2}) (2k_{\perp}r_{2} + 1) \n+ B_{m}(k_{\perp}; y_{2}) \bar{d}_{i} \exp(k_{\perp}r_{2}) (2k_{\perp}r_{2} - 1)].
$$
\n(A3)

Here,  $d_i = k_\perp \delta_{i2} + \iota(k_1 \delta_{i1} + k_3 \delta_{i3})$  and  $\overline{d}_i = k_\perp \delta_{i2} - \iota(k_1 \delta_{i1} + k_3 \delta_{i3})$ , and  $r_2$  is the transverse coordinate relative to the particle. The second-order tensors *Cim* and *Dim* and the vectors  $A_m$  and  $B_m$ , are each functions of  $k_{\perp} \equiv (k_1, k_3)$  and the distance of the Stokeslet *y*<sup>2</sup> from the lower wall, and are defined as follows:

$$
A_m = \frac{Y_m \sinh(k_\perp \lambda^{-1}) + Z_m k_\perp \lambda^{-1} \exp(k_\perp (\lambda^{-1} - 2y_2))}{\sinh^2(k_\perp \lambda^{-1}) - (k_\perp \lambda^{-1})^2},
$$
(A4)

$$
B_m = \frac{Y_m k_\perp \lambda^{-1} \exp(-k_\perp (\lambda^{-1} - 2y_2)) + Z_m \sinh(k_\perp \lambda^{-1})}{\sinh^2(k_\perp \lambda^{-1}) - (k_\perp \lambda^{-1})^2},\tag{A5}
$$

$$
Y_m = -d_j(\hat{J}_{jm}^{\infty}|^L \exp(k_{\perp}(\lambda^{-1} - y_2)) - \hat{J}_{jm}^{\infty}|^U \exp(-k_{\perp}y_2)),
$$
 (A6)

$$
Z_m = -\tilde{d}_j(\hat{J}_{jm}^{\infty}|^L \exp(-k_{\perp}(\lambda^{-1} - y_2)) - \hat{J}_{jm}^{\infty}|^U \exp(k_{\perp}y_2)), \tag{A7}
$$

$$
C_{im} = \frac{F_{im} \exp(-k_{\perp}(\lambda^{-1} - y_2)) - G_{im} \exp(k_{\perp}y_2)}{\exp(-k_{\perp}\lambda^{-1}) - \exp(k_{\perp}\lambda^{-1})},
$$
(A8)

$$
D_{im} = \frac{G_{im} \exp(-k_{\perp} y_2) - F_{im} \exp(k_{\perp} (\lambda^{-1} - y_2))}{\exp(-k_{\perp} \lambda^{-1}) - \exp(k_{\perp} \lambda^{-1})},
$$
(A9)

$$
F_{im} = -\hat{J}_{im}^{\infty}|^{L} - \frac{1}{4k_{\perp}^{2}} \left[ A_{m} d_{i} \exp(k_{\perp} y_{2}) \left( 1 - 2k_{\perp} y_{2} \right) - B_{m} \bar{d}_{i} \exp(-k_{\perp} y_{2}) \left( 1 + 2k_{\perp} y_{2} \right) \right],
$$
\n(A10)

$$
G_{im} = -\hat{J}_{im}^{\infty}|^{U} - \frac{1}{4k_{\perp}^{2}} \left[ A_{m} d_{i} \exp(-k_{\perp} (\lambda^{-1} - y_{2})) (1 + 2k_{\perp} (\lambda^{-1} - y_{2})) \right. \\ \left. + B_{m} \bar{d}_{i} \exp(k_{\perp} (\lambda^{-1} - y_{2})) (2k_{\perp} (\lambda^{-1} - y_{2}) - 1) \right], \tag{A11}
$$

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where the superscripts *L* and *U* denote the partially Fourier-transformed Oseen–Burger tensor evaluated on the lower wall and upper wall, respectively. The Fourier-transformed Oseen–Burger tensor is given by

$$
\hat{J}^{\infty} = \frac{1}{8\pi} \begin{pmatrix}\n2 \exp(-k_{\perp}|r_{2}|)\pi(k_{3}^{2} + k_{\perp}^{2} & & & \\
+ k_{\perp}(k_{3}^{2} - k_{\perp}^{2})|r_{2}|) & & 2\iota \exp(-k_{\perp}|r_{2}|)k_{1}\pi r_{2} & \\
\frac{-2 \exp(-k_{\perp}|r_{2}|)k_{1}k_{3}\pi(k_{\perp}|r_{2}|+1)}{k_{\perp}^{3}} & & k_{\perp}\n\end{pmatrix}
$$
\n
$$
\hat{J}^{\infty} = \frac{1}{8\pi} \begin{pmatrix}\n2\iota \exp(-k_{\perp}|r_{2}|)k_{1}\pi r_{2} & & 2 \exp(-k_{\perp}|r_{2}|)\pi(k_{\perp}|r_{2}|+1) & \\
\frac{2\iota \exp(-k_{\perp}|r_{2}|)k_{3}\pi r_{2}}{k_{\perp}} & & k_{\perp}\n\end{pmatrix}
$$
\n
$$
-\frac{2 \exp(-k_{\perp}|r_{2}|)k_{1}k_{3}\pi(k_{\perp}|r_{2}|+1)}{k_{\perp}^{3}} \qquad \frac{2\iota \exp(-k_{\perp}|r_{2}|)k_{3}\pi r_{2}}{k_{\perp}}
$$
\n
$$
-\frac{\times \pi(k_{\perp}|r_{2}|k_{3}^{2} + k_{3}^{2} - 2k_{\perp}^{2})}{k_{\perp}^{3}}
$$
\n
$$
(A12)
$$

# <span id="page-14-0"></span>Appendix B

The lift velocity for a sphere in plane Couette flow for  $Re<sub>c</sub> \ll 1$  is given by (3.7), with  $I(k_{\perp}^{"}, s)$  being defined as:

$$
I(k''_{\perp}, s) = -\exp(k''_{\perp}(25s + 18)) (s - 1)^{2} [3k''_{\perp}(s - 1)^{2} - 2k''_{\perp}(s - 1) + 3]
$$
  
+  $\exp(k''_{\perp}(29s + 24)) (s - 1)^{2} [3k''_{\perp}(s - 1)^{2} + 2k''_{\perp}(s - 1) + 3]$   
- 2(2s - 1)  $\exp(3k''_{\perp}(9s + 8)) [6k''_{\perp}(s - 1)s - 4k''_{\perp}(s - 1)s - 3]$   
- 2(2s - 1)  $\exp(9k''_{\perp}(3s + 2)) [6k''_{\perp}(s - 1)s + 4k''_{\perp}(s - 1)s + 3]$   
-  $s^{2} \exp(k''_{\perp}(25s + 26)) (3k''_{\perp}s^{2} - 2k''_{\perp}s + 3)$   
+  $s^{2} \exp(k''_{\perp}(29s + 16)) (3k''_{\perp}s^{2} + 2k''_{\perp}s + 3)$   
- 2  $\exp(k''_{\perp}(27s + 20)) [8k''_{\perp}s^{2} - 3s + 1)$   
-  $6k''_{\perp}s^{2}(2s^{2} - 3s + 1) - 12k''_{\perp}(2s^{3} - 3s^{2} + 3s - 1) - 18s + 9]$   
+  $2 \exp(k''_{\perp}(27s + 22)) [8k''_{\perp}s^{2}(2s^{2} - 3s + 1)$   
+  $6k''_{\perp}s^{2}(2s^{2} - 3s + 1) - 12k''_{\perp}(2s^{3} - 3s^{2} + 3s - 1) - 18s + 9]$   
+  $\exp(5k''_{\perp}(5s + 4)) [12k''_{\perp}(s - 1)^{2}s^{2} + 4k''_{\perp}(s - 1)^{2}(4s - 1)$   
+  $3k''_{\perp}(4s^{4} - 12s^{3} + 14s^{2} - 12s + 5) - 2k''_{\perp}(4s^{3} - 9s^{2} + 9s - 3)$ 

$$
+ 3(4s2 - 6s + 3)] - \exp(k''_1(29s + 22)) [12k''_1^4(s - 1)2s2\n- 4k''_1^3(s - 1)2(4s - 1) + 3k''_1^2(4s4 - 12s3 + 14s2 - 12s + 5)\n+ 2k''_1(4s3 - 9s2 + 9s - 3) + 3(4s2 - 6s + 3)]\n+ \exp(k''_1(25s + 24)) [12k''_1^4(s - 1)2s2 + 4k''_1^3s2(4s - 3)\n+ 3k''_1^2(4s4 - 4s3 + 2s2 + 4s - 1)\n+ k''_1(-8s3 + 6s2 - 6s + 2) + 12s2 - 6s + 3]\n- \exp(k''_1(29s + 18)) [12k''_1^4(s - 1)2s2\n- 4k''_1s2(4s - 3) + 3k''_1^2(4s4 - 4s3 + 2s2 + 4s - 1)\n+ k''_1(8s3 - 6s2 + 6s - 2) + 12s2 - 6s + 3]\n- \exp(k''_1(25s + 22)) [24k''_1^4(s - 1)2s2\n+ 4k''_1^3(2s - 1)3 + 3k''_1^2(6s4 - 12s3 + 10s2 - 4s + 3)\n- 6k''_1(2s3 - 3s2 + 3s - 1) + 9(2s2 - 2s + 1)]\n+ \exp(k''_1(29s +
$$

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