

Minutes

APSA Council Meeting August 27, 1997 Omni Shoreham Hotel Washington, D.C.

Present:

APSA Council: James Alt, Suzanna Berger, Ruth Collier, Timothy Cook, Ada Finifter, Michael Giles, Kathie Golden, Charles Hadley, Rodney Hero, Jennifer Hochschild, Matthew Holden, John Jackson, Gary Jacobson, Kent Jennings, David Laitin, Michael Laver, Margaret Levi, Kristen Monroe, William Nelson, Jr., Elinor Ostrom, G. Bingham Powell, Catherine Rudder, John Ruggie, Virginia Sapiro, Ian Shapiro, Paul Sniderman, Joseph Stewart, Jr., Toni-Michelle Travis, Michael Wallerstein.

Guests: Harry Hirsch, Martha Kumar, David Rayside, Kay Scholzman, Howard Silver, and nominees of the Council.

APSA Staff: Jeffrey Biggs, Robert Hauck, Sheilah Mann, Rovilla McHenry, Maurice Woodard, Jun Yin.

1. President Elinor Ostrom called the meeting to order and initiated a round of introductions.

2. Council action: The Council unanimously approved the Minutes of the April 12, 1997 Council Meeting.

3. Report of the President

a. Ostrom presented the minutes of the Administrative Committee meeting.

b. Ostrom reported on her discussion with Ada Finifter, commended her successful service as *APSR* editor, and announced that she had accepted the renewal of her appointment as *APSR* editor for a three year term beginning September 1, 1998.

Council Actions: The Council approved the renewal of a second three year term for *APSR* editor Ada Finifter.

c. Ostrom reported that both her visits to China and Korea were very successful. In China, she delivered a series of speeches at Peking University and the Chinese Academy of the Social Science, and presented a variety of APSA publications to Chinese scholars. Her plans for future collaborative work with Chi-

nese political scientists will focus on the translation of English materials into Chinese, and vice versa. In Seoul, South Korea, she attended the IPSA World Congress with APSA Executive Director Catherine Rudder and Walter Beach, APSA's alternative delegate to the IPSA Council. She reported that the relationship between IPSA and APSA was very good. Ostrom also commended APSA staff for their competence and Catherine Rudder for her leadership.

d. Ostrom encouraged the Council members and nominees to attend the various special events, especially, the APSA Business Meeting.

4. Report of the Executive Director

a. Catherine Rudder summarized the highlights of the year by referring Council members to her Executive Director's report. She noted that among the achievements of this past year were efforts to internationalize the Association and to establish the leadership for the Centennial Campaign.

b. Rudder introduced the new Director of the Congressional Fellowship Program, Jeffrey R. Biggs. His background was included in the Council book.

c. Rudder reported that the Joint Conference of APSA and other scholarly societies on the Use of Part-time and Adjunct Faculty and the Joint Conference with the Association of American Law Schools on "New Strategies for Inner Cities: Academics, Professionals, and Communities in Partnership" will be held respectively on September 26-28, 1997 in Washington, D.C., and on January 7, 1998, in San Francisco.

d. Rudder reported on the sabbaticals of senior political science staff: Rob Hauck had returned from his sabbatical leave during which time he worked on parts of the Centennial Campaign; Sheilah Mann will take her leave from the beginning of February to May 15, 1998 during which time she will focus on political science education and civic education.

e. Rudder reported on APSA publications produced this year. She also reported on some errors with the new *Directory of Members* and the efforts made to rectify them. She then thanked the outgoing Council members and officers for their work on behalf of the Association.

5. Standing and Award Committee Appointments of the President-Elect (Kent Jennings)

Kent Jennings presented the Council with his list of committee appointments. He noted the addition of the Ad Hoc Task Force on Civic Education for the Next Century appointed by Elinor Ostrom.

Council action: The Council approved unanimously all appointments.

6. Reports on APSA Representational Activities

a. Martha Kumar reported on the Central Intelligence Agency (CIA)'s delay in declassifying documents for the Department of State's comprehensive diplomatic history series. The issue was covered by the Associated Press in a July 9, 1997, *Washington Post* article which noted that the Advisory Committee on Historical and Diplomatic Documentation, appointed by Secretary of State Madeleine Albright, had reported on June 26 that the agency had released partial information on only two of the 11 covert activities it acknowledges from the Cold War. In his July Senate Foreign Relations Committee confirmation hearings as Assistant Secretary of State for Public Affairs, James Rubin, whose responsibilities would include the management of the Department's Historian's Office and accountability for the integrity of the series, committed himself to addressing the issue of agency compliance. Kumar conceded this subject was not high on most members' agenda but noted Rep. Lee Hamilton (D-Ind.) would be introducing government declassification legislation in the House.

Kumar noted that House subcommittee appropriation reductions in the Smithsonian's Woodrow Wilson Center budget would amount to a virtual shutdown. Representation had been made with Rep. David Price (D-NC) and Senators Moynihan (D-NY) and Gorton (R-WA) urging restoration of the funding. She indicated that backing by the full association would be helpful. In the interim, Center Director Charles Blitzer had announced his retirement.

Council recommendation: The Council recommended APSA endorsement of the Woodrow Wilson Center.

Following lunch with National Archivist Carlin, Kumar concluded that APSA

should be represented on the Archives board to insure that the disciplinary concerns of political science have a voice.

Council recommendation: The Council recommended that steps be taken to insure APSA representation on appropriate National Archives and Records Administration and other governmental boards.

b. Howard Silver of the Consortium of Social Science Associations reported on a congressional controversy generated by a "Candidate Emergency Study" funded by the National Science Foundation (NSF). Professors L. Sandy Maisel of Colby College and Walter Stone of the University of Colorado were interested in why certain people decide not to run for the House of Representatives. Interpreted by some Members of Congress as encouraging opposition to incumbents, the House, intending to "send a message," reduced the FY-98 NSF budget by the cost of the study. While the budget reduction could be regarded as a "slap on the wrist," the NSF sees the greater visibility of such APSA initiatives as the Ralph Bunche Summer Institute at the University of Virginia as important activities for political science.

The NSF is also reviewing funding support for three long-term data bases such as the National Elections Survey (NES). Still regarding itself as on the cutting edge of science, budget restraints have reportedly forced the NSF to review long-standing programs relative to new initiatives through a process of "re-competition." Virginia Sapiro noted that the projected 38% reduction in NES funding was better than the original projected cut of 50% for the General Social Survey (GSS), but concluded that there was a general climate of austerity and wondered by what standard the large social science data projects were to be judged. James Alt offered a favorable view of re-competition, but there was a general consensus within the Council that APSA, working with NSF program officers, would have to insure that political science scholars were at the table when funding decisions were made.

7. 1997 Annual Meeting

Program Cochair James Alt spoke in favor of the proposed investment in online availability of panel papers and indicated that, as a pilot project, almost 200 papers were made available this year. He suggested the process could enhance quality and provide greater dissemination of research.

8. 1998 Annual Meeting Program

1998 Program Chair Virginia Sapiro indicated her preference for electronic

submission of proposed papers. Negotiations with the Organized Sections has raised some perennial problems: diversity issues of the underrepresentation of African Americans and panel acceptance rates that better reflect the involvement of international relations/comparative politics scholars in APSA for the 1997 annual meeting. While the discussion produced no panacea, the Council members were sensitive to both questions and the search for balance would continue.

9. Report of the Editor of the *APSR*

APSR Editor Ada Finifter expressed her appreciation to APSA President Elinor Ostrom for the announcement that her editorship contract had been extended for another three years. Finifter distributed a number of tables illustrating the relative stability of the distribution of manuscripts (American Politics and Comparative Politics had 2% and 1 1/2% increases respectively and Normative Theory was down 3 1/2%), acceptance and rejection rates, and a reduction in the elapsed time in the *APSR* review process (e.g. a reduction from 73 to 66 days from receipt of a manuscript to final decision). She emphasized the continuing priority of getting quality reviews for all authors and indicated that more than 1,000 reviewers had been used last year. *APSR* had reduced costs for authors by going from reprints to off-prints. Problems to address in the future included finding a mechanism for double-blind peer review with online publishing. The methodologists, for example, has a long-term online archive which made blind review extremely difficult. *APSR* was also planning for electronic disk submissions in the future.

10. Report of the Committee on International Programs

a. Jun Yin requested an Initiative and Exchanges Fund of \$5,000 on behalf of the Committee on International Programs. She reported that this fund would be available for current, unfunded programs and for program development. Additional funds would continue to be needed and sought from external sources. She also reported that the Committee on International Programs would set priorities and would regularly report back to the Council on the use of this fund.

Council action: The Council unanimously approved an allocation of \$5,000 to the Initiative and Exchanges Fund.

b. Jun Yin requested another \$5,000 budget item for institutional memberships/journal donations to foreign institutions on behalf of the Committee on International Programs. She also proposed

some general guidelines for journal donations to include: institutions currently receiving Association journals through existing donation projects would continue to do so; new institutions would be considered based on their institutional and financial needs and preference should be given to the institutions which were in great need of the Association's journals but could not afford to join the Association as regular members; the Committee should be proactive in finding out which institutions abroad might have need of APSA journals and in promoting JSTOR internationally; the Committee should meet annually to determine which institutions would continue to receive donations and whether new recipients should be added and report back to the Council regularly on the use of this budget.

Council action: The Council approved this budget request of \$5,000 and endorsed the general guidelines for journal donation.

c. In response to Council Member Michael Laver's proposal at the April 12, 1997 Council Meeting that APSA and ECPR collaborate to develop a system to facilitate exchanges between American and European academics, Jun Yin suggested that the Association move forward with Laver's proposal by developing a web-based system. She also reported that the Administrative Committee had endorsed this web-based approach to the proposed joint APSA-ECPR Exchange Service with the recommendations that it be treated as a pilot project and that the Committee on International Program actively explore the opportunity of developing this web-based exchange service to other areas beyond Europe. Michael Laver thanked the Association for following up with his proposal and expressed his support of this web-based approach.

Council action: The Council approved the joint APSA-ECPR Exchange Service and endorsed the web-based approach to it.

d. Jun Yin reported on the current status of the collaboration between APSA and the Political Studies Association of the United Kingdom. The collaboration had begun successfully with the inclusion of British departments in APSA's upcoming edition of *Graduate Faculty and Programs in Political Science, 1998-2000*. The representatives of APSA and PSA would meet at the 1997 Annual Meeting to discuss future cooperative projects.

e. Jun Yin introduced to the Council Dr. Grigory Nemyeria—dean of the graduate school at Kiev-Mohyla Academy and director of the Center for Political Studies—who was selected as the

Association's first international fellow through a AAAS—sponsored program and would attend the Annual Meeting. She also asked the Council Officers to greet him in various Annual Meeting events.

f. Jun Yin reported that the Association had successfully obtained funding from the National Science Foundation and had awarded 20 travel grants to assist American scholars attending the IPSA World Congress in Seoul, South Korea.

11. Report of the Treasurer

APSA Treasurer Gary Jacobson reported that the Association continues to be in sound financial condition due to prudent management and a strong stock market over the past fiscal year. The external audit confirmed the Association's solid financial picture. As of June 30, overall income stood at \$2,979,845.06, a 6% increase over the previous year; and overall expenses stood at \$2,793,237, a 7% increase over the previous year. The FY1996–97 budget produced a surplus of \$185,000, due largely to the performance of investments in the working capital account which grew by 48%.

Four ways of dealing with the surplus were reviewed and approved by the Council.

- A. \$50,000 in contingency funds were set aside to cover the possible shortfall in rental income and the need to engage an agent to find a new tenant due to the anticipated loss of the APSA's tenant [The Eurasia Foundation] later this year.
- B. \$20,000 was set aside to support the Association's Task Force on Civic Education for the Next Century.
- C. \$50,000 in contingency funds were set aside to cover several automation projects at APSA, including PROCEEDINGS: Political Research Online, a cooperative project between APSA and Harvard University Library led by William Ball of the College of New Jersey—an effort to make Annual Meeting papers available online, and internal digital projects discussed at length at the meeting of the Administrative Committee.
- D. The remaining \$65,000 and whatever remains of the two contingency funds were earmarked for the Centennial Campaign endowment.

Council action: The Council approved the proposed budget for FY 97–98 with projecting a 6% increase in both income and expenditures.

12. Committee on Professional Ethics, Rights and Freedoms

Kay Lehman Schlozman, chair of the Ethics Committee, presented two proposals for Council approval. The first involved a revision of the Equal Employment Opportunity statement of the Association's Ethics Guidelines. The proposal, developed in conjunction with the Committee on the Status of Lesbians and Gays in the Profession, called for changes in the wording of the Association's anti-discrimination and affirmative action statements to include self-identified sexual orientation minorities.

Council action: After an extensive and thoughtful deliberations on the proposed changes to the affirmative action statement, the Ethics Committee's proposal was adopted by the Council by a vote of 12 in favor and 5 opposed.

The Statement as adopted [changes in italics]:

25.0 Equal Employment Practices and Opportunities

- 25.1 The guiding principle is that employment decisions should be based on only those criteria that relate directly to professional competence.
- 25.2 It is Association policy that educational institutions not discriminate in any condition of employment (*including the provision of domestic partner benefits*) on the basis of gender, race, color, national origin, sexual orientation, age, marital status, physical handicap, disability, or religion except in those cases in which federal law allow religious preferences in hiring.
- 25.3 *In pursuit of the objective of ending discrimination*, it is Association policy to support the principles of affirmative action and urge political science departments to pursue aggressively affirmative action programs and policies with regard to African Americans, Latinos, women, *minorities based on self-identified sexual orientation*, and other minorities. *Appropriate strategies may differ for each group.*
- 25.4 The Association will not indicate preference, limitation, or specification based upon the categories in paragraph 25.2 in job listings, except that religious preferences may be indicated when allowed by federal law.

The second proposal to the Council involved changes in the Ethics guidelines to clarify the procedures of the Ethics Committee.

Council action: The Council approved the changes.

REVISED TEXT [new text in italics and paragraphs reordered]:

1.0 Individual Grievances

1.1 Political scientists *acting in their professional capacities who face challenges to professional ethics, academic freedom, or human rights* need direct assistance. The Committee on Professional Ethics, Rights and Freedoms stands ready to be of assistance, and will respond to all grievances that fall within its jurisdiction. The Committee attempts to give wide latitude to persons and issues coming before it.

1.1 [a] The Committee cannot help until it receives a formal request. Political scientists who feel they have been mistreated must take the first step and inform the Committee of the nature of the problem. After the initial contact is made the aggrieved political scientist should be ready to provide the Committee with as much detail and documentation of the alleged abuse as possible.

1.1 [b] The Committee encourages political scientists to approach it as soon as they begin to feel that they are the victims of *mistreatment, discrimination, lack of due process, or other violations of the ethical standards of the discipline*. The Committee is prepared to be of help in what might be termed "anticipatory situations." If mediation and resolution of a problem can be achieved at its inception, so much the better for all concerned.

1.2 The Committee always acknowledges and responds to serious allegations of unethical action.

1.2 [a] *The Committee will not consider disputes in litigation or disputes being reviewed by another professional review body such as the American Association of University Professors. Parties to a dispute are free to bring their allegations to the Ethics Committee after a dispute has been litigated or after a professional review body has rendered its decision.*

1.3 Political scientists and departments of political science are obliged to respond to the informational needs of the Ethics Committee and its representatives. *During its fact-finding, the Ethics Committee does not publicize its involvement, and the information it receives is treated with complete discretion. In turn, it is the professional obligation of all parties to a*

dispute to keep the Committee's involvement in strict confidence.

- 1.4 When the occasion warrants, the Committee, after completing its preliminary investigation, will appoint a Special Representative having the judgment and sensitivity necessary to win the confidence of those involved to conduct a comprehensive inquiry into the case.
- 1.4 [a] A Special Representative's first duty is to try to resolve the complaint. A Special Representative always approaches a dispute as a fact-finder and mediator, not as an advocate for either side. Special Representatives compile a thorough report of their investigation for the use of the Committee.
- 1.5 At the completion of the fact-finding done by the Committee and its representatives, the Ethics Committee will take any actions it can to support those individuals it concludes have been treated unfairly by other persons or institutions.
- 1.5 [a] The Committee does not have the power to censure individuals, departments or institutions.¹ It does make every effort to use persuasion and vigorous protest to rectify situations that violate ethical principles.
- 1.5 [b] Inasmuch as the Committee aims for mediation, situations may arise when the Committee will advise the complainant that the best course is to pursue the matter through the American Association of University Professors or to adopt another course of action.
13. Independent Statements and Actions by Organized Sections

Jun Yin presented on behalf of the Committee on Organized Sections a proposal for a policy on independent statements or actions by Organized Sections. The policy reads:

- A. Any time scholars in an Organized Section wish to express a public position, they may constitute themselves as an independent group of scholars and speak as they wish. The infrastructure of the Organized Section may be a useful tool for conducting discussion or debate about such issues, or announcing the formation of an independent group.

¹APSA does list annually in *PS: Political Science & Politics* institutions which have been censured by the American Association of University Professors (AAUP) and by the Canadian Association of University Teachers (CAUT).

- B. If the Organized Section would like to speak out in its own name as an Organized Section of APSA, its position must be consistent with the APSA Constitution and legal status, and the Section must include a disclaimer in its statements that its views do not necessarily represent those of the APSA.
- C. If an Organized Section wishes APSA as a whole to make a public statement, the position must be approved and expressed by the APSA Officers or Council, through whatever procedures they endorse.

Council action: The Council endorsed these guidelines.

14. APSA Minority Fellows 1997–98

Maurice Woodard reported to the Council that two Latino/Latina and three African-American students were named funded minority fellows. In addition, two Latino/Latina and seven African-American students were awarded non-funded fellowships.

Council member Rodney Hero asked that the current practice of using APSA minority fellowships to attract higher external support which is then substituted for the APSA award be reconsidered in favor of distribution of the APSA awards. Discussion focused on whether the fellowship program best functioned as an identification program or a grants program.

Council decision and recommendation: The Council directed Woodard to assemble data showing the levels of external funding that APSA minority fellows have received over the course of the program. In addition, the Council requested data on the maximum level of university support for in-coming graduate students in the political science departments of the schools attended by the minority fellows. President-elect Jennings was authorized to name an ad hoc committee to review the minority fellowship program. Woodard will prepare the requested information for the committee's consideration.

It was further recommended that APSA look into the fellowship programs of the Phi Kappa Phi Honor Society as a possible model for future program changes.

15. Centennial Campaign

Rob Hauck reported that the Officers of the Centennial Campaign had successfully recruited 74 members to the campaign's working group. Additional invitations have been extended and the campaign is awaiting responses. The campaign working group will be completed by November 1998.

As of August 22, 1997 members of the campaign working group have pledged \$329,922, 33% of the campaign goal of \$1,000,000, and approximately \$70,000 short of the interim goal of \$400,000 needed to be reached before the campaign is launched publicly.

The campaign working group was scheduled to meet during the 1997 Annual Meeting to review the broad purposes of the campaign and to plan for the Pacesetter phase of the campaign. The Pacesetter phase will be an appeal to the 2,700 APSA members most active in the Association over the past few decades.

The Council expressed its support for the campaign and its appreciation to those members who are giving of their time and financial support to make the fund-raising effort a success under the direction of campaign co-chairs, Jack Peltason and Dale Rogers Marshall.

16. Other Matters

The Council reviewed the minutes and reports of many other APSA Committees that were provided for their information. No additional questions or matters were raised.

President-Elect Kent Jennings presented to outgoing President Ostrom a framed certificate and a print similar to one in APSA's Pendleton Herring Political Print Collection in recognition of her outstanding leadership of APSA during her 1996–97 presidential year.

Committees of the American Political Science Association

I. Constitutional Committees

Administrative Committee

The Administrative Committee consists of the president, president-elect, treasurer and four other Council members whose main duties include preparing agendas for Council meetings and annual business meetings, making recommendations to the Council, reviewing the budget and acting on behalf of the Council to dispose of policy issues deemed of insufficient weight to require decisions by the Council.

1. M. Kent Jennings, University of California, Santa Barbara
2. Matthew Holden, Jr., University of Virginia
3. Timothy E. Cook, Treasurer
4. John E. Jackson, The University of Michigan
5. David Laitin, University of Chicago