

AN ALGORITHM FOR THE EAR DECOMPOSITION OF A 1-FACTOR COVERED GRAPH

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Abstract

We give a constructive proof for the theorem of Lovász and Plummer which asserts the existence of an ear decomposition of a 1-factor covered graph.

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1. Introduction

A *1-factor* in a graph G is a set F of edges such that each vertex is incident with exactly one edge of F . We say that G is *1-factor covered* if for every $e \in E(G)$ there exists a 1-factor which contains e . In this paper we confine our attention to such graphs.

We identify paths and circuits with their edge sets. A circuit is *alternating* with respect to two given 1-factors if it is contained in their symmetric difference. Note that if G is a 1-factor covered graph and $|E(G)| > 1$, then for each edge e there exists an alternating circuit containing e .

An *ear* is a path of odd cardinality.

Let H be a 1-factor covered subgraph of a 1-factor covered graph G . Let A be an alternating circuit in G which includes $E(G) - E(H)$ and meets $E(H)$. Then an $A\bar{H}$ -arc (or an \bar{H} -arc) is a subpath of $E(G) - E(H)$, of maximal length, whose internal vertices are in $V(G) - V(H)$. If there are n such arcs, and each is an ear, then we say that G is obtained from H by an *n -ear adjunction*. An *ear*

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decomposition of G is a sequence G_0, G_1, \dots, G_t of 1-factor covered graphs such that $|E(G_0)| = 1$, $G_t = G$ and, for each $i > 0$, G_i is obtained from G_{i-1} by an n -ear adjunction with $n = 1$ or $n = 2$. (Note that the definition in [4] permits a 2-ear adjunction only if neither ear can be used for a 1-ear adjunction.) It has been shown by Lovász and Plummer [1] (see also [2]) that such a decomposition exists, and an algorithm for its construction appears in [4]. Our purpose here is to give an elementary constructive proof of the result of Lovász and Plummer.

2. Proof of the theorem

Throughout this section we fix a 1-factor F in a 1-factor covered graph G . An *alternating path* in G (with respect to F) is a path P in which each internal vertex is incident with an edge of $P \cap F$. We adopt as a lemma the following statement which is proved in [4].

LEMMA 1. *Let F be a 1-factor in a connected 1-factor covered graph G . Let $v \in V(G)$ and $w \in V(G)$. Then there is an alternating path P joining v and w such that an edge of $P \cap F$ is incident on v .*

The proof of this assertion given in [4] furnishes an efficient algorithm for the construction of such a path.

If u and v are distinct vertices in a path P , then we denote by $P[u, v]$ the subpath of P joining them.

LEMMA 2. *Let F be a 1-factor in a graph G . Let C be a circuit in G which contains a unique vertex v not incident with an edge of $F \cap C$. Let $e \in C$, and let e join vertices x and x' , where $x \neq v$. Let R be a path in $G - \{e\}$ which is alternating with respect to F , joins v to a vertex $w \in V(C) - \{v\}$ and has its terminal edges in F . Suppose that $C'[w, x] \cap R = \emptyset$, where $C' = C - \{a\}$ for some edge $a \in C$ incident on v . Then $C \cup R$ includes a circuit which is alternating with respect to F and contains e .*

PROOF. We use induction on the number n of $R\bar{C}$ -arcs (that is, maximal subpaths of R whose edges and internal vertices are not in C).

Let $C^* = C - \{e\}$, and let g be the edge of R incident on w . By symmetry we can assume that $g \in C^*[v, x]$. If $C^*[v, x'] \cap R = \emptyset$, then $R \cup C^*[w, x] \cup \{e\} \cup C^*[x', v]$ is the required alternating circuit. Thus in particular the lemma holds if $n = 1$.

We may now suppose that $n > 1$, that the lemma holds whenever the number of $R\bar{C}$ -arcs is less than n , and that there exists $h \in C^*[v, x'] \cap R$. Let h join vertices y and y' , where $h \in C^*[x', y']$. We may assume h chosen so that $|C^*[x', y]|$

is minimised. Thus $h \in F$. If $h \in R[v, y]$, then the lemma holds by the induction hypothesis applied to the path $R[v, y]$. (Note that $R[y, w]$ contains an $R\bar{C}$ -arc since $e \notin R$.) Suppose therefore that $h \in R[v, y']$. Then the required alternating circuit is $R[y', w] \cup C'[w, y']$.

We are now equipped for our proof of the “2-ear theorem” of Lovász and Plummer.

THEOREM 1. *Let F be a 1-factor in a 1-factor covered connected graph G . Let H be a 1-factor covered connected proper subgraph of G such that $E(H) \neq \phi$ and $F \cap E(H)$ is a 1-factor of H . Then G contains a circuit A which is alternating with respect to F and admits just one or two $A\bar{H}$ -arcs.*

REMARK. The $A\bar{H}$ -arcs constitute the ears featured in one step of an ear decomposition of G .

PROOF. As the theorem is vacuous if $|E(G)| \leq 1$, we assume that each edge of G belongs to a circuit which is alternating with respect to F . In particular, let A be such an alternating circuit which contains an edge of $E(G) - E(H)$ incident on a vertex of H . Then there exists an $A\bar{H}$ -arc.

We now assume that A is chosen as a circuit, alternating with respect to F , which has an $A\bar{H}$ -arc but as few $A\bar{H}$ -arcs as possible subject to this requirement. If A has no more than two $A\bar{H}$ -arcs, then the theorem holds, and so we suppose that A has at least three.

Let P_1, P_2, P_3 be $A\bar{H}$ -arcs, and let P_i join vertices u_i and v_i for each $i \in \{1, 2, 3\}$. We may assume that these vertices occur on A in the cyclic order $u_1, v_1, u_2, v_2, u_3, v_3$. They are distinct, for each is incident on an edge of F which must belong to $A \cap E(H)$. For each $i \in \{1, 2, 3\}$, we let $P'_i = A - P_i$.

By Lemma 1 there exists a path Q_0 in H which is alternating with respect to F and joins vertices in distinct components of the graph spanned by $E(H) \cap A$. Without loss of generality we can therefore assume the existence of a subpath Q of Q_0 joining a vertex $q_1 \in V(P'_3[v_1, u_2])$ to a vertex $q_2 \in V(P'_1[v_2, u_3])$ such that $Q \cap A = \phi$ and $V(Q) \cap V(A) = \{q_1, q_2\}$. Let b_1 and b_2 be the edges of F incident on q_1 and q_2 respectively. If $\{b_1, b_2\} \subset P'_2[q_1, q_2]$ then the choice of A is contradicted by the circuit $Q \cup P'_2[q_1, q_2]$. Similarly $\{b_1, b_2\} \not\subset P'_1[q_1, q_2]$. We may therefore assume without loss of generality that $b_1 \in P'_1[q_1, q_2]$ and $b_2 \in P'_2[q_1, q_2]$.

By Lemma 1, there exists a path R in H , alternating with respect to F , which has q_1 as a terminal vertex and has b_1 and the edge of F incident on u_1 as its terminal edges. Choose $g \in P'_2[u_1, v_3] \cap R$, and let g join vertices w and w' , where $g \in R[q_1, w]$. We may assume that g is chosen to minimise $|R[q_1, w]|$. If $g \in P'_2[u_1, w']$, then choose an edge $e \in P_1$; otherwise choose $e \in P_3$. Applying Lemma 2 to the circuit $P'_2[q_1, q_2] \cup Q$ and the alternating path $R[q_1, w]$, we deduce

that $P'_2[q_1, q_2] \cup Q \cup R[q_1, w]$ includes a circuit which is alternating with respect to F and contains e . This circuit must include either P_1 or P_3 but not P_2 , and thereby contradicts the choice of A .

3. Constructing an ear decomposition

The proof of Theorem 1 suggests the following method for finding an n -ear adjunction of G_j to obtain G_{j+1} such that the sequence $\{G_i\}$ is an ear decomposition of G .

Suppose G is a 1-factor covered connected proper subgraph of G . Let F be a given 1-factor in G_j that can be extended to a 1-factor F' in G .

Step 1. Use the following procedure to find a circuit A , alternating with respect to F' , having at least one $A\overline{G}_j$ -arc. By assumption there exists $v \in V(G_j)$ and $e \in E(G) - E(G_j)$ such that v meets e . Find a 1-factor K in G such that $e \in K$ and let A be the alternating circuit in $F' + K$ that contains e . Let $n(A)$ be the number of $A\overline{G}_j$ -arcs. We will write n instead of $n(A)$ if there is no risk of confusion.

Step 2. If $n = 1$ then the $A\overline{G}_j$ -arc gives a 1-ear adjunction.

Step 3. If $n = 2$ then the $A\overline{G}_j$ -arcs give a 2-ear adjunction.

(It is easy to test whether either of these ears can be used as a 1-ear adjunction. We need merely test whether $G_j \cup P_1$ or $G_j \cup P_2$ is 1-factor covered where P_1 and P_2 are the ears. This can be done by determining whether $G_j \cup P_i$, $i \in \{1, 2\}$, contains a 1-factor that uses a terminal edge of P_i .)

Step 4. If $n \geq 3$ then apply the steps implicit in the proof of Theorem 1 and Lemma 2 to transform A into an alternating circuit A' having one or two $A'\overline{G}_j$ -arcs. This is done as follows: as in the proof of Theorem 1 let P_1, P_2, P_3 be $A\overline{G}_j$ -arcs and let P_i join vertices u_i, v_i for $i \in \{1, 2, 3\}$, where the vertices appear on A in the cyclic order $u_1, v_1, u_2, v_2, u_3, v_3$.

(a) Using the labelling technique described in [4] find a path Q_0 in G_j which is alternating with respect to F and joins v_1 and v_2 . Let Q be a subpath of Q_0 such that $Q \cap A = \emptyset$ and $V(Q) \cap V(A) = \{q_1, q_2\}$, where q_1 and q_2 are as in the proof of the theorem. Denote the edges of F incident on q_1 and q_2 by b_1 and b_2 respectively.

(b) If $\{b_1, b_2\} \subset P'_2[q_1, q_2]$ then let $A' = Q \cup P'_2[q_1, q_2]$. Similarly if $\{b_1, b_2\} \subset P'_1[q_1, q_2]$, then let $A' = Q \cup P'_1[q_1, q_2]$. Continue with Step 2, replacing A by A' . (Note that in these cases $n(A) > n(A') \geq 1$.)

(c) Without loss of generality assume $b_1 \in P'_1[q_1, q_2]$. Find an alternating path R in G_j starting at q_1 , containing b_1 and having the edge of F incident on u_1 as terminal edge. Choose $g \in P'_2[u_1, v_3] \cap R$ where g joins vertices w and w' , $g \in R[q_1, w]$, and $|R[q_1, w]|$ is minimised. If $g \in P'_2[u_1, w']$ choose an edge $e \in P_1$; otherwise choose $e \in P_3$.

(d) Apply the steps implicit in the proof of Lemma 2 to the circuit $P'_2[q_1, q_2] \cup Q$, the alternating path $R[q_1, w]$ and the edge e to obtain an alternating circuit A' . Again $n(A) > n(A') \geq 1$. Go to Step 2 with A replaced by A' .

LEMMA 3. *An n -ear adjunction for a 1-factor covered connected subgraph H of a 1-factor covered graph G , where $n \in \{1, 2\}$, can be found in $O(|V(G)||E(G)|)$ worst case time.*

PROOF. Due to Theorem 1, performing Steps 1-4 produces the required n -ear adjunction. Analysing the computational effort we find that Steps 1 and 3 essentially require the computation of one or two 1-factors in G , and each step is invoked no more than once. These 1-factors can be found in $O(|V(G)|^{1/2}|E(G)|)$ time [3].

In Step 4 we first have to find two alternating paths Q_0 and R in G_j . This is done in $O(|E(G_j)|)$ time by the labelling process described in [4]. In Step 4(d) we have to apply the steps implicit in the proof of Lemma 2. This amounts to determining an edge $h \in R$ closest to a certain vertex on the circuit $P'_2[q_1, q_2] \cup Q$ and can be done in $O(|R|)$ time. Thus one execution of Step 4 requires only $O(|E(G)|)$ time. To complete the proof, note that Step 4 is invoked no more than $O(|V(G)|)$ times.

REMARK. It should be pointed out that the method described in [4] to find an n -ear adjunction requires one to find $O(|E(G)|)$ minimum weight 1-factors, and therefore has running time $O(|E(G)||V(G)|^3)$.

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