

## RESIDUE FIELDS OF VALUED FUNCTION FIELDS OF CONICS

by SUDESH K. KHANDUJA\* and USHA GARG

(Received 11th December 1991)

Suppose that  $K$  is a function field of a conic over a subfield  $K_0$ . Let  $v_0$  be a valuation of  $K_0$  with residue field  $k_0$  of characteristic  $\neq 2$ . Let  $v$  be an extension of  $v_0$  to  $K$  having residue field  $k$ . It has been proved that either  $k$  is an algebraic extension of  $k_0$  or  $k$  is a regular function field of a conic over a finite extension of  $k_0$ . This result can also be deduced from the genus inequality of Matignon (cf. [On valued function fields I, Manuscripta Math. 65 (1989), 357–376]) which has been proved using results about vector space defect and methods of rigid analytic geometry. The proof given here is more or less self-contained requiring only elementary valuation theory.

1991 *Mathematics subject classification*: 12F20, 13A18.

### 0. Introduction

Let  $v_0$  be a non-trivial valuation of a field  $K_0$  with residue field  $k_0$  and value group  $G_0$ . Let  $w$  be an extension of  $v_0$  to a simple transcendental extension  $K_0(x)$ . In 1983, Ohm [8] proved a conjecture made by Nagata which asserts that the residue field  $k$  of  $w$  is either an algebraic extension of  $k_0$  or  $k$  is a simple transcendental extension of a finite extension of  $k_0$ . His method of proof leads to an explicit determination of  $k$  in the case that  $k/k_0$  is non-algebraic. Matignon and Ohm have also solved the converse problem stated below.

If  $G$  is a totally ordered abelian group containing  $G_0$  as an ordered subgroup with  $[G:G_0] < \infty$  and if  $\Delta$  is a finite extension of  $k_0$ , then there exists a valuation  $v$  of  $K_0(x)$  extending  $v_0$  such that the residue field of  $v$  is a simple transcendental extension of  $\Delta$  and the value group of  $v$  is  $G$  (cf. [7, Cor. 3.2]). In this paper, we consider analogous problems for an extension  $(K, v)/(K_0, v_0)$  of valued fields where  $K$  is a function field of a conic over  $K_0$ . Our method of determining the residue field of  $v$  incidentally yields that the analogous converse does not hold for function fields of conics.

### 1. Statements of results

Recall that for a finitely generated field extension  $K/K_0$ ,  $K$  is said to be a function field of a conic over  $K_0$  if the transcendence degree (henceforth abbreviated as tr. deg.)

\*The research of the first author is supported partially by CSIR, New Delhi, vide grant No. 25/53/90-EMRII.

of  $K/K_0$  is 1 and if  $K = K_0(x, y)$  where  $x$  and  $y$  satisfy an irreducible polynomial relation of total degree 2 over  $K_0$ . Further, it is said to be a regular function field of a conic over  $K_0$  if (i)  $K/K_0$  is a separable extension, i.e., either  $x$  is separably algebraic over  $K_0(y)$  or  $y$  is separably algebraic over  $K_0(x)$  and (ii)  $K_0$  is algebraically closed in  $K$ .

We shall prove:

**Theorem 1.1.** *Let  $K$  be a function field of a conic over a field  $K_0$ . Let  $v_0$  be a valuation of  $K_0$  and  $v$  be an extension of  $v_0$  to  $K$ . Assume that the characteristic of the residue field  $k_0$  of  $v_0$  is  $\neq 2$ . Then the residue field  $k$  of  $v$  is either an algebraic extension of  $k_0$  or  $k$  is a regular function field of a conic over a finite extension of  $k_0$ .*

At the end of the third section we give an example to show that the above result does not always hold in case  $\text{char } k_0 = 2$  even if  $K/K_0$  is assumed to be regular.

It is well known that, for a finitely generated extension  $K/K_0$  of  $\text{tr. deg } 1$  with  $K_0$  algebraically closed in  $K$ , the genus of  $K/K_0$  is 0 if and only if  $K$  is a function field of a conic over  $K_0$  (see [1, p. 302, Thm. 6]). Keeping this in view, we see that Theorem 1.1. can also be easily deduced from the genus inequality of Matignon (cf. [6, Thm. 4], [4]); the latter has been proved using methods of rigid analytic geometry and some deep results of valuation theory. The proof given here is based on elementary valuation theory and happens also quickly to yield the following theorems.

**Theorem 1.2.** *Let the hypothesis be as in Theorem 1.1. Assuming that the extension  $k/k_0$  is not algebraic. Let  $\Delta$  be the algebraic closure of  $k_0$  in  $k$  and  $G_0 \subseteq G$  be the value groups of  $v_0$  and  $v$  respectively. If  $k$  is not a purely transcendental extension of  $\Delta$ , then  $G = G_0$  and  $\Delta = k_0$ .*

Theorem 1.1 leads to the following problem:

Let  $v_0$  be a non-trivial valuation of a field  $K_0$  with value group  $G_0$  and residue field  $k_0$  of  $\text{char. } \neq 2$ . Given a totally ordered abelian group  $G$  containing  $G_0$  as an ordered subgroup with  $[G:G_0] < \infty$  and an extension  $k$  of  $k_0$  which is a regular function field of a conic over a finite extension of  $k_0$ , does there exist an extension  $v$  of  $v_0$  to an over field  $K$  which is a function field of a conic over  $K_0$  such that the value group of  $v$  is  $G$  and its residue field is  $k$ ?

It is immediate from Theorem 1.2 that the answer to the above question is “no” in general.

## 2. Some preliminary results

We first introduce some notation and a few definitions. Let  $P(X) = P(X_1, \dots, X_n)$  be an irreducible polynomial of  $\text{deg} \geq 1$  over a field  $L_0$  in  $n \geq 2$  variables  $X_1, \dots, X_n$ . The ideal  $(P)$  generated by  $P(X)$  in  $L_0[X]$  is a prime ideal and the quotient field  $L$  (say) of the integral domain  $L_0[X]/(P)$  may be regarded as an extension of  $L_0$  by identifying  $L_0$  with its canonical image in  $L$ . If  $x_i$  is the image of  $X_i$  in  $L$ , then  $P(x_1, \dots, x_n) = 0$  and

$L=L_0(x_1, \dots, x_n)$ . Moreover the degree of transcendence of  $L/L_0$  is  $n-1$  and  $x_1, \dots, x_n$  satisfy no non-trivial  $L_0$ -polynomial relation of degree  $< \deg P$ ; in particular if  $P(X)$  is of total degree  $\geq 2$ , then  $x_1, \dots, x_n$  are non-zero.

An extension  $L_1$  of  $L_0$  will be said to be the *function field of  $P(X)$  over  $L_0$*  if  $L_1$  is  $L_0$ -isomorphic to the quotient field of  $L_0[X]/(P)$ ; the irreducible polynomial  $P(X)$  will then be called a *defining polynomial for  $L_1/L_0$* .

The following proposition is well known (cf. [11, Proposition 1.1]) and can be easily proved using ([13, p. 101, Theorem 29]). We omit its proof.

**Proposition A.** *Let  $P(X)=P(X_1, \dots, X_n)$  be an irreducible polynomial over a field  $L_0$  in  $n \geq 2$  variables. An extension  $L$  of  $L_0$  is the function field of  $P(X)$  over  $L_0$  if  $\text{tr. deg}(L/L_0)=n-1$  and if there exist  $x_1, \dots, x_n$  in  $L$  satisfying  $P(x_1, \dots, x_n)=0$  such that  $L=L_0(x_1, \dots, x_n)$ .*

To any polynomial  $P(X)$  of  $\text{deg} \geq 1$  over a field  $L_0$ , one can associate a homogeneous polynomial  $P^h(X_0, X)$  in  $L_0[X_0, X]$  (where  $(X_0, X)=(X_0, X_1, \dots, X_n)$ ) having the same degree as  $P(X)$  which is uniquely determined by the additional property that  $P(X)=P^h(1, X)$ . Since the factors of a homogeneous polynomial are again homogeneous,  $P(X)$  is irreducible over  $L_0$  if and only if  $P^h(X_0, X)$  is so. Moreover for  $P^h(X_0, X)$   $L_0$ -irreducible of degree  $\geq 2$ , the polynomials  $P^h(1, X)$  and  $P^h(X_0, \dots, 1_i, \dots, X_n)$  all define the same (to be precise  $L_0$ -isomorphic) function field over  $L_0$ ; this is a consequence of Proposition A and the observation that we can write the function field  $L$  of  $P^h(1, X)$  as  $L=L_0(x_1, \dots, x_n)$ ,  $x_i \neq 0$ , where

$$0 = P^h(1, x_1, \dots, x_n) = P^h(1/x_i, \dots, 1_i, \dots, x_n/x_i).$$

So  $L/L_0$  is independent of the variable used to dehomogenize  $P^h(X_0, X)$ .

It may be remarked that the function field of an  $L_0$ -irreducible polynomial  $P(X)$  of  $\text{deg} \geq 2$  is invariant under a homogeneous change of variables, i.e., if

$$X'_i = a_{i0}X_0 + \dots + a_{in}X_n, \quad 0 \leq i \leq n \tag{1}$$

where  $(a_{ij})$  is an invertible matrix with entries in  $L_0$  and if the forms  $P^h$  and  $Q^h$  are related by  $Q^h(X'_0, X') = P^h(X_0, X)$ , then the function field of  $Q(X') = Q^h(1, X')$  is the same as the function field of  $P(X)$  over  $L_0$ . For if  $L_0(x_0, x) = L_0(x'_0, x')$  (here  $x$  abbreviates  $(x_1, \dots, x_n)$ ) is the function field of  $P^h(X_0, X)$ , it follows from Proposition A that  $L_0(x_1/x_0, \dots, x_n/x_0)$  is the function field of  $P(X)$  and  $L_0(x'_1/x'_0, \dots, x'_n/x'_0)$  is the function field of  $Q(X')$ , where the  $x'_i$  are defined in terms of  $x_i$  by means of (1), and then the invertible relations between the  $x'_i$  and the  $x_i$  given by (1) show that these two fields are equal.

It is clear from the above discussion that if  $P(X_1, \dots, X_n)$  and  $Q(X'_1, \dots, X'_n)$  are two  $L_0$ -irreducible polynomials of degree 2 such that their associated quadratic forms are related by

$$P^h(X_0, X) = qQ^h(X'_0, X')$$

where  $q$  is a non-zero element of  $L_0$  and where  $X_i$  and  $X'_i$  are related by (1), then the function fields of  $P(X)$  and  $Q(X')$  over  $L_0$  are the same.

The following lemma (which is already known [11, Proposition 2.2., Theorem 2.3]) is an immediate consequence of what we have said above and of the fact that every quadratic form over a field of char  $\neq 2$  can be diagonalised by a linear change of variables.

**Lemma 2.1.** *Let  $K_0$  be a field of char  $\neq 2$  and let  $K$  be a function field of a conic over  $K_0$ . Then there exist explicitly constructible elements  $c, d \in K_0$  such that the  $K_0$ -irreducible polynomial  $X^2 - cy^2 - d$  is a defining polynomial for  $K/K_0$ .*

**Notation.** Let  $v'$  be a valuation of a simple transcendental extension  $K_0(y)$  of a field  $K_0$  which extends a valuation  $v_0$  of  $K_0$ . Let  $k_0 \subseteq k'$  be the residue fields of  $v_0$  and  $v'$  respectively. For any  $\xi$  in the valuation ring of  $v'$ , we denote by  $\xi^*$  its  $v'$ -residue, i.e., the image of  $\xi$  in the residue field of  $v'$ . Suppose that  $k'$  is not an algebraic extension of  $k_0$ . For such an extension  $v'/v_0$ , we define a number  $E$  (more precisely written as  $E(v'/v_0)$ ) by

$$E = \min \{ [K_0(y) : K_0(\xi)] \mid \xi \in K_0(y), v'(\xi) \geq 0, \xi^* \text{ is tr. over } k_0 \}.$$

**Lemma 2.2.** *Let  $v'$  be an extension of a valuation  $v_0$  of  $K_0$  to a simple transcendental extension  $K_0(y)$ . Suppose that the residue field  $k'$  of  $v'$  is not an algebraic extension of the residue field  $k_0$  of  $v_0$ . Then to any  $\lambda$  in the value group of  $v'$ , there corresponds a polynomial  $R(y) \in K_0[y]$  of degree less than  $E = E(v'/v_0)$  such that  $\lambda = v'(R(y))$ .*

**Proof.** Fix an algebraic closure  $\bar{K}_0$  of  $K_0$  and an extension  $v''$  of  $v'$  to  $\bar{K}_0(y)$ . We denote by  $\bar{v}_0$  the restriction of  $v''$  to  $\bar{K}_0$  and by  $\bar{k}_0 \subseteq k''$  the residue fields of  $\bar{v}_0, v''$  respectively. Let  $G_0 \subseteq G'$  be the value groups of  $v_0$  and  $v'$ . The extension  $k'/k_0$  is given to be non-algebraic, therefore so is  $k''/k_0$ , and since  $\bar{k}_0/k_0$  is algebraic, it follows that  $k''/\bar{k}_0$  is a transcendental extension. Arguing exactly as in [9, p. 205, 2.5], we can easily prove that there exist  $\alpha, a \in \bar{K}_0$  such that the  $v''$ -residue  $((y-\alpha)/a)^*$  of  $((y-\alpha)/a)$  is transcendental over  $\bar{k}_0$ . We shall denote  $v''(y-\alpha) = \bar{v}_0(a)$  by  $\mu$ . Clearly  $\mu$  is torsion mod  $G_0$ , i.e., there exists a positive integer  $m$  such that  $m\mu \in G_0$ . As in [2, Chapter 6, §10.1, Proposition 2], it can be easily shown that for any polynomial  $f(y) = \sum_i c_i(y-\alpha)^i$  over  $\bar{K}_0$ ,

$$v''(f(y)) = \min(\bar{v}_0(c_i) + i\mu),$$

since the assumption  $v''(f(y)) > \min_i(\bar{v}_0(c_i) + i\mu)$  would lead to  $((y-\alpha)/a)^*$  being algebraic over  $\bar{k}_0$ . This also shows that  $v''(f(y))$  is torsion mod  $G_0$  for any  $f(y)$  in  $\bar{K}_0(y)$ . Define a subset  $D$  of  $\bar{K}_0$  by

$$D = \{\gamma \in \bar{K}_0 : \bar{v}_0(\gamma - \alpha) \geq \mu\}.$$

Choose an element  $\beta$  of  $D$  such that  $[K_0(\beta) : K_0] \leq [K_0(\gamma) : K_0]$  for all  $\gamma$  in  $D$ . We shall denote by  $P(y)$  the minimal polynomial of  $\beta$  over  $K_0$  of degree  $n$  (say), by  $\theta$  the element  $v'(P(y))$  of  $G'$  and by  $G_1$  the value group of the valuation  $\bar{v}_0$  restricted to  $K_0(\beta)$ . As shown above  $\theta$  is torsion mod  $G_0$ ; let  $s$  be the smallest positive integer such that  $s\theta \in G_1$ . By [5, Theorem 1.4, Corollary 1.2] the value group  $G'$  of  $v'$  can be expressed as

$$G' = G_1 + \mathbb{Z}\theta = \bigcup_{r=0}^{s-1} (G_1 + r\theta). \tag{2}$$

It is clear from the proof of Theorem 1.3 of [5] that

$$E(v'/v_0) = sn = s \deg P(y). \tag{3}$$

Observe that  $\alpha, \beta$  lie in  $D$ ; also in view of the choice of  $\beta$  any polynomial over  $K_0$  having degree less than  $n$  has no root in  $D$ . So by assertion (ii) of Lemma 2.1 of [5] for such a polynomial  $g(y)$ , one has

$$v'(g(y)) = \bar{v}_0(g(\alpha)) = \bar{v}_0(g(\beta)). \tag{4}$$

Let  $\lambda$  be any element of  $G'$ . In view of (2), there exists a polynomial  $g(y) \in K_0[y]$  of degree less than  $n$  and an integer  $r, 0 \leq r \leq s-1$ , such that

$$\lambda = \bar{v}_0(g(\beta)) + r\theta.$$

Keeping (4), in view, we can re-write the above equation as

$$\lambda = v'(g(y)P(y))^r.$$

We set  $R(y) = g(y)P(y)^r$ . Then by (3) and the fact that  $\deg g(y) < n$ , we see that

$$\deg R(y) < (r+1)n \leq sn = E(v'/v_0).$$

The lemma is now proved.

**Lemma 2.3.** *Let the hypothesis be as in the above lemma. Let  $\eta = f(y)/g(y)$  with  $f(y)$  and  $g(y)$  in  $K_0[y]$ , be an element of the valuation ring of  $v'$  having its  $v'$ -residue  $\eta^*$  transcendental over  $k_0$ . If  $\deg f(y) \leq E$  and  $\deg g(y) \leq 2E - 1$ , then  $\eta^*$  is a generator of the simple transcendental extension  $k'/\Delta'$ , where  $\Delta'$  is the algebraic closure of  $k_0$  in  $k'$ .*

**Proof.** Let  $v'', \bar{v}_0, \alpha, \beta, P(y), \theta$  and  $s$  be as in the proof of Lemma 2.2. Let  $q(y) \in K_0[y]$  be a polynomial of degree less than  $n$  such that  $\bar{v}_0(q(\beta)) = s\theta$ . By [5,

Theorem 1.3(i)] the  $v'$ -residue of  $P(y)^s/q(y)$  is a generator of the simple transcendental extension  $k'/\Delta'$  and  $\Delta'$  equals the residue field of the valuation  $\bar{v}_0$  restricted to  $K_0(\beta)$ ; we shall denote this generator of  $k'/\Delta'$  by  $t$ .

Observe that any polynomial  $h(y) \in K_0[y]$  can be uniquely written as a finite sum

$$h(y) = \sum_{i=0}^m h_i(y)P(y)^i$$

where, for  $0 \leq i \leq m$ , the polynomial  $h_i(y) \in K_0[y]$  is either zero or is of degree less than that of  $P(y)$ . This will be referred to as the canonical representation of  $h(y)$  with respect to  $P(y)$ .

By hypothesis  $\deg f(y) \leq E = sn$ , so the index  $i$  in the canonical representation of  $f(y)$  with respect to  $P(y)$  cannot vary beyond  $s$ .

Arguing similarly for  $g(y)$ , we can write the canonical representations of  $f(y)$  and  $g(y)$  with respect to  $P(y)$  as

$$f(y) = \sum_{i=0}^s f_i(y)P(y)^i, \quad g(y) = \sum_{i=0}^{2s-1} g_i(y)P(y)^i.$$

By [5, Lemma 2.1(ii), (iii)], we have

$$v'(f(y)) = \min_i (\bar{v}_0(f_i(\beta)) + i\theta), \quad v'(g(y)) = \min_i (\bar{v}_0(g_i(\beta)) + i\theta).$$

Let  $j$  be the smallest index,  $0 \leq j \leq s$ , such that  $v'(f(y)) = \bar{v}_0(f_j(\beta)) + j\theta$ . Since  $s$  is the smallest positive integer for which  $s\theta \in \bar{v}_0(K_0(\beta))$ , it follows that

$$\bar{v}_0(f_j(\beta)) + j\theta < \bar{v}_0(f_i(\beta)) + i\theta, \quad 0 \leq i \leq s, \quad i \not\equiv j \pmod{s} \tag{5}$$

Also, we have

$$v'(g(y)) = v'(f(y)) = \bar{v}_0(f_j(\beta)) + j\theta;$$

the same property of  $s$  shows that

$$\bar{v}_0(f_j(\beta)) + j\theta \leq \bar{v}_0(g_i(\beta)) + i\theta, \quad 0 \leq i \leq 2s-1 \tag{6}$$

and

$$\bar{v}_0(f_j(\beta)) + j\theta < \bar{v}_0(g_i(\beta)) + i\theta, \quad \text{if } i \not\equiv j \pmod{s} \tag{7}$$

Write  $\eta = \eta_1/\eta_2$ , where

$$\eta_1 = f(y)/f_j(y)P(y)^j = \sum_i f_i(y)P(y)^i/f_j(y)P(y)^j, \quad \eta_2 = g(y)/f_j(y)P(y)^j.$$

Keeping (5) in view and the fact that for any non-zero polynomial  $h(y) \in K_0[y]$  of degree less than  $n$ , the  $v'$ -residue of  $(h(y)/h(\beta))$  is 1 (cf. [5, Lemma 2.1(ii)]), we deduce immediately that

$$\eta_1^* = \begin{cases} 1 & \text{if } j > 0 \\ 1 + t(f_s(\beta)q(\beta)/f_0(\beta))^*, & \text{if } j = 0. \end{cases}$$

Similarly using (6) and (7), we derive that

$$\eta_2^* = \begin{cases} (g_j(\beta)/f_j(\beta))^* + t(q(\beta)g_{j+s}(\beta)/f_j(\beta))^*, & \text{if } j < s \\ (g_s(\beta)/f_s(\beta))^* \left[ 1 + \frac{1}{t}(g_0(\beta)/g_s(\beta)q(\beta))^* \right], & \text{if } j = s. \end{cases}$$

Thus it has been shown that  $\eta^* = (A' + tB')/(C' + tD')$  for some  $A', B', C', D'$  in  $\Delta'$ . By hypothesis  $\eta^* \notin \Delta'$ , so  $A'D' - B'C' \neq 0$ . The element  $t$  being a generator of the simple transcendental extension  $k'/\Delta'$ , it now follows that so is  $\eta^*$ .

The following lemma can be easily deduced from Theorem 17.17 and Corollary 16.6 of [3]. For the sake of completeness, we give a simple proof here.

**Lemma 2.4.** *Let  $F = F'(\sqrt{\eta})$  be a quadratic extension of a field  $F'$  of char  $\neq 2$ ,  $\eta \in F'$ . Let  $w'$  be a valuation of  $F'$  having  $w'(\eta) = 0$  such that the residue field  $k'$  of  $w'$  has char  $\neq 2$ . Suppose that  $w'$  can be uniquely extended to a valuation  $w$  of  $F$ , then the  $w$ -residue of  $\sqrt{\eta}$  is not in  $k'$ .*

**Proof.** Let  $W'$  be the valuation ring of  $w'$ , and let  $M'$  be the maximal ideal of  $W'$ . If the  $w'$ -residue  $\eta^*$  of  $\eta$  lies in  $k'^2$ , then in the ring  $W'[\eta]$  there are two maximal ideals contracting to  $M'$  (as  $W'[\eta]/M'W'[\eta] \cong k'[X]/(X^2 - \eta^*) \cong k' \oplus k'$ ). In the integral closure  $T$  of  $W'$  in  $F$  there are maximal ideals lying over each of these maximal ideals in  $W'[\eta]$ , as  $T$  is integral over  $W'[\eta]$ . Each maximal ideal of  $T$  determines a valuation of  $F$  extending  $w'$ .

### 3. Proof of Theorems 1.1, 1.2

To prove the first theorem, we may assume that  $k/k_0$  is not an algebraic extension. In view of Lemma 2.1, we may write  $K = K_0(x, y)$  where  $(x, y)$  satisfies an irreducible polynomial  $X^2 - cY^2 - d$  over  $K_0$ . Observe that  $y$  is transcendental over  $K_0$  and that  $[K:K_0(y)] \leq 2$ . We denote by  $v'$ , the valuation  $v$  restricted to  $K_0(y)$  and by  $k', G'$  the residue field and the value group of  $v'$ . Then  $[k:k'] \leq 2$  and  $k'/k_0$  is not an algebraic extension.

When  $k = k'$ , the desired result follows from the Ruled Residue Theorem [8] applied

to the simple transcendental extension  $K_0(y)/K_0$  and the observation that a simple transcendental extension  $L_0(t)$  of a field  $L_0$  is the regular function field of a conic over  $L_0$ , which can be visualized by writing  $L_0(t)$  as  $L_0(t, 1/t)$  where  $(t, 1/t)$  satisfies  $XY - 1 = \theta$ .

Assume now that  $[k:k'] = 2$ . Let  $\Delta', \Delta$  denote the algebraic closures of  $k_0$  in  $k'$  and  $k$  respectively. By the Ruled Residue Theorem  $k'$  is a simple transcendental extension of  $\Delta'$  and  $\Delta'$  is a finite extension of  $k_0$ . If  $\Delta' \not\subseteq \Delta$ , then

$$k' = \Delta'(t) \not\subseteq \Delta(t) \subseteq k.$$

In view of the assumption that  $[k:k'] = 2$ , it is now clear that in the present case

$$[\Delta:\Delta'] = 2 \quad \text{and} \quad k = \Delta(t).$$

The theorem remains to be proved when  $\Delta' = \Delta$  and  $[k:k'] = 2$ . Since

$$[K:K_0(y)] = [k:k'] = 2, \tag{8}$$

it follows from the fundamental inequality (cf. [2, Chapter 6, §8.3, Theorem 1(b)]) relating the degree of extension with the ramification indices and residual degrees that the value group of  $v$  is  $G'$ ; in particular  $v(x) \in G'$ . By Lemma 2.2, there exists a non-zero polynomial  $R(y) \in K_0[y]$  of degree less than  $E = E(v'/v_0)$  such that  $v(x) = v'(R(y))$ . Set

$$Z = x/R(y) \quad \text{and} \quad \eta = (cy^2 + d)/R(y)^2.$$

Since  $x^2 - cy^2 - d = 0$ , the  $v$ -residue  $Z^*$  of  $Z$  satisfies the polynomial  $X^2 - \eta^*$  over  $k'$ . In view of (8) and the fundamental inequality referred to above,  $v$  is the only extension to  $K = K_0(y, Z)$  of the valuation  $v'$  defined on  $K_0(y)$ . Recall that  $\text{char } k' \neq 2$ ; it now follows from Lemma 2.4 applied to the extension  $K/K_0(y)$  that  $Z^* = \sqrt{\eta^*}$  is not in  $k'$ . Since  $k'$  contains  $\Delta'$  which equals the algebraic closure of  $\Delta'$  in  $k$ , we conclude that  $Z^*$  and hence  $\eta^*$  is transcendental over  $\Delta'$ . Therefore  $k = k'(\sqrt{\eta^*})$  is proved to be a function field and hence a regular function field of a conic over  $\Delta' = \Delta$ , as soon as we show that there exists a generator  $u$  of the simple transcendental extension  $k'/\Delta'$  such that  $\eta^*$  is a polynomial in  $u$  of degree  $\leq 2$  with coefficients from  $\Delta'$ . By Lemma 2.3,  $\eta^*$  is itself a generator, say  $u$ , of the simple transcendental extension  $k'/\Delta'$ , if  $\deg(cy^2 + d) \leq E$ ; in fact in this situation  $k = \Delta'(\sqrt{\eta^*})$  is a simple transcendental extension of  $\Delta'$ . The remaining case is when  $E = 1$ , i.e., when there exist  $a, b \in K_0$  such that  $((y-a)/b)^* = u$  (say) is transcendental over  $k_0$ . In this case the polynomial  $R(y)$  being of degree less than  $E = 1$ , must be a constant say  $R$ . Therefore on writing  $\eta = (cy^2 + d)/R^2$  as a polynomial in  $(y-a)/b$ , we conclude that  $\eta^*$  is a polynomial of degree  $\leq 2$  in  $u$  over  $k_0$ . The theorem is now completely proved.

**Proof of Theorem 1.2.** We retain the notation  $v', k', \Delta', G'$  and  $\Delta$  of the above proof. It is clear from this proof that the situation when the transcendental extension  $k/\Delta$  is



not a simple transcendental extension can arise only when  $[k:k'] = 2$ ,  $\Delta' = \Delta$  and  $E(v'/v_0) = 1$ . As remarked in the proof,  $G = G'$  in this case. The desired assertion now follows from the well-known inequality (cf. [10, p. 586, §1.2])

$$E(v'/v_0) \geq [G':G_0][\Delta':k_0] = [G:G_0][\Delta:k_0].$$

Before constructing an example to show that the result of Theorem 1.1 may not hold even if  $K/K_0$  is regular in the case  $\text{char } k_0 = 2$ , we prove a small lemma which occurs essentially in [11].

**Lemma B.** *Let  $c$  and  $d$  be elements of a field  $F_0$ .*

- (i) *If  $cd \neq 0$ , then the polynomial  $X^2 - cy^2 - d$  is irreducible over  $F_0$  provided  $\text{char } F_0 \neq 2$ .*
- (ii) *If  $\sqrt{c} \notin F_0$  or  $\sqrt{d} \notin F_0$ , then the polynomial  $X^2 - cY^2 - d$  is irreducible over  $F_0$  when  $\text{char } F_0 = 2$ .*
- (iii) *Suppose that  $\text{char } F_0$  is 2 and that  $[F_0(\sqrt{c}, \sqrt{d}):F_0] = 4$ . If  $F = F_0(x, y)$  is the function field of a conic over  $F_0$  where  $(x, y)$  satisfies  $x^2 - cy^2 - d = 0$ , then  $F_0$  is algebraically closed in  $F$ .*

**Proof.** The proof of (i) and (ii) is a routine calculation and is omitted. To prove (iii), let  $\alpha$  be an element of  $F$  which is algebraic over  $F_0$ . Since  $F(\sqrt{c}, \sqrt{d}) = F_0(\sqrt{c}, \sqrt{d}, y)$  is a simple transcendental extension of  $F_0(\sqrt{c}, \sqrt{d})$ , so  $\alpha$  must be in  $F_0(\sqrt{c}, \sqrt{d})$ , say  $\alpha = r + s\sqrt{c} + t\sqrt{d} + u\sqrt{cd}$  where  $r, s, t, u \in F_0$ . On the other hand, we can write  $\alpha = \beta + \gamma x$  where  $\beta, \gamma \in F_0(y)$  and hence  $\alpha = \beta + \gamma y\sqrt{c} + \gamma\sqrt{d}$  as  $x = y\sqrt{c} + \sqrt{d}$ . Since  $[F_0(y)(\sqrt{c}, \sqrt{d}):F_0(y)] = 4$ , we can equate the coefficients of  $1, \sqrt{c}, \sqrt{d}, \sqrt{cd}$  in these formulae for  $\alpha$  and deduce that  $\gamma = 0$ , so  $\alpha = \beta = r \in F_0$ .

**Example 3.1.** Let  $K_0$  be a field of char 0 and  $v_0$  be a valuation of  $K_0$  having residue field  $k_0$  with  $\text{char } k_0 = 2$  and suppose there exist  $u, t \in k_0$  such that  $[k_0(\sqrt{u}, \sqrt{t}):k_0] = 4$  (e.g. one can take  $K_0 = \mathbb{Q}(x_1, x_2)$ , a purely transcendental extension of tr. degree 2 of the field of rationals; define  $v_0$  on  $\mathbb{Q}[x_1, x_2]$  by  $v_0(\sum a_{ij}x_1^i x_2^j) = \min(w_2(a_{ij}))$  where  $w_2$  is the 2-adic valuation of  $\mathbb{Q}$ , and choose  $t, u$  to be the  $v_0$ -residues of  $x_1$  and  $x_1 + x_2$ ). Pick any  $c, d \in K_0$  having  $v_0$ -residues  $u, t$  respectively. Let  $K = K_0(x, y)$  where  $x, y$  satisfy the relation  $X^2 - cY^2 - d = 0$ ; observe that the polynomial on the left hand side is irreducible over the algebraic closure of  $K_0$  by Lemma B(i) and hence defines a regular function field of a conic over  $K_0$  in view of [12, p. 18, Theorem 5]. Let  $v'$  denote the valuation of the field  $K_0(y)$  which is defined for any polynomial  $F(y) = \sum_{i=0}^r f_i y^i$ ,  $f_i \in K_0$ , by

$$v'(F(y)) = \min_i (v_0(f_i)).$$

The residue field  $k'$  of  $v'$  is a simple transcendental extension  $k_0(y^*)$  of  $k_0$  where  $y^*$

denotes the  $v'$ -residue of  $y$  (cf. [2, Chapter 6, §10.1, Proposition 2]). Let  $v$  be a valuation of  $K$  which extends  $v'$  and  $k$  denote its residue field. It is easily verified that  $k = k_0(x^*, y^*)$  where the  $v$ -residues  $x^*, y^*$  of  $x$  and  $y$  satisfy the irreducible (by Lemma B(ii)) polynomial relation  $X^2 - uY^2 - t = 0$ . Since  $[k_0(\sqrt{u}, \sqrt{t}): k_0] = 4$ ,  $k/k_0$  is not separable; further in view of Lemma B(iii),  $k_0$  is algebraically closed in  $k$ . Hence  $k$  cannot be regular function field of a conic over any subfield of  $k$  containing  $k_0$ .

**Remark 3.2.** Let  $K_0, K, v_0, v, k_0, k$  be as in Theorem 1.1 with  $k/k_0$  non-algebraic. It follows from the genus inequality of Matignon ([4]) that  $k$  is a function field of a conicover a finite extension of  $k_0$  even if  $\text{char. } k_0 = 2$ .

**Acknowledgement.** The authors are highly thankful to the referee for giving several constructive suggestions to improve the exposition, pointing out minor errors and especially for his simple proofs of Lemma 2.4 and Lemma B(iii).

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CENTRE FOR ADVANCED STUDY IN MATHEMATICS  
 PANJAB UNIVERSITY  
 CHANDIGARH—160014  
 INDIA