

# COMPLEX DOUBLES OF BORDERED KLEIN SURFACES WITH MAXIMAL SYMMETRY

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**1. Introduction.** A compact bordered Klein surface  $X$  of algebraic genus  $g \geq 2$  has *maximal symmetry* [6] if its automorphism group  $A(X)$  is of order  $12(g-1)$ , the largest possible. The bordered surfaces with maximal symmetry are clearly of special interest and have been studied in several recent papers ([6] and [9] among others).

Associated with a bordered Klein surface  $X$  in a natural way is its complex double  $X_c$  [1], a classical Riemann surface of the same genus  $g$ . Suppose that  $X$  has maximal symmetry. Then it is natural to ask how large the automorphism group of the complex double  $X_c$  can be. Since the bordered surface  $X$  is a very symmetrical object, then  $X_c$  should also be very symmetrical. Indeed, it is easy to show that  $X_c$  always has at least  $24(g-1)$  automorphisms, and we originally expected that in several cases  $X_c$  would have a larger automorphism group. Of course, the surface  $X_c$  has at most  $168(g-1)$  automorphisms (including the orientation-reversing ones); this is just twice the classical bound of Hurwitz.

Here we prove, however, that the order of the automorphism group of  $X_c$  is  $24(g-1)$ , with a single exception. There is a unique Klein surface  $Y$  (defined in §4) with maximal symmetry such that its complex double has  $48(g-1)$  automorphisms. The surface  $Y$  has genus two and topologically is a sphere with three holes. Our main result is the following.

**THEOREM 1.** *Let  $X$  be a bordered Klein surface with maximal symmetry of genus  $g \geq 2$ . If  $X$  is not dianalytically equivalent to the surface  $Y$  of genus 2, then the automorphism group of the complex double  $X_c$  is isomorphic to  $C_2 \times A(X)$ .*

**2. NEC groups.** Non-euclidean crystallographic (NEC) groups have been quite helpful in studying automorphism groups of Klein surfaces. Let  $\mathcal{L}$  denote the group of automorphisms of the open upper half-plane  $D$ , and let  $\mathcal{L}^+$  denote the subgroup of index 2 consisting of the orientation-preserving automorphisms. An NEC group is a discrete subgroup  $\Gamma$  of  $\mathcal{L}$ , and we shall assume that the quotient space  $D/\Gamma$  is compact. An NEC group contained in  $\mathcal{L}^+$  is called a *Fuchsian group*. If  $\Gamma$  is an NEC group containing orientation-reversing elements, then  $\Gamma$  is called a *proper NEC group*. In this case  $\Gamma$  has a canonical Fuchsian subgroup  $\Gamma^+ = \Gamma \cap \mathcal{L}^+$  of index 2.

Associated with the NEC group  $\Gamma$  is its *signature*, which has the form

$$(p; \pm; [m_1, \dots, m_r]; \{(n_{11}, \dots, n_{1s_1}), \dots, (n_{k1}, \dots, n_{ks_k})\}). \quad (2.1)$$

The quotient space  $X = D/\Gamma$  is a compact surface with topological genus  $p$  and  $k$  holes. The surface is orientable if the  $+$  sign is used and non-orientable if the  $-$  sign is used. The integers  $m_1, \dots, m_r$ , called the *ordinary periods*, are the ramification indices of the natural quotient mapping from  $D$  to  $X$  in fibers above interior points of  $X$ . The integers  $n_{i1}, \dots, n_{is_i}$ , called the *link periods*, are the ramification indices in fibers above points on the  $i$ th boundary component of  $X$ . Associated with each signature is a presentation for the NEC group  $\Gamma$ . For these presentations and more information about signatures, see [7] and [13].

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Let  $\Gamma$  be an NEC group with signature (2.1). Then the non-euclidean area  $\mu(\Gamma)$  of a fundamental region for  $\Gamma$  can be calculated directly from the signature [13, p. 235]:

$$\mu(\Gamma)/2\pi = \alpha p - 2 + \sum_{i=1}^r \left(1 - \frac{1}{m_i}\right) + k + \sum_{i=1}^k \sum_{j=1}^{s_i} \frac{1}{2} \left(1 - \frac{1}{n_{ij}}\right), \quad (2.2)$$

where  $\alpha = 2$  if  $D/\Gamma$  is orientable and  $\alpha = 1$  if  $D/\Gamma$  is non-orientable. If  $\Delta$  is a subgroup of finite index in  $\Gamma$ , then  $\Delta$  is an NEC group and

$$[\Gamma : \Delta] = \mu(\Delta)/\mu(\Gamma). \quad (2.3)$$

There is a collection of results [3, p. 506] that can sometimes be applied to determine the signature of  $\Delta$  from that of  $\Gamma$ .

An NEC group  $K$  is called a *surface group* if the quotient map from  $D$  to  $D/K$  is unramified. Fuchsian surface groups contain no elements of finite order. If the quotient space  $D/K$  has a non-empty boundary, then  $K$  is called a *bordered surface group*. Bordered surface groups contain reflections but no other elements of finite order.

Let  $X$  be a compact Klein surface of algebraic genus  $g \geq 2$ . Then  $X$  can be represented as  $D/K$  where  $K$  is a surface group. If  $X$  is a classical Riemann surface, then  $K$  is a Fuchsian group, and if  $X$  is a Klein surface with non-empty boundary, then  $K$  is a bordered surface group.

The full automorphism group  $A(X)$  is isomorphic to  $N(K)/K$  where  $N(K)$  is the normalizer of  $K$  in  $\mathcal{L}$  [8, p. 4]. If  $X$  is a Riemann surface so that the Fuchsian group  $K \subset \mathcal{L}^+$ , then the group  $A^+(X)$  of orientation-preserving automorphisms of  $X$  is isomorphic to  $N^+(K)/K$ , where  $N^+(K)$  is the normalizer of  $K$  in  $\mathcal{L}^+$ .

Especially important in the study of automorphisms of Riemann surfaces are the triangle groups. A triangle group is a Fuchsian group with signature

$$(0; +; [l, m, n]; \{ \})$$

where

$$1/l + 1/m + 1/n < 1.$$

We shall denote a group with this signature by  $\Gamma(l, m, n)$ . The *extended* triangle group  $\Gamma[l, m, n]$  is a proper NEC group with signature

$$(0; +; [ ]; \{(l, m, n)\}).$$

Its canonical Fuchsian group is a triangle group  $\Gamma(l, m, n)$ . Large groups of orientation-preserving automorphisms are quotients of triangle groups. Singerman made this idea precise in the following [15, p. 22].

**LEMMA A.** *Let  $G$  be a group of orientation-preserving automorphisms of a Riemann surface of genus  $g \geq 2$ . If  $o(G) > 12(g - 1)$ , then  $G$  is a quotient of a triangle group. If  $o(G) > 24(g - 1)$ , then, further, one of the periods of the triangle group is 2.*

Large groups of automorphisms of bordered Klein surfaces are quotients of proper NEC groups. A group that acts as the automorphism group of a bordered surface with maximal symmetry is called an  $M^*$ -group [8]. The first important result about  $M^*$ -groups was that they must have a certain partial presentation [8, p. 5]. An  $M^*$ -group  $G$  is generated by three distinct non-trivial elements  $T$ ,  $U$ , and  $V$  which satisfy the relations

$$T^2 = U^2 = V^2 = (TU)^2 = (TV)^3 = 1. \quad (2.4)$$

This was established using NEC groups. The finite group  $G$  is the automorphism group of a bordered surface  $X$  with maximal symmetry if and only if there are an NEC group  $\Delta$  with signature

$$(0; +; [ \ ]; \{(2, 2, 2, 3)\}) \tag{2.5}$$

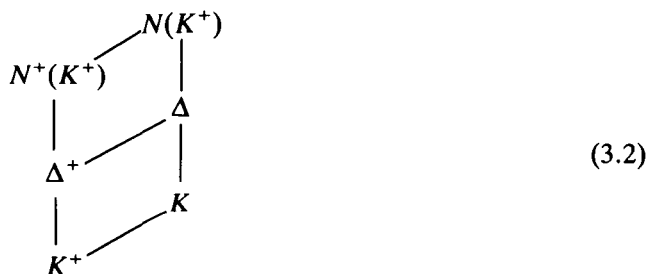
and a homomorphism  $\alpha: \Delta \rightarrow G$  onto  $G$  such that  $X = D/K$  where  $K = \text{kernel } \alpha$  is a bordered surface group [8, pp. 4–6]. There is a similar result about groups of the second largest possible order.

The order of  $UV$  is called an *index* of the  $M^*$ -group  $G$  [6], and there is a nice connection between the index and the action of  $G$  on  $X$  [6, p. 282]. If  $X$  has  $k$  boundary components and  $q = o(UV)$ , then  $o(G) = 2qk$ .

**3. Complex doubles.** Let  $X$  be a bordered Klein surface. Associated with  $X$  in a very natural way is its *complex double*  $X_c$  [1, pp. 37–41], a Riemann surface of the same genus. The surface  $X_c$  has an antianalytic involution  $\sigma: X_c \rightarrow X_c$  such that  $X_c/\sigma = X$ . The automorphism groups of  $X$  and  $X_c$  are intimately connected [1, p. 79]:

$$A(X) \cong \{f \in A^+(X_c) \mid \sigma f = f \sigma\}. \tag{3.1}$$

Now let  $X$  be a bordered Klein surface with maximal symmetry of genus  $g$ , and let  $H = A(X)$ , an  $M^*$ -group. There is an NEC group  $\Delta$  with signature (2.5) and a homomorphism  $\alpha: \Delta \rightarrow H$  onto  $H$  such that  $X = D/K$  where  $K = \text{kernel } \alpha$  is a bordered surface group. The surface  $D/K^+$  is the complex double of  $X$  [8, p. 3], and we have the following lattice of subgroups of  $\mathcal{L}$ .



Here  $\Delta^+/K^+ \cong \Delta/K \cong H$ ,  $K/K^+ \cong \langle \sigma \rangle$ , and  $\Delta/K^+ \cong \langle \sigma \rangle \times H$ .

Now let  $G = A^+(X_c)$ . Then  $H$  is isomorphic to a subgroup of  $G$  by (3.1), and  $\langle \sigma \rangle \times H$  is isomorphic to the centralizer of  $\sigma$  in  $A(X_c)$ . Since  $X$  has maximal symmetry,  $o(H) = 12(g - 1)$  and thus  $o(G)$  is a multiple of  $12(g - 1)$ . There are very few possibilities for  $o(G)$ . We have the following easy result.

**PROPOSITION 1.** *Let  $W$  be a Riemann surface of genus  $g \geq 2$ , and let  $G = A^+(W)$ . If  $W$  is the complex double of a bordered surface with maximal symmetry, then  $o(G)$  is a multiple of  $12(g - 1)$ . If further  $o(G) > 24(g - 1)$ , then  $o(G)$  is one of the following; in each case  $G$  is a quotient of the triangle group listed.*

- |    |                    |                   |
|----|--------------------|-------------------|
| 1) | $o(G) = 84(g - 1)$ | $\Gamma(2, 3, 7)$ |
| 2) | $o(G) = 48(g - 1)$ | $\Gamma(2, 3, 8)$ |
| 3) | $o(G) = 36(g - 1)$ | $\Gamma(2, 3, 9)$ |

If  $o(G) = 24(g - 1)$ , then  $G$  is a quotient of  $\Gamma(2, 4, 6)$ ,  $\Gamma(2, 3, 12)$  or  $\Gamma(3, 3, 4)$ . If  $o(G) = 12(g - 1)$ , then  $G$  is a quotient of a Fuchsian group with signature

$$(0; +; [2, 2, 2, 3]; \{ \}). \quad (3.3)$$

In any case the order of the full automorphism group  $A(W)$  is twice the order of  $G$ .

*Proof.* Let  $X$  be the bordered surface with maximal symmetry and use the notation in the diagram (3.2). First suppose  $o(G) = 12(g - 1)$ . Then, simply, the full group  $A(W) \cong \Delta/K^+$  and  $G \cong \Delta^+/K^+$ . The signature of the canonical Fuchsian subgroup  $\Delta^+$  is (3.3) [13, p. 236].

Next suppose  $o(G) > 12(g - 1)$ . By Lemma A,  $G$  is a quotient of a triangle group  $\Gamma = \Gamma(l, m, n)$ , and we may take  $l \leq m \leq n$ . Then  $G \cong \Gamma/K^+$ , where  $\Gamma = N^+(K^+)$ . From (2.2)  $\mu(K^+) = 4\pi(g - 1)$  and  $\mu(\Gamma) = 2\pi(1 - 1/l - 1/m - 1/n)$ . Then  $o(G) = \mu(K^+)/\mu(\Gamma)$  by (2.3), and  $o(G)$  is a multiple of  $12(g - 1)$ . It is now a simple matter to check all possibilities for  $l, m$  and  $n$ .

**4. Surfaces of low genus.** Here we examine the large automorphism groups of Riemann surfaces of genus  $g$ , where  $2 \leq g \leq 5$ . The proof of our main result depends upon this study of the low genera, although perhaps the examples help illuminate the theoretical development.

Let  $W$  be a Riemann surface of genus  $g$ ,  $2 \leq g \leq 5$ . First we determine the possibilities for  $A^+(W)$  with order equal to  $36(g - 1)$ ,  $48(g - 1)$  or  $84(g - 1)$ ; fortunately there are not many. For each possible group we next obtain a presentation for  $A(W)$  as a quotient of the appropriate extended triangle group; Theorem 2 of [15] is helpful when only the presentation for  $A^+(W)$  is known. Then for each reflection  $\tau$  in  $A(W)$ , we calculate the order of its centralizer  $C(\tau)$  in  $A(W)$ . This can be determined by finding the number of conjugates of  $\tau$  in  $A(W)$ , since this number equals the index of  $C(\tau)$  in  $A(W)$ . If the order of  $C(\tau)$  is less than  $24(g - 1)$ , then the bordered Klein surface  $W/\tau$  does not have maximal symmetry. It turns out that in these genera, no Riemann surface with more than  $24(g - 1)$  orientation-preserving automorphisms is the complex double of a surface with maximal symmetry. We omit the details.

**PROPOSITION 2.** *Let  $X$  be a bordered Klein surface with maximal symmetry of genus  $g$ ,  $2 \leq g \leq 5$ . Then the order of  $A(X_c)$  is  $24(g - 1)$  or  $48(g - 1)$ .*

There is a strong connection here with the theory of regular maps on surfaces. We are using "regular" in the usual way [4], not in the strong sense of [6]. Large groups of automorphisms of Riemann surfaces correspond to groups of regular maps. A Riemann surface is called *symmetric* [15] if it has an anti-conformal involution.

Let  $G$  be a group of conformal automorphisms of a Riemann surface  $W$ . If  $G$  is a quotient of a triangle group  $\Gamma(2, n, k)$ , then there is a regular map of type  $\{n, k\}$  on the topological surface  $W$ . If the map is reflexible, then  $W$  is a symmetric Riemann surface, and further  $A(W)$  is isomorphic to the full automorphism group of the map.

Conversely, if  $G$  is the rotation group of a regular map of type  $\{n, k\}$  on a surface  $S$ , then  $G$  is a quotient of a triangle group  $\Gamma(2, n, k)$  and  $G$  acts as a group of conformal automorphisms of a Riemann surface homeomorphic to  $S$ . However, the symmetry of the Riemann surface need not imply the reflexivity of the map. Indeed there are symmetric

Riemann surfaces that correspond to irreflexible maps [15, p. 30]. However, irreflexible maps of positive genus are rather exceptional, and in fact Garbe [5, p. 42] has shown that for  $2 \leq g \leq 6$ , there are no irreflexible maps at all. This is relevant here. Also, if  $n \neq k$ , then the symmetry of the Riemann surface does imply the reflexivity of the map. For more details on this correspondence, see [15, pp. 27, 28].

Hence, for  $2 \leq g \leq 5$ , symmetric Riemann surfaces with large automorphism groups correspond to reflexible regular maps. A great deal is known about the automorphism groups of Riemann surfaces and regular maps of these genera. The possibilities for  $A^+(W)$  when  $W$  has genus 2 were first determined by Wiman in 1895, and the full automorphism groups are worked out in [3]. The regular maps of genus 3 were classified by Sherk [12], and those of genera 4 and 5 by Garbe [5]. The possibilities that must be considered to establish Proposition 2 are in the table. The symbols for the maps and groups are from [4].

Genus	Map	$A^+(W)$	$A(W)$	Order $A(W)$	Triangle group	References
2	$\{3, 4 + 4\}$	$\langle -3, 4 \mid 2 \rangle$		96	$\Gamma(2, 3, 8)$	[4, p. 140], [12, p. 460], [3, p. 518]
3	$\{3, 8\}_6$	$(2, 3, 8; 3)$	$G^{3,8,6}$	192	$\Gamma(2, 3, 8)$	[4, p. 139], [12, p. 475]
3	$\{3, 8\}_7$	$\text{PSL}(2, 7)$	$\text{PGL}(2, 7)$	336	$\Gamma(2, 3, 7)$	[4, p. 139], [12, p. 475]
5				384	$\Gamma(2, 3, 8)$	[5, p. 54]

We conclude this section by presenting the Klein surface with maximal symmetry such that its complex double has  $48(g - 1)$  automorphisms.

EXAMPLE. Let  $G_{48}$  be the group with generators  $A, B$ , and  $C$  and defining relations

$$A^2 = B^2 = C^2 = (AB)^4 = (BC)^6 = (AC)^2 = (BABC)^2 = 1. \tag{4.1}$$

The group  $G_{48}$  is a group of order 48 that acts on a unique Riemann surface  $W$  of genus two [3, p. 517]. Also  $G_{48}$  is the full automorphism group of the map  $\{4, 6 \mid 2\}$  [4, p. 110], the only regular map of type  $\{4, 6\}$  with genus two. The group  $G_{48}$  is a quotient of the extended triangle group  $\Gamma[2, 4, 6]$ , and the uniqueness of  $W$  follows from the uniqueness of this triangle group together with the classification of the regular maps of genus two [4, p. 140]. Also see [3, p. 518]. The centralizer of the reflection  $A$  has order 24, so that the Klein surface  $Y = W/A$  has the maximal symmetry. Topologically  $Y$  is a sphere with three holes; the automorphism group of  $Y$  is  $D_6$ , the only  $M^*$ -group of genus two.

The conjugacy class of  $A$  is  $\{A, BAB\}$ , and the Klein surfaces  $W/A$  and  $W/BAB$  are dianalytically equivalent [1, pp. 57, 58]. Outside this conjugacy class no reflection has a centralizer with order greater than 16. Thus there is a unique bordered Klein surface  $Y$  of genus two with maximal symmetry and complex double  $W$ . Theorem 1 says that  $Y$  is very special indeed.

**5. The proof of Theorem 1—part one.** We now show that the automorphism group of the complex double of a surface with maximal symmetry cannot be too large. We first eliminate the three largest possible group orders in Proposition 1.

Let  $X$  be a bordered Klein surface with maximal symmetry of genus  $g \geq 2$ , and let  $W$

be its complex double. Let  $\sigma$  be the antianalytic involution of  $W$  such that  $W/\sigma = X$ . We set

$$G = A^+(W) \quad H = \{f \in G \mid \sigma f = f\sigma\} \quad L = \langle \sigma \rangle$$

Then  $H$  is isomorphic to the  $M^*$ -group  $A(X) = (L \times H)/L$ . We identify  $H$  and  $A(X)$ .

The following is basic.

LEMMA 1. *Suppose  $N$  is a normal subgroup of  $G$  such that  $N \subset H$  with  $[H:N] > 6$ . Set  $W' = W/N$ ,  $X' = X/N$ ,  $G' = G/N$ ,  $H' = H/N$ . Then*

- 1)  $X'$  is a bordered surface with maximal symmetry of genus  $g' \geq 2$ .
- 2)  $W'$  is the complex double of  $X'$ .
- 3) The following diagram commutes, and each quotient mapping is unramified.

$$\begin{array}{ccc} W & \longrightarrow & W' = W/N \\ \downarrow & & \downarrow \\ X = W/L & \longrightarrow & X' = X/N \end{array}$$

*Proof.* Part 1) was established in [6, p. 271]. We are identifying  $N$  and  $(L \times N)/L$ , so that  $X' = X/((L \times N)/L) = W/(L \times N) = (W/N)/((L \times N)/N)$ . Thus the diagram commutes, and  $(L \times N)/N \cong C_2$  acts on  $W'$  as a reflection. The quotient space  $W'$  is a Riemann surface since  $N \subset A^+(W)$ . The mapping  $X \rightarrow X'$  is unramified [6, p. 271] so that each mapping in the diagram must be unramified. Finally  $W'$  is the complex double of  $X'$ , by the uniqueness of this covering [1, p. 37].

COROLLARY 1. *If  $o(G) = k(g - 1)$ , then  $o(G') = k(g' - 1)$ .*

*Proof.* Since the quotient map from  $W$  to  $W'$  is unramified, the classical Hurwitz ramification formula gives

$$g - 1 = o(N) \cdot (g' - 1).$$

COROLLARY 2. *If  $o(G) = k(g - 1)$  where  $k$  is 36, 48, or 84, then  $G' = A^+(W')$ .*

*Proof.* Since  $G'$  is a subgroup of  $A^+(W')$ , the result follows by Lagrange's Theorem. We also need the following technical result.

LEMMA 2. *Let  $H$  be a solvable  $M^*$ -group with  $o(H) \geq 48$ . Suppose  $A$  is a normal subgroup with  $[H:A] = 6$ . If  $A$  is an elementary abelian 2-group, then  $H \cong C_2 \times S_4$ .*

*Proof.* The quotient  $H/A \cong S_3$ , the only possible quotient of an  $M^*$ -group of order 6. Now it is not hard to see that  $H$  has no elements with order larger than 6. Hence  $H$  is a quotient of a group  $G^{3,m,n}$ , where  $m \leq n \leq 6$  [6, p. 278]. Then from the table in [4, p. 139], the only possibility is  $H \cong C_2 \times S_4$ .

The following result gives a way to find normal subgroups with index larger than 6 in solvable  $M^*$ -groups. As usual, we denote the Frattini subgroup of a finite group  $G$  by  $\Phi(G)$ . Also, if  $p$  is a prime, then  $G$  has a unique largest normal  $p$ -subgroup [10, p. 57], which is denoted  $O_p(G)$ . Both  $\Phi(G)$  and  $O_p(G)$  are characteristic subgroups of  $G$ .

LEMMA 3. *Let  $H$  be a solvable  $M^*$ -group with  $o(H) > 48$ , and let  $N$  be a normal subgroup of  $H$  with  $[H:N] = 6$ . Then  $N$  has a nontrivial characteristic subgroup  $C$ .*

*Proof.* Since  $N$  is solvable,  $O_p(N) \neq 1$  for some prime  $p$  [10, p. 155]. If  $O_p(N) \neq N$ , then set  $C = O_p(N)$ . So assume  $O_p(N) = N$ . Since 12 divides  $o(H)$ , we must have  $p = 2$  and  $N$  is a 2-group. Now  $\Phi(N) \neq N$ . If  $\Phi(N) = 1$ , then  $N$  would be an elementary abelian 2-group. But this would contradict Lemma 2. Hence  $\Phi(N) \neq 1$ . Now set  $C = \Phi(N)$ .

**PROPOSITION 3.** *The order of  $G$  is not  $48(g - 1)$ .*

*Proof.* Suppose to the contrary that there are bordered surfaces with maximal symmetry that have complex doubles with  $48(g - 1)$  orientation-preserving automorphisms. Assume that  $X$  is such a bordered surface of the lowest genus. By Proposition 2, we know its genus  $g > 5$ .

Now  $o(G) = 48(g - 1)$  so that  $G$  is a quotient of a  $\Gamma(2, 3, 8)$  triangle group. Thus  $G$  is solvable [11, p. 19].

Also  $[G : H] = 4$  and there is a homomorphism  $f : G \rightarrow S_4$  with  $J = \text{kernel } f \subset H$ . Since  $H$  is an  $M^*$ -group, the order of  $H/J$  cannot be 3. Thus  $[H : J] = 1, 2$ , or 6. In each case  $J$  has a characteristic subgroup  $C$  with  $[H : C] > 6$ . If  $[H : J] = 6$ , then this is immediate from Lemma 3. The other two cases are harder.

First assume  $[H : J] = 2$ . Clearly  $H' \subset J$ , and we know  $[H : H']$  divides 4 [6, p. 278]. Hence either  $H' = J$  or  $[J : H'] = 2$ .

Suppose  $H' = J$ . Then  $H'' = J'$  of course. But  $[H' : H'']$  divides 9 [6, p. 278]. The group  $H'$  is solvable, and a quotient of the  $M^*$ -group  $H$  cannot have order 18. Hence  $[H' : H''] = 3$ . Now  $[H : H''] = 6$ , and by Lemma 3  $H''$  has nontrivial characteristic subgroup  $C$ . Since  $H'' = J'$  is characteristic in  $J$ , so is  $C$ .

Next suppose  $[J : H'] = 2$ . Then  $H'' \subset J' \subset H'$  and  $[H' : H'']$  is 3 or 9. If  $J' = H'$ , then  $H''$  is characteristic in  $J$  and  $[H : H'']$  is 12 or 36; set  $C = H''$ . If  $J' \neq H'$ , then  $[H' : J'] \geq 3$  and  $[H : J'] \geq 12$ ; set  $C = J'$ .

Now assume  $H = J$ . Then  $[H : H''] \geq 6$ . If  $[H : H''] > 6$ , then take  $C = H''$ . If  $[H : H''] = 6$ , then  $H''$  has a nontrivial characteristic subgroup  $C$  by Lemma 3 again, and  $C$  is characteristic in  $J$ .

Since  $J$  is normal in  $G$ , so is its characteristic subgroup  $C$  [10, p. 40]. Now applying Lemma 1 produces a bordered surface  $X' = X/C$  of lower genus  $g'$  that has maximal symmetry and a complex double with  $48(g' - 1)$  orientation-preserving automorphisms. But this contradicts the choice of  $X$ .

The proof of the following is similar but easier, and we omit the details.

**PROPOSITION 4.** *The order of  $G$  is not  $36(g - 1)$ .*

The key here is that if  $o(G)$  were  $36(g - 1)$ , then  $G$  would be a quotient of a  $\Gamma(2, 3, 9)$  triangle group and therefore solvable [11, p. 19].

Since the  $\Gamma(2, 3, 7)$  triangle group is not solvable, the proof in the remaining case is different.

**PROPOSITION 5.** *The order of  $G$  is not  $84(g - 1)$ .*

*Proof.* Suppose to the contrary that  $o(G) = 84(g - 1)$ . Now  $[G : H] = 7$  and there is a homomorphism  $f : G \rightarrow S_7$  with  $J = \text{kernel } f \subset H$ . Now  $o(G/J)$  divides  $7! = 84 \cdot 60$ . But  $G/J$  is a Hurwitz group. Let  $g'$  be the genus of  $W/J$  so that  $G/J$  has order  $84(g' - 1)$ . Now  $g' - 1$  divides 60. The Hurwitz groups of low order are well known [11, pp. 37, 38]. The only possibilities for  $g'$  are 7 and 3. But  $H/J$  is an  $M^*$ -group. Since there are no  $M^*$ -groups of genus 7 [9, p. 392], we must have  $g' = 3$ . But this contradicts Proposition 2.

**6. The proof of Theorem 1—part two.** Here we consider the remaining possibilities in Proposition 1. The approach of §5 will not work here due to the existence of the surface  $Y$  of genus two. We use NEC groups.

Let  $X$  be a bordered Klein surface of genus  $g \geq 2$ , and suppose the  $M^*$ -group  $H$  acts on  $X$ . Then represent  $X$  as  $D/K$  where  $K$  is a bordered surface group. Then there is an NEC group  $\Delta$  with signature (2.5) such that  $H = \Delta/K$ .

Now let  $G = A^+(X_c)$ ,  $G^* = A(X_c)$  and assume  $o(G) = 24(g - 1)$ . We have the subgroup lattice (3.2), but now  $\Delta^+$  is a normal subgroup of index 2 in  $N^+(K^+)$ . The normality is quite helpful. Of course  $G \cong N^+(K^+)/K^+$ .

**PROPOSITION 6.** *The full automorphism group  $G^*$  is a quotient of the extended triangle group  $\Gamma[2, 4, 6]$ .*

*Proof.* By Proposition 1,  $N^+(K^+)$  is either  $\Gamma(2, 4, 6)$ ,  $\Gamma(2, 3, 12)$  or  $\Gamma(3, 3, 4)$ . It is easy to see that neither  $\Gamma(2, 3, 12)$  nor  $\Gamma(3, 3, 4)$  contains a subgroup isomorphic to  $\Delta^+$ .

First let  $\Gamma = \Gamma(2, 3, 12)$  have presentation

$$x^3 = y^{12} = (xy)^2 = 1.$$

Assume  $\Lambda$  is a subgroup of  $\Gamma$  with  $[\Gamma : \Lambda] = 2$ . Then  $x, y^2 \in \Lambda$ ,  $y \notin \Lambda$  so that  $y^2$  induces an ordinary period 6 on  $\Lambda$  [3, p. 506]. Thus  $\Lambda$  could not have signature (3.3).

The group  $\Gamma(3, 3, 4)$  is generated by two elements of order three and thus has no subgroups of index two.

Therefore,  $N^+(K^+)$  is the triangle group  $\Gamma(2, 4, 6)$ , and  $N(K^+)$  is  $\Gamma[2, 4, 6]$ , since there is no other NEC group with its canonical Fuchsian subgroup isomorphic to  $\Gamma(2, 4, 6)$  [15, p. 21].

Now let  $\Gamma^* = \Gamma[2, 4, 6]$  have presentation

$$a^2 = b^2 = c^2 = (ab)^4 = (bc)^6 = (ac)^2 = 1.$$

We have the following diagram of groups and quotient mappings.

$$\begin{array}{ccccccc} K^+ & \xrightarrow{2} & K & \xrightarrow{12(g-1)} & \Delta & \xrightarrow{2} & \Gamma^* \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ 1 & \xrightarrow{\quad} & C_2 & \xrightarrow{\quad} & C_2 \times H & \xrightarrow{\quad} & G^* \end{array}$$

There is only one possibility for  $\Delta$ .

**PROPOSITION 7.**  $\Delta = \langle a, c, bab, bcb \rangle$ .

*Proof.* The group  $\Delta$  is a normal subgroup of index two in  $\Gamma^*$ . If any two of the three generators  $a, b, c$  were not in  $\Delta$ , then the product of these two would be in  $\Delta$  and  $\Delta$  would have an ordinary period [3, p. 506]. Hence exactly one of the three generators is not in  $\Delta$ . If  $a \notin \Delta$ , then  $\Delta$  would have a link period 6 induced by  $b, c$  [3, p. 506]. If  $c \notin \Delta$ , then  $a, b$  would induce a link period 4 on  $\Delta$ . Therefore  $b \notin \Delta$ ,  $a, c \in \Delta$ . Also  $bab, bcb \in \Delta$  since  $\Delta$  is normal in  $\Gamma^*$ .

Now let  $M = \langle a, c, bab, bcb \rangle$ . Then clearly  $M \subset \Delta$ ,  $M$  is normal in  $\Gamma^*$  and  $\Gamma^*/M \cong C_2$ . Hence  $\Delta = M$ .

Now set  $t = bcb$ ,  $u = bab$ ,  $j = a$ , and  $v = c$ . The four elements  $t, u, j$  and  $v$  generate



$\Delta$ , of course, and it is a simple matter to check that they satisfy the relations

$$t^2 = u^2 = j^2 = v^2 = (tu)^2 = (uj)^2 = (jv)^2 = (tv)^3 = 1.$$

Thus  $t, u, j$  and  $v$  form a standard generating set for the group  $\Delta$ . Now consider the quotient mapping  $\phi: \Delta \rightarrow H$  of  $\Delta$  onto the  $M^*$ -group  $H$ . Following the proof of Theorem 1 of [8], we see that  $t \notin K = \text{kernel } \phi, v \notin K$ , but one of the remaining two generators is in  $K$ .

Assume  $j \in K$ . The proof in the other case is very similar. Let  $T = \phi(t), U = \phi(u)$ , and  $V = \phi(v)$ . Then  $T, U$  and  $V$  generate the  $M^*$ -group  $H$  and satisfy the relations (2.4). (If  $u \in K$ , then choose  $T = \phi(v), U = \phi(j), V = \phi(t)$ .) See [8, pp. 5, 6].

Next consider the quotient mapping  $\alpha: \Delta \rightarrow C_2 \times H$  of  $\Delta$  onto  $C_2 \times H$  with kernel  $\alpha = K^+$ . Since the reflection  $j$  is in  $K$  but not in the Fuchsian surface group  $K^+$ , the image  $\alpha(j)$  must generate the factor  $C_2$ . Write  $J = \alpha(j)$ . Then the direct product  $C_2 \times H$  has generators  $J, T, U$  and  $V$  that satisfy the relations (2.4) and

$$J^2 = (JT)^2 = (JU)^2 = (JV)^2 = 1.$$

The reflection  $J$  acts on the complex double  $X_c = D/K^+$  and  $X = X_c/J$ .

But conjugation by  $b$  is an inner automorphism  $h$  of  $\Gamma^*$  that interchanges  $t$  and  $v$  and also  $u$  and  $j$ . This is perhaps the crucial observation. The normal subgroup  $K^+$  is invariant under  $h$ , of course. Therefore  $h$  induces an automorphism  $\theta$  of the quotient group  $G^* = \Gamma^*/K^+$ . This is severely limiting, since  $J$  generates the factor  $C_2$  of  $C_2 \times H$ .

**PROPOSITION 8.** *The index of the  $M^*$ -group  $H$  is 2,  $H \cong D_6$  and the genus of the bordered Klein surface  $X$  is 2. Topologically  $X$  is a sphere with three holes.*

*Proof.* The index  $q$  of  $H$  is  $o(UV)$ . The action of  $\theta$  on  $G^*$  is to interchange  $T$  and  $V$  and also  $U$  and  $J$ . But the order of  $UV$  is equal to the order of  $\theta(UV) = JT$ , which is two. Hence  $q = 2$  and  $H \cong D_6$ , the only  $M^*$ -group with index 2 [9, p. 377]. With this index,  $D_6$  acts on a unique topological type of bordered surface, a sphere with three holes.

It is interesting that the index of the  $M^*$ -group must be two. In fact, we have established more.

**PROPOSITION 9.**  *$G^* \cong G_{48}$ , and  $X$  is dianalytically equivalent to the surface  $Y$  of genus two.*

*Proof.* We have  $o(G^*) = 48$ . Let  $\pi: \Gamma^* \rightarrow G^*$  be the quotient mapping, and write  $A = \pi(a), B = \pi(b), C = \pi(c)$ . In  $G^*$ ,  $(UV)^2 = (BAB \cdot C)^2 = 1$ , from the previous proof. Thus the generators  $A, B$  and  $C$  for  $G^*$  satisfy the defining relations (4.1) for  $G_{48}$ . Hence  $G^* \cong G_{48}$ .

Now the complex double  $X_c$  is the Riemann surface  $W$  of the example of §4 and  $X$  is the surface  $Y = W/A$ , since  $A = J$ .

Note that the other possibility for kernel  $\phi$  gives the surface  $W/BAB$ . This concludes the proof of Theorem 1.

**7. Teichmüller space.** Let  $\Gamma$  be a NEC group, and let  $T(\Gamma)$  be the Teichmüller space of  $\Gamma$ . Then  $T(\Gamma)$  is homeomorphic to a real open ball of dimension  $d(\Gamma)$ . If  $\Gamma$  is a Fuchsian group with signature  $(g; +; [m_1, \dots, m_r]; \{ \})$ , then  $d(\Gamma) = 6g - 6 + 2r$ . If  $\Gamma$  is a proper NEC group, then  $d(\Gamma) = d(\Gamma^+)/2$  [15, p. 19].

Now let  $\Gamma_1$  and  $\Gamma_2$  be NEC groups, and let  $\alpha: \Gamma_1 \rightarrow \Gamma_2$  be a monomorphism. Then  $\alpha$  induces an embedding  $\alpha_*: T(\Gamma_2) \rightarrow T(\Gamma_1)$ . The points in the image of this embedding correspond to groups isomorphic to  $\Gamma_1$  which are contained in groups isomorphic to  $\Gamma_2$ . In particular, if  $K$  is a surface group that is a normal subgroup of  $\Gamma$ , then the points in the image of the embedding of  $T(\Gamma)$  in  $T(K)$  correspond to surfaces with a group of automorphisms isomorphic to  $\Gamma/K$ .

An NEC group  $\Gamma_1$  is said to be *maximal* if  $\Gamma_1$  is not a proper subgroup of another NEC group. Let  $\text{Max}(\Gamma_1)$  denote the subset of  $T(\Gamma_1)$  that consists of the maximal groups. Then  $\text{Max}(\Gamma_1)$  is usually an open, everywhere dense subset of  $T(\Gamma_1)$ . However there are some exceptional NEC groups for which  $\text{Max}(\Gamma_1)$  is empty.

The signatures of all Fuchsian groups for which  $\text{Max}(\Gamma_1)$  is empty have been classified by Singerman [14]. In addition, Bujalance [2] has determined all inclusions between NEC groups with  $d(\Gamma_1) = d(\Gamma_2)$ , with the additional condition that  $\Gamma_1$  is a normal subgroup of  $\Gamma_2$ .

Now let  $X$  be a bordered Klein surface with maximal symmetry, and let  $H = A(X)$ . Then there are an NEC group  $\Delta$  with signature (2.5) and a homomorphism  $\phi: \Delta \rightarrow H$  onto  $H$  such that  $X = D/K$  where  $K = \text{kernel } \phi$  is a bordered surface group. We have the inclusions

$$K^+ \text{---} K \text{---} \Delta$$

and induced imbeddings of the Teichmuller spaces

$$T(\Delta) \text{---} T(K) \text{---} T(K^+).$$

The points in the image of  $T(\Delta)$  in  $T(K)$  correspond to bordered Klein surfaces with automorphism group  $H$ ; each surface has the same topological type as  $X$ . The points in the image of  $T(\Delta)$  in  $T(K^+)$  correspond to Riemann surfaces with a group of automorphisms isomorphic to  $C_2 \times H$ ; each surface is the complex double of a bordered Klein surface with maximal symmetry. Now let  $F$  denote the set of points in the image of  $T(\Delta)$  in  $T(K^+)$  that correspond to Riemann surfaces with full automorphism group  $C_2 \times H$ . The set  $F$  is just the image of  $\text{Max}(\Delta)$ . The canonical Fuchsian group  $\Delta^+$  has signature (3.3), and this signature is not one of the exceptional ones [14, p. 33]. Therefore  $\Delta$  is not exceptional either, and  $F$  is an open, everywhere dense subset of the image of  $T(\Delta)$  in  $T(K^+)$ . Just from these considerations, then, it follows that for most Klein surfaces with the same topological type as  $X$  and automorphism group  $H$ , the complex double of the surface has full automorphism group  $C_2 \times H$ . Theorem 1 says that, except for one topological type, *all* the surfaces have complex doubles with that automorphism group. Most spheres with three holes that have maximal symmetry have complex doubles with automorphism group  $C_2 \times D_6$ . However, the complex double of the special surface  $Y$  has automorphism group  $G_{48}$ , which is twice as big.

There is also a connection here with the main result of [3], which classifies the symmetry types of Riemann surfaces of genus two. There must be Riemann surfaces of genus two with automorphism group  $C_2 \times D_6$  that are complex doubles of orientable surfaces with three boundary components, but no surface with species +3 appears in the table [3, p. 518]. However, Singerman has informed us [16] that the table should have an additional entry, a surface  $X$  with  $A^+(X) = D_6$ ,  $A(X) = C_2 \times D_6$ , UCT group  $(0; +; [2, 2, 2, 3]; \{ \})$ , EUCT group  $(0; +; [ \ ]; \{(2, 2, 2, 3)\})$  and symmetry type  $\{0, +1, +3, +3\}$ .

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