

## SOME REMARKS ON THE $Q$ CURVATURE TYPE PROBLEM ON $\mathbb{S}^N$

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### Abstract

In this paper, we prove the existence, uniqueness and multiplicity of positive solutions of a nonlinear perturbed fourth-order problem related to the  $Q$  curvature.

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### 1. Introduction

In recent years, there has been an intensive study of the relationship between conformally covariant operators and partial differential equations. See some recent survey papers by Chang [8] and Chang and Yang [10]. Given a smooth four-dimensional compact Riemannian manifold  $(M, g)$ , let  $R_g$  and  $Ric_g$  be the scalar curvature and the Ricci curvature of  $g$ , respectively,  $div_g$  the divergence operator and  $d$  the de Rham differential; then the Paneitz operator is defined in the following way:

$$P_g \psi = \Delta_g^2 \psi - div_g \left( \frac{2}{3} R_g - 2 Ric_g \right) d\psi;$$

see Paneitz [22]. For the case  $N \geq 5$ , the Paneitz operator  $P_g$  is defined by

$$P_g = \Delta_g^2 - div_g [a_N R_g + b_N Ric_g] + \frac{N-4}{2} Q_g.$$

Here

$$Q_g = \frac{1}{2(N-1)} \Delta R_g + \frac{N^3 - 4N^2 + 16N - 16}{8(N-1)^2(N-2)^2} R_g^2 - \frac{2}{(N-2)^2} |Ric|^2$$

and

$$a_N = \frac{(N-2)^2 + 4}{2(N-1)(N-2)},$$
$$b_N = -\frac{4}{N-2}.$$

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When  $N \geq 5$ , the operator  $P_g$  has the following property: if  $\bar{g} = u^{4/(N-4)}g$  is a conformal metric of  $g$ , then for all  $\varphi \in C^\infty(M)$

$$P_g(\varphi u) = \varphi^{(N+4)/(N-4)}P_{\bar{g}}(u).$$

In particular,

$$P_g(\varphi) = \frac{N-4}{2}Q_{\bar{g}}\varphi^{(N+4)/(N-4)}.$$

Many interesting results on the Paneitz operator and related topics have been recently studied by Branson [5], Branson *et al.* [6], Chang and Yang [10], Gursky [18], Ben Ayed and El Mehdi [4], Chtioui and Rigane [11], Esposito and Robert [15], Sandeep [24] and many others. In particular, when  $N \geq 5$ , Djadli *et al.* [12] studied the coercivity of the Paneitz operator and the positivity of solutions. Moreover, Djadli *et al.* [13] and Hebey and Robert [19] studied the blow-up analysis of the  $Q$  curvature equation.

Let us now consider the question: *given a smooth function  $Q$  on  $\mathbb{S}^N$  ( $N \geq 5$ ), does there exist a metric  $g$  conformal to the standard metric  $g_0$  such that  $Q = Q_g$ ?*

If we assume a conformal transformation of the form  $g = w^{4/(N-4)}g_0$ , the answer to the above question is ‘yes’ if and only if we can solve for  $w$  in the equation

$$\begin{cases} P_{g_0}w = \frac{N-4}{2}Q(x)w^{(N+4)/(N-4)} & \text{in } \mathbb{S}^N, \\ w > 0 & \text{in } \mathbb{S}^N. \end{cases} \tag{1.1}$$

The problem of finding  $Q$  such that (1.1) possesses a solution can be seen as the generalization to the Paneitz operator of the so-called ‘Nirenberg problem’  $Q$ ; namely: which functions on  $\mathbb{S}^N$  are the scalar curvature of a metric conformal to the standard one? The Nirenberg problem has been studied by several authors; we mention Ambrosetti *et al.* [2], Chang and Yang [10], Chang *et al.* [9] and Kazdan and Warner [20]. A detailed bibliography on the Nirenberg problem can be found in Ambrosetti and Malchiodi [3].

It can be checked that the Paneitz operator on  $(\mathbb{S}^N, g_0)$  is given by

$$P_{g_0}w = \Delta_{\mathbb{S}^N}^2w - \frac{1}{2}(N^2 - 2N - 4)\Delta_{\mathbb{S}^N}w + \frac{(N-4)N(N^2 - 4)}{16}w. \tag{1.2}$$

Consider the inverse of the stereographic projection

$$\Pi : \mathbb{R}^N \rightarrow \mathbb{S}^N$$

given by

$$x \mapsto \left( \frac{2x}{1 + |x|^2}, \frac{|x|^2 - 1}{|x|^2 + 1} \right).$$

The spherical metric  $g_0$  is given in terms of the stereographic coordinate system as

$$g_0 = \frac{4 dx^2}{(1 + |x|^2)^2}.$$

Hence, by a direct computation,

$$P_{g_0} \Phi(u) = \left( \frac{1 + |x|^2}{2} \right)^{(N+4)/2} \Delta^2 u \quad \text{for all } u \in C^\infty(\mathbb{R}^N),$$

where

$$\Phi(u)(y) = u(\Pi(x)) \left( \frac{1 + |\Pi(x)|^2}{2} \right)^{(N-4)/2}, \quad y = \Pi(x).$$

Then (1.2) reduces to

$$\Delta^2 u = \tilde{Q}(x) u^{(N+4)/(N-4)} \quad \text{in } \mathbb{R}^4, \quad \text{where } \tilde{Q} = Q \circ \Pi. \tag{1.3}$$

Let us consider the problem (1.1) by taking  $Q$  to be a perturbation of a constant function. More precisely, we let  $Q = (1 + \varepsilon h)$ , where  $h$  is a smooth function on  $\mathbb{S}^N$  and  $\varepsilon > 0$  is a small parameter. Using the stereographic projection from  $\mathbb{S}^N$  to  $\mathbb{R}^N$ , we transform (1.3) (with  $f$  denoting the transformed function  $h$ ) to the following problem:

$$\begin{cases} \Delta^2 u = (1 + \varepsilon f(x)) u^{(N+4)/(N-4)} & \text{in } \mathbb{R}^N, \\ u > 0 & \text{in } \mathbb{R}^N. \end{cases} \tag{1.4}$$

But, in this paper, we consider the nonlinear perturbed problem

$$\begin{cases} \Delta^2 u = u^{(N+4)/(N-4)} + \varepsilon f(x) u^q & \text{in } \mathbb{R}^N, \\ u > 0 & \text{in } \mathbb{R}^N, \end{cases} \tag{1.5}$$

with  $f(\neq 0) \in L^\infty(\mathbb{R}^N) \cap L^1(\mathbb{R}^N)$ ,  $\varepsilon$  being a positive parameter and  $1 < q \leq (N + 4)/(N - 4)$ . Note that when  $q = (N + 4)/(N - 4)$ , then (1.5) reduces to (1.4). When  $q = (N + 4)/(N - 4)$ , it is enough to have  $f \in L^\infty(\mathbb{R}^N)$ .

Note that (1.5) is related to the entire space problem

$$\begin{cases} \Delta^2 U = U^{(N+4)/(N-4)} & \text{in } \mathbb{R}^N, \\ U \in \mathcal{D}^{2,2}(\mathbb{R}^N), \end{cases}$$

where  $\mathcal{D}^{2,2}(\mathbb{R}^N) = \{u \in L^{2N/(N-4)}(\mathbb{R}^N) : \int_{\mathbb{R}^N} |\Delta u|^2 dx < +\infty\}$ , and the solutions are given by Lin [21] as

$$\begin{aligned} U_{1,0}(x) &= C_N \left( \frac{1}{1 + |x|^2} \right)^{(N-4)/2}, \\ U_{\lambda,\xi}(x) &= \lambda^{-(N-4)/2} U_{1,0} \left( \frac{x - \xi}{\lambda} \right) \end{aligned} \tag{1.6}$$

and

$$\langle (x - \xi), \nabla U_{\lambda,\xi} \rangle = - \left( \lambda \frac{\partial U_{\lambda,\xi}}{\partial \lambda} + \frac{N - 4}{2} U_{\lambda,\xi} \right), \tag{1.7}$$

where  $C_N = [N^2(N^2 - 4)(N - 4)]^{(N-4)/8}$ . Here

$$\|u\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)}^2 = \int_{\mathbb{R}^N} |\Delta u|^2 dx.$$

Note that when  $1 < q < (N + 4)/(N - 4)$ , we have interaction with the critical dimension as  $U_{1,0}^{q+1}$  is integrable provided  $q > 4/(N - 4)$ , that is, the cases  $N = 5, 6, 7$  are the worst case scenario and that is the reason why we require  $f \in L^1(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$ .

Let us define a finite-dimensional functional  $\mathcal{J}$ , where

$$\mathcal{J}(\lambda, \xi) = \frac{1}{q + 1} \int_{\mathbb{R}^N} f(x)U_{\lambda,\xi}^{q+1}(x) dx = \frac{\lambda^{N-\theta}}{q + 1} \int_{\mathbb{R}^N} f(\xi + \lambda x)U_{1,0}^{q+1}(x) dx, \tag{1.8}$$

where  $\theta = ((N - 4)(q + 1))/2$ . Using the Hölder inequality in (1.8) and choosing  $N/(N - 4) < s < 2N/(N - 4)$ ,

$$\begin{aligned} |\mathcal{J}(\lambda, \xi)| &\leq C \left( \int_{\mathbb{R}^N} |f(x)|^{s/(s-1)} dx \right)^{(s-1)/s} \left( \int_{\mathbb{R}^N} U_{\lambda,\xi}^s(x) dx \right)^{(q+1)/s} \\ &\leq c\lambda^{(N(q+1)/s)-\theta} \|f\|_{L^{s/(s-1)}} \|U_{1,0}\|_{L^s}^{q+1}. \end{aligned}$$

Hence,

$$|\mathcal{J}(\lambda, \xi)| \rightarrow 0 \quad \text{as } \lambda \rightarrow 0. \tag{1.9}$$

As a result, we can extend  $\mathcal{J}(\lambda, \xi)$  on  $\mathbb{R} \times \mathbb{R}^N$  in an odd way as

$$\tilde{\mathcal{J}}(\lambda, \xi) = -\mathcal{J}(-\lambda, \xi) \quad \text{for } \lambda < 0.$$

Without loss of generality, we consider  $\tilde{\mathcal{J}}(\lambda, \xi) = \mathcal{J}(\lambda, \xi)$ . Moreover, from (1.8) and the fact that  $U_{1,0}$  is bounded,

$$\begin{aligned} \mathcal{J}(\lambda, \xi) &= \frac{\lambda^{N-\theta}}{q + 1} \int_{\mathbb{R}^N} f(\xi + \lambda x)U_{1,0}^{q+1}(x) \\ &\leq c\lambda^{N-\theta} \|f\|_{L^1}. \end{aligned}$$

Noting the fact that  $N - \theta$  is negative, we conclude the fact that  $\mathcal{J}(\lambda, \xi) \rightarrow 0$  as  $|\lambda| \rightarrow \infty$ . Furthermore, if  $\lambda \rightarrow \lambda_\star > 0$  and  $|\xi| \rightarrow \infty$ , by the dominated convergence theorem,

$$\mathcal{J}(\lambda, \xi) = \frac{\lambda^{-\theta}}{q + 1} \int_{\mathbb{R}^N} f(x)U^{q+1}\left(\frac{x - \xi}{\lambda}\right) \rightarrow 0.$$

Hence,

$$\lim_{|\lambda|+|\xi|\rightarrow\infty} \mathcal{J}(\lambda, \xi) = 0. \tag{1.10}$$

Hence, from (1.9) and (1.10), there exists  $(\lambda, \xi)$  with  $\lambda > 0$  such that  $\mathcal{J}$  has a critical point (a global maximum or a global minimum) at  $(\lambda, \xi)$ . Let

$$J_\varepsilon(u) = \frac{1}{2} \int_{\mathbb{R}^N} |\Delta u|^2 dx - \frac{1}{p + 1} \int_{\mathbb{R}^N} |u|^{p+1} dx - \frac{\varepsilon}{q + 1} \int_{\mathbb{R}^N} f(x)|u|^{q+1} dx.$$

Hence, by Felli [16] as well as Lemma 2.2, there exists  $\varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$ ,  $J_\varepsilon \in C^2(\mathcal{D}^{2,2}(\mathbb{R}^N), \mathbb{R})$  admits a critical point  $u_\varepsilon \in \mathcal{D}^{2,2}(\mathbb{R}^N)$  near  $\mathcal{M}$  and hence  $u_\varepsilon$  is a solution of (1.5), where  $p + 1 = 2N/(N - 4)$  and

$$\mathcal{M} = \{U_{\lambda,\xi} : (\lambda, \xi) \in \mathbb{R}^+ \times \mathbb{R}^N\}$$

is an  $(N + 1)$ -dimensional manifold of solutions. Note that the existence of a solution is dependent on some sort of ‘nondegeneracy’ condition of the critical point of  $\mathcal{J}$ .

Let  $K \subset \mathbb{R}^+ \times \mathbb{R}^N$  be a compact set and define

$$d(u, \mathcal{M}_K) = \inf_{(\lambda, \xi) \in K} \|u - U_{\lambda, \xi}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)}.$$

In this paper we discuss the existence, uniqueness and multiplicity of positive solutions of (1.5) under the assumption that  $f \in L^1(\mathbb{R}^N) \cap C^1(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$ .

Now we state the following theorems motivated by [23].

**THEOREM 1.1.** *Let  $(\lambda, \xi)$  be a nondegenerate critical point of  $\mathcal{J}$ . Then there exists  $\varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$ , (1.5) admits a positive solution  $u_\varepsilon$ . Moreover,  $\|u_\varepsilon - U_{\lambda, \xi}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} = \mathcal{O}(\varepsilon)$ .*

**COROLLARY 1.2.** *Let  $u_\varepsilon$  be a sequence of solutions of (1.5) such that*

$$\|u_\varepsilon - U_{\lambda, \xi}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0.$$

*Then  $\nabla \mathcal{J}(\lambda, \xi) = 0$ .*

**THEOREM 1.3 (Uniqueness).** *Let  $(\lambda, \xi)$  be a nondegenerate critical point of  $\mathcal{J}$ . Furthermore, suppose  $|\nabla f(x)| \leq C$  and there exists two sequences of solutions  $\{u_{\varepsilon, i}\}$  ( $i = 1, 2$ ) of (1.5) such that*

$$\|u_{\varepsilon, i} - U_{\lambda, \xi}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0. \tag{1.11}$$

*Then there exists  $\varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$ ,  $u_{\varepsilon, 1} \equiv u_{\varepsilon, 2}$ .*

**REMARK 1.4.** Note that if  $q = 1$  and  $N > 8$ , positive solutions of (1.5) are nonunique for  $\varepsilon$  sufficiently small. See Felli [16]. In fact, Esposito [14] proved existence of two positive solutions of the Paneitz operator on  $\mathbb{S}^N$  (see (1.2))

$$Pu = \frac{N^2(N - 4)(N^2 - 4)}{16} |u|^{8/(N-4)} u + (\varepsilon f + o(\varepsilon)) |u|^{q-1} u$$

and  $1 \leq q \leq (N + 4)/(N - 4)$  when  $f$  changes sign and  $q \geq 4/(N - 4)$  or  $q < 4/(N - 4)$  and  $\int_{\mathbb{S}^N} f = 0$ . Note that our uniqueness is different in this context.

**THEOREM 1.5 (Multiplicity).** *Assume that there is a compact set  $K \subset \mathbb{R}^+ \times \mathbb{R}^N$  with nonempty interior such that the critical points of  $\mathcal{J}$  in  $K$  are finite and nondegenerate. Furthermore, suppose  $|\nabla f(x)| \leq C$ . Then there exists  $\rho_0 = \rho_0(K) > 0$  and  $\varepsilon_0 = \varepsilon_0(\rho_0) > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$ , the number of solutions to the problem (1.5) with  $d(u, \mathcal{M}_K) < \rho_0$  is the same as the number of nondegenerate critical points of  $\mathcal{J}$ .*

**COROLLARY 1.6.** *Furthermore, the conclusions of Theorems 1.1–1.5 hold for the equation*

$$(-\Delta)^m u = (1 + \varepsilon f(x)) u^{(N+2m)/(N-2m)} \quad \text{in } \mathbb{R}^N$$

*whenever  $\|f\|_\infty + \|\nabla f\|_\infty \leq C$ ,  $N > 2m$  and  $m \in \mathbb{N}$ . The construction of positive solutions follows from Wei and Xu [25].*

**REMARK 1.7.** Note that the conclusions of Theorems 1.1–1.5 are not only applicable to the powers of Laplacians, but also applicable for the coercive Hardy equation  $-\Delta u - (\mu/|x|^2)u = (1 + \varepsilon f(x))u^{(N+2)/(N-2)}$  with  $N \geq 3$  and  $\mu > 0$ . Here proving the results becomes much easier as  $\text{Ker}\{-\Delta - (\mu/|x|^2) - ((N + 2)/(N - 2))u^{4/(N-2)}\}$  in  $\mathcal{D}^{1,2}(\mathbb{R}^N)$  is one dimensional due to the scaling invariance of the operator.

### 2. Preliminaries

**LEMMA 2.1 (Nondegeneracy).** *The kernel of the linearized operator*

$$\mathcal{L} = \Delta^2 - \frac{N + 4}{N - 4} U_{\lambda,\xi}^{4/(N-4)}$$

in  $\mathcal{D}^{2,2}(\mathbb{R}^N)$  is  $N + 1$  dimensional and

$$\text{Ker}(\mathcal{L}) = \left\{ \frac{\partial U_{\lambda,\xi}}{\partial \lambda}, \frac{\partial U_{\lambda,\xi}}{\partial \xi_1}, \frac{\partial U_{\lambda,\xi}}{\partial \xi_2}, \dots, \frac{\partial U_{\lambda,\xi}}{\partial \xi_N} \right\}.$$

**PROOF.** This follows from Djadli *et al.* [13]. □

Let  $H$  be a Hilbert space and  $J_\varepsilon(u) = J_0(u) - \varepsilon G(u)$  be a perturbed functional, where  $J_0, G \in C^2(H, \mathbb{R})$ . Moreover, assume that  $J_0$  satisfies:

- (f1)  $J_0$  has a finite-dimensional manifold of critical points  $\mathcal{M}$ ; let  $c = J_0(z)$  for all  $z \in \mathcal{M}$ ;
- (f2) for all  $z \in \mathcal{M}$ ,  $J_0''(z)$  is a Fredholm operator of index zero;
- (f3) for all  $z \in \mathcal{M}$ ,  $T_z \mathcal{M} = \text{Ker } J_0''(z)$ . We denote  $\mathcal{J} = G|_{\mathcal{M}}$ .

**LEMMA 2.2.** *Let  $J_0$  satisfy (f1)–(f3) and suppose there exists  $z \in \mathcal{M}$  which is a critical point of  $\mathcal{J}$  such that one of the following conditions holds:*

- (1)  $z$  is nondegenerate;
- (2)  $z$  is a global maximum or global minimum;
- (3)  $z$  is isolated and the local degree of  $\nabla \mathcal{J}$  at  $z$  is different from zero.

*Then there exists  $\varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$ , the functional  $J_\varepsilon$  has a critical point  $u_\varepsilon$  such that  $u_\varepsilon \rightarrow z$  as  $\varepsilon \rightarrow 0$ .*

**PROOF.** The proof of this lemma follows from Ambrosetti and Badiale [1]. Also, see Ambrosetti *et al.* [2, page 122] and the book by Ambrosetti and Malchiodi [3]. Note that Lemma 2.2 is a very general theorem; it is not restricted to Laplacian operators only. Note that in Felli’s proof [16], condition (2) of the lemma holds. □

**LEMMA 2.3 (Caristi and Mitidieri [7]).** *Let  $\Omega$  be an open subset of  $\mathbb{R}^N$  ( $N \geq 5$ ) and  $u \in W_{loc}^{2,2}(\Omega)$  be a weak solution of*

$$\Delta^2 u = a(x)u \quad \text{in } \Omega,$$

where  $a \in L^\alpha_{\text{loc}}(\Omega)$  with  $\alpha > N/4$ . Then, for any  $0 < \beta < +\infty$ , there exist  $C > 0$  and  $R > 0$  such that

$$\sup_{B(y,r) \cap \Omega} |u| \leq C \left[ \frac{1}{r^N} \int_{B(y,2r) \cap \Omega} |u|^{\beta+1} \right]^{1/(\beta+1)}$$

for any  $y \in \mathbb{R}^N$  and  $0 < r < R$ .

**LEMMA 2.4.** Let  $u_\varepsilon$  be a sequence of solutions of (1.5) with  $\|u_\varepsilon - U_{\lambda,\xi}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \rightarrow 0$  as  $\varepsilon \rightarrow 0$  for some  $(\lambda, \xi) \in \mathbb{R}^+ \times \mathbb{R}^N$ . Then the asymptotic behavior for derivatives of  $u_\varepsilon$  at infinity is given by

$$|\nabla^{(\beta)} u_\varepsilon(x)| = \mathcal{O}(1)|x|^{4-N-|\beta|} \tag{2.1}$$

for  $0 \leq |\beta| \leq 3$  whenever  $|x| \gg 1$ .

**PROOF.** First note that if  $u_\varepsilon \rightarrow U_{\lambda,\xi}$  in  $\mathcal{D}^{2,2}(\mathbb{R}^N)$ , then

$$\int_{\mathbb{R}^N} u_\varepsilon^{2N/(N-4)}(x) dx \rightarrow \int_{\mathbb{R}^N} U_{\lambda,\xi}^{2N/(N-4)}(x) dx$$

as  $\varepsilon \rightarrow 0$ . Moreover, as  $f \in L^\infty(\mathbb{R}^N) \cap L^1(\mathbb{R}^N)$ , by the Hölder inequality,

$$\begin{aligned} \left| \int_{\mathbb{R}^N} f(x) u_\varepsilon^{q+1}(x) dx \right| &\leq C, \\ \int_{\mathbb{R}^N} f(x) u_\varepsilon^{q+1}(x) dx &\rightarrow \int_{\mathbb{R}^N} f(x) U_{\lambda,\xi}^{q+1}(x) dx. \end{aligned}$$

Also, by elliptic regularity,  $u_\varepsilon \rightarrow U_{\lambda,\xi}$  in  $C^4_{\text{loc}}(\mathbb{R}^N)$ . Hence,  $u_\varepsilon$  is locally uniformly bounded. So, we need to study the decay of  $u_\varepsilon$  at infinity. Define the Kelvin transform of  $u_\varepsilon$  as

$$\hat{u}_\varepsilon(x) := |x|^{4-N} u_\varepsilon\left(\frac{x}{|x|^2}\right).$$

By the application of the Kelvin transform on (1.5),

$$\Delta^2 \hat{u}_\varepsilon = [\hat{u}_\varepsilon^{8/(N-4)} + \varepsilon \hat{f}(x)|x|^{-\tau} \hat{u}_\varepsilon^{q-1}] \hat{u}_\varepsilon \quad \text{in } \mathbb{R}^N \setminus \{0\},$$

where  $\tau = N + 4 - q(N - 4)$  and  $\hat{f}(x) = f(x/|x|^2)$ . Let  $a_\varepsilon(x) = \hat{u}_\varepsilon^{8/(N-4)} + \varepsilon \hat{f}(x)|x|^{-\tau} \hat{u}_\varepsilon^{q-1}$ . But  $\hat{f}(x)|x|^{-\tau}$  is bounded near 0. Hence, by Lemma 2.3, there exist  $R > 0$  and  $C > 0$  independent of  $\varepsilon > 0$  such that

$$\sup_{B_R(0)} |\hat{u}_\varepsilon(x)| \leq C \left[ \frac{1}{R^N} \int_{B_{2R}} |\hat{u}_\varepsilon(z)|^{2N/(N-4)} dz \right]^{(N-4)/2N} \leq C.$$

This implies that, for  $|x| \gg 1$ ,

$$u_\varepsilon(x) = \mathcal{O}(|x|^{4-N}).$$

And, hence, by the Schauder estimates,

$$|\nabla^{(\beta)} u_\varepsilon| \leq C|x|^{4-N-|\beta|}.$$

Note that in the above estimate  $C > 0$  is independent of  $\varepsilon > 0$ . □

**LEMMA 2.5.** *Let  $w_\varepsilon$  be a sequence of solutions of*

$$\begin{cases} \Delta^2 w = c_\varepsilon(x)w + \varepsilon f(x)d_\varepsilon(x)w & \text{in } \mathbb{R}^N \\ w \in \mathcal{D}^{2,2}(\mathbb{R}^N) \end{cases} \tag{2.2}$$

with  $\|w_\varepsilon\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \leq C$ , where  $u_{\varepsilon,i}$  ( $i = 1, 2$ ) are solutions of (1.5)

$$c_\varepsilon(x) = \int_0^1 [tu_{\varepsilon,1}(x) + (1-t)u_{\varepsilon,2}(x)]^{8/(N-4)} dt$$

and

$$d_\varepsilon(x) = \int_0^1 [tu_{\varepsilon,1}(x) + (1-t)u_{\varepsilon,2}(x)]^{q-1} dt.$$

Then, for  $|x| \gg 1$ , we have a uniform estimate

$$|\nabla^{(\beta)} w_\varepsilon(x)| = O(1)|x|^{4-N-|\beta|} \tag{2.3}$$

for  $0 \leq |\beta| \leq 3$ .

**PROOF.** By the standard regularity,  $w_\varepsilon$  is locally uniformly bounded. Let us consider the Kelvin transform of  $w_\varepsilon$

$$\begin{aligned} \hat{w}_\varepsilon(x) &:= |x|^{4-N} w_\varepsilon\left(\frac{x}{|x|^2}\right), \\ \hat{u}_\varepsilon(x) &= |x|^{4-N} u_\varepsilon\left(\frac{x}{|x|^2}\right), \quad \hat{w}_\varepsilon(x) = |x|^{4-N} w_\varepsilon\left(\frac{x}{|x|^2}\right), \quad x \in \mathbb{R}^N \setminus \{0\}. \end{aligned}$$

Furthermore, define

$$\begin{aligned} \hat{c}_\varepsilon(x) &= \int_0^1 [t\hat{u}_{\varepsilon,1} + (1-t)\hat{u}_{\varepsilon,2}]^{8/(N-4)} dt, \\ \hat{d}_\varepsilon(x) &= \int_0^1 [t\hat{u}_{\varepsilon,1} + (1-t)\hat{u}_{\varepsilon,2}]^{q-1} dt. \end{aligned}$$

Then, by (2.2),  $\hat{w}_\varepsilon$  satisfies

$$\Delta^2 \hat{w}_\varepsilon = \hat{c}_\varepsilon \hat{w}_\varepsilon + \varepsilon |x|^{-\tau} f\left(\frac{x}{|x|^2}\right) \hat{d}_\varepsilon \hat{w}_\varepsilon \quad \text{in } \mathbb{R}^N \setminus \{0\}. \tag{2.4}$$

So, we are going to study boundedness of (2.4) near a neighborhood of the origin. From Lemma 2.4,  $\hat{c}_\varepsilon, |x|^{-\tau} \hat{d}_\varepsilon f(x/|x|^2)$  is uniformly bounded near the origin. Hence, by Lemma 2.3, there exist  $C, R > 0$  such that

$$\sup_{B(y,R) \cap \Omega} |\hat{w}_\varepsilon| \leq C \left[ \frac{1}{R^N} \int_{B(y,2R) \cap \Omega} |\hat{w}_\varepsilon(z)|^{2N/(N-4)} dz \right]^{(N-4)/(2N)} \leq C.$$

Hence,  $\hat{w}_\varepsilon$  is uniformly bounded near the origin and hence  $|w_\varepsilon(x)| \leq C|x|^{4-N}$  when  $|x| \gg 1$ . The decay of higher derivatives follows from the standard elliptic estimates.  $\square$



**LEMMA 2.6 (Kazdan–Warner-type identities).** *Let  $u_\varepsilon$  be a solution of (1.5) such that  $\|u_\varepsilon - U_{\lambda,\xi}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \rightarrow 0$  as  $\varepsilon \rightarrow 0$  for some  $(\lambda, \xi) \in \mathbb{R}^+ \times \mathbb{R}^N$ . Then, we have the following two types of Pohozaev identities:*

$$\int_{\mathbb{R}^N} f(x)u_\varepsilon^q \frac{\partial u_\varepsilon}{\partial x_i} = 0, \quad i = 1, 2 \tag{2.5}$$

and

$$\int_{\mathbb{R}^N} f(x)u_\varepsilon^q \left[ (x - \xi) \cdot \nabla u_\varepsilon + \left( \frac{N-4}{2} \right) u_\varepsilon \right] = 0. \tag{2.6}$$

**PROOF.** In order to prove (2.5), we multiply (1.5) by  $\partial u_\varepsilon(x)/\partial x_i$ ,  $i = 1, 2, \dots, N$ , and integrate by parts on the ball  $B_R(0)$  to get

$$\int_{B_R(0)} (u_\varepsilon^{(N+4)/(N-4)} + \varepsilon f(x)u_\varepsilon^q) \frac{\partial u_\varepsilon}{\partial x_i} = \int_{\partial B_R(0)} \frac{\partial \Delta u_\varepsilon}{\partial \nu} \frac{\partial u_\varepsilon}{\partial x_i} d\sigma - \int_{B_R(0)} \nabla \Delta u_\varepsilon \cdot \frac{\partial}{\partial x_i} (\nabla u_\varepsilon). \tag{2.7}$$

By (2.1), we obtain

$$\int_{\partial B_R(0)} \left| \frac{\partial \Delta u_\varepsilon}{\partial \nu} \frac{\partial u_\varepsilon}{\partial x_i} \right| d\sigma = \mathcal{O}\left(\frac{1}{R^{2(N-2)}}\right) \quad \text{as } R \rightarrow \infty.$$

Again, by a suitable integration by parts and using (2.1) and Lemma 2.4, we get, as  $R \rightarrow \infty$ ,

$$\int_{B_R(0)} \nabla \Delta u_\varepsilon \cdot \frac{\partial}{\partial x_i} (\nabla u_\varepsilon) = \int_{\partial B_R(0)} \left( \Delta u_\varepsilon \frac{\partial}{\partial \nu} \left( \frac{\partial u_\varepsilon}{\partial x_i} \right) - \frac{1}{2R} x_i |\Delta u_\varepsilon|^2 \right) d\sigma = \mathcal{O}\left(\frac{1}{R^{2(N-2)}}\right).$$

Hence, from the last two relations,

$$\lim_{R \rightarrow \infty} \{\text{Right-hand side of (2.7)}\} = 0. \tag{2.8}$$

We note that, again integrating by parts,

$$\int_{B_R(0)} (u_\varepsilon^{(N+4)/(N-4)} + \varepsilon f(x)u_\varepsilon^q) \frac{\partial u_\varepsilon}{\partial x_i} = \frac{1}{R} \int_{\partial B_R(0)} x_i u_\varepsilon^{2N/(N-4)} d\sigma + \varepsilon \int_{B_R(0)} f(x)u_\varepsilon^q \frac{\partial u_\varepsilon}{\partial x_i}.$$

Using (2.1) and letting  $R \rightarrow \infty$  in the above equation,

$$\lim_{R \rightarrow \infty} \int_{B_R(0)} (u_\varepsilon^{(N+4)/(N-4)} + \varepsilon f(x)u_\varepsilon^q) \frac{\partial u_\varepsilon}{\partial x_i} = \varepsilon \int_{\mathbb{R}^N} f(x)u_\varepsilon^q \frac{\partial u_\varepsilon}{\partial x_i}. \tag{2.9}$$

Therefore, we obtain, using (2.9) and (2.8),

$$\varepsilon \int_{\mathbb{R}^N} f(x)u_\varepsilon^q \frac{\partial u_\varepsilon}{\partial x_i} = \lim_{R \rightarrow \infty} \{\text{Left-hand side of (2.7)}\} = 0,$$

which proves (2.5).

For (2.6), we multiply (1.5) by  $(x - \xi) \cdot \nabla u_\varepsilon + ((N - 4)/2)u_\varepsilon$  on either side and integrate on the ball  $B_R(y)$  as before to obtain

$$\begin{aligned} & \int_{B_R(y)} (u_\varepsilon^{(N+4)/(N-4)} + \varepsilon f(x)u_\varepsilon^q) \left( (x - \xi) \cdot \nabla u_\varepsilon + \left( \frac{N - 4}{2} \right) u_\varepsilon \right) \\ &= \int_{B_R(y)} \Delta^2 u_\varepsilon \left( (x - \xi) \cdot \nabla u_\varepsilon + \left( \frac{N - 4}{2} \right) u_\varepsilon \right). \end{aligned} \tag{2.10}$$

Integrating by parts,

$$\begin{aligned} \text{Left-hand side of (2.10)} &= R \int_{\partial B_R(y)} u_\varepsilon^{(N+4)/(N-4)} d\sigma \\ &+ \varepsilon \int_{B_R(y)} f(x)u_\varepsilon^q \left( (x - \xi) \cdot \nabla u_\varepsilon + \left( \frac{N - 4}{2} \right) u_\varepsilon \right). \end{aligned}$$

Again integrating by parts suitably,

$$\begin{aligned} \text{Right-hand side of (2.10)} &= \int_{\partial B_R(y)} \left( |x - \xi| \left[ \frac{1}{2} |\Delta u_\varepsilon|^2 + \frac{\partial u_\varepsilon}{\partial r} \frac{\partial}{\partial r} (\Delta u_\varepsilon) \right] \right. \\ &\quad \left. - \Delta u_\varepsilon \frac{\partial}{\partial r} \left( r \frac{\partial u_\varepsilon}{\partial r} \right) \right) d\sigma. \end{aligned}$$

Using the decay estimate (2.1),

$$\lim_{R \rightarrow \infty} \{\text{Left-hand side of (2.10)}\} = \varepsilon \int_{\mathbb{R}^N} f(x)u_\varepsilon^q \left( (x - \xi) \cdot \nabla u_\varepsilon + \left( \frac{N - 4}{2} \right) u_\varepsilon \right)$$

and

$$\lim_{R \rightarrow \infty} \{\text{Right-hand side of (2.10)}\} = 0.$$

Hence, (2.6) follows. □

**REMARK 2.7.** Note that when  $q = (N + 4)/(N - 4)$  one can derive the Kazdan and Warner [20] kind of identities using the concept of an integral equation in  $\mathcal{D}^{2,2}(\mathbb{R}^N)$ ;

$$u_\varepsilon(x) = \int_{\mathbb{R}^N} (1 + \varepsilon f(y))F(x, y)u_\varepsilon^{(N+4)/(N-4)}(y) dy, \tag{2.11}$$

where  $F(x, y) = 1/(4 - N)\sigma_N|x - y|^{N-4}$  is the fundamental solution of  $\Delta^2$  and  $\sigma_N$  is the area of the unit sphere in  $\mathbb{R}^N$ . The main idea is the fact that

$$\Delta^2 u = f \quad \text{in } \mathbb{R}^N$$

can be written as  $u = u_1 + u_2$ , where  $u_i \in \mathcal{D}^{2,2}(\mathbb{R}^N)$ ;  $i = 1, 2$ ,  $u_1(x) = \int_{\mathbb{R}^N} F(x, y)g(y) dy$  and  $\Delta^2 u_2 = 0$ . But this implies  $u_2 = 0$ . As a result, we end up getting (2.11).

**PROOF OF COROLLARY 1.2.** By the Schauder estimates,  $u_\varepsilon \rightarrow U_{\lambda,\xi}$  in  $C^4_{\text{loc}}(\mathbb{R}^N)$ , and by Lemma 2.6 and the dominated convergence theorem we can pass to the limit in (2.5) and (2.6). Using (1.7),

$$\int_{\mathbb{R}^3} f(x)U_{\lambda,\xi}^q \frac{\partial U_{\lambda,\xi}}{\partial x_i} = 0, \quad i = 1, 2, \dots, N \tag{2.12}$$

and

$$\int_{\mathbb{R}^3} f(x)U_{\lambda,\xi}^q \frac{\partial U_{\lambda,\xi}}{\partial \lambda} = 0. \tag{2.13}$$

Hence, we obtain  $\nabla \mathcal{J}(\lambda, \xi) = 0$ . □

**LEMMA 2.8.** *If  $(\lambda_0, \xi_0)$  is a critical point of  $\mathcal{J}$ , then*

$$\begin{aligned} \lambda_0 \frac{\partial^2 \mathcal{J}}{\partial \lambda^2}(\lambda_0, \xi_0) &= -\theta \int_{\mathbb{R}^N} f(z)U_{\lambda_0,\xi_0}^q(z) \frac{\partial U_{\lambda_0,\xi_0}}{\partial \lambda}(z) dz \\ &\quad - N \int_{\mathbb{R}^N} f(z)U_{\lambda_0,\xi_0}^q(z) \left\langle z - \xi_0, \nabla \frac{\partial U_{\lambda_0,\xi_0}}{\partial \lambda}(z) \right\rangle dz \\ &\quad - Nq \int_{\mathbb{R}^N} f(z)U_{\lambda_0,\xi_0}^{q-1}(z) \langle z - \xi_0, \nabla U_{\lambda_0,\xi_0} \rangle \frac{\partial U_{\lambda_0,\xi_0}}{\partial \lambda}(z) dz. \end{aligned}$$

Furthermore,

$$\begin{aligned} \frac{\partial^2 \mathcal{J}}{\partial \lambda \partial \xi_i}(\lambda_0, \xi_0) &= - \int_{\mathbb{R}^N} f(z)U_{\lambda_0,\xi_0}^q(z) \frac{\partial}{\partial z_i} \left( \frac{\partial U_{\lambda_0,\xi_0}}{\partial \lambda}(z) \right) dz \\ &\quad - q \int_{\mathbb{R}^N} f(z)U_{\lambda_0,\xi_0}^{q-1}(z) \frac{\partial U_{\lambda_0,\xi_0}}{\partial \lambda}(z) \frac{\partial U_{\lambda_0,\xi_0}}{\partial z_i}(z) dz. \end{aligned}$$

Moreover, for  $1 \leq i, j \leq N$ ,

$$\begin{aligned} \frac{\partial^2 \mathcal{J}}{\partial \xi_i \partial \xi_j}(\lambda_0, \xi_0) &= - \int_{\mathbb{R}^N} f(z)U_{\lambda_0,\xi_0}^q(z) \frac{\partial}{\partial z_i} \left( \frac{\partial U_{\lambda_0,\xi_0}}{\partial z_j}(z) \right) dz \\ &\quad - q \int_{\mathbb{R}^N} f(z)U_{\lambda_0,\xi_0}^{q-1}(z) \frac{\partial U_{\lambda_0,\xi_0}}{\partial z_j}(z) \frac{\partial U_{\lambda_0,\xi_0}}{\partial z_i}(z) dz, \end{aligned}$$

where  $z = \xi + \lambda x$ .

**PROOF.** As  $U_{\lambda,\xi}$  satisfies (1.6) and (1.7),

$$\begin{aligned} \frac{\partial \mathcal{J}}{\partial \lambda}(\lambda, \xi) &= \frac{\lambda^{N-\theta}}{q+1} \int_{\mathbb{R}^N} \langle x, \nabla f(\lambda x + \xi) \rangle U_{1,0}^{q+1}(x) dx \\ &\quad + \frac{N-\theta}{q+1} \lambda^{N-\theta-1} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^{q+1}(x) dx, \\ \frac{\partial \mathcal{J}}{\partial \xi_i}(\lambda, \xi) &= \frac{\lambda^{N-\theta}}{(q+1)\lambda} \int_{\mathbb{R}^N} \frac{\partial f(\lambda x + \xi)}{\partial x_i} U_{1,0}^{q+1}(x) dx. \end{aligned}$$

Also, note that  $\theta = (N - 4)(q + 1)/2$ . Integrating by parts,

$$\begin{aligned} \lambda \frac{\partial \mathcal{J}}{\partial \lambda}(\lambda, \xi) &= -\frac{N}{q+1} \lambda^{N-\theta} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^{q+1}(x) dx \\ &\quad - N \lambda^{N-\theta} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^q \langle x, \nabla U_{1,0}(x) \rangle dx \\ &\quad + \frac{N-\theta}{q+1} \lambda^{N-\theta} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^{q+1}(x) dx \\ &= -\frac{\theta}{q+1} \lambda^{N-\theta} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^{q+1}(x) dx \\ &\quad - N \lambda^{N-\theta} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^q \langle x, \nabla U_{1,0}(x) \rangle dx \end{aligned}$$

and

$$\frac{\partial \mathcal{J}}{\partial \xi_i}(\lambda, \xi) = -\lambda^{N-\theta-1} \int_{\mathbb{R}^N} f(\lambda x + \xi) U_{1,0}^q(x) \frac{\partial U_{1,0}}{\partial x_i} dx.$$

Since  $(\lambda_0, \xi_0)$  is a critical point of  $\mathcal{J}$ , we must have  $(\partial \mathcal{J} / \partial \lambda)(\lambda_0, \xi_0) = 0$  and  $(\partial \mathcal{J} / \partial \xi_i)(\lambda_0, \xi_0) = 0$ . Hence, letting  $z = \xi + \lambda x$ ,

$$\begin{aligned} \lambda_0 \frac{\partial^2 \mathcal{J}}{\partial \lambda^2}(\lambda_0, \xi_0) &= -\theta \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^q(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) dz \\ &\quad - N \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^q(z) \left\langle z - \xi_0, \nabla \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \right\rangle dz \\ &\quad - Nq \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^{q-1}(z) \langle z - \xi_0, \nabla U_{\lambda_0, \xi_0} \rangle \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) dz. \end{aligned}$$

Furthermore,

$$\begin{aligned} \frac{\partial^2 \mathcal{J}}{\partial \lambda \partial \xi_i}(\lambda_0, \xi_0) &= - \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^q(z) \frac{\partial}{\partial z_i} \left( \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \right) dz \\ &\quad - q \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^{q-1}(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial \lambda}(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial z_i}(z) dz. \end{aligned}$$

Moreover, for  $1 \leq i, j \leq N$ ,

$$\begin{aligned} \frac{\partial^2 \mathcal{J}}{\partial \xi_i \partial \xi_j}(\lambda_0, \xi_0) &= - \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^q(z) \frac{\partial}{\partial z_i} \left( \frac{\partial U_{\lambda_0, \xi_0}}{\partial z_j}(z) \right) dz \\ &\quad - q \int_{\mathbb{R}^N} f(z) U_{\lambda_0, \xi_0}^{q-1}(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial z_j}(z) \frac{\partial U_{\lambda_0, \xi_0}}{\partial z_i}(z) dz. \quad \square \end{aligned}$$

### 3. Proof of the main theorems

**PROOF OF THEOREM 1.1.** Let  $(\lambda, \xi)$  be a nondegenerate critical point of  $\mathcal{J}$ . Then  $\nabla \mathcal{J}(\lambda, \xi) = 0$  and  $\det(\nabla^2 \mathcal{J}(\lambda, \xi)) \neq 0$ . Hence,  $\nabla^2 \mathcal{J}(\lambda, \xi)$  is an invertible matrix of

order  $N + 1$ . Our aim is to obtain a solution of (1.5) which is of the form  $u_\varepsilon = U_{\lambda,\xi} + \phi_\varepsilon$ . Note that

$$J_\varepsilon(u) = J_0(u) - \frac{\varepsilon}{q + 1} \int_{\mathbb{R}^N} f(x)|u|^{q+1} dx,$$

where

$$J_0(u) = \frac{1}{2} \int_{\mathbb{R}^N} |\Delta u|^2 dx - \frac{1}{p + 1} \int_{\mathbb{R}^N} |u|^{p+1} dx$$

and  $\text{Ker}(\mathcal{L})$  is  $N + 1$ -dimensional; see Lemma 2.1. Moreover, it is easy to check that  $J_0$  satisfies (f1)–(f3). Hence, by Lemma 2.2, (1) holds and we obtain a solution of (1.5) for sufficiently small  $\varepsilon > 0$ .

**PROOF OF THEOREM 1.3.** If possible, let there exist a sequence  $\varepsilon_n \rightarrow 0$  and two distinct functions  $u_{1,\varepsilon_n} \equiv u_{1,n}$ ,  $u_{2,\varepsilon_n} \equiv u_{2,n}$  which solve (1.5) with  $\varepsilon = \varepsilon_n$  and  $\|u_{i,n} - U_{\lambda,\xi}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \rightarrow 0$  as  $n \rightarrow \infty$  for  $i = 1, 2$ . Set  $\tilde{w}_n = u_{1,n} - u_{2,n}$ . Then  $\|\tilde{w}_n\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \rightarrow 0$  as  $n \rightarrow \infty$ . Hence, by Lemma 2.4,  $\|\tilde{w}_n\|_{L^\infty(\mathbb{R}^N)} \leq C$ .

Define  $w_n = \tilde{w}_n / \|\tilde{w}_n\|_{L^\infty(\mathbb{R}^N)}$ . Then there exists  $x_n \in \mathbb{R}^N$  such that  $|w_n(x_n)| \geq \frac{1}{2}$ . Then  $w_n$  satisfies

$$\Delta^2 w_n = c_n(x)w_n + \varepsilon f(x)d_n(x)w_n \quad \text{with } c_n(x) = \int_0^1 [tu_{1,n} + (1 - t)u_{2,n}]^{8/(N-4)} dt$$

and

$$d_n(x) = \int_0^1 [tu_{1,n} + (1 - t)u_{2,n}]^{q-1} dt.$$

Using Schauder estimates, we obtain  $w_n \rightarrow w$  in  $C^4_{\text{loc}}(\mathbb{R}^N)$ , where  $w$  satisfies the entire problem

$$\Delta^2 w = \frac{N + 4}{N - 4} U_{\lambda,\xi}^{8/(N-4)} w \quad \text{in } \mathbb{R}^N.$$

By the nondegeneracy result in Lemma 2.1,

$$w = c_0 \frac{\partial U_{\lambda,\xi}}{\partial \lambda} + \sum_{j=1}^N c_j \frac{\partial U_{\lambda,\xi}}{\partial x_j}$$

for some  $c_j \in \mathbb{R}$ ,  $j = 1, \dots, N$ . We claim that  $c_j = 0$  for all  $j = 0, 1, \dots, N$ . By the identity (2.5),

$$\int_{\mathbb{R}^N} f(x)u_{i,n}^q \frac{\partial u_{i,n}}{\partial x_j} = 0, \quad j = 1, 2, \dots, N. \tag{3.1}$$

We derive from (3.1) and (2.1)

$$\int_{\mathbb{R}^N} \frac{\partial f}{\partial x_j} u_{\varepsilon,i}^{q+1} = 0, \quad i = 1, 2 \text{ and } j = 1, 2, \dots, N.$$

Therefore,

$$\int_{\mathbb{R}^N} \left( \frac{\partial f}{\partial x_j} u_{1,n}^{q+1} - \frac{\partial f}{\partial x_j} u_{2,n}^{q+1} \right) = 0 \quad \text{for } j = 1, 2, \dots, N$$

and, using the fundamental theorem of integral calculus,

$$\int_{\mathbb{R}^N} \frac{\partial f}{\partial x_j} \left( \int_0^1 [tu_{1,n} + (1-t)u_{2,n}]^q dt \right) \tilde{w}_n dx = 0 \quad \text{for } j = 1, 2, \dots, N. \tag{3.2}$$

Letting  $\varepsilon \rightarrow 0$  in (3.2),

$$\int_{\mathbb{R}^N} \frac{\partial f}{\partial x_j} U_{\lambda,\varepsilon}^q \left( c_0 \frac{\partial U_{\lambda,\varepsilon}}{\partial \lambda} + \sum_{i=1}^N c_i \frac{\partial U_{\lambda,\varepsilon}}{\partial x_i} \right) = 0, \quad j = 1, 2, \dots, N.$$

That is, integrating by parts again,

$$\int_{\mathbb{R}^N} f \frac{\partial}{\partial x_j} (U_{\lambda,\varepsilon}^q w) = 0, \quad j = 1, 2, \dots, N.$$

This implies that

$$q \int_{\mathbb{R}^N} f(x) U_{\lambda,\varepsilon}^{q-1} \frac{\partial U_{\lambda,\varepsilon}}{\partial x_j} w + \int_{\mathbb{R}^N} f(x) U_{\lambda,\varepsilon}^q \frac{\partial w}{\partial x_j} = 0. \tag{3.3}$$

Furthermore, we obtain by integrating on  $B_R(y)$

$$\int_{B_R(y)} (x - \xi) \cdot \nabla (f u_{i,n}^{q+1}) = R \int_{\partial B_R(y)} f(x) u_{i,n}^{q+1} - N \int_{B_R(y)} f(x) u_{i,n}^{q+1} \quad \text{for } i = 1, 2.$$

This implies that as  $R \rightarrow +\infty$

$$\int_{\mathbb{R}^N} (x - \xi) \cdot \nabla (f u_{i,n}^{q+1}) = -N \int_{\mathbb{R}^N} f(x) u_{i,n}^{q+1} \quad \text{for } i = 1, 2.$$

And, as a result,

$$\int_{\mathbb{R}^N} \langle (x - \xi), \nabla f(x) \rangle u_{i,n}^{q+1} + (q + 1) \int_{\mathbb{R}^N} f(x) \langle (x - \xi), \nabla u_{i,n} \rangle u_{i,n}^q = -N \int_{\mathbb{R}^N} f(x) u_{i,n}^{q+1}.$$

Hence, by the Pohozaev identity (2.6), we have for  $i = 1, 2$

$$\begin{aligned} \int_{\mathbb{R}^N} \langle (x - \xi), \nabla f(x) \rangle u_{i,n}^{q+1} &= \left[ \frac{(N - 4)(q + 1) - 2N}{2} \right] \int_{\mathbb{R}^N} f(x) u_{i,n}^{q+1} \\ &= \gamma \int_{\mathbb{R}^N} f(x) u_{i,n}^{q+1}, \end{aligned}$$

where  $\gamma = (N - 4)(q + 1) - 2N/2$ . This implies that

$$\int_{\mathbb{R}^N} \langle (x - \xi), \nabla f(x) \rangle u_{1,n}^{q+1} - \int_{\mathbb{R}^N} \langle (x - \xi), \nabla f(x) \rangle u_{2,n}^{q+1} = \gamma \int_{\mathbb{R}^N} f(x) [u_{1,n}^{q+1} - u_{2,n}^{q+1}]$$

and, by the application of the mean value theorem,

$$\begin{aligned} &\int_{\mathbb{R}^N} \langle (x - \xi), \nabla f(x) \rangle \left( \int_0^1 (tu_{1,n} + (1-t)u_{1,n})^q dt \right) w_n \\ &= \gamma \int_{\mathbb{R}^N} f(x) \left( \int_0^1 (tu_{1,n} + (1-t)u_{1,n})^q dt \right) w_n. \end{aligned}$$

And, letting  $n \rightarrow \infty$ ,

$$\int_{\mathbb{R}^N} \langle (x - \xi), \nabla f(x) \rangle U_{\lambda, \xi}^q w = \gamma \int_{\mathbb{R}^N} f(x) U_{\lambda, \xi}^q w = 0 \tag{3.4}$$

because of (2.5) and (2.6) and passing to the limit as  $\varepsilon \rightarrow 0$ . Again, integrating by parts (3.4),

$$\int_{\mathbb{R}^N} f(x) U_{\lambda, \xi}^q [Nw + \langle (x - \xi), \nabla w \rangle] + q \int_{\mathbb{R}^N} f(x) U_{\lambda, \xi}^{q-1} w \langle (x - \xi), \nabla U_{\lambda, \xi} \rangle = 0. \tag{3.5}$$

From (3.3), (3.5), Corollary 1.2 and Lemma 2.8,  $\nabla \mathcal{J}(\lambda, \xi) = 0$  and

$$\nabla^2 \mathcal{J}(\lambda, \xi)(c_0, c_1, \dots, c_N)^T = 0$$

with  $\nabla^2 \mathcal{J}(\lambda, \xi)$  an invertible matrix, which implies  $c_0 = c_1 = c_2 \cdots = c_N = 0$ . Also, note that there will be some cancelation in Lemma 2.8 due to (2.12) and (2.13). This proves that  $w \equiv 0$  in  $\mathbb{R}^N$  and hence  $w_n \rightarrow 0$  in  $C_{\text{loc}}^4(\mathbb{R}^N)$ . Hence, we must have  $|x_n| \rightarrow \infty$ . As usual, we define the Kelvin transform of the functions  $u_{i,n}(x)$  and  $w_n(x)$  as

$$\hat{u}_{i,n}(x) = |x|^{4-N} u_{i,n}\left(\frac{x}{|x|^2}\right), \quad i = 1, 2, \quad \hat{w}_n(x) = |x|^{4-N} w_n\left(\frac{x}{|x|^2}\right), \quad x \in \mathbb{R}^N \setminus \{0\}.$$

Furthermore, define

$$\begin{aligned} \hat{c}_n(x) &= \int_0^1 [t\hat{u}_{1,n} + (1-t)\hat{u}_{2,n}]^{8/(N-4)} dt, \\ \hat{d}_n(x) &= \int_0^1 [t\hat{u}_{1,n} + (1-t)\hat{u}_{2,n}]^{q-1} dt. \end{aligned}$$

Clearly, we have  $|\hat{w}_n(x_n/|x_n|^2)| \geq \frac{1}{2}$  for all large  $n$ . It is easily seen that  $\hat{w}_n$  satisfies the following equation:

$$\Delta^2 \hat{w}_n = \hat{c}_n \hat{w}_n + \varepsilon f\left(\frac{x}{|x|^2}\right) |x|^{-(N+4)+q(N-4)} \hat{d}_n \hat{w}_n.$$

By the decay estimate, we obtain  $|\hat{w}_n(x)| \leq 1$  for all  $n$  and all  $x \in B_1(0) \setminus \{0\}$ . Since  $\hat{w}_n \rightarrow 0$  in  $C_{\text{loc}}^4(\mathbb{R}^N \setminus \{0\})$ , by the dominated convergence theorem, we obtain  $\hat{w}_n \rightarrow 0$  in  $L^p(B_1(0))$  for all  $p \geq 1$ . Using the assumption  $f \in L^\infty(\mathbb{R}^N) \cap L^1(\mathbb{R}^N)$  and the estimate (2.3),

$$\hat{c}_n(x), f\left(\frac{x}{|x|^2}\right) |x|^{-\tau} \hat{d}_n(x)$$

are bounded sequences in  $L^2(B_1(0))$ . Using  $L^p$  theory on  $\hat{w}_n$  [17, Corollary 2.23, page 45],

$$\|\hat{w}_n\|_{L^\infty(B_{\frac{1}{2}}(0))} \leq C \|\hat{w}_n\|_{L^p(B_1(0))} \rightarrow 0.$$

This gives a contradiction, since

$$\|\hat{w}_n\|_{L^\infty(B_{\frac{1}{2}}(0))} \geq \left| \hat{w}_n\left(\frac{x_n}{|x_n|^2}\right) \right| \geq \frac{1}{2}$$

for all large  $n$ . This proves the theorem.

**PROOF OF THEOREM 1.5.** By the assumptions, the nondegenerate critical points of  $\mathcal{J}$  are contained in the interior of a ball  $K = \overline{B}_R(0) \subset \mathbb{R}^+ \times \mathbb{R}^N$  for some  $R > 0$ . Let  $(\lambda_i, \xi_i)$  be the nondegenerate critical points of  $\mathcal{J}$  ( $i = 1, 2, \dots, s$ ) contained in  $K$ . Then, by Theorem 1.1 and Corollary 1.2, there exists  $\varepsilon_0 > 0$  such that for any  $\varepsilon \in (0, \varepsilon_0)$ , the problem (1.5) has at least  $s$  solutions  $u_{\varepsilon,i}$  and  $s$  points  $(\lambda_i, \xi_i)$  such that  $u_{\varepsilon,i} - U_{\lambda_i, \xi_i} \rightarrow 0$  in  $\mathcal{D}^{2,2}(\mathbb{R}^N)$ . For any  $\mu > 0$ , define

$$\mathcal{S}_\mu = \{u \text{ solves (1.5) for } \varepsilon \in (0, \mu)\} \setminus \{u_{\varepsilon,i}\}_{0 < \varepsilon < \mu, 1 \leq i \leq s}.$$

Let

$$\theta_\mu = \inf_{u \in \mathcal{S}_\mu} d(u, \mathcal{M}_K).$$

We now claim that

$$\theta_0 = \liminf_{\mu \rightarrow 0} \theta_\mu > 0.$$

If possible, let  $\theta_0 = 0$ ; then there exist sequences  $\{u_n\} \subset \mathcal{S}_\mu$  and  $\{(\lambda_n, \xi_n)\} \subset K$  such that  $\|u_n - U_{\lambda_n, \xi_n}\|_{\mathcal{D}^{2,2}(\mathbb{R}^N)} \rightarrow 0$  as  $n \rightarrow \infty$ . Let  $(\lambda_n, \xi_n) \rightarrow (\lambda, \xi)$ . Then  $(\lambda, \xi) \in K$  and  $\nabla \mathcal{J}(\lambda, \xi) = 0$  and hence  $\{u_n\}$  is a sequence of solutions bifurcating from  $(\lambda, \xi)$ . But, by the uniqueness theorem (Theorem 1.3) and  $\{u_n\} \subset \mathcal{S}_\mu$ , we obtain a contradiction. This proves the claim.

As a result, we can choose  $\mu_0 > 0$  small such that  $\theta_\mu \geq \theta_0/2$  for all  $\mu < \mu_0$ . By Theorem 1.1, there exist some  $C > 0$  and  $\varepsilon' > 0$  such that

$$d(u_{\varepsilon,i}, \mathcal{M}_K) \leq C\varepsilon, \quad i = 1, \dots, s, \quad \varepsilon \in (0, \varepsilon').$$

Choosing  $\rho_0 = \theta_0/2$  and  $\varepsilon_1 = \min\{\theta_0/2C, \mu_0, \varepsilon'\}$ , we obtain the required result.

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