

## AVERAGING DISTANCES IN CERTAIN BANACH SPACES

REINHARD WOLF

Let  $E$  be a Banach space. The averaging interval  $AI(E)$  is defined as the set of positive real numbers  $\alpha$ , with the following property: For each  $n \in \mathbb{N}$  and for all (not necessarily distinct)  $x_1, x_2, \dots, x_n \in E$  with  $\|x_1\| = \|x_2\| = \dots = \|x_n\| = 1$ , there is an  $x \in E$ ,  $\|x\| = 1$ , such that

$$\frac{1}{n} \sum_{i=1}^n \|x_i - x\| = \alpha.$$

It follows immediately, that  $AI(E)$  is a (perhaps empty) interval included in the closed interval  $[1, 2]$ . For example in this paper it is shown that  $AI(E) = \{\alpha\}$  for some  $1 < \alpha < 2$ , if  $E$  has finite dimension. Furthermore a complete discussion of  $AI(C(X))$  is given, where  $C(X)$  denotes the Banach space of real valued continuous functions on a compact Hausdorff space  $X$ . Also a Banach space  $E$  is found, such that  $AI(E) = [1, 2]$ .

### 1. INTRODUCTION

Let  $E$  be a Banach space. We ask for positive real numbers  $\alpha$ , with the following property: For each  $n \in \mathbb{N}$  and for all (not necessarily distinct)  $x_1, x_2, \dots, x_n \in E$  with  $\|x_1\| = \|x_2\| = \dots = \|x_n\| = 1$ , there is an  $x \in E$ ,  $\|x\| = 1$ , such that

$$\frac{1}{n} \sum_{i=1}^n \|x_i - x\| = \alpha.$$

Since the unit sphere  $S = \{x \in E, \|x\| = 1\}$  of  $E$  is connected, and for each choice  $x_1, \dots, x_n$  in  $S$  (not necessarily distinct) the function  $F(x_1, \dots, x_n)$  on  $S$  defined by  $F(x_1, \dots, x_n)(x) := 1/n \sum_{i=1}^n \|x_i - x\|$  for all  $x \in S$ , is continuous, we get:

$F(x_1, \dots, x_n)(S) \subseteq \mathbb{R}^+$  is a nonempty interval (closed, open, half closed - half open). So  $\alpha \in \mathbb{R}^+$  has the desired property if and only if

$$\alpha \in \bigcap_{\substack{n \in \mathbb{N} \\ x_1, \dots, x_n \in S}} F(x_1, \dots, x_n)(S).$$

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We define

$$AI(E) := \bigcap_{\substack{n \in \mathbb{N} \\ x_1, \dots, x_n \in S}} F(x_1, \dots, x_n)(S)$$

as the averaging distance interval of  $E$ .

Since  $\|x - y\| \leq 2$ ,  $(\|x - y\| + \|x + y\|)/2 \geq 1$ , for all  $x, y \in S$ , it follows that  $AI(E)$  is an interval (closed, open, half closed - half open, or consisting of exactly one number, or the empty set) included in the closed interval  $[1, 2]$ .

In this paper we discuss  $AI(E)$  for certain real Banach spaces  $E$ .

### 2. BASIC DEFINITIONS AND NOTATION

All Banach spaces  $E$  in this paper are considered real and of dimension at least two. By  $S = \{x \in E, \|x\| = 1\}$  we denote the unit sphere of  $E$ . For  $n \in \mathbb{N}$ ,  $1 \leq p \leq \infty$ , let  $l^p(n)$  denote  $\mathbb{R}^n$  with the usual  $p$ -norm. Recall that a sequence of elements  $x_1, x_2, \dots$  in  $E$  is called a basic sequence if for each  $x$  in the closed linear span  $\overline{[(x_n)_{n \geq 1}]}$  generated by  $x_1, x_2, \dots$  there exist a unique sequence of real numbers  $\alpha_1, \alpha_2, \dots$  such that

$$x = \lim_{n \rightarrow \infty} \sum_{i=1}^n \alpha_i x_i.$$

Further recall that a topological space  $X$  is completely regular if  $X$  is a Hausdorff space with the following property: For each closed subset  $A$  of  $X$  and for each  $x \notin A$ , there exists a continuous function  $f$  on  $X$  such that  $0 \leq f(y) \leq 1$  for all  $y$  in  $X$ ,  $f(x) = 1$  and  $f(a) = 0$  for all  $a$  in  $A$ .

A subset  $B$  of  $X$  is called a  $G_\delta$ -set if  $B$  is the countable intersection of open subsets of  $X$ .

A completely regular space  $X$  is called a  $P$ -space if every  $G_\delta$ -set in  $X$  is open. (See [1, p.63].)

### 3. THE RESULTS

When  $E$  is of finite dimension the following Theorem of Gross describes the averaging interval  $AI(E)$ :

**THEOREM.** [3] *Let  $(X, d)$  be a compact connected metric space. There is a unique positive real number  $r(X, d)$ ,  $D(X)/2 \leq r(X, d) < D(X)$ , with the following property: For each positive integer  $n$  and for all (not necessarily distinct)  $x_1, x_2, \dots, x_n$  in  $X$ , there exists an  $x$  in  $X$  such that*

$$\frac{1}{n} \sum_{i=1}^n d(x_i, x) = r(X, d).$$

$r(X, d)$  is called the rendezvous number of  $X$  and  $D(X)$  denotes the diameter of  $X$ .

For a proof see [3].

From this we obtain:

**PROPOSITION 1.** *Let  $E$  be a finite dimensional Banach space. Then we have  $AI(E) = \{\alpha\}$ , for some  $1 < \alpha < 2$ .*

For example, in [5] Morris and Nickolas proved that this unique  $\alpha$  is  $(2^{n-1} [\Gamma(n/2)]^2) / (\sqrt{\pi} \Gamma((2n-1)/2))$ , for all  $n \geq 2$  in the case  $E = l^2(n)$ , where  $\Gamma$  denotes the Gamma function.

In [6] it is shown that  $\alpha = 3/2$  for  $E = l^\infty(n)$ ,  $\alpha = 2 - 1/n$  for  $E = l^1(n)$  and in [7] we give a proof that  $\alpha \leq 2 - 1/n$ , if  $E$  is an  $n$ -dimensional real Banach space with a 1-unconditional basis and equality holds if and only if  $E$  is isometrically isomorphic to  $l^1(n)$ . (In both papers the unique positive real number  $\alpha$  is denoted by  $r(E)$ .)

In the case  $E$  is of infinite dimension it is shown in [6] that  $AI(l^2) = \{\sqrt{2}\}$ ,  $AI(l^\infty) = \{3/2\}$  and  $AI(l^1) = \emptyset$ , where  $l^p$  ( $1 \leq p \leq \infty$ ) denotes the real sequence space with the usual  $p$ -norm. Recently Pei-Kee Lin (private communication, see [4]) showed that  $AI(l^p) \subseteq \{2^{1/p}\}$  if  $1 \leq p < \infty$ ,  $AI(l^p) = \emptyset$  if  $1 \leq p < 2$  and  $\lim_{n \rightarrow \infty} r(l^p(n)) = 2^{1/p}$  for all  $1 \leq p < \infty$  ( $AI(l^p(n)) = \{r(l^p(n))\}$ ). By looking at the proofs of Proposition 1, 4, 5 in [6] and since  $1 \notin AI(c_0)$  (notice that there is no  $x$  in  $c_0$ ,  $\|x\| = 1$  such that  $\|x_1 - x\| + \|x_1 + x\| + \|x_2 - x\| + \|x_2 + x\| = 4$ , where  $x_1 = (1, 0, 0, \dots)$  and  $x_2 = (1, 1/2, 1/3, \dots)$ ) it follows that  $AI(c_0) = \{1, 3/2\}$ , where  $c_0$  denotes the subspace of  $l^\infty$  consisting of all zero tending sequences.

Notice that Proposition 1 implies that the numbers 1 and 2 are forbidden values for  $\alpha$ , if  $E$  is finite dimensional.

If  $E$  has infinite dimension the fact 1, respectively 2, are elements of  $AI(E)$  implies that  $c_0$  (under an added condition), respectively  $l^1$ , are in  $E$ :

**PROPOSITION 2.** *Let  $E$  be a Banach space of infinite dimension. Then we get*

1.  $1 \in AI(E)$  and  $E$  having a two dimensional subspace isometrically isomorphic to  $l^\infty(2)$  implies that  $E$  contains a closed subspace isometrically isomorphic to  $c_0$ .
2.  $2 \in AI(E)$  implies that  $E$  contains a closed subspace isometrically isomorphic to  $l^1$ .

Since a Banach space is reflexive if and only if all its closed subspaces are reflexive, we obtain

**COROLLARY.** *Let  $E$  be a reflexive Banach space. Then  $2 \notin AI(E)$ . In addition, if  $E$  does not contain a two dimensional subspace isometrically isomorphic to  $l^\infty(2)$ ,*

then  $1 \notin AI(E)$  also.

For a compact Hausdorff space  $X$  let  $C(X)$  denote the Banach space of all real valued continuous functions on  $X$  with the supremum norm. The following result gives a complete discussion of  $AI(C(X))$ :

**PROPOSITION 3.** *Let  $X$  be a compact Hausdorff space with at least two points. Then*

1.  $AI(C(X)) = \{3/2\}$  if  $X$  has at least one isolated point.
2.  $AI(C(X)) = [3/2, 2)$  if  $X$  has no isolated points and has at least one point with a countable neighbourhood basis.
3.  $AI(C(X)) = [3/2, 2]$  if no point in  $X$  has a countable neighbourhood basis.

Therefore, for example, we get  $AI(C[0, 1]) = [3/2, 2)$ .

Quite recently Pei-Kee Lin (private communication, see [4]) generalised Proposition 3, part 1 to  $C_b(X)$ , the space of all bounded real valued continuous functions on a normal space  $X$ , and moreover he showed that  $AI(C_b(X)) \supseteq (3/2, 2)$ , if  $X$  is a normal space without isolated points.

The next result gives an answer to the question: What is the maximal size of  $AI(E)$ ?

**PROPOSITION 4.** *Let  $X$  be a  $P$ -space without isolated points and let  $E$  be the Banach space of all bounded continuous real valued functions on  $X$  vanishing at one point  $x_0$  in  $X$ , with the supremum norm. Then we have*

$$AI(E) = [1, 2].$$

**REMARK.** Of course each discrete space is a  $P$ -space. An example of a  $P$ -space with exactly one non isolated point is the following: Let  $S$  be an uncountable space in which all points are isolated except for a distinguished point  $s_0$ , a neighbourhood of  $s_0$  being any set containing  $s_0$  whose complement is countable. (See [1, 4 N.1, p.64].)

The existence of a  $P$ -space without isolated points is not trivial. A construction of such a space is given in [1, Chapter 13], in particular see 13 P. 1, page 193.

Summing up, we notice that if a Banach space  $E$  is finite dimensional with dimension at least two then  $AI(E) = \{\alpha\}$  for some unique positive real number  $\alpha$ . If  $E$  has infinite dimension then all extreme cases for  $AI(E)$  are possible: For example  $AI(l^1) = \emptyset$ ,  $AI(l^2) = \{\sqrt{2}\}$ , and  $AI(E) = [1, 2]$  for  $E$  the Banach space given in Proposition 4.

#### 4. THE PROOFS

**PROOF OF PROPOSITION 1:** By assumption the unit sphere  $S$  of  $E$  equipped with the norm induced metric is a compact connected metric space. Applying Gross's

Theorem and since the diameter of  $S$  is two, we get  $AI(E) = \{\alpha\}$ , for some  $\alpha \in [1, 2)$ . It remains to show that  $\alpha > 1$ . Assume  $\alpha = 1$ .

Let  $n \in \mathbb{N}$ . By compactness of  $S$  find a  $1/n$ -net  $x_1, \dots, x_N$  of  $S$ ,  $N = N(n)$ . Since  $AI(E) = \{1\}$  we find some  $y_n$  in  $S$  such that

$$\frac{1}{2N} \sum_{i=1}^N \|x_i - y_n\| + \|x_i + y_n\| = 1.$$

It follows that  $\|x_i - y_n\| + \|x_i + y_n\| = 2$  for all  $1 \leq i \leq N$ . So for each  $x$  in  $S$  we obtain

$$2 \leq \|x - y_n\| + \|x + y_n\| \leq 2 + \frac{2}{n}.$$

Compactness of  $S$  again implies that a subsequence of  $(y_n)_{n \geq 1}$  converges to some  $y$  in  $S$ . Therefore we have

$$(*) \quad \|x - y\| + \|x + y\| = 2 \quad \text{for all } x \text{ in } S.$$

Now choose some  $y_0$  in  $S$  with  $\|y - y_0\| = 1$ . By formula  $(*)$  we get  $\|y + y_0\| = 1$ .

Therefore  $y + y_0$  and  $y - y_0$  are elements of  $S$ . Applying formula  $(*)$  to  $y + y_0$  and  $y - y_0$  we get  $\|2y - y_0\| = \|2y + y_0\| = 1$ . But  $4 = \|4y\| \leq \|2y - y_0\| + \|2y + y_0\| = 2$  leads to a contradiction.  $\square$

For proving Proposition 2 we need a well known criterion for basic sequences:

**LEMMA 1.** *Let  $x_1, x_2, \dots$  be a sequence of nonzero elements in a Banach space  $E$ . Then in order that  $x_1, x_2, \dots$  be a basic sequence, it is both necessary and sufficient that there be a finite constant  $K > 0$  so that for any choice of scalars  $(\alpha_n)_{n \geq 1}$  and any integers  $m, n$  with  $m < n$  we have*

$$\left\| \sum_{i=1}^m \alpha_i x_i \right\| \leq K \cdot \left\| \sum_{i=1}^n \alpha_i x_i \right\|.$$

For a proof see [2, Theorem 1, p.36].

**PROOF OF PROPOSITION 2:** (1): Since  $l^\infty(2)$  is isometrically included in  $E$  we find  $x_1, x_2$  in  $S$  such that  $\|\alpha_1 x_1 + \alpha_2 x_2\| = \max(|\alpha_1|, |\alpha_2|)$  for all  $\alpha_1, \alpha_2$  in  $\mathbb{R}$ .

We inductively construct a sequence  $x_1, x_2, \dots$  of elements in  $S$  such that  $\|\sigma_1 x_1 + \dots + \sigma_n x_n\| = 1$  for all  $\sigma_1, \dots, \sigma_n$  in  $\{1, -1\}$  and all  $n \geq 2$ .

Now let  $n \geq 3$  and assume that we have found  $x_1, \dots, x_{n-1}$  in  $S$  such that  $\|\sigma_1 x_1 + \dots + \sigma_{n-1} x_{n-1}\| = 1$  for all  $\sigma_1, \dots, \sigma_{n-1}$  in  $\{-1, 1\}$ . Since  $1 \in AI(E)$  we get some  $x_n$  in  $S$  such that

$$\frac{1}{2^n} \sum_{\sigma_1, \dots, \sigma_{n-1} \in \{1, -1\}} \|\sigma_1 x_1 + \dots + \sigma_{n-1} x_{n-1} + x_n\| + \|\sigma_1 x_1 + \dots + \sigma_{n-1} x_{n-1} - x_n\| = 1.$$

Therefore we get

$$(\star) \quad \frac{1}{2^n} \sum_{\sigma_1, \dots, \sigma_n \in \{1, -1\}} \|\sigma_1 x_1 + \dots + \sigma_n x_n\| = 1.$$

Since  $\|x + x_i\| + \|x - x_i\| \geq 2\|x_i\| = 2$  for all  $1 \leq i \leq n$  and all  $x \in E$  and applying formula  $(\star)$  we get

$$\left\| \sum_{\substack{r=1 \\ r \neq i}}^n \sigma_r x_r + x_i \right\| + \left\| \sum_{\substack{r=1 \\ r \neq i}}^n \sigma_r x_r - x_i \right\| = 2$$

for all  $1 \leq i \leq n$  and for all  $\sigma_1, \dots, \sigma_{i-1}, \sigma_{i+1}, \dots, \sigma_n$  in  $\{1, -1\}$ .

For  $0 \leq j \leq n$  define

$$A_j = \{ \sigma_1 x_1 + \dots + \sigma_n x_n, \sigma_1, \dots, \sigma_n \in \{1, -1\} \text{ and exactly } j \text{ elements in } \sigma_1, \dots, \sigma_n \text{ are } -1 \}.$$

Now it follows that  $\|x\| = s_j$  for all  $x$  in  $A_j$  for some  $0 \leq s_j \leq 2$ ,  $0 \leq j \leq n$  and  $s_0 + s_1 = s_1 + s_2 \dots = s_{n-1} + s_n = 2$ .

Since  $\|x + \sigma_1 x_1 + \sigma_2 x_2\| + \|x - \sigma_1 x_1 - \sigma_2 x_2\| \geq 2\|\sigma_1 x_1 + \sigma_2 x_2\| = 2$  for all  $\sigma_1, \sigma_2$  in  $\{1, -1\}$  and all  $x \in E$ , by again applying formula  $(\star)$  we get  $s_0 + s_2 = 2$  and therefore  $s_0 = s_1 = \dots = s_n = 1$ . So  $\|\sigma_1 x_1 + \dots + \sigma_n x_n\| = 1$  for all  $\sigma_1, \dots, \sigma_n$  in  $\{1, -1\}$ .

Now let  $n \geq 3$  and take  $\alpha_1, \dots, \alpha_n$  in  $\mathbb{R}$  with  $\max_{1 \leq i \leq n} |\alpha_i| = 1$ .

Since the set  $A = \{ \sigma = (\sigma_1, \dots, \sigma_n), \sigma_1, \dots, \sigma_n \in \{1, -1\} \}$  is the set of extreme points of the unit ball in  $l^\infty(n)$  we get some  $0 \leq b_\sigma \leq 1$ ,  $\sum_{\sigma \in A} b_\sigma = 1$  such that  $(\alpha_1, \dots, \alpha_n) = \sum_{\sigma \in A} b_\sigma \cdot \sigma$ . So  $\alpha_1 x_1 + \dots + \alpha_n x_n = \sum_{\sigma \in A} b_\sigma (\sigma_1 x_1 + \dots + \sigma_n x_n)$  and hence  $\|\alpha_1 x_1 + \dots + \alpha_n x_n\| \leq 1$ . On the other hand choose  $x'_1, \dots, x'_n \in E'$  with  $x'_i(x_i) = 1$ ,  $\|x'_i\| = 1$  for all  $1 \leq i \leq n$ . Fix some  $1 \leq j \leq n$  and take  $\sigma_1, \dots, \sigma_{j-1}, \sigma_{j+1}, \dots, \sigma_n$  in  $\{1, -1\}$ . Since  $x_j = 1/2 \left( \left( \sum_{\substack{r=1 \\ r \neq j}}^n \sigma_r x_r + x_j \right) + \left( \sum_{\substack{r=1 \\ r \neq j}}^n -\sigma_r x_r + x_j \right) \right)$  and  $x'_j(x_j) = 1$  we get

$$x'_j \left( \sum_{\substack{r=1 \\ r \neq j}}^n \sigma_r x_r \right) = 0.$$

It is easy to check that

$$\alpha_1 x_1 + \dots + \alpha_n x_n = 1/(2^n) \sum_{\sigma \in A} (\sigma_1 \alpha_1 + \dots + \sigma_n \alpha_n) (\sigma_1 x_1 + \dots + \sigma_n x_n)$$

and therefore we get

$$x'_j(\alpha_1 x_1 + \dots + \alpha_n x_n) = \alpha_j.$$

So

$$\|\alpha_1 x_1 + \dots + \alpha_n x_n\| \geq \max_{1 \leq j \leq n} |\alpha_j| = 1.$$

So it follows that

$$\|\alpha_1 x_1 + \dots + \alpha_n x_n\| = \max_{1 \leq i \leq n} |\alpha_i|, \quad \text{for all } \alpha_1, \dots, \alpha_n \in \mathbb{R}.$$

Lemma 1 now guarantees that  $x_1, x_2, \dots$  is a basic sequence in  $E$  (take  $K = 1$ ). Let  $F = \overline{[(x_n)_{n \geq 1}]}$  be the closed linear span of  $x_1, x_2, \dots$  and define

$$T: c_0 \rightarrow F, \quad T((\alpha_1, \alpha_2, \dots)) = \sum_{n=1}^{\infty} \alpha_n x_n.$$

Then it follows that  $T$  is an isometry from  $c_0$  onto  $F$ .

(2): Take some  $x_1$  in  $S$ . Since  $2 \in AI(E)$  we get some  $x_2$  in  $S$  such that  $1/2(\|x_1 - x_2\| + \|-x_1 - x_2\|) = 2$ . Therefore  $\|x_1 - x_2\| = \|x_1 + x_2\| = 2$ . We inductively construct a sequence  $x_1, x_2, \dots$  of elements of  $S$  such that  $\|\sigma_1 x_1 + \dots + \sigma_n x_n\| = n$  for all  $n \geq 2$  and all  $\sigma_1, \dots, \sigma_n$  in  $\{1, -1\}$ . Now let  $n \geq 3$  and assume that we have found  $x_1, \dots, x_{n-1}$  in  $S$  such that

$$\|\sigma_1 x_1 + \dots + \sigma_{n-1} x_{n-1}\| = n - 1 \quad \text{for all } \sigma_1, \dots, \sigma_{n-1} \text{ in } \{1, -1\}.$$

Since  $2 \in AI(E)$  we get some  $x_n$  in  $S$  such that

$$\begin{aligned} \frac{1}{2^n} \sum_{\sigma_1, \dots, \sigma_{n-1} \in \{1, -1\}} \left\| \frac{1}{n-1} (\sigma_1 x_1 + \dots + \sigma_{n-1} x_{n-1}) - x_n \right\| \\ + \left\| \frac{1}{n-1} (\sigma_1 x_1 + \dots + \sigma_{n-1} x_{n-1}) + x_n \right\| = 2. \end{aligned}$$

This implies

$$\begin{aligned} \|(\sigma_1 x_1 + \dots + \sigma_{n-1} x_{n-1}) - (n-1)x_n\| \\ = \|(\sigma_1 x_1 + \dots + \sigma_{n-1} x_{n-1}) + (n-1)x_n\| = 2(n-1), \end{aligned}$$

for all  $\sigma_1, \dots, \sigma_{n-1}$  in  $\{1, -1\}$ . Hence we get

$$\begin{aligned} 2(n-1) &= \|\sigma_1 x_1 + \dots + \sigma_{n-1} x_{n-1} + \sigma_n (n-1)x_n\| \\ &\leq \|\sigma_1 x_1 + \dots + \sigma_{n-1} x_{n-1} + \sigma_n x_n\| + (n-2)\|x_n\| \leq 2n-2, \end{aligned}$$

for all  $\sigma_1, \dots, \sigma_n$  in  $\{1, -1\}$  and therefore

$$\|\sigma_1 x_1 + \dots + \sigma_n x_n\| = n \quad \text{for all } \sigma_1, \dots, \sigma_n \text{ in } \{1, -1\}.$$

Now let  $n \geq 2$  and take  $\alpha_1, \dots, \alpha_n \in \mathbb{R}$ . Define  $\tau_i = 1$  if  $\alpha_i \geq 0$  and  $\tau_i = -1$  if  $\alpha_i < 0$  for all  $1 \leq i \leq n$ . Since  $\|\tau_1 x_1 + \dots + \tau_n x_n\| = n$  choose some  $x'$  in  $E'$ ,  $\|x'\| = 1$  and  $x'(\tau_1 x_1 + \dots + \tau_n x_n) = n$ . Hence  $x'(x_i) = \tau_i$  for all  $1 \leq i \leq n$  and therefore

$$\|\alpha_1 x_1 + \dots + \alpha_n x_n\| \geq x'(\alpha_1 x_1 + \dots + \alpha_n x_n) = |\alpha_1| + \dots + |\alpha_n|.$$

So we have

$$\|\alpha_1 x_1 + \dots + \alpha_n x_n\| = |\alpha_1| + \dots + |\alpha_n| \quad \text{for all } n \geq 2 \text{ and all } \alpha_1, \dots, \alpha_n \in \mathbb{R}.$$

Lemma 1 again guarantees that the sequence  $x_1, x_2, \dots$  is a basic sequence in  $E$  (take  $K = 1$ ). Let  $F = \overline{[(x_n)_{n \geq 1}]}$  be the closed linear span of  $x_1, x_2, \dots$  and define

$$T : l^1 \rightarrow F, \quad T((\alpha_1, \alpha_2, \dots)) = \sum_{n=1}^{\infty} \alpha_n x_n.$$

Then it follows that  $T$  is an isometry from  $l^1$  onto  $F$  and so we are done. □

In order to prove Proposition 3 we need the following lemmata:

**LEMMA 2.** *Let  $X$  be a compact Hausdorff space with at least two points. For each  $n \in \mathbb{N}$  and  $f_1, \dots, f_n$  in  $C(X)$  with  $\|f_1\| = \dots = \|f_n\| = 1$  there are  $f_0$  and  $g_0$  in  $C(X)$  with  $\|f_0\| = \|g_0\| = 1$  such that*

$$\frac{1}{n} \sum_{i=1}^n \|f_i - f_0\| \leq \frac{3}{2} \leq \frac{1}{n} \sum_{i=1}^n \|f_i - g_0\|.$$

**PROOF:** Let  $A = \{f_1, \dots, f_n\}$  and choose some  $x$  in  $X$ . Define

$$A^0 = \{f \in A, f(x) = 0\}, \quad A^+ = \{f \in A, f(x) > 0\}, \quad A^- = \{f \in A, f(x) < 0\}.$$

Find an open neighbourhood  $U$  of  $x$  such that:

For all  $y$  in  $U$  we get  $|f(y)| < 1/2$  for all  $f$  in  $A^0$ ,  $f(y) > 0$  for all  $f$  in  $A^+$ ,  $f(y) < 0$  for all  $f$  in  $A^-$ .

Since  $X$  is completely regular we get  $f_0$  in  $C(X)$  with  $0 \leq f_0(y) \leq 1$  for all  $y$  in  $X$ ,  $f_0(x) = 1$  and  $f_0(y) = 0$  for all  $y$  in  $X \setminus U$ .



Now it follows that

$$\begin{aligned} \|f - f_0\| \leq \frac{3}{2}, \quad \|f + f_0\| \leq \frac{3}{2} & \text{ for all } f \text{ in } A^0, \\ \|f - f_0\| \leq 1, \quad \|f + f_0\| \leq 2 & \text{ for all } f \text{ in } A^+, \\ \|f - f_0\| \leq 2, \quad \|f + f_0\| \leq 1 & \text{ for all } f \text{ in } A^-. \end{aligned}$$

Therefore if  $|A^+| \geq |A^-|$  we get

$$\frac{1}{n} \sum_{i=1}^n \|f_i - f_0\| \leq \frac{3}{2};$$

and

$$\frac{1}{n} \sum_{i=1}^n \|f_i + f_0\| \leq \frac{3}{2}$$

if  $|A^+| \leq |A^-|$ .

For the remaining inequality in Lemma 2, find a finite subset  $Y$  of  $X$  with at least two elements, such that for each  $1 \leq i \leq n$ , there is some  $y$  in  $Y$  with  $|f_i(y)| = 1$ .

Let  $k$  in  $\mathbb{N}$  be the order of  $Y$ . Define

$$y_i = (f_i(y))_{y \in Y} \quad \text{for each } 1 \leq i \leq n.$$

By definition of  $Y$  it follows that  $y_1, y_2, \dots, y_n$  are elements of the unit sphere of  $l^\infty(k)$ . Since  $AI(l^\infty(k)) = \{3/2\}$  (see [6]) we find some  $z$  in the unit sphere of  $l^\infty(k)$  such that

$$\frac{1}{n} \sum_{i=1}^n \|y_i - z\|_\infty = \frac{3}{2}.$$

Let  $z = (\lambda_y)_{y \in Y}$ . Since  $X$  is normal the Tietze Extension Theorem applies giving some  $g_0$  in  $C(X)$  such that  $g_0(y) = \lambda_y$  for all  $y$  in  $Y$  and  $\|g_0\| = \|z\|_\infty = 1$ . Hence we get

$$\frac{1}{n} \sum_{i=1}^n \|f_i - g_0\| \geq \frac{1}{n} \sum_{i=1}^n \max_{y \in Y} |f_i(y) - g_0(y)| = \frac{1}{n} \sum_{i=1}^n \|y_i - z\|_\infty = \frac{3}{2}. \quad \square$$

**LEMMA 3.** *Let  $X$  be an infinite compact Hausdorff space and let  $\epsilon > 0$ . Then there is a finite subset  $A$  of norm one elements in  $C(X)$  such that*

$$\frac{1}{|A|} \sum_{f \in A} \|f - h\| > \frac{3}{2} - \epsilon \quad \text{for all } h \text{ in } C(X) \text{ with } \|h\| = 1.$$

**PROOF:** Let  $n \in \mathbb{N}$  and choose a finite subset  $Y$  of  $X$  order  $n$ . Furthermore find open neighbourhoods  $U_y$  for all  $y$  in  $Y$  such that  $U_y \cap U_{y'} = \emptyset$  for all  $y \neq y'$  in  $Y$ . By the complete regularity of  $X$ , find for each  $y$  in  $Y$  some  $f_y$  in  $C(X)$  such that  $-1 \leq f_y(x) \leq 1$  for all  $x$  in  $X$ ,  $f_y(y) = 1$  and  $f_y(x) = -1$  for all  $x$  in  $X \setminus U_y$ . Now take  $h$  in  $C(X)$ ,  $\|h\| = 1$ . Choose some  $x_0$  in  $X$  with  $|h(x_0)| = 1$ .

CASE 1.  $h(x_0) = 1$ . If  $x_0 \in U_{y_0}$  for some  $y_0$  in  $Y$  we get

$$\|f_{y_0} - h\| \geq |f_{y_0}(x_0) - h(x_0)| = |-1 - 1| = 2,$$

for all  $y \neq y_0$  in  $Y$ .

$$\begin{aligned} \|f_y + h\| + \|f_{y'} + h\| &\geq |f_y(y) + h(y)| + |f_{y'}(y) + h(y)| \\ &= |1 + h(y)| + |-1 + h(y)| = 2, \end{aligned}$$

for all  $y \neq y'$  in  $Y$ . Hence we get

$$\frac{1}{2n} \sum_{y \in Y} (\|f_y - h\| + \|f_y + h\|) \geq \frac{1}{2n} (2(n-1) + 2 \cdot \frac{n-1}{2}) = \frac{3}{2} - \frac{3}{2n}.$$

If  $x_0 \notin \bigcup_{y \in Y} U_y$  we get

$$\|f_y - h\| \geq |f_y(x_0) - h(x_0)| = |-1 - 1| = 2,$$

for all  $y \in Y$ . Hence we get

$$\frac{1}{2n} \sum_{y \in Y} (\|f_y - h\| + \|f_y + h\|) \geq \frac{1}{2n} (2n + 2 \cdot \frac{n-1}{2}) = \frac{3}{2} - \frac{1}{2n}.$$

Summing up, we get

$$\frac{1}{2n} \sum_{y \in Y} (\|f_y - h\| + \|f_y + h\|) \geq \frac{3}{2} - \frac{3}{2n}.$$

CASE 2.  $h(x_0) = -1$ . Take  $-h$  for  $h$  and look at Case 1. Now take  $A = \{f_y, -f_y; y \in Y\}$  and choose  $n$  big enough. □

**LEMMA 4.** *Let  $X$  be a compact Hausdorff space without isolated points and let  $\varepsilon > 0$ . For each  $n \in \mathbb{N}$  and  $f_1, \dots, f_n$  in  $C(X)$  with  $\|f_1\| = \dots = \|f_n\| = 1$  there is some  $f_0$  in  $C(X)$  with  $\|f_0\| = 1$  such that*

$$\frac{1}{n} \sum_{i=1}^n \|f_i - f_0\| > 2 - \varepsilon.$$

**PROOF:** Let  $A = \{f_1, \dots, f_n\}$ . Choose some finite subset  $Y$  of  $X$  such that for each  $f$  in  $A$  there is some  $y$  in  $Y$  with  $|f(y)| = 1$ . Furthermore take open neighbourhoods  $U_y$  for each  $y$  in  $Y$  such that  $U_y \cap U_{y'} = \emptyset$  for  $y \neq y'$  in  $Y$ . Let

$$B_y = \{f \in A, f(y) = 1\}, \quad C_y = \{f \in A, f(y) = -1\},$$

for  $y$  in  $Y$ . For each  $y$  in  $Y$  take open neighbourhoods  $V_y$  of  $y$  such that  $f(x) > 1 - \epsilon$  for all  $x$  in  $V_y$  and all  $f$  in  $B_y$ . Let

$$W_y = U_y \cap V_y, \quad y \text{ in } Y.$$

Since  $X$  has no isolated points, take some  $z_y$  in  $W_y$ ,  $z_y \neq y$ . The Tietze extension theorem provides  $f_0$  in  $C(X)$  such that  $-1 \leq f_0(x) \leq 1$  for all  $x$  in  $X$ ,  $f_0(y) = 1$ ,  $f_0(z_y) = -1$ , for all  $y$  in  $Y$ .

Let  $y$  in  $Y$ . If  $f$  is in  $B_y$  we get  $\|f - f_0\| \geq |f(z_y) - f_0(z_y)| > 2 - \epsilon$ . If  $f$  is in  $C_y$  we get  $\|f - f_0\| \geq |f(y) - f_0(y)| = 2$ . Hence

$$\frac{1}{n} \sum_{i=1}^n \|f_i - f_0\| > 2 - \epsilon.$$

□

**LEMMA 5.** *Let  $X$  be a compact Hausdorff space and let  $x_0$  be a point in  $X$  without a countable neighbourhood basis and let  $A$  be a closed neighbourhood of  $x_0$ . Furthermore let  $n \in \mathbb{N}$  and take  $f_1, \dots, f_n$  in  $C(X)$  with  $f_i(x) \geq 0$  for all  $x$  in  $A$ ,  $f_i(x_0) = 0$ , for all  $1 \leq i \leq n$ .*

*Then there are  $y_1, \dots, y_n$  in  $A$  such that  $y_i \neq x_0$ ,  $y_i \neq y_j$  and  $f_i(y_i) = 0$  for all  $1 \leq i \neq j \leq n$ .*

**PROOF:** By induction on  $n$ . Let  $n = 1$ . Assume  $f_1(x) > 0$  for all  $x$  in  $A$ ,  $x \neq x_0$ . Take an open neighbourhood  $U$  of  $x_0$ ,  $U \subseteq A$  and take  $k \in \mathbb{N}$ . Let  $U_k = f_1^{-1}[0, 1/k] \cap U$ . We claim that  $(U_k)_{k \geq 1}$  is a neighbourhood basis of  $x_0$ , consisting of open neighbourhoods  $U_k$  of  $x$ . Let  $V$  be an open neighbourhood of  $x_0$ . If  $A \setminus V = \emptyset$  we get  $A \subseteq V$  and therefore  $U_k \subseteq V$  for all  $k \in \mathbb{N}$ . Now let  $A \setminus V \neq \emptyset$ . Since  $A \setminus V$  is compact we get some  $s \in A \setminus V$  such that  $f_1(y) \geq f_1(s)$  for all  $y$  in  $A \setminus V$ . Since  $s \in A$ ,  $s \notin V$ , we get  $f_1(s) > 0$ . Choose some  $k_0 \in \mathbb{N}$  with  $f_1(s) > 1/k_0$ . Assume there is some  $y$  in  $U_{k_0} \setminus V$ . Then we get  $f_1(y) < 1/k_0$  and by  $U_{k_0} \subseteq U \subseteq A$ ,  $f_1(y) > 1/k_0$ . Therefore  $U_{k_0} \subseteq V$ .

So  $(U_k)_{k \geq 1}$  build a countable neighbourhood basis of  $x_0$  which leads to a contradiction. Therefore we get some  $y_1$  in  $A$ ,  $y_1 \neq x_0$  and  $f_1(y_1) = 0$ . Now let  $f_1, \dots, f_{n+1}$  be in  $C(X)$  with  $f_i(x) \geq 0$  for all  $x$  in  $X$ ,  $f_i(x_0) = 0$ , for all  $1 \leq i \leq n + 1$ . Assume that we have found  $y_1, \dots, y_n$  in  $A$  such that  $y_i \neq x_0$ ,  $y_i \neq y_j$  and  $f_i(y_i) = 0$  for all  $1 \leq i \neq j \leq n$ .

Now choose some closed neighbourhood  $A_n$  of  $x_0$  such that  $y_1, \dots, y_n \notin A_n$ . The case  $n = 1$  leads to some  $y_{n+1} \in A_n \cap A$  such that  $y_{n+1} \neq x_0$  and  $f_{n+1}(y_{n+1}) = 0$ . □

Now we verify Proposition 3:

**PROOF OF PROPOSITION 3:** (1): If  $X$  is finite we get  $C(X) \cong l^\infty(n)$  for some  $n \geq 2$ . Since  $AI(l^\infty(n)) = \{3/2\}$  (see [6]) we are done. So assume that  $X$  is infinite.

By Lemma 2 and 3 and the Intermediate Value Theorem we get  $3/2 \in AI(C(X))$  and  $AI(C(X)) \subseteq [3/2, 2]$ . Now take some isolated point  $x_0$  in  $X$ . Define  $f_0$ , by  $f_0(x_0) = 1$  and  $f_0(x) = 0$  for all  $x \neq x_0$  in  $X$ . Hence we get  $f_0 \in C(X)$ ,  $\|f_0\| = 1$ . It is easy to check that  $\|f_0 - f\| + \|f_0 + f\| \leq 3$  for all  $f \in C(X)$  with  $\|f\| = 1$  and therefore  $AI(C(X)) = \{3/2\}$ .

(2): By Lemma 2, 3, 4 and the Intermediate Value Theorem we get  $[3/2, 2] \subseteq AI(C(X))$  and  $AI(C(X)) \subseteq [3/2, 2]$ . It remains to show that  $2 \notin AI(C(X))$ . Take some  $x_0$  in  $X$  with a countable neighbourhood basis  $(U_n)_{n \geq 1}$ . Without loss of generality let  $U_n$  be open neighbourhoods of  $x_0$  for all  $n \geq 1$ . Since  $X$  is completely regular take some  $(g_n)_{n \geq 1}$  in  $C(X)$  such that  $0 \leq g_n(x) \leq 1$  for all  $x$  in  $X$ ,  $g_n(x_0) = 0$  and  $g_n(x) = 1$  for all  $x \in X \setminus U_n$ , for all  $n \geq 1$ .

It is easy to check that  $f$  defined by  $f = 1 - \sum_{n \geq 1} 1/2^n g_n$  is in  $C(X)$  and is such that  $0 \leq f(x) \leq 1$  for all  $x$  in  $X$ ,  $f(x_0) = 1$  and  $f(x) < 1$  for all  $x \neq x_0$  in  $X$ . Assume that we have  $2 \in AI(C(X))$ . Then there must be some  $h \in C(X)$ ,  $\|h\| = 1$  and  $\|f - h\| + \|f + h\| = 4$ . Hence we get  $h(x_0) = 1$  and  $h(x_0) = -1$ , which is a contradiction.

(3): It remains to show that  $2 \in AI(C(X))$ . Let  $n \in \mathbb{N}$  and  $f_1, \dots, f_n$  in  $C(X)$  with  $\|f_i\| = 1$  for all  $1 \leq i \leq n$ . Let  $A = \{f_1, \dots, f_n\}$ . Choose a finite subset  $Y$  of  $X$  such that for each  $f$  in  $A$  there is some  $y$  in  $Y$  with  $|f(y)| = 1$ . Furthermore let  $C_y$  be closed neighbourhoods of  $y$  such that  $C_y \cap C_{y'} = \emptyset$  for all  $y \neq y'$  in  $Y$ .

Let  $A_y = \{f \in A, f(y) = 1\}$  and  $B_y = \{f \in A, f(y) = -1\}$  for all  $y$  in  $Y$ . Now fix some  $y$  in  $Y$ . By Lemma 5 there are  $(z_{y,f})_{f \in A_y}$  in  $C_y$  such that  $z_{y,f} \neq y$ ,  $z_{y,f} \neq z_{y,f'}$  and  $(1 - f)(z_{y,f}) = 0$  for all  $f \neq f'$  in  $A_y$ .

By the Tietze Extension Theorem we get some  $g$  in  $C(X)$  such that  $-1 \leq g(x) \leq 1$  for all  $x$  in  $X$ ,  $g(y) = 1$  and  $g(z_{y,f}) = -1$  for all  $y$  in  $Y$  and all  $f$  in  $A_y$ .

Now let  $f$  in  $A$ .

CASE (a).  $f \in A_{y_0}$  for some  $y_0$  in  $Y$ . It follows that

$$\|f - g\| \geq |f(z_{y_0,f}) - g(z_{y_0,f})| = |1 - (-1)| = 2.$$

CASE (b).  $f \in B_{y_0}$  for some  $y_0$  in  $Y$ . It follows that

$$\|f - g\| \geq |f(y_0) - g(y_0)| = |-1 - 1| = 2.$$

So  $\|f - g\| = 2$  for all  $f$  in  $A$  and therefore

$$\frac{1}{n} \sum_{i=1}^n \|f_i - g\| = 2.$$

□

The next two lemmata lead to Proposition 4.

**LEMMA 6.** *Let  $X$  be a  $P$ -space. Then we have*

1. *For every continuous function  $f$  on  $X$  the zero set  $Nf$  of  $f$ ,  $Nf = \{x \in X, f(x) = 0\}$  is an open and closed subset of  $X$ .*
2. *Let  $A$  be a countable subset of  $X$ . It follows that  $A$  is closed in  $X$  and for each  $a$  in  $A$  there are open neighbourhoods  $U_a$  of  $a$  such that  $U_a \cap U_{a'} = \emptyset$  for all  $a \neq a'$  in  $A$ .*
3. *Let  $A$  be a countable subset of  $X$  and  $f$  a function defined on  $A$ . (By (2)  $f$  is continuous on  $A$ .) Then there exists some continuous function  $\tilde{f}$  on  $X$  such that  $\tilde{f}(a) = f(a)$  for all  $a$  in  $A$ .*

PROOF: For (1) and (3) see exercise 4J·(3) and 4K·(2) in [1, p.63].

(2):  $A$  is closed by exercise 4K·(1) in [1, p.63].

Let  $A = \{x_1, x_2, \dots\}$  and  $n \in \mathbb{N}$ . Since  $A \setminus \{x_n\}$  is countable we get  $A \setminus \{x_n\}$  is closed in  $X$ . Since  $X$  is completely regular there are  $f_n$  in  $C(X)$  such that  $f_n(x_n) = 0$  and  $f_n(x_m) = 1$  for all  $n \neq m$  in  $\mathbb{N}$ .

Let  $Nf_n = \{x \in X, f_n(x) = 0\}$  for  $n \in \mathbb{N}$ . By (1) each  $Nf_n$  is an open subset of  $X$ . For each  $n \in \mathbb{N}$  define  $U_n = Nf_n \cap \left[ X \setminus \bigcup_{k \neq n} Nf_k \right]$ .

Note that  $X \setminus \bigcup_{k \neq n} Nf_k = \bigcap_{k \neq n} (X \setminus Nf_k)$  is a  $G_\delta$ -set in  $X$  and therefore open since  $X$  is a  $P$ -space. It is easy to check that  $(U_n)_{n \geq 1}$  are open neighbourhoods of  $x_n$  and  $U_n \cap U_m = \emptyset$  for all  $n \neq m$  in  $\mathbb{N}$ . □

**LEMMA 7.** *Let  $X$  be a  $P$ -space without isolated points and let  $f_1, \dots, f_n \in C_b(X)$  with  $\|f_1\| = \dots = \|f_n\| = 1$ . Then there exist countable subsets  $A_1, \dots, A_n$  of  $X$  such that  $\sup_{z \in A_i} |f_i(z)| = 1$  and  $A_i \cap A_j = \emptyset$  for all  $1 \leq i \neq j \leq n$ .*

PROOF: By induction on  $n$ . The case  $n = 1$  is trivial. Now let  $f_1, \dots, f_{n+1} \in C_b(X)$  with  $\|f_1\| = \dots = \|f_{n+1}\| = 1$  and assume that we have found countable subsets  $A_1, \dots, A_n$  of  $X$  such that  $\sup_{z \in A_i} |f_i(z)| = 1$  and  $A_i \cap A_j = \emptyset$  for all  $1 \leq i \neq j \leq n$ .

Choose some countable subset  $B_{n+1}$  of  $X$  such that  $\sup_{z \in B_{n+1}} |f_{n+1}(z)| = 1$ . Let  $A =$

$A_1 \cup \dots \cup A_n \cup B_{n+1}$ . By Lemma 6, part (2), find some open neighbourhoods  $U_a$  for each  $a$  in  $A$  such that  $U_a \cap U_{a'} = \emptyset$  for all  $a \neq a'$  in  $A$ . If  $B_{n+1} \cap (A_1 \cup \dots \cup A_n) = \emptyset$ , let  $A_{n+1} = B_{n+1}$ . So assume that  $B_{n+1} \cap (A_1 \cup \dots \cup A_n) \neq \emptyset$ . Take  $y \in B_{n+1} \cap (A_1 \cup \dots \cup A_n)$ . By Lemma 6, part (1), find some open neighbourhood  $V_y$  of  $y$  such that  $V_y \subseteq U_y$  and  $|f_{n+1}(x)| = |f_{n+1}(y)|$  for all  $x$  in  $V_y$ . Since  $X$  has no isolated points take some  $z_y$  in  $V_y$ ,  $z_y \neq y$  for all  $y$  in  $B_{n+1} \cap (A_1 \cup \dots \cup A_n)$ . Now let  $A_{n+1} = [B_{n+1} \setminus (A_1 \cup \dots \cup A_n)] \cup \bigcup_{y \in B_{n+1} \cap (A_1 \cup \dots \cup A_n)} \{z_y\}$ . By definition of  $A_{n+1}$  we

get  $A_{n+1} \cap A_1 = \dots = A_{n+1} \cap A_n = \emptyset$ ,  $A_{n+1}$  countable and  $\sup_{z \in A_{n+1}} |f_{n+1}(z)| =$

$$\sup_{x \in B_{n+1}} |f_{n+1}(x)| = 1. \quad \square$$

PROOF OF PROPOSITION 4: Let  $f_1, \dots, f_n \in E$ ,  $\|f_1\| = \dots = \|f_n\| = 1$ . Let  $Nf_i = \{x \in X, f_i(x) = 0\}$  for all  $1 \leq i \leq n$ . Let  $U = Nf_1 \cap \dots \cap Nf_n$ . By Lemma 6, part (1),  $U$  is an open neighbourhood of  $x_0$ . Since  $X$  has no isolated points, there is  $x_1 \in U$ ,  $x_1 \neq x_0$ . Take some open neighbourhood  $V$  of  $x_1$  such that  $x_0 \notin V$  and  $V \subseteq U$ . Since  $X$  is completely regular we can find  $f$  in  $C_b(X)$  such that  $0 \leq f(x) \leq 1$  for all  $x$  in  $X$ ,  $f(x_1) = 1$  and  $f(x) = 0$  for all  $x$  in  $X \setminus V$ . Therefore  $f \in E$  and  $\|f\| = 1$ . Now it follows that  $\|f - f_1\| = \dots = \|f - f_n\| = 1$  and therefore  $1 \in AI(E)$ . It remains to show that  $2 \in AI(E)$ . By Lemma 7 there are some countable subsets  $A_1, \dots, A_n$  of  $X$  such that  $\sup_{x \in A_i} |f_i(x)| = 1$  and  $A_i \cap A_j = \emptyset$  for all  $1 \leq i \neq j \leq n$ . Without loss of generality let  $x_0 \notin A_1 \cup \dots \cup A_n$ . We define a function  $g$  on  $A = \{x_0\} \cup A_1 \cup \dots \cup A_n$ . Put  $g(x_0) = 0$ ,  $g(x) = -f_i(x)$  for all  $x$  in  $A_i$  and all  $1 \leq i \leq n$ . It follows that  $\sup_{x \in A} |g(x)| = \max_{1 \leq i \leq n} \|f_i\| = 1$ . By Lemma 6, part (3), we get some continuous function  $\tilde{g}$  on  $X$  such that  $\tilde{g}(x) = g(x)$  for all  $x$  in  $A$ . Let  $h(x) = \min(\max(-1, \tilde{g}(x)), 1)$  for all  $x$  in  $X$ . It follows that  $h \in E$ ,  $\|h\| = 1$  and  $h(x) = g(x)$  for all  $x$  in  $A$ . Now let  $1 \leq i \leq n$ . We get  $\|f_i - h\| \geq \sup_{x \in A_i} |f_i(x) - h(x)| = 2 \sup_{x \in A_i} |f_i(x)| = 2$ , hence  $2 \in AI(E)$ . By the Intermediate Value Theorem it follows that  $AI(E) = [1, 2]$ .  $\square$

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Institut für Mathematik  
 Universität Salzburg  
 Hellbrunnerstraße 34  
 A-5020 Salzburg  
 Austria