

AN EXTENSION OF THE FORELLI–RUDIN PROJECTION THEOREM

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For a measurable function f on the unit ball B in \mathbb{C}^n we define $(M_1 f)(w)$, $|w| < 1$, to be the mean modulus of f over a hyperbolic ball with center at w and of a fixed radius. The space L^p_1 , $0 < p < \infty$, is defined by the requirement that $M_1 f$ belongs to the Lebesgue space L^p . It is shown that the subspace of L^p spanned by holomorphic functions coincides with the corresponding subspace of L^p_1 . It is proved that if $s > (n+1)(p^{-1} - 1)$, $0 < p < 1$, then this subspace is complemented in L^p_1 by the projection whose reproducing kernel is $(1 - |w|^2)^s (1 - \langle z, w \rangle)^{-(s+n+1)}$. As corollaries we get an extension of the Forelli–Rudin projection theorem and we show that a holomorphic function f is L^p -integrable, $0 < p < \infty$, over the unit ball B iff $u = Ref$ is L^p -integrable over B . Finally, we sketch an alternative proof of the main result of this paper in the case $0 < p < 1$.

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0. Introduction

Throughout this paper n will denote a fixed positive integer. Let B be the unit ball in \mathbb{C}^n and dv the normalized Lebesgue measure on B . Following Forelli and Rudin [4] we let

$$(T_s f)(z) = \binom{n+s}{n} \int_B f(w) \frac{(1 - |w|^2)^s}{(1 - \langle z, w \rangle)^{s+n+1}} dv(w), \quad z \in B, \tag{0.1}$$

where s is a real number > -1 , and f is any complex-valued measurable function on B satisfying

$$\int_B |f(w)|(1 - |w|^2)^s dv(w) < \infty. \tag{0.2}$$

The set of all f satisfying (0.2) will be denoted by $D(T_s)$. It is clear that (0.1) defines a linear operator which maps $D(T_s)$ into $H(B)$, the set of all functions holomorphic in B . The most important property of T_s is that

$$T_s f = f \quad \text{and} \quad T_s \bar{f} = \overline{f(0)} \quad \text{for} \quad f \in H(B) \cap D(T_s). \tag{0.3}$$

See [4].

In [4], Forelli and Rudin gave a necessary and sufficient condition for T_s to be a bounded operator on $L^p(v)$:

Forelli–Rudin Theorem. For $1 \leq p < \infty$, T_s is a bounded operator on $L^p(v)$ if and only if

$$s > p^{-1} - 1. \tag{0.4}$$

If (0.4) holds, then T_s projects $L^p(v)$ onto $L^p(v) \cap H(B)$.

In this paper we extend the Forelli–Rudin theorem to a class of non-locally convex spaces. We are motivated by the fact that if $0 < p < 1$, then there is no bounded operator which maps $L^p(v)$ onto $L^p(v) \cap H(B)$. (The dual of $L^p(v)$ is trivial. On the other hand, for each $z \in B$, the functional $f \rightarrow f(z)$ is continuous on $L^p(v) \cap H(B)$; see [10, Theorem 7.2.5].) Our main result is that there is a scale of spaces, denoted by $L_1^p(v)$, satisfying the following:

- (i) $L^p(v) \cap H(B) = L_1^p(v) \cap H(B)$ for $0 < p < \infty$;
- (ii) $L_1^p(v) \subset L^p(v)$ for $p \leq 1$, and $L^p(v) \subset L_1^p(v)$ for $1 \leq p < \infty$;
- (iii) for $0 < p < 1$ (resp. $1 \leq p < \infty$), T_s is a bounded operator on $L_1^p(v)$ if and only if $s > (n + 1)(p^{-1} - 1)$ (resp. $s > p^{-1} - 1$).

The definition of $L_1^p(v)$ and of some related spaces is in Section 2. In Section 1 we list some properties of the automorphisms of the unit ball and give a short proof of (0.3).

The proof of the assertion (iii) is in Section 3. We also extend a result of Forelli and Rudin by proving that $f \in H(B)$ and $Re f \in L^p(v)$, $p < 1$, imply $f \in L^p(v)$.

In Section 4, we consider a discrete version of L_1^p obtained by decomposing the disk into hyperbolically “equal”-sized pieces as in [2] and use it to sketch a proof of the part “if” of property (iii) (see above) in the case $0 < p < 1$.

1. Preliminaries

The definitions and notation are for the most part those given in Rudin [10]. By \mathbb{C}^n we denote the vector space of n -tuples $z = (z_1, \dots, z_n)$ of complex numbers, with inner product and norm given by

$$\langle z, w \rangle = z_1 \bar{w}_1 + \dots + z_n \bar{w}_n, \quad |z| = \langle z, z \rangle^{1/2}.$$

Let $Aut(B)$ be the group of all (biholomorphic) automorphisms of the unit ball $B = \{z \in \mathbb{C}^n : |z| < 1\}$. Each $\psi \in Aut(B)$ can be written as $\psi = U \circ \phi_a$ ($a \in B$), where U is a unitary transformation on \mathbb{C}^n , and $\phi_a \in Aut(B)$ satisfies

$$\phi_a(0) = a, \quad \phi_a(a) = 0, \quad \phi_a = \phi_a^{-1}.$$

The main property of ϕ_a is given by

$$1 - \langle \phi_a(z), \phi_a(w) \rangle = \frac{(1 - |a|^2)(1 - \langle z, w \rangle)}{(1 - \langle a, w \rangle)(1 - \langle z, a \rangle)} \tag{1.1}$$

for all, $a, z, w \in B$ (see [10, Theorem 2.2.2]). In particular

$$1 - |\phi_a(w)|^2 = \frac{(1 - |a|^2)(1 - |w|^2)}{|1 - \langle a, w \rangle|^2} \tag{1.2}$$

and

$$1 - \langle a, \phi_a(w) \rangle = 1 - \langle \phi_a(0), \phi_a(w) \rangle = \frac{1 - |a|^2}{1 - \langle a, w \rangle}. \tag{1.3}$$

Combining (1.2) and (1.3) yields

$$\frac{1 - |\phi_a(w)|^2}{1 - \langle a, \phi_a(w) \rangle} = \frac{1 - |w|^2}{1 - \langle w, a \rangle}. \tag{1.4}$$

For $a, w \in B$ let $d(w, a) = |\phi_a(w)| = |\phi_w(a)|$. It is well-known that d is an invariant metric on B satisfying

$$d(w, z) \leq \frac{d(w, a) + d(a, z)}{1 + d(w, a)d(a, z)} \tag{1.5}$$

for all $a, z, w \in B$. (Note that the Bergman metric on B is equal to $c_n \log((1 + d)/(1 - d))$ and that d is called the pseudo-hyperbolic metric.)

The measure $d\tau$ defined by

$$d\tau(w) = (1 - |w|^2)^{-(n+1)} dv(w), \quad w \in B,$$

(dv is the normalized Lebesgue measure on B) is invariant with respect to the group $Aut(B)$ [10, Theorem 2.2.6]. In particular, if we put

$$E(a, \varepsilon) = \{z \in B: d(a, z) < \varepsilon\} = \phi_a(\varepsilon B),$$

$$\varepsilon B = E(0, \varepsilon) = \{z: |z| < \varepsilon\}; \quad 0 < \varepsilon < 1,$$

then we have $\tau(E(a, \varepsilon)) = \tau(\varepsilon B)$. By integration in polar coordinates we find that

$$\tau(E(a, \varepsilon)) = \varepsilon^{2n}(1 - \varepsilon^2)^{-n} =: \tau(\varepsilon), \quad a \in B; \quad 0 < \varepsilon < 1. \tag{1.6}$$

We also note that the invariance property of d and the mean value property of M -harmonic functions imply the formula

$$g(a)\tau(\varepsilon) = \int_{E(a,\varepsilon)} g d\tau, \quad a \in B, 0 < \varepsilon < 1, \quad (1.7)$$

which is valid for every M -harmonic function g on B . (In particular, (1.7) holds for holomorphic and antiholomorphic functions.)

A proof of (0.3). That $T_s \bar{f} = \overline{f(0)}$ for $f \in H(B) \cap D(T_s)$ is easily deduced from the mean value property of antiholomorphic functions [10, Proposition 7.1.2]. Then the first equality in (0.3) is obtained by use of the formula

$$\overline{(T_s f)(a)} = (T_s(\overline{f \circ \phi_a}))(a), \quad f \in D(T_s), a \in B. \quad (1.8)$$

To prove (1.8) we write T_s as

$$(T_s f)(a) = \int_B f(w) Q_s(a, w) d\tau(w), \quad (1.9)$$

where

$$Q_s(a, w) = \binom{n+s}{n} \left(\frac{1-|w|^2}{1-\langle a, w \rangle} \right)^{s+n+1}, \quad a, w \in B. \quad (1.10)$$

By using the invariance of $d\tau$ we get

$$(T_s f)(a) = \int_B f(\phi_a(w)) Q_s(a, \phi_a(w)) d\tau(w).$$

Combining this with the identity $Q_s(a, \phi_a(w)) = \overline{Q_s(a, w)}$ (which follows from (1.4)) yields (1.8). (The proof shows that if f belongs to $D(T_s)$, then so does $f \circ \phi_a$.)

We finish this section with two useful lemmas.

Lemma 1.1. *If $d(a, w) \leq \varepsilon < 1$, then*

$$\frac{1}{C} \leq \frac{1-|a|^2}{1-|w|^2} \leq C,$$

where $C = 4/(1-\varepsilon^2) < \infty$.

Proof. Clearly we have to prove one of the required inequalities; the other will follow by symmetry. If $d(a, w) \leq \varepsilon$, then, by (1.2),

$$\begin{aligned} \frac{1-|a|^2}{1-|w|^2} &= \frac{(1-d(a, w)^2)|1-\langle a, w \rangle|^2}{(1-|w|^2)^2} \\ &\geq \frac{(1-\varepsilon^2)(1-|w|)^2}{(1-|w|^2)^2} \geq \frac{1-\varepsilon^2}{4}. \end{aligned}$$

Lemma 1.2. *If $d(a, w) \leq \varepsilon < 1$ and $z \in B$, then*

$$\frac{1}{C} \leq \left| \frac{1 - \langle z, w \rangle}{1 - \langle z, a \rangle} \right| \leq C,$$

where $C = 2/(1 - \varepsilon) < \infty$.

Proof. By (1.1),

$$\begin{aligned} \left| \frac{1 - \langle z, w \rangle}{1 - \langle z, a \rangle} \right| &= \frac{|1 - \langle \phi_a(z), \phi_a(w) \rangle| |1 - \langle a, w \rangle|}{1 - |a|^2} \\ &\leq \frac{(1 - |\phi_a(w)|)(1 - |a|)}{1 - |a|^2}. \end{aligned}$$

The result follows.

2. L^p_q -spaces

Unless specified otherwise, we shall assume that p, q, ε and δ are positive and satisfy $p < \infty, q \leq \infty, \varepsilon < 1$ and $\delta < 1$. For a complex-valued measurable function f on B we define

$$(M_\infty f)(w) = (M_{\infty, \varepsilon} f)(w) = \text{ess sup} \{|f(a)| : a \in E(w, \varepsilon)\},$$

$$(M_q f)(w) = (M_{q, \varepsilon} f)(w) = \left\{ \frac{1}{\tau(\varepsilon)} \int_{E(w, \varepsilon)} |f|^q d\tau \right\}^{1/q}, \quad q < \infty,$$

where $\tau(\varepsilon) = \tau(E(w, \varepsilon)), w \in B$. (See (1.6).)

The simplest properties of M_q are collected in the following proposition.

Proposition 2.1. *Let f be a measurable function on B . Then*

$$M_\infty f \geq M_q f \geq M_p f \quad \text{for } q \geq p, \tag{2.1}$$

$$M_{q, \delta} f \leq C M_{q, \varepsilon} f \quad \text{for } 0 < \delta < \varepsilon, \tag{2.2}$$

$$M_{\infty, \delta} (M_{q, \delta} f) \leq C M_{q, \varepsilon} f, \quad \text{where } 2\delta/(1 + \delta^2) = \varepsilon, \tag{2.3}$$

$$\int_B |f|^q d\tau = \int_B (M_q f)^q d\tau \quad \text{for } q < \infty. \tag{2.4}$$

Remark. Throughout this paper the letter “C” denotes a positive real constant which may vary from line to line. In (2.2) and (2.3), C is independent of f.

Proof. The proofs of (2.1) and (2.2) are simple. To prove (2.3) observe that, by (1.5),

$$E(a, \delta) \subset E(w, 2\delta/(1 + \delta^2)) \quad \text{if } d(a, w) < \delta. \tag{2.5}$$

Hence, if $a \in E(w, \delta)$, then

$$\begin{aligned} \tau(\delta) (M_{q,\delta} f)^q(a) &= \int_{E(a,\delta)} |f|^q d\tau \\ &\leq \int_{E(w,\varepsilon)} |f|^q d\tau = \tau(\varepsilon) (M_{q,\varepsilon} f)^q(w), \end{aligned}$$

which gives (2.3) with $C = (\tau(\varepsilon)/\tau(\delta))^{1/q}$.

To prove (2.4) write $M_q f$ as

$$(M_q f)^q(w) = \frac{1}{\tau(\varepsilon)} \int_B |f(a)|^q k_\varepsilon(w, a) d\tau(a),$$

where

$$k_\varepsilon(w, a) = \begin{cases} 1 & \text{if } d(w, a) < \varepsilon, \\ 0 & \text{if } d(w, a) \geq \varepsilon. \end{cases} \tag{2.6}$$

Then, by Fubini’s theorem,

$$\begin{aligned} \int_B (M_q f)^q(w) d\tau(w) &= \frac{1}{\tau(\varepsilon)} \int_B |f(a)|^q d\tau(a) \int_B k_\varepsilon(w, a) d\tau(w) \\ &= \frac{1}{\tau(\varepsilon)} \int_B |f(a)|^q \tau(E(a, \varepsilon)) d\tau(a), \end{aligned}$$

and this concludes the proof because $\tau(E(a, \varepsilon)) = \tau(\varepsilon)$.

Definition. Let μ be one of the measures ν or τ . We define $L_{q,\varepsilon}^p(\mu) = L_q^p(\mu)$ to be the space of all measurable functions f on B for which

$$\|f\|_{L_{q,\varepsilon}^p(\mu)} := \|M_q f\|_{L^p(\mu)} < \infty.$$

Proposition 2.2. (i) The operator S_p defined by $(S_p f)(w) = (1 - |w|^2)^{(n+1)/p} f(w)$ acts as an isomorphism of $L_q^p(\nu)$ onto $L_q^p(\tau)$.

(ii) $L_q^p(\mu) = L^p(\mu)$; $L_q^p(\mu) \subset L^p(\mu)$ ($q \geq p$); $L_q^p(\mu) \supset L^p(\mu)$ ($q \leq p$).

(iii) The spaces L_q^p are complete.

Proof. The assertion (i) is an immediate consequence of Lemma 1.1. If $\mu = \tau$, then $L^p_p(\mu) = L^p(\mu)$ because of (2.4). Combining this with (i) gives the first relation in (ii). On the other hand, it follows from (2.1) that $L^p_q(\mu) \subset L^p_p(\mu)$ for $q \geq p$, and $L^p_q(\mu) \supset L^p_p(\mu)$ for $q \leq p$, and this completes the proof of the assertion (ii).

The completeness of $L^p_q(\tau)$ reduces to the completeness of $L^p_q(v)$, by (i). The completeness of $L^p_q(v)$ is deduced from the completeness of $L^r(v)$, $r = \min(p, q)$, by using Fatou’s lemma and the (continuous) inclusion $L^p_q(v) \subset L^r(v)$. The proof is standard and is omitted here.

The main difference between L^p and L^p_1 is given by the following proposition.

Proposition 2.3. *If $0 < p < 1$, then $L^p_1(\tau) \subset L^1(\tau)$, and the inclusion map is continuous.*

Remark. This shows that, in contrast to the case of L^p , the dual of L^p_1 separates points.

Proof of the proposition. Let f be a norm-one element of $L^p_1(\tau) = L^p_{1,\varepsilon}(\tau)$, $p < 1$. Let $g = M_{1,\delta}f$, where $2\delta/(1 + \delta^2) = \varepsilon$, $\delta < \varepsilon$. We have, by (2.4) and (2.2),

$$\begin{aligned} \int_B |f| \, d\tau &= \int_B g \, d\tau = \int_B g^{1-p} g^p \, d\tau \leq \|g\|_\infty^{1-p} \int_B g^p \, d\tau \\ &\leq C \|g\|_\infty^{1-p} \int_B (M_{1,\varepsilon}f)^p \, d\tau \\ &= C \|g\|_\infty^1, \end{aligned}$$

where C is independent of f . On the other hand,

$$\int_B (M_{\infty,\delta}g)^p \, d\tau \leq C$$

because $M_{\infty,\delta}g \leq CM_{1,\varepsilon}f$ (by (2.3)). Hence, by using the equality

$$(M_{\infty,\delta}g)^p(w) = \operatorname{ess\,sup}_{a \in B} g(a)^p k_\delta(w, a)$$

(see (2.6)) we obtain

$$\operatorname{ess\,sup}_{a \in B} \int_B g(a)^p k_\delta(w, a) \, d\tau(w) \leq C,$$

which yields

$$\|g\|_\infty^p \tau(\delta) \leq C.$$

Combining the above inequalities concludes the proof.

As a consequence of Propositions 2.3 and 2.2 (i) we have

Proposition 2.3'. *If $f \in L^p_1(v)$, $0 < p < 1$, then*

$$\int_B |f(w)|(1 - |w|^2)^{(n+1)(p^{-1}-1)} dv(w) < \infty. \tag{2.7}$$

For $p > 1$, the above arguments show that $L^1(\tau) \subset L^p_1(\tau)$. In other words, if f satisfies (2.7), $p > 1$, then $f \in L^p_1(v)$. By using this remark we prove the following.

Proposition 2.4. *The inclusions $L^p_1(v) \subset L^p_1(v)$ ($p < 1$) and $L^p(v) \subset L^p_1(v)$ ($p > 1$), which occur in Proposition 2.2(ii), are proper.*

Proof. Let $\{A_j\}_{j=1}^\infty$ be a sequence of pairwise disjoint subsets of B such that $\tau(A_j) = 2^{-j}$. Let

$$f(w) = (1 - |w|^2)^{-(n+1)/p} \sum_{j=1}^\infty c_j K_j(w), \quad w \in B,$$

where K_j is the characteristic function of A_j . We put $c_j = 2^{jp}$ if $p > 1$, and $c_j = 2^j$ if $p < 1$. If $p > 1$, then f satisfies (2.7) and therefore $f \in L^p_1(v)$. On the other hand, $f \notin L^p(v)$, and this shows that $L^p_1(v) \neq L^p(v)$ for $p > 1$. The case $p < 1$ is considered similarly.

Although the spaces L^p_1 and L^p are different, their restrictions to some important classes coincide. Here we consider the case of holomorphic functions.

Proposition 2.5. *We have $L^p_q(v) \cap H(B) = L^p(v) \cap H(B)$, and the corresponding “norms” are equivalent.*

Proof. Let $f \in H(B)$ and $a \in B$. Then $f \circ \phi_a \in H(B)$ and therefore the function $|f \circ \phi_a|^q$ ($q < \infty$) is subharmonic, whence

$$|f(a)|^q = |f(\phi_a(0))|^q \leq \varepsilon^{-2n} \int_{\varepsilon B} |f \circ \phi_a|^q dv \leq \varepsilon^{-2n} \int_{\varepsilon B} |f \circ \phi_a|^q d\tau = \varepsilon^{-2n} \int_{E(a, \varepsilon)} |f|^q d\tau.$$

Hence

$$|f| \leq CM_{q, \varepsilon} f, \tag{2.8}$$

where C is independent of f . This proves that $L^p_q(v) \cap H(B) \subset L^p(v) \cap H(B)$.

(Observe that the case $q = \infty$ is trivial.) To conclude the proof we have to prove that $L^p(v) \cap H(B) \subset L^p_\infty(B) \cap H(B)$.

From (2.8) and the obvious modification of (2.3) we have $M_{\infty,\varepsilon}f \leq CM_{\infty,\varepsilon}(M_{p,\varepsilon}f) \leq CM_{p,\delta}f$, where $\delta = 2\varepsilon/(1 + \varepsilon^2)$. Hence $L^p(v) \cap H(B) = L^p_{p,\delta}(v) \cap H(B) \subset L^p_{\infty,\varepsilon}(v) \cap H(B)$, which was to be proved.

3. Projections

Our main result is the following.

Theorem 3.1. For $0 < p < 1$, T_s is a bounded operator on $L^p_1(v) = L^p_{1,\varepsilon}(v)$ if and only if

$$s > (n + 1)(p^{-1} - 1). \tag{3.1}$$

If (3.1) holds, then T_s projects $L^p_1(v)$ onto $L^p(v) \cap H(B)$.

The second assertion is easily deduced from the first, (0.3), and Proposition 2.5. To prove the first assertion we need the following lemma which can be found in [10, Proposition 1.4.10].

Lemma 3.1. For a real number α let

$$J_\alpha(w) = \int_B \frac{dv(z)}{|1 - \langle z, w \rangle|^{\alpha+n+1}}, \quad w \in B.$$

Then

$$\begin{aligned} J_\alpha(w) &\doteq 1 \quad \text{if } \alpha < 0, \\ &\doteq \log \frac{1}{1 - |w|^2} \quad \text{if } \alpha = 0, \\ &\doteq (1 - |w|^2)^{-\alpha} \quad \text{if } \alpha > 0. \end{aligned}$$

Remark. For two nonnegative functions F and G defined on a set S we write $F(w) \doteq G(w)$, $w \in S$, if there is a positive constant C such that $G(w)/C \leq F(w) \leq CG(w)$ for all $w \in S$.

Proof of Theorem 3.1. Assuming (3.1) we have $L^p_1(v) \subset D(T_s)$, by Proposition 2.3'. Let $f \in L^p_1(v)$. For a fixed $z \in B$ let $h(w) = Q_s(z, w)$, $w \in B$, where Q_s is defined by (1.10). Then, by (1.9) and Proposition 2.3,

$$|(T_s f)(z)|^p \leq C \int_B (M_1(fh))^p d\tau,$$

where C is independent of f, z . Since $M_1(fh) \leq (M_1f)(M_\infty h)$ and $M_\infty h \leq C|h|$ (by Lemmas 1.1 and 1.2), we get

$$|(T_s f)(z)|^p \leq C \int_B (M_1 f)^p(w) |Q_s(z, w)|^p d\tau(w), \tag{3.2}$$

where C is independent of f, z . Now integration yields

$$\begin{aligned} \int_B |T_s f(z)|^p dv(z) &\leq C \int_B (M_1 f)^p(w) d\tau(w) \int_B |Q_s(z, w)|^p dv(z) \\ &= C \int_B (M_1 f)^p(w) (1 - |w|^2)^\alpha J_\alpha(w) dv(w), \end{aligned}$$

where $\alpha = (s + n + 1)p - (n + 1)$. If (3.1) holds, then $\alpha > 0$, so the function $(1 - |w|^2)^\alpha J_\alpha(w)$ is bounded on B (by Lemma 3.1). Hence we conclude that if (3.1) holds, then T_s is a bounded operator from $L^p_1(v)$ into $L^p(v) \cap H(B) = L^p_1(v) \cap H(B)$.

Assuming that $s \leq (n + 1)(p^{-1} - 1)$, we have to prove that T_s is not bounded. Consider the functions $f_b, b \in B$, defined by

$$f_b(w) = \begin{cases} (1 - |w|^2)^{-(s+n+1)} & \text{if } w \in E(b, \varepsilon) \\ 0 & \text{otherwise.} \end{cases}$$

Then

$$(T_s f_b)(z) = \binom{n+s}{n} \int_{E(b, \varepsilon)} (1 - \langle z, w \rangle)^{-(s+n+1)} d\tau(w).$$

For each $z \in B$ the function $w \rightarrow (1 - \langle z, w \rangle)^{-(s+n+1)}$ is antiholomorphic. Hence, by (1.7),

$$(T_s f_b)(z) = \binom{n+s}{n} \tau(1/2) (1 - \langle z, b \rangle)^{-(s+n+1)}.$$

Hence

$$\|T_s f_b\|_{L^p_1(v)} \doteq \|T_s f_b\|_{L^p(v)} \doteq J_\alpha(b)^{1/p}, \quad b \in B, \tag{3.3}$$

where $\alpha = sp - (n + 1)(1 - p) \leq 0$. On the other hand, applying Lemma 1.1 and (2.5) gives

$$\begin{aligned} (M_\infty f_b)(w) &\leq C(1 - |w|^2)^{-(s+n+1)} \quad \text{if } w \in E(b, \delta), \\ &= 0 \quad \text{if } w \notin E(b, \delta), \end{aligned}$$

where $\delta = 2\varepsilon/(1 + \varepsilon^2)$. From this we find that

$$\|f_b\|_{L^p_1(\nu)} \leq C(1 - |b|^2)^{-\alpha/p}, \quad b \in B, \tag{3.4}$$

where α is the same as in (3.3). From (3.3), (3.4) and Lemma 3.1 we conclude that T_s is unbounded on the bounded set $\{(1 - |b|^2)^{\alpha/p} f_b : b \in B\}$, and this completes the proof of the theorem.

Remark. The above proof shows that Theorem 3.1 remains true if we replace $L^p_1(\nu)$ by any of the spaces $L^q(\nu)$, $1 \leq q \leq \infty$.

Theorem 3.2. *The Forelli–Rudin theorem remains true if we replace $L^p(\nu)$ by $L^p_1(\nu)$.*

Proof. If T_s is bounded on $L^p_1(\nu)$, $p \geq 1$, then T_s acts as a bounded operator from $L^p(\nu)$ to itself because of the continuous inclusions $L^p(\nu) \subset L^p_1(\nu)$ and $L^p(\nu) \supset L^p_1(\nu) \cap H(B)$ (=the image of $L^p_1(\nu)$). Hence, that the boundedness of T_s on $L^p_1(\nu)$ implies (0.4) is a consequence of the Forelli–Rudin theorem.

In view of Propositions 2.4 and 2.5, if $s > p^{-1} - 1$, then Theorem 3.2 states somewhat more than the Forelli–Rudin theorem. Nevertheless, a slight modification of Forelli and Rudin’s proof proves Theorem 3.2. Namely, it follows from [4] that if $s > p^{-1} - 1$, $p \geq 1$, then the equality

$$(U_s f)(z) = \int_B f(w) |Q_s(z, w)| d\tau(w), \quad z \in B,$$

defines a bounded linear operator on $L^p(\nu)$. This implies that if $f \in L^p_1(\nu)$, then

$$\|U_s M_1 f\|_{L^p(\nu)} \leq C \|M_1 f\|_{L^p(\nu)} = C \|f\|_{L^p_1(\nu)}$$

(because $M_1 f \in L^p(\nu)$). On the other hand, by using (2.4) (applied to fQ_s) and Lemmas 1.1 and 1.2 we see that if $U_s M_1 f$ is defined, then so is $T_s f$ and $|T_s f| \leq C U_s M_1 f$, where C is independent of f . Combining these estimates shows that T_s is a bounded operator from $L^p_1(\nu)$ to $L^p(\nu) \subset L^p_1(\nu)$, which completes the proof.

At the end we use Theorem 3.1 to extend another result of Forelli and Rudin [4].

Theorem 3.3. *If $f \in H(B)$ and the real part of f belongs to $L^p(\nu)$ ($0 < p < \infty$), then $f \in L^p(\nu)$.*

Forelli and Rudin considered the case there $p \geq 1$.

Proof. Let $0 < p < 1$, $u = \text{Re } f$. The implication $u \in L^p_1(\nu) \Rightarrow f \in L^p(\nu)$ is a direct consequence of Theorem 3.1. and the identity

$$f = 2T_s u - \overline{f(0)}, \quad u \in L^p_1(\nu), \quad s > (n + 1)(p^{-1} - 1). \tag{3.5}$$

Thus we have to prove (3.5) and the implication

$$u \in L^p(v) \Rightarrow u \in L^p_1(v). \tag{3.6}$$

For $0 < r < 1$ define f_r and u_r by $f_r(z) = f(rz)$ and $u_r(z) = u(rz)$. Since $f_r \in D(T_s)$ we have, by (0.3), $2T_s u_r = T_s f_r + T_s \overline{f_r} = f_r + \overline{f(0)}$. Hence

$$f(rz) + \overline{f(0)} = 2 \int_B u(rw) K_s(z, w) dv(w) = 2r^{-2n} \int_{rB} u(w) K_s(z, w/r) dv(w), \quad z \in B,$$

where

$$K_s(z, w) = \binom{n+s}{n} \frac{(1 - |w|^2)^s}{(1 - \langle z, w \rangle)^{s+n+1}}.$$

Now (3.5) is proved by using the Lebesgue dominated convergence theorem and the inclusion $L^p_1(v) \subset D(T_s)$.

The implication (3.6) is proved (in the same way as Proposition 2.5) by using the following result of Hardy and Littlewood [5].

Theorem HL. *If u is a pluri-harmonic function on B , then for $0 < p < 1$*

$$|u(0)|^p \leq C \varepsilon^{-2n} \int_{\varepsilon B} |u|^p dv, \quad 0 < \varepsilon < 1, \tag{3.7}$$

where C is a constant depending only on n, p .

In fact, (3.7) holds for any harmonic function, and a proof can be found in Fefferman and Stein [3]. An elementary proof, using only the mean-value property over balls, is given in [8].

4. New view to Theorem 3.1

After we wrote the first three sections of this manuscript and we had discussions mentioned in the acknowledgement (see below) we discovered a new approach to Theorem 3.1.

In this section we will only sketch a proof of Theorem 4.1 (below) in the setting of the unit disk. A proof with an obvious modification works in the case of the unit ball. A detailed proof with further results will appear in a later paper.

Let D denote the unit disk in \mathbb{C} and let δ be a fixed positive number less than 1.

Let $P = \{D_k : k \geq 1\}$ be a partition of D (i.e. $\bigcup_{k=1}^\infty D_k = D$, and $D_k \cap D_j = \emptyset$ for $k \neq j$) so that each D_k is a measurable set and the (pseudo-hyperbolic) diameter of each D_k is not greater than δ .

We denote by B^p , $0 < p < \infty$, the space consisting of all analytic functions f such that

$$\|f\|_{B^p}^p = \int_D |f(z)|^p dx dy < +\infty.$$

These spaces are known as Bergman spaces. We refer the reader to Axler’s survey paper [1] for properties of these spaces.

Recall that

$$K_s(z, w) = \binom{n+s}{n} (1 - |w|^2)^s (1 - \langle z, w \rangle)^{-(s+2)}.$$

Let $0 < p < 1$ and let φ be a measurable function on D . In order to define the space on which T_s is a bounded operator we first write formally

$$(T_s \varphi)(z) = \sum_{k=1}^{\infty} \int_{D_k} K_s(z, w) \varphi(w) du dv.$$

Next, let $\{a_k\}$ be a fixed sequence such that $a_k \in D_k$ for each $k \geq 1$, and let $A_k(z) = (1 - |a_k|^2)^{s+2-2/p} (1 - z\bar{a}_k)^{s+2}$. If $s+2-2/p > 0$, i.e. $(s+2)p > 2$, the power of $(1 - |a_k|^2)$ in the previous expression is exactly what we need to insure that such terms have an B^p norm which is bounded by a constant. The functions of the form as A_k are building blocks in the Coifman–Rochberg decomposition of Bergman’s space and we are motivated by their approach [2].

By Lemmas 1.1 and 1.2, there exist the functions $c_k(z, w)$ and an absolute constant c such that $(s+1)\pi^{-1} (1 - |w|^2)^{s+2-2/p} (1 - z\bar{w})^{s+2} = c_k(z, w) A_k(z)$ and $c^{-1} \leq |c_k(z, w)| \leq c$ for every $z \in D$ and every $w \in D_k$.

Hence

$$T_s \varphi(z) = \sum_{k=1}^{\infty} \lambda_k(z) A_k(z)$$

where

$$\lambda_k = \lambda_k(z) \equiv (s+1)\pi^{-1} \int_{D_k} c_k(z, w) (1 - |w|^2)^{2(p-1)} \varphi(w) du dv,$$

and consequently

$$|(T_s \varphi)(z)| \leq c \sum_{k=1}^{\infty} |\varphi_k| A_k(z), \tag{4.1}$$

where

$$\varphi_k = \int_{D_k} (1 - |w|^2)^{2(p-1)} |\varphi(w)| du dv. \tag{4.2}$$

Now, we are motivated for the following definition. Let $M^p, 0 < p < \infty$, denote the space of all complex measurable functions φ on D for which

$$\|\varphi\|_{M^p} = \left\{ \sum_{k=1}^{\infty} |\varphi_k|^p \right\}^{1/p} < +\infty,$$

where φ_k is defined by (4.2).

Theorem 4.1. *Let $0 < p < 1$ and $s > 2(p^{-1} - 1)$. Then T_s is a bounded operator from M^p into B^p .*

Proof. Let $\varphi \in M^p$. Then as above we have (4.1). Now, the desired conclusion follows from (4.1) and the inequality

$$|T_s \varphi(z)|^p \leq c \sum |\varphi_k|^p |A_k(z)|^p$$

by integration.

The following lemma shows that Theorem 4.1 contains the main part of Theorem 3.1. Recall that the space $L^p_{1,\delta}(v)$ was defined in Section 2 and that v denotes the normalized Lebesgue measure on D .

Lemma 4.1. *Let $P = \{D_k : k \geq 1\}$ be a partition of the unit disk described above. In addition, if there is an absolute constant c such that $v(D_k) \geq c(1 - |a_k|^2)^2, k \geq 1$, and $0 < p < 1$ then $L^p_1 \subset M^p$, where $L^p_1 = L^p_{1,\delta}(v)$.*

Proof. Let $\varphi \in L^p_1, a_k = \int_{D_k} |\varphi(z)| d\tau(z), k \geq 1$, and $z \in D_k$. Since the pseudo-hyperbolic diameter of D_k is less than δ , we have $D_k \subset E(z, \delta)$ and consequently $|\alpha_k|^p \leq c[M_1 \varphi(z)]^p$ for every $z \in D_k$. By integration over $D_k, k \geq 1$,

$$v(D_k) |\alpha_k|^p \leq c \int_{D_k} |M_1 \varphi(z)|^p dx dy$$

and consequently

$$\sum_{k=1}^{\infty} |\alpha_k|^p v(D_k) \leq c \sum_{k=1}^{\infty} \int_{D_k} |M_1 \varphi(z)|^p dx dy. \tag{4.3}$$

Since $\varphi \in L^p_1$ it follows from (4.3) that

$$\sum_{k=1}^{\infty} |\alpha_k|^p v(D_k) < \infty. \tag{4.4}$$

Recall that by the hypothesis there is a constant c such that $v(D_k) \geq c(1 - |a_k|^2)^2$. Hence, by (4.4) we get

$$\{\alpha_k(1 - |a_k|^2)^{2/p}\}_{k=1}^{\infty} \in l^p.$$

Thus by Lemma 1.1, $\varphi \in M^p$.

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Addendum. The dual of L_q^p , $0 < p < \infty$, $1 \leq q < \infty$, is $L_{q'}^{p'}$, where $q' = q/(q-1)$, $p' = p/(p-1)$ for $p > 1$ and $p' = \infty$ for $p \leq 1$ and the pairing is given by $\int_B f(z)g(z) d\mu(z)$.

This answers a question posed by the referee.

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