ON THE SMOOTHNESS OF SLOWLY VARYING FUNCTIONS

DALIMIL PEŠA 🝺

Department of Mathematical Analysis, Faculty of Mathematics and Physics, Charles University, Praha 8, Czech Republic Email: pesa@karlin.mff.cuni.cz

(Received 19 June 2023)

Abstract In this paper, we consider the question of smoothness of slowly varying functions satisfying the modern definition that, in the last two decades, gained prevalence in the applications concerning function spaces and interpolation. We show that every slowly varying function of this type is equivalent to a slowly varying function that has continuous classical derivatives of all orders.

Keywords: slowly varying functions; approximation; smoothness

2010 Mathematics subject classification: 26A12

1. Introduction and statement of the main result

In analysis, there are types of problems that, in their critical or limiting form, often lead to structures defined using various concrete examples of slowly varying functions. Those include, for example, real interpolation (see, e.g., [3]), various forms of Sobolev embeddings (see, e.g., [5], [7] and [18]) and regularity of degenerate elliptic equations (see, e.g., [10]). To study those problems in more generality, new structures have been introduced in recent years that are defined using slowly varying functions in abstract, with the recent papers mostly using a new definition of slowly varying functions which first appeared in [16] or [17] and which we present below as Definition 1.1. Examples of such structures include the Lorentz–Karamata spaces (introduced in [12] using an older definition of slowly varying functions and later in [16] using the modern one), various generalisations of the real interpolation spaces (as, for example, in [17]) and spaces of generalised smoothness (as, for example, in [24]).

During the study of those new structures, the question of smoothness of slowly varying functions naturally appeared. While it is rather clear that the modern definition of slowly

This research was supported by the Grant schemes at Charles University, reg. No. CZ. 02.2.69/0.0/0.0/19_073/0016935, the grants no. P201/21-01976S and P202/23-04720S of the Czech Science Foundation and Charles University Research program No. UNCE/SCI/023.

© The Author(s), 2024. Published by Cambridge University Press on Behalf of The Edinburgh Mathematical Society. This is an Open Access article, distributed under the terms of the Creative Commons Attribution licence (https://creativecommons.org/licenses/by/4.0/), which permits unrestricted re-use, distribution, and reproduction in any medium, provided the original work is properly cited.

varying functions does not even guarantee continuity, it remained open whether we could approximate a given slowly varying function by a smooth function in a way that would yield equivalent structures in the respective applications. We provide a positive answer to this question in Theorem 1.2, where we obtain such an approximation by a function that has continuous classical derivatives of all orders. To prove this result, we have developed a new method based on a careful analysis of the properties of slowly varying functions. In a series of lemmata, we develop tools that allow for a precise decomposition of a given slowly varying function into two parts, approximating each of those separately (using a mollification argument, where a precise treatment is needed to show that the mollified function is indeed a valid approximation) and finally recomposing the obtained functions into the desired approximation of the original function.

Let us now be more specific. In this paper, we will work with the following modern definition of slowly varying functions that has appeared in literature in recent years:

Definition 1.1. Let $b: (0, \infty) \to (0, \infty)$ be a measurable function. Then, b is said to be slowly varying, abbreviated s.v., if for every $\varepsilon > 0$ there exists a non-decreasing function b_{ε} and a non-increasing function $b_{-\varepsilon}$ such that $t^{\varepsilon}b(t) \approx b_{\varepsilon}(t)$ on $(0, \infty)$ and $t^{-\varepsilon}b(t) \approx b_{-\varepsilon}(t)$ on $(0, \infty)$.

Here, as well as at every other occurrence in this paper, the symbol ' \approx ' means that the ratio of the left- and right-hand sides is sandwiched between two finite positive constants that do not depend on the arguments of the functions in question (though they usually do depend on the functions themselves), where the equivalence is assumed to hold on the entire domains of the functions, unless specified otherwise. Furthermore, measurability is in all cases to be understood as respective to the classical Lebesgue measure.

This new definition appeared first in either [16] or [17] (it is unclear which of the papers is in fact older) and has since become standard in both the theory and applications of Lorentz–Karamata spaces (see, e.g., [2], [6], [13], [15], [16], [19], [26] and [30]) as well as the related interpolation theory (see, e.g., [1], [17] and [28]). It is weaker than the other two common definitions as used in, for example, [4] and [32] (we discuss the relationships and differences in detail in Remark 2.4) and is therefore more suited for the applications in function spaces and interpolation, as the objects that are defined using this definition are more general than would be the case if one of the older definitions were used.

The class of functions satisfying this definition is rather rich. It includes, for example, constant positive functions and, to provide something at least slightly less trivial, the functions $t \mapsto 1 + |\log(t)|$ and $t \mapsto 1 + \log(1 + |\log(t)|)$ occurring in the definition of Lorentz–Zygmund spaces and generalised Lorentz–Zygmund spaces, the former being introduced by Bennett and Rudnick in [3], while the latter were introduced by Edmunds, Gurka and Opic in [11] and later treated in great detail by Opic and Pick in [29]. As a further example of an s.v. function, this time non-logarithmic, we present a function b defined on $(0, \infty)$ by

$$b(t) = \begin{cases} e^{\sqrt{\log t}} & \text{for } t \in [1, \infty), \\ e^{\sqrt{\log t^{-1}}} & \text{for } t \in (0, 1). \end{cases}$$

Restriction of this function to the interval (0, 1) was used in [7] to characterise self-optimal spaces for Gauss–Sobolev embeddings.

No comprehensive theory of the s.v. functions of this type has been developed, mainly because they have so far been treated only as a tool in the theories of function spaces and interpolation, so the properties developed in the various papers that use these functions do not go very deep. We provide a summary of those known results in Lemma 2.1. In this paper, we aim to take a step towards this theory by proving the following result:

Theorem 1.2. Let b be an s.v. function. Then, there is a function $c : (0, \infty) \to (0, \infty)$, which satisfies $c \approx b$, is also s.v. and has continuous classical derivatives of all orders (i.e., $c \in C^{\infty}$).

The question of smoothness of s.v. function arose naturally in the applications, as it is in some cases necessary (or at least convenient) to assume that the s.v. function in question is differentiable, see, e.g., [28] or [30].

For example, as shown in [30, Theorem 3.14], the fundamental function of the Lorentz-Karamata space $L^{\infty,\infty,b}$ is equivalent to the function b itself (provided that b is non-decreasing, which can be in this context assumed without loss of generality). Assuming that b is smooth then allows for an explicit description of the corresponding Lorentz endpoint space, where one would otherwise only have the significantly less transparent formula based on Lebesgue–Stieltjes integration.

It is worth pointing out that a weaker version of our result has been already presented in [28, Remark 9.2]. Using the property listed below in Lemma 2.1 as SV7, they observed that any s.v. function is equivalent to an absolutely continuous function. Iterating their argument, we could easily show that it is in fact equivalent to a function $c \in C^k$ for any finite k. However, this method fails to provide an equivalent function $c \in C^{\infty}$.

The paper is structured in the following way: in §2, we gather the already known results and put Definition 1.1 into context. Then, in §3, we prove the main result as well as several auxiliary results, many of which are of independent interest.

2. Slowly varying functions – a survey

Let us first recall some important properties of s.v. functions that are either known or that follow from known results by straightforward generalisations.

Lemma 2.1. Let b, b_2 be s.v. functions. Then, the following statements hold:

- (SV1) The function b^r is slowly varying for every $r \in \mathbb{R}$.
- (SV2) The functions $b + b_2$, bb_2 , $\frac{b}{b_2}$ and $t \mapsto b(\frac{1}{t})$ are s.v.
- (SV3) The function b is bounded by positive constants on compact subsets of $(0, \infty)$.
- (SV4) Let c > 0, then $b(ct) \approx b(t)$ on $(0, \infty)$. More specifically, for every $\varepsilon > 0$, there is a constant $C_{\varepsilon} \geq 1$ such that it holds for every c > 0 and every $t \in (0, \infty)$ that

$$C_{\varepsilon}^{-1}\min\{c^{-\varepsilon}, c^{\varepsilon}\}b(t) \le b(ct) \le C_{\varepsilon}\max\{c^{-\varepsilon}, c^{\varepsilon}\}b(t).$$
(2.1)

(SV5) It holds for every $\alpha \neq 0$ that

$$\lim_{t \to 0^+} t^{\alpha} b(t) = \lim_{t \to 0^+} t^{\alpha},$$
$$\lim_{t \to \infty} t^{\alpha} b(t) = \lim_{t \to \infty} t^{\alpha}.$$

(SV6) Let $\alpha \neq -1$. Then,

$$\int_0^1 t^\alpha b(t) \, \mathrm{d}t < \infty \iff \int_0^1 t^\alpha \, \mathrm{d}t < \infty$$

and

$$\int_{1}^{\infty} t^{\alpha} b(t) \, \mathrm{d}t < \infty \iff \int_{1}^{\infty} t^{\alpha} \, \mathrm{d}t < \infty.$$

Consequently,

$$\int_0^\infty t^\alpha b(t) \, \mathrm{d}t = \infty.$$

(SV7) Let $\alpha \in (0, \infty)$. Then, it holds for every $t \in (0, \infty)$ that

$$\int_0^t s^{\alpha - 1} b(s) \, \mathrm{d}s \approx t^\alpha b(t), \qquad \qquad \text{ess } \sup_{s \in (0, t)} s^\alpha b(s) \approx t^\alpha b(t), \qquad (2.2)$$

$$\int_{t}^{\infty} s^{-\alpha-1} b(s) \, \mathrm{d}s \approx t^{-\alpha} b(t), \qquad \text{ess} \sup_{s \in (t,\infty)} s^{-\alpha} b(s) \approx t^{-\alpha} b(t). \tag{2.3}$$

(SV8) Let us define, for $t \in (0, \infty)$,

$$\tilde{b}(t) = \int_0^t s^{-1} b(s) \,\mathrm{d}s, \quad \tilde{b}_\infty(t) = \operatorname{ess} \sup_{s \in (0,t)} b(s),$$
$$\hat{b}(t) = \int_t^\infty s^{-1} b(s) \,\mathrm{d}s, \quad \hat{b}_\infty(t) = \operatorname{ess} \sup_{s \in (t,\infty)} b(s).$$

Then, $b \leq \tilde{b}, b \leq \tilde{b}_{\infty}, b \leq \hat{b}$ and $b \leq \hat{b}_{\infty}$ on $(0, \infty)$ and the functions $\tilde{b}, \tilde{b}_{\infty}, \hat{b}$ and \hat{b}_{∞} are s.v. if and only if they are finite λ -a.e. on $(0, \infty)$. Furthermore, it holds that

$$\limsup_{t \to 0^+} \frac{\tilde{b}(t)}{b(t)} = \limsup_{t \to \infty} \frac{\tilde{b}(t)}{b(t)} = \infty, \quad \limsup_{t \to 0^+} \frac{\hat{b}(t)}{b(t)} = \limsup_{t \to \infty} \frac{\hat{b}(t)}{b(t)} = \infty.$$
(2.4)

Proof. For SV1, SV2, SV4 and SV7 see [17, Proposition 2.2]. We note that it is not quite clear from the original formulation of SV4 in [17, Proposition 2.2, (iii)] that the

constant C_{ε} depends only on ε , but if follows from the presented proof that it is indeed so. For SV3 see [2, Proposition 2.1] and for the first part of SV8 see [2, Lemma 2.2] and [19, Lemma 2.1]. The remaining part of SV8, i.e., (2.4), has been partially proved in [6, Lemma 2.2] and [26, (2.1) and (2.2)] and the method used in the former paper can be easily adapted to cover all the four cases mentioned in (2.4).

The properties (SV5) and (SV6) follow directly from the definition. We leave the details to the reader. \Box

Remark 2.2. The restrictions $\alpha \neq 0$ and $\alpha \neq -1$ in (SV5) and (SV6), respectively, are necessary, as the property that b is s.v. is simply too weak to determine the behaviour of $t^{\alpha}b(t)$ in these limiting cases. The counterexamples are easy to construct.

Remark 2.3. The property SV7 is very important. Not only it provides an essential tool for computations, but it also gives us a finer grasp on the definition of s.v. functions. To be more specific, while the definition requires only that for every $\varepsilon > 0$ there is some pair of monotone functions that satisfies the appropriate requirements, SV7 provides us with a specific pair, given by explicit formulas, and asserts that there is a pair of monotone functions satisfying the above-mentioned requirements if and only if this specific pair satisfies them.

Remark 2.4. There exist three different definitions of slowly varying functions that can be found in the literature. The three defining conditions (for a positive $b \in M((0, \infty), \lambda)$) are:

- (i) For every $\varepsilon > 0$, the function $t^{\varepsilon}b(t)$ is non-decreasing on some neighbourhoods of zero and infinity while the function $t^{-\varepsilon}b(t)$ is non-increasing on some neighbourhoods of zero and infinity.
- (ii) For every $\varepsilon > 0$, there exists a non-decreasing function b_{ε} and non-increasing function $b_{-\varepsilon}$ such that

$$\lim_{t \to 0} \frac{t^{\varepsilon} b(t)}{b_{\varepsilon}(t)} = \lim_{t \to \infty} \frac{t^{\varepsilon} b(t)}{b_{\varepsilon}(t)} = \lim_{t \to 0} \frac{t^{-\varepsilon} b(t)}{b_{-\varepsilon}(t)} = \lim_{t \to \infty} \frac{t^{-\varepsilon} b(t)}{b_{-\varepsilon}(t)} = 1.$$

(iii) b satisfies Definition 1.1.

The functions satisfying the condition (i) are said to belong to the Zygmund class \mathcal{Z} (see [32] for further details), and this condition was also used in the original definition of Lorentz–Karamata spaces in [12]. The condition (ii) is the closest to the original definition of slowly varying functions as given by Karamata in [21] and [22] – while it is not the original definition, it is equivalent to it. Functions satisfying this condition are treated thoroughly in [4]. The condition (iii) is the one currently used in most papers concerning Lorentz–Karamata spaces and it first appeared in either [16] or [17] (the idea to use only the equivalence with monotone functions appeared originally in the paper [25], but in this case, the function was also required to behave the same way near zero as it does near infinity, so the definition was significantly less general).

Provided that the function b is assumed to be bounded on compact sets (which is very reasonable in the applications concerning functions spaces and interpolation), then

clearly the validity of (i) implies that of (ii) and the validity of (ii) implies that of (iii). On the other hand, for any function b satisfying (ii), there is a function b_0 satisfying (i) such that

$$\lim_{t \to 0} \frac{b(t)}{b_0(t)} = \lim_{t \to \infty} \frac{b(t)}{b_0(t)} = 1.$$

Some of the properties shown in Lemma 2.1 can be strengthened if we assume that b satisfies (ii). Specifically, in SV4 and SV7, we can replace the relation ' \approx ' in all its occurrences with 'the ratio of the left-hand side and the right-hand side converges to 1 at both 0 and ∞ ' while in (2.4) we get that

$$\lim_{t\to 0^+} \frac{\tilde{b}(t)}{b(t)} = \lim_{t\to\infty} \frac{\tilde{b}(t)}{b(t)} = \infty, \quad \lim_{t\to 0^+} \frac{\hat{b}(t)}{b(t)} = \lim_{t\to\infty} \frac{\hat{b}(t)}{b(t)} = \infty.$$

For details, see [4, Chapter 1].

Remark 2.5. Let us note that while the modern definition of s.v. functions (as presented in Definition 1.1) appears to be the most appropriate for the applications in function spaces and interpolation, the more classical version presented as (ii) in the previous remark has also proven very useful and has a wide field of applications. We shall not attempt to provide a comprehensive list, but let us mention at least the extreme value theory starting with the monograph [8], the theory of probability where the monograph [14] was a major contribution and the qualitative analysis of differential equation which is described well in the monograph [23]. To the readers wishing to go deeper into this topic we would recommend the monographs [4] and [9], the surveys [20], [27] and [31] and the works referenced therein.

3. Proof of the main result

In this section, we prove Theorem 1.2. The theorem will be proved by a series of lemmata, many of which are of independent interest.

We begin with the following lemma which shows as a special case that the class of s.v. functions is closed with respect to the relation ' \approx '. This special case is certainly not new, as it can be proved immediately from the definition, but it has never been formulated explicitly (at least to our knowledge), which is quite remarkable. Our lemma provides a sort of quantitative version of this observation that will be useful later.

Lemma 3.1. Let b be an s.v. function and let \mathscr{C} be some class of positive measurable functions, defined on $(0, \infty)$ and with values in $(0, \infty)$, for which there exists some constant C > 0 such that we have for all $c \in \mathscr{C}$:

$$C^{-1}c \le b \le Cc.$$

Then, every $c \in \mathscr{C}$ is an s.v. function and for every $\alpha \in (0, \infty)$, there is a constant $\mathfrak{C} > 0$, depending only on α , b and C, such that it holds for every $c \in \mathscr{C}$ and every $t \in (0, \infty)$ that

$$\mathfrak{C}^{-1}t^{\alpha}c(t) \le \int_0^t s^{\alpha-1}c(s)\,\mathrm{d}s \le \mathfrak{C}t^{\alpha}c(t),\tag{3.1}$$

$$\mathfrak{C}^{-1}t^{-\alpha}c(t) \le \int_t^\infty s^{-\alpha-1}c(s)\,\mathrm{d}s \le \mathfrak{C}t^{-\alpha}c(t).$$
(3.2)

Proof. That a function c is s.v. will follow once we prove the formulas (3.1) and (3.2). Since the proof is identical in both cases, we will show only the case (3.1). To this end, let us fix some $\alpha \in (0, \infty)$ and denote by \mathfrak{B} some positive constant for which it holds that

$$\mathfrak{B}^{-1}t^{\alpha}b(t) \leq \int_0^t s^{\alpha-1}b(s)\,\mathrm{d}s \leq \mathfrak{B}t^{\alpha}b(t).$$

Such a constant exists, as follows from SV7, and it depends only on α and b. We now compute for arbitrary $c \in \mathscr{C}$

$$\int_0^t s^{\alpha-1}c(s) \,\mathrm{d}s \le C \int_0^t s^{\alpha-1}b(s) \,\mathrm{d}s \le C\mathfrak{B}t^{\alpha}b(t) \le C^2\mathfrak{B}t^{\alpha}c(t),$$
$$\int_0^t s^{\alpha-1}c(s) \,\mathrm{d}s \ge C^{-1} \int_0^t s^{\alpha-1}b(s) \,\mathrm{d}s \ge C^{-1}\mathfrak{B}^{-1}t^{\alpha}b(t) \ge C^{-2}\mathfrak{B}^{-1}t^{\alpha}c(t).$$

We thus obtain (3.1) with $\mathfrak{C} = C^2 \mathfrak{B}$, i.e., with \mathfrak{C} depending only on α , b and C.

The next lemma is mostly technical but provides a crucial tool for later work.

Lemma 3.2. Let b be an s.v. function satisfying

$$\lim_{t \to 0^+} b(t) \in (0, \infty)$$
(3.3)

and assume that the domain of b is extended to the entire real line by putting

$$b(s) = \lim_{t \to 0^+} b(t) \qquad \quad for \, s \in (-\infty, 0].$$

Then, for every $t_0 \in (0,\infty)$, there is a constant C > 0 such that for every $t_1 \in [-t_0, t_0]$ the function

$$b_{t_1}(t) = b(t - t_1), \quad t \in (0, \infty),$$

satisfies for every $t \in (0, \infty)$

$$C^{-1} \le \frac{b_{t_1}(t)}{b(t)} \le C. \tag{3.4}$$

Specially, b_{t_1} is an s.v. function for any choice of $t_1 \in \mathbb{R}$.

Proof. Consider first the case $t_1 \ge 0$. It follows from (3.3) that there is a constant $C_1 > 0$ and some $\delta > 0$ such that

$$C_1^{-1} \le \frac{b(t)}{b(0)} \le C_1$$
 for $t \in (0, \delta)$.

Furthermore, it follows from SV3 that there is some constant $C_2 > 0$ such that

$$C_2^{-1} \le \frac{b(t)}{b(0)} \le C_2 \qquad for t \in [\delta, t_0 + \delta]$$

Putting this together, we obtain some constant $C_0 > 0$ and some $\delta > 0$ such that

$$C_0^{-1} \le \frac{b(t)}{b(0)} \le C_0$$
 for $t \in (0, t_0 + \delta]$.

It follows that for any $t_1 \in [0, t_0]$ the function b_{t_1} also satisfies

$$C_0^{-1} \le \frac{b_{t_1}(t)}{b(0)} \le C_0 \qquad for \, t \in (0, t_0 + \delta],$$

as $b_{t_1}((0, t_0 + \delta]) \subseteq b((0, t_0 + \delta]) \cup \{b(0)\}$. Hence, we obtain for any such t_1 that

$$C_0^{-2} \le \frac{b_{t_1}(t)}{b(t)} \le C_0^2 \qquad for \, t \in (0, t_0 + \delta],$$
(3.5)

where C_0 and δ depend on b and t_0 but not on t_1 .

As for the remaining interval $(t_0 + \delta, \infty)$, put

$$C_{\delta} = \frac{t_0 + \delta}{t_0} > 1 \tag{3.6}$$

and note that C_{δ} does not depend on t_1 . Then, for $t > C_{\delta}t_0 = t_0 + \delta$, we have

$$1 \ge \frac{t - t_1}{t} \ge \frac{t - t_0}{t} > 1 - C_{\delta}^{-1} > 0,$$

i.e., for every such t there is some number $a_t \in (1 - C_{\delta}^{-1}, 1)$ such that $t - t_1 = a_t t$. Applying (2.1) with $c = a_t$ and $\varepsilon = 1$, we obtain that

$$\frac{b_{t_1}(t)}{b(t)} = \frac{b(a_t t)}{b(t)} \in [C_1^{-1}a_t, C_1 a_t^{-1}] \subseteq [C_1^{-1}(1 - C_{\delta}^{-1}), C_1(1 - C_{\delta}^{-1})],$$
(3.7)

where C_1 depends only on b.

Since all the constants in (3.5) and (3.7) depend only on b and t_0 , but not on t_1 , we may now combine those estimates to obtain $C_+ = \max\{C_0^2, C_1(1 - C_{\delta}^{-1})\}$ which is independent of $t_1 \in [0, t_0]$ and for which

$$C_{+}^{-1} \le \frac{b_{t_1}(t)}{b(t)} \le C_{+},$$

for all $t_1 \in [0, t_0]$ and all $t \in (0, \infty)$.

The remaining case $t_1 < 0$ is similar, so we will be briefer. We can, similarly as before, find some $C_0 > 0$ such that

$$C_0^{-1} \le \frac{b(t)}{b(0)} \le C_0$$
 for $t \in (0, 2t_0 + \delta]$.

Since for any $t_1 \in [-t_0, 0)$ we have $b_{t_1}((0, t_0 + \delta]) \subseteq b((0, 2t_0 + \delta])$, we conclude that

$$C_0^{-2} \le \frac{b_{t_1}(t)}{b(t)} \le C_0^2 \qquad for \, t \in (0, t_0 + \delta].$$
(3.8)

As for the remaining interval, if we define C_{δ} as in (3.6), we obtain that it holds for $t > C_{\delta}t_0 = t_0 + \delta$ that

$$1 \le \frac{t-t_1}{t} \le \frac{t+t_0}{t} < 1 + C_{\delta}^{-1}.$$

We now, by the same argument as above, arrive to the estimate

$$\frac{b_{t_1}(t)}{b(t)} \in [C_1^{-1}(1+C_{\delta})^{-1}, C_1(1+C_{\delta}^{-1})].$$
(3.9)

Since neither of the constants in (3.8) and (3.9) depend on t_1 , we may again combine them to obtain the desired conclusion for $t_1 \in [-t_0, 0)$.

Together with the first step, this shows that there is a constant C > 0, depending only on b and t_0 , such that (3.4) holds for all $t_1 \in [-t_0, t_0]$ and every $t \in (0, \infty)$. The remaining part follows directly from Lemma 3.1.

We are now suitably equipped to prove a very restricted version of our main result.

Lemma 3.3. Let b be an s.v. function satisfying

$$\lim_{t \to 0^+} b(t) \in (0, \infty).$$

Then, there is a function $c: (0, \infty) \to (0, \infty)$ satisfying $c \approx b$ that has continuous classical derivatives of all orders (i.e., $c \in C^{\infty}$) and that also satisfies

$$\lim_{t \to 0^+} c(t) \in (0, \infty).$$

Furthermore, if b is constant on some right-hand neighbourhood of zero, then the function c can be chosen in such a way that

$$\lim_{t \to 0^+} c^{(n)}(t) = 0 \tag{3.10}$$

for all $n \in \mathbb{N} \setminus \{0\}$.

Note that we do not claim that $\lim_{t\to 0^+} c(t)$ is equal to $\lim_{t\to 0^+} b(t)$, only that it exists and that it is a finite and strictly positive number.

Proof. Assume that the domain of the function b is extended as in Lemma 3.2 and let $\eta : \mathbb{R} \to [0,\infty)$ be a mollifying kernel, that is, let it satisfy the following three requirements:

- (i) $\eta \in \mathcal{C}^{\infty}$, (ii) supp $\eta \subseteq (-1, 1),$ (iii) $\int_{-\infty}^{\infty} \eta \, d\lambda = 1.$

Then, we may consider the function $c : \mathbb{R} \to (0, \infty)$ defined for $t \in \mathbb{R}$ by

$$c(t) = b * \eta(t) = \int_{-\infty}^{\infty} b(s)\eta(t-s) \, \mathrm{d}s = \int_{-1}^{1} b(t-s)\eta(s) \, \mathrm{d}s,$$

where the last equality is due to a change of variables. Then, clearly $c \in \mathcal{C}^{\infty}$, so it remains to verify that c is s.v. when restricted to $(0,\infty)$ and that $c \approx b$ on $(0,\infty)$. To this end, we fix some $\varepsilon > 0$ and t > 0 and compute by the classical Fubini's theorem (which we may use because the integrand is positive):

$$\int_0^t s^{\varepsilon - 1} c(s) \, \mathrm{d}s = \int_0^t \int_{-1}^1 s^{\varepsilon - 1} b(s - x) \eta(x) \, \mathrm{d}x \, \mathrm{d}s = \int_{-1}^1 \eta(x) \int_0^t s^{\varepsilon - 1} b(s - x) \, \mathrm{d}s \, \mathrm{d}x.$$
(3.11)

Now, it follows from Lemma 3.2 that the family of functions

$$\mathscr{C} = \{ s \mapsto b(s-x); \ x \in [-1,1] \}$$

satisfies the requirements of Lemma 3.1 and thus we have for all $t \in (0, \infty)$ that

$$\int_0^t s^{\varepsilon - 1} b(s - x) \, \mathrm{d}s \approx t^\varepsilon b(t - x),$$

where the equivalence constants do not depend on $x \in [-1, 1]$. By plugging this into (3.11), we obtain

$$\int_0^t s^{\varepsilon - 1} c(s) \, \mathrm{d}s \approx \int_{-1}^1 \eta(x) t^\varepsilon b(t - x) \, \mathrm{d}x = t^\varepsilon c(t). \tag{3.12}$$

On the other hand, Lemma 3.2 also implies that it holds for all $t \in (0, \infty)$ that $b \approx t \mapsto$ b(t-x) where again the equivalence constants do not depend on $x \in [-1, 1]$. Plugging this into (3.11), we obtain by SV7 and the third property of η that it holds for all $t \in (0, \infty)$ that

$$\int_0^t s^{\varepsilon - 1} c(s) \, \mathrm{d}s \approx \int_{-1}^1 \eta(x) \int_0^t s^{\varepsilon - 1} b(s) \, \mathrm{d}s \, \mathrm{d}x \approx \int_{-1}^1 \eta(x) t^\varepsilon b(t) \, \mathrm{d}x = t^\varepsilon b(t). \tag{3.13}$$

By comparing (3.12) and (3.13), we may now conclude that indeed $b \approx c$ on $(0, \infty)$ and, consequently, that c restricted to $(0, \infty)$ is indeed an s.v. function. Moreover, (3.3) follows trivially from the continuity of c at 0.

As for the remaining part, if the function b is constant on (0, 1), then the function c is constant on (-1, 0). Since $c \in C^{\infty}$, we conclude that $c^{(n)}(0) = 0$ for all $n \in \mathbb{N} \setminus \{0\}$ and (3.10) follows. If the right-hand neighbourhood of zero on which b is constant is smaller, then we may modify our proof by using a different kernel η with small enough support and repeat the argument to arrive at the same conclusion. We leave the details to the reader.

To prove Theorem 1.2 in full strength, we will need the following three lemmata that provide tools for decomposing and combining s.v. functions. Those are certainly of independent interest, as they provide much stronger tools for deriving s.v. functions than those contained in Lemma 2.1. We recognise that if we wanted to simply derive new s.v. functions, then we could have done it in a simpler way, but in order to prove Theorem 1.2, we will need those lemmata in their present form.

Lemma 3.4. Let b be an s.v. function. Then the functions

$$b_1 = \chi_{(0,1]} + b\chi_{(1,\infty)},$$

$$b_2 = b\chi_{(0,1]} + \chi_{(1,\infty)}$$

are also s.v.

Proof. We will show only that b_1 is an s.v. function, since the proof for b_2 is almost identical. To this end, fix some $\varepsilon > 0$ and find the appropriate monotone functions b_{ε} and $b_{-\varepsilon}$ that are asserted to exist by Definition 1.1. Find some $C_1, C_2 > 0$ such that $C_1 b_{\varepsilon}(1) > 1$ and $C_2 b_{-\varepsilon}(1) < 1$. Then, $t^{\varepsilon} b_1(t) \approx \tilde{b}_{\varepsilon}(t)$, where \tilde{b}_{ε} is given by

$$\tilde{b}_{\varepsilon}(t) = \begin{cases} t^{\varepsilon} & for \, t \in (0, 1], \\ C_1 b_{\varepsilon}(t) & for \, t \in (1, \infty), \end{cases}$$

i.e., it is a non-decreasing function. Similarly, $t^{-\varepsilon}b_1(t) \approx \tilde{b}_{-\varepsilon}$, where $\tilde{b}_{-\varepsilon}$ is given by

$$\tilde{b}_{-\varepsilon}(t) = \begin{cases} t^{-\varepsilon} & for \ t \in (0,1], \\ C_2 b_{-\varepsilon}(t) & for \ t \in (1,\infty), \end{cases}$$

i.e. it is a non-increasing function.

11

Lemma 3.5. Let b be an s.v. function. Then, the functions b_1 and b_2 , defined for $t \in (0, \infty)$ by

$$b_1(t) = b(t+1),$$

$$b_2(t) = b\left(\frac{1}{t+1}\right)$$

are also s.v. and satisfy the estimates $b_1 \approx b$ on $(1, \infty)$ and $b_2 \approx t \mapsto b(\frac{1}{t})$ on $(1, \infty)$, (or, equivalently, $t \mapsto b_2(\frac{1}{t}) \approx b$ on (0, 1)).

Furthermore, there are some s.v. functions c_1, c_2 such that for i = 1, 2 we have $b_i \approx c_i$ and $c_i(t) = 1$ for $t \in (0, 1]$.

Proof. We will prove only the assertions concerning b_1 as the case of b_2 is analogous. To this end, we note that if t > 1 then

$$1 < \frac{t+1}{t} < 2,$$

i.e., for every such t there is some number $a_t \in (1,2)$ such that $t + 1 = a_t t$. Applying (2.1) with $c = a_t$ and $\varepsilon = 1$, we obtain that

$$\frac{b(t+1)}{b(t)} = \frac{b(a_t t)}{b(t)} \in [C_1^{-1} a_t^{-1}, C_1 a_t] \subseteq \left[\frac{1}{2C_1}, 2C_1\right].$$

Since the constant C_1 depends only on our choice of ε , but not on our choice of a_t , we obtain that

$$b_1(t) \approx b(t)$$
 for $t \in (1, \infty)$. (3.14)

Turning now to the interval (0, 1], we see that the image of this interval with respect to b_1 is precisely the image of the interval (1, 2] with respect to b. It thus follows from SV3 that

$$b_1(t) \approx \chi_{(0,1]}(t)$$
 for $t \in (0,1]$. (3.15)

Putting (3.14) and (3.15) together, we see that if we put

$$c_1(t) = \begin{cases} 1 & \text{for } t \in (0, 1], \\ b(t) & \text{for } t \in (1, \infty), \end{cases}$$

then $c_1(t) \approx b_1(t)$ for $t \in (0, \infty)$. Furthermore, c_1 is an s.v. function, as follows from Lemma 3.4. That b_1 is also s.v. now follows from Lemma 3.1, while the desired estimate $b_1 \approx b$ on $(1, \infty)$ follows from the formula defining c_1 .

Lemma 3.6. Let b_1 and b_2 be s.v. functions satisfying

$$\lim_{t \to 0^+} b_i(t) \in (0, \infty) \qquad for \ i = 1, 2.$$

Then, the function b, defined for $t \in (0, \infty)$ by

$$b(t) = \begin{cases} b_2 \left(\frac{1}{t} - 1\right) & for \ t < 1, \\ 1 & for \ t = 1, \\ b_1(t-1) & for \ t > 1, \end{cases}$$

is also s.v. and satisfies $b \approx t \mapsto b_2(\frac{1}{t})$ on (0,1) and $b \approx b_1$ on $(1,\infty)$.

Proof. Assume that the domains of the functions b_i are extended as in Lemma 3.2. Then, by the same lemma, the shifted functions $t \mapsto b_i(t-1)$ are s.v. and satisfy $t \mapsto b_i(t-1) \approx b_i$ on $(0, \infty)$. Moreover, we obtain from SV2 that the function $t \mapsto b_2(\frac{1}{t}-1)$, and consequently also $t \mapsto b_2(\frac{1}{t}-1)b_1(t-1)$, are both s.v. too. Since $t \mapsto b_1(t-1)$ is constant on (0, 1) and $t \mapsto b_2(\frac{1}{t}-1)$ is constant on $(1, \infty)$, we obtain that $b \approx t \mapsto b_2(\frac{1}{t}-1)b_1(t-1)$ on $(0,\infty)$ and thus we have by Lemma 3.1 that b is an s.v. function. Finally, the remaining estimates follow directly from the above-mentioned fact that $t \mapsto b_i(t-1) \approx b_i$ on $(0,\infty)$.

We are now prepared to prove Theorem 1.2. The idea of the proof is to use Lemma 3.5 to decompose any given s.v. function b into two parts, apply Lemma 3.3 to both of those and then combine the obtained functions via Lemma 3.6.

Proof of Theorem 1.2. We begin by using Lemma 3.5 to find a pair of functions b_1 and b_2 that are equal to 1 on (0,1) and that satisfy $b_1 \approx b$ on $(1,\infty)$ and $b_2 \approx t \mapsto b(\frac{1}{t})$ on $(1,\infty)$. We may now use Lemma 3.3 to find a pair of \mathcal{C}^{∞} functions c_1 and c_2 that satisfy for i = 1, 2 that $c_i \approx b_i$ on $(0,\infty)$ and that

$$\lim_{t \to 0^+} c_i(t) \in (0, \infty), \tag{3.16}$$

$$\lim_{t \to 0^+} c_i^{(n)}(t) = 0, \tag{3.17}$$

for all $n \in \mathbb{N} \setminus \{0\}$. Since none of those properties break if we multiply the functions c_i by finite positive constants, we may in fact require that not only do the limits in (3.16) exist but that we actually have

$$\lim_{t \to 0^+} c_1(t) = \lim_{t \to 0^+} c_2(t) = 1.$$
(3.18)

We may now apply Lemma 3.6 on c_1 and c_2 to obtain a single function c. It is simple to verify that (3.17) and (3.18) guarantee that $c \in \mathcal{C}^{\infty}$. Furthermore, Lemma 3.6 combined with our previous steps implies that $c \approx t \mapsto c_2(\frac{1}{t}) \approx t \mapsto b_2(\frac{1}{t}) \approx b$ on (0, 1). Similarly, $c \approx c_1 \approx b_1 \approx b$ on $(1, \infty)$.

Remark 3.7. To conclude, we would like to point out that it would be useful for applications if something could be said about the derivatives of s.v. functions. For example, SV8 shows that given an s.v. function b, one may construct a new (and essentially larger) non-decreasing s.v. function \tilde{b} by taking

$$\tilde{b}(t) = \int_0^t s^{-1} b(s) \,\mathrm{d}s.$$

It is conceivable that the inverse could also be true, i.e., that given a smooth nondecreasing s.v. function b, there would be some (essentially smaller) s.v. function b_0 such that $b'(t) = t^{-1}b_0(t)$. This is indeed what happens in many of the cases that appear in applications – it holds, for example, for the functions of the form

$$t \mapsto \left(\int_0^t s^{-1} b^q(s) \,\mathrm{d}s\right)^{\frac{1}{2}}$$

that appear naturally as the fundamental functions of the limiting cases of Lorentz–Karamata spaces (see [30] for details)—and it would also be consistent with the fact that

$$(t \mapsto t^{\alpha}b(t))' \approx t \mapsto t^{\alpha-1}b(t)$$

for $\alpha > 0$, which follows from SV7. A similar thing could also possibly hold for smooth nonincreasing s.v. functions, only the derivative would be $b'(t) = -t^{-1}b_0(t)$ (as corresponds to the integral defining \hat{b}). Regretfully, we were unable to prove any of those conjectures so the problem remains open.

For an example of a situation where such a result would be useful, observe [30, Theorem 3.14] where the Lorentz endpoint space corresponding to the fundamental function of a limiting Lorentz–Karamata space is described using the derivative of the s.v. function that defines said Lorentz–Karamata space. If the above-presented conjecture were true, this description could be made more concrete and transparent and we would obtain that the mentioned Lorentz endpoint is itself a Lorentz–Karamata space. The result would thus be much more satisfactory.

References

- S. Baena-Miret, A. Gogatishvili, Z. Mihula and L. Pick. Reduction principle for Gaussian K-inequality. J. Math. Anal. Appl., 516(2) (2022), 126522.
- (2) M. Bathory. Joint weak type interpolation on Lorentz-Karamata spaces. Math. Inequal. Appl., 21(2) (2018) 385–419.
- (3) C. Bennett and K. Rudnick. On Lorentz-Zygmund spaces. Dissertationes Math. (Rozprawy Mat.), 175(67) (1980).
- (4) N. H. Bingham, C. M. Goldie and J. L. Teugels. *Regular Variation*, Encyclopedia of Mathematics and its Applications, Vol. 27, Cambridge University Press, Cambridge, 1987.
- (5) H. Brézis and S. Wainger. A note on limiting cases of Sobolev embeddings and convolution inequalities. Comm. Partial Differential Equations., 5(7) (1980), 773–789.

- (6) A. M. Caetano, A. Gogatishvili and B. Opic. Embeddings and the growth envelope of Besov spaces involving only slowly varying smoothness. J. Approx. Theory, 163(10) (2011), 1373–1399.
- A. Cianchi and L. Pick. Optimal Gaussian Sobolev embeddings. J. Funct. Anal., 256(11) (2009), 3588–3642.
- (8) L. de Haan. On regular variation and its application to the weak convergence of sample extremes, Mathematical Centre Tracts, Vol. 32, Mathematisch Centrum, Amsterdam, 1970.
- (9) L. de Haan and A. Ferreira. Extreme Value Theory: An introduction. Springer Series in Operations Research and Financial Engineering, Springer, New York, 2006.
- (10) G. di Blasio, F. Feo and M. R. Posteraro. Regularity results for degenerate elliptic equations related to Gauss measure. *Math. Inequal. Appl.*, **10**(4) (2007), 771–797.
- (11) D. E. Edmunds, P. Gurka and B. Opic. Double exponential integrability of convolution operators in generalized Lorentz-Zygmund spaces. *Indiana Univ. Math. J.*, 44(1) (1995), 19–43.
- (12) D. E. Edmunds, R. Kerman and L. Pick. Optimal Sobolev imbeddings involving rearrangement-invariant quasinorms. J. Funct. Anal., **170**(2) (2000), 307–355.
- (13) D. E. Edmunds and B. Opic. Alternative characterisations of Lorentz-Karamata spaces. *Czechoslovak Math. J.*, 58(133)(2) (2008), 517–540.
- W. Feller. An introduction to Probability Theory and Its Applications, Vol. II, John Wiley & Sons, Inc., New York-London-Sydney, Second edition, 1971.
- (15) A. Gogatishvili, J. S. Neves and B. Opic. Optimal embeddings of Bessel-potential-type spaces into generalized Hölder spaces involving k-modulus of smoothness. *Potential* Anal., **32**(3) (2010), 201–228.
- (16) A. Gogatishvili, B. Opic and J. S. Neves. Optimality of embeddings of Bessel-potentialtype spaces into Lorentz-Karamata spaces. Proc. Roy. Soc. Edinburgh Sect. A, 134(6) (2004), 1127–1147.
- (17) A. Gogatishvili, B. Opic and W. Trebels. Limiting reiteration for real interpolation with slowly varying functions. *Math. Nachr.*, **278**(1–2) (2005) 86–107.
- (18) P. Gurka and B. Opic. Sharp embeddings of Besov spaces with logarithmic smoothness. *Rev. Mat. Complut.*, **18**(1) (2005), 81–110.
- P. Gurka and B. Opic. Sharp embeddings of Besov-type spaces. J. Comput. Appl. Math., 208(1) (2007), 235–269.
- (20) A. H. Jessen and T. Mikosch. Regularly varying functions. *Publ. Inst. Math. (Beograd)* (N.S.), 80(94) (2006), 171–192.
- (21) J. Karamata. Sur un mode de croissance reâguilieáre des fonctions. Mathematica (Cluj), 4 (1930), 38–53.
- (22) J. Karamata. Sur un mode de croissance régulière. Théorèmes fondamentaux. Bull. Soc. Math. France, 61 (1933), 55–62.
- (23) V. Marić. Regular Variation and Differential Equations, volume 1726 of Lecture Notes in Mathematics, Vol. 1726, Springer-Verlag, Berlin, 2000.
- (24) S. D. Moura, J. S. Neves and M. Piotrowski. Continuity envelopes of spaces of generalized smoothness in the critical case. J. Fourier Anal. Appl., 15(6) (2009), 775–795.
- (25) J. S. Neves. Lorentz-Karamata spaces, Bessel and Riesz potentials and embeddings. Dissertationes Math. (Rozprawy Mat.), 405(46) (2002).
- (26) J. S. Neves and B. Opic. Optimal local embeddings of Besov spaces involving only slowly varying smoothness. J. Approx. Theory, 254(105393) (2020), 25.
- (27) A. Nikolić. Karamata functions and differential equations: achievements from the 20th century. *Historia Math.*, 45(3) (2018), 277–299.

- (28) B. Opic and M. Grover. Description of K-spaces by means of J-spaces and the reverse problem in the limiting real interpolation. *Math. Nachr.*, **296** (2023), 4002–4031.
- (29) B. Opic and L. Pick. On generalized Lorentz-Zygmund spaces. Math. Inequal. Appl., 2(3) (1999), 391–467.
- (30) D. Peša. Lorentz-Karamata spaces. 2023, arXiv:2006.14455 http://arxiv.org/abs/2006. 14455.
- (31) V. D. Rădulescu. Singular phenomena in nonlinear elliptic problems: from blow-up boundary solutions to equations with singular nonlinearities. In *Handbook of Differential Equations: Stationary Partial Differential Equations*, Vol. IV, 485–593. Elsevier/North-Holland, Amsterdam, 2007.
- (32) A. Zygmund. Trigonometric Series. Vol. I, II. Cambridge Mathematical Library. Cambridge University Press, Cambridge, Third edition, 2002. With a foreword by Robert A. Fefferman.

16