

## IRREDUCIBLE REPRESENTATIONS OF THE HAMILTONIAN ALGEBRA $H(2r; \mathbf{n})$

YU-FENG YAO  and BIN SHU

(Received 19 December 2009; accepted 12 June 2011)

Communicated by J. Du

Dedicated to the memory of Professor Guang-Yu Shen with deep respect and admiration

### Abstract

Let  $L = H(2r; \mathbf{n})$  be a graded Lie algebra of Hamiltonian type in the Cartan type series over an algebraically closed field of characteristic  $p > 2$ . In the generalized restricted Lie algebra setup, any irreducible representation of  $L$  corresponds uniquely to a (generalized)  $p$ -character  $\chi$ . When the height of  $\chi$  is no more than  $\min\{p^{n_i} - p^{n_i-1} \mid i = 1, 2, \dots, 2r\} - 2$ , the corresponding irreducible representations are proved to be induced from irreducible representations of the distinguished maximal subalgebra  $L_0$  with the aid of an analogy of Skryabin's category  $\mathfrak{C}$  for the generalized Jacobson–Witt algebras and modulo finitely many exceptional cases. Since the exceptional simple modules have been classified, we can then give a full description of the irreducible representations with  $p$ -characters of height below this number.

2010 *Mathematics subject classification*: primary 17B10; secondary 17B50, 17B70.

*Keywords and phrases*: Cartan type Lie algebras, generalized restricted Lie algebras, Hamiltonian algebras,  $\mathfrak{C}$ -category, exceptional modules.

### 1. Introduction

In the classification of modular simple Lie algebras there are a variety of Lie algebras of so-called Cartan type as well as classical Lie algebras arising from simple algebraic groups. The simple Lie algebras of Cartan type fall into four classes: types  $W$ ,  $S$ ,  $H$  and  $K$  (see [22]). They are subalgebras of the derivation algebra of the divided power algebra  $R = \mathfrak{A}(m; \mathbf{n})$ . Here the  $m$ -tuple  $\mathbf{n}$  of positive integers is an ordered sequence of divided-power exponents  $(n_1, \dots, n_m)$ .

The history of the study of representations for Cartan type Lie algebras is a long one. We can trace its beginnings back to the early 1940s when Chang studied representations of the Witt algebra  $W(1, 1)$  (see [1]). In the 1980s Shen systematically

---

This work is partially supported by the NSF of China (No. 10871067), the PCSIRT of China and the Science and Technology Program of Shanghai Maritime University (No. 20110053).

© 2011 Australian Mathematical Publishing Association Inc. 1446-7887/2011 \$16.00

studied graded representations of the Lie algebras of Cartan type (see [13–15]). Shen completely determined the graded simple modules of the so-called exceptional-weight modules and proved that all graded nonexceptional-weight modules are induced modules (see [15]). The results for restricted simple modules were obtained by Nakano [10]. Any simple module of a restricted Cartan type Lie algebra  $L$  can be attached to a linear function  $\chi \in L^*$  and thereby a height of  $\chi$  in connection with the filtered structure. Holmes and Zhang completed the work for simple modules of  $L$  when the height of  $\chi$  is not greater than 1. This work follows lines similar to Shen's work on graded modules (see [3, 4, 25]). Furthermore, Zhang and Steffensen studied irreducible modules of  $L$  and the rank-two Witt algebra  $W(2, 1)$  for general  $\chi$  which are either nonsingular or 'nice', respectively (see [6, 26]).

The second author of this paper found the generalized restricted Lie algebra structure for a Lie algebra of Cartan type  $L$  (see [16]). This structure enables one to study the representations of the Lie algebra of Cartan type  $L$  by following a program very similar to that for working with restricted Lie algebras. In particular, any simple module of  $L$  has a unique generalized  $p$ -character  $\chi$  with a height  $\text{ht}(\chi)$  which is an invariant under co-adjoint action of  $\text{Aut}(L)$  (see Section 2.3). In such a setting, Shen's simple graded modules are just modules of generalized  $p$ -character  $\chi$  satisfying  $\text{ht}(\chi) \leq 1$  and  $\chi(L_{[i]}) = 0$  for all  $i \neq 0$ .

In a generalization of Shen's work, Skryabin studied representations of  $L$  more conceptually in [18]. Shen's mixed product combining two modules of  $R$  and  $L$  is extended to be a so-called  $(R, L)$ -module structure in the more general setting of commutative algebras and their differential systems. In his  $\mathfrak{C}$ -module category, Skryabin proved results parallel to those for simple modules by Shen, Nakano, and Holmes and Zhang with respect to characters with height much greater than 1. A similar argument for  $(R, L)$ -modules was given in [11, Section 3.3].

Skryabin's  $\mathfrak{C}$ -module category has been extended to the case of special Lie algebras of Cartan type by the authors (see [24]). This paper is a continuation of our previous work (see [17, 24]). Recall that Skryabin first introduced the category  $\mathfrak{C}$  for the generalized Jacobson–Witt algebra  $W(m; \mathfrak{n})$  in [18]. Recall that  $W(m; \mathfrak{n})_0$  consists of 'differential operators' of degree equal to or greater than zero, that is, of the form  $\sum_{i=1}^m f_i D_i$  with  $f_i$  having no constants for  $i = 1, \dots, m$ .

In the generalized restricted Lie algebra setup, the 'modified' induced modules for  $W(m; \mathfrak{n})$  (induced from 'twist' modules of the distinguished maximal subalgebra  $W(m; \mathfrak{n})_0$ ) turn out to be objects of the category  $\mathfrak{C}$  (see [17]). The category  $\mathfrak{C}$  is described based on the understanding that Cartan type Lie algebras are Lie algebras of differential operators on the divided power algebras  $\mathfrak{A}(m; \mathfrak{n})$ . The representations of  $W(m; \mathfrak{n})$  certainly reflect the connections between the representations of both  $W(m; \mathfrak{n})$  and  $\mathfrak{A}(m; \mathfrak{n})$ . Furthermore, the induced modules arising from  $W(m; \mathfrak{n})_0$ -modules additionally reflect a close connection between the representations of  $W(m; \mathfrak{n})_0$  and the representations of the pair  $(W(m; \mathfrak{n}), \mathfrak{A}(m; \mathfrak{n}))$ .

Such a connection should exist for all series of simple Lie algebras of Cartan types  $W, S, H$  and  $K$ . We have successfully worked with the special series  $S(m; \mathfrak{n})$ , by

constructing a category with such a ‘connection’ (see [24]). An idealistic continuation of this work is to find a unified way of defining the ‘connection’ for all four series of Cartan type Lie algebras. Unfortunately, we have been unable to define such a connection. Indeed, the structure given in this paper does not work for the contact Lie algebra  $K(m; \mathbf{n})$  because the canonical graded structure of  $K(m; \mathbf{n})$  does not come from the gradation of  $\mathfrak{A}(m; \mathbf{n})$ . This is a distinguishing feature from the other three cases.

In this paper we construct a counterpart ‘connection’ in the case of the Hamiltonian algebra  $L = H(2r; \mathbf{n})$  in order to study its representations. This algebra consists of differential operators  $D$  on the divided power algebra  $\mathfrak{A}(2r; \mathbf{n})$  such that  $D\omega_H = 0$ . Here  $\omega_H$  is the Hamiltonian differential form (see [9]). Let  $L_0 = L \cap W(2r; \mathbf{n})_0$  be the distinguished maximal subalgebra of  $L$  and let  $R = \mathfrak{A}(2r; \mathbf{n})$ . In the generalized restricted Lie algebra setup we can naturally construct induced  $L$ -modules from irreducible  $L_0$ -modules. Using these constructions, we prove that the induced modules admit an ‘admissible’ structure involving the representations of  $L$ ,  $L_0$  and  $R$ . The ‘admissible’ structure enables us to prove that all irreducible  $L$ -modules with  $p$ -characters of height no more than

$$\min\{p^{n_i} - p^{n_i-1} \mid i = 1, 2, \dots, 2r\} - 2$$

are induced from irreducible  $L_0$ -modules in the so-called nonexceptional cases. The irreducible  $L_0$ -modules for the exceptional cases have been described by Shen [15], Holmes [2], and Pu and Jiang [12].

The irreducible modules for the rank-one Hamiltonian algebra  $H(2; \mathbf{1})$  were classified by Koreshkov in [8] using a technical computation. Koreshkov’s result for the irreducible modules of  $H(2; \mathbf{1})$  is more general than the one we give in this paper. However, it seems difficult to generalize his results to general Hamiltonian algebras. In [19] Skryabin extensively studied representations of the restricted Poisson algebra which is a central extension of the restricted Hamiltonian algebra. His work follows a similar approach to that taken in the work of Premet and himself for the Lie algebras of reductive algebraic groups (see [11]). The results of [19] can be applied to estimate dimensions of some irreducible representations of the restricted Lie algebras of Hamiltonian type (see Proposition 4.15).

## 2. Preliminaries

In this paper we always assume that the ground field  $F$  is algebraically closed and of prime characteristic  $p > 2$ . We let  $\mathbb{Z}_{>0}$  (respectively,  $\mathbb{Z}_{\geq 0}$ ) denote the set of all positive (respectively, nonnegative) integers. We fix a positive integer  $m$  and an  $m$ -tuple  $\mathbf{n} = (n_1, n_2, \dots, n_m) \in \mathbb{Z}_{>0}^m$ . All modules (vector spaces) are taken over  $F$  and are assumed to be finite-dimensional.

We define

$$A(m; \mathbf{n}) := \{\alpha = (\alpha_1, \alpha_2, \dots, \alpha_m) \mid \alpha_i \in \mathbb{Z}_{\geq 0}, \alpha_i < p^{n_i}, \forall i = 1, 2, \dots, m\}$$

and set

$$\tau = (p^{n_1} - 1, p^{n_2} - 1, \dots, p^{n_m} - 1).$$

There are natural partial orders ‘ $\leq$ ’ and ‘ $<$ ’ on  $A(m; \mathbf{n})$  defined as follows.

- (i) We say that  $\alpha \leq \beta$ ,  $\alpha, \beta \in A(m; \mathbf{n})$  if  $\alpha_i \leq \beta_i$  for all  $i = 1, 2, \dots, m$ .
- (ii) We say that  $\alpha < \beta$  if  $\alpha \leq \beta$  and  $\alpha \neq \beta$ .

Using this notation, we can rewrite  $A(m; \mathbf{n})$  as

$$A(m; \mathbf{n}) = \{\alpha = (\alpha_1, \alpha_2, \dots, \alpha_m) \mid 0 \leq \alpha \leq \tau\}.$$

For brevity we write  $\varepsilon_i = (\delta_{i1}, \delta_{i2}, \dots, \delta_{im})$ .

We use the following componentwise operations in  $A(m; \mathbf{n})$ . For any elements  $\alpha, \beta \in A(m; \mathbf{n})$  we define

$$\alpha \pm \beta := (\alpha_1 \pm \beta_1, \alpha_2 \pm \beta_2, \dots, \alpha_m \pm \beta_m),$$

$$\alpha! := \prod_{i=1}^m \alpha_i!,$$

$$\binom{\alpha}{\beta} := \prod_{i=1}^m \binom{\alpha_i}{\beta_i}$$

and

$$|\alpha| := \sum_{i=1}^m \alpha_i.$$

**2.1. The generalized Jacobson–Witt algebra  $W(m; \mathbf{n})$ .** Let  $\mathfrak{A}(m; \mathbf{n})$  denote the divided power algebra which is an  $F$ -algebra with an  $F$ -basis  $\{x^\alpha \mid \alpha \in A(m; \mathbf{n})\}$  and multiplication subject to the following rule:

$$x^\alpha x^\beta = \binom{\alpha + \beta}{\alpha} x^{\alpha + \beta} \quad \forall \alpha, \beta \in A(m; \mathbf{n})$$

with the convention that  $x^{(\gamma)} = 0$  if  $\gamma \notin A(m; \mathbf{n})$ .

For any  $i \in \mathbb{Z}_{\geq 0}$  define

$$\mathfrak{A}(m; \mathbf{n})_{[i]} := F\text{-span}\{x^\alpha \mid |\alpha| = i\}.$$

Then we have that

$$\mathfrak{A}(m; \mathbf{n}) = \bigoplus_{i=0}^s \mathfrak{A}(m; \mathbf{n})_{[i]}$$

which is a natural gradation of  $\mathfrak{A}(m; \mathbf{n})$ . Here  $s = \sum_{i=1}^m (p^{n_i} - 1)$ . We also write

$$\mathfrak{A}(m; \mathbf{n})_i := \bigoplus_{j \geq i} \mathfrak{A}(m; \mathbf{n})_{[j]}.$$

Then

$$\mathfrak{A}(m; \mathbf{n}) = \mathfrak{A}(m; \mathbf{n})_0 \supseteq \mathfrak{A}(m; \mathbf{n})_1 \supseteq \dots$$

is the natural filtration associated to the natural gradation given above.

For  $1 \leq i \leq m$ , let  $D_i$  denote the special derivation of  $\mathfrak{A}(m; \mathbf{n})$  which satisfies the condition that  $D_i(x^\alpha) = x^{\alpha - \varepsilon_i}$  for all  $\alpha \in A(m; \mathbf{n})$ . By definition the generalized

Jacobson–Witt algebra is defined by

$$W(m; \mathbf{n}) = F\text{-span}\{x^\alpha D_i \mid \alpha \in A(m; \mathbf{n}), i = 1, 2, \dots, m\}$$

and endowed with the Lie bracket satisfying

$$[x^\alpha D_i, x^\beta D_j] = \binom{\alpha + \beta - \varepsilon_i}{\alpha} D_j - \binom{\alpha + \beta - \varepsilon_j}{\beta} D_i$$

for any  $\alpha, \beta \in A(m; \mathbf{n})$  and  $i, j = 1, 2, \dots, m$ .

Note that all  $D_i$ , for  $i = 1, \dots, m$ , are mutually commutative. Associated with an element  $\alpha \in A(m; \mathbf{n})$  we have a linear operator  $D^\alpha := \prod_{i=1}^m D_i^{\alpha_i}$  on  $\mathfrak{A}(m; \mathbf{n})$ .

For any  $i \geq -1$  we define

$$W(m; \mathbf{n})_{[i]} := F\text{-span}\{x^\alpha D_j \mid |\alpha| = i + 1, j = 1, 2, \dots, m\}.$$

Then

$$W(m; \mathbf{n}) = \bigoplus_{i=-1}^{s-1} W(m; \mathbf{n})_{[i]}$$

is a gradation of  $W(m; \mathbf{n})$ . Here  $s = \sum_{j=1}^m (p^{n_j} - 1)$ . Associated with the gradation we have a filtration

$$W(m; \mathbf{n}) = W(m; \mathbf{n})_{-1} \supseteq W(m; \mathbf{n})_0 \supseteq \dots$$

where  $W(m; \mathbf{n})_i := \bigoplus_{j \geq i} W(m; \mathbf{n})_{[j]}$ . By [20, Section 4.2],  $W(m; \mathbf{n})$  is restricted if and only if  $\mathbf{n} = (1, 1, \dots, 1)$ .

**2.2. The Hamiltonian algebra  $L = H(2r; \mathbf{n})$ .** Recall that the Hamiltonian algebra  $L = H(2r; \mathbf{n})$  is defined to be

$$L = \{D \in W(2r; \mathbf{n}) \mid D\omega_H = 0\}$$

where  $\omega_H = \sum_{i=1}^r dx_i \wedge dx_{i+r}$ . For the details we refer the interested reader to [9, 20]. This algebra may be described using a linear operator  $D_H : \mathfrak{A}(2r; \mathbf{n}) \rightarrow W(2r; \mathbf{n})$  which is defined by  $x^\alpha \mapsto \sum_{i=1}^{2r} \sigma(i) D_i(x^\alpha) D_i$  with the Lie bracket formula satisfying

$$[D_H(x^\alpha), D_H(x^\beta)] = D_H(D_H(x^\alpha)(x^\beta)) \quad \forall 0 < \alpha, \beta < \tau.$$

Here we have

$$\sigma(i) := \begin{cases} 1 & \text{if } 1 \leq i \leq r, \\ -1 & \text{if } r + 1 \leq i \leq 2r \end{cases}$$

and

$$i' := \begin{cases} i + r & \text{if } 1 \leq i \leq r, \\ i - r & \text{if } r + 1 \leq i \leq 2r. \end{cases}$$

Thus

$$L = F\text{-span}\{D_H(x^\alpha) \mid 0 < \alpha < \tau\}$$

(see [20] for the details). Moreover,  $L$  is a simple Lie algebra and, furthermore, it is restricted if and only if  $\mathbf{n} = (1, 1, \dots, 1)$ . The following facts about  $L = H(2r; \mathbf{n})$  are easy to establish.

- (1) There is a natural gradation of  $L$  which inherits the gradation of  $W(2r; \mathbf{n})$ . That is,  $L = \bigoplus_{i=-1}^{s-2} L_{[i]}$  where  $L_{[i]} = L \cap W(2r; \mathbf{n})_{[i]}$  and  $s = \sum_{i=1}^{2r} (p^{n_i} - 1)$ .
- (2) In the above graded structure of  $L$  we have  $L_{[0]} \simeq \mathfrak{sp}(2r)$  under the map  $\varphi : L_{[0]} \rightarrow \mathfrak{sp}(2r)$  with  $D_H(x^{2\varepsilon_i}) \mapsto \sigma(i)E_{ii}$  and

$$D_H(x^{\varepsilon_i + \varepsilon_j}) \mapsto \sigma(j)E_{ij} + \sigma(i)E_{ji}$$

for  $1 \leq i, j \leq 2r, i \neq j$ .

- (3) Associated with this gradation, there is a filtration

$$H(2r; \mathbf{n}) = H(2r; \mathbf{n})_{-1} \supseteq H(2r; \mathbf{n})_0 \supseteq \dots$$

Here

$$H(2r; \mathbf{n})_i = H(2r; \mathbf{n}) \cap W(2r; \mathbf{n})_i.$$

According to results of Block and Wilson (see [21]), this filtration is invariant under the action of the automorphism group  $\text{Aut}(L)$ .

**2.3. Generalized restricted Lie algebras and generalized restricted ( $\chi$ -reduced) representations.** It is well known that not all Cartan type Lie algebras are restricted Lie algebras but that these algebras are generalized restricted Lie algebras in the following sense (see [16]).

**DEFINITION 2.1.** A generalized restricted Lie algebra  $L$  over  $F$  is a Lie algebra associated with an ordered basis  $E = (e_i)_{i \in I}$  and a mapping  $\varphi_s : E \rightarrow L$  sending  $e_i \mapsto e_i^{\varphi_s}$ . Here  $\mathbf{s} = (s_i)_{i \in I}$  where  $s_i \in \mathbb{Z}_{>0}$  satisfies the condition that  $\text{ad } e_i^{\varphi_s} = (\text{ad } e_i)^{p^{s_i}}$  for all  $i \in I$ .

The algebra  $H(2r; \mathbf{n})_0$  is restricted under the mapping  $D \mapsto D^{[p]}$ . Here  $D^{[p]}$  is the usual  $p$ th power of the derivation  $D$ . So  $\text{ad } x^{[p]} = (\text{ad } x)^p$  for any  $x \in H(2r; \mathbf{n})_0$ , and this is, in particular, true for any element  $x$  taken from a fixed basis  $E_1$  of  $H(2r; \mathbf{n})_0$ . Set  $E = E_1 \cup \{D_1, D_2, \dots, D_{2r}\}$ . Then  $E$  is a basis of  $H(2r; \mathbf{n})$ . After rearrangement, we may assume that  $E = (e_i)_{i=1}^t$  is such that  $e_i = D_i, i = 1, 2, \dots, 2r$ , and  $e_j \in E_1$  for  $j > 2r$ . Here  $t = \dim H(2r; \mathbf{n})$  which is equal to  $p^{\sum n_i} - 2$ . Set  $\mathbf{s} = (n_1, n_2, \dots, n_m, 1, 1, \dots, 1)$  and define a map  $\varphi_s : E \rightarrow H(2r; \mathbf{n})$  sending  $e_i \mapsto 0$  for  $1 \leq i \leq 2r$  and  $e_j \mapsto e_j^{[p]}$  for  $j > 2r$ . It is then obvious that the condition  $\text{ad } e_i^{\varphi_s} = (\text{ad } e_i)^{p^{s_i}}$  is satisfied for all  $i = 1, 2, \dots, t$ . So  $H(2r; \mathbf{n})$  is a generalized restricted Lie algebra in the sense of Definition 2.1.

Schur’s lemma implies the following fact for a generalized restricted Lie algebra over  $F$ .

**PROPOSITION 2.2.** Let  $(L, \varphi_s)$  be a generalized restricted Lie algebra over  $F$  associated with a basis  $E = (e_i)_{i \in I}$  and  $\varphi_s$  (called the generalized restricted mapping associated with the basis  $E$ ) where  $\mathbf{s} = (s_i)_{i \in I}$  with  $s_i \in \mathbb{Z}_{>0}$  for all  $i \in I$ . Suppose that  $(V, \rho)$  is an irreducible representation of  $L$ . Then there exists a unique  $\chi \in L^*$  such that

$$\rho(e_i)^{p^{s_i}} - \rho(e_i^{\varphi_s}) = \chi(e_i)^{p^{s_i}} \text{id}_V \quad \forall e_i \in E. \tag{2.1}$$

**DEFINITION 2.3.** The function  $\chi$  defined above is called a (generalized)  $p$ -character of  $V$ . A representation (module) of  $L$  satisfying (2.1) is called a generalized  $\chi$ -reduced representation (module). In particular, when  $\chi = 0$ , such a representation is called a generalized restricted representation (module) of  $L$ .

Now suppose that  $(L, \varphi_s)$  is a generalized restricted Lie algebra associated with a basis  $E = (e_i)_{i \in I}$  and  $\varphi_s$  where  $\mathbf{s} = (s_i)_{i \in I}$  satisfies  $s_i \in \mathbb{Z}_{>0}$  for all  $i \in I$ . For any  $\chi \in L^*$ , define

$$U_{p^s}(L, \chi) := U(L)/(e_i^{p^{s_i}} - e_i^{\varphi_s} - \chi(e_i)^{p^{s_i}} \mid e_i \in E).$$

Here

$$(e_i^{p^{s_i}} - e_i^{\varphi_s} - \chi(e_i)^{p^{s_i}} \mid e_i \in E)$$

denotes the ideal in  $U(L)$  generated by the central elements  $e_i^{p^{s_i}} - e_i^{\varphi_s} - \chi(e_i)^{p^{s_i}}$  for all  $e_i \in E$ . The algebra  $U_{p^s}(L, \chi)$  is called the generalized  $\chi$ -reduced enveloping algebra of  $L$ . When  $\chi = 0$ , the algebra  $U_{p^s}(L, 0)$  is often called the generalized restricted enveloping algebra of  $L$  and is simply denoted by  $U_{p^s}(L)$ . We have category equivalence between the generalized  $\chi$ -reduced (respectively, generalized restricted) module category of  $L$  and the  $U_{p^s}(L, \chi)$  (respectively,  $U_{p^s}(L)$ )-module category (see [16]).

**REMARK 2.4.**

- (1) A restricted Lie algebra  $(g, [p])$  is a generalized restricted Lie algebra associated with an arbitrary given basis  $E$  and  $\mathbf{s} = \mathbf{1} := (1, 1, \dots, 1)$ . The generalized restricted mapping  $\varphi_s$  is the restriction of the usual restricted mapping  $[p]$  on  $E$ . Furthermore, in this case, a generalized  $\chi$ -reduced module (enveloping algebra) coincides with the  $\chi$ -reduced module (enveloping algebra).
- (2) The invariance of the filtration for  $L = H(2r; \mathbf{n})$  enables us to define the height of a nonzero  $\chi \in L^*$  via

$$\text{ht}(\chi) := \max\{i \mid \chi(L_{i-1}) \neq 0\}$$

and  $\text{ht}(0) := -1$ . Now the height function on  $L^*$  is invariant under the action of  $\text{Aut}(L)$  defined by  $\sigma \cdot \chi = \chi \circ \sigma^{-1}$  for  $\sigma \in \text{Aut}(L)$  and  $\chi \in L^*$ .

**2.4. Independent systems of differential operators.** Suppose that  $\mathfrak{R}$  is an associative commutative  $F$ -algebra with unit. Endow the endomorphism algebra  $\text{End}_F \mathfrak{R}$  with an  $\mathfrak{R}$ -module structure by putting

$$(f \cdot \varphi)(g) = f\varphi(g), \quad \forall f, g \in \mathfrak{R}, \varphi \in \text{End}_F \mathfrak{R}.$$

**DEFINITION 2.5.** A system of endomorphisms  $\Phi \subseteq \text{End}_F \mathfrak{R}$  is called independent if  $\text{Val } \Phi' = \mathfrak{R}^n$  for any finite subset  $\Phi' = \{\varphi_1, \varphi_2, \dots, \varphi_n\} \subseteq \Phi$ . Here  $\text{Val } \Phi'$  denotes the submodule of the free  $\mathfrak{R}$ -module  $\mathfrak{R}^n$  generated by all  $n$ -tuples  $(\varphi_1(g), \varphi_2(g), \dots, \varphi_n(g))$  with  $g \in \mathfrak{R}$ .

**PROPOSITION 2.6** (See [18, Proposition 3.5]). *Suppose that*

$$\{\partial_i^{p^{r_i}} \mid 1 \leq i \leq 2r, 0 \leq r_i < n_i\}$$

is an independent system of derivations of  $\mathfrak{R}$ . For any given subset  $A \subseteq A(2r; \mathbf{n})$  and  $n$ -tuple  $\gamma \in A$ , there exist a finite number of elements  $f_1, f_2, \dots, f_u, g_1, g_2, \dots, g_u \in \mathfrak{R}$  such that the following condition is satisfied:

$$\sum_{v=1}^u f_v \partial^\alpha g_v = \begin{cases} 1 & \text{if } \alpha = \gamma, \\ 0 & \text{if } \alpha \in A \text{ and } \alpha \neq \gamma. \end{cases} \tag{2.2}$$

**REMARK 2.7.** For  $\mathfrak{R} = \mathfrak{A}(2r; \mathbf{n})$ , one can easily see that

$$\{D_i^{p^r} \mid 1 \leq i \leq 2r, 0 \leq r_i < n_i\}$$

is independent in the sense of the Definition 2.5.

**2.5. Exceptional modules.** We turn to the representations of  $L_{[0]}$  which can be identified with  $\mathfrak{sp}(2r)$  under  $\varphi$  in Section 2.2(2). We define  $h_i := E_{ii} - E_{i+r, i+r}$  for  $i = 1, 2, \dots, r$  and

$$\mathfrak{h} = F\text{-span}\{h_i \mid i = 1, 2, \dots, r\}.$$

Then  $\mathfrak{h}$  is a canonical torus of  $\mathfrak{sp}(2r)$ . The isoclasses of irreducible restricted representations of  $\mathfrak{sp}(2r)$  are parameterized by the set of restricted weights

$$\mathfrak{X}(\mathfrak{h}) := \{\lambda \in \mathfrak{h}^* \mid \lambda(h_i)^p = \lambda(h_i), i = 1, \dots, m\}.$$

A simple module corresponding to  $\lambda$  is denoted by  $L_0(\lambda)$  which is a ‘highest weight’ module with ‘highest weight’  $\lambda$  (see [5]). This implies that  $L_0(\lambda)$  is generated by a nonzero vector  $v$  satisfying the conditions that  $h_i \cdot v = \lambda(h_i)v$  for  $i = 1, 2, \dots, r$  and  $\mathcal{N} \cdot v = 0$ . Here

$$\mathcal{N} = F\text{-span}\{E_{i,j} - E_{j+r, i+r}, E_{i, j+r} + E_{j, i+r}, E_{k, k+r} \mid 1 \leq i < j \leq r, 1 \leq k \leq r\}.$$

Let  $\varepsilon_i \in \mathfrak{h}^*$  be such that  $\varepsilon_i(h_j) = \delta_{ij}$  for  $i, j = 1, 2, \dots, r$ . Define  $\omega_0 = 0$  and  $\omega_i = \sum_{j=1}^i \varepsilon_j$  for  $i = 1, 2, \dots, r$ . Then  $\omega_0, \omega_1, \dots, \omega_r$  constitute a system of fundamental weights of  $\mathfrak{sp}(2r)$ . A simple  $\mathfrak{sp}(2r)$ -module  $L_0(\omega_i)$  corresponding to the fundamental weight  $\omega_i$  ( $0 \leq i \leq r$ ) is usually called exceptional. Similarly, a simple module  $(\rho_0, V)$  of  $L_0$  is called exceptional if  $(\rho_0, V)$  is isomorphic to some  $L_0(\omega_i)$  as an  $L_{[0]}$ -module with a trivial action for  $\rho_0(L_1)$ .

**PROPOSITION 2.8.** Let  $1 \leq s_i \leq 2r$  for  $i = 1, 2, 3, 4$ . Suppose that an irreducible representation  $\varrho$  of the Lie algebra  $\mathfrak{sp}(2r)$  in a vector space  $W$  satisfies the following relation:

$$\begin{aligned} & \sum_{1 \leq s < t \leq 2r} \sum_{1 \leq u < v \leq 2r} \delta_{\{s, t, u, v\} \{s_1, s_2, s_3, s_4\}} (\sigma(s)\varrho(E_{ts'}) + \sigma(t)\varrho(E_{st'}))(\sigma(u)\varrho(E_{vu'}) \\ & + \sigma(v)\varrho(E_{uv'})) + \sum_{s=1}^{2r} \sum_{u=1}^{2r} \delta_{\{s, s, u, u\} \{s_1, s_2, s_3, s_4\}} \sigma(s)\varrho(E_{ss'})\sigma(u)\varrho(E_{uu'}) \end{aligned}$$



$$\begin{aligned}
 &+ \sum_{1 \leq u < v \leq 2r} \sum_{s=1}^{2r} \delta_{\{u,v,s,s\}\{s_1,s_2,s_3,s_4\}} \sigma(s) \varrho(E_{ss'}) (\sigma(u) \varrho(E_{vu'}) + \sigma(v) \varrho(E_{uv'})) \\
 &+ \sum_{1 \leq s < t \leq 2r} \sum_{u=1}^{2r} \delta_{\{s,t,u,u\}\{s_1,s_2,s_3,s_4\}} (\sigma(s) \varrho(E_{ts'}) + \sigma(t) \varrho(E_{st'})) \sigma(u) \varrho(E_{uu'}) \\
 &= 0
 \end{aligned} \tag{2.3}$$

where

$$\delta_{\{s,t,u,v\}\{s_1,s_2,s_3,s_4\}} = \begin{cases} 1 & \text{if } \{s, t, u, v\} = \{s_1, s_2, s_3, s_4\}, \\ 0 & \text{if } \{s, t, u, v\} \neq \{s_1, s_2, s_3, s_4\}, \end{cases}$$

with the convention that  $\{a_1, a_2, a_3, a_4\} = \{b_1, b_2, b_3, b_4\}$  if and only if there exists  $\sigma \in \mathfrak{S}_4$  such that  $a_i = b_{\sigma(i)}$  for all  $i = 1, \dots, 4$ . Then  $W$  is exceptional.

**PROOF.** Let  $a \in \{1, 2, \dots, 2r\}$ . If we assume that  $s_1 = s_2 = s_3 = s_4 = a$  in (2.3), then we obtain that  $\varrho(E_{aa'})^2 = 0$ . Now we consider

$$W_1 = \{w \in W \mid \varrho(E_{i,i+r})w = 0 \text{ for all } i = 1, 2, \dots, r\}.$$

We have  $W_1 \neq 0$  since all the  $\varrho(E_{i,i+r})$  are mutually commutative and act nilpotently on  $W$ .

Fix  $b \in \{1, 2, \dots, r\}$  and  $a \in \{r + 1, r + 2, \dots, 2r\}$  such that  $b < a'$ . Set  $s_1 = s_2 = b$  and  $s_3 = s_4 = a'$  in (2.3). We obtain that

$$\varrho(E_{ba} + E_{a'b'})^2 + \varrho(E_{bb'})\varrho(E_{a'a}) + \varrho(E_{a'a})\varrho(E_{bb'}) = 0. \tag{2.4}$$

Note that  $\varrho(E_{ba} + E_{a'b'})$  commutes with  $\varrho(E_{i,i+r})$  for all  $i = 1, 2, \dots, r$  and so  $W_1$  is stable under the action of  $\varrho(E_{ba} + E_{a'b'})$ . Furthermore, by (2.4),  $\varrho(E_{ba} + E_{a'b'})$  acts nilpotently on  $W_1$ . Now set

$$\begin{aligned}
 W_2 = \{w \in W_1 \mid \varrho(E_{ba} + E_{a'b'})w = 0, \forall b \in \{1, 2, \dots, r\}, \\
 a \in \{r + 1, r + 2, \dots, 2r\} \text{ and } b < a'\}.
 \end{aligned}$$

Then  $W_2 \neq 0$  by Jacobson’s theorem about weakly nil closed sets (see [20, Theorem 3.1, Ch. II]).

Using a similar argument, one can check that  $W_2$  is stable under the action of  $\varrho(E_{ki} - E_{i+r,k+r})$  for all  $k, i \in \{1, 2, \dots, r\}$  and  $k < i$ . Let  $1 \leq b < a \leq r$  and set  $s_1 = s_2 = b$  and  $s_3 = s_4 = a'$  in (2.3). Then we obtain that

$$\varrho(E_{ba} - E_{a'b'})^2 - 2\varrho(E_{a'a})\varrho(E_{bb'}) = 0.$$

Therefore  $\varrho(E_{ba} - E_{a'b'})$  acts nilpotently on  $W_2$ . Hence Jacobson’s theorem about weakly nil closed sets implies that

$$W_3 = \{w \in W_2 \mid \varrho(E_{ba} - E_{a'b'})w = 0, \text{ for all } 1 \leq b < a \leq r\} \neq 0.$$

Let

$$\mathcal{N} = F\text{-span}\{\{E_{ba} - E_{a'b'} \mid 1 \leq b < a \leq r\} \cup \{E_{i,i+r} \mid 1 \leq i \leq r\} \\ \cup \{E_{i,j+r} + E_{j,i+r} \mid 1 \leq i < j \leq r\}\}.$$

Note that

$$W_3 = \{w \in W \mid \varrho(\mathcal{N})w = 0\}.$$

It is obvious that  $W_3$  is stable under the action of

$$\mathfrak{h} = F\text{-span}\{h_i := E_{ii} - E_{i+r,i+r} \mid 1 \leq i \leq r\}.$$

So there exists a weight vector  $w$  in  $W_3$  such that  $\varrho(\mathcal{N})w = 0$  and  $\varrho(h_i)w = \lambda_i w$  which is a maximal-weight vector.

Next we fix a maximal-weight vector  $w \in W_3$ . For  $i \in \{1, 2, \dots, r\}$ , setting  $s_1 = s_2 = i$  and  $s_3 = s_4 = i + r$  in (2.3), we obtain that

$$\varrho(E_{ii} - E_{i+r,i+r})^2 - \varrho(E_{i,i+r})\varrho(E_{i+r,i}) - \varrho(E_{i+r,i})\varrho(E_{i,i+r}) = 0. \tag{2.5}$$

Now both sides of (2.5) act on  $w$  and so we obtain that  $\lambda_i^2 - \lambda_i = 0$ . Therefore  $\lambda_i = 1$  or  $0$ .

Let  $1 \leq i < j \leq r$ . Set  $s_1 = i, s_2 = j, s_3 = i + r$  and  $s_4 = j + r$  in (2.3). Then we obtain

$$\varrho(E_{ii} - E_{i+r,i+r})\varrho(E_{jj} - E_{j+r,j+r}) - \varrho(E_{i,j+r} + E_{j,i+r})\varrho(E_{i+r,j} + E_{j+r,i}) \\ + \varrho(E_{ij} - E_{j+r,i+r})\varrho(E_{ji} - E_{i+r,j+r}) = 0. \tag{2.6}$$

Both sides of (2.6) act on  $w$  and so we obtain

$$\lambda_i \lambda_j - 2\lambda_j = 0. \tag{2.7}$$

Now if  $\lambda_i = 0$ , then by (2.7) we get  $\lambda_j = 0$  for all  $j > i$ . If all  $\lambda_i = 0$ , then  $w$  is an exceptional-weight vector. Otherwise assume that  $i_0 = \max\{i \mid \lambda_i \neq 0\}$ . Then we have  $\lambda_1 = \lambda_2 = \dots = \lambda_{i_0} = 1$  and  $\lambda_{i_0+1} = \lambda_{i_0+2} = \dots = \lambda_r = 0$ . Thus  $w$  is also an exceptional-weight vector. In conclusion,  $W$  is exceptional and our proof is complete.  $\square$

### 3. The category $\mathfrak{C}$ for the Hamiltonian algebra $H(2r; \mathfrak{n})$

From now on we shall always set  $L = H(2r; \mathfrak{n}), L_0 = H(2r; \mathfrak{n})_0$  and  $R = \mathfrak{A}(2r; \mathfrak{n})$ .

**3.1. The  $(R, L)$ -mod and the category  $\mathfrak{C}$ .** In [18] Skryabin introduced the category  $\mathfrak{C}$  for the study of representations of the generalized Jacobson–Witt algebra. In this section we shall extend this category to the Hamiltonian algebra  $H(2r; \mathfrak{n})$ .

**DEFINITION 3.1.** Let  $(R, L)$ -mod denote the category whose objects are finite-dimensional vector spaces  $M$  endowed with an  $R$ -module structure  $(M, \rho_R)$ , an  $L$ -module structure  $(M, \rho_L)$ , an  $L_0$ -module structure  $(M, \varrho)$  and which satisfy the following ‘connection’ property:

$$(R1) \ [\rho_L(D), \rho_R(f)] = \rho_R(Df).$$

Let  $\mathfrak{C}$  denote the subcategory of  $(R, L)$ -**mod** consisting of those objects which satisfy the additional conditions:

- (R2)  $[\varrho(D'), \rho_R(f)] = 0$ ;
- (R3)  $[\varrho(D'), \rho_L(D_i)] = 0$ ;
- (R4)  $\rho_L(D_H(f)) = \sum_{i=1}^{2r} \sigma(i)\rho_R(D_i(f))\rho_L(D_i) + \sum_{|\beta| \geq 2} \rho_R(D^\beta f) \circ \varrho(D_H(x^\beta))$ .

Here  $f \in R, D \in L$  and  $D' \in L_0$  for  $i = 1, 2, \dots, 2r$ . The morphisms in the categories  $(R, L)$ -**mod** and  $\mathfrak{C}$  are the mappings which preserve the corresponding module structures.

The objects in  $\mathfrak{C}$  (respectively,  $(R, L)$ -**mod**) are often called  $\mathfrak{C}$ -modules (respectively,  $(R, L)$ -modules).

For a given  $R$ -module  $(M, \rho_R)$  and a given set

$$\Phi = \{\varphi_\alpha \in \text{End}_R(M) \mid \alpha \in A(m; \mathbf{n})\},$$

we put

$$\text{Supp}(\Phi) := \{\alpha \in A(m; \mathbf{n}) \mid \varphi_\alpha \neq 0\}$$

and

$$\text{deg}(\Phi) := \max\{|\alpha| \mid \alpha \in \text{Supp}(\Phi)\}.$$

For  $f \in R$  we define

$$\Phi(f) = \sum_{\alpha \in A(m; \mathbf{n})} \rho_R(D^\alpha(f))\varphi_\alpha.$$

The following lemma, which is a special case of [18, Lemma 4.5], will be useful in what follows.

**LEMMA 3.2** [18, Lemma 4.5]. *Let  $M$  and  $\Phi$  be given as above. Suppose that  $M'$  is an  $F$ -vector subspace of  $M$  which does not contain any nonzero  $R$ -submodule of  $M$ . Then the  $R$ -endomorphisms  $\varphi_\alpha$  are nilpotent for all  $\alpha$  with  $|\alpha| = \text{deg}(\Phi)$  which satisfy the following conditions with respect to  $\Phi$ :*

- (1) *all endomorphisms  $\varphi_\alpha$  with  $|\alpha| = \text{deg}(\Phi)$  are mutually commuting;*
- (2)  *$M'$  is stable under all endomorphisms  $\Phi(f)$  where  $f \in R$ .*

**3.2. Submodules and homomorphisms in the category  $\mathfrak{C}$ .** According to Remark 2.7,

$$\{D_i^{r_i} \mid 1 \leq i \leq 2r, 0 \leq r_i < n_i\}$$

is independent. For objects  $M, N \in \mathfrak{C}$  and a mapping  $\varphi : M \rightarrow N$ , we let  $\Gamma(\varphi)$  denote the graph

$$\{(m, \varphi(m)) \mid m \in M\} \subseteq M \oplus N$$

of  $\varphi$ . Then  $\varphi$  respects any of our three module structures if and only if  $\Gamma(\varphi)$  is a submodule of  $M \oplus N$  with respect to the corresponding module structure. Thus  $\varphi$  is a morphism in  $\mathfrak{C}$  if and only if  $\Gamma(\varphi)$  is a submodule of  $M \oplus N$ . We have the following proposition which describes the submodules and homomorphisms in the category  $\mathfrak{C}$ .

We use the notation

$$A'(2r; \mathbf{n}) := \{\alpha = (\alpha_1, \alpha_2, \dots, \alpha_{2r}) \in A(m; \mathbf{n}) \mid \alpha_i < p^{n_i} - p^{n_i-1}, \forall i = 1, 2, \dots, 2r\}.$$

**PROPOSITION 3.3.**

(i) Let  $M \in \mathfrak{C}$  and assume that

$$\varrho(D_H(x^\alpha)) = 0 \quad \text{for } \alpha \in A(2r; \mathbf{n}) \setminus A'(2r; \mathbf{n}). \tag{3.1}$$

Then any  $(R, L)$ -submodule  $M'$  of  $M$  is a  $\mathfrak{C}$ -submodule.

(ii) Let  $M, N \in \mathfrak{C}$  and assume that both  $M$  and  $N$  satisfy Equation (3.1). Then any  $(R, L)$ -module homomorphism  $\varphi : M \rightarrow N$  is a morphism in the category  $\mathfrak{C}$ .

**PROOF.** (i) We only need to prove that  $M'$  is a  $\varrho(L_0)$ -submodule. Set

$$A := \{\alpha \in A(2r; \mathbf{n}) \mid |\alpha| \geq 2\}$$

and  $\varrho(D_H(x^\alpha)) \neq 0$ . Let

$$A' := A \cup \{\varepsilon_i \mid i = 1, 2, \dots, 2r\}.$$

Applying Proposition 2.6 to  $A'$  and a fixed element  $\gamma \in A$ , we can find a finite number of elements  $f_\nu, g_\nu \in R$  such that

$$\sum_\nu f_\nu D^\alpha g_\nu = \begin{cases} 1 & \text{if } \alpha = \gamma, \\ 0 & \text{if } \alpha \in A' \setminus \gamma. \end{cases} \tag{3.2}$$

Using the above formula, we obtain the equation

$$\begin{aligned} & \sum_\nu \rho_R(f_\nu) \rho_L(D_H(g_\nu)) \\ &= \sum_\nu \rho_R(f_\nu) \left( \sum_{i=1}^{2r} \sigma(i) \rho_R(D_i(g_\nu)) \rho_L(D_{i'}) + \sum_{|\beta| \geq 2} \rho_R(D^\beta(g_\nu)) \varrho(D_H(x^\beta)) \right) \\ &= \sum_{i=1}^{2r} \sigma(i) \rho_R(f_\nu D_i(g_\nu)) \rho_L(D_{i'}) + \sum_\nu \sum_{|\beta| \geq 2} \rho_R(f_\nu D^\beta(g_\nu)) \varrho(D_H(x^\beta)) \\ &= \varrho(D_H(x^\gamma)). \end{aligned}$$

It follows from the above equation and our assumption on  $M'$  that  $M'$  is stable under the endomorphism  $\sum_\nu \rho_R(f_\nu) \rho_L(D_H(g_\nu))$ . Hence  $M'$  is stable under  $\varrho(D_H(x^\gamma))$  for all  $\gamma \in A$ . Therefore  $M'$  is stable under  $\varrho(L_0)$  and  $M'$  is a  $\mathfrak{C}$ -submodule.

(ii) The direct sum  $M \oplus N$  is an object of the category  $\mathfrak{C}$  satisfying Equation (3.1). The graph  $\Gamma(\varphi)$  is an  $(R, L)$ -submodule of  $M \oplus N$ . So by (i),  $\Gamma(\varphi)$  is a  $\varrho(L_0)$ -submodule of  $M \oplus N$ . Thus  $\varphi$  respects the  $\varrho(L_0)$ -module structure. Therefore  $\varphi$  is a morphism in the category  $\mathfrak{C}$ . □

Proposition 3.3 enables us to obtain the main result of this section.

**THEOREM 3.4.**

(i) Let  $M \in \mathfrak{C}$ . Assume that

$$M \text{ is a completely reducible } \varrho(L_0)\text{-module with no exceptional irreducible direct summands} \tag{MC1}$$

and that

$$\varrho(D_H(x^\alpha)) = 0 \text{ for all } \alpha \in A(m; \mathbf{n}) \setminus A'(m; \mathbf{n}). \tag{MC2}$$

Then any  $L$ -submodule  $M'$  of  $M$  is a  $\mathfrak{C}$ -submodule.

(ii) Let  $M, N$  be two objects of  $\mathfrak{C}$  satisfying conditions (MC1) and (MC2). Then any  $L$ -module homomorphism  $\varphi : M \rightarrow N$  is a morphism in  $\mathfrak{C}$ .

**PROOF.** As we showed in the proof of Proposition 3.3, (ii) is a direct consequence of (i). By Proposition 3.3 we only need to prove that  $M'$  is a  $R$ -submodule of  $M$ . We will make use of the strategy that Skryabin proposed for  $W(m; \mathbf{n})$  in [18].

Let

$$P = \{m \in M \mid \rho_R(R)m \subseteq M'\}$$

be the largest  $R$ -submodule contained in  $M'$  and let  $Q = \rho_R(R)M'$  be the smallest  $R$ -submodule containing  $M'$ . By (R1),  $P$  and  $Q$  are  $L$ -submodules. Hence by Proposition 3.3,  $P$  and  $Q$  are  $\mathfrak{C}$ -submodules.

We can consider  $Q/P \in \mathfrak{C}$  and its  $L$ -submodule  $M'/P$ . To begin with, we impose the additional assumption that  $M'$  contains no nonzero  $R$ -submodule of  $M$  and that  $\rho_R(R)M' = M$ . Then it is sufficient to prove that  $M = 0$ .

We will seek endomorphisms  $\varphi$  of  $M$  lying in the associative algebra generated by the endomorphisms  $\varrho(D')$ . We assume that  $D' \in L_0$  has the property that for any  $f \in R$  the endomorphism  $\rho_R(f)\varphi$  belongs to the associative subalgebra generated by the endomorphisms  $\rho_L(D)$  with  $D \in L$ . This implies that the  $L$ -submodule  $M'$  is stable under  $\rho_R(f)\varphi$  for any  $f \in R$ . Hence, it contains the  $R$ -submodule  $\rho_R(R)\varphi(M')$ . By the hypothesis we have  $\varphi(M') = 0$ . By (R2) in Definition 3.1, we know that  $\varphi$  is an  $R$ -module endomorphism and so

$$\varphi(M) = \varphi(\rho_R(R)M') = \rho_R(R)\varphi(M') = 0,$$

which implies that  $\varphi = 0$ . This gives many relations between the endomorphisms  $\varrho(D')$  with  $D' \in L_0$ . These relations will lead us to the conclusion that  $M = 0$ .

Now assume that  $M \neq 0$ . By assumption (MC1), we know that  $M$  is not a trivial  $L_0$ -module. Thus there is some  $i$  for which  $\varrho(L_i) \neq 0$ . Take

$$l = \max\{i \mid \varrho(L_{i-1}) \neq 0\}.$$

First, consider the case where  $l \leq 1$ . In this case  $M$  is a module of the quotient algebra

$$L_0/L_1 \cong L_{[0]} \cong \mathfrak{sp}(2r).$$

For any  $s_1, s_2, s_3, s_4 \in \{1, 2, \dots, 2r\}$  we may apply Proposition 2.6 to

$$A = \{\alpha \in A(m; \mathbf{n}) \mid |\alpha| \leq 4\}$$

and

$$\gamma = \varepsilon_{s_1} + \varepsilon_{s_2} + \varepsilon_{s_3} + \varepsilon_{s_4}$$

to find  $f_v, g_v \in R = \mathfrak{A}(m; \mathbf{n})$  such that

$$\sum_v f_v D^\alpha g_v = \begin{cases} 1 & \text{if } \alpha = \varepsilon_{s_1} + \varepsilon_{s_2} + \varepsilon_{s_3} + \varepsilon_{s_4}, \\ 0 & \text{otherwise.} \end{cases} \tag{3.3}$$

The above formula implies that for any  $f \in R$  we have

$$\begin{aligned} & \sum_v \rho_L(D_H(ff_v))\rho_L(D_H(g_v)) \\ &= \sum_v \left( \sum_{i=1}^{2r} \sigma(i)\rho_R(D_i(ff_v))\rho_L(D_{i'}) + \sum_{|\beta| \geq 2} \rho_R(D^\beta(ff_v))\varrho(D_H(x^\beta)) \right) \\ & \quad \times \left( \sum_{j=1}^{2r} \sigma(j)\rho_R(D_j(g_v))\rho_L(D_{j'}) + \sum_{|\gamma| \geq 2} \rho_R(D^\gamma(g_v))\varrho(D_H(x^\gamma)) \right) \\ &= \sum_v \left( \sum_{i=1}^{2r} \sigma(i)\rho_R(D_i(ff_v))\rho_L(D_{i'}) \right. \\ & \quad + \sum_{1 \leq s < t \leq 2r} \rho_R(D_s D_t(ff_v))(\sigma(s)\varrho(E_{ts'}) + \sigma(t)\varrho(E_{st'})) \\ & \quad + \sum_{1 \leq s \leq 2r} \rho_R(D_s D_s(ff_v))\sigma(s)\varrho(E_{ss'}) \left. \right) \left( \sum_{j=1}^{2r} \sigma(j)\rho_R(D_j(g_v))\rho_L(D_{j'}) \right. \\ & \quad + \sum_{1 \leq u < v \leq 2r} \rho_R(D_u D_v(g_v))(\sigma(u)\varrho(E_{vu'}) + \sigma(v)\varrho(E_{uv'})) \\ & \quad + \sum_{1 \leq u \leq 2r} \rho_R(D_u D_u(g_v))\sigma(u)\varrho(E_{uu'}) \left. \right) \\ &= \rho_R(f) \left( \sum_v \sum_{i=1}^{2r} \sum_{1 \leq u < v \leq 2r} \sigma(i)\rho_R(f_v D_i D_{i'} D_u D_v(g_v))(\sigma(u)\varrho(E_{vu'}) + \sigma(v)\varrho(E_{uv'})) \right. \\ & \quad + \sum_v \sum_{i=1}^{2r} \sum_{u=1}^{2r} \sigma(i)\rho_R(f_v D_i D_{i'} D_u D_u(g_v))\sigma(u)\varrho(E_{uu'}) \\ & \quad - \sum_v \sum_{j=1}^{2r} \sum_{1 \leq s < t \leq 2r} \sigma(j)\rho_R(f_v D_j D_{j'} D_s D_t(g_v))(\sigma(s)\varrho(E_{ts'}) + \sigma(t)\varrho(E_{st'})) \\ & \quad + \sum_v \sum_{1 \leq s < t \leq 2r} \sum_{1 \leq u < v \leq 2r} \rho_R(f_v D_s D_t D_u D_v(g_v))(\sigma(s)\varrho(E_{ts'}) \\ & \quad + \sigma(t)\varrho(E_{st'}))(\sigma(u)\varrho(E_{vu'}) + \sigma(v)\varrho(E_{uv'})) \end{aligned}$$

$$\begin{aligned}
 & + \sum_v \sum_{1 \leq s < t \leq 2r} \sum_{u=1}^{2r} \rho_R(f_v D_s D_t D_u D_u(g_v)) (\sigma(s) \varrho(E_{1s'}) \\
 & + \sigma(t) \varrho(E_{st'})) \sigma(u) \varrho(E_{uu'}) \\
 & - \sum_v \sum_{j=1}^{2r} \sum_{s=1}^{2r} \sigma(j) \rho_R(f_v D_j D_j D_s D_s(g_v)) \sigma(s) \sigma(E_{ss'}) \\
 & + \sum_v \sum_{1 \leq u < v \leq 2r} \sum_{s=1}^{2r} \rho_R(f_v D_u D_v D_s D_s(g_v)) \sigma(s) \varrho(E_{ss'}) (\sigma(u) \varrho(E_{vu'})) \\
 & + \sigma(v) \varrho(E_{uv'}) \\
 & + \sum_v \sum_{s=1}^{2r} \sum_{u=1}^{2r} \rho_R(f_v D_s D_s D_u D_u(g_v)) \sigma(s) \varrho(E_{ss'}) \sigma(u) \varrho(E_{uu'}) \Big) \\
 & = \rho_R(f) \phi
 \end{aligned}$$

where

$$\begin{aligned}
 \phi = & \sum_v \left( \sum_{1 \leq s < t \leq 2r} \sum_{1 \leq u < v \leq 2r} \rho_R(f_v D_s D_t D_u D_u(g_v)) (\sigma(s) \varrho(E_{1s'}) \right. \\
 & + \sigma(t) \varrho(E_{st'})) (\sigma(u) \varrho(E_{vu'}) + \sigma(v) \varrho(E_{uv'})) \\
 & + \sum_{1 \leq s < t \leq 2r} \sum_{u=1}^{2r} \rho_R(f_v D_s D_t D_u D_u(g_v)) (\sigma(s) \varrho(E_{1s'}) + \sigma(t) \varrho(E_{st'})) \sigma(u) \varrho(E_{uu'}) \\
 & + \sum_{1 \leq u < v \leq 2r} \sum_{s=1}^{2r} \rho_R(f_v D_u D_v D_s D_s(g_v)) \sigma(s) \varrho(E_{ss'}) (\sigma(u) \varrho(E_{vu'}) + \sigma(v) \varrho(E_{uv'})) \\
 & \left. + \sum_{s=1}^{2r} \sum_{u=1}^{2r} \rho_R(f_v D_s D_s D_u D_u(g_v)) \sigma(s) \varrho(E_{ss'}) \sigma(u) \varrho(E_{uu'}) \right).
 \end{aligned}$$

By the previous analysis, we know that  $\phi = 0$ . Keeping the formula (3.3) in mind, we finally arrive at the situation where (2.3) is satisfied for  $\varrho$ . By Proposition 2.8 any simple submodule of  $M$  is exceptional. This contradicts our assumption on  $M$ . Therefore  $l > 1$ . It follows that  $\varrho(L_l) = 0$  but  $\varrho(L_{l-1})$  is a nonzero abelian ideal of  $\varrho(L_0)$ . For any  $f, f_v, g_v \in R$  we have the following computation:

$$\begin{aligned}
 & \sum_v \rho_L(D_H(f f_v)) \rho_L(D_H(g_v)) \\
 & = \sum_v \left( \sum_{i=1}^{2r} \sigma(i) \rho_R(D_i(f f_v)) \rho_L(D_i) + \sum_{|\beta| \geq 2} \rho_R(D^\beta(f f_v)) \varrho(D_H(x^\beta)) \right) \\
 & \quad \times \left( \sum_{j=1}^{2r} \sigma(j) \rho_R(D_j(g_v)) \rho_L(D_j) + \sum_{|\gamma| \geq 2} \rho_R(D^\gamma(g_v)) \varrho(D_H(x^\gamma)) \right)
 \end{aligned}$$

$$\begin{aligned}
 &= \sum_{\nu} \sum_{i=1}^{2r} \sum_{j=1}^{2r} \sigma(i)\sigma(j)\rho_R(D_i(ff_{\nu})D_j(g_{\nu}))\rho_L(D_{i'})\rho_L(D_{j'}) \\
 &\quad + \sum_{\nu} \sum_{i=1}^{2r} \sum_{j=1}^{2r} \sigma(i)\sigma(j)\rho_R(D_i(ff_{\nu})D_{i'}D_j(g_{\nu}))\rho_L(D_{j'}) \\
 &\quad + \sum_{\nu} \sum_{i=1}^{2r} \sum_{|\gamma|\geq 2} \sigma(i)\rho_R(D_i(ff_{\nu})D^{\gamma}(g_{\nu}))\rho_L(D_{i'})\varrho(D_H(x^{\gamma})) \\
 &\quad + \sum_{\nu} \sum_{i=1}^{2r} \sum_{|\gamma|\geq 2} \sigma(i)\rho_R(D_i(ff_{\nu})D^{\gamma+\varepsilon_{i'}}(g_{\nu}))\varrho(D_H(x^{\gamma})) \\
 &\quad + \sum_{\nu} \sum_{|\beta|\geq 2} \sum_{j=1}^{2r} \sigma(j)\rho_R(D^{\beta}(ff_{\nu})D_j(g_{\nu}))\rho_L(D_{j'})\varrho(D_H(x^{\beta})) \\
 &\quad + \sum_{\nu} \sum_{|\beta|\geq 2} \sum_{|\gamma|\geq 2} \rho_R(D^{\beta}(ff_{\nu})D^{\gamma}(g_{\nu}))\varrho(D_H(x^{\beta}))\varrho(D_H(x^{\gamma})) \\
 &= \sum_{\nu} \sum_{i=1}^{2r} \sum_{j=1}^{2r} \sigma(i)\sigma(j)\rho_R(D_i(ff_{\nu})D_j(g_{\nu}))\rho_L(D_{i'})\rho_L(D_{j'}) \\
 &\quad - \sum_{\nu} \sum_{i=1}^{2r} \sum_{j=1}^{2r} \sigma(i)\sigma(j)\rho_R(ff_{\nu}D_iD_j(g_{\nu}))\rho_L(D_{i'})\rho_L(D_{j'}) \\
 &\quad + \sum_{\nu} \sum_{i=1}^{2r} \sum_{j=1}^{2r} \sigma(i)\sigma(j)\rho_R(D_i(ff_{\nu})D_{i'}D_j(g_{\nu}))\rho_L(D_{j'}) \\
 &\quad - \sum_{\nu} \sum_{i=1}^{2r} \sum_{j=1}^{2r} \sigma(i)\sigma(j)\rho_R(ff_{\nu}D_iD_{i'}D_j(g_{\nu}))\rho_L(D_{j'}) \\
 &\quad + \sum_{\nu} \sum_{i=1}^{2r} \sum_{|\gamma|\geq 2} \sigma(i)\rho_R(D_i(ff_{\nu})D^{\gamma}(g_{\nu}))\rho_L(D_{i'})\varrho(D_H(x^{\gamma})) \\
 &\quad - \sum_{\nu} \sum_{i=1}^{2r} \sum_{|\gamma|\geq 2} \sigma(i)\rho_R(ff_{\nu}D^{\gamma+\varepsilon_i}(g_{\nu}))\rho_L(D_{i'})\varrho(D_H(x^{\gamma})) \\
 &\quad + \sum_{\nu} \sum_{i=1}^{2r} \sum_{|\gamma|\geq 2} \sigma(i)\rho_R(D_i(ff_{\nu})D^{\gamma+\varepsilon_{i'}}(g_{\nu}))\varrho(D_H(x^{\gamma})) \\
 &\quad - \sum_{\nu} \sum_{i=1}^{2r} \sum_{|\gamma|\geq 2} \sigma(i)\rho_R(ff_{\nu}D^{\gamma+\varepsilon_i+\varepsilon_{i'}}(g_{\nu}))\varrho(D_H(x^{\gamma}))
 \end{aligned}$$



$$\begin{aligned}
 &+ \sum_{\nu} \sum_{j=1}^{2r} \sum_{\substack{|\beta| \geq 2 \\ \beta = \beta' + \beta''}} \sigma(j) (-1)^{|\beta''|} \binom{\beta}{\beta'} \rho_R(D^{\beta'}(f f_{\nu} D^{\beta'' + \varepsilon_j}(g_{\nu}))) \rho_L(D_j) \varrho(D_H(x^{\beta})) \\
 &+ \sum_{\nu} \sum_{\substack{|\beta| \geq 2 \\ \beta = \beta' + \beta''}} \sum_{|\gamma| \geq 2} (-1)^{|\beta''|} \binom{\beta}{\beta'} \rho_R(D^{\beta'}(f f_{\nu} D^{\beta'' + \gamma}(g_{\nu}))) \varrho(D_H(x^{\beta})) \varrho(D_H(x^{\gamma})).
 \end{aligned}$$

The final equation in the above computation follows from the formulas

$$D_i(f)g = D_i(fg) - fD_i(g) \quad \forall f, g \in R$$

and

$$D^{\alpha}(f)g = \sum_{\alpha' + \alpha'' = \alpha} (-1)^{|\alpha''|} \binom{\alpha}{\alpha'} D^{\alpha'}(f D^{\alpha''}(g)) \quad \forall f, g \in R.$$

Let  $\gamma \in A(2r; \mathbf{n})$  be such that  $|\gamma| = l + 1$ . Set  $t = l + 1$ . Then for all  $\gamma \in A(2r; \mathbf{n})$  which do not satisfy either of the conditions  $\gamma = (p - 2)\varepsilon_k$  or  $n_k = 1$  for some  $k$ , we can always choose  $\gamma' \in A(2r; \mathbf{n})$  such that  $\gamma + \gamma' \in A(2r; \mathbf{n})$ ,  $t' = |\gamma'| \geq 2$  and  $\binom{\gamma}{\gamma'} \neq 0$ . Thus, by Proposition 2.6, there exist  $f_{\nu}, g_{\nu} \in R$  satisfying

$$\sum_{\nu} f_{\nu} D^{\alpha} g_{\nu} = \begin{cases} 0 & \text{if } \alpha \in A(2r; \mathbf{n}), |\alpha| \leq 2t \text{ and } \alpha \neq \gamma + \gamma', \\ 1 & \text{if } \alpha = \gamma + \gamma'. \end{cases} \tag{3.4}$$

It follows that

$$\begin{aligned}
 &\sum_{\nu} \rho_L(D_H(f f_{\nu})) \rho_L(D_H(g_{\nu})) \\
 &= \sum_{\substack{|\alpha| \geq 2 \\ \alpha = \alpha' + \alpha''}} \sum_{|\beta| \geq 2} \sum_{\nu} (-1)^{|\alpha''|} \binom{\alpha}{\alpha'} \rho_R(D^{\alpha'}(f f_{\nu} D^{\alpha'' + \beta}(g_{\nu}))) \varrho(D_H(x^{\alpha})) \varrho(D_H(x^{\beta})).
 \end{aligned} \tag{3.5}$$

The right-hand side of the above equation can be written in the form

$$\sum_{\substack{\alpha' \in A(2r; \mathbf{n}) \\ |\alpha'| \leq t - t'}} \rho_R(D^{\alpha'}(f)) \psi_{\alpha'}$$

which is denoted by  $\Psi(f)$ . This is a convention that we set previously for a family of  $R$ -endomorphisms

$$\Psi = \{\psi_{\alpha'} \in \text{End}_k(M) \mid \alpha' \in A(2r; \mathbf{n}), |\alpha'| \leq t - t'\}$$

satisfying the condition

$$\psi_{\alpha'} = \sum_{\substack{\alpha = \alpha' + \alpha'' \\ |\alpha| = t}} (-1)^{t'} \binom{\alpha}{\alpha'} \varrho(D_H(x^{\alpha})) \varrho(D_H(x^{\gamma + \gamma' - \alpha''})) \quad \text{for } |\alpha'| = t - t'. \tag{3.6}$$

Here the assertion that  $\Psi \subset \text{End}_R(M)$  follows from (R2).

In the case where  $\gamma = (p - 2)\varepsilon_k$  and  $n_k = 1$  for some  $k$ , one can choose  $\gamma' = \varepsilon_k$  and  $\gamma + \gamma' \in A(2r; \mathbf{n})$  such that (3.4) holds. In this case,

$$\begin{aligned} & \sum_v \rho_L(D_H(ff_v))\rho_L(D_H(g_v)) \\ &= \sum_{\substack{|\alpha| \geq 2 \\ \alpha = \alpha' + \alpha''}} \sum_{|\beta| \geq 2} \sum_v (-1)^{|\alpha''|} \binom{\alpha}{\alpha'} \rho_R(D^{\alpha'}(ff_v D^{\alpha'' + \beta}(g_v))) \varrho(D_H(x^\alpha)) \varrho(D_H(x^\beta)) \\ & \quad + \sigma(k') \rho_R(D_{k'}(f)) \varrho(D_H(x^\gamma)) \\ &= \sum_{|\alpha'| \leq t-1} \rho_R(D^{\alpha'}(f)) \psi_{\alpha'} + \sigma(k') \rho_R(D_{k'}(f)) \varrho(D_H(x^\gamma)) \\ &\stackrel{\Delta}{=} \sum_{|\alpha'| \leq t-1} \rho_R(D^{\alpha'}(f)) \tilde{\psi}_{\alpha'} \\ &\stackrel{\Delta}{=} \tilde{\Psi}(f) \end{aligned}$$

where  $\tilde{\Psi}$  denotes the system of  $R$ -endomorphisms

$$\{\tilde{\psi}_{\alpha'} \in \text{End}_R(M) \mid \alpha' \in A(2r; \mathbf{n}), |\alpha'| \leq t - 1\}$$

satisfying

$$\tilde{\psi}_{\alpha'} = \psi_{\alpha'} = \sum_{\substack{\alpha = \alpha' + \alpha'' \\ |\alpha| = t}} - \binom{\alpha}{\alpha'} \varrho(D_H(x^\alpha)) \varrho(D_H(x^{\gamma + \gamma' - \alpha''})) \quad \text{for } |\alpha'| = t - 1. \tag{3.7}$$

By our assumption  $M'$  is stable under  $\sum_v \rho_L(D_H(ff_v))\rho_L(D_H(g_v))$ . It follows that the above systems  $\Psi$  and  $\tilde{\Psi}$  satisfy the two requirements for Lemma 3.2. Lemma 3.2 now implies that those  $\psi_{\alpha'}$ s in (3.6) and (3.7) are nilpotent. We may use the same inductive arguments found in the proof of [18, Lemma 4.5] to deduce that the constituent  $\varrho(D_H(x^\gamma))$ s that appear in some  $\psi_{\alpha'}$  for  $|\alpha'| = l + 1$  are also nilpotent. Hence all  $\varrho(D_H(x^\alpha))$ s with  $|\alpha| = l + 1$  are nilpotent. It follows that  $\varrho(L_{l-1})|_W = 0$  for any irreducible  $\varrho(L_0)$ -submodule  $W$  of  $M$ . The complete reducibility of  $M$  as a  $\varrho(L_0)$ -module implies that  $\varrho(L_{l-1}) = 0$ . This contradicts our choice of  $l$ .

The proof is now complete. □

### 4. Irreducible representations of the Hamiltonian algebra

**4.1. Nonexceptional modules.** We use the same notation as we used earlier. In particular, we set

$$R = \mathfrak{A}(m; \mathbf{n}), \quad L = H(2r; \mathbf{n}).$$

Recall that the height of  $\chi \in L^*$  is defined as

$$\text{ht}(\chi) := \max\{i \mid \chi(L_{i-1}) \neq 0\}.$$

This definition is given in Remark 2.4(2) with the convention that  $\text{ht}(0) = -1$ . Since  $L_0$  is a restricted subalgebra, the Schur lemma implies that any irreducible  $L_0$ -module is associated to a unique  $\zeta \in L_0^*$ . Let  $(V, \rho_0)$  be a  $\chi|_{L_0}$ -reduced representation of  $L_0$  for

some  $\chi \in L^*$ . Then we have an induced module

$$\mathcal{V} := \mathbf{Ind}_{U(L_0, \chi)}^{U_{p^s}(L, \chi)} V = U_{p^s}(L, \chi) \otimes_{U(L_0, \chi)} V.$$

Here  $\mathbf{s} = (n_1, n_2, \dots, n_m, 1, 1, \dots, 1)$  and  $U_{p^s}(L, \chi)$  is the generalized  $\chi$ -reduced enveloping algebra of  $L$  (see Section 2.3). In addition,  $U(L_0, \chi)$  is the  $\chi|_{L_0}$ -reduced enveloping algebra of  $L_0$ . By the Poincaré–Birkhoff–Witt theorem we have  $\mathcal{V} = \sum_{\beta} FE^{\beta} \otimes V$  as a vector space. Here  $E^{\alpha} = D_1^{\alpha_1} D_2^{\alpha_2} \cdots D_{2r}^{\alpha_{2r}}$  where  $0 \leq \alpha_i \leq p^{n_i} - 1$  for  $1 \leq i \leq 2r$ .

Next we show that  $\mathcal{V}$  becomes an object of the category  $\mathfrak{C}$  and then apply the results on the category  $\mathfrak{C}$  to  $\mathcal{V}$ . The argument will proceed in steps.

*Step 1.* The  $R$ -module structure  $\rho_R$  is defined via

$$\rho_R(x^{\alpha})E^{\beta} \otimes v = (-1)^{|\alpha|} \binom{\beta}{\alpha} E^{\beta-\alpha} \otimes v. \tag{4.1}$$

It is routine to verify that  $\mathcal{V}$  is an  $R$ -module with the corresponding module structure defined by (4.1).

*Step 2.* The  $L$ -module structure on  $\rho_L$  is defined via

$$\begin{aligned} & \rho_L(D_H(x^{\alpha}))E^{\beta} \otimes v \\ &= \sum_{i=1}^r (-1)^{|\alpha|-1} \left( \binom{\beta + \varepsilon_{i'}}{\alpha - \varepsilon_i} - \binom{\beta + \varepsilon_i}{\alpha - \varepsilon_{i'}} \right) E^{\beta + \varepsilon_i + \varepsilon_{i'} - \alpha} \otimes v \\ &+ \sum_{\substack{0 < \gamma \leq \alpha \\ |\gamma| \geq 2}} (-1)^{|\alpha|-|\gamma|} \binom{\beta}{\alpha - \gamma} E^{\beta + \gamma - \alpha} \otimes \rho_0(D_H(x^{\gamma}))v. \end{aligned} \tag{4.2}$$

Let  $\mathbf{ind}$  denote the induced representation of  $L$  on  $\mathcal{V} = \mathbf{Ind}_{U(L_0, \chi)}^{U_{p^s}(L, \chi)} V$ . Note that for any  $x^{\alpha} \in \mathfrak{A}(m; \mathbf{n})$  we have  $D_H(x^{\alpha}) = \sum_{i=1}^r D_{i'}(x^{\alpha})$ . Here, and later on, the divergence map  $D_{ij}$  for  $1 \leq i, j \leq 2r$  is defined to be a linear map from the divided power algebra  $\mathfrak{A}(2r; \mathbf{n})$  to the generalized Jacobson–Witt algebra  $W(2r; \mathbf{n})$  via

$$D_{ij}(x^{\alpha}) = x^{\alpha - \varepsilon_j} D_i - x^{\alpha - \varepsilon_i} D_j$$

for  $\alpha \in A(2r; \mathbf{n})$  (see [20, Section 4.3]).

**REMARK 4.1.** Using the same arguments as in [24, Proposition 5.1], it is easy to see that the action of  $L$  on  $\mathcal{V}$  defined by (4.2) coincides with  $\mathbf{ind}$ . So  $\mathcal{V}$  becomes a generalized  $\chi$ -reduced  $L$ -module with the corresponding  $L$ -module structure defined by (4.2).

*Step 3.* The  $L_0$ -module structure on  $\varrho$  is defined via

$$\varrho(D')E^{\beta} \otimes v = E^{\beta} \otimes \rho_0(D')v. \tag{4.3}$$

It is obvious that  $\mathcal{V}$  becomes a  $\chi|_{L_0}$ -reduced  $L_0$ -module with the corresponding module structure defined via (4.3) since  $(V, \rho_0)$  is a  $\chi|_{L_0}$ -reduced representation of  $L_0$ .

In the following theorem we prove that  $\mathcal{V}$  is an object of the category  $\mathfrak{C}$ .

**THEOREM 4.2.**  *$\mathcal{V}$  belongs to the category  $\mathfrak{C}$ .*

**PROOF.** We need to check that (R1)–(R4) of Definition 3.1 hold.

(1) For any  $\alpha, \beta, \gamma \in A(m; \mathbf{n})$  and  $v \in V$ ,

$$\begin{aligned} & [\rho_L(D_H(x^\alpha)), \rho_R(x^\beta)](E^\gamma \otimes v) \\ &= \rho_L(D_H(x^\alpha)) \circ \rho_R(x^\beta)(E^\gamma \otimes v) - \rho_R(x^\beta) \circ \rho_L(D_H(x^\alpha))(E^\gamma \otimes v) \\ &= (-1)^{|\beta|} \binom{\gamma}{\beta} D_H(x^\alpha) E^{\gamma-\beta} \otimes v - \rho_R(x^\beta) D_H(x^\alpha) E^\gamma \otimes v \\ &= \sum_{i=1}^r (-1)^{|\beta|} \binom{\gamma}{\beta} D_{i_i}(x^\alpha) E^{\gamma-\beta} \otimes v - \sum_{i=1}^r \rho_R(x^\beta) D_{i_i}(x^\alpha) E^\gamma \otimes v \\ &= \sum_{i=1}^r (-1)^{|\beta|} \binom{\gamma}{\beta} D_{i_i}(x^\alpha) E^{\gamma-\beta} \otimes v - \rho_R(x^\beta) D_{i_i}(x^\alpha) E^\gamma \otimes v \\ &= \sum_{i=1}^r \rho_R(D_{i_i}(x^\alpha)(x^\beta)) E^\gamma \otimes v \\ &= \rho_R(D_H(x^\alpha)(x^\beta)) E^\gamma \otimes v, \end{aligned}$$

where the fifth identity follows from (1) in the proof of [24, Theorem 5.3]. Therefore

$$[\rho_L(D_H(x^\alpha)), \rho_R(x^\beta)] = \rho_R(D_H(x^\alpha)(x^\beta)).$$

Hence (R1) holds.

(2) For any  $\alpha, \beta, \gamma \in A(m; \mathbf{n})$  and  $v \in V$ ,

$$\begin{aligned} & [\varrho(D_H(x^\alpha)), \rho_R(x^\beta)](E^\beta \otimes v) \\ &= \varrho(D_H(x^\alpha)) \circ \rho_R(x^\beta)(E^\beta \otimes v) - \rho_R(x^\beta) \circ \varrho(D_H(x^\alpha))(E^\beta \otimes v) \\ &= (-1)^{|\beta|} \binom{\gamma}{\beta} E^{\gamma-\beta} \otimes \rho_0(D_H(x^\alpha))v - (-1)^{|\beta|} \binom{\gamma}{\beta} E^{\gamma-\beta} \otimes \rho_0(D_H(x^\alpha))v \\ &= 0. \end{aligned}$$

Therefore

$$[\varrho(D_H(x^\alpha)), \rho_R(x^\beta)] = 0.$$

Hence (R2) holds.

(3) For any  $\alpha, \beta \in A(m; \mathbf{n})$  and  $v \in V$  and  $D_i \in L_{[-1]}$ ,  $i = 1, 2, \dots, 2r$ ,

$$\begin{aligned} & [\rho_L(D_i), \varrho(D_H(x^\alpha))](E^\beta \otimes v) \\ &= \rho_L(D_i) \circ \varrho(D_H(x^\alpha))(E^\beta \otimes v) - \varrho(D_H(x^\alpha)) \circ \rho_L(D_i)(E^\beta \otimes v) \\ &= E^{\beta+\varepsilon_i} \otimes \rho_0(D_H(x^\alpha))v - E^{\beta+\varepsilon_i} \otimes \rho_0(D_H(x^\alpha))v \\ &= 0. \end{aligned}$$

Therefore

$$[\rho_L(D_i), \varrho(D_H(x^\alpha))] = 0.$$

Hence (R3) holds.

(4) For any  $\alpha, \beta \in A(m; \mathbf{n})$  and  $v \in V$ ,

$$\begin{aligned} & \rho_L(D_H(x^\alpha))(E^\beta \otimes v) \\ &= \sum_{i=1}^r (-1)^{|\alpha|-1} \left[ \binom{\beta + \varepsilon_{i'}}{\alpha - \varepsilon_i} - \binom{\beta + \varepsilon_i}{\alpha - \varepsilon_{i'}} \right] E^{\beta + \varepsilon_i + \varepsilon_{i'} - \alpha} \otimes v \\ &+ \sum_{\substack{0 < \gamma \leq \alpha \\ |\gamma| \geq 2}} (-1)^{|\alpha|-|\gamma|} \binom{\beta}{\alpha - \gamma} E^{\beta + \gamma - \alpha} \otimes \rho_0(D_H(x^\gamma))v, \end{aligned}$$

while

$$\begin{aligned} & \left( \sum_{i=1}^{2r} \sigma(i) \rho_R(D_i(x^\alpha)) \rho_L(D_{i'}) + \sum_{|\gamma| \geq 2} \rho_R(x^{\alpha-\gamma}) \varrho(D_H(x^\gamma)) \right) (E^\beta \otimes v) \\ &= \sum_{i=1}^r (-1)^{|\alpha|-1} \left[ \binom{\beta + \varepsilon_{i'}}{\alpha - \varepsilon_i} - \binom{\beta + \varepsilon_i}{\alpha - \varepsilon_{i'}} \right] E^{\beta + \varepsilon_i + \varepsilon_{i'} - \alpha} \otimes v \\ &+ \sum_{\substack{0 < \gamma \leq \alpha \\ |\gamma| \geq 2}} (-1)^{|\alpha|-|\gamma|} \binom{\beta}{\alpha - \gamma} E^{\beta + \gamma - \alpha} \otimes \rho_0(D_H(x^\gamma))v. \end{aligned}$$

Therefore

$$\rho_L(D_H(x^\alpha)) = \sum_{i=1}^{2r} \sigma(i) \rho_R(D_i(x^\alpha)) \rho_L(D_{i'}) + \sum_{|\gamma| \geq 2} \rho_R(x^{\alpha-\gamma}) \varrho(D_H(x^\gamma)).$$

Hence (R4) holds.

Since  $\mathcal{V}$  satisfies (1)–(4), it belongs to the category  $\mathfrak{C}$ . □

As we pointed out previously, we have  $L_{[0]} \cong \mathfrak{sp}(2r)$ . For  $i = 1, 2, \dots, 2r$  set

$$h_i := -D_H(x^{\varepsilon_i + \varepsilon_{i'}}) = \sigma(i') x^{\varepsilon_{i'}} D_{i'} + \sigma(i) x^{\varepsilon_i} D_i.$$

Then  $h_i = h_{i'}$  for all  $i = 1, 2, \dots, 2r$ . We continue to use  $\mathfrak{h}$  to denote the canonical torus of  $L_{[0]}$ . We have

$$\mathfrak{h} = F\text{-span}\{h_i \mid i = 1, 2, \dots, r\}.$$

Let  $(V, \rho_0)$  be a representation of  $L_0$  and  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_{2r}) \in F^{2r}$ . If  $0 \neq v \in V$  satisfies  $\rho_0(h_i)v = \lambda_i v$  for  $i = 1, 2, \dots, r$ , then  $v$  is called a weight vector of weight  $\lambda$ . If, in addition,  $\rho_0(\mathcal{N} + L_1)v = 0$  where

$$\mathcal{N} = F\text{-span}\{D_H(x^{\varepsilon_i + \varepsilon_{i'}}), D_H(x^{\varepsilon_i + \varepsilon_j}), D_H(2x^{\varepsilon_k}) \mid 1 \leq i < j \leq r, 1 \leq k \leq r\},$$

then  $v$  is called a maximal-weight vector of weight  $\lambda$ .

We choose  $\varepsilon_i \in \mathfrak{h}^*$  such that  $\varepsilon_i(h_j) = \delta_{ij}$  for  $i, j = 1, 2, \dots, r$ . We let  $\omega_0 = 0$  and  $\omega_i = \sum_{j=1}^i \varepsilon_j$  for  $i = 1, 2, \dots, r$ . We have the following result, which is a corollary to Theorems 3.4 and 4.2.

**THEOREM 4.3.** *Let  $\chi \in L^*$  satisfy the condition that*

$$\text{ht}(\chi) \leq \min\{p^{n_i} - p^{n_i-1} \mid 1 \leq i \leq 2r\} - 2.$$

*If  $V$  is an irreducible  $L_0$ -module with character  $\chi$  and  $V$  is not exceptional, then  $(\mathcal{V}, \rho_L)$  is an irreducible  $L$ -module.*

**PROOF.** Set  $R = \mathfrak{A}(2r; \mathbf{n})$  and  $L = H(2r; \mathbf{n})$ . By Theorem 4.2,  $\mathcal{V}$  belongs to the category  $\mathfrak{C}$ . Set

$$\mathcal{V}_\theta = F\text{-span}\{E^\theta \otimes v \mid v \in V\}$$

for some  $\theta \in A(m; \mathbf{n})$ . Then

$$\mathcal{V} = \bigoplus_{\theta \in A(m; \mathbf{n})} \mathcal{V}_\theta$$

and  $\mathcal{V}_\theta \cong V$  as  $\mathfrak{g}(L_0)$ -modules. Therefore  $\mathcal{V}$  is completely reducible as a  $\mathfrak{g}(L_0)$ -module and none of its irreducible direct summands are exceptional. This implies that the first condition of Theorem 3.4 is satisfied.

The assumption that

$$\text{ht}(\chi) \leq \min\{p^{n_i} - p^{n_i-1} \mid 1 \leq i \leq 2r\} - 2$$

ensures that the second condition of Theorem 3.4 is satisfied. Therefore, by Theorem 3.4, any  $L$ -submodule  $\mathcal{V}'$  of  $\mathcal{V}$  is also an  $R$ -submodule of  $\mathcal{V}$ .

Suppose now that  $\mathcal{V}'$  is an arbitrary nonzero  $L$ -submodule of  $\mathcal{V}$ . Next we shall prove that  $\mathcal{V}' = \mathcal{V}$ . Suppose that

$$0 \neq v = \sum_{i=1}^t E^{\theta_i} \otimes v_i \in \mathcal{V}'$$

where  $\theta_i \in A(m; \mathbf{n})$  and  $0 \neq v_i \in V$ . Define a total order ‘ $\triangleright$ ’ on  $A(m; \mathbf{n})$  by the lexicographic order, that is,

$$\alpha = (\alpha_1, \alpha_2, \dots, \alpha_m) \triangleright \beta = (\beta_1, \beta_2, \dots, \beta_m)$$

if and only if  $|\alpha| < |\beta|$  or  $|\alpha| = |\beta|$  and there exists some  $i \in \{1, 2, \dots, 2r\}$  such that  $\alpha_j = \beta_j$  for  $j < i$  and  $\alpha_i < \beta_i$ . Without loss of generality, we may assume that  $\theta_1 = \max\{\theta_i \mid i = 1, 2, \dots, t\}$ . Then  $\theta_j \triangleright \theta_1$  for all  $j > 1$ . We now have

$$\rho_R(x^{\theta_1})v = (-1)^{|\theta_1|} 1 \otimes v_1 \in \mathcal{V}'.$$

Therefore  $\mathcal{V}' = \mathcal{V}$  by the simplicity of  $V$  as an  $L_0$ -module, and our result is established. □

**REMARK 4.4.** For  $\mathbf{n} = \mathbf{1}$ , that is, the restricted case, the result of Theorem 4.3 can be deduced by combining [26, Theorem 2.5, Proposition 2.6]. In this case, the result also coincides with a recent theorem of Wu, Jiang and Pu (see [23, Theorem 1]). In the case of the rank-one Hamiltonian algebra  $H(2; \mathbf{1})$ , the result of Theorem 4.3 can be obtained from [8] where the author gives a complete determination of the simple modules of  $H(2; \mathbf{1})$ .

**DEFINITION 4.5.** An irreducible  $L$ -module  $M$  is called exceptional if  $M$  contains an irreducible exceptional  $L_0$ -submodule.

Finally, we may deduce the following theorem from Theorem 4.3.

**THEOREM 4.6.** Let  $\chi \in L^*$  satisfy the condition that

$$\text{ht}(\chi) \leq \min\{p^{n_i} - p^{n_i-1} \mid 1 \leq i \leq 2r\} - 2.$$

Suppose that  $M$  is an irreducible generalized  $\chi$ -reduced  $L$ -module which is not exceptional. Then all irreducible  $L_0$ -submodules of  $M$  are isomorphic and  $M$  is isomorphic to the induced module from any one of its irreducible  $L_0$ -submodules. Furthermore, if  $N$  is another nonexceptional irreducible generalized  $\chi$ -reduced  $L$ -module, then  $M \cong N$  if and only if all irreducible  $L_0$ -submodules of  $M$  and  $N$  are isomorphic.

**4.2. Exceptional modules.** In the exceptional case the irreducible modules were described by Shen in [15] and Holmes in [2] for  $\chi = 0$  (the height of 0 is defined to be  $-1$ ). For  $\chi \neq 0$  with height 0, they were described by Pu and Jiang in [12].

In this subsection we list some results about the descriptions of exceptional modules for completeness. The detailed arguments are found in [2, 12, 15]. Moreover, we can obtain some more precise descriptions of irreducible representations with character height not larger than 1.

**THEOREM 4.7** [2, 12, 15]. Let  $L = H(2r; \mathbf{n})$  and let  $\chi \in L^*$  be such that  $\text{ht}(\chi) \in \{-1, 0\}$ . Assume that  $p > r$  and let  $L^\chi(\omega_i)$  denote an exceptional irreducible  $L$ -module with exceptional weight  $\omega_i$  for  $i = 0, 1, \dots, r$ .

(1) If  $\text{ht}(\chi) = -1$ , then

$$L^\chi(\omega_i) \not\cong L^\chi(\omega_j) \quad \text{if } i \neq j$$

and

$$\dim_F L^\chi(\omega_i) = \begin{cases} 1 & \text{if } i = 0, \\ p^{\sum n_i} \left[ \binom{2r-2}{i-1} - \binom{2r-2}{i-3} \right] - 2 \binom{2r-1}{i-1} & \text{if } 1 \leq i \leq r. \end{cases}$$

(2) If  $\text{ht}(\chi) = 0$ , then

$$L^\chi(\omega_i) \not\cong L^\chi(\omega_j), \quad \text{if } i \neq j \text{ and } \{i, j\} \neq \{0, 1\},$$

while  $L^X(\omega_0) \cong L^X(\omega_1)$  and

$$\dim_F L^X(\omega_i) = p^{\sum n_i} \left[ \binom{2r-1}{i-1} - \binom{2r-1}{i-2} \right], \quad i = 1, \dots, r.$$

Thus we have the following theorem.

**THEOREM 4.8.** *Let  $L = H(2r; \mathfrak{n})$  and let  $\chi \in L^*$  be such that*

$$\text{ht}(\chi) \leq \min\{p^{n_i} - p^{n_i-1} \mid 1 \leq i \leq 2r\} - 2.$$

- (I) *In the case of nonexceptional irreducible  $L$ -modules:*
  - (1) *all nonexceptional irreducible  $U_{p^s}(L, \chi)$ -modules are induced from any irreducible  $U(L_0, \chi)$ -submodule. Moreover, all irreducible  $U(L_0, \chi)$ -submodules of a nonexceptional irreducible  $U_{p^s}(L, \chi)$ -module are isomorphic.*
  - (2) *Let  $V, W$  be two nonexceptional irreducible  $U_{p^s}(L, \chi)$ -modules and  $V_0, W_0$  be any irreducible  $U(L_0, \chi)$ -submodules of  $V$  and  $W$ , respectively. Then  $V \cong W$  if and only if  $V_0 \cong W_0$ .*
- (II) *In the case of exceptional irreducible  $L$ -modules we shall assume, further, that  $p > r$ .*
  - (1) *If  $\text{ht}(\chi) = -1$ , then  $L^X(\omega_i) \not\cong L^X(\omega_j)$  if  $i \neq j$  and*

$$\dim_F L^X(\omega_i) = \begin{cases} 1 & \text{if } i = 0, \\ p^{\sum n_i} \left[ \binom{2r-2}{i-1} - \binom{2r-2}{i-3} \right] - 2 \binom{2r-1}{i-1} & \text{if } 1 \leq i \leq r. \end{cases}$$

- (2) *If  $\text{ht}(\chi) = 0$ , then  $L^X(\omega_i) \not\cong L^X(\omega_j)$  if  $i \neq j$  and  $\{i, j\} \neq \{0, 1\}$ . However,  $L^X(\omega_0) \cong L^X(\omega_1)$  and*

$$\dim_F L^X(\omega_i) = p^{\sum n_i} \left[ \binom{2r-1}{i-1} - \binom{2r-1}{i-2} \right], \quad i = 1, \dots, r.$$

Combining Theorems 4.3, 4.6, 4.8 and classical results on restricted irreducible representations of the classical Lie algebra  $\mathfrak{sp}(2r)$  (see [7]) gives us the following theorem which describes the isomorphism classes and dimensions of irreducible generalized  $\chi$ -reduced representations of  $L = H(2r; \mathfrak{n})$  with  $\text{ht}(\chi) = 0$ .

**THEOREM 4.9.** *Let  $L = H(2r; \mathfrak{n})$  and  $\chi \in L^*$  satisfy  $\text{ht}(\chi) = 0$ . Assume that  $p > r$ . Then the following statements hold.*

- (i) *Irreducible  $U_{p^s}(L, \chi)$ -modules are parameterized by ‘highest weights’. Up to isomorphism, there are  $p^r - 1$  distinct irreducible  $U_{p^s}(L, \chi)$ -modules. These modules are represented by  $\{L^X(\lambda) \mid \lambda \in \mathbb{F}_p^r \setminus \{0\}\}$ .*
- (ii) *We have  $L^X(\lambda) \cong \mathbf{Ind}(L_0(\lambda))$  if and only if  $\lambda \notin \{\omega_1, \dots, \omega_r\}$  and  $L^X(\omega_0) \cong L^X(\omega_1)$ . Here  $L_0(\lambda)$  denotes the irreducible restricted  $\mathfrak{sp}(2r)$ -module with ‘highest weight’  $\lambda$  which can be considered as a restricted irreducible  $L_0$ -module with trivial  $L_1$ -actions.*



(iii) If  $\lambda$  is not exceptional, then

$$\dim_F L^\chi(\lambda) = p^{\sum n_i} \dim_F L_0(\lambda).$$

In addition,

$$\dim_F L^\chi(\omega_i) = p^{\sum n_i} \left[ \binom{2r-1}{i-1} - \binom{2r-1}{i-2} \right], \quad i = 1, \dots, r.$$

We can also give some descriptions of the irreducible representations with character height equal to 1. For this we first note that if  $\text{ht}(\chi) = 1$ , then  $\chi(L_1) = 0$ . As  $L_1$  is a  $p$ -nilpotent ideal of  $L_0$ ,  $L_1$  acts trivially on any irreducible  $U(L_0, \chi)$ -module (see [20, Corollary 3.8, Ch. I]). Therefore the collection of irreducible  $U(L_0, \chi)$ -modules coincides with the collection of irreducible  $U(L_{[0]}, \chi|_{L_{[0]}}) (\cong U(\mathfrak{sp}(2r), \chi|_{L_{[0]}}))$ -modules. If we combine this observation and Theorem 4.6, then it is easy to obtain the following descriptions of the isomorphism classes and dimensions of irreducible  $L$ -modules with character height 1.

**THEOREM 4.10.** *Let  $L = H(2r; \mathbf{n})$  and let  $\chi \in L^*$  satisfy  $\text{ht}(\chi) = 1$ . Suppose that  $\{S \mid S \in \mathcal{U}\}$  is a set of representatives for the isomorphism classes of irreducible  $U(L_{[0]}, \chi|_{L_{[0]}}) \cong U(\mathfrak{sp}(2r), \chi|_{L_{[0]}})$ -modules. Then the following statements hold.*

- (1) *Up to isomorphism there are  $|\mathcal{U}|$  distinct irreducible  $U_{p^s}(L, \chi)$ -modules. They are represented by  $\{L^\chi(S) \mid S \in \mathcal{U}\}$ .*
- (2) *We have  $L^\chi(S) \cong \mathbf{Ind}(S)$  for any  $S \in \mathcal{U}$ .*
- (3) *We have  $\dim_F L^\chi(S) = p^{\sum n_i} \dim_F S$  for any  $S \in \mathcal{U}$ .*

**REMARK 4.11.** In the case where  $\mathbf{n} = \mathbf{1}$ , that is,  $L$  is restricted, the results of Theorems 4.9 and 4.10 have been obtained in [4, Theorem 4.4] and [25, Lemma 2.2.3, Theorem 2.3.4].

In the final part of this paper we combine the observation that the Poisson algebra is a central extension of the Hamiltonian algebra with a result (see [19, Corollary 5.4]) of Skryabin on representations of the restricted Poisson algebra to estimate the dimensions of some simple modules of the Hamiltonian algebras. In order to do this, we define a truncated polynomial algebra

$$B_{2r} = F[x_1, x_2, \dots, x_{2r}] / (x_1^p, x_2^p, \dots, x_{2r}^p)$$

over  $F$ . One can define a Poisson bracket on  $B_{2r}$  as follows:

$$[f, g] = \sum_{i=1}^{2r} \sigma(i) D_i(f) D_i(g) \quad \forall f, g \in B_{2r}.$$

It is well known that  $B_{2r}$  is a restricted Lie algebra with the  $p$ -mapping  $[p]$  satisfying the condition that

$$(x^\alpha)^{[p]} = \begin{cases} x^\alpha & \text{if } \alpha = \varepsilon_i + \varepsilon_{i+r}, i = 1, 2, \dots, r, \\ 0 & \text{otherwise.} \end{cases}$$

Clearly  $B_{2r}$  has a one-dimensional center generated by 1 which we denote by  $\mathbf{F}$ . Let  $\overline{B}_{2r} = B_{2r}/\mathbf{F}$ . For any  $x \in B_{2r}$  we also use  $x$  to denote the coset of  $x$  in  $\overline{B}_{2r}$  for brevity. Note that  $\overline{B}_{2r} = H \oplus Fx^\tau$  as vector spaces, where  $\tau = (p - 1, p - 1, \dots, p - 1)$  and  $H = F\text{-span}\{x^\alpha \mid \alpha < \tau\}$  with  $H \cong H(2r; \mathbf{1})$ . Furthermore,  $H$  is a restricted ideal of  $\overline{B}_{2r}$ . The following lemma is due to Skryabin.

**LEMMA 4.12** [19, Corollary 5.4]. *There exists an open dense subset  $U \subset B_{2r}^*$  such that for any  $\xi \in U$  all irreducible  $U_\xi(B_{2r})$ -modules have the same dimension  $p^{\frac{1}{2}(p^{2r}-p^r)}$ . Moreover, for any  $\xi \in U$  with  $\xi(1) = 0$ ,  $\mathbf{F}$  acts trivially on any irreducible  $U_\xi(B_{2r})$ -module. So there is a one-to-one correspondence between the set of irreducible  $U_\xi(B_{2r})$ -modules and the set of irreducible  $U_\xi(\overline{B}_{2r})$ -modules.*

**REMARK 4.13.** The open dense subset  $U$  in Lemma 4.12 consists of the so-called ‘good’ elements of  $B_{2r}^*$  in the sense of [19].

For any irreducible  $H$ -module  $V$  with character  $\chi$ , one can consider a  $\overline{B}_{2r}$ -module  $U_{\overline{\chi}}(\overline{B}_{2r}) \otimes_{U_\chi(H)} V$  which is a  $U_{\overline{\chi}}(\overline{B}_{2r})$ -module. Here  $\overline{\chi}$  is a trivial extension of  $\chi$  to  $\overline{B}_{2r}^*$ , that is,  $\overline{\chi}|_H = \chi$  and  $\overline{\chi}(x^\tau) = 0$ .

Consider the restricted Hamiltonian algebra  $H(2r; \mathbf{1})$  canonically as a subalgebra of  $\overline{B}_{2r}$ . Then for any  $\chi \in H(2r; \mathbf{1})^*$ , one can also consider  $\chi$  as a linear function on  $\overline{B}_{2r}$  with the trivial action on  $Fx^\tau$ , and furthermore as a linear function on  $B_{2r}$  with the trivial action on  $\mathbf{F}$ . When we refer to  $\chi \in H(2r; \mathbf{1})^*$  as an element of  $\overline{B}_{2r}^*$  or  $B_{2r}^*$ , we always obey this convention.

By Lemma 4.12 we immediately have the following proposition for estimating dimensions of irreducible representations of  $H(2r; \mathbf{1})$  with ‘good’ character  $\chi$  in the sense of the following definition.

**DEFINITION 4.14.** A character  $\chi \in H(2r; \mathbf{1})^*$  is called a ‘good’ character if we have  $\chi \in U$  when  $\chi$  is referred to as an element of  $B_{2r}^*$  in the way stated above.

**PROPOSITION 4.15.** *Let  $\chi \in H(2r; \mathbf{1})^*$  be a ‘good’ character. Then for any irreducible  $U_\chi(H(2r; \mathbf{1}))$ -module  $V$  we have  $\dim_F V \geq p^{\frac{1}{2}(p^{2r}-p^r)-1}$ .*

**PROOF.** Consider the  $\overline{B}_{2r}$ -module

$$\mathfrak{B} = \mathbf{Ind}_H^{\overline{B}_{2r}} V := U_\chi(\overline{B}_{2r}) \otimes_{U_\chi(H)} V.$$

By Lemma 4.12 we have  $\dim_F \mathfrak{B} \geq p^{\frac{1}{2}(p^{2r}-p^r)}$  and our result follows immediately.  $\square$

The following example shows that ‘good’ characters may have very large heights.

**EXAMPLE 4.16.** Let  $r = 1$ . Define  $\chi \in H(2; \mathbf{1})^*$  such that  $\chi(D_H(x^\alpha)) = \varphi(x_1 x^\alpha)$ . Here

$$\begin{aligned} \varphi : B_2 &\longrightarrow F \\ \sum k_\alpha x^\alpha &\longmapsto k_\tau \end{aligned} \tag{4.4}$$

Then  $\chi$  is ‘good’ in the sense of [19]. So  $\chi \in U$ . One can easily check that  $\text{ht}(\chi) = 2p - 4$  which is the highest possible character height. By Proposition 4.15, we have  $\dim_F V \geq p^{\frac{1}{2}(p^2-p)-1}$  for any irreducible  $H(2; \mathbf{1})$ -module  $V$  with character  $\chi$ . This can also be deduced from [8].

## References

- [1] H. J. Chang, 'Über Wittsche Lie-Ringe', *Abh. Math Sem. Univ. Hamburg* **14** (1941), 151–184.
- [2] R. R. Holmes, 'Simple restricted modules for the restricted Hamiltonian algebra', *J. Algebra* **199** (1998), 229–261.
- [3] R. R. Holmes, 'Simple modules with character height at most one for the restricted Witt algebras', *J. Algebra* **237**(2) (2001), 446–469.
- [4] R. R. Holmes and C. W. Zhang, 'Some simple modules for the restricted Cartan-type Lie algebras', *J. Pure Appl. Algebra* **173** (2002), 135–165.
- [5] J. E. Humphreys, 'Modular representations of classical Lie algebras and semisimple groups', *J. Algebra* **19** (1971), 51–79.
- [6] J. C. Jantzen, 'Representations of the Witt–Jacobson algebras in prime characteristic', presented to *The 6th International Conference on Representation Theory of Algebraic Groups and Quantum Groups 06*, Nagoya University.
- [7] J. C. Jantzen, *Representations of Algebraic Groups*, 2nd edn, Mathematical Surveys and Monographs, 107 (American Mathematical Society, Providence, RI, 2003).
- [8] N. A. Koreshkov, 'Irreducible representations of the Hamiltonian algebra of dimension  $p^2 - 2$ ', *Soviet Math.* **22** (1978), 28–34.
- [9] A. I. Kostrikin and I. R. Šafarevič, 'Graded Lie algebras of finite characteristic', *Math. USSR Izv.* **3** (1969), 237–304.
- [10] D. Nakano, *Projective Modules over Lie Algebras of Cartan Type*, Memoirs of the American Mathematical Society, 98 (American Mathematical Society, Providence, RI, 1992), No. 470.
- [11] A. A. Premet and S. Skryabin, 'Representations of restricted Lie algebras and families of associative  $\mathcal{L}$ -algebras', *J. reine angew. Math.* **507** (1999), 189–218.
- [12] Y. M. Pu and Z. H. Jiang, 'Simple  $H(2r; \mathbf{n})$ -module with character height 0 and a maximal vector with an exceptional weight', *Chin. Ann. Math. A* **27** (2006), 1–12 (in Chinese).
- [13] G. Y. Shen, 'Graded modules of graded Lie algebras of Cartan type, I', *Sci. Sinica* **29** (1986), 570–581.
- [14] G. Y. Shen, 'Graded modules of graded Lie algebras of Cartan type, II', *Sci. Sinica* **29** (1986), 1009–1019.
- [15] G. Y. Shen, 'Graded modules of graded Lie algebras of Cartan type, III', *Chin. Ann. Math. B* **9** (1988), 404–417.
- [16] B. Shu, 'The generalized restricted representations of graded Lie algebras of Cartan type', *J. Algebra* **194** (1997), 157–177.
- [17] B. Shu and Y. F. Yao, 'Irreducible representations of the generalized Jacobson–Witt algebras', *Algebra Colloq.*, to appear.
- [18] S. Skryabin, 'Independent systems of derivations and Lie algebra representations', in: *Algebra and Analysis* (de Gruyter, Berlin, 1996), pp. 115–150.
- [19] S. Skryabin, 'Representations of the Poisson algebra in prime characteristic', *Math. Z.* **243** (2003), 563–597.
- [20] H. Strade and R. Farnsteiner, *Modular Lie Algebras and their Representations*, Pure and Applied Mathematics, 116 (Marcel Dekker, New York, 1988).
- [21] R. L. Wilson, 'Automorphisms of graded Lie algebras of Cartan type', *Comm. Algebra* **3** (1975), 591–613.
- [22] R. L. Wilson, 'A structural characterization of the simple Lie algebras of generalized Cartan type over fields of prime characteristic', *J. Algebra* **40** (1976), 418–465.
- [23] S. C. Wu, Z. H. Jiang and Y. M. Pu, 'Irreducible representations of Cartan-type Lie algebras', *J. Tongji Univ. (Natural Science Edition)* **37** (2009), 281–284 (in Chinese).
- [24] Y. F. Yao and B. Shu, 'Irreducible representations of the special algebras in prime characteristic', *Contemp. Math.* **478** (2009), 273–295.
- [25] C. W. Zhang, 'On simple modules for the restricted Lie algebras of Cartan type', *Comm. Algebra* **30** (2002), 5393–5429.
- [26] C. W. Zhang, 'Representations of the restricted Lie algebras of Cartan type', *J. Algebra* **290** (2005), 408–432.

YU-FENG YAO, Department of Mathematics, Shanghai Maritime University,  
Shanghai 201306, PR China  
e-mail: [yaoyufeng139@sina.com](mailto:yaoyufeng139@sina.com), [yfyao@shmtu.edu.cn](mailto:yfyao@shmtu.edu.cn)

BIN SHU, Department of Mathematics, East China Normal University,  
Shanghai 200241, PR China  
e-mail: [bshu@math.ecnu.edu.cn](mailto:bshu@math.ecnu.edu.cn)