

THE DERIVATION ALGEBRA OF $M_4^8(C)$

BY

EDGAR G. GOODAIRE AND ROY C. SNELL⁽¹⁾

1. **The Main Theorem.** Let C be a Cayley-Dickson algebra over an algebraically closed field F of characteristic 0. A multiplication table for a basis of this 8-dimensional alternative algebra can be found in [3], page 137, where we take $\alpha = \beta = \gamma = -1$. C has an involution $x \mapsto \bar{x}$, and a matrix $X = (x_{ij})$ with entries in C is called hermitian if $X = (\bar{x}_{ji})$. The space $M_n^8(C)$ of all $n \times n$ hermitian matrices with entries in C becomes a commutative algebra under the product $X \circ Y = (XY + YX)/2$, where juxtaposition denotes the standard matrix product. It is not hard to see that all these algebras are simple, but for $n > 3$, they otherwise remain a mystery. They are not Jordan algebras [4] as is $M_3^8(C)$, and hence, because the identity of each is a sum of n pairwise orthogonal idempotents, they are not even power-associative by Theorem 1 of [1]. Since a search for identities these algebras might satisfy seems a difficult problem, it seems more fruitful instead to investigate their derivation algebras, $\mathcal{D}(M_n^8(C))$. The reason for this is that $\mathcal{D}(M_3^8(C))$ is known to be F_4 , one of the exceptional simple Lie algebras ([2]; pp. 142–145). Our study has revealed that $\mathcal{D}(M_2^8(C))$ is B_4 , one of the classical simple Lie algebras, and since this has dimension 36 and F_4 has dimension 52, it is surprising to learn that $\mathcal{D}(M_4^8(C))$ has dimension 20. In fact, we have:

THEOREM 1.1. $\mathcal{D}(M_4^8(C)) \simeq A_1 \oplus A_1 \oplus G_2$, where A_1 and G_2 are the classical and exceptional simple Lie algebras, respectively.

2. **The general derivation.** A multiplication table for the basis E_1, \dots, E_{52} of $M_4^8(C)$ was computed. In order for a linear transformation T to be a derivation, it is necessary and sufficient that

$$1) \quad T(E_i \circ E_j) = T(E_i) \circ E_j + E_i \circ T(E_j), \quad i, j = 1, \dots, 52$$

Thus, letting $X = (x_{ij})$, $i, j = 1, \dots, 52$, we were able with the aid of a computer to determine relations among the x_{ij} which must hold for X to represent a derivation.

Let

$$\begin{aligned} S_1 &= \{(1, 5), (1, 13), (1, 21), (2, 29), (2, 37), (3, 45)\} \\ 2) \quad S_2 &= \{(6, 7), \dots, (6, 12), (7, 8), \dots, (7, 12), (9, 10), (9, 11), (9, 12)\} \\ S_3 &= \{(2, 5), (3, 13), (4, 21), (3, 29), (4, 37), (4, 45)\} \end{aligned}$$

⁽¹⁾ This author was chiefly responsible for the computer programmes used in the preparation of this paper.

MULTIPLICATION TABLE FOR $\mathcal{D}(M_8^2(C))$

	D_1	D_2	D_3	D_4	D_5	D_6	D_7	D_8	D_9	D_{10}	D_{11}
D_1	0	$\frac{1}{2}D_4$	$\frac{1}{2}D_5$	$\frac{1}{2}D_6$	$\frac{1}{2}D_7$	0	0	0	0	0	0
D_2	$\frac{1}{2}D_4$	0	$-\frac{1}{2}D_6$	$\frac{1}{2}D_7$	0	0	0	0	0	0	0
D_3	$\frac{1}{2}D_5$	$-\frac{1}{2}D_6$	0	$-\frac{1}{2}D_7$	0	$\frac{1}{2}D_8$	0	0	0	0	0
D_4	$\frac{1}{2}D_6$	$\frac{1}{2}D_7$	0	0	$-\frac{1}{2}D_8$	0	0	0	0	0	0
D_5	$-\frac{1}{2}D_5$	0	0	$\frac{1}{2}D_6$	0	0	0	0	0	0	0
D_6	0	$-\frac{1}{2}D_3$	$\frac{1}{2}D_2$	$\frac{1}{2}D_4$	0	0	0	0	0	0	0
D_7	0	0	0	0	0	0	0	0	0	0	0
D_8	0	0	0	0	0	0	0	0	0	0	0
D_9	0	0	0	0	0	0	0	0	0	0	0
D_{10}	0	0	0	0	0	0	0	0	0	0	0
D_{11}	0	0	0	0	0	0	0	0	0	0	0
D_{12}	0	0	0	0	0	0	0	0	0	0	0
D_{13}	0	0	0	0	0	0	0	0	0	0	0
D_{14}	0	0	0	0	0	0	0	0	0	0	0
D_{15}	0	0	0	0	0	0	0	0	0	0	0
D_{16}	0	0	0	0	0	0	0	0	0	0	0
D_{17}	0	0	0	0	0	0	0	0	0	0	0
D_{18}	0	0	0	0	0	0	0	0	0	0	0
D_{19}	0	0	0	0	0	0	0	0	0	0	0
D_{20}	0	0	0	0	0	0	0	0	0	0	0
D_{12}	0	0	0	0	0	0	0	0	0	0	0
D_{13}	0	0	0	0	0	0	0	0	0	0	0
D_{14}	0	0	0	0	0	0	0	0	0	0	0
D_{15}	0	0	0	0	0	0	0	0	0	0	0
D_{16}	0	0	0	0	0	0	0	0	0	0	0
D_{17}	0	0	0	0	0	0	0	0	0	0	0
D_{18}	0	0	0	0	0	0	0	0	0	0	0
D_{19}	0	0	0	0	0	0	0	0	0	0	0
D_{20}	0	0	0	0	0	0	0	0	0	0	0
D_{17}	$-\frac{1}{2}D_{17}$	D_8	D_9	D_{10}	D_{11}	D_{12}	D_{13}	D_{14}	D_{15}	D_{16}	D_{17}
D_{18}	$2D_{10}$	$-D_7$	$-D_{16}$	$-D_7$	$-D_{10}$	$-D_9$	$-D_{17}$	$-D_{13}$	$-D_{15}$	$-D_{12}$	$-D_{18}$
D_{19}	$-2D_8$	D_{17}	D_{17}	$-D_8$	$-D_7$	$-D_7$	$-D_8$	$-D_{13}$	$-D_9$	$-D_{10}$	$-D_{11}$
D_{20}	$-D_{18}$	$D_{12}-D_{14}$	$D_{13}-D_{16}$	$D_{15}-D_{18}$	$D_{13}-D_{18}$	$D_{13}-D_{18}$	D_9+D_{16}	D_9+D_{17}	$-D_8-D_{19}$	$-D_7$	$-D_{11}$
$D_{11}+D_{15}$	$D_{11}+D_{15}$	$-D_{11}-D_{15}$	$-D_{11}-D_{15}$	$-D_{11}-D_{15}$	$-D_{11}-D_{15}$	$-D_{11}-D_{15}$	$-D_{11}-D_{15}$	$-D_{11}-D_{15}$	$-D_{11}-D_{15}$	$-D_{11}-D_{15}$	$-D_{11}-D_{15}$
$-D_{13}+D_{18}$	$-D_{13}+D_{18}$	$-D_{13}+D_{18}$	$-D_{13}+D_{18}$	$-D_{13}+D_{18}$	$-D_{13}+D_{18}$	$-D_{13}+D_{18}$	$-D_{13}+D_{18}$	$-D_{13}+D_{18}$	$-D_{13}+D_{18}$	$-D_{13}+D_{18}$	$-D_{13}+D_{18}$
$-D_8$	$-D_8$	$-D_8$	$-D_8$	$-D_8$	$-D_8$	$-D_8$	$-D_8$	$-D_8$	$-D_8$	$-D_8$	$-D_8$
D_7	D_7	D_7	D_7	D_7	D_7	D_7	D_7	D_7	D_7	D_7	D_7
D_{11}	D_{11}	D_{11}	D_{11}	D_{11}	D_{11}	D_{11}	D_{11}	D_{11}	D_{11}	D_{11}	D_{11}
$-D_{10}$	$-D_{10}$	$-D_{10}$	$-D_{10}$	$-D_{10}$	$-D_{10}$	$-D_{10}$	$-D_{10}$	$-D_{10}$	$-D_{10}$	$-D_{10}$	$-D_{10}$
D_9	D_9	D_9	D_9	D_9	D_9	D_9	D_9	D_9	D_9	D_9	D_9

Notice that an integer j appearing in the second component of an element of S_1 appears just once, and occurs again exactly once in the second component of an element of S_3 . Thus there is a natural pairing of elements in S_1 with those in S_3 . With this in mind, the matrix X representing the general derivation of $M_4^8(C)$ has the following form:

$$(3) \quad \begin{aligned} x_{ij} &= -x_{kj} \quad \text{for } (i, j) \in S_3, (k, j) \in S_1 \\ x_{ji} &= -x_{ij} \quad \text{for } (i, j) \in S_1 \cup S_3 \end{aligned}$$

Except for the cases of (3), the matrix is skew-symmetric. The 7×7 (skew-symmetric) submatrix with (1, 1)-entry in the (6, 6)-position of X , has entries satisfying:

$$(4) \quad \begin{aligned} x_{8,9} &= x_{6,11} - x_{7,10} \\ x_{8,10} &= x_{6,12} + x_{7,9} \\ x_{8,11} &= x_{7,12} - x_{6,9} \\ x_{8,12} &= -x_{6,10} - x_{7,11} \\ x_{10,11} &= x_{6,7} + x_{9,12} \\ x_{10,12} &= x_{6,8} - x_{9,11} \\ x_{11,12} &= x_{7,8} + x_{9,10} \end{aligned}$$

and is repeated six times along the main diagonal with a single 0 separating each repetition. The remaining entries in X are 0, except for some entries on four diagonals above and parallel to the main diagonal (and their four negative transposes). Let $D_{i,j}^\ell$ be the set $\{x_{i+k,j+k} : k=1, \dots, \ell\}$. Then these non-zero entries are of the following form:

$$(5) \quad D_{4,12}^8 = D_{36,44}^8 = \{\frac{1}{2}x_{2,29}\}, \quad D_{12,20}^8 = D_{28,36}^8 = \{\frac{1}{2}x_{3,45}\}$$

$$(6) \quad D_{4,20}^8 = \{\frac{1}{2}x_{2,37}\}, \quad D_{12,28}^{16} = \{\frac{1}{2}x_{1,5}\}$$

$$x_{29,45} = \frac{1}{2}x_{2,37}, \quad D_{29,45}^7 = \{-\frac{1}{2}x_{2,37}\}$$

$$(7) \quad x_{5,29} = \frac{1}{2}x_{1,13}, \quad D_{5,29}^7 = \{-\frac{1}{2}x_{1,13}\}, \quad D_{20,44}^8 = \{\frac{1}{2}x_{1,13}\}$$

$$(8) \quad x_{5,37} = x_{13,45} = \frac{1}{2}x_{1,21}, \quad D_{5,37}^7 = D_{13,45}^7 = \{-\frac{1}{2}x_{1,21}\}$$

3. Proof of theorem. We define a basis D_1, \dots, D_{20} for $\mathcal{D}(M_4^8(C))$ in the following way: D_1, \dots, D_6 are those derivations obtained from the general derivation X by setting in turn, for each $(i, j) \in S_1$, $x_{i,j}=1$ and $x_{k,\ell}=0$ if $(k, \ell) \neq (i, j)$. D_7, \dots, D_{20} are obtained from S_2 in the same way. A computer was again called upon to evaluate $D_i D_j - D_j D_i$ for $i, j=1, \dots, 20$, thus producing the multiplication table for the derivation algebra. We have attached this table to our article.

It is immediately apparent that the algebra is a direct sum of the algebra L_1 with basis D_1, \dots, D_6 and the algebra L_2 with basis D_7, \dots, D_{20} . We investigate first L_1 .

Letting $\langle \cdot, \cdot \rangle$ denote the Killing form, one checks that $\langle D_i, D_i \rangle = -1, i=1, \dots, 6$ and $\langle D_i, D_j \rangle = 0$ for $i \neq j, i, j \in \{1, \dots, 6\}$. It follows that L_1 is semi-simple. Now let i denote $(-1)^{1/2}$ and

$$(9) \quad \begin{aligned} H_1 &= -2i(D_1 + D_6), & H_2 &= 2i(D_6 - D_1) \\ X_1 &= iD_2 + D_3 + D_1 - iD_5, & X_2 &= iD_2 - D_3 + D_4 + iD_5 \\ Y_1 &= -iD_2 + D_3 + D_4 + iD_5, & Y_2 &= -iD_2 - D_3 + D_4 - iD_5 \end{aligned}$$

Defining J_i to be $FX_i \oplus FY_i \oplus FH_i, i=1, 2$, one verifies first that $L_1 \simeq J_1 \oplus J_2$, and then notes that $J_1 \simeq J_2 \simeq A_1$.

For L_2 , we have $\langle D_i, D_i \rangle = -16, i=7, \dots, 20$, and

$$(10) \quad \begin{aligned} \langle D_{12}, D_{14} \rangle &= \langle D_{10}, D_{16} \rangle = \langle D_{13}, D_{18} \rangle = \langle D_7, D_{20} \rangle = -8; \\ \langle D_{11}, D_{15} \rangle &= \langle D_9, D_{17} \rangle = \langle D_8, D_{19} \rangle = 8 \end{aligned}$$

From this, it is again easy to check that L_2 is semi-simple, and that $H = FD_7 + FD_{20}$ is a Cartan subalgebra. Letting $\alpha_1(D_7) = i, \alpha_1(D_{20}) = -i, \alpha_2(D_7) = 0, \alpha_2(D_{20}) = i$, and extending α_1 and α_2 to H by linearity, it is straightforward to show that the positive roots of L_2 are $\alpha_1, \alpha_2, \alpha_1 + \alpha_2, \alpha_1 + 2\alpha_2, \alpha_1 + 3\alpha_2, 2\alpha_1 + 3\alpha_2$, and hence $L_2 \simeq G_2$.

ACKNOWLEDGEMENTS. The authors wish to thank A. G. Buckley for his frequent assistance in writing several computer programmes. We also express appreciation to C. T. Anderson for inspiring and showing continual interest in the problem.

REFERENCES

1. A. A. Albert, *A Theory of Commutative Power-Associative Algebras*, Trans. Amer. Math. Soc. **69** (1950), 503–527.
2. N. Jacobson, “Lie Algebras”, Interscience, New York, 1962.
3. E. Kleinfeld, A Characterization of the Cayley Numbers, “Studies in Modern Algebra” (A. A. Albert, Editor), Studies in Mathematics, Vol. 2, Math. Assoc. of America, Prentice-Hall, New Jersey, 1963, 126–143.
4. R. D. Schafer, *The Exceptional Simple Jordan Algebras*, Amer. J. Math. **70** (1948), 82–94.

MEMORIAL UNIVERSITY
ST. JOHN'S, NEWFOUNDLAND
CANADA

ROYAL ROADS MILITARY COLLEGE,
F.M.O. VICTORIA, B.C.,
CANADA