

Cell Loss from the Nucleus Basalis of Meynert in Alzheimer's Disease

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ABSTRACT: We examined the degree of neuronal loss from the nucleus basalis of Meynert (nbM) in two groups of Alzheimer patients differing in the degree of intellectual impairment. Significant cell loss from the nbM was found only in the more severely demented group of patients. Mean cell counts (per 10 μ paraffin section) were compiled separately for the anterior, intermediate and posterior subdivisions of the human nbM in three groups of subjects: Group 1 (N = 4) was severely demented and was untestable on the Extended Scale for Dementia (ESD) for at least the last two years of life; Group 2 (N = 4) was less demented and had completed at least one ESD test within 12 months of death; Group 3 (five controls) had died of non-neurological causes. In Group 2 there was a small (but insignificant) trend toward cell loss in the anterior subdivision, and a normal complement of neurons in both the intermediate and posterior subdivisions. There was, however, significant cell loss from all subdivisions of Group 1. How these cell counts may relate to the severity of the dementia is discussed.

RÉSUMÉ: Perte cellulaire au niveau du nucleus basalis de Meynert dans la maladie d'Alzheimer. Nous avons examiné l'étendue de la perte de neurones au niveau du nucleus basalis de Meynert (nbM) chez deux groupes de patients atteints de la maladie d'Alzheimer et manifestant un degré différent d'atteinte intellectuelle. Nous avons retrouvé une perte cellulaire significative au niveau du nbM seulement dans le groupe de patients dont la démence était plus sévère. Nous avons compilé un décompte cellulaire moyen (par 10 μ , de section traitée à la paraffine) pour la partie antérieure, intermédiaire et postérieure du nbM humain chez trois groupes de sujets: le groupe 1 (N = 4) souffrait de démence sévère et ces patients n'étaient pas évaluables au moyen de l'échelle élargie pour la démence (EED) pendant une période d'au moins deux ans précédant leur décès; le groupe 2 (N = 4) souffrait de démence moins sévère et ces patients avaient pu compléter au moins une épreuve EED pendant les 12 mois précédant leur décès; le groupe 3 (5 témoins) était décédé d'une cause autre (non neurologique). Dans le groupe 2, il y avait une légère tendance (non significative) vers une perte cellulaire au niveau de la région antérieure et un décompte normal des neurones dans les régions intermédiaire et postérieure. Cependant, dans le groupe 1, il y avait une perte cellulaire significative au niveau de toutes les régions du nbM. Nous discutons de la façon dont ces décomptes cellulaires peuvent être reliés à la sévérité de la démence.

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Our interest in the nucleus basalis of Meynert (nbM) in Alzheimer's disease arose as part of an interdisciplinary study that is comparing the severity of pathological changes in several brain regions with the severity of the dementia. In the neocortex of Alzheimer patients the density of neuritic plaques¹ and neurofibrillary tangle-bearing neurons² increases in proportion to the severity of dementia. Cortical plaque and tangle counts^{3,4} also show a proportional relationship to the degree of neuronal loss from the nbM suggesting that the extent of this cell loss should also vary in accordance to the severity of the cognitive decline. The purpose of this preliminary study was to compare the severity of cell loss from the nbM in two groups of Alzheimer patients who differed in the degree of intellectual impairment.

METHODS AND MATERIALS

More than 200 patients with Alzheimer-type dementia have been enrolled in a study at the University of Western Ontario (U.W.O.) in London, Ontario. All patients undergo neurological and psychiatric assessments, supplemented by periodic psychometric tests (see below) used to quantify the level of mental impairment. We studied the nbM in eight patients in whom dementia had been evident during life and who had neuropathological confirmation of Alzheimer's Disease after death.

Clinical History

Degree of Cognitive Impairment The degree of cognitive impairment was determined using the Extended Scale for Dementia

(ESD). The ESD, which measures language disturbances, memory loss and general cognitive dysfunction,⁵ reliably indicates the level of mental impairment. Out of a maximal score of 250, presumptive Alzheimer patients score progressively lower on the ESD over time, eventually being unable to complete the test. Four brains from patients who were untestable on the ESD during the last two years of life comprised Group 1 (very severe dementia). A further four brains, comprising Group 2, were obtained from less demented patients who had successfully completed at least 1 ESD test within 12 months of death. A control group (Group 3) was formed from the brains of five individuals with no history of neurological or psychiatric illness; these latter individuals were not part of the U.W.O. dementia study and thus had not been administered the ESD.

Neurological Summary The presenting clinical symptoms in all of the Alzheimer patients were memory loss (reported as forgetfulness) and associated confusion. From these initial symptoms all patients then experienced a generalized, progressive deterioration of their cognitive function. At the time of death all patients of Group 1 (see Table 1) were unable to perform even simple tasks of daily living, including getting dressed and maintaining personal hygiene. In general, the patients of Group 2 remained somewhat oriented to place and/or person up until at least a year before death, and although they had poor judgment and insight into their condition and required nursing care, they could feed and clothe themselves, and could respond to simple commands. The patients in Group 3 had died of non-neurological causes and their clinical history showed no evidence of a dementing (or of any other) neurological illness.

Neuropathology

Harvesting of brain tissue The brains were removed during autopsies performed at University Hospital or one of the affiliated teaching hospitals of U.W.O. (Victoria Hospital and St. Joseph's Hospital). In most cases the cerebral hemispheres and brainstem were bisected in the fresh state in the midsagittal plane and the left half was fixed in 20% formalin in phosphate buffer; the right half of each brain was then frozen at -80°C for subsequent use by other investigators. Although one of the control brains was fixed whole in 20% formalin only the left nbM was studied.

After 7 to 10 days of formalin fixation, tissue samples were obtained from corresponding regions of the frontal, temporal, parietal and occipital lobes of each brain. The entire antero-posterior extent of the left hippocampal formation was also sampled after it had been removed *en bloc* from the temporal lobe. The left cerebrum was then sliced serially in the coronal plane, each slice being 3 to 4 mm thick. The entire left basal forebrain was sampled starting anteriorly at the coronal level of the septum, and continuing to just behind the mammillary body. In addition the posterior thalamus, anterior corpus striatum, hypothalamus, amygdala, midbrain, pons, medulla, cerebellum and (when available) spinal cord were also sampled for neuropathological examination.

Neuropathology summary Routine neuropathological support for the clinical diagnosis of Alzheimer's Disease was obtained from Dr. M.J. Ball (Department of Pathology, U.W.O.). In Groups 1 and 2 (see Table 1) only the left half of the brain was available for neuropathological examination. Macroscopically, these brains showed a mild to moderate degree of generalized cortical atrophy, and patchy atherosclerosis, which was mild to

moderate in severity with no significant stenoses (except Case #4), in the vessels of the circle of Willis. Other than a fairly recent hemorrhagic infarct (2x3x4 cm) in the left middle and inferior frontal gyri of one brain (Case #8), there were no other significant macroscopic pathological changes in any of these brains. Microscopically, the hippocampal formation in each brain of Group 1 was severely afflicted with neuritic plaques, neurofibrillary tangles, granulovacuolar degeneration, rod-like bodies of Hirano and nerve cell loss (from the pyramidal layer). Tangles, plaques and cell loss were also prominent in the amygdala, and in the second frontal, inferior temporal and calcarine areas of neocortex. Plaques and/or tangles were also common in the hypothalamus and thalamus. The most common lesions in Group 2 brains were neurofibrillary tangles, neuritic plaques and neuron loss, these being found in the hippocampal formation and amygdala, the calcarine area, and in the inferior temporal and second frontal gyri. Granulovacuolar degeneration and Hirano bodies were also common in the hippocampal formation of all but one of these patients (Case #5); the hypothalamus and thalamus showed only an occasional neuritic plaque and neurofibrillary tangle. There were no Pick inclusion bodies in either Group 1 or 2; however, a few Lewy inclusion bodies were found in the neurons of the substantia nigra of one brain (Case #3).

In Group 3 the neuropathological investigation was confined to the left hemisphere for four of the five control brains. In the gross state, there was very mild generalized cortical atrophy only in one brain (Case #9). Atherosclerotic changes were mild to moderate in the vessels of the circle of Willis, with significant stenoses only in Case #12. At the microscopic level neuritic plaques, neurofibrillary tangles, granulovacuolar degeneration and Hirano bodies were rare in all brains. In the left occipital lobe of Case #12 a cystic old infarct (3.5 x 3 x 2 cm) had destroyed much of the cortex, as well as some of the subjacent white matter. In the same brain there were a few small foci of acute ischemic cell loss, mainly within Rose's H1 field of the hippocampus.

Quantitation of Cell Loss in the Nucleus Basalis of Meynert

All basal forebrain blocks were embedded in paraffin, serially sectioned at a thickness of 10 μ , and every 10th section mounted onto glass slides. These sections, which were stained with cresyl violet acetate and chromoxane cyanine R (a myelin stain)⁶, were scanned to identify the anterior, intermediate and posterior subdivisions of the nbM.⁷ The anterior subdivision (Figure 1A) extends from the level of the diagonal band nuclei to the caudal aspect of the crossing of the anterior commissure. The anterior hypothalamus forms the medial border of the intermediate subdivision (Figure 1B), which is bounded dorsally by the globus pallidus, ventrally by the rostral amygdala, and laterally by the posterior limb of the anterior commissure. The posterior subdivision (Figure 1C) lies caudal to the posterior limb of the anterior commissure, dorsolateral to the optic tract, and ventral to the globus pallidus. For cell counts four to eight sections (spaced 100 μ apart) were chosen from the main body of each subdivision; the average number of nucleolated nbM neurons (regardless of size or staining property) per histological section was quantified at 250X magnification on a Wild M501 sampling stage microscope using a square ocular graticule. These raw cell counts were corrected using Abercrombie's formula.⁸ To compute Abercrombie's correction fac-

Table 1

Group #	Dementia	Case #	Age at Death	Duration of illness (yrs)	Sex	Fresh Brain weight (g)
I	Severe	1.	74	13	M	1150
		2.	80	12	M	1205
		3.	83	5	F	1205
		4.	85	14	F	875
		Mean ±SEM	80.5 ±2.8	11.0 ±2.4		1108.8 ±91.2
II	Less severe	5.	63	6	M	1040
		6.	78	8	F	1020
		7.	79	11	F	1310
		8.	82	11	F	1180
		Mean ±SEM	75.5 ±4.9	9.0 ±1.4		1137.5 ±78.1
III	Absent	9.	63	—	M	1470
		10.	70	—	F	1160
		11.	75	—	M	1480
		12.	77	—	F	1250
		13.	95	—	M	1254
Mean ±SEM	76.0 ±6.0			1322.8 ±144.0		

tor the mean maximum nucleolar diameter of at least 100 neurons in each subdivision of the nbM was measured on a HIPAD digitizer that was connected to an Apple IIc minicomputer.

In monkeys⁷ and humans⁹ the majority of nbM neurons are cholinergic, suggesting that the use of immunohistochemical techniques to count cholinergic neurons^{10,11} might have been more appropriate. However, while an immunohistochemical stain for ChAT would permit identification of these cholinergic neurons in the brain of a non-demented person, decreased levels of this enzyme in Alzheimer's Disease^{12,13} make it less reliable as a marker in the nbM of Alzheimer patients. We therefore felt that immunohistochemical and/or biochemical studies on ChAT had to wait until more was known about the factors determining the extent of involvement of the nbM, which is comprised also of non-cholinergic neurons, in the pathogenesis of Alzheimer's Disease.

The cell counts in Groups 1 (severely demented), 2 (less demented) and 3 (control) were analyzed using a two-way analysis of variance. The two independent variables were: 1) severity of dementia, and 2) subdivision of nbM.

RESULTS

Serial sections through the basal forebrain blocks were scanned to identify the anterior, intermediate and posterior subdivisions of the nbM in each brain. Then four to eight sections (spaced 100 μ apart) were chosen from the main body of each subdivision, the number of nucleolated neurons were counted, and the average number of cells per 10 μ histological section quantified. Group means (\pm S.E.M.) were calculated using these average cell counts. Neurons in each of the three subdivisions were counted in both Groups 1 and 2 (except Case #7), but in Group 3 (control) the sections did not include the anterior subdivision in one brain (Case #12) and the posterior subdivision of a second brain (Case #13).

The results are shown in Figure 2. An analysis of variance revealed a significant group effect ($p < 0.001$) as well as a significant subdivision effect ($p < 0.001$). The latter result was due to

the fact that even in control subjects the mean cell counts per histological section got progressively lower from the anterior (266.3 ± 44.7) through the intermediate (132.7 ± 7.8) to the posterior (90.6 ± 16.2) subdivision. Our primary interest was a comparison between groups, so we did a *posthoc* analysis on the cell counts of each group at each subdivision. This *posthoc* analysis revealed that none of the cell counts for Group 2 (i.e. less demented patients) were significantly less than those of Group 3 (Dunn test, $p > 0.05$), whereas all the counts for Group 1 were indeed significantly smaller (Dunn test, $p < 0.006$). The cell counts for Groups 1 and 2 were significantly different only at the intermediate and posterior subdivisions of the nbM (Dunn test, $p < 0.006$).

DISCUSSION

In the intermediate and posterior subdivisions, but not in the anterior portion of the nbM, the degree of neuronal loss increases in accordance with the severity of the dementia. Thus, the Group 2 Alzheimer patients (although demented) contained a normal complement of neurons in the intermediate and posterior subdivisions, whereas the more severely demented patients of Group 1 had significantly fewer neurons in these two locations. In contrast, the mean cell counts for the anterior subdivision of Groups 1 and 2 were not statistically different, nor was the mean cell count for the anterior subdivision of Group 2 significantly different from that of the control group, even though a visual inspection of Figure 2 is suggestive of cell loss. However, the fact that the latter difference did not reach statistical significance may be due to the small number of brains examined. Thus, we must allow for the possibility that neuronal loss occurs earlier in the anterior portion of the nbM than it does in either the intermediate or posterior subdivisions.

A Comparison With Previous Studies

The nbM is undoubtedly involved in the pathogenesis of Alzheimer's Disease,^{14,15} but the true extent of this involvement has yet to be rigorously determined; the reports have

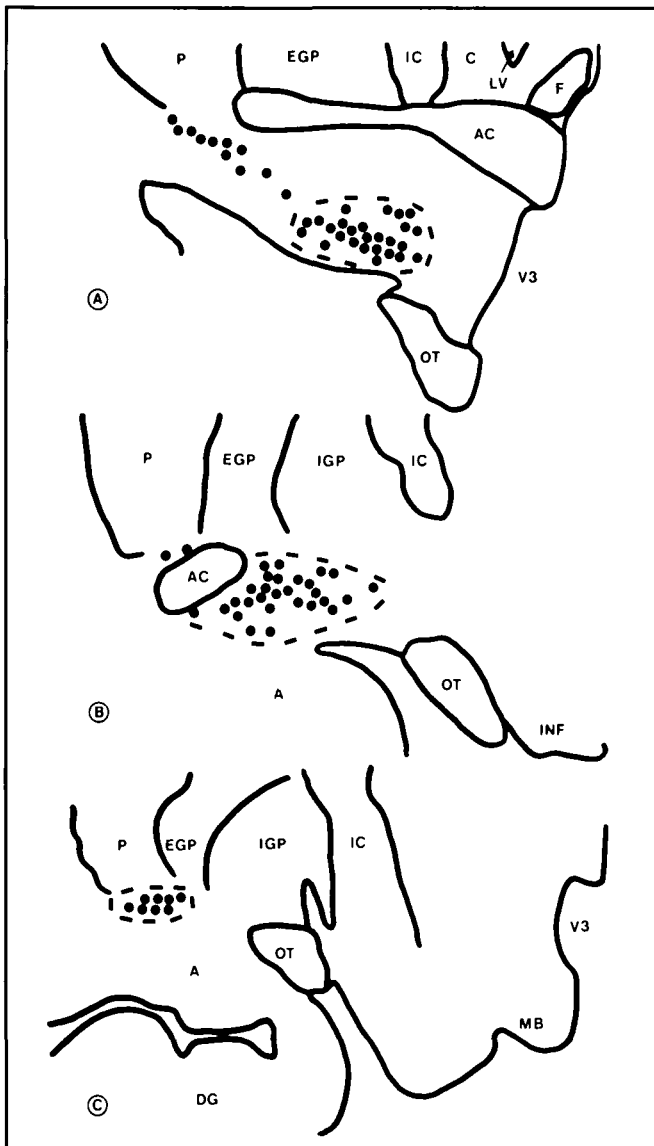


Figure 1 — Diagram showing the distribution of neurons in three representative coronal sections through the anterior (A), intermediate (B) and posterior (C) subdivisions of the nbM for case #9 (see Table 1). In each figure the dashed lines indicate the borders of each respective subdivision. The positions of interstitial neurons (e.g. in the medullary laminae) are not indicated. The location of clusters of magnocellular neurons (i.e., >30 μ long) was used to indicate the position and extent of the nbM in each histological section. All neurons, regardless of size or staining properties, that fell within 200 μ of these cell clusters were considered part of the nbM. In A the neurons lateral to the enclosed area appear to be a rostral extension of the intermediate group, but were not included in the morphometric analysis of either cell group. The sections were traced using an X-Y recorder that was connected to the sampling stage of a Wild-Leitz M501 semi-automated microscope by means of two linear potentiometers. Each ink dot denotes the position of about 10 nucleolated nbM neurons.

Abbreviations:

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|--------------------------------|------------------------|
| A — amygdala | IC — internal capsule |
| AC — anterior commissure | INF — infundibulum |
| C — caudate | LV — lateral ventricle |
| F — fornix | MB — mammillary body |
| EGP — external globus pallidus | OT — optic tract |
| IGP — internal globus pallidus | P — putamen |
| V3 — third ventricle | |

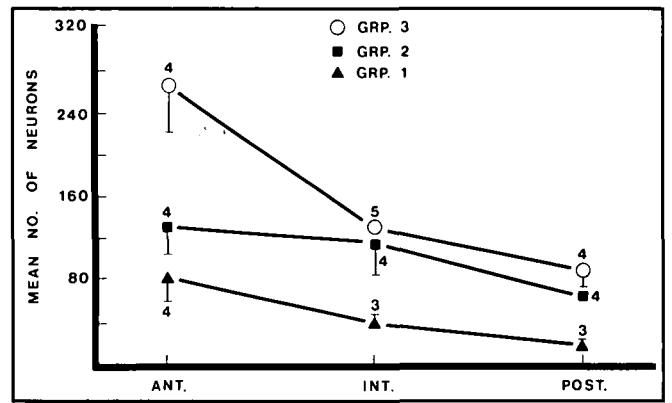


Figure 2 — The mean number (± SEM) of nucleolated neurons per 10 μ histological section at each subdivision of the left nbM for each of groups 1, 2 and 3 (see Table 1). These mean cell counts were derived as follows. For each brain, the relevant neurons were counted in four to eight histological sections, these counts were corrected using Abercrombie's⁸ formula, and the average number of cells per 10 μ paraffin section was calculated. Each symbol thus represents the mean of three to five average corrected cell counts; the number by each symbol indicates the number of brains that were examined. See the text for a description of the statistical analysis.

varied from an insignificant 18% decrease¹⁰ to an extensive 90% depletion.¹⁶ At least two factors probably contribute to this variability. First, our data suggest that variable degrees of cell loss would be the expected result if the patient population were heterogeneous in terms of the severity of dementia. Using a retrospective analysis of the clinical records previous studies could only determine the general mental ability of each patient and thus could not categorize the brains in terms of the severity of the cognitive decline. Thus, the variability may be due, at least partially, to the sampling of patients with different levels of intellectual impairment; i.e., at different stages in the disease process.

Second, it is probable that variations in methodological design also contributed to the variability in degree of cell loss. For example, only Tagliavini and Pilleri¹⁷ and Arendt *et al*¹⁸ used Abercrombie's⁸ correction factor to control for differences in nucleolar size. Those studies not correcting for split nucleoli probably exaggerated the extent of cell loss because the nucleolar volume in nbM neurons is generally reduced by 30-35% in the brains of Alzheimer patients.^{17,19} Furthermore, the various studies did not examine comparable parts of the nbM. Each study usually examined only a few histological sections per brain and extrapolated to the whole nbM.^{10,16,17,20-25} In the absence of a more extensive evaluation of the nbM, which extends for about 15 mm in the rostrocaudal direction, it is impossible to estimate the extent to which the whole nuclear group may be involved in Alzheimer's Disease. For this reason we chose to look at three different subdivisions of the nbM in each brain. A third criticism is that several of the studies^{20,22-25} counted only the magnocellular neurons (i.e. >30 μ long), which on average account for only 60% of the total number per histological section in each of the three subdivisions (R. Doucette, unpublished data). A few additional reports counted all "large" nucleolated nbM neurons, but failed to state their size criteria.¹⁶⁻¹⁸ The cell atrophy that is reported to occur in the nbM in Alzheimer's Disease^{17,19} makes this size factor an invalid criterion for exclusion from the sample. Although the magnocellular neurons may ultimately prove to play a more important role in the disease

process, it is methodologically unsound to randomly exclude neurons from the study simply on the basis of cell size. In fact, according to Mesulam *et al*⁷ both large and small nbM neurons (in the monkey brain) stain immunohistochemically for ChAT and members of both cell populations have direct projections to the neocortex. It was for these reasons that we chose to count all nucleolated nbM neurons. Finally, the controls of Tagliavini and Pilleri¹⁷ and of Nagai *et al*¹¹ were thirteen years younger than their group of Alzheimer patients. Cell numbers in the nbM normally decrease with age⁹ (however, see Chui *et al*²⁶), so these age differences could have exaggerated any observed neuronal "fall-out", which the authors attributed to Alzheimer's Disease.

Concluding Statement

If pathological changes in the nbM in Alzheimer's Disease contribute to the dementia from disease onset until death, then the mechanism by which this occurs may differ for the early and the later stages of the disease. For example, insofar as cell loss may relate to intellectual impairment, it is unlikely that a loss of neurons from the intermediate and posterior subdivisions contributes to the initial cognitive decline that occurs in Alzheimer's Disease. Thus, during the initial stages it may be abnormally functioning nbM neurons that contribute to the cognitive decline, while in later stages the most significant pathological change in the intermediate and posterior subdivisions would be an actual loss of neurons. Our data leave open the question of whether cell loss from the anterior subdivision may contribute to the mental impairment during early stages of the disease. In addition, our findings have important implications in regard to the results of previous neurochemical studies. Cortical ChAT loss can occur very early in the clinical presentation of Alzheimer's Disease²⁷ and may in fact occur with little or no enzyme loss in the nbM itself.²¹ Perhaps nbM neurons, at least during the initial stages of the disease, continue to synthesize normal quantities of ChAT but simply fail to transport it to their terminals. Although we only examined the left nbM, the study of Arendt *et al*,²⁸ in which the same three subdivisions of both the right and left nbM were examined, suggests that any cell loss is probably bilaterally symmetrical. Nevertheless, any attempt to study these clinical and neurochemical implications should take special care to include an examination of both the right and left nbM in each brain.

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