

Gauss and Eisenstein Sums of Order Twelve

S. Gurak

Abstract. Let $q = p^r$ with p an odd prime, and \mathbf{F}_q denote the finite field of q elements. Let $\text{Tr} : \mathbf{F}_q \rightarrow \mathbf{F}_p$ be the usual trace map and set $\zeta_p = \exp(2\pi i/p)$. For any positive integer e , define the (modified) Gauss sum $g_r(e)$ by

$$g_r(e) = \sum_{x \in \mathbf{F}_q} \zeta_p^{\text{Tr } x^e}$$

Recently, Evans gave an elegant determination of $g_1(12)$ in terms of $g_1(3)$, $g_1(4)$ and $g_1(6)$ which resolved a sign ambiguity present in a previous evaluation. Here I generalize Evans' result to give a complete determination of the sum $g_r(12)$.

1 Introduction

Let $q = p^r$ with p an odd prime, and \mathbf{F}_q denote the finite field of q elements. Fix a generator γ for the multiplicative group \mathbf{F}_q^* of \mathbf{F}_q . Then $G = \gamma^{(q-1)/(p-1)}$ generates \mathbf{F}_p^* . Let $\text{Tr} : \mathbf{F}_q \rightarrow \mathbf{F}_p$ be the usual trace map and set $\zeta_m = \exp(2\pi i/m)$ for any positive integer m . For a character χ of \mathbf{F}_q^* define the Gauss sum $G_r(\chi)$ by

$$(1) \quad G_r(\chi) = \sum_{x \in \mathbf{F}_q^*} \chi(x) \zeta_p^{\text{Tr } x}$$

which satisfies

$$(2) \quad G_r(\chi)G_r(\chi^{-1}) = \chi(-1)p^r \quad \text{for } \chi \neq 1$$

(We will write $G(\chi)$ for $G_1(\chi)$.) For $r > 1$, the value of $G_r(\chi)$ can be expressed in terms of the Eisenstein sums

$$(3) \quad E_r(\chi) = \sum_{x \in \mathbf{F}_q^*, \text{Tr } x=1} \chi(x);$$

namely (chiefly, Theorem 12.1.1 in [2]),

$$(4) \quad G_r(\chi) = \begin{cases} E_r(\chi)G(\chi^*) & \text{if } \chi^* \text{ is nontrivial} \\ -pE_r(\chi) & \text{if } \chi^* \text{ is trivial,} \end{cases}$$

where χ^* denotes the restriction of χ to \mathbf{F}_p^* .

Received by the editors October 15, 2001; revised January 2, 2002.
 AMS subject classification: 11L05, 11T24.
 ©Canadian Mathematical Society 2003.

When χ is nontrivial, the Davenport-Hasse Theorem on lifted Gauss sums can be applied to give a more refined result (chiefly, Theorem 12.1.3 in [2] or see [6]). Namely, if χ has order $k > 1$ and l is the least positive integer such that $k|p^l - 1$, then $l|r$ and χ is the lift of some character ψ on $\mathbf{F}_{p^l}^*$ (that is; $\chi = \psi \circ N_{\mathbf{F}_{p^l}/\mathbf{F}_p}$, where N is the relative norm map) and for $s = r/l$,

$$(5) \quad \frac{E_r(\chi)}{E_l^s(\psi)} = \begin{cases} p^{s-1} & \text{if } \psi^* \text{ is trivial} \\ (-1)^s G^s(\chi^*)/p & \text{if } \psi^* \text{ is nontrivial and } \chi^* \text{ trivial} \\ (-1)^{s-1} G^s(\psi^*)/G(\psi^{s*}) & \text{if } \chi^* \text{ is nontrivial} \end{cases}$$

For any positive integer e , define the modified Gauss sum $g_r(e)$ by

$$(6) \quad g_r(e) = \sum_{x \in \mathbf{F}_q} \zeta_p^{\text{Tr } x^e}$$

(We write $g(e)$ for $g_1(e)$.) The modified Gauss sums are intimately related to the Gauss sums $G_r(\chi)$ for characters χ of order dividing e . Normalizing such characters χ so $\chi_j(\gamma) = \zeta_e^j$ for $1 \leq j \leq e$, one has

$$(7) \quad g_r(e) = \sum_{i=1}^{e-1} G_r(\chi_i).$$

For small values of e , the sums $E_r(\chi)$ and $g_r(e)$ are known or easily derived using (4) and (7). (particularly for $e|6$ or $e|8$.) The modern treatment of Eisenstein sums $E_r(\chi)$ stems for the seminal work of Williams, Hardy and Spearman [6], in which they have tabulated the values of $E_r(\chi)$ for $e = 2, 3, 4, 6, 8$. See also [2], where Berndt, Evans and Williams give the value of $g(e)$ for $e = 2, 3, 4, 6, 8, 12$, and of $g_r(e)$ for $e = 2, 3, 4$, leaving the computation of $g_r(6)$ and $g_r(8)$ as exercises. Recently, Evans [3] gave an elegant determination of $g(12)$ in terms of $g(3)$, $g(4)$ and $g(6)$ which resolved a sign ambiguity present in a previous evaluation. Here I generalize Evans' result to give a complete determination of the modified Gauss sum $g_r(12)$.

2 Eisenstein Sums of Order 12

Before giving the evaluation of the Eisenstein sums $E_r(\chi)$ for χ having order 12, some comments concerning Jacobi sums are in order. Let χ and ψ be characters of \mathbf{F}_q^* , where $q = p^r$. The Jacobi sum $J_r(\chi, \psi)$ is defined by

$$(8) \quad J_r(\chi, \psi) = \sum_{x \in \mathbf{F}_q^* - \{1\}} \chi(x)\psi(1-x),$$

and satisfies

$$(9) \quad J_r(\chi, \psi) = \frac{G_r(\chi)G_r(\psi)}{G_r(\chi\psi)}$$

and

$$(10) \quad J_r(\chi, \psi) = \psi(-1)J_r(\chi^{-1}\psi^{-1}, \psi) = \chi(-1)J_r(\chi^{-1}\psi^{-1}, \chi)$$

if χ, ψ and $\chi\psi$ are all non-trivial (chiefly Theorem 2.1.4 and 2.1.5 in [2]). (We write as before $J(\chi, \psi)$ for $J_1(\chi \cdot \psi)$.) The explicit evaluation of $J(\chi, \psi)$ has been tabulated by Berndt, Evans and Williams [2] for characters χ and ψ of \mathbf{F}_p^* of order dividing 12. In particular, if ψ is the normalized character of \mathbf{F}_q^* satisfying $\psi(G) = \zeta_{12}$, then the Jacobi sums $J(\psi^m, \chi^n)$, with m or n relatively prime to 12, may be determined using (10) from the values $J(\psi, \psi^n)$ given below.

For a prime $p \equiv 1 \pmod{3}$, write $4p = r_3^2 + 3s_3^2$ with r_3 and s_3 uniquely determined by the conditions $r_3 \equiv 1 \pmod{3}$, $s_3 \equiv 0 \pmod{3}$ and $3s_3 \equiv (2G^{(p-1)/3} + 1)r_3 \pmod{p}$. Put $Z = \text{ind}_G 2$ and $T = \text{ind}_G 3$. Then the quantity

$$(11) \quad a_3 + ib_3\sqrt{3} = \zeta_3^{2Z}(r_3 + i\sqrt{3}s_3)/2$$

satisfies $a_3^2 + 3b_3^2 = p$ with $a_3 \equiv -1 \pmod{3}$ and $3b_3 \equiv (2G^{(p-1)/3} + 1)a_3 \pmod{p}$. Similarly, for a prime $p \equiv 1 \pmod{4}$, write $p = a_4^2 + b_4^2$ with a_4 and b_4 uniquely determined by the conditions $a_4 \equiv -(-1)^Z \pmod{4}$ and $b_4 \equiv a_4G^{(p-1)/4} \pmod{p}$. For a prime $p \equiv 1 \pmod{12}$, set

$$(12) \quad a_{12} + ib_{12} = (-1)^{T/2+Z}(a_4 + ib_4).$$

(Note that 3 is a quadratic residue modulo p here so T is even.) If c_{12} is the unique 4-th root of unity determined by

$$(13) \quad c_{12} = \begin{cases} \pm 1 \text{ with } c_{12} \equiv -a_4 \pmod{3} & \text{if } 3|b_4 \\ \pm i \text{ with } c_{12} \equiv -ib_4 \pmod{3} & \text{if } 3|a_4, \end{cases}$$

then

$$(14) \quad a_{12} + ib_{12} = c_{12}^2(a_4 + ib_4)$$

from (12) and Lemma 3.5.1 in [2].

In terms of the quantities defined above, we have (chiefly [2, p. 116])

Proposition 1 *The values $J(\psi, \psi^n)$ ($0 \leq n \leq 6$) are*

$$\begin{aligned} J(\psi, \psi^0) &= 0 \\ J(\psi, \psi) &= c_{12}^2 \zeta_6^{-Z}(a_4 + ib_4) \\ J(\psi, \psi^2) &= \bar{c}_{12} \zeta_3^{-Z}(r_3 + i\sqrt{3}s_3)/2 \\ J(\psi, \psi^3) &= (-1)^Z \bar{c}_{12}(a_4 + ib_4) \\ J(\psi, \psi^4) &= (r_3 + i\sqrt{3}s_3)/2 \\ J(\psi, \psi^5) &= (-1)^{T/2}(a_4 + ib_4) \\ J(\psi, \psi^6) &= c_{12}^2(a_4 + ib_4) \end{aligned}$$

I am now ready to give the values of the Eisenstein sums $E_r(\chi)$ of order 12, where χ is the (normalized) character of \mathbb{F}_q^* satisfying $\chi(\gamma) = \zeta_{12}$, noting that r is even if $p \not\equiv 1 \pmod{12}$. The results given for $p \equiv 5 \pmod{12}$ agree with the evaluation of the Eisenstein sums of order 12 for \mathbb{F}_{p^2} appearing in [2, p. 433].

Theorem 1 *The Eisenstein sum $E_r(\chi)$ is explicitly given as follows:*

- (a) *If $p \equiv 1 \pmod{12}$, say $p = 12k + 1$, then $E_r(\chi) = \epsilon p^\alpha \pi^\beta \lambda^\delta$ where $\pi = a_4 + ib_4$ and $\lambda = (r_3 + i\sqrt{3}s_3)/2$, with*

$$\epsilon = \begin{cases} 1 & \text{if } r \equiv 0, 1, 5 \pmod{12} \\ -1 & \text{if } r \equiv 4, 8 \pmod{12} \\ (-1)^k & \text{if } r \equiv 7, 11 \pmod{12} \\ (-1)^k c_{12} & \text{if } r \equiv 3 \pmod{12} \\ -(-1)^{T/2} & \text{if } r \equiv 6 \pmod{12} \\ \bar{c}_{12} & \text{if } r \equiv 9 \pmod{12} \\ -(-1)^{T/2} \zeta_6^{2Z} & \text{if } r \equiv 2 \pmod{12} \\ -(-1)^{T/2} \zeta_6^{4Z} & \text{if } r \equiv 10 \pmod{12}, \end{cases}$$

$$\alpha = [(r - 1)/12],$$

$$\beta = \begin{cases} r/2 & \text{if } r \equiv 0 \pmod{2} \\ (r - 1)/2 & \text{if } r \equiv 1, 3, 5 \pmod{12} \\ (r + 1)/2 & \text{if } r \equiv 7, 9, 11 \pmod{12} \end{cases}$$

and

$$\delta = \begin{cases} r/3 & \text{if } r \equiv 0 \pmod{3} \\ (r - 1)/3 & \text{if } r \equiv 1, 4, 7 \pmod{12} \\ (r - 2)/3 & \text{if } r \equiv 2 \pmod{12} \\ (r + 1)/3 & \text{if } r \equiv 5, 8, 11 \pmod{12} \\ (r + 2)/3 & \text{if } r \equiv 10 \pmod{12}. \end{cases}$$

- (b) *If $p \equiv 5 \pmod{12}$, say $p = 12k + 5$, then*

$$E_r(\chi) = \begin{cases} -(-1)^{k+(r-2)/4} \beta i p^{(r-2)/4} \pi^{r/2} & \text{if } r \equiv 2 \pmod{4} \\ (-1)^{r/4} p^{r/4-1} \pi^{r/2} & \text{if } r \equiv 0 \pmod{4}, \end{cases}$$

where $\beta = \pm 1$ satisfies $\beta \equiv a_4 b_4 \pmod{3}$.

- (c) *If $p \equiv 7 \pmod{12}$, say $p = 12k + 7$, then*

$$E_r(\chi) = \begin{cases} -(-1)^{r(k+1)/2} p^{(r-2)/3} \lambda^{(r+1)/3} & \text{if } r \equiv 2 \pmod{6} \\ -(-1)^{r(k+1)/2} p^{(r-1)/3} \lambda^{(r-1)/3} & \text{if } r \equiv 4 \pmod{6} \\ (-1)^{r(k+1)/2} p^{r/3-1} \lambda^{r/3} & \text{if } r \equiv 0 \pmod{6}. \end{cases}$$

(d) If $p \equiv 11 \pmod{12}$, say $p = 12k + 11$, then $E_r(\chi) = (-1)^{kr/2} p^{r/2-1}$.

Proof (a) If $p = 12k + 1$ then χ is the lift of the character ψ of \mathbb{F}_p satisfying $\psi(G) = \zeta_{12}$. In addition, $\chi(G) = \zeta_{12}^{1+p+\dots+p^{r-1}} = \zeta_{12}^r$ so $\chi^* = \psi^r$. Thus, by (5)

$$(15) \quad E_r(\chi) = \begin{cases} G^r(\psi)/p & \text{if } r \equiv 0 \pmod{12} \\ (-1)^{r-1} G^r(\psi)/G(\psi^r) & \text{if } r \not\equiv 0 \pmod{12} \end{cases}$$

since $E_1(\psi) = 1$. From Proposition 1 and (9), one finds

$$\begin{aligned} G^2(\psi)/G(\psi^2) &= J(\psi, \psi) = c_{12}^2 \zeta_6^{-Z} \pi, \\ G^3(\psi)/G(\psi^3) &= J(\psi, \psi)J(\psi, \psi^2) = (-1)^Z c_{12} \pi \lambda, \\ G^4(\psi)/G(\psi^4) &= J(\psi, \psi)J(\psi, \psi^2)J(\psi, \psi^3) = \pi^2 \lambda, \\ G^5(\psi)/G(\psi^5) &= J(\psi, \psi)J(\psi, \psi^2)J(\psi, \psi^3)J(\psi, \psi^4) = \pi^2 \lambda^2, \quad \text{and} \\ G^6(\psi) &= (-1)^{T/2} \sqrt{p} \pi^3 \lambda^2. \end{aligned}$$

Then for $r \equiv r' \pmod{12}$ with $0 < r' \leq 6$, $E_r(\chi)$ equals

$$(-1)^{r-1} G^{r-r'}(\psi) G^{r-r'}(\psi)/G(\psi^{r'}) = (-1)^{r-1} (p\pi^6 \lambda^4)^{(r-r')/12} G^r(\psi)/G(\psi^r)$$

which yields the desired forms for $E_r(\chi)$ when $r \equiv 1, 2, 3, 4, 5$ or $6 \pmod{12}$. For $r \equiv r' \pmod{12}$ with $6 < r' < 12$, it follows from (2) and (15) that

$$E_r(\chi) = \frac{(-1)^{r-1} G^{r+(12-r')}(\psi)}{G^{12-r'}(\psi) G(\psi^{r'})} = \frac{(-1)^{kr+r-1} G(\psi^{12-r'})}{p G^{12-r'}(\psi)} (p\pi^6 \lambda^4)^{(r-r')/12+1}$$

which yields the desired expressions for $E_r(\chi)$ when $r \equiv 7, 8, 9, 10$ and $11 \pmod{12}$. Finally, if $r \equiv 0 \pmod{12}$ then $E_r(\chi) = p^{-1} (p\pi^6 \lambda^4)^{r/6} = p^{r/12-1} \pi^{r/2} \lambda^{r/3}$ from (15).

(b) If $p = 12k + 5$ then χ is the lift of the character ψ of \mathbb{F}_{p^2} satisfying

$$\psi(\gamma^{1+p^2+\dots+p^{2r-2}}) = \zeta_{12} \text{ with } \psi(G) = \zeta_{12}^{1+p} = -1 \text{ and } \chi(G) = \zeta_{12}^{(q-1)/(p-1)}$$

so ψ^* is quadratic and $\chi^* = (\psi^*)^{r/2}$. As $l = 2$ in (5) and $G(\psi^*) = \sqrt{p}$,

$$E_r(\chi) = \begin{cases} E_2^{r/2}(\psi) p^{(r-2)/4} & \text{if } r \equiv 2 \pmod{4} \\ E_2^{r/2}(\psi) p^{r/4-1} & \text{if } r \equiv 0 \pmod{4}. \end{cases}$$

Since $E_2(\psi) = -(-1)^k i \beta (a_4 + i b_4)$ here from Proposition 1 in [4] (see also [2, p. 433]), where $\beta = \pm 1$ satisfies $\beta \equiv a_4 b_4 \pmod{3}$, one finds that $E_r(\chi)$ equals

$$-(-1)^k i^{r/2} \beta p^{(r-2)/4} \pi^{r/2}$$

if $r \equiv 2 \pmod{4}$ or $(-1)^{r/4} p^{r/4-1} \pi^{r/2}$ if $r \equiv 0 \pmod{4}$. This yields (b) since $i^{r/2} = (-1)^{(r-2)/4} i$ when $r \equiv 2 \pmod{4}$.

(c) If $p = 12k + 7$ then χ is the lift of the character ψ of \mathbb{F}_{p^2} satisfying

$$\psi(\gamma^{1+p^2+\dots+p^{r-2}}) = \zeta_{12} \text{ with } \psi(G) = \zeta_{12}^{1+p} = \zeta_{12}^8 \text{ and } \chi(G) = \zeta_{12}^{(q-1)/(p-1)} = \zeta_{12}^{4r}$$

so ψ^* is cubic and $\chi^* = (\psi^*)^{r/2}$ in (5). Thus

$$E_r(\chi) = \begin{cases} -(-1)^{r/2} G^{r/2-1} (\psi^*) E_2^{r/2}(\psi) & \text{if } r \equiv 2 \pmod{6} \\ -(-1)^{r/2} G^{r/2+1} (\psi^*) E_2^{r/2}(\psi)/p & \text{if } r \equiv 4 \pmod{6} \\ (-1)^{r/2} G^{r/2} (\psi^*) E_2^{r/2}(\psi)/p & \text{if } r \equiv 0 \pmod{6}. \end{cases}$$

Setting $G_3 = G(\psi^{2*})$ and $\hat{G}_3 = G(\psi^*)$, and noting that $G_3^3 = p\lambda$, $\hat{G}_3^3 = p\bar{\lambda}$ and $E_2(\psi) = (-1)^k \lambda$ from [1, p. 391] (see also Proposition 1 in [4] where the sign of b_3 should be '+'), one finds that if $r \equiv 2 \pmod{6}$ then

$$\begin{aligned} E_r(\chi) &= -(-1)^{r/2} \hat{G}_3^{r/2-1} ((-1)^k \lambda)^{r/2} = -(-1)^{r(k+1)/2} p^{(r-2)/6} \bar{\lambda}^{(r-2)/6} \lambda^{r/2} \\ &= -(-1)^{r(k+1)/2} p^{(r-2)/3} \lambda^{(r+1)/3}. \end{aligned}$$

Similarly one finds the desired expressions for $E_r(\chi)$ when $r \equiv 0$ and $4 \pmod{6}$.

(d) If $p = 12k + 11$ then $E_r(\chi) = (-1)^{kr/2} p^{r/2-1}$ by Theorem 12.1.6 in [2].

3 Gauss Sums Over \mathbb{F}_q of Order Twelve

Before giving the evaluation of the modified Gauss sum $g_r(12)$, some comments about $g_r(e)$ and the classical Gauss sums $G(\psi)$ for ψ of order $e = 2, 3, 4$ and 6 are in order. Assume, for convenience, that ψ is the (normalized) character satisfying $\psi(G) = \zeta_e$. For $e = 2$, $G(\psi) = i^* \sqrt{p}$ where $i^* = 1$ or i according as $p \equiv 1$ or $3 \pmod{4}$. Also,

$$(16) \quad g_r(2) = \begin{cases} -(-1)^{(p-1)r/4} p^{r/2} & \text{if } r \equiv 0 \pmod{2} \\ i^* (-1)^{(p-1)(r-1)/4} p^{(r-1)/2} \sqrt{p} & \text{if } r \equiv 1 \pmod{2} \end{cases}$$

from Theorem 12.10.2 in [2]. For $e = 3$, the modified Gauss sum $g = g(3)$ satisfies $x^3 - 3px - pr_3 = 0$ with r_3 and s_3 as before. The correct choice of root for $g(3)$ was determined by Matthews [5] and is described in [2]. In terms of $g(3)$, one sees [4, p. 5] that

$$(17) \quad G_3 = G(\psi) = \frac{1}{2} (g + (g - g')/(i\sqrt{3}))$$

and

$$(18) \quad \hat{G}_3 = G(\psi^2) = \frac{1}{2} (g - (g'' - g')/(i\sqrt{3})),$$

where the conjugates g' and g'' of g are given by

$$g' = \left(g^2 - 2p - \frac{1}{2}(s_3 + r_3)g \right) / s_3 \quad \text{and} \quad g'' = \left(2p - g^2 - \frac{1}{2}(s_3 - r_3)g \right) / s_3.$$

In particular (chiefly, Theorem 12.10.3 in [2]), if $p \equiv 1 \pmod{3}$,
 (19)

$$g_r(3) = \begin{cases} -(-1)^r p^{r/3} V_{r/3} & \text{if } r \equiv 0 \pmod{3} \\ -(-1)^r p^{(r-1)/3} (gV_{(r-1)/3} + (g'' - g')U_{(r-1)/3})/2 & \text{if } r \equiv 1 \pmod{3} \\ -(-1)^r p^{(r-2)/3} (gV_{(r+1)/3} - (g'' - g')U_{(r+1)/3})/2 & \text{if } r \equiv 2 \pmod{3} \end{cases}$$

whereas if $p \equiv 2 \pmod{3}$

$$(20) \quad g_r(3) = -2(-1)^{r/2} p^{r/2} \text{ or } 0 \quad \text{as } r \text{ is even or odd.}$$

Here V_n and U_n are Lucas sequences given by

$$(21) \quad V_n = \lambda^n + \bar{\lambda}^n, \quad U_n = \frac{1}{i\sqrt{3}}(\lambda^n - \bar{\lambda}^n)$$

for $n \geq 0$. For later use we introduce the related sequences

$$V_{j,n} = \zeta_6^{-j} \lambda^n + \zeta_6^j \bar{\lambda}^n, \quad U_{j,n} = \frac{1}{i\sqrt{3}}(\zeta_6^{-j} \lambda^n - \zeta_6^j \bar{\lambda}^n) \quad (n \geq 0)$$

for any integer j , noting that $V_{j,n} = V_n$ and $U_{j,n} = U_n$ when $6|j$.

For $e = 6$ one finds from Lemma 4.1.4 in [2] that

$$(22) \quad G(\psi) = \frac{i^*}{\sqrt{p}} \zeta_6^{4Z} \lambda \hat{G}_3 \quad \text{and} \quad G(\psi^{-1}) = \frac{i^*}{\sqrt{p}} \zeta_6^{2Z} \bar{\lambda} G_3$$

using the fact that $G_3^2 = \lambda \hat{G}_3$. For χ , the (normalized) character of \mathbf{F}_q^* satisfying $\chi(\gamma) = \zeta_6$, one computes $G_r(\chi) + G_r(\chi^5)$ for $p \equiv 1 \pmod{6}$ using (4), (22) and Theorem 12.6.1 in [2].

Proposition 2 *The value of $G_r(\chi) + G_r(\chi^5)$ above is given by*

$$\begin{array}{ll} -(-1)^{kr/2} p^{r/6} (\lambda^{2r/3} + \bar{\lambda}^{2r/3}) & \text{if } r \equiv 0 \pmod{6}, \\ (-1)^{k(r-1)/2} p^{(r-1)/6} (\lambda^{(2r-2)/3} G(\psi) + \bar{\lambda}^{(2r-2)/3} G(\psi^5)) & \text{if } r \equiv 1 \pmod{6}, \\ -(-1)^{kr/2} p^{(r-2)/6} (\zeta_6^{2Z} \lambda^{(2r-1)/3} G_3 + \zeta_6^{4Z} \bar{\lambda}^{(2r-1)/3} \hat{G}_3) & \text{if } r \equiv 2 \pmod{6}, \\ (-1)^{k(r-1)/2} p^{(r-3)/6} i^* \sqrt{p} (\lambda^{2r/3} + \bar{\lambda}^{2r/3}) & \text{if } r \equiv 3 \pmod{6}, \\ -(-1)^{kr/2} p^{(r-4)/6} (\zeta_6^{4Z} \lambda^{(2r+1)/3} \hat{G}_3 + \zeta_6^{2Z} \bar{\lambda}^{(2r+1)/3} G_3) & \text{if } r \equiv 4 \pmod{6}, \\ (-1)^{k(r-1)/2} p^{(r-5)/6} (\lambda^{(2r+2)/3} G(\psi^5) + \bar{\lambda}^{(2r+2)/3} G(\psi)) & \text{if } r \equiv 5 \pmod{6}, \end{array}$$

where p has the form $6k + 1$.

In view of (7) the above proposition gives the value for $g_r(6) - g_r(3) - g_r(2)$ which may be conveniently expressed in terms of the sequences $V_{j,n}$ and $U_{j,n}$ using relations (17), (18) and (22). Namely, if $p = 6k + 1$ then depending on the value of r modulo 6, $g_r(6) - g_r(3) - g_r(2)$ equals

$$(23) \quad \begin{cases} -(-1)^{kr/2} p^{r/6} V_{2r/3} & \text{if } r \equiv 0 \\ (-1)^{k(r-1)/2} p^{(r-1)/6} \frac{i^*}{2\sqrt{p}} (gV_{2Z,(2r+1)/3} - (g'' - g')U_{2Z,(2r+1)/3}) & \text{if } r \equiv 1 \\ -(-1)^{kr/2} p^{(r-2)/6} (gV_{4Z,(2r-1)/3} + (g'' - g')U_{4Z,(2r-1)/3}) / 2 & \text{if } r \equiv 2 \\ (-1)^{k(r-1)/2} p^{(r-3)/6} i^* \sqrt{p} V_{2r/3} & \text{if } r \equiv 3 \\ -(-1)^{kr/2} p^{(r-2)/4} (gV_{2Z,(2r+1)/3} - (g'' - g')U_{2Z,(2r+1)/3}) / 2 & \text{if } r \equiv 4 \\ (-1)^{k(r-1)/2} p^{(r+1)/6} \frac{i^*}{2\sqrt{p}} (gV_{4Z,(2r-1)/3} + (g'' - g')U_{4Z,(2r-1)/3}) & \text{if } r \equiv 5. \end{cases}$$

If $p = 6k + 5$ then

$$(24) \quad g_r(6) = \begin{cases} -(3(-1)^{kr/2} + 2(-1)^{r/2}) p^{r/2} & \text{if } r \text{ is even} \\ (-1)^{k(r-1)/2} p^{(r-1)/2} i^* \sqrt{p} & \text{if } r \text{ is odd.} \end{cases}$$

using Theorem 12.6.1 in [2].

For $e = 4$ with $p \equiv 1 \pmod{4}$, one has (chiefly, from Theorem 4.2.4 in [2])

$$(25) \quad G_4 = G(\psi) = \epsilon(A + iB) \quad \text{and}$$

$$(26) \quad \hat{G}_4 = G(\psi^3) = (-1)^Z \epsilon(A - iB),$$

where $A = \sqrt{p + (-1)^Z a_4 \sqrt{p}} / 2$, $B = \frac{(-1)^Z b_4}{|b_4|} \sqrt{(p - (-1)^Z b_4 \sqrt{p})} / 2$ and $\epsilon = \pm 1$. The correct choice of sign for ϵ was determined by Matthews [5] and is described in [2, p. 162]. In particular (chiefly Theorem 12.4.1 in [2]), if $p \equiv 1 \pmod{4}$

$$(27) \quad g_r(4) - g_r(2) = \begin{cases} -p^{r/4} Q_{r/2} & \text{if } r \equiv 0 \pmod{4} \\ p^{(r-1)/4} (G_4 \pi^{(r-1)/2} + \hat{G}_4 \bar{\pi}^{(r-1)/2}) & \text{if } r \equiv 1 \pmod{4} \\ (-1)^{(p+3)/4} p^{(r-2)/4} Q_{r/2} \sqrt{p} & \text{if } r \equiv 2 \pmod{4} \\ (-1)^Z p^{(r-3)/4} (\hat{G}_4 \pi^{(r+1)/2} + G_4 \bar{\pi}^{(r+1)/2}) & \text{if } r \equiv 3 \pmod{4}, \end{cases}$$

whereas if $p \equiv 3 \pmod{4}$

$$(28) \quad g_r(4) = \begin{cases} -(2(-1)^{(p-3)r/8} + (-1)^{r/2}) p^{r/2} & \text{if } r \text{ even} \\ i(-1)^{(r-1)/2} p^{(r-1)/2} \sqrt{p} & \text{if } r \text{ odd.} \end{cases}$$

Here Q_n and P_n are the Lucas sequences given by

$$(29) \quad Q_n = \pi^n + \bar{\pi}^n \text{ and } P_n = -i(\pi^n - \bar{\pi}^n) \quad \text{for } n \geq 0.$$

I am ready to consider the case $e = 12$. For the (normalized) characters χ_j of \mathbf{F}_q^* satisfying $\chi_j(\gamma) = \zeta_{12}^j$, put $R = G_r(\chi_3) + G_r(\chi_9)$ and $S = G_r(\chi_1) + G_r(\chi_5) + G_r(\chi_7) + G_r(\chi_{11})$ so the (modified) Gauss sum

$$(30) \quad g_r(12) = S + R + g_r(6).$$

For $p = 12k + 1$ and ψ the (normalized) character of \mathbf{F}_p^* of order 12 satisfying $\psi(G) = \zeta_{12}$, I explicitly evaluate $G(\psi^j)$ next for $\gcd(j, 12) = 1$.

Proposition 3 For ψ as above with $p = 12k + 1$, one has

$$\begin{aligned} G(\psi) &= \bar{c}_{12}G_3\hat{G}_4/\bar{\pi} & G(\psi^7) &= c_{12}G_3G_4/\pi \\ G(\psi^5) &= \bar{c}_{12}\hat{G}_3\hat{G}_4/\bar{\pi} & G(\psi^{11}) &= c_{12}\hat{G}_3\hat{G}_4/\pi \end{aligned}$$

where $\pi = a_4 + ib_4$ with G_3 and G_4 as in (17) and (25).

Proof In view of (2) it suffices to verify the expressions for $G(\psi^{11})$ and $G(\psi^5)$. Using (9) and (10),

$$G(\psi^{11}) = \frac{G(\psi^3)G(\psi^8)}{J(\psi^3, \psi^8)} = \frac{G_4\hat{G}_3}{(-1)^k J(\psi, \psi^3)} = c_{12}G_4\hat{G}_3/\pi$$

by Proposition 1. Also from (9), $G(\psi)G(\psi^5) = G(\psi^6)J(\psi, \psi^5) = (-1)^{T/2}\pi\sqrt{p}$ so $G(\psi^5) = \frac{(-1)^{T/2}\pi\sqrt{p}\bar{\pi}}{\bar{c}_{12}G_3\hat{G}_4} = \bar{c}_{12}\hat{G}_3\hat{G}_4(-1)^Z\sqrt{p}/(\hat{G}_4^2) = \bar{c}_{12}\hat{G}_3\hat{G}_4/\bar{\pi}$ by (26).

For the situation at hand, one finds an elegant expression for S in terms of $R = g_r(4) - g_r(2)$, $g_r(3)$ and $g_r(2)$.

Proposition 4 For ψ as in Proposition 3 with $p = 12k + 1$, one has

$$S = \begin{cases} c_{12}^r g_r(3)R/g_r(2) & \text{if } r \text{ even or } 3|b_4 \\ \omega g_r(3)P_r/R = \frac{(-1)^k \omega g_r(3)P_r}{g_r(2)(Q_r + 2g_r(2))} & \text{if } r \text{ odd and } 3|a_4, \end{cases}$$

where $\omega = \pm 1$ satisfies $\omega \equiv (-1)^{(r+1)/2+k}b_4 \pmod{3}$.

Proof For $r \equiv 0 \pmod{12}$, one finds $S = -p(E_r(\chi_1) + E_r(\chi_5) + E_r(\chi_7) + E_r(\chi_{11})) = -p^{r/12}(\pi^{r/2}\lambda^{r/3} + \pi^{r/2}\bar{\lambda}^{r/3} + \bar{\pi}^{r/2}\lambda^{r/3} + \bar{\pi}^{r/2}\bar{\lambda}^{r/3}) = -p^{r/3}(\lambda^{r/3} + \bar{\lambda}^{r/3})(\pi^{r/2} + \bar{\pi}^{r/2})/p^{r/4}$ or

$$(31) \quad p^{r/4}S = -g_r(3)Q_{r/2}$$

from Theorem 1 and (19).

For $r \not\equiv 0 \pmod{12}$, one finds

$$S = E_r(\chi_1)G(\psi^r) + E_r(\chi_5)G(\psi^{5r}) + E_r(\chi_7)G(\psi^{7r}) + E_r(\chi_{11})G(\psi^{11r})$$

with $G(\psi^j)$ given in Proposition 3, (17) or (22). In each case one finds a factorization for S . To illustrate for odd r , consider the case $r \equiv 1 \pmod{12}$. Then

$$\begin{aligned} S &= p^{(r-1)/12}(\bar{c}_{12}\pi^{(r-1)/2}\lambda^{(r-1)/3}G_3\hat{G}_4/\bar{\pi} + \bar{c}_{12}\pi^{(r-1)/2}\bar{\lambda}^{(r-1)/3}\hat{G}_3\hat{G}_4/\bar{\pi} \\ &\quad + c_{12}\bar{\pi}^{(r-1)/2}\lambda^{(r-1)/3}G_3G_4/\pi + c_{12}\bar{\pi}^{(r-1)/2}\bar{\lambda}^{(r-1)/3}\hat{G}_3G_4/\pi) \\ &= p^{(r-13)/12}(\bar{c}_{12}\pi^{(r+1)/2}\lambda^{(r-1)/3}G_3\hat{G}_4 + \bar{c}_{12}\pi^{(r+1)/2}\bar{\lambda}^{(r-1)/3}\hat{G}_3\hat{G}_4 \\ &\quad + c_{12}\bar{\lambda}^{(r+1)/2}\lambda^{(r-1)/3}G_3G_4 + c_{12}\bar{\pi}^{(r+1)/2}\bar{\lambda}^{(r-1)/3}\hat{G}_3G_4) \\ &= p^{(r-1)/3}(\lambda^{(r-1)/3}G_3 + \bar{\lambda}^{(r-1)/3}\hat{G}_3) \cdot (\bar{c}_{12}\pi^{(r+1)/2}\hat{G}_4 + c_{12}\bar{\pi}^{(r+1)/2}G_4)/p^{(r+3)/4} \end{aligned}$$

or

$$(32) \quad p^{(r+3)/4} S = g_r(3)(\bar{c}_{12}\pi^{(r+1)/2}\hat{G}_4 + c_{12}\bar{\pi}^{(r+1)/2}G_4).$$

The formula (32) is seen to hold for any $r \equiv 1 \pmod{4}$. Similarly, for $r \equiv 3 \pmod{4}$ one finds

$$(33) \quad p^{(r+1)/4} S = g_r(3)(-1)^k(c_{12}\pi^{(r-1)/2}G_4 + \bar{c}_{12}\bar{\pi}^{(r-1)/2}\hat{G}_4).$$

For $r \equiv 4$ or $8 \pmod{12}$, formula (31) is found to hold. Finally, for $r \equiv 2 \pmod{4}$ one finds that

$$(34) \quad p^{(r-2)/4}\sqrt{p}S = (-1)^{T/2}g_r(3)Q_{r/2}.$$

To illustrate formula (34) when $r \equiv 2 \pmod{12}$, one finds

$$\begin{aligned} S &= -(-1)^{T/2}p^{(r-2)/12}(\zeta_6^{2Z}\pi^{r/2}\lambda^{(r-2)/3}G(\psi^2) + \zeta_6^{4Z}\pi^{r/2}\bar{\lambda}^{(r-2)/3}G(\psi^{10}) \\ &\quad + \zeta_6^{2Z}\bar{\pi}^{r/2}\lambda^{(r-2)/3}G(\psi^2) + \zeta_6^{4Z}\bar{\pi}^{r/2}\bar{\lambda}^{(r-2)/3}G(\psi^{10})) \\ &= -(-1)^{T/2}p^{(r-2)/12}(\zeta_6^{2Z}\lambda^{(r-2)/3}G_6 + \zeta_6^{4Z}\bar{\lambda}^{(r-2)/3}\hat{G}_6)(\pi^{r/2} + \bar{\pi}^{r/2}) \\ &= -(-1)^{T/2}p^{(r-14)/12}\sqrt{p}(\lambda^{(r+1)/3}\hat{G}_3 + \bar{\lambda}^{(r+1)/3}G_3)Q_{r/2} \end{aligned}$$

or $= (-1)^{T/2}g_r(3)Q_{r/2}/(p^{(r-2)/4}\sqrt{p})$ from (17)–(19) and (22).

Comparing the expressions (31)–(34) just derived with (16), (19) and (27) for $g_r(2)$, $g_r(3)$ and R , one readily obtains the desired formula for S in case r is even or $3|b_4$ in view of the fact $c_{12}^2 = (-1)^{T/2+k}$.

It remains to establish the formula for S when r is odd and $3|a_4$, in which case $c_{12} = \pm i$ satisfies $c_{12} \equiv -ib_4 \pmod{3}$ from (13). For $r \equiv 1 \pmod{4}$,

$$\begin{aligned} &\bar{c}_{12}\pi^{(r+1)/2}\hat{G}_4 + c_{12}\bar{\pi}^{(r+1)/2}G_4 \\ &= p^{(r-1)/4}\bar{c}_{12}(\pi^{(r+1)/2}\hat{G}_4 - \bar{\pi}^{(r+1)/2}G_4)(\pi^{(r-1)/2}G_4 + \bar{\pi}^{(r-1)/2}\hat{G}_4)/R \\ &= p^{(r-1)/4}\bar{c}_{12}(\pi^r\hat{G}_4G_4 - \bar{\pi}^rG_4\hat{G}_4 + p^{(r-1)/2}(\pi\hat{G}_4^2 - \bar{\pi}G_4^2))/R \\ &= p^{(r+3)/4}\bar{c}_{12}(-1)^Z(\pi^r - \bar{\pi}^r)/R \\ &= (-1)^Z i\bar{c}_{12}p^{(r+3)/4}P_r/R \end{aligned}$$

from (27) with $(-1)^Z i\bar{c}_{12} \equiv -(-1)^k b_4 \pmod{3}$. This yields the desired form for S when $r \equiv 1 \pmod{4}$ and its alternatives since R^2 equals

$$\begin{aligned} p^{(r-1)/2}(\pi^{(r-1)/2}G_4 + \bar{\pi}^{(r-1)/2}\hat{G}_4)^2 &= p^{(r-1)/2}(\pi^{r-1}G_4^2 + 2p^{(r-1)/2}G_4\hat{G}_4 + \bar{\pi}^{r-1}\hat{G}_4^2) \\ &= (-1)^k p^{(r-1)/2}(\pi^r\sqrt{p} + 2p^{(r+1)/2} + \bar{\pi}^r\sqrt{p}) \\ &= (-1)^k g_r(2)(Q_r + 2g_r(2)) \end{aligned}$$

from (25)–(27). For $r \equiv 3 \pmod{4}$,

$$\begin{aligned} & (-1)^k (c_{12} \pi^{(r-1)/2} G_4 + \bar{c}_{12} \bar{\pi}^{(r-1)/2} \hat{G}_4) \\ &= p^{(r-3)/4} c_{12} (\pi^{(r-1)/2} G_4 - \bar{\pi}^{(r-1)/2} \hat{G}_4) (\pi^{(r+1)/2} \hat{G}_4 + \bar{\pi}^{(r+1)/2} G_4) / R \\ &= p^{(r-3)/4} c_{12} (\pi^r G_4 \hat{G}_4 - \bar{\pi}^r G_4 \hat{G}_4 + p^{(r-1)/2} (\bar{\pi} G_4^2 - \pi \hat{G}_4^2)) \\ &= (-1)^k p^{(r+1)/4} c_{12} (\pi^r - \bar{\pi}^r) / R = (-1)^k i c_{12} p^{(r+1)/4} P_r / R \end{aligned}$$

again from (25)–(27), with $(-1)^k i c_{12} \equiv (-1)^k b_4 \pmod{3}$. This yields the desired form for S when $r \equiv 3 \pmod{4}$ and its alternative since

$$R^2 = (-1)^k g_r(2) (Q_r + 2g_r(2))$$

in this case, too.

I completely determine $g_r(12)$ next without any sign ambiguities.

Theorem 2 *The value $g_r(12)$ is explicitly given by*

(i) *If $p = 12k + 1$ then*

$$g_r(12) = \begin{cases} g_r(6) + R(1 + c_{12}^r g_r(3) / g_r(2)) & \text{if } r \text{ even or } 3|b_4 \\ g_r(6) + R(1 + \frac{(-1)^k \omega g_r(3) P_r}{g_r(2)(Q_r + 2g_r(2))}) & \text{if } r \text{ odd and } 3|a_4. \end{cases}$$

(ii) *If $p = 12k + 5$ then*

$$g_r(12) = \begin{cases} -5p^{r/2} - p^{r/4} Q_{r/2} (2(-1)^{r/4} + 1) & \text{if } 4|r \\ -p^{r/2} + (-1)^k p^{(r-2)/4} \sqrt{p} (Q_{r/2} + (-1)^{(r-2)/4} 2\beta P_{r/2}) & \text{if } 2||r \\ g_r(4) & \text{if } r \text{ odd.} \end{cases}$$

(iii) *If $p = 12k + 7$ then*

$$g_r(12) = \begin{cases} g_r(6) + 2(-1)^{r(k+1)/2} (g_r(3) - p^{r/2}) & \text{if } r \text{ even} \\ g_r(6) & \text{if } r \text{ odd.} \end{cases}$$

(iv) *If $p = 12k + 11$ then $g_r(12) = -(6(-1)^{kr/2} + 5(-1)^{r/2}) p^{r/2}$ or $g_r(2)$ according as r is even or odd.*

Proof From (30) one knows that $g_r(12) = g_r(6) + R + S$ and from [2, p. 421] that

$$(35) \quad g_r(12) = g_r(d), \quad \text{where } d = \gcd(12, p - 1).$$

Thus statement (i) follows immediately from Proposition 4, and for $p \not\equiv 1 \pmod{12}$ results (ii)–(iv) hold when r is odd due to (35). It remains to verify (ii)–(iv) when r is even.

If $p = 12k + 5$ then $\chi^*(G) = \zeta_{12}^{(q-1)/(p-1)} = \zeta_{12}^{3r}$. For $r \equiv 0 \pmod{4}$,

$$S = -p(E_r(\chi_1) + E_r(\chi_5) + E_r(\chi_7) + E_r(\chi_{11})) = -2(-1)^{r/4} p^{r/2} (\pi^{r/2} + \bar{\pi}^{r/2})$$

$$= -2(-1)^{r/4} p^{r/2} Q_{r/2}$$

from Theorem 1, $R = -p^{r/4} Q_{r/2}$ from (27) and $g_r(6) = -5p^{r/2}$ from (24). Whereas for $r \equiv 2 \pmod{4}$, $R = (-1)^k p^{(r-2)/4} Q_{r/2} \sqrt{p}$ from (27),

$$S = \sqrt{p}(E_r(\chi_1) + E_r(\chi_5) + E_r(\chi_7) + E_r(\chi_{11}))$$

$$= -2(-1)^{k+(r-2)/4} \beta p^{(r-2)/4} \sqrt{p}(i\pi^{r/2} - i\bar{\pi}^{r/2})$$

$$= 2(-1)^{k+(r-2)/4} \beta P_r p^{(r-2)/4} \sqrt{p}$$

from Theorem 1 and $g_r(6) = -p^{r/2}$ from (24). In either case, the expression for $g_r(12)$ in (ii) follows.

If $p = 12k + 7$ then $\chi^*(G) = \zeta_{12}^{4r}$ and one finds from Theorem 1 that

$$S = \begin{cases} -2(-1)^{r(k+1)/2} p^{(r-2)/3} (\lambda^{(r+1)/3} \hat{G}_3 + \bar{\lambda}^{(r+1)/3} G_3) & \text{if } r \equiv 2 \pmod{6} \\ -2(-1)^{r(k+1)/2} p^{(r-1)/3} (\lambda^{(r-1)/3} G_3 + \bar{\lambda}^{(r-1)/3} \hat{G}_3) & \text{if } r \equiv 4 \pmod{6} \\ -2(-1)^{r(k+1)/2} p^{r/3} (\lambda^{r/3} + \bar{\lambda}^{r/3}) & \text{if } r \equiv 0 \pmod{6} \end{cases}$$

or equivalently that

$$(36) \quad S = 2(-1)^{r(k+1)/2} g_r(3)$$

from (19) when r is even. Also $R = g_r(4) - g_r(2) = 2(-1)^{r(k+1)/2} p^{r/2}$ from (16) and (28). This yields the expression for $g_r(12)$ in (iii).

If $p = 12k + 11$ then $S = -4(-1)^{kr/2} p^{r/2}$, $R = -2(-1)^{kr/2} p^{r/2}$ from Theorem 1, (16) and (28), and $g_r(6) = -5(-1)^{r/2} p^{r/2}$ from (24). Thus $g_r(12) = -(6(-1)^{kr/2} + 5(-1)^{r/2}) p^{r/2}$ in (iv) when r is even.

The proof of the theorem is now complete.

References

- [1] B. Berndt and R. Evans, *Sums of Gauss, Eisenstein, Jacobi, Jacobsthal and Brewer*. Illinois J. Math. (3) **23**(1979), 374–437.
- [2] B. Berndt, R. Evans and K. S. Williams, *Gauss and Jacobi Sums*. Wiley, New York, (1997).
- [3] R. Evans, *Gauss sums of orders six and twelve*. to appear.
- [4] S. Gurak, *Period polynomials for \mathbb{F}_{p^2} of fixed small degree*. In: Finite Fields and Applications, (eds., D. Jungnickel and H. Niederreiter), Springer, 2000, 196–207.
- [5] C. R. Matthews, *Gauss sums and elliptic functions I, II*. Invent. Math. **52**(1979), 163–185; **54**(1979), 23–52.
- [6] K. S. Williams, K. Hardy and B. K. Spearman, *Explicit evaluation of certain Eisenstein sums*. In: Number Theory, (ed., R. A. Mollin), de Gruyter, Berlin, 1990, 553–626.

Department of Mathematics
University of San Diego
San Diego, California 92110
USA