

## A MULTIPLICITY THEOREM FOR A PERTURBED SECOND-ORDER NON-AUTONOMOUS SYSTEM

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*Abstract* In this paper we establish a multiplicity result for a second-order non-autonomous system. Using a variational principle of Ricceri we prove that if the set of global minima of a certain function has at least  $k$  connected components, then our problem has at least  $k$  periodic solutions. Moreover, the existence of one more solution is investigated through a mountain-pass-like argument.

*Keywords:* multiple periodic solutions; second-order non-autonomous system; critical point theory

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### 1. Introduction

In this paper we consider the second-order non-autonomous system

$$\begin{cases} \ddot{u} = \alpha(t)(Au - \nabla F(u)) + \lambda \nabla_x G(t, u), & \text{a.e. in } [0, T], \\ u(0) - u(T) = \dot{u}(0) - \dot{u}(T) = 0, \end{cases} \quad (P_\lambda)$$

where  $A$  is an  $N \times N$  symmetric matrix satisfying

$$Ax \cdot x \geq c|x|^2 \quad \text{for all } x \in \mathbb{R}^N, \quad (1.1)$$

where  $c$  is some positive constant. Assume that  $\lambda > 0$ ,  $\alpha \in L^\infty([0, T])$ ,  $a = \text{ess inf}_{[0, T]} \alpha > 0$ ,  $F : \mathbb{R}^N \rightarrow \mathbb{R}$  is continuously differentiable, and that  $G : [0, T] \times \mathbb{R}^N \rightarrow \mathbb{R}$  is measurable in  $t$  for all  $x \in \mathbb{R}^N$  and continuously differentiable in  $x \in \mathbb{R}^N$  for a.e.  $t \in [0, T]$ . Moreover, assume

$$\sup_{|x| \leq s} |\nabla_x G(\cdot, x)| \in L^1([0, T]) \quad \text{for every } s > 0, \quad G(\cdot, 0) \in L^1([0, T]). \quad (1.2)$$

It is well known (see [3]) that a solution of  $(P_\lambda)$  is a function  $u \in C^1([0, T], \mathbb{R}^N)$ , with  $\dot{u}$  absolutely continuous, such that

$$\begin{cases} \ddot{u}(t) = \alpha(t)(Au(t) - \nabla F(u(t))) + \lambda \nabla_x G(t, u(t)), & \text{a.e. in } [0, T], \\ u(0) - u(T) = \dot{u}(0) - \dot{u}(T) = 0. \end{cases}$$

For the more general problem

$$\begin{cases} \ddot{u} = \nabla_x \phi(t, u), & \text{a.e. in } [0, T], \\ u(0) - u(T) = \dot{u}(0) - \dot{u}(T) = 0, \end{cases}$$

the existence of at least three solutions has previously been studied in [1], [6], [7] and [9] under the following assumption, firstly introduced by Brezis and Nirenberg: there exist  $r > 0$  and an integer  $k \geq 0$  such that

$$-\frac{1}{2}(k+1)^2 w^2 |x|^2 \leq \phi(t, x) - \phi(t, 0) \leq -\frac{1}{2}k^2 w^2 |x|^2 \quad (1.3)$$

for each  $|x| \leq r$ , a.e.  $t \in [0, T]$ , where  $w = 2\pi/T$ .

The perturbed problem

$$\begin{cases} \ddot{u} = \nabla_x \phi(t, u) + \lambda \psi(t), & \text{a.e. in } [0, T], \\ u(0) - u(T) = \dot{u}(0) - \dot{u}(T) = 0 \end{cases}$$

was studied in [8], in which Tang proves the existence of at least three solutions, for  $\lambda > 0$  small enough, under the stronger condition that there exist  $r > 0$  and an integer  $k \geq 0$  such that

$$-\mu |x|^2 \leq \phi(t, x) - \phi(t, 0) \leq -\nu |x|^2 \quad (1.4)$$

for each  $|x| \leq r$ , a.e.  $t \in [0, T]$ , where  $\nu > \frac{1}{2}k^2 w^2$ ,  $\mu < \frac{1}{2}(k+1)^2 w^2$  and  $w = 2\pi/T$ .

In this paper we prove a multiplicity result of the following type: for each integer  $k > 1$ ,  $(P_\lambda)$  has, for  $\lambda$  small enough, at least  $k$  solutions.

Our main tool is a recent theorem by Ricceri [4, Theorem 6], which, for the convenience of the reader, we state here.

**Theorem A.** *Let  $X$  be a reflexive and separable real Banach space and let  $\Psi, \Phi : X \rightarrow \mathbb{R}$  be two functionals. Assume that there exists  $r > \inf_X \Psi$  such that the set  $\Psi^{-1}(]-\infty, r])$  is bounded. Moreover, suppose that the functional  $\Phi$  is bounded below in  $(\overline{\Psi^{-1}(]-\infty, r])})_w$  and the functional  $\Psi + \lambda\Phi$  is sequentially weakly lower semicontinuous for each  $\lambda \geq 0$  small enough. Finally, assume that the set  $\Psi^{-1}(\inf_X \Psi)$  has at least  $k$  weakly connected components.*

*Then, there exists  $\lambda_r > 0$  such that, for each  $\lambda \in ]0, \lambda_r[$ , the functional  $\Psi + \lambda\Phi$  has at least  $k$   $\tau_\Psi$ -local minima lying in  $\Psi^{-1}(]-\infty, r])$ , where  $\tau_\Psi$  is the smallest topology on  $X$  which contains both the weak topology and the family of sets  $\{\Psi^{-1}(]-\infty, \rho])\}_{\rho \in \mathbb{R}}$ .*

## 2. A multiplicity theorem

Let us introduce the space

$$H_T^1 = \{u : [0, T] \rightarrow \mathbb{R}^N \text{ absolutely continuous, } u(0) = u(T), \dot{u} \in L^2([0, T], \mathbb{R}^N)\}.$$

For all  $u, v \in H_T^1$ , define the scalar product as follows:

$$(u, v) = \int_0^T \dot{u}(t) \cdot \dot{v}(t) dt + \int_0^T \alpha(t) Au(t) \cdot v(t) dt.$$

The norm

$$\|u\| = \left( \int_0^T |\dot{u}(t)|^2 dt + \int_0^T \alpha(t)Au(t) \cdot u(t) dt \right)^{1/2}$$

in  $H_T^1$  is equivalent to the usual one thanks to condition (1.1).

Let us observe that  $H_T^1$  is compactly embedded in  $C^0([0, T], \mathbb{R}^N)$ . Define, for each  $u \in H_T^1$ ,

$$\begin{aligned} \Psi(u) &= \frac{1}{2}\|u\|^2 - \int_0^T \alpha(t)F(u(t)) dt, \\ \Phi(u) &= \int_0^T G(t, u(t)) dt. \end{aligned}$$

Clearly,  $\Psi$  is well defined, sequentially weakly continuous, and continuous together with its Gâteaux derivative. Moreover, from (1.2) we have

$$\sup_{|x| \leq s} |G(\cdot, x)| \in L^1([0, T]) \quad \text{for every } s > 0.$$

Thus, it is easy to prove that  $\Phi$  satisfies the same properties of  $\Psi$ .

We recall that  $u$  is a solution of  $(P_\lambda)$  if and only if  $u \in H_T^1$  and it satisfies

$$\int_0^T [\dot{u}(t) \cdot \dot{v}(t) + \alpha(t)Au(t) \cdot v(t) - \alpha(t)\nabla F(u(t)) \cdot v(t)] dt + \lambda \int_0^T \nabla_x G(t, u(t)) \cdot v(t) dt = 0$$

for all  $v \in H_T^1$ , that is, if  $u$  is a critical point of  $\Psi + \lambda\Phi$  in  $H_T^1$ .

Our result reads as follows.

**Theorem 2.1.** *Let  $\alpha, A, F, G$  be as in § 1. Assume that*

- (i)  $\limsup_{|x| \rightarrow +\infty} \frac{F(x)}{|x|^2} < \frac{1}{2}c$ ;
- (ii) *the set of global minima of the function  $H(x) = \frac{1}{2}Ax \cdot x - F(x)$  has at least  $k$  connected components in  $\mathbb{R}^N$  ( $k \geq 2$ ).*

*Then, for every  $r > \|\alpha\|_{L^1} \inf_{\mathbb{R}^N} H$ , there exists  $\lambda_r > 0$  such that, for every  $\lambda \in ]0, \lambda_r[$ ,  $(P_\lambda)$  has at least  $k$  solutions in  $\Psi^{-1}(]-\infty, r])$ .*

**Proof.** Set  $X = H_T^1$ . Let us show that the functionals  $\Psi$  and  $\Phi$  defined above satisfy the hypotheses of Theorem A. The functional  $\Psi + \lambda\Phi$  is sequentially weakly continuous for each  $\lambda \geq 0$ . We now prove that  $\Psi$  is coercive: let  $\sigma$  be a positive number such that

$$\limsup_{|x| \rightarrow +\infty} \frac{F(x)}{|x|^2} < \sigma < \frac{1}{2}c.$$

Then  $F(x) < \sigma|x|^2 + m$  for all  $x \in \mathbb{R}^N$ , for some constant  $m$ , and

$$\begin{aligned} \Psi(u) &\geq \frac{1}{2} \int_0^T |\dot{u}(t)|^2 dt + \frac{1}{2} \int_0^T \alpha(t) Au(t) \cdot u(t) dt - \int_0^T \alpha(t) (\sigma|u(t)|^2 + m) dt \\ &\geq \frac{1}{2} \int_0^T |\dot{u}(t)|^2 dt + \left( \frac{1}{2} - \frac{\sigma}{c} \right) \int_0^T \alpha(t) Au(t) \cdot u(t) dt - m \|\alpha\|_{L^1} \\ &\geq \left( \frac{1}{2} - \frac{\sigma}{c} \right) \|u\|^2 - m \|\alpha\|_{L^1}, \end{aligned}$$

which implies that  $\Psi(u)$  tends to infinity as  $\|u\|$  goes to infinity.

Specifically, from the coercivity of  $\Psi$  it follows that for every  $r > \|\alpha\|_{L^1} \inf_{\mathbb{R}^N} H$  the set  $\Psi^{-1}(]-\infty, r])$  is bounded. Moreover, we note that the restriction of  $\Phi$  to the sequentially weakly compact set  $(\Psi^{-1}(]-\infty, r]))_w$  has a global minimum.

We claim that

$$\inf_X \Psi = \|\alpha\|_{L^1} \inf_{\mathbb{R}^N} H.$$

In fact, for all  $u \in X$  we have

$$\begin{aligned} \Psi(u) &\geq \frac{1}{2} \int_0^T \alpha(t) Au(t) \cdot u(t) dt - \int_0^T \alpha(t) F(u(t)) dt \\ &= \int_0^T \alpha(t) H(u(t)) dt \geq \|\alpha\|_{L^1} \inf_{\mathbb{R}^N} H. \end{aligned}$$

Let us denote by  $M$  the set of global minima of  $H$  in  $\mathbb{R}^N$ . If  $x_0 \in M$ , then the function defined by putting  $u_0(t) = x_0$  belongs to  $X$  and

$$\Psi(u_0) = \int_0^T \alpha(t) H(u_0(t)) dt = \|\alpha\|_{L^1} \inf_{\mathbb{R}^N} H.$$

Thus, our claim is proved.

We note that, if  $u \in X$  is not constant, then  $|\dot{u}| > 0$  on some set of positive measure, hence it cannot be a global minimum of  $\Psi$ , and the same is true for constant functions whose value does not belong to  $M$ .

Let  $\gamma : \mathbb{R}^N \rightarrow X$  be the function that maps  $x \in \mathbb{R}^N$  into the constant function  $u(t) = x$  in  $X$ :  $\gamma$  is then a homeomorphism between  $\mathbb{R}^N$  and  $\gamma(\mathbb{R}^N)$  (endowed with the relativization of the weak topology). The set of global minima of  $\Psi$  is equal to  $\gamma(M)$ ; hence it has at least  $k$  weakly connected components.

By applying Theorem A we deduce for every  $r > \|\alpha\|_{L^1} \inf_{\mathbb{R}^N} H$  the existence of  $\lambda_r > 0$  such that, for every  $\lambda \in ]0, \lambda_r[$ , the functional  $\Psi + \lambda\Phi$  has at least  $k$   $\tau_{\Psi}$ -local minima lying in  $\Psi^{-1}(]-\infty, r])$ .

Since  $\Psi$  is continuous, the topology  $\tau_{\Psi}$  is weaker than the strong topology in  $X$ , and every  $\tau_{\Psi}$ -local minimum is also a strong local minimum, and so a critical point of  $\Psi + \lambda\Phi$ . The proof is now complete.  $\square$

**Theorem 2.2.** Let  $\alpha, A, F, G$  be as in § 1, and let assumptions (i) and (ii) of Theorem 2.1 be satisfied. Moreover, assume that

$$(iii) \liminf_{|x| \rightarrow +\infty} \frac{\text{ess inf}_{[0,T]} G(t, x)}{|x|^2} > -\infty.$$

Then, for every  $r > \inf_{\mathbb{R}^N} H \|\alpha\|_{L^1}$  there exists  $\lambda_r^* > 0$  such that, for every  $\lambda \in ]0, \lambda_r^*[$ ,  $(P_\lambda)$  has at least  $k + 1$  solutions,  $k$  of which lie in  $\Psi^{-1}(]-\infty, r[)$ .

**Proof.** Let us show that, for  $\lambda > 0$  small enough,  $\Psi + \lambda\Phi$  is coercive. From the proof of Theorem 2.1 we already know that

$$\Psi(u) \geq \left(\frac{1}{2} - \frac{\sigma}{c}\right) \|u\|^2 - m \|\alpha\|_{L^1}.$$

Then, there exist  $b < 0$  and  $s > 0$  such that

$$G(t, x) > b|x|^2$$

for  $|x| > s$  and a.e.  $t \in [0, T]$ , while

$$g = \sup_{|x| \leq s} |G(\cdot, x)| \in L^1([0, T]).$$

Summarizing, for all  $x \in \mathbb{R}^N$  and a.e.  $t \in [0, T]$  we have

$$G(t, x) \geq b|x|^2 - g(t),$$

which implies that

$$\begin{aligned} \Phi(u) &\geq \int_0^T (b|u(t)|^2 - g(t)) dt \\ &\geq \frac{b}{ac} \int_0^T \alpha(t) Au(t) \cdot u(t) dt - \|g\|_{L^1} \\ &\geq \frac{b}{ac} \|u\|^2 - \|g\|_{L^1} \end{aligned}$$

and so

$$\Psi(u) + \lambda\Phi(u) \geq \left[ \left(\frac{1}{2} - \frac{\sigma}{c}\right) + \lambda \frac{b}{ac} \right] \|u\|^2 - m \|\alpha\|_{L^1} - \lambda \|g\|_{L^1}.$$

Set

$$\lambda_r^* = \min \left\{ \lambda_r, -\frac{ac}{b} \left(\frac{1}{2} - \frac{\sigma}{c}\right) \right\},$$

where  $\lambda_r$  is as in Theorem 2.1. Then, for all  $\lambda \in ]0, \lambda_r^*[$ , the functional  $\Psi + \lambda\Phi$  admits at least  $k$  local minima and is coercive. Thus,  $\Psi + \lambda\Phi$  satisfies the Palais–Smale condition, as it is the sum of  $\frac{1}{2}\|u\|^2$ , whose derivative is a homeomorphism between  $H_T^1$  and its dual, and of a functional with compact derivative. From [2] it follows that  $\Psi + \lambda\Phi$  admits one more critical point. □

**Remark 2.3.** As seen in the proof of Theorem 2.2, the existence of  $k + 1$  solutions follows essentially from the Palais–Smale condition, and the latter is proved through the coercivity of the functional. By using another standard argument, we could assume that there exist  $q > 2$  and  $R > 0$  such that, for  $\lambda$  small enough,

$$0 < q[\alpha(t)F(x) - \lambda G(t, x)] \leq [\alpha(t)\nabla F(x) - \lambda\nabla_x G(t, x)] \cdot x \quad (2.1)$$

for a.e.  $t \in [0, T]$  and for every  $x$  with  $|x| > R$ . This implies, as  $\lambda$  tends to zero, that

$$0 \leq qF(x) \leq \nabla F(x) \cdot x.$$

Now, if there is some  $x_1$  such that  $|x_1| > R$ ,  $F(x_1) > 0$  and

$$F(x) < \frac{1}{2}c|x|^2 \quad (2.2)$$

for all  $|x| > |x_1|$ , then it is easy to prove that the function

$$\mu \rightarrow |\mu x_1|^{-q}F(\mu x_1)$$

is non-decreasing for  $\mu \geq 1$  and so

$$F(\mu x_1) \geq |x_1|^{-q}F(x_1)|\mu x_1|^q,$$

which together with (2.2) gives a contradiction.

No contradiction arises, however, if we assume that  $F(x) = 0$  for all  $x \in \mathbb{R}^N$ ,  $|x| > R$  (so condition (i) is obviously satisfied), together with (ii) and condition (2.1), which becomes

$$\nabla_x G(t, x) \cdot x \leq qG(t, x) < 0.$$

In this case we get  $k + 1$  solutions for  $\lambda > 0$  small enough.

In the case  $N = 1$ ,  $(P_\lambda)$  becomes

$$\begin{cases} u'' = \alpha(t)(u - F'(u)) + \lambda G_x(t, u), & \text{a.e. in } [0, T], \\ u(0) - u(T) = u'(0) - u'(T) = 0. \end{cases} \quad (P'_\lambda)$$

The following result, whose proof is analogous (with minor changes) to that of Theorem 1 in [5], yields the existence of  $k + 1$  solutions with no additional hypotheses on  $G$ .

**Theorem 2.4.** Let  $\alpha$ ,  $F$ ,  $G$  be as in §1 (with  $N = 1$ ). Assume that

- (iv)  $\lim_{|x| \rightarrow +\infty} \frac{F'(x)}{x} = 0$ ;
- (v) the set of global minima of the function  $H(x) = \frac{1}{2}x^2 - F(x)$  has at least  $k$  connected components in  $\mathbb{R}$  ( $k \geq 2$ ).

Then, for every  $r > \|\alpha\|_{L^1} \inf_{\mathbb{R}} H$  there exists  $\lambda_r > 0$  such that, for every  $\lambda \in ]0, \lambda_r[$ ,  $(P'_\lambda)$  has at least  $k + 1$  solutions,  $k$  of which satisfy

$$\frac{1}{2} \int_0^T |u'(t)|^2 dt + \int_0^T \alpha(t) \left( \frac{1}{2}|u(t)|^2 - F(u(t)) \right) dt < r. \quad (2.3)$$

### 3. Examples

In the following examples  $\alpha$  and  $G$  are as in § 1, while the function  $F$  is chosen in order to satisfy assumptions (i) and (ii).

**Example 3.1.** Let  $A$  be the identity matrix ( $c = 1$ ), let  $f \in C^1([0, +\infty[)$  be a periodic function such that  $f(0) > b = \inf_{\mathbb{R}} f$ , and let  $q \in ]0, 1[$  and  $p \in [2, +\infty[$ . Define  $F$  as follows:

$$F(x) = \begin{cases} \frac{1}{2}|x|^2 - (f(|x|^{-q}) - b)|x|^p & \text{if } x \neq 0, \\ 0 & \text{if } x = 0. \end{cases}$$

Clearly,  $F \in C^1(\mathbb{R}^N)$ . If  $p = 2$ , we get

$$\limsup_{|x| \rightarrow +\infty} \frac{F(x)}{|x|^2} = \frac{1}{2} - f(0) + b < \frac{1}{2},$$

while, if  $p > 2$ ,

$$\limsup_{|x| \rightarrow +\infty} \frac{F(x)}{|x|^2} = -\infty.$$

So (i) is satisfied.

The function  $H$ , here, is given by

$$H(x) = (f(|x|^{-q}) - b)|x|^p.$$

$H$  is non-negative and the set of its global minima is  $\{x \in \mathbb{R}^N : f(|x|^{-q}) = b\} \cup \{0\}$ , which has infinitely many connected components.

Then, for every  $k \geq 2$ ,  $(P_\lambda)$  has at least  $k$  solutions for  $\lambda$  small enough.

**Remark 3.2.** The function  $F$  in Example 3.1 depends only on the norm of vector  $x$ . The thesis holds if we replace  $|x|^p$  with  $(|x_1| + |x_2| + \dots + |x_N|)^p$  in the definition of  $F$ .

**Remark 3.3.** It is also clear that the problem considered in Example 3.1 does not satisfy condition (1.4). In fact, in this case,  $\phi(t, x) = \alpha(t)(\frac{1}{2}|x|^2 - F(x))$ . So, specifically, for all  $x \in \mathbb{R}^N$ ,  $x \neq 0$ ,

$$\frac{\phi(t, x) - \phi(t, 0)}{|x|^2} \geq a(f(|x|^{-q}) - b)|x|^{p-2} \geq 0.$$

**Example 3.4.** Let  $\varphi_1, \varphi_2, \dots, \varphi_k \in C^1([0, +\infty[, \mathbb{R})$  be functions such that

- (1) for all  $i \in \{1, 2, \dots, k\}$ ,  $\varphi_i^{-1}(0) \neq \emptyset$ ;
- (2) for all  $i, j \in \{1, 2, \dots, k\}$ ,  $i \neq j$ ,  $\varphi_i^{-1}(0) \cap \varphi_j^{-1}(0) = \emptyset$ ;
- (3)  $\lim_{\rho \rightarrow \infty} \frac{1}{\rho} \prod_{i=1}^k (\varphi_i(\rho))^2 = +\infty$ .

Then the problem

$$\begin{cases} \ddot{u} = 4\alpha(t) \left( \sum_{i=1}^k \varphi_i(|u|^2) \varphi_i'(|u|^2) \prod_{j \neq i} (\varphi_j(|u|^2))^2 \right) u + \lambda \nabla_x G(t, u), & \text{a.e. in } [0, T], \\ u(0) - u(T) = \dot{u}(0) - \dot{u}(T) = 0 \end{cases} \quad (P_\lambda)$$

has at least  $k$  solutions for  $\lambda > 0$  small enough. It can immediately be seen that parts (i) and (ii) of Theorem 2.1 are satisfied: specifically,  $x \in \mathbb{R}^N$  is a global minimum of the function  $H(x) = \prod_{i=1}^k (\varphi_i(|x|^2))^2$  if and only if  $\varphi_i(|x|^2) = 0$  for some  $i \in \{1, 2, \dots, k\}$ .

We would like to emphasize that, for  $\lambda$  not sufficiently small, with all the other assumptions of our theorem fulfilled, the thesis may fail, as the following counterexample shows.

**Example 3.5.** Let us consider the one-dimensional problem

$$\begin{cases} u'' = u - F'(u) + \lambda G'(u), & \text{a.e. in } [0, T], \\ u(0) - u(T) = u'(0) - u'(T) = 0, \end{cases} \quad (Q_\lambda)$$

where the function  $F$  is defined by

$$F(x) = \frac{1}{2}x^2 - \frac{1}{4}d_1x^4 - \frac{1}{2}d_2x^2$$

and  $d_1 > 0$ ,  $d_2 < 0$ . Clearly,  $F$  satisfies (i), as

$$\lim_{|x| \rightarrow +\infty} \frac{F(x)}{x^2} = -\infty.$$

The function

$$H(x) = \frac{1}{4}d_1x^4 + \frac{1}{2}d_2x^2$$

admits two global minima. Choose

$$G(x) = \frac{1}{2}x^2.$$

The problem  $(Q_\lambda)$  reads as follows:

$$\begin{cases} u'' = d_1u^3 + (d_2 + \lambda)u, & \text{a.e. in } [0, T], \\ u(0) - u(T) = u'(0) - u'(T) = 0. \end{cases}$$

Theorem 2.2 provides the existence of at least three solutions for  $\lambda$  small enough. Specifically, if  $\lambda < -d_2$ ,  $(Q_\lambda)$  has at least three solutions (the constant functions corresponding to the critical points of  $H + \lambda G$ ). If  $\lambda \geq -d_2$ ,  $(Q_\lambda)$  has only the trivial solution  $u = 0$ , since no non-constant function  $u$  can be a solution. In fact, if there exists  $t_1 \in ]0, T[$  such that  $u(t_1) > 0$ , then we get

$$\max_{[0, T]} u = u(t^*) > 0,$$

hence

$$0 \geq u''(t^*) = u(t^*)[d_1u(t^*)^2 + (d_2 + \lambda)] > 0,$$

a contradiction (analogously, a contradiction is reached if  $u(t_1) < 0$ ).

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