

## STRUCTURE OF SUMMABLE TALL IDEALS UNDER KATĚTOV ORDER

JIALIANG HE, ZUOHENG LI, AND SHUGUO ZHANG

**Abstract.** We show that Katětov and Rudin–Blass orders on summable tall ideals coincide. We prove that Katětov order on summable tall ideals is Galois–Tukey equivalent to  $(\omega^\omega, \leq^*)$ . It follows that Katětov order on summable tall ideals is upwards directed which answers a question of Minami and Sakai. In addition, we prove that  $I_\infty$  is Borel bireducible to an equivalence relation induced by Katětov order on summable tall ideals.

**§1. Introduction.** A set  $\mathcal{I} \subseteq \mathcal{P}(\omega)$  is an *ideal* on  $\omega$  if it is closed under taking subsets and finite unions. In this paper we always assume that an ideal is *proper*, i.e., it contains all finite subsets of  $\omega$  and it does not contain  $\omega$ . Given an ideal  $\mathcal{I}$  on  $\omega$ , define  $\mathcal{I}^+ = \mathcal{P}(\omega) \setminus \mathcal{I}$ . Elements of  $\mathcal{I}^+$  are called  $\mathcal{I}$ -*positive* sets. The *dual filter* of  $\mathcal{I}$  is denoted by  $\mathcal{I}^* = \{\omega \setminus A : A \in \mathcal{I}\}$ . If  $Y$  is an  $\mathcal{I}$ -positive set, then  $\mathcal{I}|Y = \{A \cap Y : A \in \mathcal{I}\}$  is an ideal on  $Y$ .

The set of all finite subsets of  $\omega$  is denoted by **Fin** or  $[\omega]^{<\omega}$ . Note that **Fin** is an ideal on  $\omega$ . The set of all infinite subsets of  $\omega$  is denoted by  $[\omega]^\omega$ . We say that an ideal  $\mathcal{I}$  on  $\omega$  is *tall* if for any  $A \in [\omega]^\omega$ , there exists  $B \in [A]^\omega$  such that  $B \in \mathcal{I}$ . Let  $X, Y$  be two countably infinite sets. Let  $\mathcal{I}$  be an ideal on  $X$  and  $\mathcal{J}$  be an ideal on  $Y$ . We write  $\mathcal{I} \simeq \mathcal{J}$  if there exists a bijection  $e : X \rightarrow Y$  such that  $A \in \mathcal{I} \Leftrightarrow e[A] \in \mathcal{J}$  where  $e[A]$  is the image of  $A$  under  $e$ . One may check that an ideal  $\mathcal{I}$  is not tall if there exists an  $\mathcal{I}$ -positive set  $A$  such that  $\mathcal{I}|A \simeq \mathbf{Fin}$ .

All ideals are assumed to be tall throughout this paper.

The set of all non-negative rational numbers is denoted by  $\mathbb{Q}_+$ . The set of all non-negative real numbers is denoted by  $\mathbb{R}_+$ . An ideal  $\mathcal{I}$  on  $\omega$  is a *summable ideal* if there is a function  $f : \omega \rightarrow \mathbb{R}_+$  with  $\sum_{n < \omega} f(n) = \infty$  such that

$$\mathcal{I} = \mathcal{I}_f := \left\{ A \subseteq \omega : \sum_{n \in A} f(n) < \infty \right\}.$$

Every summable ideal is an  $F_\sigma$  subset of  $2^\omega$  via characteristic functions (see Theorem 2.1 in Section 2 or [3]). For each summable ideal  $\mathcal{I}_f$ , if we take a function  $f' : \omega \rightarrow \mathbb{Q}_+$  such that

$$|f(n) - f'(n)| \leq \frac{1}{2^n} \text{ for each } n \in \omega,$$

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then we have  $\mathcal{I}_f = \mathcal{I}_{f'}$ , so we assume always that  $f \in \mathbb{Q}_+^\omega$  whenever we say that  $\mathcal{I}_f$  is a summable ideal. One may easily check that summable ideal  $\mathcal{I}_f$  is tall if and only if  $\lim_{n \rightarrow \infty} f(n) = 0$ . Define

$$\mathbf{summable\ ideals} = \{ \mathcal{I}_f : f \in \mathbb{Q}_+^\omega, \sum_{n < \omega} f(n) = +\infty \text{ and } \lim_{n \rightarrow \infty} f(n) = 0 \}.$$

The followings are important tools for studying ideals, we refer the readers to a survey written by Hrušák [3] for details:

- (1) (**Katětov ordering**)  $\mathcal{I} \leq_K \mathcal{J}$  if there is a function  $p : \omega \rightarrow \omega$  such that  $\forall A \subseteq \omega (A \in \mathcal{I} \Rightarrow p^{-1}(A) \in \mathcal{J})$ .
- (2) (**Katětov–Blass ordering**)  $\mathcal{I} \leq_{KB} \mathcal{J}$  if there is a finite-to-one function  $p : \omega \rightarrow \omega$  such that  $\forall A \subseteq \omega (A \in \mathcal{I} \Rightarrow p^{-1}(A) \in \mathcal{J})$ .
- (3) (**Rudin–Blass ordering**)  $\mathcal{I} \leq_{RB} \mathcal{J}$  if there is a finite-to-one function  $p : \omega \rightarrow \omega$  such that  $\forall A \subseteq \omega (A \in \mathcal{I} \Leftrightarrow p^{-1}(A) \in \mathcal{J})$ .

Obviously,  $\mathcal{I} \leq_{RB} \mathcal{J} \Rightarrow \mathcal{I} \leq_{KB} \mathcal{J} \Rightarrow \mathcal{I} \leq_K \mathcal{J}$ . Denote  $\mathcal{I} <_K \mathcal{J}$  if  $\mathcal{I} \leq_K \mathcal{J}$  and  $\mathcal{J} \not\leq_K \mathcal{I}$ . Denote  $\mathcal{I} \simeq_K \mathcal{J}$  if  $\mathcal{I} \leq_K \mathcal{J}$  and  $\mathcal{J} \leq_K \mathcal{I}$ . Notice that  $\simeq_K$  is an equivalence relation. Similarly we define  $\mathcal{I} <_{KB} \mathcal{J}, \mathcal{I} <_{RB} \mathcal{J}, \mathcal{I} \simeq_{KB} \mathcal{J}$ , and  $\mathcal{I} \simeq_{RB} \mathcal{J}$ .

Farah [2] proved that the Rudin–Blass order on all summable ideals has neither maximal elements nor minimal elements. He also proved that it is a dense ordering which includes an isomorphic copy of  $(\mathcal{P}(\omega)/\text{Fin}, \subseteq^*)$ . Let  $F_\sigma$  **ideals** be the family of all  $F_\sigma$ -ideals. Minami and Sakai [5] proved that  $(F_\sigma \text{ ideals}, \leq_K)$  and  $(F_\sigma \text{ ideals}, \leq_{KB})$  are both upward directed and asked that if this is true for summable ideals [5, Question 5.1]. We will give a positive answer to this question in Section 3.

Let us consider a variation of the definition of summable ideals. Let

$$\mathbf{FDST} = \{ f \in \mathbb{Q}_+^\omega : \sum_{n < \omega} f(n) = +\infty, \lim_{n \rightarrow \infty} f(n) = 0, \text{ and } \forall n (f(n) \geq f(n + 1)) \}$$

and

$$\mathbf{ST} = \{ \mathcal{I}_f : f \in \mathbf{FDST} \}.$$

Actually, **ST** and **summable ideals** are virtually the same class of ideals (up to isomorphism) and we will show it in Section 2 (see Propositions 2.2 and 2.3).

In Section 4, we give a characterization of  $\leq_K$  on **ST** which is crucial for later sections. In particular, we prove that for every  $\mathcal{I}_f, \mathcal{I}_g \in \mathbf{ST}, \mathcal{I}_f \leq_K \mathcal{I}_g$  if and only if  $\mathcal{I}_f \leq_{RB} \mathcal{I}_g$  (see Theorem 4.1).

Section 5 and 6 deal with Galois–Tukey connections which is introduced by Vojtáš [7]. For definition of Galois–Tukey connections, we follow the terminology in [1]. Let  $\mathbf{A} = (A_-, A_+, A)$  and  $\mathbf{B} = (B_-, B_+, B)$  be triples such that  $A \subseteq A_- \times A_+$  and  $B \subseteq B_- \times B_+$ . We say  $\mathbf{A} \leq_{GT} \mathbf{B}$  if there is a pair  $\rho = (\rho_-, \rho_+)$  of functions such that:

- (1)  $\rho_- : A_- \rightarrow B_-$ ,
- (2)  $\rho_+ : B_+ \rightarrow A_+$ , and
- (3)  $\forall a \in A_- \forall b \in B_+ (\rho_-(a)Bb \Rightarrow aA\rho_+(b))$ .

We write  $\mathbf{A} \simeq_{GT} \mathbf{B}$  if  $\mathbf{A} \leq_{GT} \mathbf{B}$  and  $\mathbf{B} \leq_{GT} \mathbf{A}$ . We say that  $\mathbf{A}$  is Galois–Tukey equivalent to  $\mathbf{B}$  if  $\mathbf{A} \simeq_{GT} \mathbf{B}$ .

Minami and Sakai [5] proved that  $(F_\sigma \text{ideals}, \leq_K)$  and  $(F_\sigma \text{ideals}, \leq_{KB})$  are both Galois–Tukey equivalent to  $(\omega^\omega, \leq^*)$ . We prove that  $(\mathbf{ST}, \leq_K) \simeq_{GT} (\omega^\omega, \leq^*)$  in Section 5 and that  $(\mathbf{ST}, \geq_K) \simeq_{GT} (\omega^\omega, \leq^*)$  in Section 6.

The last section is devoted to the study of Borel reducibility. We say that a topological space  $X$  is a *Borel space* if  $X$  is a Borel subset of some Polish space. Let  $X, Y$  be Borel spaces. Let  $E$  and  $F$  be equivalence relations on  $X$  and  $Y$ , respectively. We say that  $E$  is *Borel reducible* to  $F$  (denote  $E \leq_B F$ ) if there is a Borel map  $\Phi : X \rightarrow Y$  such that  $xEy \Leftrightarrow \Phi(x)F\Phi(y)$  for all  $x, y \in X$ . We say that  $E$  is *Borel bireducible* to  $F$  if  $E \leq_B F$  and  $F \leq_B E$ . Let  $l_\infty = \{f \in \mathbb{R}^\omega : \sup_{n < \omega} |f(n)| < \infty\}$ . For each  $x, y \in \mathbb{R}^\omega$ , define

$$xl_\infty y \iff x - y \in l_\infty.$$

We will prove that  $l_\infty$  is Borel bireducible to  $\simeq_K$  on  $\mathbf{F}_{DST}$ . Here,  $f \simeq_K g$  means  $\mathcal{I}_f \simeq_K \mathcal{I}_g$ .

**§2. Preliminary.** We make two comments in this section. One is that there is a convenient tool for studying  $F_\sigma$ -ideals. The other is that  $\mathbf{ST}$  and **summable ideals** are virtually the same class of ideals (up to isomorphism).

Every summable ideal is an  $F_\sigma$ -ideal. This can be inferred by Mazur’s characterization of  $F_\sigma$ -ideals using submeasures. A *submeasure* on  $\omega$  is a function  $\mu : \mathcal{P}(\omega) \rightarrow [0, +\infty]$  with the following properties for  $A, B \subseteq \omega$ :

- (1)  $\mu(A) \leq \mu(B)$  if  $A \subseteq B$ ,
- (2)  $\mu(A \cup B) \leq \mu(A) + \mu(B)$ , and
- (3)  $\mu(\emptyset) = 0$ .

A submeasure  $\mu$  is *lower semicontinuous* (lsc) if for every  $A \subseteq \omega$  we have that

$$\mu(A) = \lim_{n \rightarrow \infty} \mu(A \cap n).$$

We say that  $\mu$  is *unbounded* if  $\mu(\omega) = \infty$ . Mazur proved the following theorem.

**THEOREM 2.1.** [4] *The following are equivalent for every ideal  $\mathcal{I}$  on  $\omega$  :*

- (1)  $\mathcal{I}$  is an  $F_\sigma$ -ideal.
- (2)  $\mathcal{I} = \text{Fin}(\mu)$  for some unbounded lsc submeasure  $\mu$  on  $\omega$ , where

$$\text{Fin}(\mu) = \{A \subseteq \omega : \mu(A) < \infty\}.$$

For each summable ideal  $\mathcal{I}_f$ , let  $u_f(A) = \sum_{i \in A} f(i)$  for  $A \subseteq \omega$ . It is easy to see that  $u_f$  is an unbounded lsc submeasure on  $\omega$  by

$$u_f(A) = \sum_{i \in A} f(i) = \lim_{n \rightarrow \infty} \sum_{i \in A \cap n} f(i) = \lim_{n \rightarrow \infty} u_f(A \cap n).$$

Now we turn to the second comment.

PROPOSITION 2.2. *There is a Borel function  $F : \mathbf{F} \rightarrow \mathbf{F}_{\text{DST}}$  such that  $\mathcal{I}_f \simeq \mathcal{I}_{F(f)}$  for every  $f \in \mathbf{F}$  where*

$$\mathbf{F} = \left\{ f \in \mathbb{Q}_+^\omega : \sum_{n \in \omega} f(n) = \infty \text{ and } \lim_{n \rightarrow \infty} f(n) = 0 \right\}.$$

PROOF. Let  $f \in \mathbf{F}$ . For each  $n \in \omega$ , let

$$X_n = \left\{ k \in \omega : \frac{1}{n+2} \leq f(k) < \frac{1}{n+1} \right\}$$

and  $N_n = |X_n|$ . Since  $\mathcal{I}_f$  is tall, we have that  $N_n < \infty$  for all  $n \in \omega$ . Denote  $M_n = \sum_{i=0}^n N_i$ . Let  $Y_0 = [0, M_0)$  and  $Y_n = [M_{n-1}, M_n)$  for each  $n > 0$ . It follows that  $|X_n| = |Y_n|$  for all  $n$ . For each  $n \in \omega$ , define

$$m_1^n \text{ by } f(m_1^n) = \max f[X_n]$$

and

$$m_j^n \text{ by } f(m_j^n) = \max f[X_n \setminus \{m_1^n, \dots, m_{j-1}^n\}] \text{ for each } 1 < j \leq N_n.$$

For each  $n \in \omega$ , define a bijection  $h_n : X_n \rightarrow Y_n$  by

$$h_n(m_j^n) = M_{n-1} + j - 1 \text{ for each } 1 \leq j \leq N_n.$$

For each  $n \in \omega$ , define  $f'_n : Y_n \rightarrow \mathbb{Q}_+$  by

$$f'_n(h_n(m_j^n)) = f(m_j^n) \text{ for all } 1 \leq j \leq N_n.$$

Let  $f' = \bigcup_{n \in \omega} f'_n$ . Define  $F(f) = f'$ . It follows that  $F(f)$  is nonincreasing by the definition of  $f'$ . Then  $\mathcal{I}_f \simeq \mathcal{I}_{F(f)}$  is witnessed by  $h = \bigcup_{n \in \omega} h_n$ .

Next we show that  $F$  is Borel. For any  $n \in \omega$  and  $b > a \geq 0$ , define

$$U = \{f \in \mathbf{F}_{\text{DST}} : f(n) \in (a, b)\} \text{ and } U_q = \{f \in \mathbf{F}_{\text{DST}} : f(n) = q\} \text{ for all } q \in (a, b) \cap \mathbb{Q}_+.$$

It follows that  $U$  is a basic open set in  $\mathbf{F}_{\text{DST}}$  and  $U = \bigcup_{q \in (a,b) \cap \mathbb{Q}_+} U_q$ . Fix  $n, U, q$ , and  $U_q$  as above. By the definition of  $\mathbf{F}_{\text{DST}}$ , for each  $f \in U_q$  we have that

$$f(i) \geq q \text{ for all } 0 \leq i < n \text{ and } f(j) \leq q \text{ for all } j > n.$$

Let  $A = \{q\}, B = [q, +\infty)$ , and  $C = [0, q]$ . It is easy to see that the set  $A \times B^n \times C^\omega$  is Borel in  $\mathbb{Q}_+^\omega$ . For each  $K \in [\omega]^{n+1}$ , let  $P_K \subseteq \omega^{n+1}$  be the set of all permutations of  $K$  (i.e., all bijections from  $K$  to  $K$ ). For each  $a = (a_0, a_1, \dots, a_n) \in P_K$ , define  $S(a) = (S_0, S_1, \dots, S_n, \dots) \in \mathcal{P}(\omega)^\omega$  by

$$S_{a_0} = A, S_{a_1} = \dots = S_{a_n} = B \text{ and } S_j = C \text{ for all } j \notin \{a_0, \dots, a_n\}.$$

Denote  $\prod S(a) = \prod_{i \in \omega} S_i$ . Then

$$F^{-1}(U) = \bigcup_{q \in (a,b) \cap \mathbb{Q}_+} F^{-1}(U_q) = \bigcup_{q \in (a,b) \cap \mathbb{Q}_+} \bigcup_{K \in [\omega]^{n+1}} \bigcup_{a \in P_K} \prod S(a).$$

It follows that  $F^{-1}(U)$  is a Borel set and  $F$  is Borel. +

PROPOSITION 2.3. Define a map  $\Lambda : \mathbf{summable\ ideals} \rightarrow \mathbf{ST}$  by

$$\Lambda(\mathcal{I}_f) = \mathcal{I}_{F(f)} \text{ for all } \mathcal{I}_f \in \mathbf{summable\ ideals},$$

where  $F$  is the function taken from the Proposition 2.2. Then for each pair  $\mathcal{I}_f, \mathcal{I}_g \in \mathbf{summable\ ideals}$  we have that

$$\mathcal{I}_f \leq_K \mathcal{I}_g \Leftrightarrow \mathcal{I}_{F(f)} \leq_K \mathcal{I}_{F(g)}.$$

PROOF. ( $\Rightarrow$ ): Let  $\mathcal{I}_f, \mathcal{I}_g \in \mathbf{summable\ ideals}$  such that  $\mathcal{I}_f \leq_K \mathcal{I}_g$ . Then we have that

$$\mathcal{I}_{F(f)} \simeq \mathcal{I}_f \leq_K \mathcal{I}_g \simeq \mathcal{I}_{F(g)}.$$

It follows that  $\mathcal{I}_{F(f)} \leq_K \mathcal{I}_{F(g)}$ .

( $\Leftarrow$ ): Let  $\mathcal{I}_f, \mathcal{I}_g \in \mathbf{summable\ ideals}$  such that  $\mathcal{I}_f \not\leq_K \mathcal{I}_g$  and  $p : \omega \rightarrow \omega$  be a map. Let  $e_1$  and  $e_2$  be witnesses for  $\mathcal{I}_f \simeq \mathcal{I}_{F(f)}$  and  $\mathcal{I}_g \simeq \mathcal{I}_{F(g)}$ , respectively. By  $\mathcal{I}_f \not\leq_K \mathcal{I}_g$ , there exists  $A \in \mathcal{I}_f$  such that

$$(e_1^{-1} \circ p \circ e_2)^{-1}(A) \notin \mathcal{I}_g.$$

Then we have that  $e_1[A] \in \mathcal{I}_{F(f)}$  and

$$e_2^{-1}(p^{-1}(e_1[A])) \notin \mathcal{I}_g \Leftrightarrow p^{-1}(e_1[A]) \notin \mathcal{I}_{F(g)}.$$

Thus  $\mathcal{I}_{F(f)} \not\leq_K \mathcal{I}_{F(g)}$ . \(\dashv\)

**\(\S 3\). An answer to Minami and Sakai's question.** In this section, we prove the following theorem which give a positive answer to a question of Minami and Sakai's [5, Question 5.1].

THEOREM 3.1. (**Summable ideals,  $\leq_{KB}$** ) is countably upward directed.

PROOF. Suppose that  $\mathcal{I}_f \in \mathbf{summable\ ideals}$ . Then  $\lim_{n \rightarrow \infty} f(n) = 0$  and  $\sum_{n \in \omega} f(n) = \infty$ . Inductively take  $\{k_n : n \in \omega\}$  such that for each  $n \in \omega$ ,

- (1)  $k_n < k_{n+1}$ ,
- (2)  $f(m) < 1/(n+1)$  for each  $m \geq k_n$ , and
- (3)  $u_f([k_n, k_{n+1})) \geq 1$ .

Suppose we have already constructed  $\{k_j : j \leq n\}$  such that (1)–(3) holds. Since  $\lim_{n \rightarrow \infty} f(n) = 0$ , we can find  $k_{n+1}$  large enough such that (1) and (2) hold. By  $\sum_{n \in \omega} f(n) = \infty$  we have  $u_f([k_n, \infty)) = \infty$ , so we may find  $k_{n+1}$  such that (3) holds. Denote  $N_n := u_f([k_n, k_{n+1})) \geq 1$  and  $I_n := [k_n, k_{n+1})$  for all  $n \in \omega$ . Then

$$u_f(I_n) = N_n \geq 1.$$

Now let  $\{\mathcal{I}_{f_m} : m \in \omega\} \subseteq \mathbf{summable\ ideals}$ . For each  $m \in \omega$ , let  $k_n^m, I_n^m, N_n^m$  be as above. Then for all  $n, m \in \omega$ ,

$$u_{f_m}(I_n^m) = N_n^m \geq 1.$$

For each  $n \in \omega$ , let  $X_n = \prod_{m < n} I_n^m \subseteq \omega^n$  and  $X = \bigcup_{n \in \omega} X_n$ . Fix  $n \in \omega$ . For any  $(i_0, i_1, \dots, i_{n-1}) \in X_n$ , define a function  $f$  by

$$f((i_0, i_1, \dots, i_{n-1})) = \frac{\prod_{m < n} f_m(i_m)}{\prod_{m < n} N_n^m}.$$

Then

$$\begin{aligned} u_f(X_n) &= \sum_{(i_0, \dots, i_{n-1}) \in X_n} f((i_0, \dots, i_{n-1})) = \sum_{i_0 \in I_n^0, \dots, i_{n-1} \in I_n^{n-1}} f((i_0, \dots, i_{n-1})) \\ &= \frac{1}{\prod_{m < n} N_n^m} \cdot \sum_{i_0 \in I_n^0, \dots, i_{n-1} \in I_n^{n-1}} \left( \prod_{m < n} f_m(i_m) \right) = \frac{1}{\prod_{m < n} N_n^m} \cdot \prod_{m < n} u_{f_m}(I_n^m) \\ &= 1, \end{aligned}$$

so  $u_f(X) = \infty$ .

By (2), for all  $n \in \omega$  we have that

$$f((i_0, i_1, \dots, i_{n-1})) \leq \frac{1}{(n+1)^n} \text{ for every } (i_0, i_1, \dots, i_{n-1}) \in X_n,$$

so  $\mathcal{I}_f$  is tall.

We will show that for each  $m \in \omega$ ,  $\mathcal{I}_{f_m} \leq_{KB} \mathcal{I}_f$ . Fix  $m \in \omega$ . Define  $\pi_m : X \rightarrow \omega$  by

$$\begin{aligned} \pi_m((i_0, \dots, i_{n-1})) &= 0, n \leq m, \\ \pi_m((i_0, \dots, i_{n-1})) &= i_m, n > m, \end{aligned}$$

Then  $|\pi_m^{-1}(0)| < \infty$  and  $u_f(\pi_m^{-1}(0)) < \infty$ . Let  $i \in \omega \setminus \{0\}$ . If  $i \in I_n^m$  for some  $n \leq m$  then  $\pi_m^{-1}(i) = \emptyset$ . We assume that  $i \in I_n^m$  for some  $n > m$ . It follows that  $|\pi_m^{-1}(i)| \leq |X_n| < \infty$  and

$$\begin{aligned} u_f(\pi_m^{-1}(i)) &= u_f(\{(i_0, \dots, i_m, \dots, i_{n-1}) \in X : i_m = i\}) \\ &= \frac{f_m(i)}{N_n^m} \cdot \sum_{(i_0, \dots, i_{n-1})} \left( \frac{\prod_{j < n, j \neq m} f_j(i_j)}{\prod_{j < n, j \neq m} N_n^j} \right) = \frac{f_m(i)}{N_n^m} \leq f_m(i). \end{aligned}$$

Thus, for every  $A \subseteq \omega \setminus \{0\}$  with  $u_{f_m}(A) < \infty$  we have that  $u_f(\pi_m^{-1}(A)) \leq u_{f_m}(A) < \infty$ .  $\dashv$

**§4. Characterizations of Katětov order among summable ideals.** In this section, we prove the following theorem which is crucial for later section.

**THEOREM 4.1.** *Let  $\mathcal{I}_f, \mathcal{I}_g \in \mathbf{ST}$ . Then the following are equivalent:*

- (1)  $\mathcal{I}_f \leq_K \mathcal{I}_g$ .
- (2) *There exist  $p : \omega \rightarrow \omega$  and  $0 < C$  such that*

$$A[C] = \{n : u_g(p^{-1}(n)) \leq C \cdot f(n)\} \in \mathcal{I}_f^*$$

*and  $p^{-1}(A[C]) \in \mathcal{I}_g^*$ .*

- (3) *There exists  $0 < M \in \omega$  such that for all  $l > M$  and  $k_1 > k_0 \geq M$ , if  $u_g([k_0, k_1]) > M \cdot u_f([0, l])$ , then  $g(k_1) \leq M \cdot f(l)$ .*
- (4) *There exist an interval-to-one map  $p : \omega \rightarrow \omega$  and  $0 < c < C$  such that  $c \cdot f(i) \leq u_g(p^{-1}(i)) \leq C \cdot f(i)$  for all  $i$ .*
- (5) *There exist an interval-to-one map  $p : \omega \rightarrow \omega$  and  $0 < C$  such that  $u_g(p^{-1}(i)) \leq C \cdot f(i)$  for all  $i$ .*
- (6) *There exists  $0 < M \in \omega$  such that for all  $k, l \in \omega$ , if  $u_g([0, k]) > M \cdot u_f([0, l])$ , then  $g(k) \leq M \cdot f(l)$ .*
- (7)  $\mathcal{I}_f \leq_{RB} \mathcal{I}_g$ .

**PROOF.** (1) $\Rightarrow$ (2): Let  $p : \omega \rightarrow \omega$  be a witness for  $\mathcal{I}_f \leq_K \mathcal{I}_g$ . We show that there exists  $C > 0$  such that  $A[C] = \{n : u_g(p^{-1}(n)) \leq C \cdot f(n)\} \in \mathcal{I}_f^*$ . Otherwise,  $A[C] \notin \mathcal{I}_f^*$  for every  $C > 0$ . Thus, we can find pairwise disjoint finite sets  $\{a_n : 1 \leq n < \omega\}$  such that for each  $1 \leq n \in \omega$ ,

- (i)  $f(j) \leq \frac{1}{n^2}$  for any  $j \in a_n$ ,
- (ii)  $a_n \subseteq \omega \setminus A[n]$ , and
- (iii)  $\frac{1}{n^2} \leq u_f(a_n) \leq \frac{2}{n^2}$ .

By (ii),

$$u_g(p^{-1}(a_n)) > n \cdot u_f(a_n) \geq \frac{1}{n}.$$

Let  $B = \bigcup_{1 \leq n < \omega} a_n$ . Then

$$u_f(B) \leq \sum_{n=1}^{\infty} \frac{2}{n^2} < \infty, \text{ and } u_g(p^{-1}(B)) \geq \sum_{n=1}^{\infty} \frac{1}{n} = \infty.$$

This contradicts the definition of  $p$ .

(2) $\Rightarrow$ (3): Let  $p$  and  $C$  be such that  $A[C] \in \mathcal{I}_f^*$  and  $p^{-1}(A[C]) \in \mathcal{I}_g^*$ . Then  $p^{-1}(\omega \setminus A[C]) \in \mathcal{I}_g$ . Take  $M > C + 1$  such that  $u_g(p^{-1}(\omega \setminus A[C]) \setminus M) < 1$  and  $u_f([0, M]) > 2$ . Assume  $l > M$ ,  $k_1 > k_0 \geq M$  with  $u_g([k_0, k_1]) > M u_f([0, l])$ . Consider  $t = [k_0, k_1] \setminus p^{-1}([0, l])$ . The proof is divided into two cases.

**Case 1:**  $p(t) \cap A[C] = \emptyset$ .

Then  $t \cap p^{-1}(A[C]) = \emptyset$ . By  $k_0 \geq M$ , we have that  $t \subseteq p^{-1}(\omega \setminus A[C]) \setminus M$ . By the definition of  $M$  we have  $u_g(t) < 1$ . On the other hand we have

$$u_g([k_0, k_1] \cap p^{-1}([0, l]) \cap p^{-1}(\omega \setminus A[C])) \leq u_g(p^{-1}(\omega \setminus A[C]) \setminus M) < 1$$

and

$$u_g\left([k_0, k_1] \cap p^{-1}([0, l]) \cap p^{-1}(A[C])\right) \leq C \cdot u_f\left([0, l] \cap A[C]\right) \leq C \cdot u_f([0, l]).$$

Thus

$$\begin{aligned} u_g\left([k_0, k_1] \cap p^{-1}([0, l])\right) &\leq 1 + C \cdot u_f([0, l]) \\ &= 2 + C \cdot u_f([0, l]) - 1 < (C + 1) \cdot u_f([0, l]) - 1 \end{aligned}$$

and

$$\begin{aligned} u_g(t) = u_g\left([k_0, k_1] \setminus p^{-1}([0, l])\right) &= u_g([k_0, k_1]) - u_g\left([k_0, k_1] \cap p^{-1}([0, l])\right) \\ &> M \cdot u_f([0, l]) - ((C + 1) \cdot u_f([0, l]) - 1) > 1. \end{aligned}$$

A contradiction.

**Case 2:**  $p(t) \cap A[C] \neq \emptyset$ .

Let  $m \in t$  and  $p(m) \in A[C]$ . Then  $m \leq k_1$ ,  $p(m) > l$ , and  $u_g(p^{-1}(p(m))) \leq C \cdot f(p(m))$ . By the monotonicity of  $f$  and  $g$ , we have

$$g(k_1) \leq g(m) \leq u_g(p^{-1}(p(m))) \leq C \cdot f(p(m)) \leq C \cdot f(l) < M \cdot f(l).$$

(3) $\Rightarrow$ (4): Choose  $k_0$  such that

$$u_g([M, k_0]) = u_g([M, k_0 - 1]) > M \cdot u_f([0, M]).$$

We recursively choose a sequence  $k_0 < k_1 < \dots$  such that for each  $i$ ,  $k_{i+1}$  is the minimal such that  $u_g([k_i, k_{i+1}]) \geq M \cdot f(M + 1 + i)$ . Then we have

$$u_g([M, k_{i+1}]) > M \cdot u_f([0, M]) + M \cdot \sum_{j=0}^i f(M + 1 + j) = M \cdot u_f([0, M + 1 + i]).$$

Thus  $g(k_{i+1} - 1) \leq M \cdot f(M + 1 + i)$  by the assumption of (3). The proof is divided into two cases.

**Case 1.**  $k_{i+1} - 1 = k_i$ . Clearly we have that  $g(k_{i+1} - 1) = u_g([k_i, k_{i+1}]) = M \cdot f(M + 1 + i)$ .

**Case 2.**  $k_{i+1} - 1 > k_i$ . By the definition of  $k_{i+1}$ , we have  $u_g([k_i, k_{i+1} - 1]) < M \cdot f(M + 1 + i)$ . This implies that

$$\begin{aligned} M \cdot f(M + 1 + i) \leq u_g([k_i, k_{i+1}]) &= u_g([k_i, k_{i+1} - 1]) + g(k_{i+1} - 1) \\ &< 2M \cdot f(M + 1 + i). \end{aligned}$$

Let  $p : \omega \rightarrow \omega$  be an interval-to-one map such that  $p^{-1}([0, M]) = [0, k_0]$  and  $p^{-1}(M + 1 + i) = [k_i, k_{i+1})$  for every  $i$ . Define

$$C = \max \left\{ 2M, \max \left\{ \frac{u_g(p^{-1}(n))}{u_f(n)} : n \leq M \right\} \right\}$$



and

$$c = \min \left\{ M, \min \left\{ \frac{u_g(p^{-1}(n))}{u_f(n)} : n \leq M \right\} \right\}.$$

Then, for each  $n \leq M$  we have that

$$c \cdot f(n) \leq \frac{u_g(p^{-1}(n))}{f(n)} \cdot f(n) = u_g(p^{-1}(n)) = \frac{u_g(p^{-1}(n))}{f(n)} \cdot f(n) \leq C \cdot f(n).$$

(4) $\Rightarrow$ (7): For every  $A \subseteq \omega$ , we have that

$$u_f(A) < \infty \Rightarrow u_g(p^{-1}(A)) \leq C \cdot u_f(A) < \infty$$

and

$$u_g(p^{-1}(A)) < \infty \Rightarrow u_f(A) \leq \frac{1}{c} \cdot u_g(p^{-1}(A)) < \infty.$$

(4) $\Rightarrow$ (5), (5) $\Rightarrow$ (1), (7) $\Rightarrow$ (1) are clear.

(5) $\Rightarrow$ (6): Let  $C$  be as in (5). Define  $M = C$ . We will show that  $M$  is as desired. Let  $l, k \in \omega$  with  $u_g([0, k]) > M \cdot u_f([0, l])$ . By the assumption of (5),  $u_g(p^{-1}([0, l])) \leq M \cdot u_f([0, l])$ , so  $[0, k] \setminus p^{-1}([0, l]) \neq \emptyset$ . Take  $m \in [0, k] \setminus p^{-1}([0, l])$ . Then  $m \leq k$  and  $p(m) > l$ . It follows that

$$g(k) \leq g(m) \leq u_g(p^{-1}(p(m))) \leq M \cdot f(p(m)) \leq M \cdot f(l).$$

(6) $\Rightarrow$ (5): Choose  $k_0$  such that

$$u_g([0, k_0]) = u_g([0, k_0 - 1]) > M \cdot f(0).$$

Recursively define a sequence  $k_0 < k_1 < \dots$  such that for each  $i > 0$ ,  $k_i$  is the minimal such that  $u_g([k_{i-1}, k_i]) \geq M \cdot f(i)$ . Then we have

$$u_g([0, k_i]) > M \cdot \sum_{j=0}^i f(j) = M \cdot u_f([0, i]).$$

Thus  $g(k_i - 1) \leq M \cdot f(i)$  by the assumption of (5). The proof is divided into two cases.

**Case 1.**  $k_i - 1 = k_{i-1}$ . Clearly we have that  $g(k_i - 1) = u_g([k_{i-1}, k_i]) = M \cdot f(i)$ .

**Case 2.**  $k_i - 1 > k_{i-1}$ . By the choice of  $k_i$ , we have  $u_g([k_{i-1}, k_i - 1]) < M \cdot f(i)$ . This implies that

$$M \cdot f(i) \leq u_g([k_i, k_{i+1}]) = u_g([k_i, k_{i+1} - 1]) + g(k_{i+1} - 1) < 2M \cdot f(i).$$

Let  $p : \omega \rightarrow \omega$  be an interval-to-one map such that  $p^{-1}(0) = [0, k_0)$  and  $p^{-1}(i) = [k_{i-1}, k_i)$  for every  $i > 0$ . It is easy to see that  $p$  and  $C = 2M$ . -1

**Remark:** It is worth to note that  $p$  in the proof of (3) $\Rightarrow$ (4) and (6) $\Rightarrow$ (5) is a surjection and  $\max p^{-1}(n) < \min p^{-1}(n + 1)$  for  $n \in \omega$  (see Figure 1).

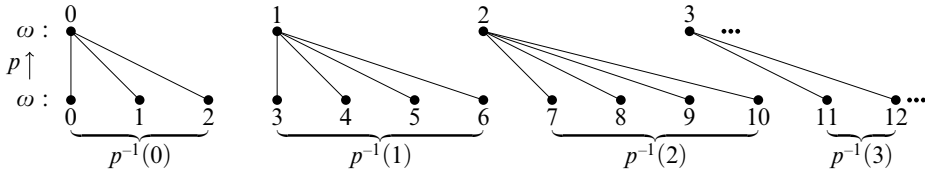


FIGURE 1. An example of interval-to-one map  $p$  in Remark.

**§5. The structure of  $(\mathbf{ST}, \leq_K)$  in the sense of Galois–Tukey connection.** In this section we prove that  $(\mathbf{ST}, \leq_K) \simeq_{GT} (\omega^\omega, \leq^*)$  (see Theorem 5.6). We first prove  $(\mathbf{ST}, \leq_K) \leq_{GT} (\omega^\omega, \leq^*)$  (see Lemma 5.4).

We will define an order  $(\mathbb{H}, \leq^\circ)$  such that  $(\mathbb{H}, \leq^\circ)$  is upward directed and

$$(\mathbf{ST}, \leq_K) \leq_{GT} (\mathbb{H}, \leq^\circ) \leq_{GT} (\omega^\omega, \leq^*).$$

To define  $(\mathbb{H}, \leq^\circ)$ , we need the following.

First, we define a set  $\Phi \subseteq \mathbb{Q}_+^{\leq \omega} \times \omega^{\leq \omega}$  by  $(s, p) \in \Phi$  if and only if  $(*)$  there exist  $l_s, k_s \in \omega$  such that  $(s, p)$  satisfies the following (see Figure 2):

- (i)  $s : l_s \rightarrow \mathbb{Q}_+$  and  $s(j) \geq s(j + 1)$  for all  $j < l_s - 1$ ,
- (ii)  $0 = p(1) < p(2) < \dots < p(k_s) = l_s - 1$ ,
- (iii)  $u_s([p(i), p(i + 1)]) \geq 1$  for each  $0 < i < k_s$ , and
- (iv)  $s(j) \leq \frac{1}{j}$  for each  $j \geq p(i)$  and  $0 < i < k_s$ .

For any  $(s, p) \in \Phi$ , define a subset of  $\Phi$  by

$$\Phi(s, p) = \{(t, q) \in \Phi : s \sqsubseteq t, p \sqsubseteq q \text{ and } k_t = k_s + 1\}.$$

Define an order  $\trianglelefteq_{(s,p)}$  on  $\Phi(s, p)$  as follows: for each  $(t_1, q_1), (t_2, q_2) \in \Phi(s, p)$ ,  $(t_1, q_1) \trianglelefteq_{(s,p)} (t_2, q_2)$  if and only if there exists a map

$$\pi : [q_2(k_s), q_2(k_s + 1)) \rightarrow [q_1(k_s), q_1(k_s + 1))$$

such that

$$u_{t_2}(\pi^{-1}(i)) \leq t_1(i) \text{ for each } i \in [q_1(k_s), q_1(k_s + 1)).$$

It is easy to see that  $\trianglelefteq_{(s,p)}$  is transitive.

**LEMMA 5.1.**  $(\Phi(s, p), \trianglelefteq_{(s,p)})$  is upward directed for all  $(s, p) \in \Phi$ .

**PROOF.** Fix  $(t_0, q_0), (t_1, q_1) \in \Phi(s, p)$ . Define  $(t, q)$  as follows. Define  $I_0 = [q_0(k_s), q_0(k_s + 1))$  and  $I_1 = [q_1(k_s), q_1(k_s + 1))$  and  $I = I_0 \times I_1$ .

Fix  $i \in \{0, 1\}$ . Denote  $N_i := u_{t_i}(I_i) \geq 1$ . For every  $(j_0, j_1) \in I$ , define  $t$  by

$$t((j_0, j_1)) = \frac{t_0(j_0) \cdot t_1(j_1)}{N_0 \cdot N_1}.$$

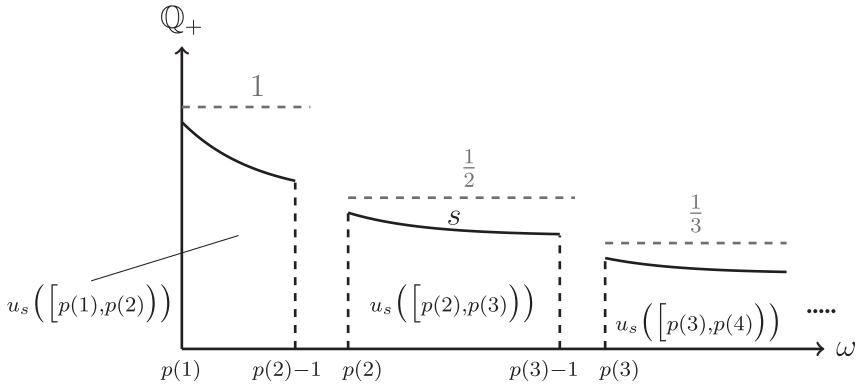


FIGURE 2. An element  $(s, p)$  of set  $\Phi$ .

Let  $M = |I_1| \cdot |I_2|$ . We can find a bijection  $e$  from  $I$  to  $[p(k_s) + 1, p(k_s) + M]$  such that

$$t(e^{-1}(j)) \geq t(e^{-1}(j + 1)) \text{ for all } j \in [p(k_s) + 1, p(k_s) + M].$$

Let  $q(k_s) = p(k_s)$  and  $q(k_s + 1) = p(k_s) + M + 1$ . Then

$$I = e^{-1}([q(k_s), q(k_s + 1)]).$$

Without loss of generality, we can regard  $I$  as  $[q(k_s), q(k_s + 1)]$ .

We will show that  $(t, q) \in \Phi(s, p)$  and  $(t_i, q_i) \preceq_{(s,p)} (t, q)$  for  $i \in \{0, 1\}$ .

(1)  $(t, q) \in \Phi(s, p)$ :

Use

$$u_t(I) = \sum_{(j_0, j_1) \in I} \frac{t_0(j_0) \cdot t_1(j_1)}{N_0 \cdot N_1} = 1$$

and

$$t((j_0, j_1)) = \frac{t_0(j_0) \cdot t_1(j_1)}{N_0 \cdot N_1} \leq \frac{1}{N_0 \cdot N_1} \cdot \frac{1}{k_s^2} \leq \frac{1}{k_s} \text{ for all } (j_0, j_1) \in I.$$

(2)  $(t_i, q_i) \preceq_{(s,p)} (t, q)$  for  $i \in \{0, 1\}$ :

Let  $\pi_0$  and  $\pi_1$  be the projection map onto the first coordinate and second coordinate, respectively. For any  $j \in I_0$ , we have that

$$\pi_0^{-1}(j) = \{(j, j_1) : j_1 \in I_1\}$$

and

$$u_t(\pi_0^{-1}(j)) = \frac{t_0(j)}{N_0} \cdot \sum_{j_1 \in I_1} \frac{t_1(j_1)}{N_1} = \frac{t_0(j)}{N_0} \leq t_0(j).$$

Similarly, we have  $u_t(\pi_1^{-1}(j)) \leq t_1(j)$ .

–

For any  $(s, p) \in \Phi$ , define a cofinal subset  $\tilde{\Phi}(s, p)$  of  $\Phi(s, p)$  such that  $(\tilde{\Phi}(s, p), \triangleleft_{(s,p)})$  is an increasing chain. We define  $\tilde{\Phi}(s, p)$  as follows. Enumerate  $\Phi(s, p) = \{(s_n, p_n), n \in \omega\}$ . Let  $(t_0, q_0) = (s_0, p_0)$ . Suppose we have already constructed  $\{(t_i, q_i) : i < n\}$ . Then we take  $(t_n, q_n)$  such that  $(t_{n-1}, q_{n-1}) \triangleleft_{(s,p)} (t_n, q_n)$  and  $(s_n, p_n) \triangleleft_{(s,p)} (t_n, q_n)$  by Lemma 5.1. Define

$$\tilde{\Phi}(s, p) = \{(t_n, q_n) : n < \omega\}.$$

Define

$$\mathbb{H} = \{h \in \Phi^\Phi : h((s, p)) \in \tilde{\Phi}(s, p) \text{ for all } (s, p) \in \Phi\}.$$

Define the order  $\leq^\circ$  on  $\mathbb{H}$  as follows: for each  $h, h' \in \mathbb{H}$ ,  $h \leq^\circ h'$  if and only if  $h((s, p)) \triangleleft_{(s,p)} h'((s, p))$  for all but finitely many  $(s, p) \in \Phi$ . It is easy to see that  $(\mathbb{H}, \leq^\circ)$  is upward directed by the definition of  $\mathbb{H}$ .

Next, we prove the following:

LEMMA 5.2.  $(\mathbb{H}, \leq^\circ) \leq_{GT} (\omega^\omega, \leq^*)$ .

PROOF. Enumerate

$$\Phi = \{(s_i, p_i), i \in \omega\}.$$

Enumerate

$$\tilde{\Phi}(s_i, p_i) = \left\{ \left( t_j^{(s_i, p_i)}, q_j^{(s_i, p_i)} \right) : j \in \omega \right\}$$

in such way that  $(t_j^{(s_i, p_i)}, q_j^{(s_i, p_i)}) \triangleleft_{(s_i, p_i)} (t_k^{(s_i, p_i)}, q_k^{(s_i, p_i)})$  for all  $j < k$ .

Define  $\rho_+ : \omega^\omega \rightarrow \mathbb{H}$  as follows. For every  $g \in \omega^\omega$  and  $i \in \omega$ , let

$$\rho_+(g)((s_i, p_i)) = \left( t_{g(i)}^{(s_i, p_i)}, q_{g(i)}^{(s_i, p_i)} \right).$$

Define  $\rho_- : \mathbb{H} \rightarrow \omega^\omega$  as follows. For every  $h \in \mathbb{H}$  and  $i \in \omega$ , let

$$h((s_i, p_i)) = \left( t_{\rho_-(h)(i)}^{(s_i, p_i)}, q_{\rho_-(h)(i)}^{(s_i, p_i)} \right) \text{ for all } i \in \omega.$$

We claim that

$$\forall h \in \mathbb{H} \forall g \in \omega^\omega (\rho_-(h) \leq^* g \Rightarrow h \leq^\circ \rho_+(g)).$$

Suppose  $h \in \mathbb{H}$ ,  $g \in \omega^\omega$ , and  $\rho_-(h) \leq^* g$ . There is  $n \in \omega$  such that for each  $i \geq n$  we have  $\rho_-(h)(i) \leq g(i)$ . Then  $h((s_i, p_i)) \triangleleft_{(s_i, p_i)} \rho_+(g)((s_i, p_i))$  for all but finitely many  $i \in \omega$ , i.e.,  $h \leq^\circ \rho_+(g)$ . ⊖

Now, we prove the following:

LEMMA 5.3.  $(\mathbf{ST}, \leq_K) \leq_{GT} (\mathbb{H}, \leq^\circ)$ .

PROOF. Define  $\rho_+ : \mathbb{H} \rightarrow \mathbf{ST}$  as follows. Define  $q_{-1} \in \omega^1$  by  $q_{-1}(1) = 0$  and  $t_{-1}(0) = 1$ . For each  $h \in \mathbb{H}$ , let  $(t_0^h, q_0^h) = h((t_{-1}, q_{-1}))$  and  $(t_{n+1}^h, q_{n+1}^h) = h((t_n^h, q_n^h))$

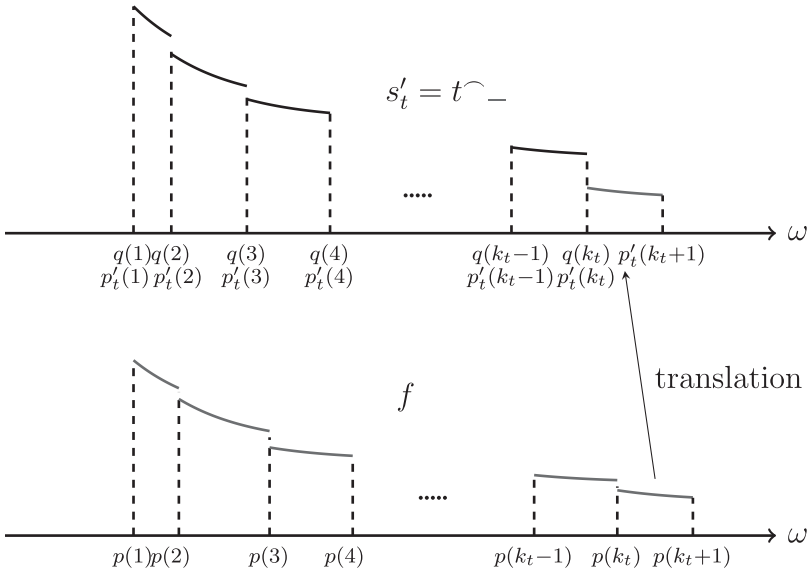


FIGURE 3. The definition of  $(s'_t, p'_t)$  in the proof of Lemma 5.3.

for all  $n \in \omega$ . Let  $g = \bigcup_{n \in \omega} t_n^h$  and  $\rho_+(h) = \mathcal{I}_g$ . Since  $q_{-1} = (q_{-1}(1))$ , we have that  $q_0^h = (q_0^h(1), q_0^h(2))$ , and

$$q_n^h = (q_n^h(1), q_n^h(2), \dots, q_n^h(n+2)) \text{ for } n \in \omega, \text{ i.e., } k_{t_n^h} = n+2$$

(see (\*) at the beginning of Section 4 for the definition of  $k_{t_n^h}$ ).

Define  $\rho_- : \mathbf{ST} \rightarrow \mathbb{H}$  as follows. For  $\mathcal{I}_f \in \mathbf{ST}$ , take  $0 = m_1 < m_2 < \dots < m_i < \dots$  such that for each  $i > 0$ ,

$$u_f([m_i, m_{i+1})) \geq 1 \text{ and } f(j) \leq \frac{1}{i} \text{ for every } j \geq m_i.$$

For each  $n \geq 1$ , let  $p(n) = m_n$ ,  $p_n = (p(1), \dots, p(n))$ , and  $s_n = f|_{[0, p(n))}$ . For every  $(t, q) \in \Phi$  with  $q = (q(1), \dots, q(k_t))$ , let

$$\begin{aligned} p'_t &= (q(1), \dots, q(k_t), q(k_t) + p(k_t + 1) - p(k_t)) \\ &= (p'_t(1), \dots, p'_t(k_t), p'_t(k_t + 1)). \end{aligned}$$

We have that:

- $p'_t(j) = q(j)$  for  $1 \leq j \leq k_t$ ,
- $p'_t(k_t + 1) = q(k_t) + p(k_t + 1) - p(k_t)$ , and
- $s'_t = t^- \frown f|_{[p(k_t), p(k_t+1))}$ .

Then  $(s'_t, p'_t) \in \Phi(t, q)$  (see Figure 3). Take  $(s_t^*, p_t^*) \in \tilde{\Phi}(t, q)$  such that  $(s'_t, p'_t) \preceq_{(t, q)} (s_t^*, p_t^*)$ . Define  $\rho_-(\mathcal{I}_f)((t, q)) = (s_t^*, p_t^*)$ .

We claim that

$$\forall \mathcal{I}_f \in \mathbf{ST} \forall h \in \mathbb{H} (\rho_-(\mathcal{I}_f) \leq^\circ h \Rightarrow \mathcal{I}_f \leq_K \rho_+(h)).$$

Suppose  $\mathcal{I}_f \in \mathbf{ST}$ ,  $h \in \mathbb{H}$ , and  $\rho_-(\mathcal{I}_f) \leq^\circ h$ . Let  $\{s_n : n \in \omega\}$  and  $p$  be like in the definition of  $\rho_-(\mathcal{I}_f)$ . Then we have that

$$\rho_-(\mathcal{I}_f)((t, q)) \trianglelefteq_{(t,q)} h((t, q))$$

for all but finitely many  $(t, q) \in \Phi$ . By the definition of  $\rho_+$ , there exists  $\{(t_n^h, q_n^h) : n \in \omega\}$ . Then there is  $N$  such that for  $n > N$ , we have that

$$\rho_-(\mathcal{I}_f)((t_n^h, q_n^h)) \trianglelefteq_{(t_n^h, q_n^h)} h((t_n^h, q_n^h)) = (t_{n+1}^h, q_{n+1}^h).$$

Since  $\rho_-(\mathcal{I}_f)((t_n^h, q_n^h)) = (s_{t_n^h}^*, p_{t_n^h}^*)$ , we have that

$$(s'_{t_n^h}, p'_{t_n^h}) \trianglelefteq_{(t_n^h, q_n^h)} (s_{t_n^h}^*, p_{t_n^h}^*) \trianglelefteq_{(t_n^h, q_n^h)} (t_{n+1}^h, q_{n+1}^h).$$

Then for each  $n > N$ , there exists a map

$$\pi'_n : [q_{n+1}^h(n+2), q_{n+1}^h(n+3)) \rightarrow [p'_{t_n^h}(n+2), p'_{t_n^h}(n+3))$$

such that

$$u_{t_{n+1}^h}(\pi_n^{-1}(i)) \leq s'_{t_n^h}(i) \text{ for all } i \in [p'_{t_n^h}(n+2), p'_{t_n^h}(n+3)).$$

Define  $\sigma_n : [p'_{t_n^h}(n+2), p'_{t_n^h}(n+3)) \rightarrow [p(n+2), p(n+3))$  by

$$\sigma_n(j) = j - p'_{t_n^h}(n+2) + p(n+2) \text{ for all } j \in [p'_{t_n^h}(n+2), p'_{t_n^h}(n+3)).$$

Define  $\pi_n = \sigma_n \circ \pi'_n$  for each  $n > N$ . We have that

$$u_{t_{n+1}^h}(\pi_n^{-1}(i)) \leq s_{n+3}(i) \text{ for each } i \in [p(n+2), p(n+3)).$$

There exist  $\pi_{-1} : [0, q_0^h(2)) \rightarrow [0, p(2))$  and  $c_{-1} > 0$  such that

$$u_{t_0^h}(\pi_{-1}^{-1}(i)) \leq c_{-1} \cdot s_2(i) \text{ for each } i \in [0, p(2)).$$

For every  $m \leq N$ , there exist  $\pi_m : [q_m^h(m+2), q_m^h(m+3)) \rightarrow [p(m+2), p(m+3))$  and  $c_m > 0$  such that

$$u_{t_{m+1}^h}(\pi_m^{-1}(i)) \leq c_m \cdot s_{m+3}(i) \text{ for each } i \in [p(m+2), p(m+3)).$$

Let  $\pi = \bigcup_{n \in \omega \cup \{-1\}} \pi_n$  and  $C = \max\{1, c_{-1}, c_0, \dots, c_N\}$ . Then we have that

$$u_g(\pi^{-1}(i)) \leq C \cdot f(i) \text{ for } i \in \omega \text{ (see Figure 4).}$$

Then  $\pi$  witnesses  $\mathcal{I}_f \leq_K \mathcal{I}_g = \rho_+(h)$  by Theorem 4.1(5). ←

Combining Lemmas 5.2 and 5.3 we have:

LEMMA 5.4.  $(\mathbf{ST}, \leq_K) \leq_{GT} (\omega^\omega, \leq^*)$ .

The proof of the other side is short.

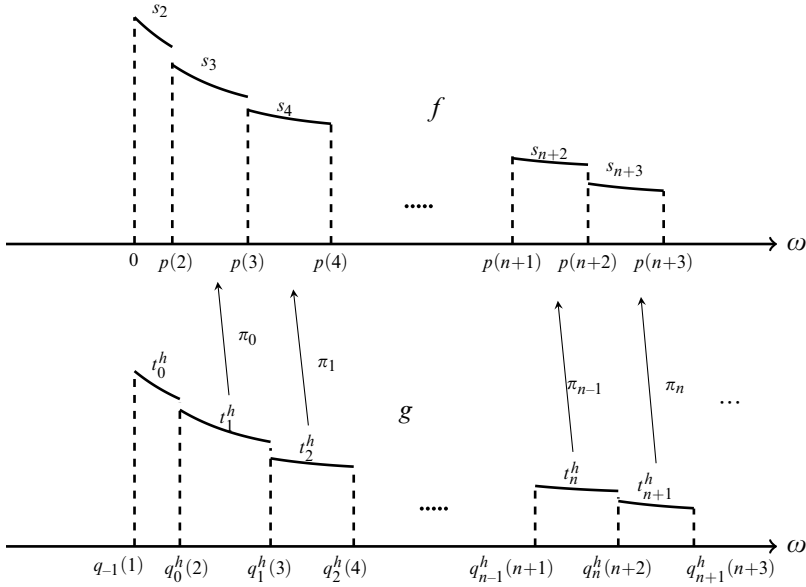


FIGURE 4.  $\pi$  witnesses  $\mathcal{I}_f \leq_K \mathcal{I}_g$  in the proof of Lemma 5.3.

LEMMA 5.5.  $(\mathbf{ST}, \leq_K) \geq_{GT} (\omega^\omega, \leq^*)$ .

PROOF. Define  $\rho_+ : \mathbf{ST} \rightarrow \omega^\omega$  as follows. For each  $\mathcal{I}_g \in \mathbf{ST}$  and  $n \geq 1$ , there exists  $\rho_+(\mathcal{I}_g)$  such that

$$u_g([\rho_+(\mathcal{I}_g)(n-1), \rho_+(\mathcal{I}_g)(n)]) \geq n^2.$$

Define  $\rho_- : \omega^\omega \rightarrow \mathbf{ST}$  as follows. For each  $r \in \omega^\omega$ , take a partition  $(A_n^r : n \in \omega)$  of  $\omega$  into successive finite intervals such that  $|A_0^r| \geq 1$ ,

$$\min(A_n^r) \geq r(n), \text{ and } |A_n^r| \geq \max\{n, |A_{n-1}^r|\} \text{ for each } n \in \omega \setminus \{0\}.$$

Then define  $f_r : \omega \rightarrow \mathbb{Q}_+$  by

$$f_r(k) = 1/|A_n^r| \text{ where } n \text{ such that } k \in A_n^r.$$

Let  $\rho_-(r) = \mathcal{I}_{f_r}$ .

We claim that

$$\forall r \in \omega^\omega \forall \mathcal{I}_g \in \mathbf{ST} (\rho_-(r) \leq_K \mathcal{I}_g \Rightarrow r \leq^* \rho_+(\mathcal{I}_g)).$$

Take arbitrary  $r \in \omega^\omega$  and  $\mathcal{I}_g \in \mathbf{ST}$  such that  $\mathcal{I}_{f_r} = \rho_-(r) \leq_K \mathcal{I}_g$ . By Theorem 4.1(5), there exist a map  $p : \omega \rightarrow \omega$  and  $C > 0$  such that

$$u_g(p^{-1}(i)) \leq C \cdot f_r(i) \text{ for all } i \in \omega.$$

By the **Remark** (above Figure 1), we may assume that  $p$  is a nondecreasing surjection and  $p(i) \leq i$ . Thus  $\max p^{-1}(i) \geq i$  for all  $i \in \omega$ . Then for large enough  $n$ , there is  $j_n$  such that

$$u_g([0, j_n]) = u_g\left(p^{-1}\left(\bigcup_{0 \leq m \leq n} A_m^r\right)\right) \leq C \cdot u_{f_r}\left(\bigcup_{0 \leq m \leq n} A_m^r\right) = n \cdot C \leq n^2$$

$$\leq u_g([\rho_+(\mathcal{I}_g)(n-1), \rho_+(\mathcal{I}_g)(n)]) \leq u_g([0, \rho_+(\mathcal{I}_g)(n)]).$$

Thus  $j_n \leq \rho_+(\mathcal{I}_g)(n)$  for large enough  $n$ . Then for large enough  $n$  we have that

$$r(n) \leq \max\left(\bigcup_{0 \leq m \leq n} A_m^r\right) \leq \max p^{-1}\left(\bigcup_{0 \leq m \leq n} A_m^r\right) = j_n.$$

Therefore we have that  $r(n) \leq j_n \leq \rho_+(\mathcal{I}_g)(n)$  for large enough  $n$ . ←

**THEOREM 5.6.**  $(\mathbf{ST}, \leq_K) \simeq_{GT} (\omega^\omega, \leq^*)$ .

**PROOF.** Combine Lemma 5.4 with Lemma 5.5. ←

**§6. The structure of  $(\mathbf{ST}, \geq_K)$  in the sense of Galois–Tukey connection.** In this section we prove that  $(\mathbf{ST}, \geq_K) \simeq_{GT} (\omega^\omega, \leq^*)$ . First, we prove the following:

**LEMMA 6.1.**  $(\mathbf{ST}, \geq_K) \leq_{GT} (\omega^\omega, \leq^*)$ .

**PROOF.** Let  $\omega^{\uparrow\omega}$  be all strictly increasing functions from  $\omega$  to  $\omega \setminus \{0\}$ . It suffices to show that  $(\mathbf{ST}, \geq_K) \leq_{GT} (\omega^{\uparrow\omega}, \leq^*)$  because  $(\omega^{\uparrow\omega}, \leq^*) \leq_{GT} (\omega^\omega, \leq^*)$ .

Define  $\rho_- : \mathbf{ST} \rightarrow \omega^{\uparrow\omega}$  as follows. For each  $\mathcal{I}_f \in \mathbf{ST}$ , define  $\rho_-(\mathcal{I}_f) \in \omega^{\uparrow\omega}$  by  $\rho_-(\mathcal{I}_f)(0) = 1$  and

$$\rho_-(\mathcal{I}_f)(k) = \min \left\{ n > \rho_-(\mathcal{I}_f)(k-1) : \forall m \geq n \left( f(m) \leq \frac{1}{k} \right) \right\}$$

for all  $k \geq 1$ .

Define  $\rho_+ : \omega^{\uparrow\omega} \rightarrow \mathbf{ST}$  as follows. For each  $x \in \omega^{\uparrow\omega}$ , define  $F : \omega^{\uparrow\omega} \rightarrow \mathbf{F}_{\mathbf{DST}}$  by  $F(x)(k) = 1$  for all  $0 \leq k < x(1)$ , and

$$F(x)(k) = \frac{1}{n} \text{ where } n \text{ is such that } k \in [x(n), x(n+1)).$$

Then  $\mathcal{I}_{F(x)}$  is tall for each  $x \in \omega^{\uparrow\omega}$ . Let  $\rho_+(x) = \mathcal{I}_{F(x)}$ .

We claim that

$$\forall \mathcal{I}_f \in \mathbf{ST} \forall x \in \omega^{\uparrow\omega} (\rho_-(\mathcal{I}_f) \leq^* x \Rightarrow \mathcal{I}_f \geq_K \rho_+(x)).$$

Let  $\mathcal{I}_f \in \mathbf{ST}$  and  $x \in \omega^{\uparrow\omega}$  such that  $\rho_-(\mathcal{I}_f) \leq^* x$ . We will show that  $f \leq^* F(x)$  and then **id** :  $\omega \rightarrow \omega$  will be a witness for  $\mathcal{I}_f \geq_K \mathcal{I}_{F(x)}$ . To see that  $f \leq^* F(x)$ , take  $N > 0$  such that

$$\rho_-(\mathcal{I}_f)(n) \leq x(n) \text{ for each } n \geq N.$$

Then for each  $n \geq N$  and  $k \in [x(n), x(n+1))$  we have  $k \geq \rho_-(\mathcal{I}_f)(n)$ . By the definition of  $\rho_-(\mathcal{I}_f)$ , we have  $f(k) \leq \frac{1}{n} = F(x)(k)$ . It follows that  $f \leq^* F(x)$ . ←

**LEMMA 6.2.**  $(\mathbf{ST}, \geq_K) \geq_{GT} (\omega^\omega, \leq^*)$ .



PROOF. Define  $\rho_- : \omega^\omega \rightarrow \mathbf{ST}$  as follows. For each  $x \in \omega^\omega$ , take a partition  $\{A_n^x : n \in \omega \setminus \{0\}\}$  of  $\omega$  into successive finite intervals such that for all  $n > 0$ :

- (1)  $\min A_n^x \geq \min\{x(n), n\}$  and
- (2)  $|A_n^x| \geq n^2(x(n) + 1)$ .

Then define  $g_x \in \mathbf{F}_{\mathbf{DST}}$  by

$$g_x(k) = \frac{1}{n} \text{ where } n \text{ is such that } k \in A_n^x.$$

Let  $\rho_-(x) = \mathcal{I}_{g_x}$ . It follows that  $\rho_-(x)$  is tall for all  $x \in \omega^\omega$ .

Define  $\rho_+ : \mathbf{ST} \rightarrow \omega^\omega$  as follows. Suppose  $\mathcal{I}_f \in \mathbf{ST}$ . For each  $n > 0$ , define  $\rho_+(\mathcal{I}_f)$  by  $\rho_+(\mathcal{I}_f)(0) = 0$  and  $\rho_+(\mathcal{I}_f)(n) =$

$$\min \left\{ m \geq n + 1 : m \geq u_f([0, \rho_+(\mathcal{I}_f)(n - 1)]) \ \& \ \forall k \geq m \left( f(k) \leq \frac{1}{(n + 1)^2} \right) \right\}.$$

We claim that

$$\forall \mathcal{I}_f \in \mathbf{ST} \ \forall x \in \omega^\omega (\rho_-(x) \geq_K \mathcal{I}_f \Rightarrow x \leq^* \rho_+(\mathcal{I}_f)).$$

Let  $\mathcal{I}_f \in \mathbf{ST}$  and  $x \in \omega^\omega$  such that  $x \not\leq^* \rho_+(\mathcal{I}_f)$ . Let  $\rho_-(x) = \mathcal{I}_{g_x}$ . We will show for each  $M > 0$ , there are  $l > M, k_1 > k_0 \geq M$  such that:

- (3)  $u_{g_x}([k_0, k_1]) > M \cdot u_f([0, l])$  and
- (4)  $g_x(k_1) > M \cdot f(l)$ .

Then,  $\rho_-(x) \not\geq_K \mathcal{I}_f$  follows from Theorem 4.1. To prove (3) and (4), fix  $M > 0$  and let  $n_0 > M$  be such that  $x(n_0) > \rho_+(\mathcal{I}_f)(n_0)$ . Define  $l = \rho_+(\mathcal{I}_f)(n_0 - 1)$  and  $k_0 < k_1$  such that  $[k_0, k_1] = A_{n_0}^x$ . It follows that  $l > M, k_1 > k_0 \geq M$  and

$$u_{g_x}([k_0, k_1]) \geq n_0 \cdot (x(n_0) + 1) > n_0 \cdot x(n_0) > M \cdot u_f([0, l]).$$

Thus (3) holds. By the definition of  $l$ , we have that  $f(l) \leq \frac{1}{n_0^2}$  and

$$g_x(k_1) = \frac{1}{n_0} = n_0 \cdot \frac{1}{n_0^2} > M \cdot f(l).$$

Thus (4) holds. ⊢

THEOREM 6.3.  $(\mathbf{ST}, \geq_K) \simeq_{GT} (\omega^\omega, \leq^*)$ .

PROOF. Use Lemmas 6.1 and 6.2. ⊢

§7.  $\simeq_K$  on  $\mathbf{F}_{\mathbf{DST}}$  is Borel bireducible to  $l_\infty$ . In this section we will prove that  $l_\infty$  is Borel bireducible to  $\simeq_K$  on  $\mathbf{F}_{\mathbf{DST}}$ .

DEFINITION 7.1. (1) Let  $C = \{(A_n) \in \mathcal{P}(\omega)^\omega : \forall n(A_n \subseteq A_{n+1})\}$  and for each  $(A_n), (B_n) \in C$ ,

$$(A_n)H(B_n) \iff \exists n \forall m(A_m \subseteq B_{n+m} \wedge B_m \subseteq A_{n+m}).$$

(2) Let  $X_0 = \prod_{n < \omega} n$ , where  $n = \{0, 1, \dots, n - 1\}$ . For each  $\alpha, \beta \in X_0$ , define

$$\alpha E_{K_\sigma} \beta \iff \exists n \forall m(|\alpha(m) - \beta(m)| \leq n).$$

It is proved in [6, Proposition 19] that  $H \simeq_B l_\infty \simeq_B E_{K_\sigma}$ , so it suffices to prove that  $\simeq_K \leq_B H$  and  $E_{K_\sigma} \leq_B \simeq_K$ .

**7.1. The proof of  $\simeq_K \leq_B H$ .** This will be proved in Corollary 7.10. The proof consists of two steps. We first show that the so-called decomposable equivalence relations are all Borel reducible to  $H$  (Theorem 7.5). Then we prove that  $\simeq_K$  is decomposable (Theorem 7.9). Before this, we need some preparations.

LEMMA 7.2.  $\simeq_K$  is an  $F_\sigma$  subset of  $\mathbf{F}_{\text{DST}}^2$ .

PROOF. Denote  $\preceq_K = \{(f, g) \in \mathbf{F}_{\text{DST}}^2 : \mathcal{I}_f \leq_K \mathcal{I}_g\}$ . By Theorem 4.1(6),  $\preceq_K = \{(f, g) : \exists n \in \omega \setminus \{0\}, \forall k \in \omega, \forall l \in \omega [u_g([0, k]) \leq n \cdot u_f([0, l]) \text{ or } g(k) \leq n \cdot f(l)]\}$   
 $= \bigcup_{n \in \omega \setminus \{0\}} \bigcap_{k \in \omega} \bigcap_{l \in \omega} [\{(f, g) : u_g([0, k]) \leq n \cdot u_f([0, l])\} \cup \{(f, g) : g(k) \leq n \cdot f(l)\}]$ .

For each  $n > 1$ , let

$$F_n = \bigcap_{k \in \omega} \bigcap_{l \in \omega} [\{(f, g) : u_g([0, k]) \leq n \cdot u_f([0, l])\} \cup \{(f, g) : g(k) \leq n \cdot f(l)\}].$$

Then  $F_n$  is closed. Thus  $\preceq_K$  is  $F_\sigma$ .

Denote  $\succeq_K = \{(f, g) : \mathcal{I}_f \geq_K \mathcal{I}_g\}$ . Similarly we can prove that  $\succeq_K$  is  $F_\sigma$ . It follows that  $\simeq_K = \preceq_K \cap \succeq_K$  is  $F_\sigma$ . ◻

We need the following characterization of  $\simeq_K$ .

LEMMA 7.3. Let  $f, g \in \mathbf{F}_{\text{DST}}$ . Then  $f \simeq_K g$  if and only if there exists  $n > 0$  such that for each  $k \in \omega$  we have that

$$\frac{f(l_k)}{n} \leq g(k) \leq n \cdot f(l'_k),$$

where  $l_k, l'_k$  are such that

$$\frac{u_f([0, l_k - 1])}{n} \leq u_g([0, k]) < \frac{u_f([0, l_k])}{n}$$

and

$$n \cdot u_f([0, l'_k]) < u_g([0, k]) \leq n \cdot u_f([0, l'_k + 1]).$$

PROOF. ( $\Rightarrow$ ): Recall that  $f \simeq_K g$  means  $\mathcal{I}_f \leq_K \mathcal{I}_g$  and  $\mathcal{I}_g \leq_K \mathcal{I}_f$ . By Theorem 4.1(6), there exists  $M_1$  such that for all  $k$  and  $l'$  we have that

$$u_g([0, k]) > M_1 \cdot u_f([0, l']) \Rightarrow g(k) \leq M_1 \cdot f(l').$$

For the same reason, there exists  $M_2$  such that for all  $k$  and  $l$  we have that

$$u_f([0, l]) > M_2 \cdot u_g([0, k]) \Rightarrow f(l) \leq M_2 \cdot g(k).$$

Let  $n = \max\{M_1, M_2\}$ . Then we have that

$$u_g([0, k]) > n \cdot u_f([0, l']) \geq M_1 \cdot u_f([0, l']) \Rightarrow g(k) \leq M_1 \cdot f(l') \leq n \cdot f(l')$$

and

$$u_f([0, l]) > n \cdot u_g([0, k]) \geq M_2 \cdot u_g([0, k]) \Rightarrow f(l) \leq M_2 \cdot g(k) \leq n \cdot g(k).$$

Define

$$l_k = \min \left\{ l \in \omega : u_g([0, k]) < \frac{u_f([0, l])}{n} \right\}$$

and

$$l'_k = \max \{ l' \in \omega : u_g([0, k]) > n \cdot u_f([0, l']) \}.$$

We have that

$$n \cdot u_f([0, l'_k]) < u_g([0, k]) \leq n \cdot u_f([0, l'_k + 1])$$

and

$$\frac{u_f([0, l_k - 1])}{n} \leq u_g([0, k]) < \frac{u_f([0, l_k])}{n}.$$

It follows that

$$\frac{f(l_k)}{n} \leq g(k) \leq n \cdot f(l'_k).$$

( $\Leftarrow$ ): For each  $k \in \omega$ , we have  $l_k = \min\{l \in \omega : u_g([0, k]) < \frac{u_f([0, l])}{n}\}$ . For each  $l \in \omega$  we have that

$$u_g([0, k]) < \frac{u_f([0, l])}{n} \Rightarrow l \geq l_k.$$

For each  $l \geq l_k$  we have that

$$\frac{f(l)}{n} \leq \frac{f(l_k)}{n} \leq g(k).$$

It follows that for each  $k \in \omega$

$$u_f([0, l]) > n \cdot u_g([0, k]) \Rightarrow f(l) \leq n \cdot g(k).$$

By Theorem 4.1(6), we have  $\mathcal{I}_g \leq_K \mathcal{I}_f$ .

$\mathcal{I}_f \leq_K \mathcal{I}_g$  can be proved in a similar way.  $\dashv$

Now we define decomposable equivalence relations.

**DEFINITION 7.4.** Let  $F$  be a  $F_\sigma$  equivalence relation on Borel space  $X$ . We call  $F$  *decomposable* on  $X$  if there is a sequence  $\{F_n : n \in \omega\}$  of closed subsets of  $X^2$  such that:

- (1) For each  $n < \omega$ ,  $F_n \subseteq F_{n+1}$  and  $F_n \circ F_n \subseteq F_{n+1}$  (i.e.,  $xF_n y \wedge yF_n z \Rightarrow xF_{n+1} z$ ).
- (2)  $F = \bigcup_{n \in \omega} F_n$ .
- (3)  $[U]_n = \{x \in X : \exists z \in U(zF_n x)\}$  is Borel for each open subset  $U$  of  $X$  and  $n \in \omega$ .

**THEOREM 7.5.** Let  $F$  be an  $F_\sigma$  equivalence relation such that  $F$  is decomposable on Borel space  $X$ . Then  $F \leq_B H$ .

PROOF. Let  $\{F_n : n < \omega\}$  be a sequence which witnesses that  $F$  is decomposable. Fix a basis  $\{U_n : n \in \omega\}$  of  $X$ . For each  $n \in \omega$ , define a function  $f_n : X \rightarrow \mathcal{P}(\omega)$  by

$$f_n(x) = \{k \in \omega : \exists z \in U_k(zF_n x)\}.$$

By (3) of Definition 7.4, for each  $m \in \omega$  we have that

$$f_n^{-1}(\{A \subseteq \omega : m \in A\}) = [U_m]_n$$

is Borel. It follows that  $f_n$  is a Borel function for each  $n \in \omega$ . By (1) of Definition 7.4, we have that

$$f_n(x) \subseteq f_{n+1}(x) \text{ for each } n \in \omega \text{ and } x \in X.$$

We prove that  $\Phi : x \mapsto (f_n(x))$  is a Borel reduction from  $F$  to  $H$ .  $\Phi$  is a Borel map by the following: For any open subset  $\prod_{n \in \omega} \mathcal{U}_n$  of  $C$ , we have

$$\Phi^{-1}\left(\prod_{n \in \omega} \mathcal{U}_n\right) = \bigcap_{n \in \omega} f_n^{-1}(\mathcal{U}_n).$$

It follows that  $\Phi$  is Borel by  $f_n$  being Borel for all  $n \in \omega$ .

Then we show that  $\Phi$  is a reduction from  $F$  to  $H$ . Let  $x, y \in X$  such that  $xFy$ . Then there exists  $n \in \omega$  such that  $xF_n y$ . Therefore, for any  $z \in X$  such that  $zF_m x$  for some  $m \in \omega$ , we have that

$$zF_{\max\{n,m\}+1} y.$$

It follows that  $f_m(x) \subseteq f_{n+1+m}(y)$  for all  $m \in \omega$ . Similarly, there exists  $n'$  such that  $f_m(y) \subseteq f_{n'+1+m}(x)$  for all  $m \in \omega$ . Let  $N = \max\{n + 1, n' + 1\}$ . We have that

$$\forall m \in \omega (f_m(x) \subseteq f_{N+m}(y) \wedge f_m(y) \subseteq f_{N+m}(x)).$$

Conversely, let  $x, y \in X$  such that  $(f_n(x))H(f_n(y))$ . Then there exists  $n \in \omega$  such that

$$f_m(x) \subseteq f_{n+m}(y) \text{ for all } m \in \omega.$$

Fix  $n$  as above. For each  $m \in \omega$ , define  $F_m^x = \{z : zF_m x\}$ . Then for each  $k \in \omega$  we have that

$$U_k \cap F_m^x \neq \emptyset \implies k \in f_m(x) \implies k \in f_{n+m}(y) \implies U_k \cap F_{n+m}^y \neq \emptyset.$$

Since  $F_{n+m}^y$  is closed, we have  $F_m^x \subseteq F_{n+m}^y$ . Take  $m$  large enough such that  $xF_m x$ , then we have that

$$xF_m x \implies x \in F_m^x \implies x \in F_{n+m}^y \implies xF_{n+m} y.$$

It follows that  $xFy$ . ◻

Next, we will show that  $\simeq_K$  is decomposable. We need some observations.

DEFINITION 7.6. For each  $n \in \omega$ , define  $R_n, S_n, E_n$ , and  $F_n$  on  $\mathbf{F}_{\text{DST}}$  as follows:

- (1)  $fR_n g$  if and only if there exists an interval-to-one map  $p : \omega \rightarrow \omega$  such that  $u_g(p^{-1}(i)) \leq n \cdot f(i)$  for all  $i \in \omega$ .
- (2)  $fS_n g$  if and only if for all  $k, l \in \omega$ ,  $u_g([0, k]) > n \cdot u_f([0, l])$  implies  $g(k) \leq n \cdot f(l)$ .

- (3)  $fE_n g$  if and only if  $fR_n g$  and  $gR_n f$ .
- (4)  $fF_n g$  if and only if  $fS_n g$  and  $gS_n f$ .

LEMMA 7.7. Let  $f, g, h \in \mathbf{F}_{\text{DST}}$ . For each pair  $n \leq m$  we have follows:

- (1)  $fR_n g \Rightarrow fR_m g; fS_n g \Rightarrow fS_m g; fE_n g \Rightarrow fE_m g; fF_n g \Rightarrow fF_m g.$
- (2)  $fR_n g \Rightarrow fS_n g; fS_n g \Rightarrow fR_{2n} g.$
- (3)  $fR_n g \wedge gR_n h \Rightarrow fR_{n^2} h; fE_n g \wedge gE_n h \Rightarrow fE_{n^2} h.$
- (4)  $fS_n g \wedge gS_n h \Rightarrow fS_{4n^2} h; fF_n g \wedge gF_n h \Rightarrow fF_{4n^2} h.$

PROOF. (1): The proof is obvious.

(2): Use the proof of Theorem 4.1 (5)  $\Rightarrow$  (6) and (6)  $\Rightarrow$  (5).

(3): Let  $f, g, h \in \mathbf{F}_{\text{DST}}$  such that  $fR_n g$  and  $gR_n h$ . Then there exist  $p_1$  and  $p_2$  such that

$$u_g(p_1^{-1}(i)) \leq n \cdot f(i) \text{ and } u_h(p_2^{-1}(i)) \leq n \cdot g(i) \text{ for all } i \in \omega.$$

Then

$$u_h((p_1 \circ p_2)^{-1}(i)) = u_h(p_2^{-1}(p_1^{-1}(i))) \leq n \cdot u_g(p_1^{-1}(i)) \leq n^2 \cdot f(i)$$

for all  $i \in \omega$ . It follows that  $fR_{n^2} h$ .

Similarly, we can prove that  $fE_n g, gE_n h \Rightarrow fE_{n^2} h$ .

(4): By (2) we have that  $fS_n g \Rightarrow fR_{2n} g$  and  $gS_n h \Rightarrow gR_{2n} h$ . Then by (3) we have that  $fR_{2n} g \wedge gR_{2n} h \Rightarrow fR_{4n^2} h \Rightarrow fS_{4n^2} h$ . □

LEMMA 7.8.  $[U]_n = \{f \in \mathbf{F}_{\text{DST}} : \exists g \in U(fF_n g)\}$  is Borel for every open subset  $U$  of  $\mathbf{F}_{\text{DST}}$  and  $n \geq 1$ .

PROOF. Fix  $n \geq 1$ . Without loss of generality, assume  $U$  is the form of  $(\prod_{i < m} (p_i, q_i) \times \prod_{i \geq m} \mathbb{Q}_+) \cap \mathbf{F}_{\text{DST}}$  for some  $m \in \omega$ , where  $0 \leq p_i < q_i \in \mathbb{Q}_+$  for each  $i < m$ . Fix  $m$  as above. Denote

$$S = \{s \in \mathbb{Q}_+^m : \forall i < m - 1 (s(i) \geq s(i + 1)) \wedge \forall i < m (p_i < s(i) < q_i)\}.$$

For each  $s \in S$ , let  $T_s$  be the set of all  $t \in \mathbb{Q}_+^{<\omega}$  such that:

- (1) For each  $l < |t| - 1, t(l) \geq t(l + 1)$ .
- (2)  $\frac{u_t([0, |t| - 2])}{n} \leq u_s([0, m - 1]) < \frac{u_t([0, |t| - 1])}{n}$ .
- (3) For each  $l' < |t|$  and  $k < m$ ,

$$u_s([0, k]) > n \cdot u_t([0, l']) \Rightarrow s(k) \leq n \cdot t(l').$$

- (4) For each  $l < |t|$  and  $k < m$ ,

$$u_s([0, k]) < \frac{u_t([0, l])}{n} \Rightarrow s(k) \geq \frac{t(l)}{n}.$$

**Claim.**  $[U]_n = \bigcup_{s \in S} \bigcup_{t \in T_s} \{f \in \mathbf{F}_{\text{DST}} : f|_{|t|} = t\}$ .

PROOF. ( $\subseteq$ ): For each  $f \in [U]_n$  there exists  $g \in U$  such that  $fF_n g$ . Then  $g|_m = s \in S$ . Define  $l_s$  by

$$l_s = \min\{l > 1 : u_f([0, l - 1]) > n \cdot u_s([0, m - 1])\}.$$

Let  $t = f|_{l_s}$ . It is easy to see that  $t$  satisfies (1) by  $f \in \mathbf{F}_{\text{DST}}$ . (2) follows from the definition of  $l_s$ . By  $fF_n g$  we have (3) and (4). It follows that  $t \in T_s$ .

( $\supseteq$ ): Let  $s \in S$  and  $t \in T_s$  and  $f \in \mathbf{F}_{\text{DST}}$  such that  $f|_{|t|} = t$ . Let  $l = |t|$ . Then we have that

$$\frac{u_f([0, l - 2])}{n} \leq u_s([0, m - 1]) < \frac{u_f([0, l - 1])}{n}.$$

We will find  $g$  extending  $s$  such that  $g \in U$  and  $fF_n g$ . It suffices to construct a sequence  $\{g(i) \in \mathbb{Q}_+ : i < \omega\}$  such that:

- (5)  $g(i) = s(i)$  for each  $i < m$  and  $g(i - 1) \geq g(i)$  for each  $i \geq m$ .
- (6) For each  $i \geq m$ ,

$$\frac{u_f([0, l - 2 + i - m])}{n} \leq u_g([0, i - 1]) < \frac{u_f([0, l - 1 + i - m])}{n}$$

and  $g(i - 1) \geq \frac{f(l-1+i-m)}{n}$ .

- (7) For each  $i \geq m$ , if

$$n \cdot u_f([0, l']) < u_g([0, i - 1]) \leq n \cdot u_f([0, l' + 1]),$$

then  $l' < l - 1 + i - m$  and  $g(i - 1) \leq n \cdot f(l')$ .

- (8)  $\lim_{n \rightarrow \infty} g(n) = 0$ .

Then (5)  $\Rightarrow g \in U$ , (3)(5)(7)  $\Rightarrow fS_n g$ , and (4)–(6)  $\Rightarrow gS_n f$ .

Suppose we have already constructed  $\{g(i) : i < j\}$  such that (5)–(7) hold for each  $i < j$ . Let

$$\varepsilon_j = \frac{u_f([0, l - 1 + j - m])}{n} - u_g([0, j - 1]).$$

Define

$$g(j) = \max\{\varepsilon_j, \frac{f(l + j - m)}{n}\}.$$

By (6) for  $j - 1$ , we have

$$\varepsilon_j \leq \frac{f(l - 1 + j - m)}{n} \leq g(j - 1).$$

It follows that  $g(j) \leq g(j - 1)$  and  $g(j)$  satisfies (5).

By  $g(j) = \max\{\varepsilon_j, \frac{f(l+j-m)}{n}\}$ , i.e.,

$$\frac{f(l + j - m)}{n} \leq g(j) \text{ and } \varepsilon_j \leq g(j) < \varepsilon_j + \frac{f(l + j - m)}{n},$$

we have that

$$\begin{aligned} \frac{u_f([0, l - 1 + j - m])}{n} &\leq u_g([0, j]) < \frac{u_f([0, l - 1 + j - m])}{n} + \frac{f(l + j - m)}{n} \\ &= \frac{u_f([0, l + j - m])}{n}. \end{aligned}$$

It follows that  $g(j)$  satisfies (6).

Assume that

$$n \cdot u_f([0, l']) < u_g([0, j]) \leq n \cdot u_f([0, l' + 1]).$$

By  $n \geq 1$  and

$$u_g([0, j]) < \frac{u_f([0, l + j - m])}{n} \leq n \cdot u_f([0, l + j - m]),$$

we have that  $l' < l + j - m$  and

$$g(j) \leq \frac{f(l - 1 + j - m)}{n} \leq n \cdot f(l - 1 + j - m) \leq n \cdot f(l').$$

It follows that  $g(j)$  satisfies (7).

(8) follows from (7) for  $j \geq m$ . ⊖

By the **Claim** above, we have that  $[U]_n$  is Borel.

**THEOREM 7.9.**  $\simeq_K$  is decomposable on a Borel space  $\mathbf{F}_{\text{DST}}$ .

**PROOF.**  $\simeq_K$  is decomposable which is witnessed by  $\mathbf{F}_{\text{DST}}$  being Borel and  $\{F_{4n^2} : n \in \omega\}$  from Definition 7.6. We show that  $\mathbf{F}_{\text{DST}}$  is a Borel subset of  $\mathbb{Q}_+^\omega$ . Recall that

$$f \in \mathbf{F}_{\text{DST}} \Leftrightarrow \left( \sum_{n=0}^{\infty} f(n) = +\infty \right) \wedge \left( \lim_{n \rightarrow \infty} f(n) = 0 \right) \wedge (\forall n \in \omega (f(n) \geq f(n+1))).$$

Define

$$A = \{f \in \mathbb{Q}_+^\omega : \sum_{n=0}^{\infty} f(n) = +\infty\},$$

$$B = \{f \in \mathbb{Q}_+^\omega : \lim_{n \rightarrow \infty} f(n) = 0\}, \text{ and}$$

$$C_n = \{f \in \mathbb{Q}_+^\omega : f(n) \geq f(n+1)\} \text{ for each } n \in \omega.$$

We have

$$f \in A \Leftrightarrow \forall M \in \omega \exists N \in \omega \left( \sum_{n=0}^N f(n) \geq M \right)$$

and

$$f \in B \Leftrightarrow \forall m \in \omega \exists N \in \omega \forall n \geq N \left( f(n) < \frac{1}{m} \right).$$

Thus  $A$  and  $B$  are Borel.

Obviously,  $C_n$  is Borel for each  $n \in \omega$ . It follows that  $\mathbf{F}_{\text{DST}}$  is Borel by  $\mathbf{F}_{\text{DST}} = A \cap B \cap \left( \bigcap_{n \in \omega} C_n \right)$ . ⊖

**COROLLARY 7.10.**  $\simeq_K \leq_B H$ .

**PROOF.** Use Theorems 7.5 and 7.9. ⊖

**7.2. The proof of  $E_{K_\sigma} \leq_B \simeq_K$ .** Now we turn to the proof of  $E_{K_\sigma} \leq_B \simeq_K$ .

**THEOREM 7.11.**  $(X_0, E_{K_\sigma}) \leq_B (\mathbf{F}_{\text{DST}}, \simeq_K)$ .

**PROOF.** First, we define a map  $\Phi : X_0 \rightarrow \mathbf{F}_{\text{DST}}$  as follows. Take  $a_0 = 1$  and  $a_{n+1} = 2^n \sum_{i=0}^n a_i$  for each  $n < \omega$ . For  $\alpha \in X_0$ , define a sequence  $\{c_n^\alpha : n \geq 1\}$  and  $f_\alpha \in \mathbf{F}_{\text{DST}}$  as follows. For each  $n \geq 1$ :

- (1)  $c_1^\alpha = 1$ ;
- (2)  $|[c_n^\alpha, c_{n+1}^\alpha]| = a_n \cdot 2^{\frac{n(n-1)}{2} + \alpha(n)}$ ;
- (3)  $f_\alpha(j) = 2^{-\frac{n(n-1)}{2} - \alpha(n)}$  for each  $j \in [c_n^\alpha, c_{n+1}^\alpha)$ .

Then  $f_\alpha$  is constant on every  $[c_n^\alpha, c_{n+1}^\alpha)$  and  $u_{f_\alpha}([c_n^\alpha, c_{n+1}^\alpha)) = a_n$  for each  $n \geq 1$ . Let  $\Phi(\alpha) = f_\alpha$ . We will show that  $\Phi$  is Borel. Take a basic open subset  $V$  of  $\Phi[X_0]$ , i.e., there exists  $\mathcal{A} \in [\mathbb{Q}_+^{<\omega}]^\omega$  such that  $V = \bigcup_{s \in \mathcal{A}} V_s$  and  $V_s = [s]^1$  for all  $s \in \mathcal{A}$ . Fix  $s \in \mathcal{A}$ . Then there exist  $\alpha \in X_0$  and  $m \in \omega$  such that  $s = f_\alpha|_{[0, m]}$ . Let  $n \geq 1$  be such that  $m \in [c_n^\alpha, c_{n+1}^\alpha)$ . Then we have that

$$\Phi^{-1}(V_s) = \{\beta \in X_0 : \beta(j) = \alpha(j), j \leq n\}$$
 is open.

It follows that  $\Phi^{-1}(V) = \bigcup_{s \in \mathcal{A}} \Phi^{-1}(V_s)$  is open. Therefore  $\Phi$  is continuous, hence Borel.

We claim that

$$\forall \alpha, \beta \in X_0 (\alpha E_{K_\sigma} \beta \Leftrightarrow \Phi(\alpha) \simeq_K \Phi(\beta)).$$

( $\Rightarrow$ ): We will find  $n \in \omega$  such that for each  $k \in \omega$ ,

$$\frac{\Phi(\beta)(l_k)}{n} \leq \Phi(\alpha)(k) \leq n \cdot \Phi(\beta)(l'_k),$$

where  $l_k$  is such that

$$\frac{u_{\Phi(\beta)}([0, l_k - 1])}{n} \leq u_{\Phi(\alpha)}([0, k]) < \frac{u_{\Phi(\beta)}([0, l_k])}{n},$$

and  $l'_k$  is such that

$$n \cdot u_{\Phi(\beta)}([0, l'_k]) < u_{\Phi(\alpha)}([0, k]) \leq n \cdot u_{\Phi(\beta)}([0, l'_k + 1]).$$

Then  $\Phi(\alpha) \simeq_K \Phi(\beta)$  by Lemma 7.3.

By  $\alpha E_{K_\sigma} \beta$ , there exists  $N$  such that  $|\alpha(m) - \beta(m)| \leq N$  for  $m \geq 1$ . Let  $n = 2^N$ . For each  $k \in \omega$ , take  $l_k$  such that

$$\frac{u_{\Phi(\beta)}([0, l_k - 1])}{n} \leq u_{\Phi(\alpha)}([0, k]) < \frac{u_{\Phi(\beta)}([0, l_k])}{n}.$$

Take  $n_k$  such that  $k \in [c_{n_k}^\alpha, c_{n_k+1}^\alpha)$ . We have that

$$u_{\Phi(\alpha)}([0, c_{n_k}^\alpha)) = u_{\Phi(\beta)}([0, c_{n_k}^\beta)) = \sum_{i=1}^{n_k-1} a_i.$$

<sup>1</sup>For  $s \in \mathbb{Q}_+^{<\omega}$ ,  $[s] = \{f \in \mathbb{Q}_+^\omega : s \sqsubseteq f\}$ .



Then we have that

$$\frac{u_{\Phi(\beta)}([0, c_{n_k}^\beta])}{n} = \frac{u_{\Phi(\alpha)}([0, c_{n_k}^\alpha])}{n} < u_{\Phi(\alpha)}([0, k]) < \frac{u_{\Phi(\beta)}([0, l_k])}{n}.$$

It follows that

$$l_k > c_{n_k}^\beta \text{ and } \Phi(\beta)(l_k) \leq 2^{-\frac{n_k(n_k-1)}{2}-\beta(n_k)}.$$

By

$$\Phi(\alpha)(k) = 2^{-\frac{n_k(n_k-1)}{2}-\alpha(n_k)}$$

and  $\alpha(n_k) \leq \beta(n_k) + N$ , we have that

$$\Phi(\alpha)(k) \geq 2^{-\frac{n_k(n_k-1)}{2}-\beta(n_k)-N} \geq \frac{\Phi(\beta)(l_k)}{2^N}.$$

Take  $l'_k$  such that

$$n \cdot u_{\Phi(\beta)}([0, l'_k]) < u_{\Phi(\alpha)}([0, k]) \leq n \cdot u_{\Phi(\beta)}([0, l'_k + 1]).$$

Then we have that

$$n \cdot u_{\Phi(\beta)}([0, l'_k]) < u_{\Phi(\alpha)}([0, k]) \leq n \cdot u_{\Phi(\alpha)}([0, c_{n_k+1}^\alpha]) = n \cdot u_{\Phi(\beta)}([0, c_{n_k+1}^\beta]).$$

It follows that

$$l'_k < c_{n_k+1}^\beta \text{ and } \Phi(\beta)(l'_k) \geq 2^{-\frac{n_k(n_k-1)}{2}-\beta(n_k)}.$$

By

$$\Phi(\alpha)(k) = 2^{-\frac{n_k(n_k-1)}{2}-\alpha(n_k)}$$

and  $-\alpha(n_k) \leq -\beta(n_k) + N$ , we have that

$$\Phi(\alpha)(k) \leq 2^{-\frac{n_k(n_k-1)}{2}-\beta(n_k)+N} \leq 2^N \cdot \Phi(\beta)(l'_k).$$

Then by  $n = 2^N$  we have that

$$\frac{\Phi(\beta)(l_k)}{n} \leq \Phi(\alpha)(k) \leq n \cdot \Phi(\beta)(l'_k).$$

( $\Leftarrow$ ): Let  $\alpha, \beta \in X_0$  such that  $(\alpha, \beta) \notin E_{K_\sigma}$ . We will show  $\Phi(\alpha) \not\preceq_K \Phi(\beta)$ .

By  $(\alpha, \beta) \notin E_{K_\sigma}$ , for each  $N > 0$  there exists  $m_N > N$  such that

$$|\alpha(m_N) - \beta(m_N)| > N.$$

Fix  $N$ . Take  $k_N = c_{m_N+1}^\alpha - 1$  and  $l_N = c_{m_N}^\beta$ . Then

$$\Phi(\alpha)(k_N) = 2^{-\frac{m_N(m_N-1)}{2}-\alpha(m_N)}$$

and

$$\Phi(\beta)(l_N) = 2^{-\frac{m_N(m_N-1)}{2}-\beta(m_N)}.$$

Assume  $\beta(m_N) > \alpha(m_N) + N$ . Thus

$$\Phi(\alpha)(k_N) > 2^N \cdot \Phi(\beta)(l_N).$$

By

$$u_{\Phi(\alpha)}([0, k_N]) = \sum_{i=1}^{m_N} a_i \geq 2^{m_N} \cdot \sum_{i=1}^{m_N-1} a_i + \sum_{i=1}^{m_N-1} a_i$$

and

$$u_{\Phi(\beta)}([0, l_N]) = \sum_{i=1}^{m_N-1} a_i + \Phi(\beta)(l_N) \leq \sum_{i=1}^{m_N-1} a_i + 2^{-N},$$

we have that

$$u_{\Phi(\alpha)}([0, k_N]) > 2^N \cdot u_{\Phi(\beta)}([0, l_N]).$$

Without loss of generality, we can assume that there exists an infinite set  $\{N_i : i \in \omega\}$  such that for each  $i$ ,  $\beta(m_{N_i}) > \alpha(m_{N_i}) + N_i$ . Then for all  $0 < M < \omega$ , there exists  $i \in \omega$  such that  $M \leq 2^{N_i}$ . It follows that there exist  $k_{N_i}$  and  $l_{N_i}$  such that

$$u_{\Phi(\alpha)}([0, k_{N_i}]) > 2^{N_i} \cdot u_{\Phi(\beta)}([0, l_{N_i}]) \text{ and } \Phi(\alpha)(k_{N_i}) > 2^{N_i} \cdot \Phi(\beta)(l_{N_i}).$$

By  $M \leq 2^{N_i}$ ,

$$u_{\Phi(\alpha)}([0, k_{N_i}]) > M \cdot u_{\Phi(\beta)}([0, l_{N_i}]) \text{ and } \Phi(\alpha)(k_{N_i}) > M\Phi(\beta)(l_{N_i}).$$

It follows that  $\Phi(\alpha) \not\preceq_K \Phi(\beta)$  by Theorem 4.1(6). ⊖

**THEOREM 7.12.**  $\simeq_K$  on  $\mathbf{F}_{\text{DST}}$  is Borel bireducible to  $l_\infty$ .

**PROOF.** Use Corollary 7.10, Theorem 7.11, and  $H \leq_B l_\infty \leq_B E_{K_\sigma}$ . ⊖

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COLLEGE OF MATHEMATICS  
SICHUAN UNIVERSITY  
NO. 24 SOUTH SECTION 1, YIHUAN ROAD  
CHENGDU 610065, SICHUAN, CHINA

*E-mail:* [jjalianghe@scu.edu.cn](mailto:jjalianghe@scu.edu.cn)

*E-mail:* [18653730429@163.com](mailto:18653730429@163.com)

*E-mail:* [zhangsg@scu.edu.cn](mailto:zhangsg@scu.edu.cn)