

INTUITIONISTIC SAHLQVIST THEORY FOR DEDUCTIVE SYSTEMS

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Abstract. Sahlqvist theory is extended to the fragments of the intuitionistic propositional calculus that include the conjunction connective. This allows us to introduce a Sahlqvist theory of intuitionistic character amenable to arbitrary protoalgebraic deductive systems. As an application, we obtain a Sahlqvist theorem for the fragments of the intuitionistic propositional calculus that include the implication connective and for the extensions of the intuitionistic linear logic.

§1. Introduction. Sahlqvist theorem is one of modal logic's crown jewels [71]. The theorem revolves around a family of syntactically defined modal formulas, known as *Sahlqvist formulas*, and consists of two halves, related to the phenomena of *canonicity* and *correspondence*, respectively. The canonicity part of the theorem states that the validity of Sahlqvist formulas is preserved by canonical extensions of modal algebras [55, 56], while the correspondence part states that the class of Kripke frames validating a Sahlqvist formula is strictly elementary. Taken together, the two imply that every normal modal logic axiomatized by Sahlqvist formulas is complete with respect to an elementary class of Kripke frames.

Whilst Sahlqvist theory has been at the center of many investigations in modal logic (see, e.g., [59, 72]), it received less attention in the setting of the intuitionistic propositional calculus IPC, with the notable exception of [46] (see also [22, 70]). This should not come as a surprise, as a version of Sahlqvist theory for IPC can easily be derived from the modal one, utilizing the *Gödel–McKinsey–Tarski translation* of IPC into the modal system S4 [49, 63] and its semantic interpretation [62], as explained in [22]. However, this method breaks down for fragments of IPC, mostly because their duality theory is more opaque than that of IPC.

In this paper, we will fill this gap by extending Sahlqvist theory to fragments¹ of IPC including the conjunction connective (Theorem 5.1). This will serve as the basis for our main contribution, which consists of a Sahlqvist theory of intuitionistic character amenable to arbitrary deductive systems (Theorem 7.15).

More precisely, we say that a formula of IPC is a *Sahlqvist antecedent* if it is constructed from negative formulas and the constants 0 and 1 using only \wedge and \vee . It is a *Sahlqvist implication* if it is either positive, or the negation of a Sahlqvist

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¹The language of IPC is assumed to be $\wedge, \vee, \rightarrow, \neg, 0, 1$.

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antecedent, or of the form $\varphi \rightarrow \psi$, where φ is a Sahlqvist antecedent and ψ a positive formula. Lastly, formulas obtained from Sahlqvist implications using only \wedge and \vee will be called *Sahlqvist formulas*.

Instead of working with Sahlqvist formulas, we prefer to focus on *Sahlqvist quasiequations*, i.e., expressions of the form

$$\varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_n \wedge y \leq z \implies y \leq z,$$

where $\varphi_1, \dots, \varphi_n$ are Sahlqvist formulas and y and z are distinct variables that do not occur in them. This is because, while in Heyting algebras (i.e., the algebraic models of IPC) Sahlqvist quasiequations and formulas are equally expressive, in the case of fragments the expressive power of Sahlqvist quasiequations is often greater. For instance, the so-called *bounded top width n axiom* [74]

$$\text{btw}_n := \bigvee_{i=1}^{n+1} \neg \left(\neg x_i \wedge \bigwedge_{0 < j < i} x_j \right)$$

can be rendered as the Sahlqvist quasiequation

$$\Phi_n = \big\&_{1 \leq i \leq n+1} \left(\neg(\neg x_i \wedge \bigwedge_{0 < j < i} x_j) \wedge y \leq z \right) \implies y \leq z, \tag{1}$$

which, contrarily to the formula btw_n , does not contain the disjunction connective and, therefore, makes the concept of “bounded top width n ” amenable also to the algebraic models of the $\langle \wedge, \neg \rangle$ -fragment of IPC, i.e., pseudocomplemented semilattices. Furthermore, the role of the quasiequation Φ_n cannot be taken over by any formula or equation in \wedge and \neg only, because the expressive power of the latter is extremely limited [54].

Recall that the lattice $\text{Up}(\mathbb{X})$ of upsets of a poset \mathbb{X} can be endowed with the structure of a Heyting algebra (see, e.g., [17, 34]). Furthermore, a formula of IPC is valid in a poset \mathbb{X} viewed as a Kripke frame iff it is valid in the Heyting algebra $\text{Up}(\mathbb{X})$. Lastly, given a semilattice $\mathcal{A} = \langle \mathcal{A}; \wedge \rangle$, we denote by \mathcal{A}_* the poset of its meet irreducible filters. The Sahlqvist theorem for fragments of IPC with \wedge takes the following form (Theorems 4.12 and 5.1):

THEOREM 1. *The following conditions hold for a Sahlqvist quasiequation Φ in a language $\mathcal{L} \subseteq \{\wedge, \vee, \rightarrow, \neg, 0, 1\}$ containing \wedge :*

- (i) *Canonicity: If an \mathcal{L} -subreduct \mathcal{A} of a Heyting algebra validates Φ , then also $\text{Up}(\mathcal{A}_*)$ validates Φ .*
- (ii) *Correspondence: There exists an effective computable first-order sentence $\text{tr}(\varphi)$ in the language of posets such that $\text{Up}(\mathbb{X}) \models \Phi$ iff $\mathbb{X} \models \text{tr}(\Phi)$, for every poset \mathbb{X} .*

The main obstacles in proving Theorem 1 can be summarized as follows. On the one hand, the method of [22] is based on the observation that a Heyting algebra \mathcal{A} validates a formula φ iff the free Boolean extension of \mathcal{A} , viewed as a modal algebra, validates the Gödel–McKinsey–Tarski translation of φ . This property, however, need not hold in subreducts of Heyting algebras, preventing the applicability of a similar method. On the other hand, the algebraic models of fragments of IPC with \wedge admit the presence of operations that fail to be order preserving in every coordinate

(such as the negation or the implication), contrarily to the case of [57]. Similarly, they need not have a lattice structure and, therefore, do not fall under the scope of [15, 20, 44].

Lastly, even when these models have a lattice structure (as in the case of *finite pseudocomplemented semilattices*), they need not be *distributive* lattices. Because of this reason, their traditional canonical extensions [32, 42, 43] may fail to be Heyting algebras. For instance, the smallest nonmodular lattice N_5 can be viewed as a pseudocomplemented semilattice, whose canonical extension is order isomorphic to N_5 itself and, therefore, is not a Heyting algebra. To overcome this problem, in the canonicity part of the theorem we work with completions of the form $\text{Up}(A_*)$ which, in turn, are always Heyting algebras.² As a consequence, the order theoretic properties typical of canonical extensions which serve as the basis of the approach of [46] and [20, 21, 28] need not hold in our setting: for instance, the completions of the form $\text{Up}(A_*)$ need not be *dense* in the sense of [32] and are not induced by a polarity of filters and ideals in the sense of [45].

Our main tools are a model theoretic observation on universal classes (Theorem 2.7) and the correspondence in Section 3 between algebraic homomorphisms on the one hand and partial order preserving maps that generalize the notion of a p-morphism typical of Esakia duality [33, 34] on the other hand (see, e.g., [4, 58, 78–80]).

As we mentioned, part of the interest of Theorem 1 is that it contains the germ of a Sahlqvist theory amenable to arbitrary *logics* (a.k.a. *deductive systems*), i.e., finitary and substitution invariant consequence relations on the set of formulas of some algebraic language. The price to pay in exchange for the great generality, however, is that the resulting Sahlqvist theory is of intuitionistic character and, therefore, does not apply to logics whose meet irreducible theories are maximally consistent (such as normal modal logics).

This generalization is made possible by the methods of abstract algebraic logic [35], which allow to recognize that the pillars sustaining the intuitionistic Sahlqvist theory are certain metalogical properties that govern the behavior of the intuitionistic connectives \neg , \rightarrow , and \vee . More precisely, a logic \vdash is said to have:

- (i) The *inconsistency lemma* [67] when for every $n \in \mathbb{Z}^+$ there exists a finite set of formulas $\sim_n(x_1, \dots, x_n)$ such that for every finite set of formulas $\Gamma \cup \{\varphi_1, \dots, \varphi_n\}$,

$$\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \text{ is inconsistent iff } \Gamma \vdash \sim_n(\varphi_1, \dots, \varphi_n).$$

- (ii) The *deduction theorem* [11] when there exists a finite set of formulas $x \Rightarrow y$ such that for every finite set of formulas $\Gamma \cup \{\psi, \varphi\}$,

$$\Gamma, \psi \vdash \varphi \text{ iff } \Gamma \vdash \psi \Rightarrow \varphi.$$

- (iii) The *proof by cases* [23, 27] when there exists a finite set $x \curlywedge y$ of formulas such that for every finite set of formulas $\Gamma \cup \{\psi, \varphi, \gamma\}$,

$$\Gamma, \psi \vdash \gamma \text{ and } \Gamma, \varphi \vdash \gamma \text{ iff } \Gamma, \psi \curlywedge \varphi \vdash \gamma.$$

²Nevertheless, we kept the expression *canonicity* for historical reasons.

It is well known that IPC has the inconsistent lemma, the deduction theorem, and the proof by cases, as witnessed, respectively, by the sets

$$\sim_n(x_1, \dots, x_n) := \{\neg(x_1 \wedge \dots \wedge x_n)\} \quad x \Rightarrow y := \{x \rightarrow y\} \quad x \vee y := \{x \vee y\}.$$

Accordingly, when a logic \vdash possesses the metalogical properties governing the behavior of the connectives among \neg , \rightarrow , and \vee appearing in a formula $\varphi(x_1, \dots, x_n)$ of IPC, we say that φ is *compatible* with \vdash . In this case, with every $k \in \mathbb{Z}^+$ we can associate a finite set of formulas

$$\varphi^k(x_1^1, \dots, x_1^k, \dots, x_n^1, \dots, x_n^k)$$

of \vdash which globally behaves as φ . For instance, suppose that $\varphi = \neg\psi$ and that we already defined ψ^k to be $\{\chi_1, \dots, \chi_n\}$. Since the connective \neg appears in φ , the assumption that φ is compatible with \vdash guarantees that the latter has the inconsistency lemma. Accordingly, we set

$$\varphi^k = (\neg\psi)^k := \sim_n(\chi_1, \dots, \chi_n),$$

thus ensuring that φ^k behaves as the negation of $\psi^k = \{\chi_1, \dots, \chi_n\}$ in \vdash .

Our main result applies to logics \vdash that are *protoalgebraic*, i.e., that possess a nonempty set of formulas $\Delta(x, y)$ which globally behaves as a weak implication, in the sense that $\emptyset \vdash \Delta(x, x)$ and modus ponens $x, \Delta(x, y) \vdash y$ hold [26]. It takes the form of a correspondence theorem connecting the validity of certain metarules in a logic \vdash with the structure of the posets $\text{Spec}_\vdash(\mathcal{A})$ of meet irreducible deductive filters of \vdash on arbitrary algebras \mathcal{A} (Theorem 7.15).

THEOREM 2. *Let $\varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_n \wedge y \leq z \implies y \leq z$ be a Sahlqvist quasiequation such that $\varphi_1, \dots, \varphi_n$ are compatible with a protoalgebraic logic \vdash . Then \vdash validates all the metarules of the form*

$$\frac{\Gamma, \varphi_1^k(\vec{\gamma}_1, \dots, \vec{\gamma}_n) \triangleright \psi \quad \dots \quad \Gamma, \varphi_m^k(\vec{\gamma}_1, \dots, \vec{\gamma}_n) \triangleright \psi}{\Gamma \triangleright \psi}$$

iff the poset $\text{Spec}_\vdash(\mathcal{A})$ validates $\text{tr}(\Phi)$, for every algebra \mathcal{A} .

For instance, a protoalgebraic logic with the inconsistency lemma validates the metarules corresponding to the bounded top width n Sahlqvist quasiequation in Condition (1) iff the principal upsets in $\text{Spec}_\vdash(\mathcal{A})$ have at most n maximal elements, for every algebra \mathcal{A} (Theorem 8.6). In the case where $n = 1$, this was first proved in [60] (see also [66]).

The connection between Theorems 1 and 2 is made possible by a series of bridge theorems that connect the validity of the inconsistency lemma, the deduction theorem, and the proof by cases in a protoalgebraic logic \vdash with the demand that the semilattices $\text{Fi}_\vdash^\omega(\mathcal{A})$ of compact deductive filters of \vdash on algebras \mathcal{A} are subreducts of Heyting algebras in a suitable language containing \wedge . For instance, a protoalgebraic logic \vdash has the inconsistency lemma iff $\text{Fi}_\vdash^\omega(\mathcal{A})$ is a pseudocomplemented semilattice, for every algebra \mathcal{A} [67]. A similar result, where implicative semilattices and distributive lattices take over the role of pseudocomplemented semilattices, holds for the deduction theorem and the proof by cases [10–12, 18, 23, 27]. This allows us to apply Theorem 1 to the semilattices of the form $\text{Fi}_\vdash^\omega(\mathcal{A})$. Together with the

observation that the poset $\text{Fi}_\perp^\omega(\mathcal{A})_*$ of meet irreducible filters of $\text{Fi}_\perp^\omega(\mathcal{A})$ is isomorphic to $\text{Spec}_\perp(\mathcal{A})$, these are the keys for extending Theorem 1 to arbitrary protoalgebraic logics.

Lastly, we come full circle and derive a version of Sahlqvist theory for fragments of IPC including the implication connective \rightarrow from Theorem 2. As the correspondence part of the theory is already supplied by Theorem 1, our result takes the form of a canonicity theorem. More precisely, given a language $\mathcal{L} \subseteq \{\wedge, \vee, \rightarrow, \neg, 0, 1\}$ containing \rightarrow and an \mathcal{L} -subreduct \mathcal{A} of a Heyting algebra, we denote by \mathcal{A}_* the poset of meet irreducible implicative filters of \mathcal{A} . Since every Sahlqvist quasiequation Φ in \mathcal{L} can be rendered (up to equivalence in Heyting algebras) as a set $A(\Phi)$ of formulas of \mathcal{L} , our canonicity theorem can be phrased as follows (Theorem 9.6):

THEOREM 3. *Let Φ be a Sahlqvist quasiequation in a language $\mathcal{L} \subseteq \{\wedge, \vee, \rightarrow, \neg, 0, 1\}$ containing \rightarrow . If an \mathcal{L} -subreduct \mathcal{A} of a Heyting algebra validates $A(\Phi)$, then also $\text{Up}(\mathcal{A}_*)$ validates $A(\Phi)$.*

We close the paper with another application of Theorem 2, namely a correspondence result for intuitionistic linear logic (Theorem 10.8) which differs from the one in [75, 76] in that, while our theorem captures only the intuitionistic aspects of this logic, it extends naturally to its axiomatic extensions.

§2. Pseudocomplemented and implicative semilattices.

DEFINITION 2.1. An algebra $\langle A; \wedge \rangle$ is said to be a *semilattice* when \wedge is an associative, commutative, and idempotent binary operation.

With each semilattice $\langle A; \wedge \rangle$ we can associate a partial order \leq on A defined, for every $a, b \in A$, as follows:

$$a \leq b \iff a \wedge b = a. \tag{2}$$

In this case, $\langle A; \leq \rangle$ is a poset in which the binary meet of every pair of elements a, b exists and coincides with the element $a \wedge b$. Furthermore, given a poset $\langle A; \leq \rangle$ in which binary meets exist, the pair $\langle A; \wedge \rangle$, where \wedge is the operation of taking binary meets, is a semilattice. These transformations are one inverse to the other.

For the present purpose, two kinds of semilattices are of special interest (see, e.g., [38, 65]):

DEFINITION 2.2. A semilattice $\langle A; \wedge \rangle$ is said to be:

- (i) *Pseudocomplemented* if it has a minimum element 0 and for each $a \in A$ there exists an element $\neg a \in A$ such that for every $c \in A$,

$$c \wedge a = 0 \iff c \leq \neg a. \tag{3}$$

- (ii) *Implicative* if for each $a, b \in A$ there exists an element $a \rightarrow b \in A$ such that for every $c \in A$,

$$c \wedge a \leq b \iff c \leq a \rightarrow b. \tag{4}$$

It follows that a semilattice $\langle A; \wedge \rangle$ is pseudocomplemented if it has a minimum element 0 and for each $a \in A$ there exists the largest $c \in A$ such that $a \wedge c = 0$ (in

which case, we take it to be $\neg a$). As a consequence, every pseudocomplemented semilattice has a maximum element, namely $1 := \neg 0$.

Similarly, a semilattice $\langle A; \wedge \rangle$ is implicative if for each $a, b \in A$ there exists the largest $c \in A$ such that $c \wedge a \leq b$ (in which case, we take it to be $a \rightarrow b$). As a consequence, implicative semilattices $\langle A; \wedge \rangle$ have always a maximum, namely $a \rightarrow a$ for an arbitrary $a \in A$. Because of this, an implicative semilattice is said to be *bounded* when it has a minimum element 0. Notably, every bounded implicative semilattice $\langle A; \wedge \rangle$ is pseudocomplemented, since Condition (3) holds setting $\neg a := a \rightarrow 0$, for every $a \in A$.

Since for every lattice $\langle A; \wedge, \vee \rangle$ the pair $\langle A; \wedge \rangle$ is a semilattice, the above terminology extends naturally to lattices [1, 34, 69]:

DEFINITION 2.3. A lattice $\langle A; \wedge, \vee \rangle$ is said to be *pseudocomplemented* (resp. *implicative*) if so is $\langle A; \wedge \rangle$. Bounded implicative lattices will be called *Heyting algebras*.

It is well known that the lattice reduct of an implicative lattice is always distributive.

REMARK 2.4. Sometimes it will be convenient to treat pseudocomplemented and implicative semilattices as algebras whose basic operations include \neg , \rightarrow , 0, and 1 (as opposed to \wedge only). When this is the case, we will assume that pseudocomplemented semilattices are algebras $\langle A; \wedge, \neg, 0, 1 \rangle$, where $\langle A; \wedge \rangle$ is a semilattice with minimum 0 and maximum 1 and \neg a unary operation on A satisfying Condition (3). Similarly, we will treat implicative semilattices as algebras $\langle A; \wedge, \rightarrow, 1 \rangle$, where $\langle A; \wedge \rangle$ is a semilattice with maximum 1 and \rightarrow a binary operation on A satisfying Condition (4). Lastly, bounded implicative semilattices will be algebras $\langle A; \wedge, \rightarrow, 0, 1 \rangle$, where $\langle A; \wedge, \rightarrow, 1 \rangle$ is an implicative semilattice with minimum 0. The analogous conventions apply to pseudocomplemented lattices, implicative lattices, and Heyting algebras with the only difference that the language of these structures will be assumed to contain the join operation \vee .

When $\langle A; \wedge \rangle$ is a finite semilattice with a maximum element, the partial order $\langle A; \leq \rangle$ is a lattice in which

$$a \vee b = \bigwedge \{c \in A : a, b \leq c\}, \text{ for every } a, b \in A.$$

Because of this, Condition (ii) in the following result makes sense.

PROPOSITION 2.5. *The following conditions hold:*

- (i) *If $\mathbf{A} = \langle A; \wedge, \vee, \neg, 0, 1 \rangle$ is a finite pseudocomplemented distributive lattice, the structure $\langle A; \wedge, \vee, \rightarrow, 0, 1 \rangle$, where \rightarrow is defined as $a \rightarrow b = \max\{c \in A : c \wedge a \leq b\}$, is a Heyting algebra in which the term function $x \rightarrow 0$ coincides with the operation \neg of \mathbf{A} .*
- (ii) *If $\mathbf{A} = \langle A; \wedge, \rightarrow, 1 \rangle$ is a finite implicative semilattice, the structure $\langle A; \wedge, \vee, \rightarrow, 0, 1 \rangle$, where \vee and 0 are, respectively, the join operation and the minimum element of $\langle A; \leq \rangle$, is a Heyting algebra.*

PROOF. Condition (i) holds because every finite distributive lattice is a Heyting algebra and the structure of a Heyting algebra is uniquely determined by its order reduct. For Condition (ii), see, e.g., [58]. \dashv

REMARK 2.6. In contrast to this, finite pseudocomplemented semilattices cannot be given in general the structure of a Heyting algebra, because they need not be distributive, e.g., the nonmodular pentagon lattice N_5 is a pseudocomplemented semilattice. \dashv

We denote the class operators of closure under isomorphic copies, homomorphic images, subalgebras, direct products, and ultraproducts, respectively, by \mathbb{I} , \mathbb{H} , \mathbb{S} , \mathbb{P} , and \mathbb{P}_U . A class of similar algebras is said to be a *quasivariety* when it is closed under \mathbb{I} , \mathbb{S} , \mathbb{P} , and \mathbb{P}_U or, equivalently, when it can be axiomatized by a set of *quasiequations* (see, e.g., [13, Theorem V.2.25]), i.e., universal sentences of the form

$$\forall \vec{x}((\varphi_1 \approx \psi_1 \ \& \ \dots \ \& \ \varphi_n \approx \psi_n) \implies \varepsilon \approx \delta).$$

We often drop the the universal quantifier at the beginning of a quasiequation and write simply

$$\varphi_1 \approx \psi_1 \ \& \ \dots \ \& \ \varphi_n \approx \psi_n \implies \varepsilon \approx \delta.$$

Lastly, a class of similar algebras is said to be a *variety* when it is closed under \mathbb{H} , \mathbb{S} , and \mathbb{P} or, equivalently, when it can be axiomatized by a set of equations (see, e.g., [13, Theorem II.11.9]). When understood as in Remark 2.4, the following classes are examples of varieties:

- PSL := the class of pseudocomplemented semilattices;
- ISL := the class of implicative semilattices;
- bISL := the class of bounded implicative semilattices;
- PDL := the class of pseudocomplemented distributive lattices;
- IL := the class of implicative lattices;
- HA := the class of Heyting algebras.

From a logical standpoint, the interest of these varieties can be explained as follows. Let \mathcal{L} be a sublanguage of the language of an algebra A . The \mathcal{L} -*reduct* of A is the \mathcal{L} -algebra $A_{\mathcal{L}} := \langle A; \{f^A : f \in \mathcal{L}\} \rangle$. Accordingly, the subalgebras of the \mathcal{L} -reduct of A are called \mathcal{L} -*subreducts* of A . The varieties in the above display consist of the subreducts of Heyting algebras in the appropriate signature. For instance, PSL is the class of $\langle \wedge, \neg, 0, 1 \rangle$ -subreducts of Heyting algebras, and similarly for the other cases.

A class of similar algebras K is said to be a *universal class* if it can be axiomatized by a set of universal sentences or, equivalently, if it is closed under \mathbb{I} , \mathbb{S} , and \mathbb{P}_U (see, e.g., [13, Theorem V.2.10]). The least universal class containing a class of similar algebras K coincides with $\mathbb{ISP}_U(K)$ and will be denoted by $\mathbb{U}(K)$. The rest of the section is devoted to proving the following:

THEOREM 2.7. *Let A be a semilattice in a variety between (b)ISL, PDL, IL, and HA. Then A embeds into the appropriate reduct B^- of a Heyting algebra B such that $B^- \in \mathbb{U}(A)$.*

To prove this, recall that a variety K is *locally finite* if its finitely generated members are finite. We rely on the following observation:

PROPOSITION 2.8. *The varieties PSL, (b)ISL, and PDL are locally finite.*

PROOF. For (b)ISL and PSL the result is essentially [29, Corollary III.4.1] (see also [30, 54]), while for PDL, see, e.g., [3, Corollary 4.55] and [61]. \dashv

Furthermore, we will make use of the following general embedding theorem.

PROPOSITION 2.9 [13, Theorem V.2.14]. *Let $\mathbf{K} \cup \{\mathbf{A}\}$ be a class of similar algebras. If every finitely generated subalgebra of \mathbf{A} belongs to $\mathbb{IS}(\mathbf{K})$, then $\mathbf{A} \in \mathbb{ISP}_{\mathbf{U}}(\mathbf{K})$.*

We are now ready to prove Theorem 2.7.

PROOF. We begin by the case where $\mathbf{A} \in \text{ISL}$. Since every finitely generated subalgebra of \mathbf{A} embeds into itself, in view of Proposition 2.9 there exist a family $\{\mathbf{A}_i : i \in I\}$ of finitely generated subalgebras of \mathbf{A} and an ultrafilter U on I with an embedding

$$f : \mathbf{A} \rightarrow \prod_{i \in I} \mathbf{A}_i / U.$$

Since universal classes are closed under \mathbb{S} and $\mathbb{P}_{\mathbf{U}}$, we have

$$\prod_{i \in I} \mathbf{A}_i / U \in \mathbb{P}_{\mathbf{U}}\mathbb{S}(\mathbf{A}) \subseteq \mathbb{U}(\mathbf{A}).$$

In view of Proposition 2.8, each \mathbf{A}_i is finite and, therefore, can be expanded to a Heyting algebra \mathbf{A}_i^+ by Proposition 2.5(ii). Clearly,

$$\mathbf{B} := \prod_{i \in I} \mathbf{A}_i^+ / U$$

is a Heyting algebra, whose $\langle \wedge, \rightarrow \rangle$ -reduct \mathbf{B}^- coincides with $\prod_{i \in I} \mathbf{A}_i / U$. Since \mathbf{A} embeds into \mathbf{B}^- and $\mathbf{B}^- \in \mathbb{U}(\mathbf{A})$, we are done.

The same proof works for the case where \mathbf{A} belongs to bISL or to PDL with the only difference that, when $\mathbf{A} \in \text{PDL}$, we apply Condition (i) of Proposition 2.5 instead of Condition (ii). Lastly, the case where \mathbf{A} belongs to HA is straightforward, since can simply take $\mathbf{B} := \mathbf{A}$.

It only remains to consider the case where $\mathbf{A} \in \text{IL}$. Let \mathbf{A}^+ be the expansion of \mathbf{A} with a new constant c_a for each of its elements a . Let also 0 be another new constant. Then, consider the set of sentences

$$\Sigma := \{0 \leq c_a : a \in \mathbf{A}\} \cup \text{Th}(\mathbf{A}^+),$$

where $\text{Th}(\mathbf{A}^+)$ is the elementary theory of \mathbf{A}^+ and $0 \leq c_a$ is a shorthand for $0 \wedge c_a \approx 0$. Clearly, every finite part Γ of Σ is realizable in \mathbf{A}^+ , for if $c_{a_1}, \dots, c_{a_n}, 0$ are the new constants appearing in Γ , we can interpret 0 as $c_{a_1} \wedge \dots \wedge c_{a_n}$ in \mathbf{A}^+ . Therefore, we can apply the Compactness Theorem of first-order logic, obtaining that Σ has a model \mathbf{C} .

Let \mathbf{B}^+ be the subalgebra of \mathbf{C} generated by $\{0\} \cup \{c_a : a \in \mathbf{A}\}$. As \mathbf{C} is a model of $\text{Th}(\mathbf{A}^+)$, the map $f : \mathbf{A} \rightarrow \mathbf{C}$ defined by the assignment $f(a) := c_a$ is an elementary embedding of \mathbf{A} into the $\langle \wedge, \vee, \rightarrow, 1 \rangle$ -reduct \mathbf{C}^- of \mathbf{C} . Consequently, \mathbf{A} embeds also into the $\langle \wedge, \vee, \rightarrow, 1 \rangle$ -reduct \mathbf{B}^- of \mathbf{B}^+ . Furthermore, as \mathbf{B}^- embeds into the elementary extension \mathbf{C}^- of \mathbf{A} and the validity of universal sentences persists in elementary extensions and subalgebras, \mathbf{B}^- satisfies all the universal sentences valid in \mathbf{A} and, therefore, belongs to $\mathbb{U}(\mathbf{A})$.

To conclude the proof, it only remains to show that \mathbf{B}^- is the $\langle \wedge, \vee, \rightarrow, 1 \rangle$ -reduct of a Heyting algebra \mathbf{B} . Since $\mathbf{A} \in \mathbf{IL}$ and \mathbf{IL} is a universal class, from $\mathbf{B}^- \in \mathcal{U}(\mathbf{A})$ it follows that $\mathbf{B}^- \in \mathbf{IL}$. Therefore, it suffices to prove that 0 is the minimum element of \mathbf{B}^- , as in this case we can let \mathbf{B} be the expansion of \mathbf{B}^- with 0.

To prove this, recall that \mathbf{B}^+ is the subalgebra of \mathbf{C} generated by $\{0\} \cup \{c_a : a \in A\}$. Therefore, every element of \mathbf{B}^- (equiv. of \mathbf{B}^+) has the form $\varphi^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n})$ for some $a_1, \dots, a_n \in A$ and $\langle \wedge, \vee, \rightarrow, 1 \rangle$ -term $\varphi(y, x_1, \dots, x_n)$. We will prove by induction on the construction of φ that

$$0 \leq \varphi^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n})$$

for every $a_1, \dots, a_n \in A$.

In the base case, φ is either the constant 1 or a variable. If it is the constant 1, then $\varphi^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n})$ is the maximum of \mathbf{C} , whence the above display holds. Then we consider the case where φ is a variable. Since $\varphi \in \{y, x_1, \dots, x_n\}$, we have $\varphi^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n}) \in \{0, c_{a_1}, \dots, c_{a_n}\}$. If $\varphi^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n}) = 0$, it is clear that the above display holds. Consider the case where $\varphi^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n}) = c_{a_i}$ for some $i \leq n$. Since \mathbf{C} is a model of the formula $0 \leq c_{a_i}$ (which belongs to Σ), we obtain $0 \leq c_{a_i} = \varphi^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n})$ as desired.

In the induction step, φ is a complex formula. The case where φ is of the form $\psi_1 \wedge \psi_2$ or $\psi_1 \vee \psi_2$ is straightforward. Accordingly, we detail only the case where φ is of the form $\psi_1 \rightarrow \psi_2$. By the inductive hypothesis, we have

$$0 \leq \psi_2^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n})$$

and, therefore,

$$0 \wedge \psi_1^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n}) \leq \psi_2^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n}).$$

Since \mathbf{C} is an elementary extension of \mathbf{A} , it satisfies Condition (4). Consequently, from the above display it follows

$$0 \leq \psi_1^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n}) \rightarrow^{\mathbf{C}} \psi_2^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n}) = \varphi^{\mathbf{C}}(0, c_{a_1}, \dots, c_{a_n}).$$

Hence, we conclude that 0 is the minimum of \mathbf{B}^- as desired. ⊖

§3. Posets and partial functions. In this section, we will individuate a correspondence between homomorphisms in the varieties PSL, (b)ISL, PDL, IL, and HA and appropriate partial functions between (possibly empty) posets that generalize the notion of a p-morphism typical of *Esakia duality* for Heyting algebras [33, 34].

The idea of using partial functions to dualize varieties of subreducts of Heyting algebras can be traced back at least to [58, 77] and [78–80] and was developed systematically in [4, 5, 14, 16]. Our presentation is largely inspired by the approach of [4], which deals with categories of Heyting algebras with maps preserving the operations in some smaller signature. Since we work with semilattices (as opposed to Heyting algebras), some additional care will be needed, however.

For a poset \mathbb{X} and $Y \subseteq X$, let

$$\begin{aligned} \uparrow Y &:= \{x \in X : \text{there exists } y \in Y \text{ s.t. } y \leq x\}; \\ \downarrow Y &:= \{x \in X : \text{there exists } y \in Y \text{ s.t. } x \leq y\}. \end{aligned}$$

We call the set Y an *upset* if $Y = \uparrow Y$ and a *downset* if $Y = \downarrow Y$. If $Y = \{y\}$, we simply write $\uparrow y$ and $\downarrow y$ instead of $\uparrow\{y\}$ and $\downarrow\{y\}$. When the poset \mathbb{X} is not clear from the context, we will write $\uparrow^{\mathbb{X}} Y$ and $\downarrow^{\mathbb{X}} Y$, respectively, instead of $\uparrow Y$ and $\downarrow Y$.

A *partial function* p from a set X to a set Y is a function from a subset Z of X to Y . In this case, Z is said to be the *domain* of p and will be denoted by $\text{dom}(p)$. We will write $p: X \rightarrow Y$ to indicate that p is a partial function from X to Y . A partial function $p: \mathbb{X} \rightarrow \mathbb{Y}$ between posets is *order preserving* when, for every $x, z \in \text{dom}(p)$,

$$\text{if } x \leq^{\mathbb{X}} z, \text{ then } p(x) \leq^{\mathbb{Y}} p(z).$$

DEFINITION 3.1. An order preserving partial function $p: \mathbb{X} \rightarrow \mathbb{Y}$ between posets is:

- (i) A *partial negative p-morphism* if

$$X = \downarrow^{\mathbb{X}} \{x \in X : \uparrow^{\mathbb{X}} x \subseteq \text{dom}(p)\}$$

and for every $x \in \text{dom}(p)$ and $y \in Y$,

$$\text{if } p(x) \leq^{\mathbb{Y}} y, \text{ there exists } z \in \text{dom}(p) \text{ s.t. } x \leq^{\mathbb{X}} z \text{ and } y \leq^{\mathbb{Y}} p(z).$$

- (ii) A *partial positive p-morphism* if for every $x \in \text{dom}(p)$ and $y \in Y$,

$$\text{if } p(x) \leq^{\mathbb{Y}} y, \text{ there exists } z \in \text{dom}(p) \text{ s.t. } x \leq^{\mathbb{X}} z \text{ and } y = p(z).$$

- (iii) A *partial p-morphism* if it is both a partial negative p-morphism and a partial positive p-morphism.

When p is a total function, we drop the adjective *partial* in the above definitions.

REMARK 3.2. Partial p-morphisms $p: \mathbb{X} \rightarrow \mathbb{Y}$ coincide with partial positive p-morphism such that $X = \downarrow \text{dom}(p)$. ←

We say that a partial function $p: \mathbb{X} \rightarrow \mathbb{Y}$ between posets is *almost total* when $\text{dom}(p)$ is a downset of \mathbb{X} . Notice that almost total partial functions $p: \mathbb{X} \rightarrow \mathbb{Y}$ such that $X = \downarrow \text{dom}(p)$ are indeed total. In particular, almost total partial (negative) p-morphisms are total. On the other hand, almost total partial implicative p-morphism need not be total in general, e.g., if U is a proper downset of \mathbb{X} and y a maximal element of \mathbb{Y} , the partial function $p: \mathbb{X} \rightarrow \mathbb{Y}$ such that $\text{dom}(p) = U$ and $p[U] = \{y\}$ is an almost total partial positive morphism that fails to be total.

With every variety K among PSL, (b)ISL, PDL, IL, and HA we associate a collection K^∂ consisting of the class of all posets with suitable partial functions between them as follows:³

$\text{PSL}^\partial :=$ the collection of posets with partial negative p-morphisms;

$\text{ISL}^\partial :=$ the collection of posets with partial positive p-morphisms;

$\text{bISL}^\partial :=$ the collection of posets with partial p-morphisms;

$\text{PDL}^\partial :=$ the collection of posets with negative p-morphisms;

³Notice that K^∂ need not be a category in general.

$\text{IL}^\partial :=$ the collection of posets with almost total partial positive p-morphisms;
 $\text{HA}^\partial :=$ the collection of posets with p-morphisms.

We will refer to the partial functions in K^∂ as to the *arrows* of K^∂ .

Every variety K among PSL, (b)ISL, PDL, IL, and HA is related to K^∂ as follows. An element a of a semilattice A is said to be *meet irreducible* if it is not the maximum of A and, for every $b, c \in A$,

$$\text{if } a = b \wedge c, \text{ then either } a = b \text{ or } a = c.$$

A *filter* of A is a nonempty upset of $\langle A; \leq \rangle$ closed under binary meets. When ordered under the inclusion relation, the set of filters of A forms a lattice in which meets are intersections. Accordingly, a filter F of A is said to be *meet irreducible* when it is meet irreducible in the lattice of filters of A , i.e., when F is proper and either $F = G$ or $F = H$ for every pair G, H of filters of A such that $F = G \cap H$. The poset of meet irreducible filters of A will be denoted by A_* .

REMARK 3.3. When the poset underlying a semilattice A is a distributive lattice, a filter F of A is meet irreducible iff it is *prime*, i.e., iff $A \setminus F$ is nonempty and closed under binary joins [6, Theorem 12]. -1

Let K be a variety among PSL, (b)ISL, PDL, IL, and HA. Given $A, B \in \text{K}$ and a homomorphism $f: A \rightarrow B$, let $f_*: B_* \rightarrow A_*$ be the partial function with

$$\text{dom}(f_*) := \{F \in B_* : f^{-1}[F] \in A_*\}$$

defined as $f_*(F) := f^{-1}[F]$ for every $F \in \text{dom}(f_*)$.

Conversely, given a poset \mathbb{X} , let $\text{Up}_{\text{K}}(\mathbb{X})$ be the reduct in the language of K of the Heyting algebra

$$\langle \text{Up}(\mathbb{X}); \cap, \cup, \rightarrow, \emptyset, X \rangle,$$

where $\text{Up}(\mathbb{X})$ is the set of upsets of \mathbb{X} and \rightarrow is defined by

$$U \rightarrow V := X \setminus \downarrow(U \setminus V).$$

Notice that $\text{Up}_{\text{K}}(\mathbb{X}) \in \text{K}$, because K is the class of subreducts of Heyting algebras in the language of K . Lastly, given an arrow $p: \mathbb{X} \rightarrow \mathbb{Y}$ in K^∂ , let $\text{Up}_{\text{K}}(p): \text{Up}_{\text{K}}(\mathbb{Y}) \rightarrow \text{Up}_{\text{K}}(\mathbb{X})$ be the map defined for every $U \in \text{Up}_{\text{K}}(\mathbb{Y})$ as $\text{Up}_{\text{K}}(p)(U) := X \setminus \downarrow_{\mathbb{X}} p^{-1}[Y \setminus U]$. When $\text{K} = \text{HA}$, we often drop the subscript K from $\text{Up}_{\text{K}}(\mathbb{X})$ and $\text{Up}_{\text{K}}(p)$.

REMARK 3.4. In the case of HA, the applications $(-)_*$ and $\text{Up}(-)$ are the contravariant functors underlying *Esakia duality* [33, 34]. -1

The rest of the section is devoted to the proof of the following result.

PROPOSITION 3.5. *Let K be a variety among PSL, (b)ISL, PDL, IL, and HA. The following conditions hold for every $A, B \in \text{K}$ and every pair \mathbb{X}, \mathbb{Y} of posets:*

- (i) *If $f: A \rightarrow B$ is a homomorphism, then $f_*: B_* \rightarrow A_*$ is an arrow in K^∂ .*
- (ii) *If $p: \mathbb{X} \rightarrow \mathbb{Y}$ is an arrow in K^∂ , then $\text{Up}_{\text{K}}(p): \text{Up}_{\text{K}}(\mathbb{Y}) \rightarrow \text{Up}_{\text{K}}(\mathbb{X})$ is a homomorphism.*

Furthermore, if f is injective (resp. p is surjective), then f_ is surjective (resp. $\text{Up}_{\text{K}}(p)$ is injective).*

When ordered under the inclusion relation, the set $\text{Fi}(A)$ of filters of a semilattice A with maximum forms a lattice $\langle \text{Fi}(A); \cap, + \rangle$, where the join operation $+$ is defined as

$$F + G := \{a \in A : \text{there are } b \in F \text{ and } c \in G \text{ such that } b \wedge c \leq a\}.$$

We rely on the next observation.

LEMMA 3.6. *Let $f : A \rightarrow B$ be a homomorphism between two semilattices, F a filter of B , and $G \in A_*$. If $f^{-1}[F] \subseteq G$ and the filter of B generated by $F \cup f[G]$ is disjoint from $f[A \setminus G]$, then there exists $H \in B_*$ such that $F \subseteq H$ and $G = f^{-1}[H]$.*

PROOF. Consider the poset \mathbb{X} whose universe is

$$\{P : P \text{ is a filter of } B \text{ such that } F \cup f[G] \subseteq P \text{ and } P \cap f[A \setminus G] = \emptyset\}$$

and whose order is the inclusion relation. By assumption, \mathbb{X} is nonempty because it contains the filter of B generated by $F \cup f[G]$. Since \mathbb{X} is closed under unions of chains, we can apply Zorn's Lemma obtaining that \mathbb{X} has a maximal element H .

CLAIM 3.7. *The filter H is meet irreducible.*

PROOF OF THE CLAIM. Suppose the contrary, with a view to contradiction. By assumption, G is a meet irreducible filter of A and, therefore, proper. Consequently, $A \setminus G$ is nonempty, whence so is $f[A \setminus G]$. Since H is disjoint from $f[A \setminus G]$, we conclude that H is proper. Since H is not meet irreducible, this means that there are two filters H_1, H_2 of B other than H such that $H = H_1 \cap H_2$. From the maximality of H in \mathbb{X} it follows that neither H_1 nor H_2 is disjoint from $f[A \setminus G]$. Therefore, there are $a, b \in A \setminus G$ such that

$$f(a) \in H_1 \text{ and } f(b) \in H_2. \tag{5}$$

Now, from $a, b \in A \setminus G$ it follows that G is properly contained in $G + \uparrow^A a$ and $G + \uparrow^A b$. Since G a meet irreducible filter of A , this guarantees that

$$G \subsetneq (G + \uparrow^A a) \cap (G + \uparrow^A b).$$

Accordingly, there are $c \in G$ and $d \in A \setminus G$ such that

$$a \wedge^A c \leq d \text{ and } b \wedge^A c \leq d.$$

Since f is a homomorphism, we obtain

$$f(a) \wedge^B f(c) \leq f(d) \text{ and } f(b) \wedge^B f(c) \leq f(d). \tag{6}$$

Furthermore, from $c \in G$ and the assumption that $f[G] \subseteq H = H_1 \cap H_2$ it follows that $f(c) \in H_1 \cap H_2$. Together with Conditions (5) and (6) and the fact that H_1, H_2 are filters of B , this implies that

$$f(d) \in H_1 \cap H_2 = H,$$

a contradiction with the assumption that $d \in A \setminus G$ and $H \cap f[A \setminus G] = \emptyset$. Hence, we conclude that H is meet irreducible. \dashv

By the claim, $H \in B_*$. Furthermore, since $H \in \mathbb{X}$, we know that $F \subseteq H$. Therefore, it only remains to prove that $G = f^{-1}[H]$. The fact that $H \in \mathbb{X}$

guarantees that $f[G] \subseteq H$ and $H \cap f[A \setminus G] = \emptyset$. From $f[G] \subseteq H$ it follows $G \subseteq f^{-1}[f[G]] \subseteq f^{-1}[H]$. To prove the other inclusion, consider $a \in f^{-1}[H]$. Then $f(a) \in H$. Since $H \cap f[A \setminus G] = \emptyset$ and $a \in A$, this implies that $a \in G$ as desired. \dashv

Lastly, we rely on the following technical lemma.

LEMMA 3.8. *Let $p: \mathbb{X} \rightarrow \mathbb{Y}$ be a partial function between posets and $U, V \in \text{Up}(\mathbb{Y})$. Then*

$$\downarrow^{\mathbb{X}} p^{-1}[Y \setminus (U \cap V)] = \downarrow^{\mathbb{X}} p^{-1}[Y \setminus U] \cup \downarrow^{\mathbb{X}} p^{-1}[Y \setminus V].$$

Moreover, if p is total and order preserving, it also holds

$$\downarrow^{\mathbb{X}} p^{-1}[Y \setminus (U \cup V)] = \downarrow^{\mathbb{X}} p^{-1}[Y \setminus U] \cap \downarrow^{\mathbb{X}} p^{-1}[Y \setminus V].$$

PROOF. The proof of the first part of the statement is straightforward. As for the second part, suppose that p is total and order preserving. The inclusion from left to right follows from the fact that the function $\downarrow^{\mathbb{X}} p^{-1}[-]: \mathcal{P}(Y) \rightarrow \mathcal{P}(X)$ is order preserving. To prove the other inclusion, consider $x \in \downarrow^{\mathbb{X}} p^{-1}[Y \setminus U] \cap \downarrow^{\mathbb{X}} p^{-1}[Y \setminus V]$. Then there are $u, v \in X$ such that $p(u) \in Y \setminus U$, $p(v) \in Y \setminus V$, and $x \leq^{\mathbb{X}} u, v$. Since p is a total function, $x \in \text{dom}(p)$. Furthermore, as p is order preserving and $x \leq^{\mathbb{X}} u, v$, we obtain $p(x) \leq^{\mathbb{Y}} p(u), p(v)$. Together with the assumption that U and V are upsets and that $p(u) \notin U$ and $p(v) \notin V$, this yields $p(x) \in Y \setminus (U \cup V)$. Hence, we conclude that $x \in \downarrow^{\mathbb{X}} p^{-1}[Y \setminus (U \cup V)]$. \dashv

In order to prove Proposition 3.5, it will be convenient to consider the cases of PSL and ISL separately. We begin by the case of PSL.

LEMMA 3.9. *Let $A, B \in \text{PSL}$ with a homomorphism $f: A \rightarrow B$, let $F \in \text{Fi}(B)$, and let $G \in \text{Fi}(A)$ be maximal and proper. If $f^{-1}[F] \subseteq G$, the filter of B generated by $F \cup f[G]$ is disjoint from $f[A \setminus G]$.*

PROOF. Suppose, with a view to contradiction, that $f^{-1}[F] \subseteq G$ and that the filter of B generated by $F \cup f[G]$ is not disjoint from $f[A \setminus G]$. Then there exist $a_1, \dots, a_n \in G$, $b_1, \dots, b_m \in F$, and $c \in A \setminus G$ such that

$$f(a_1) \wedge^B \dots \wedge^B f(a_n) \wedge^B b_1 \wedge^B \dots \wedge^B b_m \leq f(c).$$

Since G is maximal and proper, $G + \uparrow^A c = A$. Therefore, $c \wedge^A d = 0^A$ for some $d \in G$. By Condition (3), this yields $d \leq \neg^A c$ and, since f is a homomorphism, $f(d) \leq \neg^B f(c)$.

As $d \in G$, we may assume, without loss of generality, that $d \in \{a_1, \dots, a_n\}$. Therefore, from $f(d) \leq \neg^B f(c)$ and the above display it follows

$$f(a_1) \wedge^B \dots \wedge^B f(a_n) \wedge^B b_1 \wedge^B \dots \wedge^B b_m \leq f(c) \wedge^B \neg^B f(c) = 0^B.$$

Since f is a homomorphism, we can apply Condition (3) obtaining

$$b_1 \wedge^B \dots \wedge^B b_m \leq \neg^B f(a_1 \wedge^A \dots \wedge^A a_n) = f(\neg^A(a_1 \wedge^A \dots \wedge^A a_n)).$$

As F is a filter of B containing b_1, \dots, b_m , the above display guarantees that $f(\neg^A(a_1 \wedge^A \dots \wedge^A a_n)) \in F$. As a consequence, $\neg^A(a_1 \wedge^A \dots \wedge^A a_n) \in f^{-1}[F] \subseteq G$.

But together with the fact that $a_1, \dots, a_n \in G$ and that G is a filter of \mathbf{A} , this implies

$$0^A = (a_1 \wedge^A \dots \wedge^A a_n) \wedge \neg^A(a_1 \wedge^A \dots \wedge^A a_n) \in G,$$

a contradiction with the assumption that G is proper. ⊥

The homomorphisms in PSL and the arrows in PSL^θ are related as follows.

PROPOSITION 3.10. *Let $\mathbf{A}, \mathbf{B} \in \text{PSL}$ and let \mathbb{X}, \mathbb{Y} be posets. The following conditions hold:*

- (i) *If $f: \mathbf{A} \rightarrow \mathbf{B}$ is a homomorphism, then $f_*: \mathbf{B}_* \rightarrow \mathbf{A}_*$ is a partial negative p -morphism.*
- (ii) *If $p: \mathbb{X} \rightarrow \mathbb{Y}$ is a partial negative p -morphism, then $\text{Up}_{\text{PSL}}(p): \text{Up}_{\text{PSL}}(\mathbb{Y}) \rightarrow \text{Up}_{\text{PSL}}(\mathbb{X})$ is a homomorphism.*

PROOF. (i): The definition of f_* guarantees that $f_*: \mathbf{B}_* \rightarrow \mathbf{A}_*$ is a well-defined partial order preserving map. Therefore, it suffices to prove that:

1. $\mathbf{B}_* = \downarrow^{\mathbf{B}_*} \{F \in \mathbf{B}_* : \uparrow^{\mathbf{B}_*} F \subseteq \text{dom}(f_*)\}$.
2. For every $F \in \text{dom}(f_*)$ and $G \in \mathbf{A}_*$,

if $f_*(F) \subseteq G$, there exists $H \in \text{dom}(f_*)$ s.t. $F \subseteq H$ and $G \subseteq f_*(H)$.

Notice that inverse images under f of proper filters of \mathbf{B} are proper filters of \mathbf{A} , because f preserves binary meets and minimum elements. We will use this observation repeatedly.

To prove Condition (1), it suffices to establish the inclusion $\mathbf{B}_* \subseteq \downarrow^{\mathbf{B}_*} \{F \in \mathbf{B}_* : \uparrow^{\mathbf{B}_*} F \subseteq \text{dom}(f_*)\}$ as the other one is obvious. Accordingly, consider $F \in \mathbf{B}_*$. Since F is a proper filter of \mathbf{B} , the set $f^{-1}[F]$ is a proper filter of \mathbf{A} . By Zorn's Lemma, we can extend it to a maximal proper filter G of \mathbf{A} . Being maximal and proper, G is meet irreducible and, therefore, it belongs to \mathbf{A}_* . Furthermore, since $f^{-1}[F] \subseteq G$, we can apply Lemma 3.9 obtaining that the filter of \mathbf{B} generated by $F \cup f[G]$ is disjoint from $f[A \setminus G]$. By Lemma 3.6, there exists $H \in \mathbf{B}_*$ such that $F \subseteq H$ and $G = f^{-1}[H]$. Thus, $H \in \text{dom}(f_*)$ and $f_*(H) = G$. To conclude the proof, it only remains to show that $\uparrow^{\mathbf{B}_*} H \subseteq \text{dom}(f_*)$. To this end, consider $H^+ \in \uparrow^{\mathbf{B}_*} H$. Since H^+ is a proper filter of \mathbf{B} , the set $f^{-1}[H^+]$ is a proper filter of \mathbf{A} . Furthermore, $G = f^{-1}[H] \subseteq f^{-1}[H^+]$. Since G is a maximal proper filter of \mathbf{A} and $f^{-1}[H^+]$ is a proper filter of \mathbf{A} , this implies $f^{-1}[H^+] = G \in \mathbf{A}_*$. Hence, we conclude that $H^+ \in \text{dom}(f_*)$ as desired.

Then we turn to prove Condition (2). Consider $F \in \text{dom}(f_*)$ and $G \in \mathbf{A}_*$ such that $f_*(F) \subseteq G$, that is, $f^{-1}[F] \subseteq G$. We may assume, without loss of generality, that G is maximal and proper (otherwise we use Zorn's Lemma to extend it to such a filter). Therefore, we can apply Lemma 3.9 obtaining that the filter of \mathbf{B} generated by $F \cup f[G]$ is disjoint from $f[A \setminus G]$. By Lemma 3.6, there exists $H \in \mathbf{B}_*$ such that $F \subseteq H$ and $G = f^{-1}[H]$. We conclude that $H \in \text{dom}(f_*)$ and $G = f_*(H)$.

(ii): To see that $\text{Up}_{\text{PSL}}(p): \text{Up}_{\text{PSL}}(\mathbb{Y}) \rightarrow \text{Up}_{\text{PSL}}(\mathbb{X})$ preserves binary meets, recall from Lemma 3.8 that

$$\downarrow^{\mathbb{X}} p^{-1}[Y \setminus (U \cap V)] = \downarrow^{\mathbb{X}} p^{-1}[Y \setminus U] \cup \downarrow^{\mathbb{X}} p^{-1}[Y \setminus V],$$

for every $U, V \in \text{Up}(\mathbb{Y})$. Therefore, we obtain

$$X \setminus \downarrow^{\mathbb{X}} p^{-1}[Y \setminus (U \cap V)] = (X \setminus \downarrow^{\mathbb{X}} p^{-1}[Y \setminus U]) \cap (X \setminus \downarrow^{\mathbb{X}} p^{-1}[Y \setminus V]),$$

that is, $\text{Up}_{\text{PSL}}(p)(U \cap V) = \text{Up}_{\text{PSL}}(p)(U) \cap \text{Up}_{\text{PSL}}(p)(V)$, as desired.

We now detail the proof that $\text{Up}_{\text{PSL}}(p)$ preserves the operation \neg (this, in turn, guarantees that $\text{Up}_{\text{PSL}}(p)$ preserves also the constant 0, because the latter is term-definable as $x \wedge \neg x$). Consider $U \in \text{Up}_{\text{PSL}}(\mathbb{Y})$. We need to prove that

$$\text{Up}_{\text{PSL}}(p)(\neg^{\text{Up}_{\text{PSL}}(\mathbb{Y})}(U)) = \neg^{\text{Up}_{\text{PSL}}(\mathbb{X})}\text{Up}_{\text{PSL}}(p)(U).$$

Using the definitions of $\text{Up}_{\text{PSL}}(p)$ and of the operation \neg in $\text{Up}_{\text{PSL}}(\mathbb{Y})$ and $\text{Up}_{\text{PSL}}(\mathbb{X})$, this amounts to

$$X \setminus \downarrow^{\mathbb{X}}(p^{-1}[\downarrow^{\mathbb{Y}} U]) = X \setminus \downarrow^{\mathbb{X}}(X \setminus \downarrow^{\mathbb{X}}(p^{-1}[Y \setminus U])).$$

Clearly, it suffices to show that the complements of the sets in the above display coincide, namely,

$$\downarrow^{\mathbb{X}}(p^{-1}[\downarrow^{\mathbb{Y}} U]) = \downarrow^{\mathbb{X}}(X \setminus \downarrow^{\mathbb{X}}(p^{-1}[Y \setminus U])). \tag{7}$$

To prove the inclusion from left to right in Condition (7), consider $x \in \downarrow^{\mathbb{X}}(p^{-1}[\downarrow^{\mathbb{Y}} U])$. Then there are $z \in X$ and $u \in U$ such that $z \in \text{dom}(p)$ and

$$x \leq^{\mathbb{X}} z \text{ and } p(z) \leq^{\mathbb{Y}} u.$$

Since $p(z) \leq^{\mathbb{Y}} u$ and $p: \mathbb{X} \rightarrow \mathbb{Y}$ is a partial negative p-morphism, there exists $w \in \text{dom}(p)$ such that $z \leq^{\mathbb{X}} w$ and $u \leq^{\mathbb{Y}} p(w)$. Together with the above display, this yields $x \leq^{\mathbb{X}} w$. Therefore, to conclude that $x \in \downarrow^{\mathbb{X}}(X \setminus \downarrow^{\mathbb{X}}(p^{-1}[Y \setminus U]))$, it suffices to show that $w \in X \setminus \downarrow^{\mathbb{X}}(p^{-1}[Y \setminus U])$. Accordingly, consider $v \in \text{dom}(p)$ such that $w \leq^{\mathbb{X}} v$. We need to show that $p(v) \in U$. Since p is order preserving, from $w \leq^{\mathbb{X}} v$ it follows $p(w) \leq^{\mathbb{Y}} p(v)$. Together with $u \leq^{\mathbb{Y}} p(w)$, this yields $u \leq^{\mathbb{Y}} p(v)$. Since U is an upset of \mathbb{Y} and $u \in U$, we conclude that $p(v) \in U$ as desired.

Lastly, we prove the inclusion from right to left in Condition (7). Consider $x \in \downarrow^{\mathbb{X}}(X \setminus \downarrow^{\mathbb{X}}(p^{-1}[Y \setminus U]))$. Then there exists $z \in X$ such that $x \leq^{\mathbb{X}} z$ and for every $w \in X$,

$$\text{if } z \leq^{\mathbb{X}} w \text{ and } w \in \text{dom}(p), \text{ then } p(w) \in U.$$

Since p is a partial negative p-morphism, $X = \downarrow^{\mathbb{X}} \text{dom}(p)$. Thus, there exists $w \in \text{dom}(p)$ with $z \leq^{\mathbb{X}} w$. In view of the above display, we obtain $p(w) \in U$. Since $x \leq^{\mathbb{X}} z \leq^{\mathbb{X}} w$, this yields $x \in \downarrow^{\mathbb{X}}(p^{-1}[U]) \subseteq \downarrow^{\mathbb{X}}(p^{-1}[\downarrow^{\mathbb{Y}} U])$. -1

Now, we turn our attention to the case of implicative semilattices.

LEMMA 3.11. *Let $\mathbf{A}, \mathbf{B} \in \text{ISL}$ with a homomorphism $f: \mathbf{A} \rightarrow \mathbf{B}$, let $F \in \text{Fi}(\mathbf{B})$, and let $G \in \text{Fi}(\mathbf{A})$. If $f^{-1}[F] \subseteq G$, the filter of \mathbf{B} generated by $F \cup f[G]$ is disjoint from $f[A \setminus G]$.*

PROOF. Suppose, with a view to contradiction, that $f^{-1}[F] \subseteq G$ and that the filter of \mathbf{B} generated by $F \cup f[G]$ contains an element $c \in f[A \setminus G]$. Then there exist $a_1, \dots, a_n \in G$ and $b_1, \dots, b_m \in F$ such that

$$f(a_1) \wedge^{\mathbf{B}} \dots \wedge^{\mathbf{B}} f(a_n) \wedge^{\mathbf{B}} b_1 \wedge^{\mathbf{B}} \dots \wedge^{\mathbf{B}} b_m \leq c.$$

Since f preserves binary meets, this yields

$$f(a_1 \wedge^A \dots \wedge^A a_n) \wedge^B b_1 \wedge^B \dots \wedge^B b_m \leq c.$$

Together $c \in f[A \setminus G]$, this implies that there exists $d \in A \setminus G$ such that

$$f(a_1 \wedge^A \dots \wedge^A a_n) \wedge^B b_1 \wedge^B \dots \wedge^B b_m \leq f(d).$$

Applying Condition (4) and the fact that f preserves \rightarrow to the above display, we obtain

$$b_1 \wedge^B \dots \wedge^B b_m \leq f(a_1 \wedge^A \dots \wedge^A a_n) \rightarrow^B f(d) = f((a_1 \wedge^A \dots \wedge^A a_n) \rightarrow^A d).$$

Lastly, as F is a filter of \mathbf{B} containing b_1, \dots, b_m , the above display guarantees that $f((a_1 \wedge^A \dots \wedge^A a_n) \rightarrow^A d) \in F$. As a consequence, $(a_1 \wedge^A \dots \wedge^A a_n) \rightarrow^A d \in f^{-1}[F] \subseteq G$. Together with the fact that $a_1, \dots, a_n \in G$ and that G is a filter of \mathbf{A} , this implies

$$(a_1 \wedge^A \dots \wedge^A a_n) \wedge^A ((a_1 \wedge^A \dots \wedge^A a_n) \rightarrow^A d) \in G.$$

Since G is an upset and, by Condition (4), we have

$$(a_1 \wedge^A \dots \wedge^A a_n) \wedge^A ((a_1 \wedge^A \dots \wedge^A a_n) \rightarrow^A d) \leq d,$$

this implies $d \in G$, a contradiction with the assumption that $d \in A \setminus G$. ⊖

The homomorphisms in ISL and the arrows in ISL^∂ are related as follows.

PROPOSITION 3.12. *Let $\mathbf{A}, \mathbf{B} \in \text{ISL}$ and let \mathbb{X}, \mathbb{Y} be posets. The following conditions hold:*

- (i) *If $f: \mathbf{A} \rightarrow \mathbf{B}$ is a homomorphism, then $f_*: \mathbf{B}_* \rightarrow \mathbf{A}_*$ is a partial positive p -morphism.*
- (ii) *If $p: \mathbb{X} \rightarrow \mathbb{Y}$ is a partial positive p -morphism, then $\text{Up}_{\text{ISL}}(p): \text{Up}_{\text{ISL}}(\mathbb{Y}) \rightarrow \text{Up}_{\text{ISL}}(\mathbb{X})$ is a homomorphism.*

PROOF. (i): The definition of f_* guarantees that $f_*: \mathbf{B}_* \rightarrow \mathbf{A}_*$ is a well-defined partial order preserving map. Therefore, it suffices to prove that for every $F \in \text{dom}(f_*)$ and $G \in \mathbf{A}_*$,

$$\text{if } f_*(F) \subseteq G, \text{ there exists } H \in \text{dom}(f_*) \text{ s.t. } F \subseteq H \text{ and } G = f_*(H).$$

Accordingly, let $F \in \text{dom}(f_*)$ and $G \in \mathbf{A}_*$ be such that $f_*(F) \subseteq G$, that is, $f^{-1}[F] \subseteq G$. By Lemma 3.11, the filter of \mathbf{B} generated by $F \cup f[G]$ is disjoint from $f[A \setminus G]$. Therefore, we can apply Lemma 3.6 obtaining an $H \in \mathbf{B}_*$ that contains F such that $G = f^{-1}[H]$. Since $G \in \mathbf{A}_*$, we conclude that $H \in \text{dom}(f_*)$ and $G = f_*(H)$ as desired.

(ii): The proof of this condition coincides with that of [4, Theorem 3.15] (although the respective statements are slightly different). ⊖

We are now ready to prove Proposition 3.5.

PROOF. The cases where \mathbf{K} is PSL, ISL, or bISL follow from Propositions 3.10 and 3.12, while the case where $\mathbf{K} = \text{HA}$ is well known (see Remark 3.4). Therefore it only remains to detail the cases of PDL and IL. We detail the case of PDL only, as that of IL is analogous.

To prove Condition (i), consider $A, B \in \text{PDL}$ and let $f : A \rightarrow B$ be a homomorphism. Since f is a homomorphism of pseudocomplemented semilattices, $f_* : B_* \rightarrow A_*$ is a partial negative p-morphism by Proposition 3.10(i). To prove that f_* is total, consider $F \in B_*$. Since B is a distributive lattice, F is a prime filter in view of Remark 3.3. As f preserves binary joins, $f^{-1}[F]$ is a prime filter of A , whence $f^{-1}[F] \in A_*$ by Remark 3.3. Thus, we conclude that $F \in \text{dom}(f_*)$ and, therefore, that f_* is total. This shows that f_* is a negative p-morphism.

To prove Condition (ii), let $p : X \rightarrow Y$ be a negative p-morphism. By Proposition 3.10(ii), $\text{Up}_{\text{PDL}}(p)$ is a homomorphism of pseudocomplemented semilattices. Therefore, it only remains to prove that it preserves binary joins. To this end, consider $U, V \in \text{Up}(Y)$. Since

$$\begin{aligned} \text{Up}_{\text{PDL}}(p)(U \vee^{\text{Up}_{\text{PDL}}(Y)} V) &= X \setminus \downarrow^X p^{-1}[Y \setminus (U \cup V)] \\ \text{Up}_{\text{PDL}}(p)(U) \vee^{\text{Up}_{\text{PDL}}(X)} \text{Up}_{\text{PDL}}(p)(V) &= (X \setminus \downarrow^X p^{-1}[Y \setminus U]) \cup (X \setminus \downarrow^X p^{-1}[Y \setminus V]), \end{aligned}$$

it suffices to show that

$$(X \setminus \downarrow^X p^{-1}[Y \setminus U]) \cup (X \setminus \downarrow^X p^{-1}[Y \setminus V]) = X \setminus \downarrow^X p^{-1}[Y \setminus (U \cup V)].$$

As p is order preserving and total, we can apply the second part of Lemma 3.8 obtaining that the above display holds. As a consequence, $\text{Up}_{\text{PDL}}(p)$ is a homomorphism as desired.

It only remains to prove the last part of the statement, namely, that for every variety K among PSL, (b)ISL, PDL, IL, and HA, every homomorphism $f : A \rightarrow B$ in K , and every arrow $p : X \rightarrow Y$ in K^∂ , if f is injective (resp. p is surjective), then f_* is surjective (resp. $\text{Up}_K(p)$ is injective).

Suppose first that $f : A \rightarrow B$ is injective and consider $G \in A_*$. Since G is proper, we can choose an element $a \in G$ and consider the filter $F := \uparrow^B f(a)$ of B . Since f is order reflecting, $f^{-1}[F] \subseteq \uparrow^A a$. Together with $a \in G$ and the fact that G is an upset, this implies $f^{-1}[F] \subseteq G$. We will prove that the filter of B generated by $F \cup f[G]$ is disjoint from $f[A \setminus G]$. Suppose, on the contrary, that there exists some $b \in B$ in the filter of B generated by $F \cup f[G]$ and in $f[A \setminus G]$. Since $b \in f[A \setminus G]$ there exists $c \in A \setminus G$ such that $f(c) = b$. Since $F = \uparrow^B f(a)$ and b belongs to the filter of B generated by $F \cup f[G]$, there are $a_1, \dots, a_n \in G$ such that

$$f(a \wedge^A a_1 \wedge^A \dots \wedge^A a_n) = f(a) \wedge^B f(a_1) \wedge^B \dots \wedge^B f(a_n) \leq b = f(c).$$

As f is order reflecting, this implies $a \wedge^A a_1 \wedge^A \dots \wedge^A a_n \leq a$. As G is a filter and $a, a_1, \dots, a_n \in G$, we obtain that $c \in G$, a contradiction with the assumption that $c \in A \setminus G$. In sum, $f^{-1}[F] \subseteq G$ and the filter of B generated by $F \cup f[G]$ is disjoint from $f[A \setminus G]$. Therefore, we can apply Lemma 3.6 obtaining an $H \in B_*$ such that $G = f^{-1}[H]$. Hence, $H \in \text{dom}(f_*)$ and $f_*(H) = G$ and we conclude that f_* is surjective.

Lastly, consider a surjective arrow $p : X \rightarrow Y$ in K^∂ and let U, V be distinct upsets of Y . By symmetry, we may assume that there exists $y \in U \setminus V$. Since p is surjective, there exists $x \in \text{dom}(p)$ such that $p(x) = y$. Since p is order preserving, $p(x) \in U$, and U is an upset, we have $x \notin \downarrow^X p^{-1}[Y \setminus U]$, whence $x \in X \setminus \downarrow^X p^{-1}[Y \setminus U] = \text{Up}_K(p)(U)$. On the other hand, as $p(x) \in U \setminus V \subseteq Y \setminus V$, we obtain $x \in \downarrow^X p^{-1}[Y \setminus V]$, whence $x \notin X \setminus \downarrow^X p^{-1}[Y \setminus V] = \text{Up}_K(p)(V)$. Hence, we

conclude that $Up_K(p)(U) \neq Up_K(p)(V)$ and, therefore, that $Up_K(p)$ is injective as desired. \dashv

§4. Sahlqvist theory for IPC. Sahlqvist theory [71] is usually formulated in the setting of modal logic (see, e.g., [7, 72]). However, the Gödel–McKinsey–Tarski translation of the intuitionistic propositional calculus IPC into the modal system S4 allows to extend Sahlqvist theory to IPC, as shown in [22].⁴ In this section, we will review this process in such a way that it will help set the stage for the study of fragments of IPC.

Consider the modal language

$$\mathcal{L}_\square ::= x \mid \varphi \wedge \psi \mid \varphi \vee \psi \mid \varphi \rightarrow \psi \mid \neg\varphi \mid \square\varphi \mid \diamond\varphi \mid 0 \mid 1.$$

Formulas of \mathcal{L}_\square will be assumed to have variables in a denumerable set $Var = \{x_n : n \in \mathbb{Z}^+\}$ and arbitrary elements of Var will often be denoted by $x, y,$ and z .

DEFINITION 4.1. Let φ be a formula of \mathcal{L}_\square and x a variable. An occurrence of x in φ is said to be *positive* (resp. *negative*) if the sum of negations and antecedents of implications within whose scopes it appears is even (resp. odd). Moreover, we say a x is *positive* (resp. *negative*) in φ if every occurrence of x in φ is positive (resp. negative). Lastly, φ is said to be *positive* (resp. *negative*) if every variable is positive (resp. negative) in φ .

Formulas of the form $\square^n x$ with $x \in Var$ and $n \in \mathbb{N}$ will be called *boxed atoms*. Notice that the elements of Var are also boxed atoms, because $x = \square^0 x$ for every $x \in Var$.

DEFINITION 4.2. A formula of \mathcal{L}_\square is said to be:

- (i) A *modal Sahlqvist antecedent* if it is constructed from boxed atoms, negative formulas, and the constants 0 and 1 using only $\wedge, \vee,$ and \diamond .
- (ii) A *modal Sahlqvist implication* if it is positive, or it is of the form $\neg\varphi$ for a modal Sahlqvist antecedent φ , or it is of the form $\varphi \rightarrow \psi$ for a modal Sahlqvist antecedent φ and a positive formula ψ .

REMARK 4.3. When applied to modal logic, our definition of a modal Sahlqvist implication is intentionally redundant. For if φ is positive and ψ a modal Sahlqvist antecedent, then φ is equivalent to $1 \rightarrow \varphi$ and $\neg\psi$ is equivalent to $\psi \rightarrow 0$. Accordingly, in modal logic the third possibility in the definition of a modal Sahlqvist implication subsumes (up to logical equivalence) the first two.

In the next definition $x \leq y$ is a shorthand for the equation $x \wedge y \approx x$.

DEFINITION 4.4. A *modal Sahlqvist quasiequation* is an expression Φ of the form

$$\varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_n \wedge y \leq z \implies y \leq z,$$

where y and z are distinct variables that do not occur in $\varphi_1, \dots, \varphi_n$ and each φ_i is constructed from modal Sahlqvist implications using only $\wedge, \vee,$ and \square . If, in

⁴For a different approach to the canonicity part of Sahlqvist theorem for IPC, see [46].

addition, Φ does not contain any occurrence of \square or \diamond , we say that Φ is simply a *Sahlqvist quasiequation*.

EXAMPLE 4.5. For every $n \in \mathbb{Z}^+$, the *bounded top width n axiom*⁵ [74] is the formula of IPC

$$\text{btw}_n := \bigvee_{i=1}^{n+1} \neg \left(\neg x_i \wedge \bigwedge_{0 < j < i} x_j \right).$$

When $n = 1$, the formula btw_n is equivalent over IPC to the *weak excluded middle law* $\neg x \vee \neg \neg x$ [53]. Notably, each btw_n can be rendered as the Sahlqvist quasiequation

$$\Phi_n = \big\&_{1 \leq i \leq n+1} \left(\neg \left(\neg x_i \wedge \bigwedge_{0 < j < i} x_j \right) \wedge y \leq z \right) \implies y \leq z$$

in the sense that a Heyting algebra validates btw_n iff it validates Φ_n .

Similarly, the *excluded middle* $x \vee \neg x$ and the *Gödel–Dummett axiom* $(x_1 \rightarrow x_2) \vee (x_2 \rightarrow x_1)$ [31, 50] can be rendered, respectively, as the Sahlqvist quasiequations

$$\begin{aligned} x \wedge y \leq z \ \&\ \neg x \wedge y \leq z \implies y \leq z \quad \text{and} \\ (x_1 \rightarrow x_2) \wedge y \leq z \ \&\ (x_2 \rightarrow x_1) \wedge y \leq z \implies y \leq z. \end{aligned} \quad \dashv$$

In the modal logic literature, the role of modal Sahlqvist quasiequations is played by the so-called *modal Sahlqvist formulas*, i.e., formulas that can be constructed from modal Sahlqvist implications using only \wedge, \vee , and \square .⁶ When \square and \diamond do not occur in a modal Sahlqvist formula φ , we will say that φ is simply a *Sahlqvist formula*.

In order to clarify the relation between (modal) Sahlqvist quasiequations and formulas, recall that a *modal algebra* is a structure $\langle A; \wedge, \vee, \neg, \square, 0, 1 \rangle$ where $\langle A; \wedge, \vee, \neg, 0, 1 \rangle$ is a Boolean algebra and for every $a, b \in A$,

$$\square(a \wedge b) = \square a \wedge \square b \quad \text{and} \quad \square 1 = 1.$$

We say that a formula φ is *valid* in a modal (resp. Heyting) algebra A , in symbols $A \models \varphi$, when A satisfies the equation $\varphi \approx 1$.

PROPOSITION 4.6. *A modal Sahlqvist quasiequation*

$$\Phi = \varphi_1 \wedge y \leq z \ \&\ \dots \ \&\ \varphi_n \wedge y \leq z \implies y \leq z$$

is valid in a modal algebra A iff $A \models \varphi_1 \vee \dots \vee \varphi_n$.

PROOF. Suppose that $A \models \Phi$ and consider $\vec{a} \in A$. For every $i \leq n$, we have

$$\varphi_i(\vec{a}) \wedge 1 = \varphi_i(\vec{a}) \leq \varphi_1(\vec{a}) \vee \dots \vee \varphi_n(\vec{a}).$$

⁵The formulation of btw_n given in [74] is equivalent to the one we employ, in the sense that the two formulas axiomatize the same axiomatic extension of IPC (for a proof, see, e.g., [37]). Our formulation has the advantage of making the connection with Sahlqvist quasiequations apparent.

⁶It is common to define modal Sahlqvist formulas as the formulas that can be obtained from modal Sahlqvist implications using only \wedge, \square , and disjunctions of formulas with no variable in common (see, e.g., [7]), but our definition coincides (up to logical equivalence) with the standard one as shown in [2, Remark 4.3].

Since $\mathcal{A} \models \Phi$, this implies $1 \leq \varphi_1(\vec{a}) \vee \dots \vee \varphi_n(\vec{a})$. As 1 is the maximum of \mathcal{A} , we conclude that $\varphi_1(\vec{a}) \vee \dots \vee \varphi_n(\vec{a}) = 1$ as desired.

Conversely, suppose that $\mathcal{A} \models \varphi_1 \vee \dots \vee \varphi_n$ and consider $\vec{a}, b, c \in \mathcal{A}$ such that $\varphi_i(\vec{a}) \wedge b \leq c$ for every $i \leq n$. Using the distributive laws, we obtain

$$(\varphi_1(\vec{a}) \vee \dots \vee \varphi_n(\vec{a})) \wedge b = (\varphi_1(\vec{a}) \wedge b) \vee \dots \vee (\varphi_n(\vec{a}) \wedge b) \leq c.$$

Since $\mathcal{A} \models \varphi_1 \vee \dots \vee \varphi_n$, this yields $b = 1 \wedge b \leq c$, whence $\mathcal{A} \models \Phi$. ◻

A similar argument yields the following:

COROLLARY 4.7. *A Sahlqvist quasiequation*

$$\varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_n \wedge y \leq z \implies y \leq z$$

is valid in a Heyting algebra \mathcal{A} iff $\mathcal{A} \models \varphi_1 \vee \dots \vee \varphi_n$.

The reason why (modal) Sahlqvist quasiequations and formulas are two faces of the same coin is that, in view of Proposition 4.6 and Corollary 4.7, a (resp. modal) Sahlqvist quasiequation

$$\varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_n \wedge y \leq z \implies y \leq z$$

is valid in a Heyting (resp. modal) algebra \mathcal{A} iff so is the (resp. modal) Sahlqvist formula $\varphi_1 \vee \dots \vee \varphi_n$. Conversely, a (resp. modal) Sahlqvist formula φ is valid in \mathcal{A} iff so is the (resp. modal) Sahlqvist quasiequation $\varphi \wedge y \leq z \implies y \leq z$.

REMARK 4.8. The focus on Sahlqvist quasiequations (as opposed formulas or equations) is motivated by the fact that we deal with fragments of IPC where formulas have a very limited expressive power. For instance, in PSL there are only three nonequivalent equations [54], while there are infinitely many nonequivalent Sahlqvist quasiequations, as shown in Example 4.5.

In addition, we cannot remove the “context” y from Sahlqvist quasiequations. For instance, the Sahlqvist quasiequation

$$\Phi = \neg x \wedge y \leq z \ \& \ \neg \neg x \wedge y \leq z \implies y \leq z$$

corresponding to the weak excluded middle law (see Example 4.5) is not equivalent to its context free version $\Psi = \neg x \leq z \ \& \ \neg \neg x \leq z \implies z \approx 1$ over PSL, for Ψ holds in the pseudocomplemented semilattice \mathcal{A} depicted in Figure 1, while Φ fails in \mathcal{A} as witnessed by the assignment

$$x \mapsto a \quad y \mapsto b \quad z \mapsto c.$$

With every Kripke frame $\mathbb{X} = \langle X, R \rangle$ we can associate a modal algebra

$$\mathcal{P}_M(\mathbb{X}) := \langle \mathcal{P}(X); \cap, \cup, \neg, \square, \emptyset, X \rangle,$$

where \neg and \square are defined for every $Y \subseteq X$ as

$$\neg Y := X \setminus Y \quad \text{and} \quad \square Y := \{x \in X : \text{if } \langle x, y \rangle \in R, \text{ then } y \in Y\}.$$

Conversely, with a modal algebra \mathcal{A} we can associate a Kripke frame $\mathcal{A}_+ := \langle X, R \rangle$, where X is the set of ultrafilters of \mathcal{A} and

$$R := \{\langle F, G \rangle \in X \times X : \text{for every } a \in \mathcal{A}, \text{ if } \square^{\mathcal{A}} a \in F, \text{ then } a \in G\}.$$

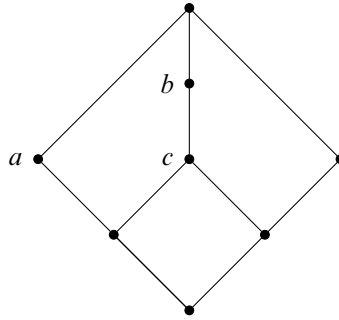


FIGURE 1. A pseudocomplemented semilattice.

Notably, \mathcal{A} embeds into the algebra $\mathcal{P}_M(\mathcal{A}_+)$, known as the *canonical extension* of \mathcal{A} [55, 56].

Our aim is to extend the next classical version of Sahlqvist theorem to IPC.

MODAL SAHLQVIST THEOREM 4.9 [7, Theorems 3.54 and 5.91]. *The following conditions hold for a modal Sahlqvist quasiequation Φ*

- (i) *Canonicity: If a modal algebra \mathcal{A} validates Φ , then also $\mathcal{P}_M(\mathcal{A}_+)$ validates Φ .*
- (ii) *Correspondence: There is an effectively computable first-order sentence $\text{mtr}(\Phi)$ ⁷ in the language of Kripke frames such that $\mathcal{P}_M(\mathbb{X}) \models \Phi$ iff $\mathbb{X} \models \text{mtr}(\Phi)$, for every Kripke frame \mathbb{X} .*

Let \mathcal{L} be the language of IPC, i.e., the language obtained from \mathcal{L}_\square by removing \square and \diamond . Recall that the *Gödel–McKinsey–Tarski translation* [49, 63] associates with every formula φ of \mathcal{L} a formula φ_g of \mathcal{L}_\square , defined recursively as follows: for every $x \in \text{Var}$,

$$x_g := \square x \quad 0_g := 0 \quad 1_g := 1 \quad (\varphi \wedge \psi)_g := \varphi_g \wedge \psi_g,$$

$$(\varphi \vee \psi)_g := \varphi_g \vee \psi_g \quad (\varphi \rightarrow \psi)_g := \square(\varphi_g \rightarrow \psi_g) \quad (\neg\varphi)_g := \square\neg\varphi_g.$$

Given a Sahlqvist quasiequation

$$\Phi = \varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_n \wedge y \leq z \implies y \leq z,$$

we set

$$\Phi_g := \varphi_{1g} \wedge y \leq z \ \& \ \dots \ \& \ \varphi_{ng} \wedge y \leq z \implies y \leq z.$$

The following observation is an immediate consequence of the definitions.

LEMMA 4.10. *If Φ is a Sahlqvist quasiequation, then Φ_g is a modal Sahlqvist quasiequation.*

The next result is instrumental to extend Sahlqvist theorem to IPC.

⁷In the modal logic literature, $\text{mtr}(\Phi)$ is the so-called *standard translation* of the Sahlqvist formula φ associated with Φ . Furthermore, the demand that $\mathcal{P}_M(\mathbb{X}) \models \Phi$ is equivalent to the requirement that φ is valid in the Kripke frame \mathbb{X} .

PROPOSITION 4.11. *The following conditions hold:*

- (i) $\text{Up}(\mathbb{X}) \models \Phi$ iff $\mathcal{P}_M(\mathbb{X}) \models \Phi_g$, for every poset \mathbb{X} and Sahlqvist quasiequation Φ .
- (ii) For every Heyting algebra A there exists a modal algebra $f(A)$ with $A_* \cong f(A)_+$ and such that $A \models \Phi$ iff $f(A) \models \Phi_g$, for every Sahlqvist quasiequation Φ .

PROOF. (i): It is well known that

$$\text{Up}(\mathbb{X}) \models \varphi \iff \mathcal{P}_M(\mathbb{X}) \models \varphi_g \tag{8}$$

for every formula φ of \mathcal{L} and poset \mathbb{X} (see, e.g., [17, Corollary 3.82]). Then for every poset \mathbb{X} and Sahlqvist quasiequation $\Phi = \varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_n \wedge y \leq z \implies y \leq z$, we have

$$\begin{aligned} \text{Up}(\mathbb{X}) \models \Phi &\iff \text{Up}(\mathbb{X}) \models \varphi_1 \vee \dots \vee \varphi_n \\ &\iff \mathcal{P}_M(\mathbb{X}) \models (\varphi_1 \vee \dots \vee \varphi_n)_g \\ &\iff \mathcal{P}_M(\mathbb{X}) \models \varphi_{1g} \vee \dots \vee \varphi_{ng} \\ &\iff \mathcal{P}_M(\mathbb{X}) \models \Phi_g. \end{aligned}$$

The equivalences above are justified as follows: the first and the last follow, respectively, from Corollary 4.7 and Proposition 4.6, the second holds by Condition (8), and the third by the definition of the Gödel–McKinsey–Tarski translation.

(ii): Let $f(A)$ be the subalgebra of $\mathcal{P}_M(A_*)$ generated by the sets of the form

$$\varepsilon_A(a) := \{F \in A_* : a \in F\}$$

for every $a \in A$. In view of [62, Lemmas 3.1 and 3.2], for every formula φ of \mathcal{L} we have

$$A \models \varphi \iff f(A) \models \varphi_g.$$

As in the proof of Condition (i), this implies that $A \models \Phi$ iff $f(A) \models \Phi_g$, for every Sahlqvist quasiequation Φ . For a proof that $A_* \cong f(A)_+$, see, e.g., [34, Construction 2.5.7 and Theorem 3.4.6(1)]. ⊣

As a consequence, we obtain a version of Sahlqvist theorem for IPC:

INTUITIONISTIC SAHLQVIST THEOREM 4.12 [22, Theorems 6.1 and 7.1]. *The following conditions hold for a Sahlqvist quasiequation Φ :*

- (i) *Canonicity: If a Heyting algebra A validates Φ , then also $\text{Up}(A_*)$ validates Φ .*
- (ii) *Correspondence: There is an effectively computable first-order sentence $\text{tr}(\Phi)$ in the language of posets such that $\text{Up}(\mathbb{X}) \models \Phi$ iff $\mathbb{X} \models \text{tr}(\Phi_g)$, for every poset \mathbb{X} .*

PROOF. (i): Suppose that $A \models \Phi$. In view of Proposition 4.11(ii), we have $f(A) \models \Phi_g$. As Φ_g is a modal Sahlqvist quasiequation by Lemma 4.10, we can apply the canonicity part of the Modal Sahlqvist Theorem obtaining $\mathcal{P}_M(f(A)_+) \models \Phi_g$. Since $A_* \cong f(A)_+$ by Proposition 4.11(ii), this amounts to $\mathcal{P}_M(A_*) \models \Phi_g$. Together with by Proposition 4.11(i), this implies that $\text{Up}(A_*) \models \Phi$ as desired.

(ii): From Proposition 4.11(i) it follows that $\text{Up}(\mathbb{X}) \models \Phi$ iff $\mathcal{P}_M(\mathbb{X}) \models \Phi_g$. Furthermore, as Φ_g is a modal Sahlqvist quasiequation by Lemma 4.10, we can apply the correspondence part of the Modal Sahlqvist Theorem obtaining that $\mathcal{P}_M(\mathbb{X}) \models \Phi_g$ iff $\mathbb{X} \models \text{mtr}(\Phi_g)$, where the first-order sentence $\text{mtr}(\Phi_g)$ is effectively computable. Therefore, setting $\text{tr}(\Phi) := \text{mtr}(\Phi_g)$, we are done. ⊣

EXAMPLE 4.13. Let $n \in \mathbb{Z}^+$ and consider the Sahlqvist quasiequation Φ_n associated with the formula btw_n defined in Example 4.5. Since Φ_n and btw_n are equivalent over Heyting algebras, for every poset \mathbb{X} we have

$$\text{Up}(\mathbb{X}) \models \Phi_n \iff \text{Up}(\mathbb{X}) \models \text{btw}_n.$$

On the other hand, it is known that $\text{Up}(\mathbb{X}) \models \text{btw}_n$ iff for every $x \in X$ and $y_1, \dots, y_{n+1} \in \uparrow x$ there exist $z_1, \dots, z_n \in \uparrow x$ such that $y_1, \dots, y_{n+1} \in \downarrow\{z_1, \dots, z_n\}$ (see, e.g., [17, Exercise 2.11]).

As the latter condition can be rendered as a first-order sentence Ψ_n in the language of posets, we obtain the following instance of the correspondence part of the Intuitionistic Sahlqvist Theorem, for every poset \mathbb{X} ,

$$\text{Up}(\mathbb{X}) \models \Phi_n \iff \mathbb{X} \models \Psi_n,$$

whence $\text{tr}(\Phi_n)$ is logically equivalent to Ψ_n over the class of posets. When $n = 1$, the condition Ψ_n expresses the demand that the principal upsets of \mathbb{X} are up-directed.

By the same token, when Φ is the Sahlqvist quasiequation associated with the excluded middle axiom, $\text{tr}(\Phi)$ expresses the demand that the poset \mathbb{X} is discrete. Lastly, when Φ is the Sahlqvist quasiequation associated with the Gödel–Dummett axiom, $\text{tr}(\Phi)$ is the sentence expressing the demand that \mathbb{X} is a *root system*, i.e., that $\uparrow x$ is a chain, for every $x \in X$ (see [17, Proposition 2.36] or [52]).

Examples of quasiequations that cannot be rendered as Sahlqvist ones abound, however.

EXAMPLE 4.14. The *Scott axiom* is the formula of IPC

$$\text{Scott} := ((\neg\neg x \rightarrow x) \rightarrow x \vee \neg x) \rightarrow \neg x \vee \neg\neg x.$$

It is well known that the equation $\text{Scott} \approx 1$ is not canonical [73] (see also [47, Section 5]). By the Intuitionistic Sahlqvist Theorem, this means that this equation is not equivalent (over Heyting algebras) to any Sahlqvist quasiequation.

§5. Sahlqvist theory for fragments of IPC with conjunction. Recall that \mathcal{L} is the algebraic language of IPC, namely,

$$\mathcal{L} = x \mid \varphi \wedge \psi \mid \varphi \vee \psi \mid \varphi \rightarrow \psi \mid \neg\varphi \mid 0 \mid 1.$$

The aim of this section is to extend Sahlqvist theory to fragments of IPC including the connective \wedge .⁸ As the correspondence part of Sahlqvist theorem is left unchanged by switching to fragments, the main result of this section takes the form of a canonicity result.

THEOREM 5.1. *Let Φ be a Sahlqvist quasiequation in a sublanguage \mathcal{L}_\wedge of \mathcal{L} containing \wedge . If an \mathcal{L}_\wedge -subreduct A of a Heyting algebra validates Φ , then also $\text{Up}(A_*)$ validates Φ .*

In order to prove the above result, we begin by ruling out some limit cases.

⁸For a similar result for fragments IPC containing \rightarrow , see Theorem 9.6.

PROPOSITION 5.2. *Let Φ be a Sahlqvist quasiequation in a language $\mathcal{L}_\wedge \subseteq \{\wedge, \vee, 0, 1\}$. If an \mathcal{L}_\wedge -subreduct A of a Heyting algebra validates Φ , then also $\text{Up}(A_*)$ validates Φ .*

PROOF. It is well known that, in view of the poorness of the language \mathcal{L}_\wedge , the class K of \mathcal{L}_\wedge -subreducts of Heyting algebras is a minimal quasivariety.⁹ This means that every quasiequation in \mathcal{L}_\wedge is either true in K or false in all the nontrivial members of K .

Suppose that Φ is valid in some $A \in K$. If $K \models \Phi$, then Φ is also valid in the \mathcal{L}_\wedge -reduct of the Heyting algebra $\text{Up}(A_*)$. This, in turn, implies that $\text{Up}(A_*) \models \Phi$ as desired. Then we consider the case where Φ is false in all the nontrivial members of K . In this case, the assumption that $A \models \Phi$ forces A to be trivial. Therefore, A_* is the empty poset and the Heyting algebra $\text{Up}(A_*)$ is trivial. As a consequence, $\text{Up}(A_*)$ validates every quasiequation and, in particular, Φ . \dashv

In order to prove Theorem 5.1, it only remains to consider the cases where \mathcal{L}_\wedge contains \wedge and either \neg or \rightarrow . Up to term-equivalence, this amounts to proving that for every variety K among PSL, (b)ISL, PDL, IL, and HA the following holds: for every Sahlqvist quasiequation Φ in the language of K and every $A \in K$, if A validates Φ , then also $\text{Up}(A_*)$ validates Φ .

The next result does this for the case where K is any variety among (b)ISL, PDL, IL, and HA (i.e., all cases except $K = \text{PSL}$).

PROPOSITION 5.3. *Let K be a variety among (b)ISL, PDL, IL, and HA and Φ a Sahlqvist quasiequation in the language of K . For every $A \in K$, if A validates Φ , then also $\text{Up}(A_*)$ validates Φ .*

PROOF. Consider a variety K among (b)ISL, PDL, IL, and HA, a Sahlqvist quasiequation Φ in the language of K , and an algebra $A \in K$ such that $A \models \Phi$. By Theorem 2.7, A embeds into the appropriate reduct B^- of a Heyting algebra B such that $B^- \in \mathbb{U}(A)$. Since Φ is a universal sentence valid in A , from $B^- \in \mathbb{U}(A)$ it follows $B^- \models \Phi$. As B^- is the reduct of B in the language of K , this guarantees that $B \models \Phi$.

Given that Φ is a Sahlqvist quasiequation, we can apply the canonicity part of the Intuitionistic Sahlqvist Theorem obtaining that $\text{Up}(B_*) \models \Phi$. Since $B_* = B_*^-$, the algebra $\text{Up}_K(B_*^-)$ is the reduct of $\text{Up}(B_*)$ in the language of K . Consequently, from $\text{Up}(B_*) \models \Phi$ it follows that $\text{Up}_K(B_*) \models \Phi$.

Now, recall that there exists an embedding $f : A \rightarrow B^-$. By Conditions (i) and (ii) of Proposition 3.5, the map $\text{Up}_K(f_*) : \text{Up}_K(A_*) \rightarrow \text{Up}_K(B_*^-)$ is a homomorphism between members of K . Furthermore, applying the last part of the same proposition to the assumption that f is injective, we obtain that $\text{Up}_K(f_*)$ is also injective, whence $\text{Up}_K(A_*) \in \mathbb{IS}(\text{Up}_K(B_*^-))$. Since the validity of universal sentences persists under the formation of subalgebras and isomorphic copies, from $\text{Up}_K(B_*^-) \models \Phi$ it follows that $\text{Up}_K(A_*) \models \Phi$ and, therefore, $\text{Up}(A_*) \models \Phi$, thus concluding the proof. \dashv

In order to complete the proof of Theorem 5.1, it only remains to prove the following:

⁹For instance, if $\mathcal{L}_\wedge = \{\wedge, \vee, 0, 1\}$, then K is the class of bounded distributive lattices.

PROPOSITION 5.4. *Let Φ be Sahlqvist quasiequation in the language of PSL. For every $A \in \text{PSL}$, if A validates Φ , then also $\text{Up}(A_*)$ validates Φ .*

The proof result proceeds through a series of technical observations. An element a of a semilattice A is said to be *join irreducible* if it is not the minimum of A and for every pair of elements $b, c \in A$ such that the join $b \vee c$ exists in A , if $a = b \vee c$, then either $a = b$ or $a = c$. We denote by $J(A)$ the subposet of A whose universe is the set of join irreducible elements.

LEMMA 5.5. *The following conditions hold for a finite semilattice A :*

- (i) *If $a \not\leq b$, there exists $c \in J(A)$ such that $c \leq a$ and $c \not\leq b$.*
- (ii) *An element $a \in A$ is the minimum of A iff there is no $c \in J(A)$ such that $c \leq a$.*

PROOF. This is an immediate consequence of the fact that every element of a finite semilattice A is the join of a subset of $J(A)$. ⊢

Furthermore, we rely on the following properties of pseudocomplemented semilattices.

LEMMA 5.6. *The following conditions hold for every $A \in \text{PSL}$:*

- (i) *If $\varphi(x_1, \dots, x_n)$ is a negative formula, the term function $\varphi^A(x_1, \dots, x_n)$ is order reversing in every argument, i.e., for every $a_1, \dots, a_n, b_1, \dots, b_n \in A$,*

$$\text{if } a_i \leq b_i \text{ for every } i \leq n, \text{ then } \varphi^A(b_1, \dots, b_n) \leq \varphi^A(a_1, \dots, a_n).$$

- (ii) *If A is finite and $X \subseteq A$, the join $\bigvee X$ exists in A and $\bigwedge_{a \in X} \neg a = \neg \bigvee X$.*

PROOF. Condition (i) follows from the fact that \neg is order reversing in PSL [38, Condition (9)], while \wedge is order preserving in both arguments. For Condition (ii), see [38, Condition (19)]. ⊢

The following construction will be instrumental to deal with finite members of PSL.

DEFINITION 5.7. With every finite semilattice A we associate an algebra

$$A^+ := \langle \text{Dw}(J(A)); \cap, \neg, \emptyset, X \rangle,$$

where $\text{Dw}(J(A))$ is the set of downsets of $J(A)$ and \neg is defined by

$$\neg D := \{a \in J(A) : D \cap \downarrow a = \emptyset\}.$$

Furthermore, let $\varepsilon_A : A \rightarrow A^+$ be the map defined by the rule

$$\varepsilon_A(a) := J(A) \cap \downarrow a.$$

LEMMA 5.8. *Let $A \in \text{PSL}$ be finite. Then A^+ is the $\langle \wedge, \neg, 0, 1 \rangle$ -reduct of a Heyting algebra, it belongs to PSL, and the map $\varepsilon_A : A \rightarrow A^+$ is an embedding.*

PROOF. Notice that A^+ coincides with the algebra $\text{Up}_{\text{PSL}}(\mathbb{X})$, where \mathbb{X} is the order dual of $J(A)$. Since $\text{Up}_{\text{PSL}}(\mathbb{X})$ is a pseudocomplemented semilattice, we infer that so is A^+ . Furthermore, the definition of A^+ guarantees that it is a distributive lattice (whose join operation is \cup). Lastly, since A is finite, so is A^+ . Therefore, A^+ is a finite distributive pseudocomplemented lattice. By Proposition 2.5(i), we conclude that A^+ is the $\langle \wedge, \neg, 0, 1 \rangle$ -reduct of a Heyting algebra.

Then we turn to prove that $\varepsilon_A: A \rightarrow A^+$ is an embedding. Clearly, it is well defined and preserves $\wedge, 0$, and 1 . Furthermore, it is injective by Lemma 5.6(i). To prove that it also preserves \neg , consider $a \in A$. We will prove that for every $b \in J(A)$,

$$\begin{aligned} b \in \neg^{A^+} \varepsilon_A(a) &\iff \varepsilon_A(a) \cap \downarrow b = \emptyset \iff c \not\leq a \wedge^A b, \text{ for every } c \in J(A) \\ &\iff a \wedge^A b = 0 \iff b \leq \neg^A a \iff b \in \varepsilon_A(\neg^A a). \end{aligned}$$

The first of the above equivalences holds by the definition of \neg in A^+ , the second and the last by the definition of ε_A , the third by Lemma 5.6(ii), and the fourth by Condition (3). This shows that $\neg^{A^+} \varepsilon_A(a) = \varepsilon_A(\neg^A a)$. Hence, we conclude that $\varepsilon_A: A \rightarrow A^+$ preserves \neg and, therefore, it is an embedding. \dashv

REMARK 5.9. The embedding $\varepsilon_A: A \rightarrow A^+$ need not be an isomorphism, because A^+ is always a distributive lattice, while the (semi)lattice A may fails to be distributive.

We rely on the following technical observation.

LEMMA 5.10. Let $A \in \text{PSL}$ be finite and $\varphi(x_1, \dots, x_n)$ a formula in the language of PSL. For every $D_1, \dots, D_n \in \text{Dw}(J(A))$, we have

$$\neg^{A^+} \varphi^{A^+}(D_1, \dots, D_n) = \neg^{A^+} \varphi^{A^+}(\varepsilon_A(\bigvee^A D_1), \dots, \varepsilon_A(\bigvee^A D_n)).$$

Proof. We begin by proving the following:

CLAIM 5.11. For every $D, V \in \text{Dw}(J(A))$, we have

$$\neg^{A^+}(D \cap V) = \neg^{A^+}(\varepsilon_A(\bigvee^A D) \cap V).$$

PROOF OF THE CLAIM. In order to prove the inclusion from right to left, observe that for every $a \in D$ we have $a \leq \bigvee^A D$ and, therefore, $a \in \varepsilon_A(\bigvee^A D)$. Consequently, $D \subseteq \varepsilon_A(\bigvee^A D)$. This, in turn, implies $D \cap V \subseteq \varepsilon_A(\bigvee^A D) \cap V$. Bearing in mind that $A^+ \in \text{PSL}$ (Lemma 5.8), we can apply Lemma 5.6(i) obtaining that the operation \neg^{A^+} is order reversing. Thus, $\neg^{A^+}(\varepsilon_A(\bigvee^A D) \cap V) \subseteq \neg^{A^+}(D \cap V)$ as desired.

In order to prove the inclusion from left to right, we reason by contraposition. Consider $a \in J(A) \setminus \neg^{A^+}(\varepsilon_A(\bigvee^A D) \cap V)$. By the definitions of \neg^{A^+} and ε_A , there exists $b \in V$ such that $b \leq \bigvee^A D, a$. We have two cases depending on whether or not there exists $d \in D$ such that $b \not\leq \neg^A d$.

Suppose first that such a d exists. In view of Condition (3) we get $0 < b \wedge^A d$. Therefore, Lemma 5.5(ii) gives us some $c \in J(A)$ such that $c \leq b, d$. Since $b \in V$, $c \in J(A)$, and V is a downset of $J(A)$, we have $c \in V$. Furthermore, from $c \leq d \leq \bigvee^A D$ and $c \in J(A)$ it follows that $c \in \varepsilon_A(\bigvee^A D)$. Lastly, since $c \leq b \leq a$, we have $c \in \downarrow a$. Thus, $c \in \varepsilon_A(\bigvee^A D) \cap V \cap \downarrow a$. By the definition of \neg^{A^+} , this amounts to $a \notin \neg^{A^+}(\varepsilon_A(\bigvee^A D) \cap V)$ and we are done.

To conclude the proof, it only remains to show that the case where $b \leq \neg^A d$ for every $d \in D$ never happens. Suppose the contrary. By Lemma 5.6(ii), we have

$$b \leq \bigwedge_{d \in D} \neg^A d = \neg^A \bigvee^A D.$$

As we assumed that $b \leq \bigvee^A D$, this yields $b \leq (\bigvee^A D) \wedge^A (\neg^A \bigvee^A D)$ which, by Condition (3), amounts to $b = 0$. But this contradicts with the fact that $b \in J(\mathcal{A})$. \neg

To prove the main statement, we reason by induction on the construction of φ . In the base case, φ is either a constant or a variable. The case where φ is a constant is straightforward. If φ is a variable x_i , by applying the claim in the third equality below, we obtain

$$\begin{aligned} \neg^{A^+} \varphi^{A^+}(D_1, \dots, D_n) &= \neg^{A^+} D_i = \neg^{A^+}(D_i \cap J(\mathcal{A})) = \neg^{A^+} \left(\varepsilon_{\mathcal{A}} \left(\bigvee^A D_i \right) \cap J(\mathcal{A}) \right) \\ &= \neg^{A^+} \varepsilon_{\mathcal{A}} \left(\bigvee^A D_i \right) = \neg^{A^+} \varphi^{A^+} \left(\varepsilon_{\mathcal{A}} \left(\bigvee^A D_1 \right), \dots, \varepsilon_{\mathcal{A}} \left(\bigvee^A D_n \right) \right). \end{aligned}$$

In the step case, the principal connective of φ is either \neg or \wedge . The case where it is \neg follows immediately from the inductive hypothesis. Therefore, we detail only the case where the principal connective of φ is \wedge . Since the operation \wedge is associative and commutative in PSL, we may assume that φ is of the form

$$\neg \alpha_1 \wedge \dots \wedge \neg \alpha_m \wedge \beta_1 \wedge \dots \wedge \beta_k \wedge x_{i_1} \wedge \dots \wedge x_{i_t},$$

where $i_1, \dots, i_t \leq n$ and each β_j is a constant. Furthermore, m, k , or t can be 0. As the inductive hypothesis applies to each α_j , we obtain

$$\neg^{A^+} \alpha_j^{A^+}(D_1, \dots, D_n) = \neg^{A^+} \alpha_j^{A^+} \left(\varepsilon_{\mathcal{A}} \left(\bigvee^A D_1 \right), \dots, \varepsilon_{\mathcal{A}} \left(\bigvee^A D_n \right) \right), \text{ for every } j \leq m.$$

Furthermore, as the various β_j are constants, we have

$$\beta_j^{A^+}(D_1, \dots, D_n) = \beta_j^{A^+} \left(\varepsilon_{\mathcal{A}} \left(\bigvee^A D_1 \right), \dots, \varepsilon_{\mathcal{A}} \left(\bigvee^A D_n \right) \right), \text{ for every } j \leq k.$$

Therefore, setting

$$V := \bigcap_{j \leq m} \neg^{A^+} \alpha_j^{A^+} \left(\varepsilon_{\mathcal{A}} \left(\bigvee^A D_1 \right), \dots, \varepsilon_{\mathcal{A}} \left(\bigvee^A D_n \right) \right) \cap \bigcap_{j \leq k} \beta_j^{A^+} \left(\varepsilon_{\mathcal{A}} \left(\bigvee^A D_1 \right), \dots, \varepsilon_{\mathcal{A}} \left(\bigvee^A D_n \right) \right),$$

we obtain

$$\neg^{A^+} \varphi^{A^+}(D_1, \dots, D_n) = \neg^{A^+} (D_{i_1} \cap \dots \cap D_{i_t} \cap V).$$

Lastly, applying t times the claim to the above display, we get

$$\neg^{A^+} \varphi^{A^+}(D_1, \dots, D_n) = \neg^{A^+} \left(\varepsilon_{\mathcal{A}} \left(\bigvee^A D_{i_1} \right) \cap \dots \cap \varepsilon_{\mathcal{A}} \left(\bigvee^A D_{i_t} \right) \cap V \right)$$

which, by the definition of V , amounts to

$$\neg^{A^+} \varphi^{A^+}(D_1, \dots, D_n) = \neg^{A^+} \varphi^{A^+} \left(\varepsilon_A \left(\bigvee^A D_1 \right), \dots, \varepsilon_A \left(\bigvee^A D_n \right) \right). \quad \dashv$$

The next result is the hearth of the proof of Proposition 5.4.

PROPOSITION 5.12. *Let $A \in \text{PSL}$ be finite and Φ a Sahlqvist quasiequation in the language of PSL. If A validates Φ , then also A^+ validates Φ .*

PROOF. We will reason by contraposition. Consider a Sahlqvist quasiequation

$$\Phi = \varphi_1(x_1, \dots, x_k) \wedge y \leq z \ \& \ \dots \ \& \ \varphi_n(x_1, \dots, x_k) \wedge y \leq z \implies y \leq z$$

in the language of PSL such that $A^+ \not\models \Phi$. We need to prove that $A \not\models \Phi$.

Since A^+ is the $\langle \wedge, \neg, 0, 1 \rangle$ -reduct of a Heyting algebra (Lemma 5.8), we can apply Corollary 4.7 to the assumption that $A^+ \not\models \Phi$, obtaining $D_1, \dots, D_k \in \text{Dw}(J(A))$ such that

$$\varphi_1^{A^+}(D_1, \dots, D_k) \cup \dots \cup \varphi_n^{A^+}(D_1, \dots, D_k) \neq J(A).$$

Let then $a \in J(A)$ be such that

$$a \notin \varphi_1^{A^+}(D_1, \dots, D_k) \cup \dots \cup \varphi_n^{A^+}(D_1, \dots, D_k). \quad (9)$$

Recall that A is a finite semilattice with a maximum and, therefore, it is also a lattice. Thereby, for every $m \leq k$ we can define an element of A as follows:

$$b_m := \bigvee_{d \in D_m}^A (d \wedge a).$$

We will prove that

$$a \not\leq (\varphi_1^A(b_1, \dots, b_k) \wedge^A a) \vee^A \dots \vee^A (\varphi_n^A(b_1, \dots, b_k) \wedge^A a). \quad (10)$$

This, in turn, implies that $A \not\models \Phi$, as witnessed by the assignment

$$x_m \mapsto b_m \quad y \mapsto a \quad z \mapsto (\varphi_1^A(b_1, \dots, b_k) \wedge^A a) \vee^A \dots \vee^A (\varphi_n^A(b_1, \dots, b_k) \wedge^A a).$$

Therefore, to conclude the proof, it suffices to establish Condition (10).

Suppose, with a view to contradiction, that Condition (10) fails. Then

$$a = (\varphi_1^A(b_1, \dots, b_k) \wedge^A a) \vee^A \dots \vee^A (\varphi_n^A(b_1, \dots, b_k) \wedge^A a).$$

Since a is join irreducible, by symmetry we may assume that $a = \varphi_1^A(b_1, \dots, b_k) \wedge^A a$, that is,

$$a \leq \varphi_1^A(b_1, \dots, b_k). \quad (11)$$

Now, recall that φ_1 is obtained from Sahlqvist implications using only \wedge, \vee , and \square . Since φ_1 is in the language of PSL, this means that φ_1 is a conjunction of Sahlqvist implications. Consequently, we may assume that

$$\varphi_1 = \bigwedge_{i \leq p} \gamma_j \wedge \bigwedge_{j \leq q} \neg \psi_i, \quad (12)$$

where the various γ_i and ψ_j are, respectively, Sahlqvist antecedents and positive formulas, both in the language of PSL. Furthermore, p or q can be 0. Without loss of generality, we may assume each γ_i is a variable. This is because if $\gamma_i = \neg\alpha$ then α is a negative formula and, therefore, a Sahlqvist antecedent. Consequently, we may assume that $\gamma_i = \neg\psi_j$ for some $j \leq q$ and remove γ_i from the big conjunction on the left-hand side of the above display. On the other hand, if $\gamma_i = \alpha \wedge \beta$, then both α and β are positive formulas and, therefore, we may assume that there are $i_1, i_2 \leq p$ such that $\gamma_{i_1} = \alpha$ and $\gamma_{i_2} = \beta$ and remove γ_i from the big conjunction on the left-hand side of the above display. Iterating this process, we may assume that in the above display every γ_i is either a constant or a variable, while the various ψ_j are still Sahlqvist antecedents. Lastly, if some γ_i is the constant 1, we can remove it from the big conjunction on the left-hand side of the above display, thereby producing a new formula that is still equivalent to φ_1 in PSL. This is possible because φ_1 cannot simply be the constant 1, otherwise Condition (10) would hold, contradicting the assumption. Moreover, no γ_i is the constant 0, otherwise Condition (11) would imply that $a = 0$, contradicting the assumption that $a \in J(\mathcal{A})$. Therefore, we may assume that each γ_i in Condition (12) is a variable. In addition, we may also assume that the various γ_i are pairwise distinct and, renaming the variables when necessary, that each γ_i is the variable x_i , thereby obtaining

$$\varphi_1 = x_1 \wedge \dots \wedge x_p \wedge \neg\psi_1 \wedge \dots \wedge \neg\psi_q,$$

where the various ψ_j are Sahlqvist antecedents in the language of PSL.

In view of Condition (11), this yields

$$a \leq b_1 \wedge^A \dots \wedge^A b_p \wedge^A \neg\psi_1^A(b_1, \dots, b_k) \wedge^A \dots \wedge^A \neg\psi_q^A(b_1, \dots, b_k). \tag{13}$$

On the other hand, from Condition (9) it follows that

$$a \notin D_1 \cap \dots \cap D_p \cap \neg^{A^+} \psi_1^{A^+}(D_1, \dots, D_k) \cap \dots \cap \neg^{A^+} \psi_q^{A^+}(D_1, \dots, D_k).$$

We have two cases depending on whether

$$a \notin D_1 \cap \dots \cap D_p \text{ or } a \notin \neg^{A^+} \psi_1^{A^+}(D_1, \dots, D_k) \cap \dots \cap \neg^{A^+} \psi_q^{A^+}(D_1, \dots, D_k).$$

Suppose first that $a \notin D_1 \cap \dots \cap D_p$. By symmetry, we may assume that $a \notin D_1$. From Condition (13) and the definition of b_1 it follows that

$$a \leq b_1 = \bigvee_{d \in D_1}^A (d \wedge^A a).$$

This amounts to $a = \bigvee_{d \in D_1}^A (d \wedge^A a)$ which, in turn, implies that $a \leq d$ for some $d \in D_1$ because $a \in J(\mathcal{A})$. Since $a \in J(\mathcal{A})$ and D_1 is a downset of $J(\mathcal{A})$, we conclude that $a \in D_1$, a contradiction.

Then we consider the case where $a \notin \neg^{A^+} \psi_1^{A^+}(D_1, \dots, D_k) \cap \dots \cap \neg^{A^+} \psi_q^{A^+}(D_1, \dots, D_k)$. By symmetry, we may assume that $a \notin \neg^{A^+} \psi_1^{A^+}(D_1, \dots, D_k)$. Applying in sequence Lemma 5.10 and the fact that $\varepsilon_A: \mathcal{A} \rightarrow \mathcal{A}^+$ is a homomorphism (Lemma 5.8), we deduce

$$\begin{aligned}
 a &\notin \neg^{A^+} \psi_1^{A^+}(D_1, \dots, D_k) \\
 &= \neg^{A^+} \psi_1^{A^+} \left(\varepsilon_A \left(\bigvee^A D_1 \right), \dots, \varepsilon_A \left(\bigvee^A D_k \right) \right) \\
 &= \varepsilon_A \left(\neg^A \psi_1^A \left(\bigvee^A D_1, \dots, \bigvee^A D_k \right) \right).
 \end{aligned}$$

Since $a \in J(A)$, by the definition of ε_A this amounts to

$$a \notin \neg^A \psi_1^A \left(\bigvee^A D_1, \dots, \bigvee^A D_k \right). \tag{14}$$

Now, as ψ_1 is a Sahlqvist antecedent in the language of PSL, it is a conjunction of variables, negative formulas, and constants. As before, we can remove the constants from this conjunction. Therefore, we may assume that ψ_1 is of the form

$$x_1 \wedge \dots \wedge x_{p'} \wedge \alpha_1 \wedge \dots \wedge \alpha_{q'}, \tag{15}$$

where the various α_j are negative formulas. Furthermore, p' or q' can be 0.

As the various α_j are negative formulas, the term function α_j^A is order reversing in every argument by Lemma 5.6(i). Bearing in mind that for every $m \leq k$ we have

$$b_m = \bigvee_{d \in D_m}^A (d \wedge^A a) \leq \bigvee^A D_m,$$

this implies that for every $j \leq q'$,

$$\alpha_j^A \left(\bigvee^A D_1, \dots, \bigvee^A D_k \right) \leq \alpha_j^A(b_1, \dots, b_k).$$

Since ψ_1 is the formula in Condition (15), we obtain

$$\psi_1^A \left(\bigvee^A D_1, \dots, \bigvee^A D_k \right) \leq \bigwedge_{i \leq p'}^A \bigvee^A D_i \wedge^A \bigwedge_{j \leq q'}^A \alpha_j^A(b_1, \dots, b_k).$$

By applying the fact that the negation operation is order reversing in PSL to the above display and Condition (14), we obtain

$$a \notin \neg^A \left(\bigwedge_{i \leq p'}^A \bigvee^A D_i \wedge^A \bigwedge_{j \leq q'}^A \alpha_j^A(b_1, \dots, b_k) \right).$$

In view of Condition (3), this amounts to

$$0 < a \wedge^A \bigwedge_{i \leq p'}^A \bigvee^A D_i \wedge^A \bigwedge_{j \leq q'}^A \alpha_j^A(b_1, \dots, b_k). \tag{16}$$

We will prove that

$$0 < a \wedge^A b_1 \wedge^A \bigvee_{2 \leq i \leq p'}^A D_i \wedge^A \bigwedge_{j \leq q'}^A \alpha_j^A(b_1, \dots, b_k). \tag{17}$$

By applying Condition (3) to Condition (16) and, subsequently, Lemma 5.6(ii), we obtain

$$a \wedge^A \bigvee_{2 \leq i \leq p'}^A D_i \wedge^A \bigwedge_{j \leq q'}^A \alpha_j^A(b_1, \dots, b_k) \not\leq \neg \bigvee_{d \in D_1}^A D_1 = \bigwedge_{d \in D_1}^A \neg^A d.$$

Consequently, there exists $d_1 \in D_1$ such that

$$a \wedge^A \bigvee_{2 \leq i \leq p'}^A D_i \wedge^A \bigwedge_{j \leq q'}^A \alpha_j^A(b_1, \dots, b_k) \not\leq \neg^A d_1.$$

By applying Condition (3) twice, this yields

$$a \wedge^A \bigvee_{2 \leq i \leq p'}^A D_i \wedge^A \bigwedge_{j \leq q'}^A \alpha_j^A(b_1, \dots, b_k) \not\leq \neg^A(d_1 \wedge^A a). \tag{18}$$

By the definition of b_1 and Lemma 5.6(ii) we have

$$\neg^A b_1 = \neg^A \bigvee_{d \in D_1}^A (d \wedge^A a) = \bigwedge_{d \in D_1}^A (\neg^A(d \wedge^A a)) \leq \neg^A(d_1 \wedge^A a).$$

Together with Condition (18), this yields

$$a \wedge^A \bigvee_{2 \leq i \leq p'}^A D_i \wedge^A \bigwedge_{j \leq q'}^A \alpha_j^A(b_1, \dots, b_k) \not\leq \neg^A b_1.$$

By Condition (3) this amounts to Condition (17) as desired.

Iterating $p - 1$ times the argument described for Condition (17), where the role of D_1 is taken successively by $D_2, \dots, D_{p'}$, we obtain

$$0 < a \wedge^A \bigwedge_{i \leq p'}^A b_i \wedge^A \bigwedge_{j \leq q'}^A \alpha_j^A(b_1, \dots, b_k).$$

By Condition (3) and the fact that ψ_1 is the formula in Condition (15) this amounts to

$$a \not\leq \neg^A \left(\bigwedge_{i \leq p'}^A b_i \wedge^A \bigwedge_{j \leq q'}^A \alpha_j^A(b_1, \dots, b_k) \right) = \neg^A \psi_1^A(b_1, \dots, b_k),$$

a contradiction with Conditions (11) and (12). ⊥

We are now ready to conclude the proof of Proposition 5.4.

PROOF. Suppose that $A \models \Phi$. In view of Proposition 2.8, the finitely generated subalgebras of A are finite. Therefore, we can apply Lemma 5.8 obtaining that every finitely generated subalgebra C of A embeds into C^+ . Together with Proposition 2.9, this implies that there exist a family $\{A_i : i \in I\}$ of finitely generated subalgebras of A and an ultrafilter U on I with an embedding

$$f : A \rightarrow \prod_{i \in I} A_i^+ / U.$$

Consider $i \in I$. Since the validity of universal sentences persists in subalgebras, from $A \models \Phi$ it follows $A_i \models \Phi$. Therefore, Proposition 5.12 guarantees that $A_i^+ \models \Phi$. As a consequence, all the factors of the ultraproduct in the above display validate Φ . Since the validity of universal sentences persists in ultraproducts, we conclude that $B^- \models \Phi$ for $B^- := \prod_{i \in I} A_i^+ / U$.

Now, recall from Lemma 5.8 that each A_i^+ is the $\langle \wedge, \neg, 0, 1 \rangle$ -reduct of a Heyting algebra B_i . Therefore, B^- is the $\langle \wedge, \neg, 0, 1 \rangle$ -reduct of the ultraproduct $B := \prod_{i \in I} B_i / U$. As Φ is in the language of PSL and $B^- \models \Phi$, this implies that $B \models \Phi$. Lastly, since HA is closed under \mathbb{P}_U , we have $B \in \text{HA}$.

In sum, A embeds into some $B^- \in \text{PSL}$ that is the $\langle \wedge, \neg, 0, 1 \rangle$ -reduct of a Heyting algebra B such that $B \models \Phi$. Because of this, we can repeat the argument detailed in the last two paragraphs of the proof of Proposition 5.3, thereby obtaining $\text{Up}(A_*) \models \Phi$. ⊣

As a consequence of Theorem 5.1, we obtain the following:

COROLLARY 5.13. *Let Φ be a Sahlqvist quasiequation in a sublanguage \mathcal{L}_\wedge of \mathcal{L} containing \wedge . For every \mathcal{L}_\wedge -subreduct A of a Heyting algebra, it holds that $A \models \Phi$ iff $A_* \models \text{tr}(\Phi)$.*

PROOF. In view of the correspondence part of the Intuitionistic Sahlqvist Theorem, we have that $\text{Up}(A_*) \models \Phi$ iff $A_* \models \text{tr}(\Phi)$. Therefore, in order to complete the proof, it suffices to show that $A \models \Phi$ iff $\text{Up}(A_*) \models \Phi$.

On the one hand, Theorem 5.1 guarantees that $A \models \Phi$ implies $\text{Up}(A_*) \models \Phi$. On the other hand, $\text{Up}(A_*) \models \Phi$ implies $A \models \Phi$, because A embeds into the \mathcal{L}_\wedge -reduct of $\text{Up}(A_*)$ via the map defined by the rule

$$a \mapsto \{F \in A_* : a \in F\}^{10}$$

and the validity of universal sentences persists in subalgebras. ⊣

EXAMPLE 5.14. In view of Example 4.13 and Corollary 5.13, a pseudocomplemented semilattice A validates the Sahlqvist quasiequation Φ_n associated with the bounded top width n axiom btw_n iff every $(n + 1)$ -element antichain in a principal upset of A_* is below one that has at most n elements. ⊣

¹⁰The proof that this map is a well-defined embedding of A into the \mathcal{L}_\wedge -reduct of $\text{Up}(A_*)$ is analogous to the proof that a Heyting algebra B embeds into $\text{Up}(B_*)$ typical of Esakia duality [33, 34], the only difference being that, in our case, the role of the Prime Filter Theorem is played by the observation that for every $a, b \in A$ such that $a \not\leq b$ there exists $F \in A_*$ with $a \in F$ and $b \notin F$.

§6. Abstract algebraic logic. In this section we will review the rudiments of abstract algebraic logic necessary to formulate a version of Sahlqvist theory amenable to arbitrary deductive systems [19, 26, 35, 36]. Recall that Var is the set of variables $\{x_n : n \in \mathbb{Z}^+\}$. A *logic* (or a *deductive system*) \vdash is a consequence relation on the set of formulas with variables in Var of an algebraic language that, moreover, is *substitution invariant*, in the sense that for every set $\Gamma \cup \{\varphi\}$ of formulas and substitution σ ,

$$\text{if } \Gamma \vdash \varphi, \text{ then } \sigma[\Gamma] \vdash \sigma(\varphi).$$

Furthermore, we will assume that logics \vdash are *finitary*, in the sense that

$$\text{if } \Gamma \vdash \varphi, \text{ there exists a finite } \Sigma \subseteq \Gamma \text{ s.t. } \Sigma \vdash \varphi.$$

We denote the set of formulas over which a logic \vdash is defined and the corresponding algebra of formulas by $Fm(\vdash)$ and $\mathbf{Fm}(\vdash)$, respectively. In addition, given $\Gamma \cup \{\varphi_1, \dots, \varphi_n, \psi_1, \dots, \psi_m\} \subseteq Fm(\vdash)$, we often write $\Gamma, \varphi_1, \dots, \varphi_n \vdash \psi_1, \dots, \psi_m$ to signify that $\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \vdash \psi_i$ for every $i \leq m$.

Henceforth, we will assume that the algebras and logics under consideration are of the same similarity type. Let \vdash be a logic and \mathbf{A} an algebra. A subset F of \mathbf{A} is said to be a *deductive filter* of \vdash on \mathbf{A} when for every $\Gamma \cup \{\varphi\} \subseteq Fm(\vdash)$ such that $\Gamma \vdash \varphi$ and every homomorphism $f : \mathbf{Fm}(\vdash) \rightarrow \mathbf{A}$,

$$\text{if } f[\Gamma] \subseteq F, \text{ then } f(\varphi) \in F.$$

EXAMPLE 6.1. If \mathbf{A} is a Heyting algebra, the deductive filters of IPC on \mathbf{A} coincide with the lattice filters of \mathbf{A} . ⊣

The set $Fi_{\vdash}(\mathbf{A})$ of deductive filters of \vdash on \mathbf{A} is a closure system on \mathbf{A} , whence for every $X \subseteq \mathbf{A}$ there exists the least deductive filter of \vdash on \mathbf{A} containing X , in symbols $Fg_{\vdash}^{\mathbf{A}}(X)$. Given $a_1, \dots, a_n \in \mathbf{A}$, we often write $Fg_{\vdash}^{\mathbf{A}}(a_1, \dots, a_n)$ as a shorthand for $Fg_{\vdash}^{\mathbf{A}}(\{a_1, \dots, a_n\})$. Furthermore, when ordered under the inclusion relation, $Fi_{\vdash}(\mathbf{A})$ is a complete lattice in which meets are intersections and joins are defined for every $F, G \in Fi_{\vdash}(\mathbf{A})$ as

$$F +^{\mathbf{A}} G := Fg_{\vdash}^{\mathbf{A}}(F \cup G).$$

When $\mathbf{A} = \mathbf{Fm}(\vdash)$, we will omit the superscript \mathbf{A} from $+^{\mathbf{A}}$ and $Fg_{\vdash}^{\mathbf{A}}(-)$.

In order to describe the structure of the lattice $Fi_{\vdash}(\mathbf{A})$, recall that an element a of a complete lattice \mathbf{B} is called *compact* when for every $X \subseteq \mathbf{B}$,

$$\text{if } a \leq \bigvee X, \text{ there exists a finite } Y \subseteq X \text{ s.t. } a \leq \bigvee Y.$$

Notice that if two elements $a, b \in \mathbf{B}$ are compact, then so is their join $a \vee b$. Consequently, when endowed with the restriction of the operation \vee , the set of the compact elements of \mathbf{B} forms a semilattice ordered, in the sense of Condition (2), under the restriction of the dual of the lattice order of \mathbf{B} . Lastly, a complete lattice \mathbf{B} is said to be *algebraic* when every element is the join of a set of compact ones. We will rely on the following classical representation theorem for algebraic lattices:

THEOREM 6.2 [51, Theorem 42]. *Every algebraic lattice is isomorphic to the lattice of filters of the semilattice of its compact elements.*

We denote the set of compact elements of $\text{Fi}_\vdash(\mathcal{A})$ by $\text{Fi}_\vdash^\omega(\mathcal{A})$.

REMARK 6.3. When endowed with the restriction of the operation $+^A$, the set $\text{Fi}_\vdash^\omega(\mathcal{A})$ forms a semilattice ordered under the superset relation. \dashv

In order to describe the elements of $\text{Fi}_\vdash^\omega(\mathcal{A})$, we say that a deductive filter $F \in \text{Fi}_\vdash(\mathcal{A})$ is *finitely generated* when there exists a finite set $X \subseteq \mathcal{A}$ such that $F = \text{Fg}_\vdash^A(X)$.

PROPOSITION 6.4. *Let \vdash be a logic and \mathcal{A} an algebra. Then $\langle \text{Fi}_\vdash(\mathcal{A}); \cap, +^A \rangle$ is an algebraic lattice whose compact elements are the finitely generated ones.*

PROOF. This well-known fact is essentially [35, Theorem 2.23.1]. \dashv

EXAMPLE 6.5. In view of the above result and Example 6.1, the compact deductive filters of IPC on a Heyting algebra \mathcal{B} coincide with the finitely generated lattice filters of \mathcal{B} . Since the latter are precisely the principal upsets of \mathcal{B} and the order of the semilattice $\text{Fi}_{\text{IPC}}^\omega(\mathcal{B})$ is the superset relation (see Remark 6.3), we conclude that the poset associated with the semilattice $\text{Fi}_{\text{IPC}}^\omega(\mathcal{B})$ is isomorphic to the lattice order of \mathcal{B} . \dashv

Among the deductive filters of \vdash , those on $\mathbf{Fm}(\vdash)$ will be of special interest. They are called *theories* and coincide with the sets $\Gamma \subseteq \mathbf{Fm}(\vdash)$ such that $\varphi \in \Gamma$ for every $\varphi \in \mathbf{Fm}(\vdash)$ with $\Gamma \vdash \varphi$. The algebraic lattice of theories of \vdash will be denoted by $\text{Th}(\vdash)$ and the semilattice of its compact elements by $\text{Th}^\omega(\vdash)$.

The structure of compact deductive filters (resp. theories) can be used to capture the validity of various metalogical properties, as we proceed to explain. A finite set $\Gamma \subseteq \mathbf{Fm}(\vdash)$ is said to be *inconsistent* in a logic \vdash if $\Gamma \vdash \varphi$ for every $\varphi \in \mathbf{Fm}(\vdash)$.

DEFINITION 6.6. A logic \vdash is said to have:

- (i) The *inconsistency lemma* (IL, for short) when for every $n \in \mathbb{Z}^+$ there exists a finite set $\sim_n(x_1, \dots, x_n) \subseteq \mathbf{Fm}(\vdash)$ such that

$$\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \text{ is inconsistent iff } \Gamma \vdash \sim_n(\varphi_1, \dots, \varphi_n),$$

for every finite $\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \subseteq \mathbf{Fm}(\vdash)$.

- (ii) The *deduction theorem* (DT, for short) when for every $n, m \in \mathbb{Z}^+$ there exists a finite set $(x_1, \dots, x_n) \Rightarrow_{nm}(y_1, \dots, y_m) \subseteq \mathbf{Fm}(\vdash)$ such that

$$\Gamma, \varphi_1, \dots, \varphi_n \vdash \psi_1, \dots, \psi_m \text{ iff } \Gamma \vdash (\varphi_1, \dots, \varphi_n) \Rightarrow_{nm}(\psi_1, \dots, \psi_m),$$

for every finite $\Gamma \cup \{\varphi_1, \dots, \varphi_n, \psi_1, \dots, \psi_m\} \subseteq \mathbf{Fm}(\vdash)$.

- (iii) The *proof by cases* (PC, for short) when for every $n, m \in \mathbb{Z}^+$ there exists a finite set $(x_1, \dots, x_n) \Upsilon_{nm}(y_1, \dots, y_m) \subseteq \mathbf{Fm}(\vdash)$ such that

$$\Gamma, \varphi_1, \dots, \varphi_n \vdash \gamma \text{ and } \Gamma, \psi_1, \dots, \psi_m \vdash \gamma \text{ iff } \Gamma, (\varphi_1, \dots, \varphi_n) \Upsilon_{nm}(\psi_1, \dots, \psi_m) \vdash \gamma,$$

for every finite $\Gamma \cup \{\varphi_1, \dots, \varphi_n, \psi_1, \dots, \psi_m, \gamma\} \subseteq \mathbf{Fm}(\vdash)$.¹¹

¹¹For Conditions (ii) and (iii) to hold, the existence of a set with the desired property for $n = m = 1$ suffices. Our slightly redundant formulation, however, allows to simplify the presentation.

EXAMPLE 6.7. The logic IPC has the IL, the DT, and the PC witnessed, respectively, by the sets:

$$\begin{aligned} \sim_n &:= \{x_1 \rightarrow (x_2 \rightarrow (\dots (x_n \rightarrow 0) \dots))\}, \\ \Rightarrow_{nm} &:= \{x_1 \rightarrow (x_2 \rightarrow (\dots (x_n \rightarrow y_k) \dots)) : k \leq m\}, \\ \bigvee_{nm} &:= \{x_i \vee y_j : i \leq n \text{ and } j \leq m\}, \end{aligned}$$

for every $n, m \in \mathbb{Z}^+$. ⊣

Henceforth, we will focus on the following class of logics [8, 24–26]:

DEFINITION 6.8. A logic \vdash is said to be *protoalgebraic* if there exists a nonempty¹² finite set $\Delta(x, y)$ of formulas such that

$$\emptyset \vdash \Delta(x, x) \text{ and } x, \Delta(x, y) \vdash y.$$

The class of protoalgebraic logics embraces most of the traditional logics. This is because if a logic \vdash possesses a term-definable connective \rightarrow such that $\emptyset \vdash x \rightarrow x$ and $x, x \rightarrow y \vdash y$ (as it is the case for IPC), then it is protoalgebraic, as witnessed by the set $\Delta := \{x \rightarrow y\}$.

For protoalgebraic logics \vdash , the IL, the DT, and the PC admit a transparent description in terms of the structure of the semilattices $\text{Fi}_\vdash^\omega(\mathcal{A})$ of compact deductive filters (see Remark 6.3). More precisely, we have the following:

THEOREM 6.9 [67, Theorem 3.7]. *Let \vdash be a protoalgebraic logic. The following conditions are equivalent:*

- (i) *The logic \vdash has the inconsistency lemma.*
- (ii) *The semilattice $\text{Th}^\omega(\vdash)$ is pseudocomplemented.*
- (iii) *The semilattice $\text{Fi}_\vdash^\omega(\mathcal{A})$ is pseudocomplemented, for every algebra \mathcal{A} .*

Furthermore, if the inconsistency lemma for \vdash is witnessed by $\{\sim_n : n \in \mathbb{Z}^+\}$, then the operation \neg of the pseudocomplemented semilattice $\text{Fi}_\vdash^\omega(\mathcal{A})$ is defined as follows: for every $a_1, \dots, a_n \in \mathcal{A}$,

$$\neg \text{Fg}_\vdash^{\mathcal{A}}(a_1, \dots, a_n) = \text{Fg}_\vdash^{\mathcal{A}}(\sim_n^{\mathcal{A}}(a_1, \dots, a_n)).$$

The¹³ next result is [24, Theorem 2.11] (see also [10–12]).

THEOREM 6.10. *Let \vdash be a protoalgebraic logic. The following conditions are equivalent:*

- (i) *The logic \vdash has the deduction theorem.*
- (ii) *The semilattice $\text{Th}^\omega(\vdash)$ is implicative.*
- (iii) *The semilattice $\text{Fi}_\vdash^\omega(\mathcal{A})$ is implicative, for every algebra \mathcal{A} .*

Furthermore, if the deduction theorem for \vdash is witnessed by $\{\Rightarrow_{nm} : n, m \in \mathbb{Z}^+\}$, then the operation \rightarrow of the implicative semilattice $\text{Fi}_\vdash^\omega(\mathcal{A})$ is defined as follows: for every

¹²The set $\Delta(x, y)$ is often allowed to be empty. However, the only protoalgebraic logic in a given algebraic language for which $\Delta(x, y)$ cannot be taken nonempty is the so-called *almost inconsistent*, i.e., the logic \vdash defined for every $\Gamma \cup \{\varphi\} \subseteq \text{Fm}(\vdash)$ as follows: $\Gamma \vdash \varphi$ iff $\Gamma \neq \emptyset$ [35, Proposition 6.11.4].

¹³Recall from Proposition 6.4 that the elements of $\text{Fi}_\vdash^\omega(\mathcal{A})$ are precisely the finitely generated deductive filters of \vdash on \mathcal{A} .

$a_1, \dots, a_n, b_1, \dots, b_m \in A,$

$$\text{Fg}_{\vdash}^A(a_1, \dots, a_n) \rightarrow \text{Fg}_{\vdash}^A(b_1, \dots, b_m) = \text{Fg}_{\vdash}^A((a_1, \dots, a_n) \Rightarrow_{nm}^A(b_1, \dots, b_m)).$$

Lastly, the next result originates in [23] (see also [27] and [26, Section 2.5]).

THEOREM 6.11. *Let \vdash be a protoalgebraic logic. The following conditions are equivalent:*

- (i) *The logic \vdash has the proof by cases.*
- (ii) *The semilattice $\text{Th}^\omega(\vdash)$ is a distributive lattice.*
- (iii) *The semilattice $\text{Fi}_{\vdash}^\omega(A)$ is a distributive lattice, for every algebra A .*

In this case, the lattice structure of the poset associated with the semilattice $\text{Fi}_{\vdash}^\omega(A)$ is $\langle \text{Fi}_{\vdash}^\omega(A); +^A, \cap \rangle$.¹⁴ Furthermore, if the proof by cases for \vdash is witnessed by $\{\Upsilon_{nm} : n, m \in \mathbb{Z}^+\}$, then for every $a_1, \dots, a_n, b_1, \dots, b_m \in A,$

$$\text{Fg}_{\vdash}^A(a_1, \dots, a_n) \cap \text{Fg}_{\vdash}^A(b_1, \dots, b_m) = \text{Fg}_{\vdash}^A((a_1, \dots, a_n) \bigvee_{nm}^A(b_1, \dots, b_m)).$$

REMARK 6.12. As we mentioned, IPC is protoalgebraic and it has the IL, the DT, and the PC. Therefore Condition (iii) of Theorems 6.9, 6.10, and 6.11 holds for IPC. This should not come as a surprise, at least in the case where A is a Heyting algebra. This is because, in view of Example 6.5, the poset underlying $\text{Fi}_{\text{IPC}}^\omega(A)$ is isomorphic to the lattice order of the Heyting algebra A , which is obviously pseudocomplemented, implicative, and distributive. \dashv

§7. Sahlqvist theory for protoalgebraic logics. The aim of this section is to extend Sahlqvist theory to protoalgebraic logics. To this end, it is convenient to introduce some terminology. A formula φ is said to be a *theorem* of a logic \vdash when $\emptyset \vdash \varphi$.

REMARK 7.1. Every protoalgebraic logic \vdash has a theorem $\top(x)$. To prove this, let $\Delta(x, y)$ be the set witnessing the protoalgebraicity of \vdash . Since $\Delta(x, y)$ is nonempty, we can choose a formula $\varphi(x, y) \in \Delta(x, y)$. The definition of a protoalgebraic logic guarantees that $\emptyset \vdash \Delta(x, x)$ and, therefore, that $\emptyset \vdash \varphi(x, x)$. Thus, setting $\top(x) := \varphi(x, x)$, we are done. \dashv

Recall that \mathcal{L} is the algebraic language of IPC.

DEFINITION 7.2. A formula φ of \mathcal{L} is *compatible* with a protoalgebraic logic \vdash when:

- (i) If 0 or \neg occurs in φ , then \vdash has the IL.
- (ii) If \rightarrow occurs in φ , then \vdash has the DT.
- (iii) If \vee occurs in φ , then \vdash has the PC.

REMARK 7.3. Every formula of \mathcal{L} is compatible with IPC, because IPC has the IL, the DT, and the PC in view of Example 6.7. \dashv

¹⁴The meet operation of the lattice $\langle \text{Fi}_{\vdash}^\omega(A); +^A, \cap \rangle$ is $+^A$ and its join operation \cap . This is because the partial order associated with the semilattice $\text{Fi}_{\vdash}^\omega(A)$ is the superset relation, as opposed to the inclusion relation (see Remark 6.3, if necessary).

REMARK 7.4. Let $\varphi(x_1, \dots, x_n)$ be a formula of \mathcal{L} compatible with a protoalgebraic logic \vdash . In view of Condition (iii) of Theorems 6.9, 6.10, and 6.11, the formula φ can be interpreted in the semilattice $\text{Fi}_\vdash^\omega(\mathcal{A})$, thus inducing a term-function

$$\varphi^{\text{Fi}_\vdash^\omega(\mathcal{A})} : \text{Fi}_\vdash^\omega(\mathcal{A})^n \rightarrow \text{Fi}_\vdash^\omega(\mathcal{A})$$

on every algebra \mathcal{A} . ⊣

If the logic \vdash in the next definition has the IL (resp. the DT or the PC), we denote the finite sets of formulas witnessing this property by $\sim_n(x_1, \dots, x_n)$ (resp. $(x_1, \dots, x_n) \Rightarrow_{nm}(y_1, \dots, y_m)$ or $(x_1, \dots, x_n) \Upsilon_{nm}(y_1, \dots, y_m)$).

DEFINITION 7.5. With every $n \in \mathbb{Z}^+$ and formula $\varphi(x_1, \dots, x_n)$ of \mathcal{L} that is compatible with a protoalgebraic logic \vdash and every $k \in \mathbb{Z}^+$ we will associate a finite set

$$\varphi^k(x_1^1, \dots, x_1^k, \dots, x_n^1, \dots, x_n^k)$$

of formulas of \vdash . The case where φ is a variable x_m or a constant is handled as follows:

$$\mathbf{x}_m^k := \{x_m^1, \dots, x_m^k\} \quad \mathbf{1}^k := \{\top(x_1^1)\} \quad \mathbf{0}^k := \{x_1^1\} \cup \sim_1(x_1^1),$$

where $\top(x)$ is a theorem of \vdash (see Remark 7.1).¹⁵ When φ is a complex formula, we proceed as follows:

(i) If $\varphi = \psi \wedge \chi$, we set

$$\varphi^k := \psi^k \cup \chi^k.$$

(ii) If $\varphi = \neg\psi$ and $\psi^k = \{\psi_1, \dots, \psi_m\}$, we set

$$\varphi^k := \sim_m(\psi_1, \dots, \psi_m).$$

(iii) If $\varphi = \psi \rightarrow \chi$, $\psi^k = \{\psi_1, \dots, \psi_m\}$, and $\chi^k = \{\chi_1, \dots, \chi_t\}$, we set

$$\varphi^k := (\psi_1, \dots, \psi_m) \Rightarrow_{mt} (\chi_1, \dots, \chi_t).$$

(iv) If $\varphi = \psi \vee \chi$, $\psi^k = \{\psi_1, \dots, \psi_m\}$, and $\chi^k = \{\chi_1, \dots, \chi_t\}$, we set

$$\varphi^k := (\psi_1, \dots, \psi_m) \Upsilon_{mt} (\chi_1, \dots, \chi_t).$$

EXAMPLE 7.6. In view of Remark 7.3, the formula $\varphi = x_1 \rightarrow x_2$ is compatible with IPC. Therefore, we can associate with φ and every $k \in \mathbb{Z}^+$ a finite set φ^k of formulas of IPC. The construction of φ^k depends on the sets of formulas witnessing the DT for IPC, described in Example 6.7. As a result, we obtain

$$\varphi^k = \{x_1^1 \rightarrow (x_2^2 \rightarrow (\dots (x_1^k \rightarrow x_2^k) \dots)) : i \leq k\}. \quad \text{⊣}$$

¹⁵Notice that the definition of $\mathbf{0}^k$ involves the set of formulas $\sim_1(x_1)$ typical of the IL. This makes sense because if the formula 0 of \mathcal{L} is compatible with \vdash , then the logic \vdash has the IL. A similar remark applies to Conditions (ii), (iii), and (iv) of this definition. Furthermore, notice that 0 is viewed as a formula $0(x_1, \dots, x_n)$, where n is a positive integer, and, therefore, $\mathbf{0}^k$ is allowed to contain formulas in the variable x_1^1 . A similar remark applies to the definition of $\mathbf{1}^k$.

The connection between $\varphi(x_1, \dots, x_n)$ and $\varphi^k(x_1^1, \dots, x_1^k, \dots, x_n^1, \dots, x_n^k)$ is made apparent by the following observation, where the function

$$\varphi^{F_i^\omega(\mathcal{A})} : F_i^\omega(\mathcal{A})^n \rightarrow F_i^\omega(\mathcal{A})$$

should be interpreted as in Remark 7.4.

LEMMA 7.7. *Let $\varphi(x_1, \dots, x_n)$ be a formula of \mathcal{L} compatible with a protoalgebraic logic \vdash . For every algebra \mathcal{A} , every $k \in \mathbb{Z}^+$, and every $a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k \in \mathcal{A}$, it holds*

$$Fg_{\vdash}^{\mathcal{A}}(\varphi^{k\mathcal{A}}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) = \varphi^{F_i^\omega(\mathcal{A})}(Fg_{\vdash}^{\mathcal{A}}(a_1^1, \dots, a_1^k), \dots, Fg_{\vdash}^{\mathcal{A}}(a_n^1, \dots, a_n^k)).$$

PROOF. The proof proceeds by induction on the construction of φ . In the base case, φ is either a variable x_m or one of the constants 1 and 0. The case of x_m follows immediately from the definition of x_m^k . Therefore, we only detail the cases of 1 and 0.

On the one hand, we have that

$$\begin{aligned} Fg_{\vdash}^{\mathcal{A}}(\mathbf{1}^{k\mathcal{A}}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) &= Fg_{\vdash}^{\mathcal{A}}(\top(a_1^1)) \\ &= \mathbf{1}^{F_i^\omega(\mathcal{A})} \\ &= \mathbf{1}^{F_i^\omega(\mathcal{A})}(Fg_{\vdash}^{\mathcal{A}}(a_1^1, \dots, a_1^k), \dots, Fg_{\vdash}^{\mathcal{A}}(a_n^1, \dots, a_n^k)). \end{aligned}$$

The first equality above holds by the definition of $\mathbf{1}^k$ and the third is straightforward. To prove the second, recall that $\top(x_1^1)$ is a theorem of \vdash and, therefore, $Fg_{\vdash}^{\mathcal{A}}(\top(a_1^1))$ is the least compact deductive filter of \vdash on \mathcal{A} . As $F_i^\omega(\mathcal{A})$ is ordered under the superset relation (see Remark 6.3), this implies that $Fg_{\vdash}^{\mathcal{A}}(\top(a_1^1))$ is the top element of the semilattice $F_i^\omega(\mathcal{A})$, that is, $Fg_{\vdash}^{\mathcal{A}}(\top(a_1^1)) = \mathbf{1}^{F_i^\omega(\mathcal{A})}$.

On the other hand, we have that

$$\begin{aligned} Fg_{\vdash}^{\mathcal{A}}(\mathbf{0}^{k\mathcal{A}}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) &= Fg_{\vdash}^{\mathcal{A}}(\{a_1^1\} \cup \sim_1(a_1^1)) \\ &= Fg_{\vdash}^{\mathcal{A}}(a_1) +^{\mathcal{A}} Fg_{\vdash}^{\mathcal{A}}(\sim_1(a_1^1)) \\ &= Fg_{\vdash}^{\mathcal{A}}(a_1) +^{\mathcal{A}} \neg^{F_i^\omega(\mathcal{A})} Fg_{\vdash}^{\mathcal{A}}(a_1^1) \\ &= \mathbf{0}^{F_i^\omega(\mathcal{A})} \\ &= \mathbf{0}^{F_i^\omega(\mathcal{A})}(Fg_{\vdash}^{\mathcal{A}}(a_1^1, \dots, a_1^k), \dots, Fg_{\vdash}^{\mathcal{A}}(a_n^1, \dots, a_n^k)). \end{aligned}$$

The equalities above are justified as follows: the first holds by the definition of $\mathbf{0}^k$, the second by the definition of $+^{\mathcal{A}}$ and $Fg_{\vdash}^{\mathcal{A}}(-)$, the third by the last part of Theorem 6.9, the fourth by the fact that, in view of Theorem 6.9(iii), $F_i^\omega(\mathcal{A})$ is a pseudocomplemented semilattice and, therefore, 0 is term-definable as $x \wedge \neg x$, and the last one is straightforward.

In the inductive step, φ is a complex formula. If $\varphi = \psi \wedge \chi$, we have that

$$\begin{aligned} &Fg_{\vdash}^{\mathcal{A}}(\varphi^{k\mathcal{A}}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) \\ &= Fg_{\vdash}^{\mathcal{A}}((\psi \wedge \chi)^{k\mathcal{A}}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) \\ &= Fg_{\vdash}^{\mathcal{A}}(\psi^{k\mathcal{A}}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k) \cup \chi^{k\mathcal{A}}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) \\ &= Fg_{\vdash}^{\mathcal{A}}(\psi^{k\mathcal{A}}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) +^{\mathcal{A}} Fg_{\vdash}^{\mathcal{A}}(\chi^{k\mathcal{A}}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) \end{aligned}$$

$$\begin{aligned}
 &= \psi^{F_i^\omega(A)}(Fg_-^A(a_1^1, \dots, a_1^k), \dots, Fg_-^A(a_n^1, \dots, a_n^k)) \\
 &\quad +^A \chi^{F_i^\omega(A)}(Fg_-^A(a_1^1, \dots, a_1^k), \dots, Fg_-^A(a_n^1, \dots, a_n^k)) \\
 &= \varphi^{F_i^\omega(A)}(Fg_-^A(a_1^1, \dots, a_1^k), \dots, Fg_-^A(a_n^1, \dots, a_n^k)).
 \end{aligned}$$

The first equality above holds because $\varphi = \psi \wedge \chi$, the second by the definition of $(\psi \wedge \chi)^k$, the third by the definition of $+^A$ and $Fg_-^A(-)$, the fourth by the inductive hypothesis, and the last one because $\varphi = \psi \wedge \chi$ and $+^A$ is the operation of the semilattice $F_i^\omega(A)$.

It only remains to consider the cases where φ is of the form $\neg\psi$, $\psi \rightarrow \chi$, or $\psi \vee \chi$. Since they are handled essentially in the same way, we only detail the case where $\varphi = \neg\psi$. Suppose that $\psi^k = \{\chi_1, \dots, \chi_m\}$. Then we have that

$$\begin{aligned}
 &Fg_-^A(\varphi^{kA}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) \\
 &= Fg_-^A((\neg\psi)^{kA}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) \\
 &= Fg_-^A(\sim_m(\chi_1^A(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k), \dots, \chi_m^A(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k))) \\
 &= \neg_{F_i^\omega(A)} Fg_-^A(\chi_1^A(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k), \dots, \chi_m^A(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) \\
 &= \neg_{F_i^\omega(A)} Fg_-^A(\psi^{kA}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)) \\
 &= \neg_{F_i^\omega(A)} \psi^{F_i^\omega(A)}(Fg_-^A(a_1^1, \dots, a_1^k), \dots, Fg_-^A(a_n^1, \dots, a_n^k)) \\
 &= \varphi^{F_i^\omega(A)}(Fg_-^A(a_1^1, \dots, a_1^k), \dots, Fg_-^A(a_n^1, \dots, a_n^k)).
 \end{aligned}$$

The first equality above holds because $\varphi = \neg\psi$, the second by the definition of $(\neg\psi)^k$ and the assumption that $\psi^k = \{\chi_1, \dots, \chi_m\}$, the third by the last part of Theorem 6.9, the fourth by the assumption that $\psi^k = \{\chi_1, \dots, \chi_m\}$, and the fifth by the inductive hypothesis, and the last one because $\varphi = \neg\psi$. \dashv

The notion of compatibility can be extended to Sahlqvist quasiequations as follows:

DEFINITION 7.8. A Sahlqvist quasiequation $(\varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_m \wedge y \leq z) \implies y \leq z$ is said to be *compatible* with a protoalgebraic logic \vdash if so are $\varphi_1, \dots, \varphi_m$.

In the case of protoalgebraic logics, the role of Sahlqvist quasiequations is played by the following metarules:

DEFINITION 7.9. Given a Sahlqvist quasiequation

$$\Phi = \varphi_1(x_1, \dots, x_n) \wedge y \leq z \ \& \ \varphi_m(x_1, \dots, x_n) \wedge y \leq z \implies y \leq z$$

compatible with a protoalgebraic logic \vdash , let $R_-(\Phi)$ be the set of metarules of the form

$$\frac{\Gamma, \varphi_1^k(\gamma_1^1, \dots, \gamma_1^k, \dots, \gamma_n^1, \dots, \gamma_n^k) \triangleright \psi \quad \dots \quad \Gamma, \varphi_m^k(\gamma_1^1, \dots, \gamma_1^k, \dots, \gamma_n^1, \dots, \gamma_n^k) \triangleright \psi}{\Gamma \triangleright \psi}$$

where $k \in \mathbb{Z}^+$ and $\Gamma \cup \{\psi\} \cup \{\gamma_i^j : i \leq n, j \leq k\}$ is a finite subset of $Fm(\vdash)$.

We rely on the following observation, which generalizes [60, Theorem 5.3].

PROPOSITION 7.10. *The following conditions are equivalent for a Sahlqvist quasiequation Φ compatible with a protoalgebraic logic \vdash :*

- (i) *The logic \vdash validates the metarules in $R_-(\Phi)$.*
- (ii) *The semilattice $\text{Th}^\omega(\vdash)$ validates Φ .*
- (iii) *The semilattice $\text{Fi}_-^\omega(\mathbf{A})$ validates Φ , for every algebra \mathbf{A} .*

The proof of Proposition 7.10 depends on the next well-known property of protoalgebraic logics.

PROPOSITION 7.11 [35, Proposition 6.12]. *Let \vdash be a protoalgebraic logic, \mathbf{A} an algebra, and $X \cup \{a\} \subseteq \mathbf{A}$. Then $a \in \text{Fg}_-^{\mathbf{A}}(X)$ iff there exist a finite $\Gamma \cup \{\varphi\} \subseteq \text{Fm}(\vdash)$ and a homomorphism $f: \mathbf{Fm}(\vdash) \rightarrow \mathbf{A}$ such that $\Gamma \vdash \varphi$, $f[\Gamma] \subseteq X \cup \text{Fg}_-^{\mathbf{A}}(\emptyset)$, and $f(\varphi) = a$.*

PROOF OF PROPOSITION 7.10. Throughout the proof we will assume that

$$\Phi = \varphi_1(x_1, \dots, x_n) \wedge y \leq z \ \& \ \dots \ \& \ \varphi_m(x_1, \dots, x_n) \wedge y \leq z \implies y \leq z.$$

(ii) \implies (i): Let $k \in \mathbb{Z}^+$ and let $\Gamma \cup \{\psi\} \cup \{\gamma_i^j : i \leq n, j \leq k\}$ be a finite subset of $\text{Fm}(\vdash)$ such that

$$\Gamma \cup \varphi_i^k(\gamma_1^1, \dots, \gamma_1^k, \dots, \gamma_n^1, \dots, \gamma_n^k) \vdash \psi, \text{ for every } i \leq m. \tag{19}$$

We want to prove that $\Gamma \vdash \psi$.

Consider $i \leq m$. We have that

$$\begin{aligned} \text{Fg}_-(\psi) &\subseteq \text{Fg}_-(\Gamma \cup \varphi_i^k(\gamma_1^1, \dots, \gamma_1^k, \dots, \gamma_n^1, \dots, \gamma_n^k)) \\ &= \text{Fg}_-(\Gamma) + \text{Fg}_-(\varphi_i^k(\gamma_1^1, \dots, \gamma_1^k, \dots, \gamma_n^1, \dots, \gamma_n^k)) \\ &= \text{Fg}_-(\Gamma) + \varphi_i^{\text{Th}^\omega(\vdash)}(\text{Fg}_-(\gamma_1^1, \dots, \gamma_1^k), \dots, \text{Fg}_-(\gamma_n^1, \dots, \gamma_n^k)), \end{aligned}$$

where the first step follows from Condition (19), the second from the definition of $\text{Fg}_-(\cdot)$ and $+$, and the last one from Lemma 7.7.

Since the semilattice $\text{Th}^\omega(\vdash)$ is ordered under the superset relation and its operation is $+$ (see Remark 6.3), the above display yields

$$\text{Fg}_-(\Gamma) \wedge^{\text{Th}^\omega(\vdash)} \varphi_i^{\text{Th}^\omega(\vdash)}(\text{Fg}_-(\gamma_1^1, \dots, \gamma_1^k), \dots, \text{Fg}_-(\gamma_n^1, \dots, \gamma_n^k)) \leq \text{Fg}_-(\psi).$$

As this holds for every $i \leq m$, we can apply the assumption that $\text{Th}^\omega(\vdash)$ validates Φ , obtaining $\text{Fg}_-(\Gamma) \leq \text{Fg}_-(\psi)$. But, since the order of $\text{Th}^\omega(\vdash)$ is the superset relation, this amounts to $\text{Fg}_-(\psi) \subseteq \text{Fg}_-(\Gamma)$, whence $\Gamma \vdash \psi$ as desired.

(iii) \implies (ii): This implication is straightforward, since $\text{Th}^\omega(\vdash) = \text{Fi}_-^\omega(\mathbf{Fm}(\vdash))$.

(i) \implies (iii): Let \mathbf{A} be an algebra and let $F, G_1, \dots, G_n, H \in \text{Fi}_-^\omega(\mathbf{A})$ be such that

$$H \subseteq F +^{\mathbf{A}} \varphi_i^{\text{Fi}_-^\omega(\mathbf{A})}(G_1, \dots, G_n), \text{ for every } i \leq m. \tag{20}$$

We want to prove that $H \subseteq F$.

Recall that \vdash has theorems, because it is protoalgebraic (see Remark 7.1). Consequently, G_1, \dots, G_n are nonempty. Furthermore, they are finitely generated. This is because, in view of Proposition 6.4, compact and finitely generated deductive filters coincide. Therefore, there are $k \in \mathbb{Z}^+$ and $a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k \in \mathbf{A}$ such

that

$$G_1 = \text{Fg}_-^A(a_1^1, \dots, a_1^k), \dots, G_n = \text{Fg}_-^A(a_n^1, \dots, a_n^k).$$

Together with Lemma 7.7, this implies that

$$\varphi_i^{\text{Fi}^\omega(A)}(G_1, \dots, G_n) = \text{Fg}_-^A(\varphi_i^k(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)), \text{ for every } i \leq m. \quad (21)$$

In order to prove that $H \subseteq F$, let $a \in H$. From Conditions (20) and (21) it follows that

$$a \in F +^A \text{Fg}_-^A(\varphi_i^k(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k)), \text{ for every } i \leq m.$$

Thus, from Proposition 7.11 we deduce that for each $i \leq m$ there exists a homomorphism $f_i: \mathbf{Fm}(\vdash) \rightarrow A$ and a finite set $\Psi_i \cup \{\psi_i\} \subseteq \mathbf{Fm}(\vdash)$ such that

$$\Psi_i \vdash \psi_i, \quad f_i[\Psi_i] \subseteq F \cup \varphi_i^{kA}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k), \quad \text{and } f_i(\psi_i) = a. \quad (22)$$

As the sets Ψ_1, \dots, Ψ_m are finite and \vdash is substitution invariant, we may assume, without loss of generality, that if $i < j \leq m$, then the set of variables occurring in the members of $\Psi_i \cup \{\psi_i\}$ is disjoint from the set of variables occurring in the members of $\Psi_j \cup \{\psi_j\}$. Consequently, we may also assume that $f_1 = \dots = f_m$. Accordingly, from now on, we will denote these maps by f and drop the subscripts. Lastly, we may assume that there exists a set of variables $\{z_j^t : j \leq n, t \leq k\}$ not occurring in any $\Psi_i \cup \{\psi_i\}$ such that $f(z_j^t) = a_j^t$ for every $j \leq n$ and $t \leq k$.

Now, in view of Condition (22), we can split each Ψ_i into two subsets Ψ_i^1 and Ψ_i^2 such that

$$f[\Psi_i^1] \subseteq F, \quad f[\Psi_i^2] \subseteq \varphi_i^{kA}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k), \quad \text{and } \Psi_i = \Psi_i^1 \cup \Psi_i^2. \quad (23)$$

We will construct a finite set $\Gamma \subseteq f^{-1}[F]$ as follows. First, we stipulate that $\Psi_1^1 \cup \dots \cup \Psi_m^1 \subseteq \Gamma$, as the above display guarantees that $\Psi_1^1 \cup \dots \cup \Psi_m^1 \subseteq f^{-1}[F]$.

Then let $\Delta(x, y)$ be the finite set of formulas witnessing the protoalgebraicity of \vdash . We will make extensive use of the observation that, since $\emptyset \vdash \Delta(x, x)$ and F is a deductive filter, we have that $\Delta^A(b, b) \subseteq F$ for every $b \in F$.

Recall from Condition (22) that $f(\psi_1) = \dots = f(\psi_m) = a$. Therefore,

$$f\left[\bigcup_{i,j \leq m} \Delta(\psi_i, \psi_j)\right] = \Delta^A(a, a) \subseteq F$$

and so we may assume that Γ contains $\bigcup\{\Delta(\psi_i, \psi_j) : i, j \leq m\}$. Moreover, by Condition (23), we have that

$$f[\Psi_i^2] \subseteq \varphi_i^{kA}(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k), \text{ for every } i \leq m.$$

Accordingly, for every $i \leq m$ and $\alpha \in \Psi_i^2$, there exists a formula $\beta_\alpha \in \varphi_i^k(z_1^1, \dots, z_1^k, \dots, z_n^1, \dots, z_n^k)$ such that

$$\begin{aligned} f(\alpha) &= \beta_\alpha(a_1^1, \dots, a_1^k, \dots, a_n^1, \dots, a_n^k) \\ &= \beta_\alpha(f(z_1^1), \dots, f(z_1^k), \dots, f(z_n^1), \dots, f(z_n^k)) \\ &= f(\beta_\alpha) \end{aligned}$$

and, therefore, $f[\Delta(\beta_\alpha, \alpha)] \subseteq F$. Consequently, we can add the sets $\Delta(\beta_\alpha, \alpha)$ to Γ , thereby completing its definition.

To conclude the proof, it suffices to show that

$$\Gamma \cup \varphi_i^k(z_1^1, \dots, z_1^k, \dots, z_n^1, \dots, z_n^k) \vdash \psi_1, \text{ for every } i \leq m. \tag{24}$$

For if this is the case, the assumption that \vdash validates the rules in $R_{\vdash}(\Phi)$ implies that $\Gamma \vdash \psi_1$. Moreover, since $f[\Gamma] \subseteq F$ and F is a deductive filter, we deduce $a = f(\psi_1) \in F$ as desired.

Accordingly, we turn to prove Condition (24). Consider $i \leq m$. First, observe that

$$\Gamma \cup \varphi_i^k(z_1^1, \dots, z_1^k, \dots, z_n^1, \dots, z_n^k) \vdash \Psi_i^1, \tag{25}$$

because $\Psi_i^1 \subseteq \Gamma$. We will prove that

$$\Gamma \cup \varphi_i^k(z_1^1, \dots, z_1^k, \dots, z_n^1, \dots, z_n^k) \vdash \Psi_i^2. \tag{26}$$

To this end, consider a formula $\alpha \in \Psi_i^2$. By the construction of Γ , we have

$$\beta_\alpha \in \varphi_i^k(z_1^1, \dots, z_1^k, \dots, z_n^1, \dots, z_n^k) \text{ and } \Delta(\beta_\alpha, \alpha) \subseteq \Gamma.$$

As the definition of a protoalgebraic logic gives $\beta_\alpha, \Delta(\beta_\alpha, \alpha) \vdash \alpha$, the above display guarantees that $\Gamma \cup \varphi_i^k(z_1^1, \dots, z_1^k, \dots, z_n^1, \dots, z_n^k) \vdash \alpha$, thereby establishing Condition (26).

Now, recall that $\Psi_i = \Psi_i^1 \cup \Psi_i^2$ and $\Psi_i \vdash \psi_i$ (see Conditions (22) and (23), if necessary). Together with the Conditions (25) and (26), this yields

$$\Gamma \cup \varphi_i^k(z_1^1, \dots, z_1^k, \dots, z_n^1, \dots, z_n^k) \vdash \psi_i.$$

Finally, since by the construction of Γ we have $\Delta(\psi_i, \psi_1) \subseteq \Gamma$ and, by protoalgebraicity, $\psi_i, \Delta(\psi_i, \psi_1) \vdash \psi_1$, we conclude that $\Gamma \vdash \psi_1$. \dashv

REMARK 7.12. A logic \vdash is said to have a *conjunction* if it possesses a term-definable binary connective \wedge such that

$$x, y \vdash x \wedge y \quad x \wedge y \vdash x \quad x \wedge y \vdash y.$$

In this case, for every algebra \mathcal{A} and $a_1, \dots, a_n \in \mathcal{A}$,

$$\text{Fg}_{\vdash}^{\mathcal{A}}(a_1, \dots, a_n) = \text{Fg}_{\vdash}^{\mathcal{A}}(a_1 \wedge \dots \wedge a_n).$$

Consequently, the members of $\text{Fi}_{\vdash}^{\omega}(\mathcal{A})$ are precisely the *principal* deductive filters of \vdash on \mathcal{A} , i.e., the sets of the form $\text{Fg}_{\vdash}^{\mathcal{A}}(a)$ for some $a \in \mathcal{A}$.

As a consequence, if the logic \vdash in the statement of Proposition 7.10 has a conjunction, then the positive integer k in the proof of the implication (i) \Rightarrow (iii) can be taken to be 1. Accordingly, for logics \vdash with a conjunction, Condition (i) of Proposition 7.10 can be replaced by the simpler demand that \vdash validates the metarules of the form

$$\frac{\Gamma, \varphi_1^1(\gamma_1, \dots, \gamma_n) \triangleright \psi \quad \dots \quad \Gamma, \varphi_m^1(\gamma_1, \dots, \gamma_n) \triangleright \psi}{\Gamma \triangleright \psi}$$

where $\Gamma \cup \{\psi\} \cup \{\gamma_1, \dots, \gamma_n\}$ is a finite subset of $Fm(\vdash)$.

A similar simplification is possible when the logic \vdash has the DT or the PC, as we proceed to explain. Suppose first that \vdash has the DT. Given two finite subsets $\Gamma = \{\varphi_1, \dots, \varphi_n\}$ and $\Sigma = \{\psi_1, \dots, \psi_m\}$ of $Fm(\vdash)$, we will write

$$\Gamma \Rightarrow \Sigma \text{ as a shorthand for } (\varphi_1, \dots, \varphi_n) \Rightarrow_{nm} (\psi_1, \dots, \psi_m),$$

where \Rightarrow_{nm} is one of the sets witnessing the DT for \vdash . In the presence of the DT, Condition (i) of Proposition 7.10 becomes equivalent to the simpler demand that

$$((\varphi_1^k \Rightarrow y) \cup \dots \cup (\varphi_m^k \Rightarrow y)) \Rightarrow y \tag{27}$$

is a set of theorems of \vdash for every $k \in \mathbb{Z}^+$, where y is a variable that does not occur in any $\varphi_i^k = \varphi_i^k(x_1^1, \dots, x_1^k, \dots, x_n^1, \dots, x_n^k)$. We leave the easy proof, which relies only on the basic properties of the DT, to the reader.

Lastly, we turn to the case where \vdash has the PC. Given finite subsets $\Gamma_1 = \{\varphi_1, \dots, \varphi_{n_1}\}, \dots, \Gamma_m = \{\varphi_1, \dots, \varphi_{n_m}\}$ of $Fm(\vdash)$, we will define recursively a finite set

$$\Gamma_1 \curlywedge \dots \curlywedge \Gamma_p$$

of formulas, for every $2 \leq p \leq m$. First, if $p = 2$, we let

$$\Gamma_1 \curlywedge \Gamma_2 := (\varphi_1, \dots, \varphi_{n_1}) \curlywedge_{n_1 n_2} (\varphi_1, \dots, \varphi_{n_2}),$$

where $\curlywedge_{n_1 n_2}$ is one of the sets witnessing the PC for \vdash . On the other hand, if $2 < p < m$ and $\Gamma_1 \curlywedge \dots \curlywedge \Gamma_p = \{\gamma_1, \dots, \gamma_t\}$, we let

$$\Gamma_1 \curlywedge \dots \curlywedge \Gamma_{p+1} := (\gamma_1, \dots, \gamma_t) \curlywedge_{t n_{k+1}} (\varphi_1, \dots, \varphi_{n_{p+1}}).$$

In the presence of the PC, Condition (i) of Proposition 7.10 becomes equivalent to the simpler demand that

$$\varphi_1^k \curlywedge \dots \curlywedge \varphi_m^k \tag{28}$$

is a set of theorems of \vdash for every $k \in \mathbb{Z}^+$.¹⁶ Also in this case, we leave the easy proof, which relies only on the basic properties of the PC, to the reader. \dashv

Sahlqvist theory for protoalgebraic logics centers on the following notion.

DEFINITION 7.13. Let \vdash be a logic and \mathcal{A} an algebra. The *spectrum of \mathcal{A} relative to \vdash* , in symbols $\text{Spec}_\vdash(\mathcal{A})$, is the poset of meet irreducible deductive filters of \vdash on \mathcal{A} ordered under the inclusion relation. When $\mathcal{A} = \mathbf{Fm}(\vdash)$, we write $\text{Spec}(\vdash)$ as a shorthand for $\text{Spec}_\vdash(\mathcal{A})$.

REMARK 7.14. In view of Remark 3.3 and Example 6.1, the spectrum of a Heyting algebra \mathcal{A} relative to IPC is the poset of prime filters of \mathcal{A} . \dashv

¹⁶If the logic \vdash has a conjunction, we can restrict to the case where $k = 1$ both in Conditions (27) and (28).

Our main result establishes a correspondence between the validity of the metarules of the form $R_{\vdash}(\Phi)$ and the structure of spectra $\text{Spec}_{\vdash}(\mathcal{A})$.¹⁷

ABSTRACT SAHLQVIST THEOREM 7.15. *The following conditions are equivalent for a Sahlqvist quasiequation Φ compatible with a protoalgebraic logic \vdash :*

- (i) *The logic \vdash validates the metarules in $R_{\vdash}(\Phi)$.*
- (ii) $\text{Spec}(\vdash) \models \text{tr}(\Phi)$.
- (iii) $\text{Spec}_{\vdash}(\mathcal{A}) \models \text{tr}(\Phi)$, for every algebra \mathcal{A} .

PROOF. (i) \Rightarrow (iii): Let \mathcal{A} be an algebra. By applying Proposition 7.10 to the assumption that \vdash validates the metarules in $R_{\vdash}(\Phi)$, we obtain that the semilattice $\text{Fi}_{\vdash}^{\omega}(\mathcal{A})$ validates Φ .

Then let \mathcal{L}_{\wedge} be the sublanguage of \mathcal{L} consisting of the connectives of IPC that occur in Φ with the addition of \wedge . As Φ is compatible with \vdash , from Theorems 6.9, 6.10, and 6.11 it follows that $\text{Fi}_{\vdash}^{\omega}(\mathcal{A})$ is an \mathcal{L}_{\wedge} -subreduct of a Heyting algebra. Furthermore, observe that Φ is a Sahlqvist quasiequation in \mathcal{L}_{\wedge} . Therefore, we can apply Corollary 5.13 obtaining that $\text{Fi}_{\vdash}^{\omega}(\mathcal{A})_{*} \models \text{tr}(\Phi)$.

Now, recall from Proposition 6.4 that the lattice $\text{Fi}_{\vdash}(\mathcal{A})$ is algebraic. Therefore, from Theorem 6.2 we deduce that $\text{Fi}_{\vdash}(\mathcal{A})$ is isomorphic to the lattice of filters of the semilattice $\text{Fi}_{\vdash}^{\omega}(\mathcal{A})$. Thus, the poset of meet irreducible elements of $\text{Fi}_{\vdash}(\mathcal{A})$, namely $\text{Spec}_{\vdash}(\mathcal{A})$, is isomorphic to the poset of meet irreducible filters of $\text{Fi}_{\vdash}^{\omega}(\mathcal{A})$, namely $\text{Fi}_{\vdash}^{\omega}(\mathcal{A})_{*}$. Consequently, from $\text{Fi}_{\vdash}^{\omega}(\mathcal{A})_{*} \models \text{tr}(\Phi)$ it follows that $\text{Spec}_{\vdash}(\mathcal{A}) \models \text{tr}(\Phi)$ as desired.

(iii) \Rightarrow (ii): Straightforward.

(ii) \Rightarrow (i): Assume $\text{Spec}(\vdash) \models \text{tr}(\Phi)$. As in the proof of the implication (i) \Rightarrow (iii), we have $\text{Spec}(\vdash) \cong \text{Th}^{\omega}(\vdash)_{*}$. Consequently, we obtain $\text{Th}^{\omega}(\vdash)_{*} \models \text{tr}(\Phi)$. Now, let \mathcal{L}_{\wedge} be the language defined in the proof of the implication (i) \Rightarrow (iii). The same argument shows that $\text{Th}^{\omega}(\vdash)$ is an \mathcal{L}_{\wedge} -subreduct of a Heyting algebra and that Φ is a Sahlqvist quasiequation in \mathcal{L}_{\wedge} . Therefore, we can apply Corollary 5.13 to $\text{Th}^{\omega}(\vdash)_{*} \models \text{tr}(\Phi)$, obtaining that $\text{Th}^{\omega}(\vdash) \models \Phi$. Lastly, by Proposition 7.10 we conclude that \vdash validates the metarules in $R_{\vdash}(\Phi)$ as desired. \dashv

Under additional assumptions, the Abstract Sahlqvist Theorem can be formulated in a more algebraic fashion. Given a quasivariety \mathbf{K} and an algebra \mathcal{A} , we say that a congruence θ of \mathcal{A} is a \mathbf{K} -congruence of \mathcal{A} when $\mathcal{A}/\theta \in \mathbf{K}$. When ordered under the inclusion relation, the set of \mathbf{K} -congruences of \mathcal{A} forms an algebraic lattice, which we denote by $\text{Con}_{\mathbf{K}}(\mathcal{A})$. The poset of meet irreducible elements of $\text{Con}_{\mathbf{K}}(\mathcal{A})$ will then be denoted by $\text{Spec}_{\mathbf{K}}(\mathcal{A})$.

A logic \vdash is *algebraized* [9] by a quasivariety \mathbf{K} when there exist finite sets $\Delta(x, y)$ and $\tau(x)$ of formulas and equations, respectively, such that

$$\mathbf{K} \models x \approx y \text{ iff } \{ \varepsilon(\varphi) \approx \delta(\varphi) : \varepsilon \approx \delta \in \tau \text{ and } \varphi \in \Delta \}$$

and, for every finite $\Gamma \cup \{ \varphi \} \subseteq \text{Fm}(\vdash)$,

$$\Gamma \vdash \varphi \text{ iff } \mathbf{K} \models \& \{ \varepsilon(\gamma) \approx \delta(\gamma) : \gamma \in \Gamma, \varepsilon \approx \delta \in \tau \}$$

¹⁷While the Abstract Sahlqvist Theorem takes the form of a correspondence result, it can also be used to derive canonicity theorems, as shown in Theorem 9.6.

$$\implies \varepsilon'(\varphi) \approx \delta'(\varphi), \text{ for all } \varepsilon' \approx \delta' \in \tau.$$

In this case, for every algebra \mathcal{A} , the lattices $\text{Fi}_\vdash(\mathcal{A})$ and $\text{Con}_K(\mathcal{A})$ are isomorphic (see, e.g., [35, Theorem 3.58]) and, therefore, so are $\text{Spec}_\vdash(\mathcal{A})$ and $\text{Spec}_K(\mathcal{A})$. Furthermore, the set of formulas $\Delta(x, y)$ witnesses the protoalgebraicity of \vdash .

EXAMPLE 7.16. The intuitionistic propositional calculus IPC is algebraized by the variety HA of Heyting algebras, as witnessed by the sets $\Delta = \{x \rightarrow y, y \rightarrow x\}$ and $\tau = \{x \approx 1\}$. ⊣

COROLLARY 7.17. Let Φ be a Sahlqvist quasiequation compatible with a logic \vdash that is algebraized by a quasivariety K . Then \vdash validates the metarules in $R_\vdash(\Phi)$ iff $\text{Spec}_K(\mathcal{A}) \models \text{tr}(\Phi)$, for every $\mathcal{A} \in K$.

PROOF. The “only if” part follows from the implication (i) \implies (iii) of the Abstract Sahlqvist Theorem and the observation that $\text{Spec}_\vdash(\mathcal{A}) \cong \text{Spec}_K(\mathcal{A})$, for every algebra \mathcal{A} . To prove the “if” part, suppose that $\text{Spec}_K(\mathcal{A}) \models \text{tr}(\Phi)$, for every $\mathcal{A} \in K$. Then consider an algebra \mathcal{A} , not necessarily in K . By the Correspondence Theorem for quasivarieties, there exists $\mathcal{B} \in K$ such that $\text{Spec}_K(\mathcal{A}) \cong \text{Spec}_K(\mathcal{B})$ (see, e.g., [13, Thm. II.6.20]). Together with the assumption, this implies that $\text{Spec}_K(\mathcal{A}) \models \text{tr}(\Phi)$, thus establishing Condition (iii) of the Abstract Sahlqvist Theorem. By the implication (iii) \implies (i) of the same theorem, we conclude that \vdash validates the metarules in $R_\vdash(\Phi)$ as desired. ⊣

§8. The excluded middle and the bounded top width laws. We proceed to illustrate how the Abstract Sahlqvist Theorem can be used to obtain concrete correspondence results, some known and some new.

DEFINITION 8.1. A logic \vdash is said to have the *excluded middle law* (EML, for short) when for every $n \in \mathbb{Z}^+$ there exists a finite set $\sim_n(x_1, \dots, x_n) \subseteq \text{Fm}(\vdash)$ such that

$$\{x_1, \dots, x_n\} \cup \sim_n(x_1, \dots, x_n) \text{ is inconsistent}$$

and the metarule

$$\frac{\Gamma, \varphi_1, \dots, \varphi_n \triangleright \psi \quad \Gamma, \sim_n(\varphi_1, \dots, \varphi_n) \triangleright \psi}{\Gamma \triangleright \psi}$$

is valid in \vdash , for every finite $\Gamma \cup \{\varphi_1, \dots, \varphi_n, \psi\} \subseteq \text{Fm}(\vdash)$.

REMARK 8.2. Every logic with the EML has the IL, as witnessed by the sets $\sim_n(x_1, \dots, x_n)$. ⊣

In the presence of the IL, the semantic counterpart of the EML is the following property:

DEFINITION 8.3. A logic \vdash is said to be *semisimple* when the order of $\text{Spec}_\vdash(\mathcal{A})$ is the identity relation, for every algebra \mathcal{A} .

THEOREM 8.4 [66]. A protoalgebraic logic has the excluded middle law iff it has the inconsistent lemma and is semisimple.

PROOF. In view of Remark 8.2, it suffices to prove that a protoalgebraic logic \vdash with the IL has the EML iff it is semisimple. Accordingly, let $\{\sim_n(x_1, \dots, x_n) : n \in \mathbb{Z}^+\}$ be a family of sets witnessing the IL for \vdash . Moreover, observe that the Sahlqvist quasiequation

$$\Phi = x \wedge y \leq z \ \& \ \neg x \wedge y \leq z \implies y \leq z$$

corresponding to the excluded middle axiom $x \vee \neg x$ is compatible with \vdash , because \vdash has the IL.

Now, recall from Example 4.13 that a poset validates $\text{tr}(\Phi)$ iff its order is the identity relation. Consequently, \vdash is semisimple iff $\text{Spec}_+(\mathcal{A}) \models \text{tr}(\Phi)$, for every algebra \mathcal{A} . By the Abstract Sahlqvist Theorem, the latter condition is equivalent to the demand that \vdash validates the metarules in $R_+(\Phi)$, namely,

$$\frac{\Gamma, \varphi_1, \dots, \varphi_n \triangleright \psi \quad \Gamma, \sim_n(\varphi_1, \dots, \varphi_n) \triangleright \psi}{\Gamma \triangleright \psi}$$

for every finite $\Gamma \cup \{\varphi_1, \dots, \varphi_n, \psi\} \subseteq \text{Fm}(\vdash)$. But, since the IL guarantees that the sets of the form $\{x_1, \dots, x_n\} \cup \sim_n(x_1, \dots, x_n)$ are inconsistent, this amounts to the demand that \vdash has the EML. \dashv

In order to derive a similar result for the bounded top width axioms, we adopt the following convention: if a family $\{\sim_n(x_1, \dots, x_n) : n \in \mathbb{Z}^+\}$ of sets of formulas witnesses the IL for a logic \vdash , then for every finite set $\Gamma = \{\gamma_1, \dots, \gamma_n\}$ of formulas we will write

$$\sim \Gamma \text{ as a shorthand for } \sim_n(\gamma_1, \dots, \gamma_n).$$

DEFINITION 8.5. Let \vdash be a logic with the IL witnessed by a family $\{\sim_m(x_1, \dots, x_m) : m \in \mathbb{Z}^+\}$ and let $n \in \mathbb{Z}^+$. The logic \vdash has the *bounded top width n law* (BTWL $_n$, for short) if it validates the metarule

$$\frac{\Gamma, \sim(\sim(\gamma_i^1, \dots, \gamma_i^k) \cup \{\gamma_j^t : j < i, t \leq k\}) \triangleright \psi \quad \text{for every } i \leq n + 1}{\Gamma \triangleright \psi}$$

for every finite $\Gamma \cup \{\gamma_1^1, \dots, \gamma_1^k, \dots, \gamma_{n+1}^1, \dots, \gamma_{n+1}^k, \psi\} \subseteq \text{Fm}(\vdash)$.

In the presence of the IL, the semantic counterpart of the BTWL $_n$ can be described as follows:

THEOREM 8.6. *A protoalgebraic logic \vdash with the inconsistency lemma has the bounded top width n law iff for every algebra \mathcal{A} and every $F \in \text{Spec}_+(\mathcal{A})$, there are a positive integer $m \leq n$ and maximal elements G_1, \dots, G_m of $\text{Spec}_+(\mathcal{A})$ such that every $H \in \text{Spec}_+(\mathcal{A})$ extending F is contained in some G_i .*

PROOF. Notice that \vdash has the BTWL $_n$ precisely when it validates the metarules in $R_+(\Phi_n)$ induced by the Sahlqvist quasiequation Φ_n corresponding to the axiom btw_n , defined in Example 4.5. Furthermore, Φ_n is compatible with \vdash , because \vdash has the IL by assumption. Therefore, we can apply the Abstract Sahlqvist Theorem, obtaining that \vdash has the BTWL $_n$ iff $\text{Spec}_+(\mathcal{A}) \models \text{tr}(\Phi_n)$, for every algebra \mathcal{A} . In

view of Example 4.13, the latter amounts to the demand that for every algebra A and every $F, H_1, \dots, H_{n+1} \in \text{Spec}_\vdash(A)$ such that F is contained in each H_i , there are $G_1, \dots, G_n \in \text{Spec}_\vdash(A)$ extending F such that each H_i is contained in at least one G_j . Therefore, it only remains to prove that this condition is equivalent to that in the right-hand side of the statement.

The fact that the condition in the statement implies the one above is clear. To prove the converse, consider an algebra A satisfying the condition above. Then let M be the set of maximal proper deductive filters of \vdash on A .

CLAIM 8.7. *Every element of $\text{Spec}_\vdash(A)$ is contained in some element of M .*

PROOF OF THE CLAIM. Suppose, with a view to contradiction, that there exists some $F \in \text{Spec}_\vdash(A)$ that cannot be extended to an element of M . Then consider the subposet of $\text{Fi}_\vdash(A)$ with universe

$$Y := \{G \in \text{Fi}_\vdash(A) : G \not\subseteq \downarrow M \text{ and } F \subseteq G \text{ and } G \neq A\}.$$

Since F is meet irreducible, it is different from A and, therefore, it belongs to Y . Consequently, the poset Y is nonempty and we can apply Zorn's Lemma to deduce that there exists a maximal chain C in Y .

We will prove that the join of C in $\text{Fi}_\vdash(A)$ is A . Suppose, with a view to contradiction, that $\bigvee C \subsetneq A$. Observe that the maximality of C guarantees that $F \in C$, whence $F \subseteq \bigvee C$. Together with the assumption that $F \not\subseteq \downarrow M$, this implies that $\bigvee C \not\subseteq \downarrow M$. Since by assumption $\bigvee C \neq A$, there exists a proper $G \in \text{Fi}_\vdash(A)$ such that $\bigvee C \subsetneq G$. As a consequence $G \not\subseteq C$, which, by the maximality of C , yields $G \notin Y$. Since $F \subseteq \bigvee C \subseteq G$ and $F \not\subseteq \downarrow M$, this means that $G = A$, a contradiction with the assumption that G is proper. Hence, we conclude that $\bigvee C = A$.

Now, consider one of the finite sets $\sim_n(x_1, \dots, x_n)$ witnessing the IL for \vdash . Since the IL guarantees that the finite set

$$\{x_1, \dots, x_n\} \cup \sim_n(x_1, \dots, x_n)$$

is inconsistent, we obtain that

$$\text{Fg}_\vdash^A(\{a_1, \dots, a_n\} \cup \sim_n(a_1, \dots, a_n)) = A,$$

for every and $a_1, \dots, a_n \in A$. Therefore, the deductive filter A is finitely generated.

By Proposition 6.4, this implies that A is a compact element of $\text{Fi}_\vdash(A)$. As a consequence, from $\bigvee C = A$ it follows that there exists a finite $C' \subseteq C$ such that $\bigvee C' = A$. Since $F \in C$, we may assume that C' contains F and, therefore, is nonempty. As C' is a finite nonempty chain, we have $\bigvee C' \in C'$, whence $A = \bigvee C' \in C' \subseteq C \subseteq Y$. But this contradicts the definition of Y , according to which $A \notin Y$. ⊥

Now, consider an element $F \in \text{Spec}_\vdash(A)$ and let

$$M_F := \{G \in M : F \subseteq G\}.$$

Clearly, M_F is a set of maximal elements of $\text{Spec}_\vdash(A)$. Furthermore, in view of the claim, every element of $\text{Spec}_\vdash(A)$ extending F is contained in some element of M_F . Therefore, to conclude the proof, it suffices to show that $|M_F| \leq n$. Suppose, with a view to contradiction, that there are distinct $H_1, \dots, H_{n+1} \in M_F$. As

$M_F \subseteq \text{Spec}_-(\mathcal{A})$, we can apply the assumption obtaining that there are $G_1, \dots, G_n \in \text{Spec}_-(\mathcal{A})$ such that each H_i is contained into some G_j . Therefore, there are $m < k \leq n + 1$ and $j \leq n$ such that $H_m, H_k \subseteq G_j$. Since G_j is proper (because it belongs to $\text{Spec}_-(\mathcal{A})$), the maximality of H_m and H_k implies that $H_m = G = H_j$. But this contradicts the assumption that H_1, \dots, H_{n+1} are all different. \dashv

It is easy to see that a logic \vdash with the IL has the BTWL_1 iff it has the *weak excluded middle law* (WEML, for short) in the sense that it validates the metarule

$$\frac{\Gamma, \sim(\varphi_1, \dots, \varphi_n) \triangleright \psi \quad \Gamma, \sim\sim(\varphi_1, \dots, \varphi_n) \triangleright \psi}{\Gamma \triangleright \psi}$$

for every finite $\Gamma \cup \{\varphi_1, \dots, \varphi_n, \psi\} \subseteq \text{Fm}(\vdash)$. Bearing this in mind, from Theorem 8.6 we deduce:

COROLLARY 8.8 [60, Theorem 6.3]. *A protoalgebraic logic \vdash with the inconsistency lemma has the weak excluded middle law iff for every algebra \mathcal{A} and every $F \in \text{Spec}_-(\mathcal{A})$, there exists the greatest element of $\text{Spec}_-(\mathcal{A})$ extending F .*

§9. Sahlqvist theory for fragments of IPC with implication. The Abstract Sahlqvist Theorem can be also employed to derive Sahlqvist theorems for concrete deductive systems. In this section, we will do this for fragments of IPC including the connective \rightarrow . To this end, it is convenient to recall some basic concepts. Let \mathcal{A} be a subreduct of a Heyting algebra in a language $\mathcal{L}_{\rightarrow}$ containing \rightarrow . Then, the formula $x \rightarrow x$ induces a constant term function on \mathcal{A} , whose constant value will be denoted by 1. Accordingly, a formula φ of $\mathcal{L}_{\rightarrow}$ is *valid* in \mathcal{A} , in symbols $\mathcal{A} \models \varphi$, when \mathcal{A} satisfies the equation $\varphi \approx 1$. Furthermore, a subset F of \mathcal{A} is said to be an *implicative filter* of \mathcal{A} if it contains 1 and, for every $a, b \in \mathcal{A}$,

$$\text{if } a, a \rightarrow b \in F, \text{ then } b \in F.$$

When ordered under the inclusion relation, the set of implicative filters of \mathcal{A} forms a lattice. We denote its subposet of meet irreducible elements by \mathcal{A}_* .

REMARK 9.1. When the language of \mathcal{A} contains \wedge , Condition (4) guarantees that the implicative and semilattice filters of \mathcal{A} coincide. Therefore, there is not clash in our usage of the notation \mathcal{A}_* both for the posets of meet irreducible semilattice and implicative filters. \dashv

The importance of implicative filters is made apparent by the following observation.

PROPOSITION 9.2. *Let \mathbb{L} be a fragment of IPC containing \rightarrow . Then, for every subreduct \mathcal{A} in the language of \mathbb{L} of a Heyting algebra, the deductive filters of \mathbb{L} on \mathcal{A} coincide with the implicative filters of \mathcal{A} .*

PROOF. Since \mathbb{L} is an implicative logic in the sense of [68], the result follows from [35, Proposition 2.28]. \dashv

Given a finite set $\Gamma \cup \{\varphi\}$ of formulas of IPC, with $\Gamma = \{\gamma_1, \dots, \gamma_n\}$, we write

$$\Gamma \rightarrow \varphi \text{ as a shorthand for the singleton } \{\gamma_1 \rightarrow (\gamma_2 \rightarrow (\dots (\gamma_n \rightarrow \varphi) \dots))\}.$$

Recall from Remark 7.3 that every formula φ of IPC is compatible with IPC. Accordingly, given $k \in \mathbb{Z}^+$, we denote by φ^k the finite set of formulas of IPC associated with φ . Moreover, with every Sahlqvist quasiequation

$$\Phi = \varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_m \wedge y \leq z \implies y \leq z,$$

we associate the set of formulas

$$A(\Phi) := \bigcup \{ ((\varphi_1^k \rightarrow y) \cup \dots \cup (\varphi_m^k \rightarrow y)) \rightarrow y : k \in \mathbb{Z}^+ \},$$

where y is a variable that does not occur in $\varphi_1^k, \dots, \varphi_m^k$.

EXAMPLE 9.3. Consider the Sahlqvist quasiequation

$$\Phi = (x_1 \rightarrow x_2) \wedge y \leq z \ \& \ (x_2 \rightarrow x_1) \wedge y \leq z \implies y \leq z$$

corresponding to the Gödel–Dummett axiom (see Example 4.5). In view of Example 7.6, for every $k \in \mathbb{Z}^+$, the sets $(x_1 \rightarrow x_2)^k \rightarrow y$ and $(x_2 \rightarrow x_1)^k \rightarrow y$ are the singletons containing, respectively, the formulas

$$\begin{aligned} \psi_1^k := & (x_1^1 \rightarrow (\dots (x_1^k \rightarrow x_1^1) \dots)) \rightarrow ((x_1^1 \rightarrow (\dots (x_1^k \rightarrow x_2^2) \dots)) \\ & \rightarrow (\dots ((x_2^1 \rightarrow (\dots (x_2^k \rightarrow x_1^k) \dots)) \rightarrow y) \dots)) \end{aligned}$$

and

$$\begin{aligned} \psi_2^k := & (x_2^1 \rightarrow (\dots (x_2^k \rightarrow x_1^1) \dots)) \rightarrow ((x_2^1 \rightarrow (\dots (x_2^k \rightarrow x_2^2) \dots)) \\ & \rightarrow (\dots ((x_2^1 \rightarrow (\dots (x_2^k \rightarrow x_1^k) \dots)) \rightarrow y) \dots)). \end{aligned}$$

Consequently, $A(\Phi)$ is the set $\{ \psi_1^k \rightarrow (\psi_2^k \rightarrow y) : k \in \mathbb{Z}^+ \}$. ⊖

Given an algebra \mathbf{A} , we denote by $\mathbb{V}(\mathbf{A})$ the variety generated by \mathbf{A} . We rely on the following observation.

LEMMA 9.4. Let Φ be a Sahlqvist quasiequation in a sublanguge $\mathcal{L}_{\rightarrow}$ of \mathcal{L} containing \rightarrow . For every $\mathcal{L}_{\rightarrow}$ -subreduct \mathbf{A} of a Heyting algebra,

$$\mathbf{A} \models A(\Phi) \iff \mathbf{B}_* \models \text{tr}(\Phi), \text{ for every } \mathbf{B} \in \mathbb{V}(\mathbf{A}).$$

PROOF. Throughout the proof we will assume that

$$\Phi = \varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_m \wedge y \leq z \implies y \leq z.$$

Furthermore, let L be the $\mathcal{L}_{\rightarrow}$ -fragment of IPC and let $L(\mathbf{A})$ be the extension of L axiomatized, relatively to L , by the formulas valid in \mathbf{A} . It is well known that $L(\mathbf{A})$ is algebraized by $\mathbb{V}(\mathbf{A})$.

We begin by proving the following equivalences:

$$\begin{aligned} \mathbf{A} \models A(\Phi) & \iff \emptyset \vdash_{L(\mathbf{A})} A(\Phi) \\ & \iff L(\mathbf{A}) \text{ validates the metarules in } R_{L(\mathbf{A})}(\Phi) \\ & \iff \mathbf{B}_* \models \text{tr}(\Phi), \text{ for every } \mathbf{B} \in \mathbb{V}(\mathbf{A}). \end{aligned}$$

The first equivalence follows from the definition of L . For the second, observe that, being a fragment of IPC with \rightarrow , the logic L inherits the DT of IPC. Since the DT persists in axiomatic extensions, the DT of IPC holds also in $L(\mathbf{A})$. Consequently, the second equivalence follows from the part of Remark 7.12 devoted to the DT. To

prove the third one, we begin by showing that Φ is compatible with $L(\mathcal{A})$. Suppose, for instance, that the connective \vee occurs in some φ_i . Then $\mathcal{L}_{\rightarrow}$ contains \vee . Since the PC persists in axiomatic extensions of fragments of IPC with \vee , we conclude that $L(\mathcal{A})$ has the PC as desired. A similar argument applies to the cases where $0, \neg$, or \rightarrow occur in some φ_i , thereby yielding that Φ is compatible with $L(\mathcal{A})$. Furthermore, recall that $\mathbb{V}(\mathcal{A})$ algebraizes $L(\mathcal{A})$. Lastly, Proposition 9.2 guarantees that $\text{Spec}_{L(\mathcal{A})}(\mathcal{B}) = \mathcal{B}_*$, for every $\mathcal{B} \in \mathbb{V}(\mathcal{A})$. Therefore, we can apply Corollary 7.17, thus establishing the third equivalence. \dashv

Notably, one can view $A(\Phi)$ as the equational version of the quasiequation Φ , as made precise by the next observation.

PROPOSITION 9.5. *A Heyting algebra \mathcal{A} validates a Sahlqvist quasiequation Φ iff it validates the formulas in $A(\Phi)$.*

PROOF. In view of Lemma 9.4, it suffices to establish the following equivalences:

$$\begin{aligned} \mathcal{B}_* \models \text{tr}(\Phi), \text{ for every } \mathcal{B} \in \mathbb{V}(\mathcal{A}) &\iff \mathcal{B} \models \Phi, \text{ for every } \mathcal{B} \in \mathbb{V}(\mathcal{A}) \\ &\iff \mathcal{A} \models \Phi. \end{aligned}$$

To this end, we will assume that

$$\Phi = \varphi_1 \wedge y \leq z \ \& \dots \ \& \ \varphi_m \wedge y \leq z \implies y \leq z.$$

The first equivalence holds by Corollary 5.13. To prove the nontrivial part of the second, suppose that $\mathcal{A} \models \Phi$. In view of Corollary 4.7, the equation $\varphi_1 \vee \dots \vee \varphi_n \approx 1$ is valid in \mathcal{A} . Therefore, it is also valid $\mathbb{V}(\mathcal{A})$. With another application of Corollary 4.7, we conclude that Φ is valid in all the members of $\mathbb{V}(\mathcal{A})$ as desired. \dashv

As in the case of Theorem 5.1, Sahlqvist Theorem from fragments of IPC with \rightarrow takes the form of a canonicity result.

THEOREM 9.6. *Let Φ be a Sahlqvist quasiequation in a sublanguage $\mathcal{L}_{\rightarrow}$ of \mathcal{L} containing \rightarrow . If an $\mathcal{L}_{\rightarrow}$ -subreduct \mathcal{A} of a Heyting algebra validates $A(\Phi)$, then also $\text{Up}(\mathcal{A}_*)$ validates $A(\Phi)$.*

PROOF. Suppose that $\mathcal{A} \models A(\Phi)$. In view of Lemma 9.4, this yields $\mathcal{A}_* \models \text{tr}(\Phi)$. Therefore, we can apply the correspondence part of the Intuitionistic Sahlqvist Theorem, obtaining $\text{Up}(\mathcal{A}_*) \models \Phi$. Lastly, by Proposition 9.5, this amounts to $\text{Up}(\mathcal{A}_*) \models A(\Phi)$. \dashv

Bearing in mind that Φ and $A(\Phi)$ axiomatize the same class of Heyting algebras (Proposition 9.5), a straightforward adaptation of the proof of Corollary 5.13 yields the following:

COROLLARY 9.7. *Let Φ be a Sahlqvist quasiequation in a sublanguage $\mathcal{L}_{\rightarrow}$ of \mathcal{L} containing \rightarrow . For every $\mathcal{L}_{\rightarrow}$ -subreduct \mathcal{A} of a Heyting algebra, it holds that $\mathcal{A} \models A(\Phi)$ iff $\mathcal{A}_* \models \text{tr}(\Phi)$.*

EXAMPLE 9.8. The $\langle \rightarrow \rangle$ -subreduct of Heyting algebras are called *Hilbert algebras* [29, 30]. Let Φ be the Sahlqvist quasiequation corresponding to the Gödel–Dummett axiom. Since a Hilbert algebra validates $A(\Phi)$ iff it validates the single formula

$\varphi = ((x \rightarrow y) \rightarrow z) \rightarrow (((y \rightarrow z) \rightarrow z) \rightarrow z$, in view of Corollary 9.7 and Example 4.13, we obtain that

$$A \models \varphi \iff A_* \text{ is a root system,}$$

for every Hilbert algebra [64, Theorem 4.5]. ⊣

§10. A correspondence theorem for intuitionistic linear logic. We close this paper by deriving a correspondence theorem for intuitionistic linear logic [48] from the Abstract Sahlqvist Theorem (cf. [75, 76]). To this end, recall that a *commutative FL-algebra* is a structure $A = \langle A; \wedge, \vee, \cdot, \rightarrow, 0, 1 \rangle$ comprising a commutative monoid $\langle A; \cdot, 1 \rangle$ and a lattice $\langle A; \wedge, \vee \rangle$ such that for every $a, b, c \in A$,

$$a \cdot b \leq c \iff a \leq b \rightarrow c. \tag{29}$$

The class of commutative FL-algebras forms a variety which we denote by FL_e [41]. *Intuitionistic linear logic* (ILL) is the logic formulated in the language of commutative FL-algebras defined, for every set $\Gamma \cup \{\varphi\}$ of formulas, as follows:

$$\Gamma \vdash_{ILL} \varphi \text{ iff there exists a finite } \Sigma \subseteq \Gamma \text{ such that } FL_e \models \bigwedge_{\gamma \in \Sigma} \gamma \geq 1 \implies \varphi \geq 1.$$

It is well known that every axiomatic extension \vdash of ILL is algebraized by the variety K_{\vdash} of commutative FL-algebras axiomatized by the set of equations $\{\varphi \geq 1 : \emptyset \vdash \varphi\}$, as witnessed by the sets $\tau := \{x \geq 1\}$ and $\Delta(x, y) := \{x \rightarrow y, y \rightarrow x\}$ [40, Theorem 3.3]. In particular, ILL is algebraized by FL_e .

Given an algebra A in the language of ILL, an element $a \in A$, and $n \in \mathbb{Z}^+$, we define an element a^n of A by setting

$$a^1 := a \text{ and } a^{m+1} := a^m \cdot a, \text{ for every } m \geq 1.$$

We will rely on the following property of ILL:

PROPOSITION 10.1 [40, Theorem 4.9]. *For every algebra A and $X \cup \{a, b\} \subseteq A$,*

$$a \in \text{Fg}_{ILL}^A(X \cup \{b\}) \text{ iff } (1 \wedge b)^n \rightarrow a \in \text{Fg}_{ILL}^A(X), \text{ for some } n \in \mathbb{Z}^+.$$

When A is the algebra of formulas $\mathbf{Fm}(ILL)$, this specializes to the following:

COROLLARY 10.2. *For every set $\Gamma \cup \{\psi, \varphi\}$ of formulas of ILL, we have*

$$\Gamma, \psi \vdash_{ILL} \varphi \text{ iff } \Gamma \vdash_{ILL} (1 \wedge \psi)^n \rightarrow \varphi, \text{ for some } n \in \mathbb{Z}^+.$$

In order to obtain a correspondence theorem for ILL, it is convenient to identify the axiomatic extensions of ILL with the IL, the DT, and the PC. For the DT and the PC we have the following:

PROPOSITION 10.3 [39, Proposition 3.15]. *An axiomatic extension of ILL has the deduction theorem iff there exists some $k \in \mathbb{Z}^+$ such that the theorems of \vdash include the formula $(1 \wedge x)^k \rightarrow (1 \wedge x)^{k+1}$. In this case, the DT is witnessed by the sets of the form*

$$(x_1, \dots, x_n) \Rightarrow_{nm} (y_1, \dots, y_m) = \{(1 \wedge x_1 \wedge \dots \wedge x_n)^k \rightarrow (y_1 \wedge \dots \wedge y_m)\}.$$

PROPOSITION 10.4. *Every axiomatic extension \vdash of ILL has the proof by cases, as witnessed by the sets of the form*

$$(x_1, \dots, x_n) \bigvee_{nm} (y_1, \dots, y_m) = \{(1 \wedge x_1 \wedge \dots \wedge x_n) \vee (1 \wedge y_1 \wedge \dots \wedge y_m)\}.$$

PROOF. This is essentially [19, Example 4.10.4], where the result is stated for the natural expansion SL_{aE} of ILL with bounds. ⊣

In order to address the case of the IL, it is convenient to introduce the following shorthand for every formula φ of ILL:

$$\perp := 1 \wedge (1 \rightarrow 0) \wedge (0 \rightarrow 1) \wedge (1 \rightarrow (1 \rightarrow 1)) \wedge ((1 \rightarrow 1) \rightarrow 1) \text{ and } \neg\varphi := \varphi \rightarrow \perp.$$

PROPOSITION 10.5. *An axiomatic extension \vdash of ILL has the inconsistency lemma iff there exist some $k \in \mathbb{Z}^+$ and a function $f : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$ such that the theorems of \vdash include the formulas*

$$\perp^k \rightarrow x \text{ and } (1 \wedge \neg(x \wedge 1)^m)^{f(m)} \rightarrow \neg(1 \wedge x)^k,$$

for every $m \in \mathbb{Z}^+$. In this case, the IL is witnessed by the sets of the form

$$\sim_n(x_1, \dots, x_n) := \{\neg(1 \wedge x_1 \wedge \dots \wedge x_n)^k\}.$$

PROOF. We will often use the fact that \vdash is algebraized by K_\vdash , as witnessed by the sets $\tau := \{x \geq 1\}$ and $\Delta(x, y) := \{x \rightarrow y, y \rightarrow x\}$. Similarly, we will repeatedly appeal to the fact that for every $A \in K_\vdash$ and $a, b \in A$,

$$a \leq b \iff 1 \leq a \rightarrow b$$

and

$$\text{if } a \leq 1, \text{ then } a^{n+1} \leq a^n, \text{ for every } n \in \mathbb{Z}^+.$$

The first property follows from Condition (29) and the assumption that $\langle A; \cdot, 1 \rangle$ is a monoid. The second holds because the operation \cdot is order preserving in both coordinates and, therefore, the assumption that $a \leq 1$ guarantees that $a^{n+1} = a^n \cdot a \leq a^n \cdot 1 = a^n$. These facts will be used in the proof without further notice.

We begin by proving the implication from left to right in the statement. Accordingly, suppose that \vdash has the IL. We rely on the next observations:

CLAIM 10.6. *The formula \perp is inconsistent in \vdash .*

PROOF OF THE CLAIM. First, we will prove that

$$\perp \vdash \varphi \rightarrow \psi, \text{ for every pair } \varphi \text{ and } \psi \text{ of formulas in which no variable occurs. (30)}$$

Accordingly, consider two such formulas φ and ψ . It suffices to show that

$$K_\vdash \models \perp \geq 1 \implies \varphi \rightarrow \psi \geq 1.$$

To this end, consider an algebra $A \in K_\vdash$ such that $1^A \leq \perp^A$. By the definition of \perp , this yields $0^A = 1^A = 1^A \rightarrow 1^A$. As

$$FL_e \models 1 \approx 1 \wedge 1 \approx 1 \vee 1 \approx 1 \cdot 1,$$

this implies that $\{1^A\}$ is the universe of a subalgebra of A . Consequently, $\varphi^A, \psi^A \in \{1^A\}$, because φ and ψ have no variables. Therefore, $\varphi^A = \psi^A$. In particular, $\varphi^A \leq \psi^A$, which amounts to $1^A \leq \varphi^A \rightarrow \psi^A$, as desired. This establishes Condition (30).

Now, recall that the IL guarantees that the set $\{1\} \cup \sim_1(1)$ is inconsistent. In particular, $1 \wedge \bigwedge \sim_1(1) \vdash y$. Furthermore, from Condition (30) it follows that $\perp \vdash 1 \rightarrow (1 \wedge \bigwedge \sim_1(1))$. Since 1 is a theorem of \vdash and $x, x \rightarrow y \vdash y$, this yields that $\perp \vdash 1 \wedge \bigwedge \sim_1(1)$. Together with $1 \wedge \bigwedge \sim_1(1) \vdash y$, this implies that $\perp \vdash y$. By substitution invariance, we conclude that \perp is inconsistent. \dashv

CLAIM 10.7. *For every $A \in K_{\vdash}$ and $a \in A$, we have that $1 \leq \bigwedge \sim_1(a)$ iff there exists some $n \in \mathbb{Z}^+$ such that $(1 \wedge a)^n \leq \perp$.*

PROOF OF THE CLAIM. Recall from Theorem 6.9 that the semilattice $Fi_{\vdash}^{\omega}(A)$ is pseudocomplemented. Therefore, $Fg_{\vdash}^A(a) = A$ iff the pseudocomplement $Fg_{\vdash}^A(\sim_1(a))$ of $Fg_{\vdash}^A(a)$ in $Fi_{\vdash}^{\omega}(A)$ is included in $Fg_{\vdash}^A(\emptyset)$, in symbols,

$$Fg_{\vdash}^A(a) = A \iff \sim_1(a) \subseteq Fg_{\vdash}^A(\emptyset).$$

On the other hand, by applying in succession Claim 10.6 and Proposition 10.1, we obtain

$$Fg_{\vdash}^A(a) = A \iff \perp \in Fg_{\vdash}^A(a) \iff \neg(1 \wedge a)^n \in Fg_{\vdash}^A(\emptyset), \text{ for some } n \in \mathbb{Z}^+.$$

From the two displays above and the fact that $Fg_{\vdash}^A(\emptyset) = \{c \in A : c \geq 1\}$ it follows that

$$1 \leq \bigwedge \sim_1(a) \iff 1 \leq \neg(1 \wedge a)^n, \text{ for some } n \in \mathbb{Z}^+,$$

where the condition on the right-hand side is equivalent to the demand that there exists some $n \in \mathbb{Z}^n$ such that $(1 \wedge a)^n \leq \perp$. \dashv

In virtue of Claim 10.6 and Corollary 10.2, there exists some $t \in \mathbb{Z}^+$ such that $\emptyset \vdash (1 \wedge \perp)^t \rightarrow x$. Therefore, $K_{\vdash} \models (1 \wedge \perp)^t \rightarrow x \geq 1$, which amounts to $K_{\vdash} \models (1 \wedge \perp)^t \leq x$. By the definition of \perp , we have $K_{\vdash} \models \perp \leq 1$. As a consequence, $K_{\vdash} \models \perp^s \leq x$, for every positive integer $s \geq t$. This, in turn, yields that

$$\emptyset \vdash \perp^s \rightarrow x, \text{ for every positive integer } s \geq t. \tag{31}$$

On the other hand, in view of Claim 10.7, we have that

$$Th(K_{\vdash}) \cup \{(1 \wedge x)^n \not\leq \perp : n \in \mathbb{Z}^+\} \Vdash_{\text{FOL}} 1 \not\leq \bigwedge \sim_1(x),$$

where $Th(K_{\vdash})$ is the elementary theory of K_{\vdash} and \Vdash_{FOL} is the deducibility relation of first-order logic. By the Compactness Theorem of first-order logic, the previous display implies that there are some positive integers $n_1, \dots, n_m \in \mathbb{Z}^+$ such that

$$Th(K_{\vdash}) \cup \{(1 \wedge x)^{n_1} \not\leq \perp, \dots, (1 \wedge x)^{n_m} \not\leq \perp\} \Vdash_{\text{FOL}} 1 \not\leq \bigwedge \sim_1(x).$$

Letting $k := \max\{n_1, \dots, n_m, t\}$, the above display implies

$$Th(K_{\vdash}) \cup \{(1 \wedge x)^k \not\leq \perp\} \Vdash_{\text{FOL}} 1 \not\leq \bigwedge \sim_1(x).$$

This, in turn, amounts to the following:

$$K_{\vdash} \models \bigwedge \sim_1(x) \geq 1 \implies (1 \wedge x)^k \leq \perp.$$

In view of Claim 10.7, this yields that for every $m \in \mathbb{Z}^+$,

$$K_{\vdash} \models (1 \wedge x)^m \leq \perp \implies (1 \wedge x)^k \leq \perp,$$

where the condition above can be equivalently phrased as

$$K_{\vdash} \models \neg(1 \wedge x)^m \geq 1 \implies \neg(1 \wedge x)^k \geq 1.$$

Consequently, $\neg(1 \wedge x)^m \vdash \neg(1 \wedge x)^k$, for every $m \in \mathbb{Z}^+$. In view of Proposition 10.1, for every $m \in \mathbb{Z}$ there exists some $f(m) \in \mathbb{Z}^+$ such that

$$\emptyset \vdash (1 \wedge \neg(1 \wedge x)^m)^{f(m)} \rightarrow \neg(1 \wedge x)^k.$$

Lastly, since the definition of k guarantees that $k \geq t$, from Condition (31) it follows that $\emptyset \vdash \perp^k \rightarrow x$.

Then we turn to prove the implication from right to left in the statement. We will show that the sets of the form

$$\sim_n(x_1, \dots, x_n) := \{\neg(1 \wedge x_1 \wedge \dots \wedge x_n)^k\}$$

witness the IL for \vdash , i.e., that for every finite $\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \subseteq Fm(\vdash)$,

$$\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \text{ is inconsistent } \text{ iff } \Gamma \vdash \neg(1 \wedge \varphi_1 \wedge \dots \wedge \varphi_n)^k.$$

Suppose first that $\Gamma \cup \{\varphi_1, \dots, \varphi_n\}$ is inconsistent. Then $\Gamma, \varphi_1 \wedge \dots \wedge \varphi_n \vdash \perp$. In view of Corollary 10.2, there exists some $m \in \mathbb{Z}^+$ such that $\Gamma \vdash \neg(1 \wedge \varphi_1 \wedge \dots \wedge \varphi_n)^m$. As $x \vdash (1 \wedge x)^{f(m)}$, this yields $\Gamma \vdash (1 \wedge \neg(1 \wedge \varphi_1 \wedge \dots \wedge \varphi_n)^m)^{f(m)}$. Since by assumption $\emptyset \vdash (1 \wedge \neg(1 \wedge x)^m)^{f(m)} \rightarrow \neg(1 \wedge x)^k$, with an application of modus ponens, we obtain that $\Gamma \vdash \neg(1 \wedge \varphi_1 \wedge \dots \wedge \varphi_n)^k$, as desired.

To prove the converse, suppose that $\Gamma \vdash \neg(1 \wedge \varphi_1 \wedge \dots \wedge \varphi_n)^k$. By Corollary 10.2, this implies that $\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \vdash \perp$. Furthermore, as $x \vdash x^k$, we get $\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \vdash \perp^k$. Since the set $\Gamma \cup \{\varphi_1, \dots, \varphi_n\}$ is finite, there exists a variable x that does not occur in any of its members. As by assumption $\emptyset \vdash \perp^k \rightarrow x$, by modus ponens we obtain $\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \vdash x$. Since, x does not occur in the formulas of $\Gamma \cup \{\varphi_1, \dots, \varphi_n\}$, by substitution invariance, we obtain that $\Gamma \cup \{\varphi_1, \dots, \varphi_n\} \vdash \psi$ for every formula ψ . Hence, we conclude that $\Gamma \cup \{\varphi_1, \dots, \varphi_n\}$ is inconsistent. \dashv

Let \vdash be an axiomatic extension of ILL. Notice that, if φ is a formula of IPC compatible with \vdash , then the finite set of formulas φ^k is interderivable in \vdash with the conjunction $\bigwedge \varphi^k$, for every $k \in \mathbb{Z}^+$. Accordingly, from now on we will assume that the expressions of the form φ^k stand for formulas $\bigwedge \varphi^k$ of \vdash , as opposed to a sets of formulas of \vdash .

Furthermore, recall that K_{\vdash} is a variety, whence it is closed under \mathbb{H} . Consequently, $\text{Spec}_{K_{\vdash}}(\mathcal{A})$ coincides with the poset of meet irreducible congruences of \mathcal{A} , for every $\mathcal{A} \in K_{\vdash}$. Because of this, when $\mathcal{A} \in K_{\vdash}$, we will write $\text{Spec}(\mathcal{A})$ as a shorthand for $\text{Spec}_{K_{\vdash}}(\mathcal{A})$. Bearing this in mind, we obtain the desired correspondence theorem:

THEOREM 10.8. *Let $\Phi = \varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_m \wedge y \leq z \implies y \leq z$ be a Sahlqvist quasiequation compatible with an axiomatic extension \vdash of ILL. Then the theorems*

of \vdash include the formula $(1 \wedge \varphi_1^1) \vee \dots \vee (1 \wedge \varphi_m^1)$ iff $\text{Spec}(\mathcal{A}) \models \text{tr}(\Phi)$, for every algebra $\mathcal{A} \in \mathcal{K}_\vdash$.

PROOF. Observe that \wedge is a conjunction for \vdash and that \vdash has the PC, as witnessed by sets in Proposition 10.4. Therefore, from Remark 7.12 it follows that the theorems of \vdash include the formula $(1 \wedge \varphi_1^1) \vee \dots \vee (1 \wedge \varphi_m^1)$ iff the logic \vdash validates the metarules in $R_\vdash(\Phi)$. But, since \vdash is algebraized by \mathcal{K}_\vdash , we can apply Corollary 7.17 obtaining that the latter condition is equivalent to the demand that $\text{Spec}(\mathcal{A}) \models \text{tr}(\Phi)$, for every $\mathcal{A} \in \mathcal{K}_\vdash$. \dashv

EXAMPLE 10.9. Let \vdash be an axiomatic extension of ILL with the IL. Then there are some $k \in \mathbb{Z}^+$ and a function $f : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$ witnessing the property in the statement of Proposition 10.5. We will prove that the following conditions are equivalent for every $n \in \mathbb{Z}^+$:

- (i) The logic \vdash has the BTWL $_n$.
- (ii) The theorems of \vdash include the formula

$$\bigvee_{1 \leq i \leq n+1} \left(1 \wedge \neg \left(1 \wedge \bigwedge_{1 \leq j < i} x_j \wedge \neg (1 \wedge x_i)^k \right) \right).$$

- (iii) For every $\mathcal{A} \in \mathcal{K}_\vdash$ and $\theta \in \text{Spec}(\mathcal{A})$, there are a positive integer $m \leq n$ and maximal elements ϕ_1, \dots, ϕ_m of $\text{Spec}(\mathcal{A})$ such that every $\eta \in \text{Spec}(\mathcal{A})$ extending θ is contained in some ϕ_i .

First, recall from Example 4.5 that the Sahlqvist quasiequation

$$\Phi_n = \varphi_1 \wedge y \leq z \ \& \ \dots \ \& \ \varphi_{n+1} \wedge y \leq z \implies y \leq z,$$

corresponding to the btw_n axiom is defined setting, for every $i \leq n + 1$,

$$\varphi_i := \neg \left(\neg x_i \wedge \bigwedge_{0 < j < i} x_j \right).$$

To prove the equivalence between Conditions (i) and (ii), recall that the logic \vdash has the BTWL $_n$ precisely when it validates the metarules in $R_\vdash(\Phi_n)$. As observed in the proof of Theorem 10.8, this happens iff the theorems of \vdash include the formula $(1 \wedge \varphi_1^1) \vee \dots \vee (1 \wedge \varphi_{n+1}^1)$. But the latter coincides with the formula in Condition (ii), because the IL for \vdash is witnessed by the sets of formulas in Proposition 10.5.

Lastly, recall that $\text{Spec}(\mathcal{A}) \cong \text{Spec}_\vdash(\mathcal{A})$ for every $\mathcal{A} \in \mathcal{K}_\vdash$, because \vdash is algebraized by \mathcal{K}_\vdash . Therefore, the implication (i) \implies (iii) follows from Theorem 8.6. To prove the converse, suppose that Condition (iii) holds. Then it is easy to check that $\text{Spec}(\mathcal{A}) \models \text{tr}(\Phi_n)$ for every $\mathcal{A} \in \mathcal{K}_\vdash$, where $\text{tr}(\Phi_n)$ is the first-order sentence mentioned in Example 4.13. Consequently, we can apply Corollary 7.17, obtaining that \vdash validates the metarules in $R_\vdash(\Phi_n)$, which means that \vdash has the BTWL $_n$. \dashv

EXAMPLE 10.10. The following conditions are equivalent for an axiomatic extension \vdash of ILL:¹⁸

- (i) The logic \vdash has the EML.

¹⁸For a description of semisimple axiomatic extensions of an expansion of ILL with bounds, see [66, Theorem 3.45].

- (ii) There exist some $k \in \mathbb{Z}^+$ and a function $f: \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$ such that the theorems of \vdash include the formulas

$$\perp^k \rightarrow x, (1 \wedge \neg(x \wedge 1)^m)^{f(m)} \rightarrow \neg(1 \wedge x)^k, \text{ and } (1 \wedge x) \vee (1 \wedge \neg(x \wedge 1)^k),$$

for every $m \in \mathbb{Z}^+$.

- (iii) The logic \vdash is semisimple and has the IL.

In view of Remark 8.2, the logic \vdash has the EML iff it has the IL and validates the metarules in $R_{\vdash}(\Phi)$, where

$$\Phi = x \wedge y \leq z \ \& \ \neg x \wedge y \leq z \implies y \leq z.$$

As observed in the proof of Theorem 10.8, the logic \vdash validates the rules in $R_{\vdash}(\Phi)$ precisely when its theorems contain $(1 \wedge \mathbf{x}^1) \vee (1 \wedge (\neg \mathbf{x})^1)$. Consequently, Condition (i) can be rephrased as the demand that \vdash has the IL and its theorems include the formula $(1 \wedge \mathbf{x}^1) \vee (1 \wedge (\neg \mathbf{x})^1)$.

On the other hand, in view of Proposition 10.5, the demand that the theorems of \vdash contain the first two formulas in Condition (ii) amounts to the assumption that \vdash has the IL. The third formula in Condition (ii) is precisely $(1 \wedge \mathbf{x}^1) \vee (1 \wedge (\neg \mathbf{x})^1)$, whence this condition can be equivalently phrased as the requirement that \vdash has the IL and that $\emptyset \vdash (1 \wedge \mathbf{x}^1) \vee (1 \wedge (\neg \mathbf{x})^1)$. It follows that Conditions (i) and (ii) are equivalent.

Lastly, the equivalence between Conditions (i) and (iii) follows from Theorem 8.4. \dashv

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