

IWASAWA THEORY FOR THE SYMMETRIC SQUARE OF A CM MODULAR FORM AT INERT PRIMES

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Abstract. Let f be a modular form with complex multiplication (CM) and p an odd prime that is inert in the CM field. We construct two p -adic L -functions for the symmetric square of f , one of which has the same interpolating properties as the one constructed by Delbourgo and Dabrowski (A. Dabrowski and D. Delbourgo, *S-adic L-functions attached to the symmetric square of a newform*, *Proc. Lond. Math. Soc.* 74(3) (1997), 559–611), whereas the other one has a similar interpolating properties but corresponds to a different eigenvalue of the Frobenius. The symmetry between these two p -adic L -functions allows us to define the plus and minus p -adic L -functions à la Pollack (R. Pollack, on the p -adic L -function of a modular form at a supersingular prime, *Duke Math. J.* 118(3) (2003), 523–558). We also define the plus and minus p -Selmer groups analogous to the ones defined by Kobayashi (S. Kobayashi, Iwasawa theory for elliptic curves at supersingular primes, *Invent. Math.* 152(1) (2003), 1–36). We explain how to relate these two sets of objects via a main conjecture.

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1. Introduction. Let f be a normalised eigen-newform of weight k , level N and character ϵ . Fix a prime $p \neq 2$ such that $p \nmid N$. In [3] (also in [1] under some additional conditions), even distributions on \mathbb{Z}_p^\times are constructed to interpolate the L -values of the symmetric square of f . More precisely, if the Euler factor of $L(f, s)$ at p is given by $(1 - \alpha_1(p)p^{-s})(1 - \alpha_2(p)p^{-s})$, then there exists an admissible distribution $\mu_{\alpha_i(p)^2}$ for $i = 1, 2$ such that

$$\int_{\mathbb{Z}_p^\times} \theta d\mu_{\alpha_i(p)^2} = \frac{p^{3n(k-1)}}{\alpha_i(p)^{2n}\tau(\theta^{-1})} \times \frac{L(\text{Sym}^2 f, \theta^{-1}, 2k-2)}{(\text{period})} \quad (1)$$

for any non-trivial even Dirichlet character θ of conductor p^n , where $\tau(\theta^{-1})$ denotes the Gauss sum of θ^{-1} .

Since the Euler factor of $L(\text{Sym}^2 f, s)$ at p is $(1 - \alpha_1(p)^2 p^{-s})(1 - \alpha_2(p)^2 p^{-s})(1 - \epsilon(p)p^{k-1-s})$, we expect that there should be a distribution $\mu_{\epsilon(p)p^{k-1}}$ satisfying interpolating properties similar to (1), but with $\alpha_i(p)^2$ replaced by $\epsilon(p)p^{k-1}$. In this paper, we construct such a distribution for the case when f is a modular form with complex multiplication (CM) that is non-ordinary at p . In other words, when the L -function of f coincides with that of a Grossencharacter ϕ defined over K and p inerts in K . More precisely, we prove the following theorem in Section 3 (Theorem 3.20).

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THEOREM 1.1. *If f is as above, then there exist even admissible distributions $\mu_{\pm\epsilon(p)p^{k-1}}$ such that*

$$\int_{\mathbb{Z}_p^\times} \theta d\mu_{\pm\epsilon(p)p^{k-1}} = \frac{p^{3n(k-1)}}{(\pm\epsilon(p)p^{k-1})^n \tau(\theta^{-1})} \times \frac{L(\text{Sym}^2 f, \theta^{-1}, 2k-2)}{(\text{period})}.$$

Note that we have $\alpha_1(p)^2 = \alpha_2(p)^2 = -\epsilon(p)p^{k-1}$ in this case, methods in [3] only produce one distribution, which agrees with $\mu_{-\epsilon(p)p^{k-1}}$ as given by Theorem 1.1.

The idea of the construction is rather simple. Let V_f be the p -adic representation of $G_{\mathbb{Q}}$ associated to f as constructed by Deligne in [4]. In order to prove Theorem 1.1, we make use of the following observation. As $G_{\mathbb{Q}}$ -representations, we have

$$\text{Sym}^2(V_f) \cong V_1 \oplus V_2,$$

where V_1 is an one-dimensional representation associated to some Dirichlet character η twisted by a power of the cyclotomic character and V_2 is a two-dimensional representation associated to the Grossencharacter ϕ^2 . This implies that the L -function of f factorises into

$$L(\text{Sym}^2 f, s) = L(\phi^2, s)L(\eta, s - k + 1).$$

We can therefore make use of the Euler system constructed from elliptic units to interpolate the L -values of ϕ^2 and multiply the resulting distributions with an appropriate twist of the Kubota–Leopoldt p -adic L -function associated to η , which interpolates the L -values of η .

Because of the symmetry between the two distributions, we show that some plus and minus logarithms \log^\pm of the Pollack divide $\mu_{+\epsilon(p)p^{k-1}} \pm \mu_{-\epsilon(p)p^{k-1}}$. This allows us to obtain two bounded measures:

THEOREM 1.2. (Theorem 3.25). *Let θ be an even Dirichlet character of conductor p^n . There exist bounded p -adic measures $\mu^\pm(\text{Sym}^2(V_f))$ such that the followings hold.*

(a) *If n is even, then*

$$\int_{\mathbb{Z}_p^\times} \theta \mu^+(\text{Sym}^2(V_f)) = \frac{(2k-3)!(k-1)!p^{2n(k-1)}}{\theta(\log^+) \tau(\theta^{-1})^2 \epsilon(p)^n} \times \frac{L(\text{Sym}^2 f, \theta^{-1}, 2k-2)}{(\text{period})}.$$

(b) *If n is odd, then*

$$\int_{\mathbb{Z}_p^\times} \theta \mu^-(\text{Sym}^2(V_f)) = \frac{(2k-3)!(k-1)!p^{2n(k-1)}}{\theta(\log^-) \tau(\theta^{-1})^2 \epsilon(p)^n} \times \frac{L(\text{Sym}^2 f, \theta^{-1}, 2k-2)}{(\text{period})}.$$

Moreover, $\mu^\pm(\text{Sym}^2(V_f))$ are uniquely determined by (a) and (b), respectively.

In Section 4, we make use of some of the ideas in [6] to show that these measures can be obtained from some appropriate Coleman maps and define the corresponding plus and minus p -Selmer groups $\text{Sel}_p^\pm(\text{Sym}^2(V_f))$. On identifying the measures as elements in some Iwasawa algebra $\Lambda \otimes \mathbb{Q}$, we show that the following holds under some appropriate conditions (see Theorem 4.8 for a precise statement).

THEOREM 1.3. *The Selmer groups $\text{Sel}_p^\pm(\text{Sym}^2(V_f))$ are Λ -cotorsion and*

$$\text{Char}_{\Lambda \otimes \mathbb{Q}}(\text{Sel}_p^\pm(\text{Sym}^2(V_f))^\vee) = (\mu^\pm(\text{Sym}^2(V_f))).$$

Finally, in the Appendix, we explain how some of the linear algebra results that we use to prove the main theorems can be easily generalised to general symmetric powers $\text{Sym}^m f$ where $m \geq 2$ is an integer.

2. Notation.

2.1. Extensions by p power roots of unity. Throughout this paper, p is an odd prime. If K is a field of characteristic 0, either local or global, G_K denotes its absolute Galois group, χ the p -cyclotomic character on G_K and \mathcal{O}_K the ring of integers of K . We write ι for the complex conjugation in $G_{\mathbb{Q}}$.

For an integer $n \geq 0$, we write K_n for the extension $K(\mu_{p^n})$, where μ_{p^n} is the set of p^n th roots of unity and K_{∞} denotes $\bigcup_{n \geq 1} K_n$. When $K = \mathbb{Q}$, we write $k_n = \mathbb{Q}(\mu_{p^n})$ instead. In particular, we write $\mathbb{Q}_{p,n} = \mathbb{Q}_p(\mu_{p^n})$. Let G_n denotes the Galois group $\text{Gal}(\mathbb{Q}_{p,n}/\mathbb{Q}_p)$ for $0 \leq n \leq \infty$. Then, $G_{\infty} \cong \Delta \times \Gamma$, where $\Delta = G_1$ is a finite group of order $p - 1$ and $\Gamma = \text{Gal}(\mathbb{Q}_{p,\infty}/\mathbb{Q}_{p,1}) \cong \mathbb{Z}_p$. We fix a topological generator γ of Γ .

2.2. Iwasawa algebras and power series. Given a finite extension K of \mathbb{Q}_p , $\Lambda_{\mathcal{O}_K}(G_{\infty})$ (respectively $\Lambda_{\mathcal{O}_K}(\Gamma)$) denotes the Iwasawa algebra of G_{∞} (respectively Γ) over \mathcal{O}_K . We write $\Lambda_K(G_{\infty}) = \Lambda_{\mathcal{O}_K}(G_{\infty}) \otimes K$ and $\Lambda_K(\Gamma) = \Lambda_{\mathcal{O}_K}(\Gamma) \otimes K$. If M is a finitely generated $\Lambda_{\mathcal{O}_K}(\Gamma)$ -torsion (respectively $\Lambda_K(\Gamma)$ -torsion) module, we write $\text{Char}_{\Lambda_{\mathcal{O}_K}(\Gamma)}(M)$ (respectively $\text{Char}_{\Lambda_K(\Gamma)}(M)$) for its characteristic ideal.

Given a module M over $\Lambda_{\mathcal{O}_K}(G_{\infty})$ (respectively $\Lambda_K(G_{\infty})$) and a character $\delta : \Delta \rightarrow \mathbb{Z}_p^{\times}$, M^{δ} denotes the δ -isotypical component of M . For any $m \in M$, we write m^{δ} for the projection of m into M^{δ} . The Pontryagin dual of M is written as M^{\vee} .

Let $r \in \mathbb{R}_{\geq 0}$. We define

$$\mathcal{H}_r = \left\{ \sum_{n \geq 0, \sigma \in \Delta} c_{n,\sigma} \cdot \sigma \cdot X^n \in \mathbb{C}_p[\Delta][[X]] : \sup_n \frac{|c_{n,\sigma}|_p}{n^r} < \infty \forall \sigma \in \Delta \right\},$$

where $|\cdot|_p$ is the p -adic norm on \mathbb{C}_p such that $|p|_p = p^{-1}$. We write $\mathcal{H}_{\infty} = \bigcup_{r \geq 0} \mathcal{H}_r$ and $\mathcal{H}_r(G_{\infty}) = \{f(\gamma - 1) : f \in \mathcal{H}_r\}$ for $r \in \mathbb{R}_{\geq 0} \cup \{\infty\}$. In other words, the elements of \mathcal{H}_r (respectively $\mathcal{H}_r(G_{\infty})$) are the power series in X (respectively $\gamma - 1$) over $\mathbb{C}_p[\Delta]$ with growth rate $O(\log_p^n)$. If $F, G \in \mathcal{H}_{\infty}$ or $\mathcal{H}_{\infty}(G_{\infty})$ are such that $F = O(G)$ and $G = O(F)$, we write $F \sim G$.

Given a subfield K of \mathbb{C}_p , we write $\mathcal{H}_{r,K} = \mathcal{H}_r \cap K[\Delta][[X]]$ and similarly for $\mathcal{H}_{r,K}(G_{\infty})$. In particular, $\mathcal{H}_{0,K}(G_{\infty}) = \Lambda_K(G_{\infty})$.

Let $n \in \mathbb{Z}$. We define the K -linear map Tw_n from $\mathcal{H}_{r,K}(G_{\infty})$ to itself to be the map that sends σ to $\chi(\sigma)^n \sigma$ for all $\sigma \in G_{\infty}$. It is clearly bijective (with inverse Tw_{-n}).

2.3. Crystalline representations. We write \mathbb{B}_{cris} and \mathbb{B}_{dR} for the rings of Fontaine and φ for the Frobenius acting on these rings. Recall that there exists an element $t \in \mathbb{B}_{\text{dR}}$ such that $\varphi(t) = pt$ and $g \cdot t = \chi(g)t$ for $g \in G_{\mathbb{Q}_p}$.

Let V be a p -adic representation of $G_{\mathbb{Q}_p}$. We denote the Dieudonné module by $\mathbb{D}_{\text{cris}}(V) = (\mathbb{B}_{\text{cris}} \otimes V)^{G_{\mathbb{Q}_p}}$. We say that V is crystalline if V has the same \mathbb{Q}_p -dimension

as $\mathbb{D}_{\text{cris}}(V)$. Fix such a V . If $j \in \mathbb{Z}$, $\text{Fil}^j \mathbb{D}_{\text{cris}}(V)$ denotes the j th de Rham filtration of $\mathbb{D}_{\text{cris}}(V)$.

Let T be a lattice of V , which is stable under $G_{\mathbb{Q}_p}$. Let $\mathbb{H}_{\text{Iw}}^1(T)$ denote the inverse limit $\varprojlim H^1(\mathbb{Q}_{p,n}, T)$ with respect to the corestriction and $\mathbb{H}_{\text{Iw}}^1(V) = \mathbb{Q} \otimes \mathbb{H}_{\text{Iw}}^1(T)$. Moreover, if V arises from the restriction of a p -adic representation of $G_{\mathbb{Q}}$ and T is a lattice stable under $G_{\mathbb{Q}}$, we write

$$\mathbb{H}^1(T) = \varprojlim_n H^1(\mathbb{Z}[\mu_{p^n}, 1/p], T) \quad \text{and} \quad \mathbb{H}^1(V) = \mathbb{Q} \otimes \mathbb{H}^1(T).$$

We have localisation maps

$$\text{loc} : \mathbb{H}^1(T) \rightarrow \mathbb{H}_{\text{Iw}}^1(T) \quad \text{and} \quad \text{loc} : \mathbb{H}^1(V) \rightarrow \mathbb{H}_{\text{Iw}}^1(V).$$

If F is a number field, we define the p -Selmer group of T over F to be

$$\text{Sel}_p(T/F) = \ker \left(H^1(F, T \otimes \mathbb{Q}_p/\mathbb{Z}_p) \rightarrow \prod_v \frac{H^1(F_v, T \otimes \mathbb{Q}_p/\mathbb{Z}_p)}{H_f^1(F_v, T \otimes \mathbb{Q}_p/\mathbb{Z}_p)} \right),$$

where v runs through the places of F .

Let $V(j)$ denote the j th Tate twist of V , i.e. $V(j) = V \otimes \mathbb{Q}_p e_j$ where $G_{\mathbb{Q}_p}$ acts on e_j via χ^j . We have

$$\mathbb{D}_{\text{cris}}(V(j)) = t^{-j} \mathbb{D}_{\text{cris}}(V) \otimes e_j.$$

For any $v \in \mathbb{D}_{\text{cris}}(V)$, $v_j = v \otimes t^{-j} e_j$ denotes its image in $\mathbb{D}_{\text{cris}}(V(j))$. We write $\text{Tw}_j : \mathbb{H}_{\text{Iw}}^1(V) \rightarrow \mathbb{H}_{\text{Iw}}^1(V(j))$ for the isomorphism defined in Section A.4 in [9], which depends on a choice of primitive p -power roots of unity.

Finally, we write

$$\exp : \mathbb{Q}_{p,n} \otimes \mathbb{D}_{\text{cris}}(V) \rightarrow H^1(\mathbb{Q}_{p,n}, V) \quad \text{and} \quad \exp^* : H^1(\mathbb{Q}_{p,n}, V) \rightarrow \mathbb{Q}_{p,n} \otimes \text{Fil}^0 \mathbb{D}_{\text{cris}}(V)$$

for Bloch–Kato’s exponential and dual exponential, respectively.

2.4. Imaginary quadratic fields. Let K be an imaginary quadratic field with ring of integers \mathcal{O} and idele class group C_K . We write ε_K for the quadratic character associated to K , i.e. the character on $G_{\mathbb{Q}}$, which sends σ to 1 if $\sigma \in G_K$ and to -1 otherwise.

A Grossencharacter of K is simply a continuous homomorphism $\phi : C_K \rightarrow \mathbb{C}^\times$ with complex L -function

$$L(\phi, s) = \prod_v (1 - \phi(v)N(v)^{-s})^{-1},$$

where the product runs through the finite places v of K at which ϕ is unramified, $\phi(v)$ is the image of the uniformiser of K_v under ϕ and $N(v)$ is the norm of v . Let \mathfrak{f} be the conductor of ϕ . We say that η is of type (m, n) where $m, n \in \mathbb{Z}$ if the restriction of η to the Archimedean part \mathbb{C}^\times of C_K is of the form $z \mapsto z^m \bar{z}^n$.

We write $\mathcal{K} = \cup K(p^n \mathfrak{f})$, where $K(\mathfrak{a})$ denotes the ray class field of K modulo \mathfrak{a} if \mathfrak{a} is an ideal of \mathcal{O} .

If T is a \mathbb{Z}_p -representation of G_K , we write

$$\mathbb{H}_{p^\infty \mathfrak{f}}^1(T) = \varprojlim_{K'} H^1(\mathcal{O}_{K'}[1/p], T) \quad \text{and} \quad \mathbb{H}_{p^\infty \mathfrak{f}}^1(\mathbb{Q} \otimes_{\mathbb{Z}} T) = \mathbb{H}_{p^\infty \mathfrak{f}}^1(T) \otimes_{\mathbb{Z}} \mathbb{Q},$$

where K' ranges over all finite extensions of K contained in $K(p^\infty \mathfrak{f})$.

2.5. Modular forms. Let $f = \sum a_n q^n$ be a normalised eigen-newform of weight $k \geq 2$, level N and character ϵ . We assume that f is a CM modular form, i.e. $L(f, s) = L(\phi, s)$ for some Grossencharacter ϕ of an imaginary quadratic field K with conductor \mathfrak{f} . Then, ϕ is of type $(-k + 1, 0)$. Moreover, p inert in K if and only if f is non-ordinary at p . In this case, a_p is always 0. Throughout, we fix such a p with $p \neq 2$.

The coefficient field F_f of f is contained in the field of definition of ϕ . We write E for the completion of this field at a fixed prime above p .

We write V_f for the two-dimensional E -linear representation of $G_{\mathbb{Q}}$ associated to f from [4], so we have a homomorphism

$$\rho_f : G_{\mathbb{Q}} \rightarrow \text{GL}(V_f).$$

Throughout the paper, we assume that the following hypothesis holds.

HYPOTHESIS 2.1. *If ϵ and K are as above, then $\epsilon_K \neq \epsilon$.*

3. p -adic L -functions.

3.1. Grossencharacters over K . We first review some results on Grossencharacters. Let η be a Grossencharacter on G_K of conductor \mathfrak{f} . We fix a finite extension E of \mathbb{Q}_p such that E contains the image of η . We write $V(\eta)$ for the one-dimensional E -linear representation of G_K associated to η . It is a representation that factors through $\text{Gal}(\mathcal{K}/K)$. For an ideal \mathfrak{a} of \mathcal{O} , which is prime to $p\mathfrak{f}$, the Artin symbol $(\mathfrak{a}, \mathcal{K}/K) \in \text{Gal}(\mathcal{K}/K)$ acts on $V(\eta)$ as the multiplication by $\eta(\mathfrak{a})^{-1}$. We write $\tilde{\eta} : G_K \rightarrow E^\times$ for the corresponding character.

We write $\tilde{V}_\eta = \text{Ind}_K^{\mathbb{Q}}(V(\eta))$. The canonical homomorphism $K \otimes \mathbb{Q}(\zeta_{p^\infty}) \rightarrow K(p^\infty \mathfrak{f})$ induces a map

$$\text{Ind} : \mathbb{H}_{p^\infty \mathfrak{f}}^1(V(\eta)) \rightarrow \mathbb{H}^1(\tilde{V}_\eta).$$

Let γ be a non-zero element of $V(\eta)$. By Section 15.5 in [5], a system of norm compatible elliptic units in $K(p^n \mathfrak{f})$ defines an element $z_{p^\infty \mathfrak{f}} \in \mathbb{H}_{p^\infty \mathfrak{f}}^1(\mathbb{Z}_p(1))$. We write the image of $z_{p^\infty \mathfrak{f}}$ under the composition

$$\mathbb{H}_{p^\infty \mathfrak{f}}^1(\mathbb{Z}_p(1)) \xrightarrow{\gamma} \mathbb{H}_{p^\infty \mathfrak{f}}^1(V(\eta)(1)) \xrightarrow{\text{Ind}} \mathbb{H}^1(\tilde{V}_\eta(1)) \xrightarrow{\text{loc}} \mathbb{H}_{\text{Iw}}^1(\tilde{V}_\eta(1)) \xrightarrow{\text{Tw}_{-1}} \mathbb{H}_{\text{Iw}}^1(\tilde{V}_\eta)$$

as $z_\gamma(\eta) = z(\eta)$ and its projection into $H^1(\mathbb{Q}_{p,n}, \tilde{V}_\eta(j))$ is denoted by $z_{j,n}(\eta)$.

Note that the eigenvalues of ι on \tilde{V}_η are ± 1 , each with multiplicity 1. If $v \in \tilde{V}_\eta$, we write v^\pm for the projection of v into the ± 1 eigenspace.

PROPOSITION 3.1. *Let η be a Grossencharacter over K of type $(-r, 0)$ with $r \geq 1$. Let θ be a character on G_n and write*

$$\begin{aligned} \kappa_\theta : \mathbb{Q}_{p,n} \otimes \text{Fil}^0 \mathbb{D}_{\text{cris}}(\tilde{V}_\eta(1)) &\rightarrow \mathbb{C} \otimes \tilde{V}_\eta(1), \\ x \otimes y &\mapsto \sum_{\sigma \in G_n} \theta(\sigma) \sigma(x) \text{per}(y), \end{aligned}$$

where per is the period map associated to η as defined in Section 15.8 in [5]. Then, we have

$$\kappa_\theta \circ \exp^*(z_{1,n}(\eta)) = L_{(p)}(\bar{\eta}\theta, r) \cdot (\gamma')^\pm,$$

where $\pm = \theta(-1)$ and γ' denotes the image of γ in \tilde{V}_η .

Proof. Section 15.12 in [5]. □

3.2. The symmetric square of a CM modular form. Let f be a modular form as in Section 2.5. By comparing the eigenvalues of Frobenii, we see that the representation V_f is isomorphic to $\tilde{V}_\phi = \text{Ind}_K^{\mathbb{Q}} V(\phi)$. Therefore, V_f admits a basis x, y such that for $\sigma \in G_{\mathbb{Q}}$, the matrix of $\rho_f(\sigma)$ with respect to this basis is given by

$$\rho_f(\sigma) = \begin{pmatrix} \tilde{\phi}(\sigma) & 0 \\ 0 & \tilde{\phi}(\iota\sigma) \end{pmatrix} \tag{2}$$

if $\sigma \in G_K$. Otherwise,

$$\rho_f(\sigma) = \begin{pmatrix} 0 & \tilde{\phi}(\iota\sigma') \\ \tilde{\phi}(\sigma') & 0 \end{pmatrix}, \tag{3}$$

where $\sigma = \iota\sigma'$ with $\sigma' \in G_K$.

LEMMA 3.2. *The determinant of ρ_f is given by*

$$\det(\rho_f)(\sigma) = \begin{cases} \tilde{\phi}(\sigma)\tilde{\phi}(\iota\sigma) & \text{if } \sigma \in G_K \\ -\tilde{\phi}(\sigma')\tilde{\phi}(\iota\sigma') & \text{if } \sigma = \iota\sigma' \text{ where } \sigma' \in G_K. \end{cases}$$

Proof. This is immediate from (2) and (3). □

PROPOSITION 3.3. *As a $G_{\mathbb{Q}}$ -representation, $\text{Sym}^2(V_f)$ decomposes into*

$$\text{Sym}^2(V_f) \cong V_1 \oplus V_2,$$

where $\rho_i : G_{\mathbb{Q}} \rightarrow GL(V_i)$ is an i -dimensional representation of $G_{\mathbb{Q}}$ for $i = 1, 2$. Moreover,

$$\rho_1 \cong \varepsilon_K \cdot \det(\rho_f) = \varepsilon_K \cdot \epsilon \cdot \chi^{k-1}, \tag{4}$$

$$\rho_2 \cong \tilde{V}_{\phi^2}. \tag{5}$$

Proof. It is clear that $x \otimes x, y \otimes y, x \otimes y + y \otimes x$ form a basis of $\text{Sym}^2(V_f)$. By formulae (2) and (3), $\sigma \cdot (x \otimes y + y \otimes x)$ is a multiple of $x \otimes y + y \otimes x$ for any $\sigma \in G_{\mathbb{Q}}$.

Hence, it gives a one-dimensional sub-representation V_1 of $\text{Sym}^2(V_f)$. More explicitly, we have

$$\sigma \cdot (x \otimes y + y \otimes x) = \begin{cases} \tilde{\phi}(\sigma)\tilde{\phi}(\iota\sigma\iota)(x \otimes y + y \otimes x) & \text{if } \sigma \in G_K \\ \tilde{\phi}(\sigma')\tilde{\phi}(\iota\sigma'\iota)(x \otimes y + y \otimes x) & \text{if } \sigma = \iota\sigma' \text{ where } \sigma' \in G_K. \end{cases}$$

Therefore, we deduce (4) from Lemma 3.2.

It is also clear that $x \otimes x, y \otimes y$ form a basis of a two-dimensional representation $\rho_2 : G_{\mathbb{Q}} \rightarrow \text{GL}(V_2)$. With respect to this basis,

$$\rho_2(\sigma) = \begin{pmatrix} \tilde{\phi}^2(\sigma) & 0 \\ 0 & \tilde{\phi}^2(\iota\sigma\iota) \end{pmatrix}$$

if $\sigma \in G_K$. Otherwise if $\sigma = \iota\sigma'$, where $\sigma' \in G_K$, then

$$\rho_2(\sigma) = \begin{pmatrix} 0 & \tilde{\phi}^2(\iota\sigma'\iota) \\ \tilde{\phi}^2(\sigma') & 0 \end{pmatrix}.$$

Therefore, $V_2 \cong \text{Ind}_K^{\mathbb{Q}} V(\phi^2)$ as required. □

COROLLARY 3.4. *The complex L function admits a factorisation*

$$L(\text{Sym}^2 f, s) = L(\phi^2, s)L(\varepsilon_K \cdot \epsilon, s - k + 1).$$

Proof. The L -function of $\text{Sym}^2 f$ only have non-trivial Euler factors at $q \nmid N$. The Euler factors on the two sides of the equation at q agree by Proposition 3.3, so we are done. □

3.3. The symmetric square as a $G_{\mathbb{Q}_p}$ -representation. We study the representation $\text{Sym}^2(V_f)$ restricted to $G_{\mathbb{Q}_p}$. More specifically, we study $\mathbb{D}_{\text{cris}}(\text{Sym}^2 V_f)$.

LEMMA 3.5. *As $G_{\mathbb{Q}_p}$ -representations, both V_1 and V_2 are crystalline.*

Proof. The functor \mathbb{D}_{cris} is compatible with taking direct sums, so we can identify $\mathbb{D}_{\text{cris}}(V_i)$ as a filtered sub- φ -module of $\mathbb{D}_{\text{cris}}(V_f)$ for $i = 1, 2$. That is,

$$\mathbb{D}_{\text{cris}}(\text{Sym}^2(V_f)) \cong \mathbb{D}_{\text{cris}}(V_1) \oplus \mathbb{D}_{\text{cris}}(V_2). \tag{6}$$

Since $\text{Sym}^2(V_f)$ is crystalline, $\mathbb{D}_{\text{cris}}(\text{Sym}^2(V_f))$ is of dimension 3 over E . Hence, $\mathbb{D}_{\text{cris}}(V_i)$ must have dimension i and V_i is crystalline for $i = 1, 2$. □

We now give explicit descriptions of $\mathbb{D}_{\text{cris}}(V_1)$ and $\mathbb{D}_{\text{cris}}(V_2)$.

Recall that $\mathbb{D}_{\text{cris}}(V_f)$ is a two-dimensional E -vector space with Hodge–Tate weights 0 and $1-k$. Moreover, the de Rham filtration is given by

$$\text{Fil}^i \mathbb{D}_{\text{cris}}(V_f) = \begin{cases} E\omega \oplus E\varphi(\omega) & \text{if } i \leq 0 \\ E\omega & \text{if } 1 \leq i \leq k - 1 \\ 0 & \text{if } i \geq k \end{cases} \tag{7}$$

for some $\omega \neq 0$. The action of φ on $\mathbb{D}_{\text{cris}}(V_f)$ satisfies $\varphi^2 = -\epsilon(p)p^{k-1}$. Therefore,

$$\text{Fil}^i \mathbb{D}_{\text{cris}}(\text{Sym}^2(V_f)) = \begin{cases} \mathbb{D}_{\text{cris}}(\text{Sym}^2(V_f)) & \text{if } i \leq 0 \\ E(\omega \otimes \omega) \oplus E(\varphi(\omega) \otimes \omega + \omega \otimes \varphi(\omega)) & \text{if } 1 \leq i \leq k-1 \\ E(\omega \otimes \omega) & \text{if } k \leq i \leq 2k-2 \\ 0 & \text{if } i \geq 2k-1 \end{cases} \tag{8}$$

Since $\varphi^2(\omega) = -\epsilon(p)p^{k-1}\omega$, we have

$$\varphi(\omega \otimes \varphi(\omega) + \varphi(\omega) \otimes \omega) = -\epsilon(p)p^{k-1}(\omega \otimes \varphi(\omega) + \varphi(\omega) \otimes \omega).$$

In particular, $\omega \otimes \varphi(\omega) + \varphi(\omega) \otimes \omega$ is an eigenvector of φ . Therefore, we have a decomposition of filtered φ -modules

$$\mathbb{D}_{\text{cris}}(\text{Sym}^2(V_f)) = (E(\omega \otimes \omega) \oplus E(\varphi(\omega) \otimes \varphi(\omega))) \oplus (E(\omega \otimes \varphi(\omega) + \varphi(\omega) \otimes \omega)).$$

PROPOSITION 3.6. *As filtered φ -modules, we have*

$$\begin{aligned} \mathbb{D}_{\text{cris}}(V_1) &= E(\varphi(\omega) \otimes \omega + \omega \otimes \varphi(\omega)), \\ \mathbb{D}_{\text{cris}}(V_2) &= E(\omega \otimes \omega) \oplus E(\varphi(\omega) \otimes \varphi(\omega)). \end{aligned}$$

Proof. By (4), $\rho_1 = \epsilon_K \cdot \epsilon \cdot \chi^{k-1}$. Since p is inert in K , $\epsilon_K(p) = -1$. The Hodge–Tate weight of V_1 is therefore $1 - k$ and φ acts on $\mathbb{D}_{\text{cris}}(V_1)$ as multiplication by $-\epsilon(p)p^{k-1}$. This proves the first equality. The second equality is then automatic by (6). \square

REMARK 3.7. Such a decomposition of $G_{\mathbb{Q}_p}$ -representations is in fact possible for f without CM (see Section 2.2 in [10]).

COROLLARY 3.8. *The eigenvalues of φ on $\mathbb{D}_{\text{cris}}(V_2)$ are $\pm\epsilon(p)p^{k-1}$.*

Proof. By Proposition 3.6, the matrix of φ with respect to the basis $\omega \otimes \omega, \varphi(\omega) \otimes \varphi(\omega)$ is

$$\begin{pmatrix} 0 & \epsilon(p)^2 p^{2k-2} \\ 1 & 0 \end{pmatrix},$$

hence the result. \square

COROLLARY 3.9. *The Hodge–Tate weights of V_2 are 0 and $2-2k$.*

Proof. This follows from (8) and Proposition 3.6. \square

3.4. The Perrin–Riou pairing. By Corollary 3.8, the slope of φ on $\mathbb{D}_{\text{cris}}(V_2)$ is $k-1$. Hence, by Corollary 3.9, given any $v \in \mathbb{D}_{\text{cris}}(V_2)$, we have the Perrin–Riou pairing

$$\mathcal{L}_v : \mathbb{H}_{1w}^1(V_2^*) \rightarrow \mathcal{H}_{k-1,E}(G_\infty),$$

which satisfies the following properties.

PROPOSITION 3.10. *For an integer $r \geq 0$, we have*

$$\chi^r(\mathcal{L}_v(\mathbf{z})) = r! \left[\left(1 - \frac{\varphi^{-1}}{p} \right) (1 - \varphi)^{-1}(v_{r+1}), \exp^*(z_{-r,0}) \right]_0.$$

Let θ be a character of G_n which does not factor through G_{n-1} with $n \geq 1$, then

$$\chi^{r\theta}(\mathcal{L}_v(\mathbf{z})) = \frac{r!}{\tau(\theta^{-1})} \sum_{\sigma \in G_n} \theta^{-1}(\sigma) [\varphi^{-n}(v_{r+1}), \exp^*(z_{-r,n}^\sigma)]_n,$$

where $[\cdot, \cdot]_n$ is the pairing

$$[\cdot, \cdot]_n : H^1(\mathbb{Q}_{p,n}, V_2(r+1)) \times H^1(\mathbb{Q}_{p,n}, V_2^*(-r)) \rightarrow H^2(\mathbb{Q}_{p,n}, E(1)) \cong E,$$

$z_{-r,n}$ denotes the projection of $\text{Tw}_{-r}(z)$ into $H^1(\mathbb{Q}_{p,n}, V_2^*(-r))$ and $\tau(\theta^{-1})$ denotes the Gauss sum of θ^{-1} .

Proof. See Section 3.2 in [6]. □

REMARK 3.11. The assumption on the eigenvalues of φ made in [6] are not necessary for our purposes here because the Perrin–Riou pairings can be defined by applying $1 - \varphi$ to the (φ, G_∞) -module of V_2^* (see [7] and Section 16.4 in [5]).

We fix a non-zero element $\bar{\omega} \in \text{Fil}^{-1}\mathbb{D}_{\text{cris}}(V_2^*(1))$ and write

$$\text{per}(\bar{\omega}) = \Omega_+(\gamma')^+ + \Omega_-(\gamma')^-,$$

where $\Omega_\pm \in \mathbb{C}^\times$ and γ' is as given in the statement of Proposition 3.1 for some fixed γ .

DEFINITION 3.12. Under the choices made above, we define $v^\pm \in \mathbb{D}_{\text{cris}}(V_2)$ by

$$v^\pm = \frac{1}{[\varphi(\omega) \otimes \varphi(\omega), \bar{\omega}]} (\pm \epsilon(p)p^{k-1}\omega \otimes \omega + \varphi(\omega) \otimes \varphi(\omega)).$$

LEMMA 3.13. *The elements v^\pm satisfy:*

- (a) *Both v^\pm are eigenvalues of φ with $\varphi(v^\pm) = \pm \epsilon(p)p^{k-1}v^\pm$.*
- (b) *For any $x \in \text{Fil}^0\mathbb{D}_{\text{cris}}(V_2^*(-r))$ and an integer r such that $0 \leq r \leq 2k - 3$, we have*

$$[v_{r+1}^+, x] = [v_{r+1}^-, x],$$

where $[\cdot, \cdot]$ denotes the pairing

$$[\cdot, \cdot] : \mathbb{D}_{\text{cris}}(V_2(r+1)) \times \mathbb{D}_{\text{cris}}(V_2^*(-r)) \rightarrow \mathbb{D}_{\text{cris}}(E(1)) = E \cdot t^{-1}e_1.$$

Proof. (a) is easy to check using the matrix given in the proof of Corollary 3.8 (or by direct calculations).

By Corollary 3.9, the Hodge–Tate weights of V_2^* are 0 and $2k-2$. Hence, $\text{Fil}^0\mathbb{D}_{\text{cris}}(V_2^*(-r))$ is one-dimensional with basis $\bar{\omega}_{-r-1}$ for $0 \leq r \leq 2k - 3$. Since $(\omega \otimes \omega)_{r+1} \in \text{Fil}^0\mathbb{D}_{\text{cris}}(V_2(r+1))$, we have $[(\omega \otimes \omega)_{r+1}, \bar{\omega}_{-r-1}] = 0$. Hence,

$$[v_{r+1}^+, \bar{\omega}_{-r-1}] = [v_{r+1}^-, \bar{\omega}_{-r-1}] = 1,$$

which implies (b). □

Note that $V_2^* \cong \tilde{V}_{\bar{\phi}^2}(2k - 2)$. This enables us to make the following definition of p -adic L -functions associated to ϕ^2 .

DEFINITION 3.14. On taking $\eta = \bar{\phi}^2$ in Section 3.1, we define

$$L_{\pm\epsilon(p)p^{k-1}}(\phi^2) = \mathcal{L}_{v^\pm}(\text{Tw}_{2k-2}(z(\bar{\phi}^2))) \in \mathcal{H}_{k-1,E}(G_\infty).$$

LEMMA 3.15. Let θ be a character of G_n which does not factor through G_{n-1} with $n \geq 1$ and write $\delta = \theta(-1)$, then

$$\chi^{2k-3}\theta(L_\alpha(\phi^2)) = \frac{(2k - 3)!p^{(2k-2)n}}{\tau(\theta^{-1})\alpha^n} \times \frac{L(\phi^2\theta^{-1}, 2k - 2)}{\Omega_\delta},$$

where $\alpha = \pm\epsilon(p)p^{k-1}$.

Proof. We have

$$\begin{aligned} &\chi^{2k-3}\theta(L_{\pm\epsilon(p)p^{k-1}}(\phi^2)) \\ &= \chi^{2k-3}\theta(\mathcal{L}_{v^\pm}(\text{Tw}_{2k-2}(z(\bar{\phi}^2)))) \\ &= \frac{(2k - 3)!}{\tau(\theta^{-1})} \sum_{\sigma \in G_n} \theta^{-1}(\sigma)[\varphi^{-n}(v_{2k-2}^\pm), \exp^*(z_{1,n}(\bar{\phi}^2)^\sigma)]_n \\ &= \frac{(2k - 3)!}{\tau(\theta^{-1})} \left[(\pm\epsilon(p)p^{k-1} \times p^{-2k+2})^{-n} v_{2k-2}^\pm, \sum_{\sigma \in G_n} \theta^{-1}(\sigma) \exp^*(z_{1,n}(\bar{\phi}^2)^\sigma) \right]_n \\ &= \frac{(2k - 3)!p^{(2k-2)n}}{\tau(\theta^{-1})(\pm\epsilon(p)p^{k-1})^n} \times \frac{L(\phi^2\theta^{-1}, 2k - 2)}{\Omega_\delta}, \end{aligned}$$

where the second equality follows from Proposition 3.10, the third follows from Lemma 3.13(a) and the last equality is a consequence of Proposition 3.1 and the fact that p divides the conductor of θ . □

LEMMA 3.16. We have

$$\chi^{2k-3}(L_{\pm\epsilon(p)p^{k-1}}(\phi^2)) = (1 - p^{-1} + (1 - \epsilon(p)^{-2}p^{2k-3})(\pm\epsilon(p)p^{1-k})) \times \frac{L(\phi^2, 2k - 2)}{\Omega_+}.$$

Proof. Since $\varphi^2 = \epsilon(p)^2p^{2-2k}$ on $\mathbb{D}_{\text{cris}}(V_2(2k - 2))$, we have

$$\begin{aligned} &\left(1 - \frac{\varphi^{-1}}{p}\right) (1 - \varphi)^{-1} \\ &= (1 - \epsilon(p)^{-2}p^{2k-3}\varphi) \frac{1 + \varphi}{1 - \epsilon(p)^2p^{2-2k}} \\ &= \frac{1 - p^{-1} + (1 - \epsilon(p)^{-2}p^{2k-3})\varphi}{1 - \epsilon(p)^2p^{2-2k}}. \end{aligned}$$

Therefore, similar to the proof of Lemma 3.15, we have

$$\begin{aligned} &\chi^{2k-3}(L_{\pm\epsilon(p)p^{k-1}}(\phi^2)) \\ &= \chi^{2k-3}(\mathcal{L}_{v^\pm}(\text{Tw}_{2k-2}(z(\bar{\phi}^2)))) \\ &= (2k-3)! \left[\frac{1-p^{-1} + (1-\epsilon(p)^{-2}p^{2k-3})\varphi}{1-\epsilon(p)^2p^{2-2k}}(v_{2k-2}^\pm, \exp^*(z_{1,0}(\bar{\phi}^2))) \right]_0 \\ &= (2k-3)! \left[\frac{1-p^{-1} + (1-\epsilon(p)^{-2}p^{2k-3})(\pm\epsilon(p)p^{1-k})}{1-\epsilon(p)^2p^{2-2k}} \cdot v_{2k-2}^\pm, \exp^*(z_{1,0}(\bar{\phi}^2)) \right]_0 \\ &= \frac{1-p^{-1} + (1-\epsilon(p)^{-2}p^{2k-3})(\pm\epsilon(p)p^{1-k})}{1-\epsilon(p)^2p^{2-2k}} \times \frac{L_{\{p\}}(\phi^2, 2k-2)}{\Omega_+} \\ &= (1-p^{-1} + (1-\epsilon(p)^{-2}p^{2k-3})(\pm\epsilon(p)p^{1-k})) \times \frac{L(\phi^2, 2k-2)}{\Omega_+}. \end{aligned}$$

□

REMARK 3.17. Consider the p -adic L -function $L_{+\epsilon(p)p^{k-1}}(\phi^2)$. The first factor on the right-hand side of the equation in the statement of Lemma 3.16 vanishes if and only if $k = 2$ and $\epsilon(p) = 1$ (e.g. when f corresponds to an elliptic curve over \mathbb{Q}). This recovers the trivial zero result in [10].

3.5. p -adic L -functions of the symmetric square. Let us first recall the following result of Kubota and Leopoldt.

THEOREM 3.18. *If η is a non-trivial Dirichlet character of conductor prime to p , there exists a bounded p -adic measure $L_p(\eta) \in \mathcal{H}_{0,E}(G_\infty)$, where E is some finite extension of \mathbb{Q}_p which contains the image of η such that*

$$\begin{aligned} \chi^r \theta(L_p(\eta)) &= \frac{(r+1)!p^{r(r+1)}}{(2\pi i)^{r+1} \tau(\theta^{-1})} \times L(\eta\theta^{-1}, r+1); \\ \chi^r(L_p(\eta)) &= \frac{(r+1)!}{(2\pi i)^{r+1}} L(\eta, r+1) \end{aligned}$$

for any integer $r \geq 0$ and the Dirichlet character θ of conductor p^n such that $\chi^{r+1}\theta(-1) = \eta(-1)$.

Since we assume that Hypothesis 2.1 holds, we may take $\eta = \epsilon_K \cdot \epsilon$ in Theorem 3.18. This enables us to give the following definition.

DEFINITION 3.19. For $\alpha = \pm\epsilon(p)p^{k-1}$ we define

$$L_\alpha(\text{Sym}^2(V_f)) = L_\alpha(\phi^2) \times \text{Tw}_{-k+1}(L_p(\epsilon_K \cdot \epsilon)).$$

For the rest of this section, unless otherwise stated, θ denotes an even character on G_n , which does not factor through G_{n-1} with $n \geq 1$.

THEOREM 3.20. *Both $L_{\pm\epsilon(p)p^{k-1}}(\text{Sym}^2(V_f))$ lie inside $\mathcal{H}_{k-1,E}(G_\infty)$ and admit the following interpolating properties:*

$$\begin{aligned} \chi^{2k-3}\theta(L_\alpha(\text{Sym}^2(V_f))) &= \frac{(2k-3)!(k-1)!p^{3n(k-1)}}{\tau(\theta^{-1})^2\alpha^n} \times \frac{L(\text{Sym}^2f, \theta^{-1}, 2k-2)}{(2\pi i)^{k-1}\Omega_+}; \\ \chi^{2k-3}(L_\alpha(\text{Sym}^2(V_f))) &= (2k-3)!(k-1)! \left(1 - \frac{1}{p} + \alpha \left(p^{-2k+2} - \frac{1}{p\epsilon(p)^2} \right) \right) \\ &\quad \times \frac{L(\text{Sym}^2f, 2k-2)}{(2\pi i)^{k-1}\Omega_+}, \end{aligned}$$

where $\alpha = \pm\epsilon(p)p^{k-1}$.

Proof. By definition, $L_\alpha(\phi^2) \in \mathcal{H}_{k-1,E}(G_\infty)$ and $L_p(\epsilon_K \cdot \epsilon) \in \mathcal{H}_{0,E}(G_\infty)$, which implies the first part of the theorem.

Since $\det(V_f) = \epsilon\chi^{k-1}$ and ρ_f is odd, we have $\epsilon\chi^{k-1}(-1) = -1$. But $\epsilon_K(-1) = -1$ and $\theta(-1) = 1$, so $\chi^{k-1}\theta(-1) = \epsilon_K\epsilon(-1)$ and we can apply Theorem 3.18 and Lemma 3.15 as follows:

$$\begin{aligned} \chi^{2k-3}\theta(L_\alpha(\text{Sym}^2(V_f))) &= \chi^{2k-3}\theta(L_\alpha(\phi^2)) \times \chi^{k-2}\theta(L_p(\epsilon_K \cdot \epsilon)) \\ &= \frac{(2k-3)!p^{(2k-2)n}}{\tau(\theta^{-1})\alpha^n} \times \frac{L(\phi^2\theta, 2k-2)}{\Omega_+} \times \frac{(k-1)!p^{n(k-1)}}{(2\pi i)^{k-1}\tau(\theta^{-1})} \times L(\epsilon_K \cdot \epsilon \cdot \theta^{-1}, k-1) \\ &= \frac{(2k-3)!(k-1)!p^{3n(k-1)}}{\tau(\theta^{-1})^2\alpha^n} \times \frac{L(\text{Sym}^2f, \theta^{-1}, 2k-2)}{(2\pi i)^{k-1}\Omega_+}, \end{aligned}$$

where the last equality follows from Corollary 3.4. This gives the first interpolating formula and the second one can be deduced in the same way. □

LEMMA 3.21. *Let η be an even character on Δ , then $L_{\pm\epsilon(p)p^{k-1}}^\eta(\text{Sym}^2(V_f)) \neq 0$.*

Proof. We have $L(\text{Sym}^2(V_f), \eta, 2k-2) \neq 0$ because the critical strip of $\text{Sym}^2(V_f)$ is $k-1 < \text{Re}(s) < k$. Therefore, we are done by the interpolating properties given by Theorem 3.20. □

3.6. Pollack’s plus and minus splittings. As in [11], we define

$$\begin{aligned} \log^+(\gamma) &= \prod_{r=0}^{2k-3} \prod_{n=1}^\infty \frac{\Phi_{2n}(\chi(\gamma)^{-r}\gamma)}{p}, \\ \log^-(\gamma) &= \prod_{r=0}^{2k-3} \prod_{n=1}^\infty \frac{\Phi_{2n-1}(\chi(\gamma)^{-r}\gamma)}{p}, \end{aligned}$$

where Φ_m denotes the p^m th cyclotomic polynomial. Then, $\log^\pm(\gamma) \sim \log^{k-1}$.

LEMMA 3.22. *For an integer r such that $0 \leq r \leq 2k-3$ and a character θ of G_n which does not factor through G_{n-1} with $n \geq 1$,*

$$\chi^r\theta(L_{+\epsilon(p)p^{k-1}}(\phi^2)) = (-1)^n\chi^r\theta(L_{-\epsilon(p)p^{k-1}}(\phi^2)).$$

Proof. This follows from the same calculations as in the proof of Lemma 3.15, thanks to Lemma 3.13(b). □

COROLLARY 3.23. *We have divisibilities*

$$\begin{aligned} \log^+(\gamma) &| L_{+\epsilon(p)p^{k-1}}(\phi^2) + L_{-\epsilon(p)p^{k-1}}(\phi^2); \\ \log^-(\gamma) &| L_{+\epsilon(p)p^{k-1}}(\phi^2) - L_{-\epsilon(p)p^{k-1}}(\phi^2). \end{aligned}$$

Similarly,

$$\begin{aligned} \log^+(\gamma) &| L_{+\epsilon(p)p^{k-1}}(\text{Sym}^2(V_f)) + L_{-\epsilon(p)p^{k-1}}(\text{Sym}^2(V_f)); \\ \log^-(\gamma) &| L_{+\epsilon(p)p^{k-1}}(\text{Sym}^2(V_f)) - L_{-\epsilon(p)p^{k-1}}(\text{Sym}^2(V_f)). \end{aligned}$$

Proof. The first set of divisibilities follows from Lemma 3.22. The second set is then immediate by definition. □

This allows us to define the following.

DEFINITION 3.24. We define the plus and minus p -adic L -functions for $\text{Sym}^2(V_f)$ by

$$\begin{aligned} L_p^+(\text{Sym}^2(V_f)) &= (L_{+\epsilon(p)p^{k-1}}(\text{Sym}^2(V_f)) + L_{-\epsilon(p)p^{k-1}}(\text{Sym}^2(V_f)))/2 \log^+(\gamma); \\ L_p^-(\text{Sym}^2(V_f)) &= (L_{+\epsilon(p)p^{k-1}}(\text{Sym}^2(V_f)) - L_{-\epsilon(p)p^{k-1}}(\text{Sym}^2(V_f)))/2 \log^-(\gamma). \end{aligned}$$

Similarly, we define the plus and minus p -adic L -functions for V_2 by

$$\begin{aligned} L_p^+(\phi^2) &= (L_{+\epsilon(p)p^{k-1}}(\phi^2) + L_{-\epsilon(p)p^{k-1}}(\phi^2))/2 \log^+(\gamma); \\ L_p^-(\phi^2) &= (L_{+\epsilon(p)p^{k-1}}(\phi^2) - L_{-\epsilon(p)p^{k-1}}(\phi^2))/2 \log^-(\gamma). \end{aligned}$$

It is immediate that

$$L_p^\pm(\text{Sym}^2(V_f)) = L_p^\pm(\phi^2) \times \text{Tw}_{-k+1}(L_p(\epsilon_K \cdot \epsilon)). \tag{9}$$

THEOREM 3.25. *Both $L_p^\pm(\text{Sym}^2(V_f))$ are elements of $\Lambda_E(G_\infty)$ and admit the following interpolating properties:*

(a) *If n is even, then*

$$\begin{aligned} \chi^{2k-3}\theta(L_p^+(\text{Sym}^2(V_f))) &= \frac{(2k-3)!(k-1)!p^{2n(k-1)}}{\log^+(\chi^{2k-3}\theta(\gamma))\tau(\theta^{-1})^2\epsilon(p)^n} \times \frac{L(\text{Sym}^2f, \theta^{-1}, 2k-2)}{(2\pi i)^{k-1}\Omega_+}, \\ \chi^{2k-3}(L_p^+(\text{Sym}^2(V_f))) &= \frac{(2k-3)!(k-1)!(1-p^{-1})}{\log^+(\chi^{2k-3}(\gamma))} \times \frac{L(\text{Sym}^2f, 2k-2)}{(2\pi i)^{k-1}\Omega_+}. \end{aligned}$$

(b) *If n is odd, then*

$$\begin{aligned} \chi^{2k-3}\theta(L_p^-(\text{Sym}^2(V_f))) &= \frac{(2k-3)!(k-1)!p^{2n(k-1)}}{\log^-(\chi^{2k-3}\theta(\gamma))\tau(\theta^{-1})^2\epsilon(p)^n} \times \frac{L(\text{Sym}^2f, \theta^{-1}, 2k-2)}{(2\pi i)^{k-1}\Omega_+}, \\ \chi^{2k-3}(L_p^-(\text{Sym}^2(V_f))) &= \frac{(2k-3)!(k-1)!(\epsilon(p)p^{-k+1} - \epsilon(p)^{-1}p^{k-2})}{\log^-(\chi^{2k-3}(\gamma))} \\ &\quad \times \frac{L(\text{Sym}^2f, 2k-2)}{(2\pi i)^{k-1}\Omega_+}. \end{aligned}$$

Moreover, $L_p^\pm(\text{Sym}^2(V_f))$ are uniquely determined by (a) and (b), respectively.

Proof. By the first part of Theorem 3.20, $L_{\pm\epsilon(p)p^{k-1}}(\text{Sym}^2(V_f))$ are both elements of $\mathcal{H}_{k-1,E}(G_\infty)$. But $\log^\pm(\gamma) \sim \log^{k-1}$, so the quotients above are in $\mathcal{H}_{0,E}(G_\infty) = \Lambda_E(G_\infty)$.

The interpolating formulae in (a) and (b) follow from those given in Theorem 3.20.

Finally, since $L_p^\pm(\text{Sym}^2(V_f)) \in \Lambda_E(G_\infty)$, they are uniquely determined by their values at an infinite number of characters, hence the last part of the theorem. \square

LEMMA 3.26. *Let η be an even character on Δ , then $L_p^{\pm,\eta}(\text{Sym}^2(V_f)) \neq 0$.*

Proof. The same as the proof of Lemma 3.21. \square

REMARK 3.27. Analogues of Theorem 3.25 and Lemma 3.26 for $L_p^\pm(\phi^2)$ can be deduced in the same way.

REMARK 3.28. A conjectural generalisation of Pollack’s plus and minus splittings of p -adic L -functions for motives has been formulated in [2]. Theorem 3.25 gives an affirmative answer to Conjecture 2 (op. cit.) for the special case when the motive corresponds to the symmetric square of a CM modular form.

4. Selmer groups. In this section, we define the plus and minus p -Selmer groups for $\text{Sym}^2(V_f)$ and relate these to the p -adic L -functions $L_p^\pm(\text{Sym}^2(V_f))$ defined above. By the decomposition given by Proposition 3.3, we only need to define their counterparts for $V_2 = \tilde{V}_{\phi^2}$ because the Selmer group of V_1 is relatively well understood. The $G_{\mathbb{Q}}$ -representation V_2 behaves in exactly the same way as $V_{f'}$, where f' is some CM modular form of weight $2k-1$, so many of the results on V_2 below can be proved using the arguments given in [6]. Therefore, we only outline the proofs without giving all the details here.

4.1. Coleman maps and Selmer groups. As in [6, 7], we define plus and minus Selmer groups using the kernels of some Coleman maps.

PROPOSITION 4.1. *If $z \in \mathbb{H}_{I_w}^1(V_2^*)$, then*

$$\begin{aligned} \log^+(\gamma) &| \mathcal{L}_{\varphi(\omega) \otimes \bar{\varphi}(\omega)}(z), \\ \log^-(\gamma) &| \mathcal{L}_{\omega \otimes \omega}(z). \end{aligned}$$

Proof. As in Proposition 3.14 in [6], this can be proved using Proposition 3.10. \square

Therefore, as in [6], we may define $\Lambda_E(G_\infty)$ homomorphisms

$$\begin{aligned} \text{Col}^+ : \mathbb{H}_{\text{Iw}}^1(V_2^*) &\rightarrow \Lambda_E(G_\infty) \\ z &\mapsto \frac{1}{2[\varphi(\omega) \otimes \varphi(\omega), \bar{\omega}] \log^+(\gamma)} \mathcal{L}_{\varphi(\omega) \otimes \varphi(\omega)}(z); \\ \text{Col}^- : \mathbb{H}_{\text{Iw}}^1(V_2^*) &\rightarrow \Lambda_E(G_\infty) \\ z &\mapsto \frac{1}{2[\varphi(\omega) \otimes \varphi(\omega), \bar{\omega}] \log^-(\gamma)} \mathcal{L}_{\omega \otimes \omega}(z). \end{aligned}$$

Then, it is clear by definition that $\text{Col}^\pm(\text{Tw}_{2k-2}(z(\bar{\phi}^2))) = L_p^\pm(\phi^2)$.

We now fix an \mathcal{O}_E -lattice T of $V(\phi)$, which is stable under $G_\mathbb{Q}$, it then gives rise to natural \mathcal{O}_E -lattices $T_f = \text{Ind}_K^\mathbb{Q}(T)$ and $\text{Sym}^2 T_f$ in $V_f = \tilde{V}_\phi$ and $\text{Sym}^2(V_f)$, respectively, both of which are again stable under $G_\mathbb{Q}$. As $p \neq 2$, we have

$$\text{Sym}^2 T_f \cong T_1 \oplus T_2 \quad \text{and} \quad \text{Sym}^2 V_f / T_f \cong V_1 / T_1 \oplus V_2 / T_2$$

for some \mathcal{O}_E -lattice T_i inside V_i for $i = 1, 2$.

Write $H_\pm^1(\mathbb{Q}_{p,n}, T_2^*)$ for the projection of $\ker(\text{Col}^\pm)$ into $H^1(\mathbb{Q}_{p,n}, T_2^*)$ and define $H^1(\mathbb{Q}_{p,n}, V_2 / T_2(1))^\pm$ to be the exact annihilator of $H_\pm^1(\mathbb{Q}_{p,n}, T_2^*)$ under the Pontryagin duality

$$H^1(\mathbb{Q}_{p,n}, T_2^*) \times H^1(\mathbb{Q}_{p,n}, V_2 / T_2(1)) \rightarrow \mathbb{Q}_p / \mathbb{Z}_p.$$

Let F be a number field. Then the p -Selmer group of $\text{Sym}^2 T_f(1)$ decomposes into those of $T_1(1)$ and $T_2(1)$:

$$\text{Sel}_p(\text{Sym}^2 T_f(1)/F) = \text{Sel}_p(T_1(1)/F) \oplus \text{Sel}_p(T_2(1)/F).$$

We define the plus/minus Selmer groups over $k_n = \mathbb{Q}(\mu_{p^n})$ by

$$\text{Sel}_p^\pm(T_2(1)/k_n) = \ker \left(\text{Sel}_p(T_2(1)/k_n) \rightarrow \frac{H^1(\mathbb{Q}_{p,n}, V_2 / T_2(1))}{H_f^1(\mathbb{Q}_{p,n}, V_2 / T_2(1))^\pm} \right),$$

$$\text{Sel}_p^\pm(\text{Sym}^2 T_f(1)/k_n) = \text{Sel}_p(T_1(1)/k_n) \oplus \text{Sel}_p^\pm(T_2(1)/k_n),$$

and let

$$\begin{aligned} \text{Sel}_p^\pm(T_2(1)/k_\infty) &= \varinjlim \text{Sel}_p^\pm(T_2(1)/k_n) \quad \text{and} \quad \text{Sel}_p^\pm(\text{Sym}^2 T_f(1)/k_\infty) \\ &= \varinjlim \text{Sel}_p^\pm(\text{Sym}^2 T_f(1)/k_n). \end{aligned}$$

4.2. Description of the kernels. In this section we give a more explicit description of the groups $H_f^1(\mathbb{Q}_{p,n}, V_2 / T_2(1))^\pm$ under the following additional assumption.

HYPOTHESIS 4.2. *Either $p - 1 \nmid k - 1$ or $\epsilon \neq 1$.*

In Section in [6], one of the key ingredients to give an explicit description of $H_f^1(\mathbb{Q}_{p,n}, V_f / T_f(1))^\pm$ is the fact that $(V_f / T_f(j))^{G_{\mathbb{Q}_{p,n}}} = 0$ under some appropriate assumptions. We show below that we get an analogue of such description under Hypothesis 4.2.

LEMMA 4.3. *If Hypothesis 4.2 holds, then $(V_2/T_2(j))^{G_{\mathbb{Q}_p,n}} = 0$ for all $j \in \mathbb{Z}$ and $n \in \mathbb{Z}_{\geq 0}$.*

Proof. Let $q \nmid N$ be a prime which is inert in K . Then, by the second half of the proof of Proposition 3.3, we see that the eigenvalues of the q -Frobenius on $V_2(j)$ are $\pm\epsilon(q)\chi^j(q)q^{k-1}$. Therefore, as in proof of Lemma 4.4 in [6], it is enough to show that there exists some q such that

$$\pm\epsilon(q)\chi^j(q)q^{k-1} \not\equiv 1 \pmod p.$$

If either $p - 1 \nmid k - 1$ or $\epsilon(q) \neq 1$, we can find such a q by Dirichlet’s theorem, so we are done. □

COROLLARY 4.4. *If Hypothesis 4.2 holds, then the restriction map $H^1(\mathbb{Q}_{p,m}, T_2(1)) \rightarrow H^1(\mathbb{Q}_{p,n}, T_2(1))$ is injective for any integers $n \geq m \geq 0$. On identifying the former as a subgroup of the latter, we have*

$$H_f^1(\mathbb{Q}_{p,n}, V_2/T_2(1))^\pm = H_f^1(\mathbb{Q}_{p,n}, T_2(1))^\pm \otimes E/\mathcal{O}_E.$$

Here

$$H_f^1(\mathbb{Q}_{p,n}, T_2(1))^\pm = \{x \in H_f^1(\mathbb{Q}_{p,n}, T_2(1)) : \text{cor}_{n/m+1}(x) \in H_f^1(\mathbb{Q}_{p,m}, T_2(1)) \forall m \in S_n^\pm\},$$

where cor denotes the corestriction map and

$$S_n^+ = \{m \in [0, n - 1] : m \text{ even}\},$$

$$S_n^- = \{m \in [0, n - 1] : m \text{ odd}\}.$$

Proof. These can be proved in exactly the same way as their counterparts in Section 4 in [6] using Lemma 4.3. □

4.3. Main conjectures.

THEOREM 4.5. *Let θ be a character on Δ and $r \geq 0$ an integer such that $\chi^{r+1}\theta(-1) = \eta(-1)$. Then $\text{Sel}_p(\mathbb{Z}_p(\eta)(r + 1))^\theta$ is $\Lambda_E(\Gamma)$ -cotorsion and*

$$\text{Char}_{\Lambda_E(\Gamma)}(\text{Sel}_p(\mathbb{Z}_p(\eta)(r + 1))^{v,\theta}) = (\text{Tw}_{-r}L_p^\theta(\eta)).$$

Proof. For any $\Lambda_E(G_\infty)$ -module, $M^\vee(r) = M(-r)^\vee$. If M is a $\Lambda_E(\Gamma)$ torsion module, we have $\text{Char}(M(r)) = \text{Tw}_r(\text{Char}(M))$. Therefore, the result is just a rewrite of the Iwasawa main conjecture, as proved by Mazur and Wiles [8]. □

COROLLARY 4.6. *Let η be an even character on Δ . Then*

$$\text{Char}_{\Lambda_E(\Gamma)}(\text{Sel}_p(T_1(1)/k_\infty)^{v,\eta}) = (\text{Tw}_{-k+1}L_p^\eta(\epsilon_K \cdot \epsilon)).$$

Proof. We may apply Theorem 4.5 to $\epsilon_K \cdot \epsilon$ with $r = k - 1$. □

PROPOSITION 4.7. *Let $\delta = \pm$ and let η be a character on Δ such that $\eta = 1$ if $\delta = -$. Then, $\text{Sel}_p^\delta(T_2(1)/k_\infty)^\theta$ is $\Lambda_E(\Gamma)$ cotorsion and*

$$\text{Char}_{\Lambda_E(\Gamma)}(\text{Sel}_p^\delta(T_2(1)/k_\infty)^{v,\eta}) = (L_p^{\delta,\eta}(\phi^2)).$$

Proof. This follows from the same argument as in [12], which has been generalised for CM modular forms in Section 7 in [6]. It relies on the main conjecture for K as proved in [13]. □

THEOREM 4.8. *Let η be a character on Δ as in the statement of Proposition 4.7. Then $\text{Sel}_p^\pm(\text{Sym}^2(V_f)/k_\infty)^\eta$ is $\Lambda_E(\Gamma)$ cotorsion and*

$$\text{Char}_{\Lambda_E(\Gamma)}(\text{Sel}_p^\pm(\text{Sym}^2(V_f)/k_\infty)^{\vee,\eta}) = (L_p^{\pm,\eta}(\text{Sym}^2(V_f))).$$

Proof. Recall that

$$\text{Sel}_p^\pm(\text{Sym}^2 T_f(1)/k_\infty) = \text{Sel}_p(T_1(1)/k_\infty) \oplus \text{Sel}_p^\pm(T_2(1)/k_\infty)$$

by definition, so

$$\text{Sel}_p^\pm(\text{Sym}^2 T_f(1)/k_\infty)^{\vee,\eta} = \text{Sel}_p(T_1(1)/k_\infty)^{\vee,\eta} \oplus \text{Sel}_p^\pm(T_2(1)/k_\infty)^{\vee,\eta}.$$

But we have

$$L_p^{\pm,\eta}(\text{Sym}^2(V_f)) = L_p^{\pm,\eta}(\phi^2) \times \text{Tw}_{-k+1}(L_p^\eta(\varepsilon_K \cdot \epsilon))$$

by (9). Therefore, the theorem follows from Corollary 4.6 and Proposition 4.7 because

$$\text{Char}(M_1 \oplus M_2) = \text{Char}(M_1)\text{Char}(M_2)$$

for any torsion modules M_1 and M_2 . □

5. Appendix. In this section, we fix an integer $m \geq 2$. We prove an analogue of Proposition 3.3.

PROPOSITION 5.1. *If m is even, we have a decomposition of $G_{\mathbb{Q}}$ -representations*

$$\text{Sym}^m V_f \cong \bigoplus_{i=0}^{m/2-1} (\tilde{V}_{\phi^{m-2i}} \otimes (\varepsilon_K \det \rho_f)^i) \oplus (\varepsilon_K \det \rho_f)^{m/2}.$$

If m is odd, then

$$\text{Sym}^m V_f \cong \bigoplus_{i=0}^{(m-1)/2} (\tilde{V}_{\phi^{m-2i}} \otimes (\varepsilon_K \det \rho_f)^i).$$

Proof. We only give the proof for the case when m is even, since the other case can be proved in a similar way. Let x, y be the basis of V_f given as in Section 3.2. For an integer r such that $0 \leq r \leq m$, we write x_r for the element in $V_f^{\otimes m}$ given by

$$\sum a_1 \otimes a_2 \otimes \cdots \otimes a_m,$$

where the sum runs over $a_i \in \{x, y\}$ with $\#\{i : a_i = x\} = r$. Then, x_0, \dots, x_m give a basis of $\text{Sym}^m V_f$.

If $\sigma \in G_K$, we have

$$\sigma(x_r) = \tilde{\phi}^r(\sigma)\tilde{\phi}^{m-r}(\iota\sigma\iota)x_r$$

by (2). If $\sigma = \iota\sigma'$ with $\sigma' \in G_K$, then

$$\sigma(x_r) = \tilde{\phi}^r(\sigma')\tilde{\phi}^{m-r}(\iota\sigma'\iota)x_{m-r}$$

by (3). Therefore, x_r and x_{m-r} generate a sub-representation of $\text{Sym}^m V_f$, which we denote by $\rho_r : G_{\mathbb{Q}} \rightarrow \text{GL}(V_r)$, where $0 \leq r \leq m/2$. Note that V_r is two-dimensional if $r < m/2$ and $V_{m/2}$ is one-dimensional. We have a decomposition

$$\text{Sym}^m V_f \cong \bigoplus_{r=0}^{m/2} V_r.$$

For $r < m/2$, the matrix of $\sigma \in G_K$ with respect to the basis x_{m-r}, x_r is

$$\begin{pmatrix} \tilde{\phi}^{m-r}(\sigma)\tilde{\phi}^r(\iota\sigma\iota) & 0 \\ 0 & \tilde{\phi}^r(\sigma)\tilde{\phi}^{m-r}(\iota\sigma\iota) \end{pmatrix} = \tilde{\phi}^r(\sigma\iota\sigma\iota) \begin{pmatrix} \tilde{\phi}^{m-2r}(\sigma) & 0 \\ 0 & \tilde{\phi}^{m-2r}(\iota\sigma\iota) \end{pmatrix},$$

whereas that of $\sigma = \iota\sigma'$ with $\sigma' \in G_K$ is given by

$$\begin{pmatrix} 0 & \tilde{\phi}^r(\sigma')\tilde{\phi}^{m-r}(\iota\sigma'\iota) \\ \tilde{\phi}^{m-r}(\sigma')\tilde{\phi}^r(\iota\sigma'\iota) & 0 \end{pmatrix} = \tilde{\phi}^r(\sigma'\iota\sigma'\iota) \begin{pmatrix} 0 & \tilde{\phi}^{m-2r}(\iota\sigma'\iota) \\ \tilde{\phi}^{m-2r}(\sigma') & 0 \end{pmatrix}.$$

Therefore, we see that $\rho_r \cong \text{Ind}_K^{\mathbb{Q}}(V(\phi^{m-2r})) \cdot (\varepsilon_K \det \rho_f)^r$ by Lemma 3.2.

Finally, for $r = m/2$, we have

$$\sigma(x_{m/2}) = \begin{cases} \tilde{\phi}^{m/2}(\sigma\iota\sigma\iota)x_{m/2} & \text{if } \sigma \in G_K \\ \tilde{\phi}^{m/2}(\sigma'\iota\sigma'\iota)x_{m/2} & \text{if } \sigma = \iota\sigma' \text{ where } \sigma' \in G_K. \end{cases}$$

Hence, $V_{m/2} = (\varepsilon_K \det \rho_f)^{m/2}$ again by Lemma 3.2. This finishes the proof. □

COROLLARY 5.2. *The complex L-function admits a factorisation*

$$L(\text{Sym}^m f, s) = \begin{cases} \left(\prod_{i=0}^{m/2-1} L(\phi^{m-2i}, (\varepsilon_K \epsilon)^i, s - i(k-1)) \right) L((\varepsilon_K \epsilon)^{m/2}, s - m/2(k-1)) & \text{if } m \text{ is even,} \\ \prod_{i=0}^{(m-1)/2} L(\phi^{m-2i}, (\varepsilon_K \epsilon)^i, s - i(k-1)) & \text{otherwise.} \end{cases}$$

Proof. This can be proved in the same way as Corollary 3.4. □

REMARK 5.3. For $0 \leq i \leq \lfloor (m-1)/2 \rfloor$, we may obtain a p -adic L -function that interpolates the L -values of ϕ^{m-2i} at $(m-2i)(k-1)$ using Proposition 3.1. However, when $m > 2$, their product does not interpolate the L -values of $\text{Sym}^m f$. We would need p -adic L -functions that interpolate the L -values of ϕ^{m-2i} at $(m-i)(k-1)$ instead.

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