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## Research Paper

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### Abstract

A novel wideband nonuniform metasurface antenna with stable gain is demonstrated. The nonuniform metasurface is composed of square patches and rings and is excited by a slot antenna. Based on characteristic mode analysis, two characteristic modes with same current direction are selected to achieve stable radiation performance in a wide frequency range. The wideband operation is achieved by assembling the resonant modes of the metasurface and slot antenna. The measured results show that the  $-10$  dB impedance bandwidth of the proposed antenna is from 4.3 to 8.4 GHz (64.57%), and the 2 dB gain bandwidth is from 4.3 to 6.2 GHz (36.2%) with a peak gain value of 9.42 dBi. Moreover, broadside radiation performance is achieved.

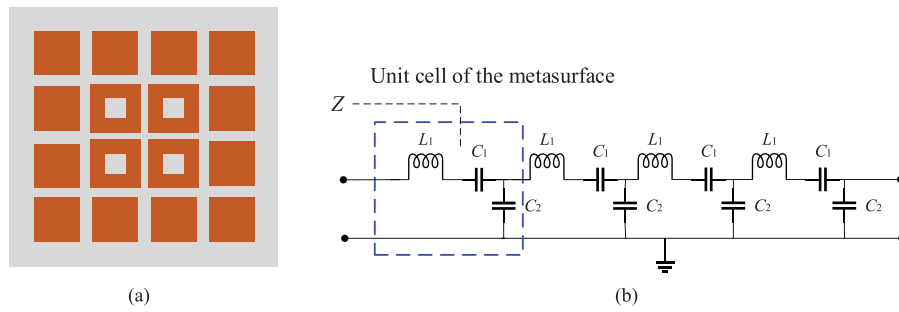
### Introduction

Nowadays, more and more people pay much attention to the data transmission rate and operating bandwidth of the communication system with the development of wireless communication technology. In the past decades, microstrip antennas have been extensively used because of their low profile and easy integration. However, traditional microstrip antenna cannot meet the increasing requirement for wideband applications. A number of methods have been proposed to enhance the operating bandwidth, such as loading parasitic patches, using shorting vias, modifying probe, and etching slots on the patch [1–4].

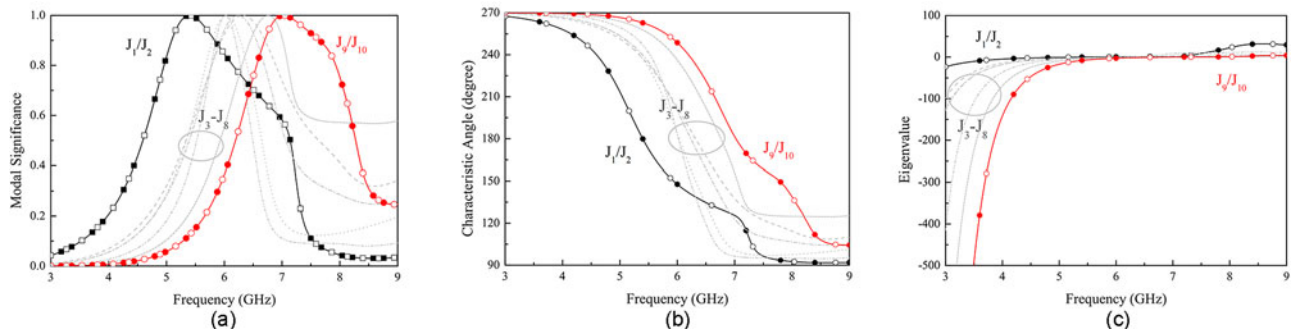
The characteristic mode analysis (CMA) defines a series of mutually orthogonal characteristic modes for conductors of arbitrary shape, which could represent the solution of electromagnetic problems accurately. It has been widely used to characterize, design, and evaluate the performance of the antenna [5–7]. In [5], the wideband response and circular polarization operating in different configurations of the antenna could be understood by using CMA. In [6], the bandwidth of the multilayer metasurface antenna array is improved by loading local capacitive to manipulate the modal intervals of desired modes. In [7], the antenna operates with both the metasurface mode and aperture mode. Based on CMA, the contribution of the aperture modes could be justified by the modal radiation power of slot-coupled metasurface antenna.

Recently, metasurface has attracted much more attention due to its advantages of flexibly controlling the amplitude, phase, and polarization of electromagnetic wave. By employing the metasurface in antenna design, the antenna performance has been improved significantly [8–16]. In [8], the two resonance modes are excited concurrently by a microstrip line through a coupling slot, which is under the center gap between the mushroom cells. The antenna achieved 25% impedance bandwidth with 9.9 dBi average gain. In [9], the quasi- $\text{TM}_{30}$  mode of the metasurface and the mode of slot are excited simultaneously to attain a broad bandwidth of 31%. In [10], the modes  $\text{TM}_{01}$  and  $\text{TM}_{02}$  of a nonuniform tapered metasurface were excited by a circular patch antenna. The antenna obtained a wide impedance bandwidth of 33.1% and an average gain value of 6.34 dBi. In [11], a polarization-dependent metasurface was excited by a slot antenna, and wide operating bandwidth of 55% with stable gain was achieved by combining these resonate modes of the metasurface and slot antenna. In [13], a metasurface composed of rectangular patches was loaded nearby a square ring antenna, and a wide operating bandwidth of 27% was obtained. Meanwhile, the gain of the antenna was also improved. In [14], a  $2 \times 2$  metasurface was excited by a triple-slotted substrate integrated cavity, and three different modes of the metasurface were combined to realize a wide bandwidth of 33% with minor gain ripple. In [16], a hexagonal loop-shaped metasurface was excited through the coupling aperture on the ground plane. By combing the modes of the metasurface, a wide bandwidth of 56.3% with stable radiation pattern was achieved.

In this paper, a nonuniform metasurface antenna with stable gain is presented. The metasurface composed of square rings and patches is excited by a slot antenna. Two characteristic modes are selected as major operating modes to achieve stable radiation performance in broad frequency band. Compared with the nonuniform metasurface composed



**Figure 1.** Configuration and equivalent circuit of the metasurface: (a) configuration and (b) equivalent circuit.



**Figure 2.** Characteristic mode analysis of the metasurface: (a) modal significance, (b) characteristic angle, and (c) eigenvalue.

of square patches with different sizes of hexagonal slots [17], the proposed metasurface has wider mainlobe beam and lower side-lobe level in the higher mode. By assembling the resonant modes of the metasurface and slot antenna, a wideband operation is obtained. The measured results show that the impedance bandwidth of the antenna is 64.57% (4.3 to 8.4 GHz), and the 2 dB gain bandwidth is 36.2% (4.3 to 6.2 GHz). The proposed antenna could operate well in the C-band.

**Characteristic mode analysis of metasurface**

Figure 1 shows the configuration and equivalent circuit of the metasurface. As shown in Fig. 1(a), the metasurface is composed of 2 × 2 square ring patches with 12 square patches loaded nearby. Figure 1(b) shows the equivalent circuit of the metasurface. Every unit cell of the metasurface could be equivalent to a series–parallel LC circuit.  $L_1$  symbolizes the unit cell of the metasurface,  $C_1$  indicates the coupling between any pair of unit cells, and  $C_2$  represents the gap of the metasurface and the ground plane. The impedance of the metasurface could be calculated as follows [18]:

$$Z = (L_1 + C_1) || C_2$$

The metasurface structure is analyzed using multilayer solver in commercial software Computer Simulation Technology Microwave Studio. Figure 2 shows the CMA of the metasurface. The modal significance of the metasurface is shown in Fig. 2(a). It can be seen that modes  $J_1/J_2$  and  $J_9/J_{10}$  are two different degenerate modes, and both of them have similar frequency trends. By combing the operating modes  $J_1$  and  $J_9$ , a wide operating bandwidth is achieved. The other modes  $J_3$  to  $J_8$  are undesired modes. Figure 2(b) shows the characteristic angle of the metasurface. It is clear that the phase difference of mode  $J_1/J_2$  is almost constant with a value of 180 degrees and that of mode  $J_9/J_{10}$  is about 165

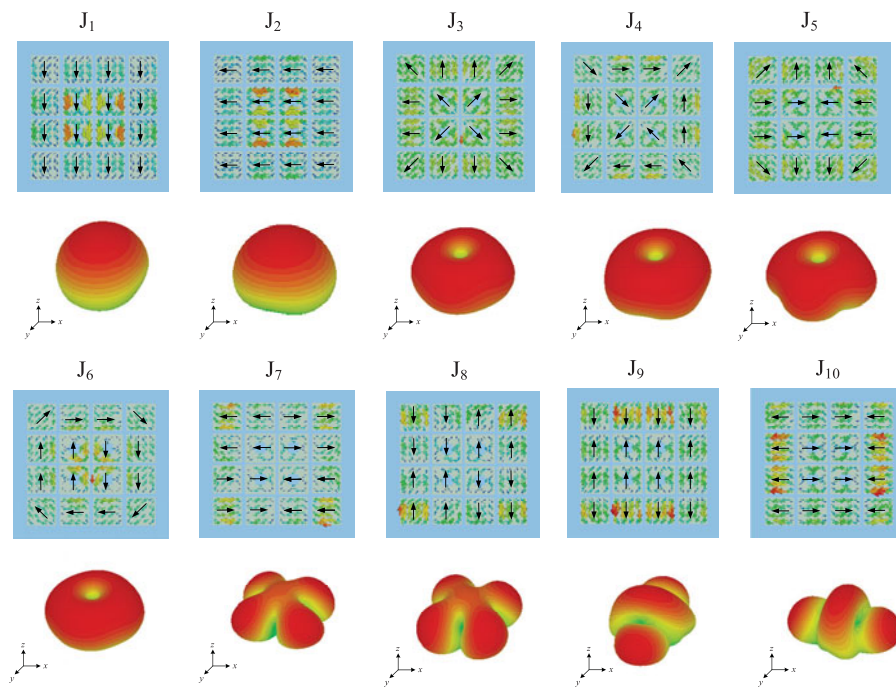
degrees, which is close to 180 degrees. Figure 2(c) shows the eigenvalue of the metasurface. It is observed that the eigenvalue of mode  $J_1/J_2$  is about zero from 4.0 to 7.0 GHz, and that of mode  $J_9/J_{10}$  is close to zero from 5.0 to 8.0 GHz.

Figure 3 shows the modal currents and radiation patterns of the metasurface. It is obvious that modal currents of modes  $J_1/J_2$  are in the same directions, and a broadside radiation pattern is obtained. Also, some weak opposite currents of modes  $J_9/J_{10}$  appear on the edge patches, and thus a non-splitting mainlobe with lower sidelobes is obtained.

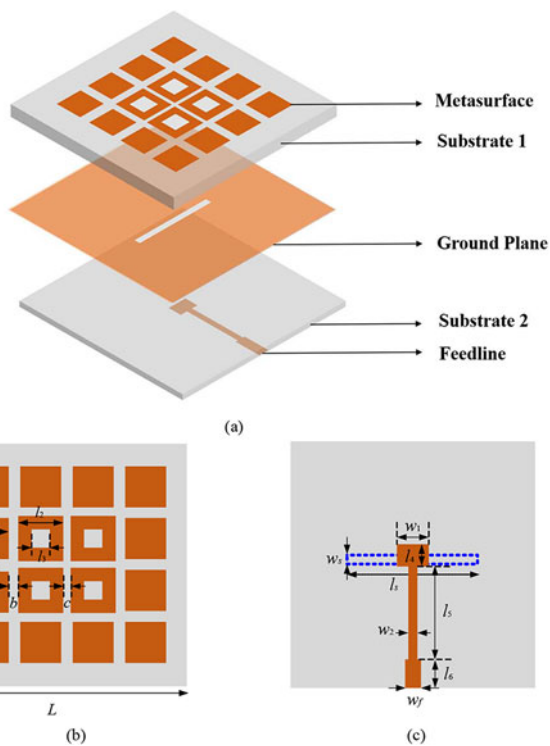
**Wideband stable gain metasurface antenna**

According to the above analysis, a wideband antenna with stable gain based on the aforementioned nonuniform metasurface is presented. The configuration of the proposed antenna is shown in Fig. 4. The metasurface printed on the upper substrate, and the slot antenna is etched on the lower substrate. The slot antenna is composed of a rectangular slot in the ground plane and a microstrip line. A stepped line loaded with a rectangular stub is employed to obtain good impedance matching. The chosen substrates of the nonuniform metasurface and slot antenna are Polytetrafluoroethylene (PTFE) ( $\epsilon_r = 2.2$  and  $\tan\delta = 0.002$ ). The optimized parameters of the antenna are given in Table 1.

Figure 5(a) shows the reflection coefficient of the antenna with or without the nonuniform metasurface. It is obvious that the slot antenna before loading the metasurface has a narrower bandwidth from 6.83 to 8.02 GHz. Moreover, the bandwidth of the antenna with the metasurface has been improved significantly, and a –10 dB impedance bandwidth from 4.23 to 7.86 GHz is achieved. Figure 5(b) shows the impedance of the antenna with or without metasurface. It can be seen that, after loading the metasurface, the real and the imaginary part of the impedance are about 50 and 0 ohms, respectively. Then good impedance matching is achieved in the whole operating band.



**Figure 3.** Modal currents and radiation patterns of the metasurface.



**Figure 4.** Configuration of antenna: (a) 3D view, (b) top view, and (c) bottom view.

In order to explain the working mechanism of the antenna, the current distributions are further investigated. Figure 6 shows the current distributions of antenna at 5.0, 6.0, and 7.0 GHz. As illustrated in Fig. 6(a) and (b), it can be seen that the currents mainly concentrate at the center area of the metasurface (i.e., square rings). In other words, mode  $J_1$  is well excited at 5.0 and 6.0 GHz. Similarly, mode  $J_9$  is excited at 7.0 GHz because the currents primarily appear

**Table 1.** Parameters of the proposed metasurface antenna (uUnit: mm)

$L$	$h_1$	$h_2$	$a$	$b$	$c$	$l_1$	$l_2$	$l_3$
60	4	1	1.5	1.25	1	11.1	11.6	4
$l_4$	$l_5$	$l_6$	$l_s$	$w_s$	$w_f$	$w_1$	$w_2$	
2.5	30.7	5.1	26.6	2	3	3.9	1.5	

in the edge area of the metasurface (i.e. square patches). That is to say, it is consistent with the CMA of the nonuniform metasurface.

The effect of the structural parameters on the antenna performance is also conducted. It is found that the dimension of square ring patch ( $l_2$ ), the size of the slot on the ground (length  $l_s$  and width  $w_s$ ), and the length of the rectangular stub ( $w_1$ ) play a significant role. The reflection coefficient for different  $l_2$  is plotted in Fig. 7. It is seen that the impedance bandwidth decreases with the increase in  $l_2$ . Figure 8 shows the reflection coefficient for different  $l_s$ . It is seen that when  $l_s$  increases, the  $-10$  dB impedance bandwidth decreases. Figure 9 plots the reflection coefficient for different  $w_s$ . With the increase in  $w_s$ , the impedance bandwidth increases significantly. The reflection coefficient for different  $w_1$  is plotted in Fig. 10. It can be seen that the impedance matching around 5.5 GHz is bad when  $w_1 = 1.5$  mm. Also, the matching becomes worse in the upper band when  $w_1$  increases to 6.3 mm. Considering the trade-off between the operating bands and the impedance matching of the antenna,  $l_2 = 11.6$  mm,  $l_s = 26.6$  mm,  $w_s = 2$  mm, and  $w_1 = 3.9$  mm are chosen in this paper.

### Measurement results and discussions

A prototype of the proposed wideband metasurface antenna with stable gain is fabricated and measured. Figure 11 shows the photographs of the antenna. The reflection coefficient is measured by an Agilent N5221A vector network analyzer.

Figure 12 shows the reflection coefficient of the antenna. It is clear that simulated results between the metasurface antenna

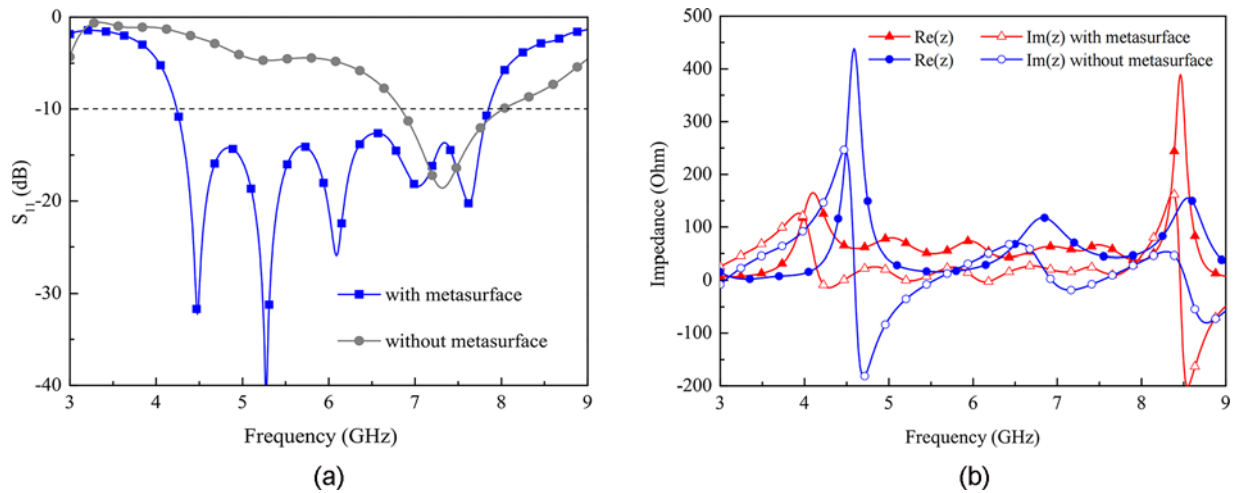


Figure 5. Simulated results of the antenna with or without metasurface: (a) S-parameters and (b) Impedance.

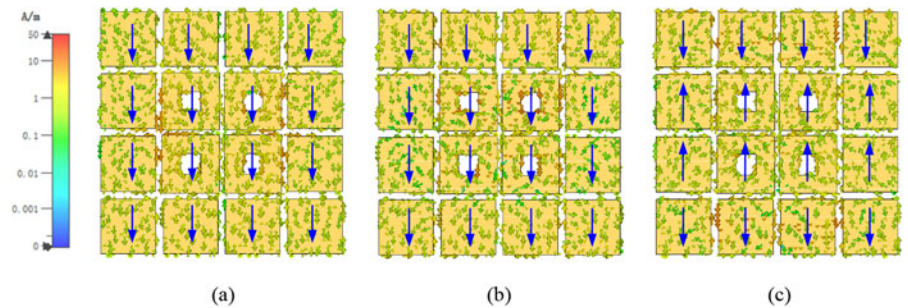


Figure 6. Current distributions of antenna: (a) at 5.0 GHz, (b) at 6.0 GHz, and (c) at 7.0 GHz.

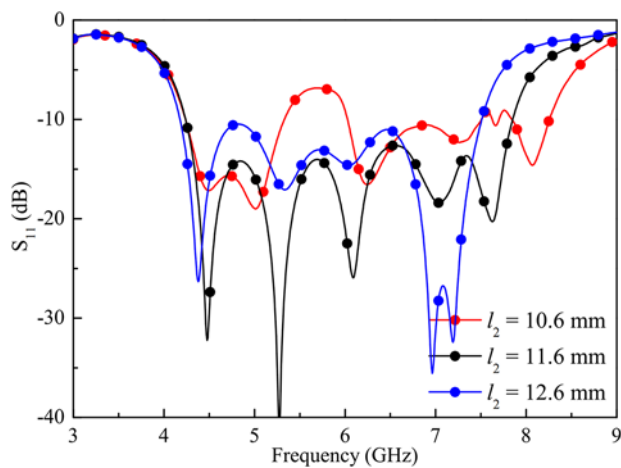


Figure 7. Effect of  $l_2$  on  $S_{11}$ .

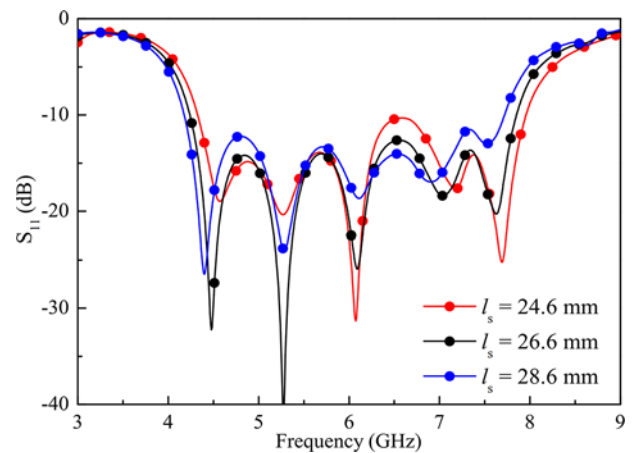


Figure 8. Effect of  $l_s$  on  $S_{11}$ .

and the circuit modeling are basically consistent. It could also be observed that the simulated and measured bandwidth of the metasurface antenna is 60.05% (4.23 to 7.86 GHz) and 64.57% (4.3 to 8.4 GHz), respectively. The difference between the measurement and simulation results is mainly due to the fabrication errors, the effect of the SMA connector, and the deviation of dielectric constant.

The far-field radiation performance measurement method is illustrated in Fig. 13. A standard horn antenna, as the transmitting antenna, is placed on the transmitting antenna

turntable. The metasurface antenna acting as the receiving antenna is placed on the test turntable. The far-field radiation performance measurements, which contain the radiation pattern, gain, and efficiency, are carried out by fixing a transmitting antenna and measuring the received signals of the receiving antenna with a 360° rotation. The far-field radiation performance is measured by a Lab-Volt 8092 antenna training and measuring system in a microwave anechoic chamber.

Figure 14 plots the normalized radiation patterns at 5.0, 6.0, and 7.0 GHz. It is seen that good agreement between the simulation



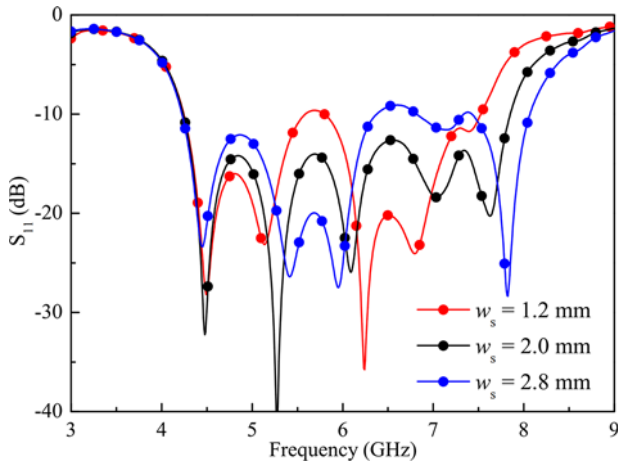


Figure 9. Effect of  $w_s$  on  $S_{11}$ .

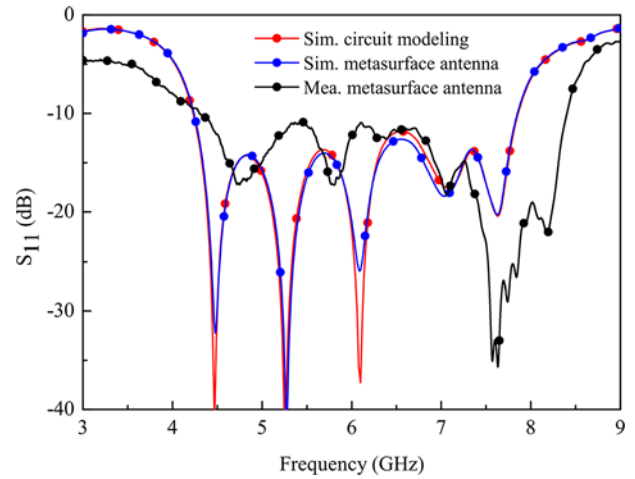


Figure 12. Reflection coefficient of the antenna.

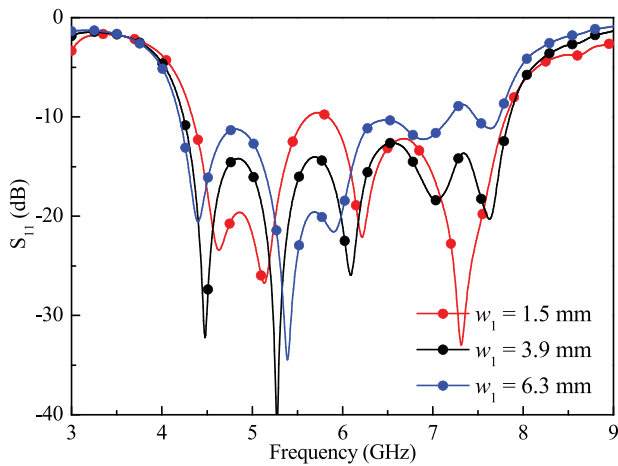


Figure 10. Effect of  $w_1$  on  $S_{11}$ .

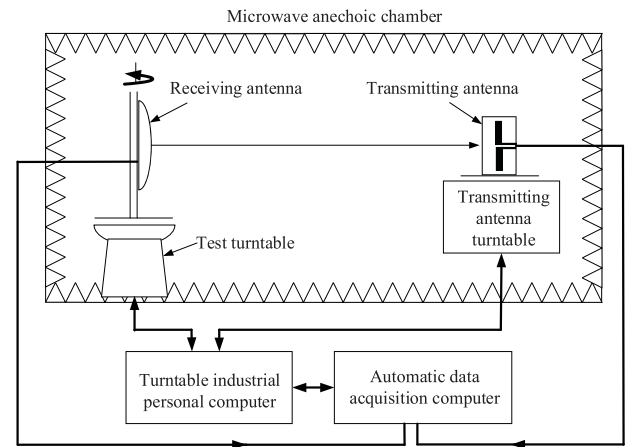


Figure 13. Photographs of the far-field radiation performance measurement method.

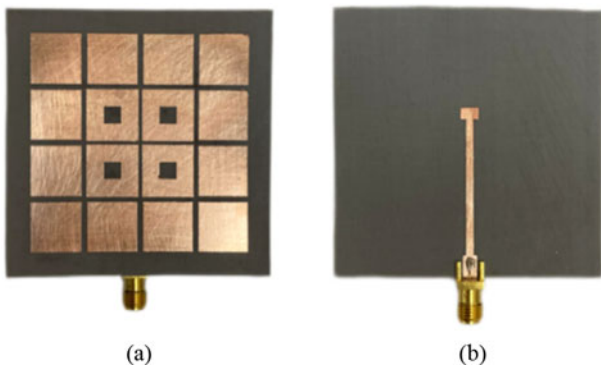


Figure 11. Photograph of fabricated antenna: (a) top view and (b) bottom view.

and measurement results is achieved. Stable broadside radiation patterns and low cross-polarization lower than  $-19$  dB in both E- and H-planes are realized. The simulated cross-polarization level in the E-plane is lower than  $-60$  dB, so the cross-polarization components could not be seen in the normalized radiation patterns. Figure 15 shows the measured and simulated results of the antenna. It can be seen from Fig. 15(a) that the 2 dB gain bandwidth of the measurement is 36.2% (4.3 to 6.2 GHz) with a peak gain value

of 9.42 dBi. As shown in Fig. 15(b), the simulated and measured radiation efficiency is about 82% and 80% over the operating band, respectively.

Finally, the comparison of the reported and proposed wideband metamaterial-based antennas is listed Table 2. It is obvious that the proposed antenna has a comparable size, and the largest  $-10$  dB impedance bandwidth with a peak gain value of 9.42 dBi. Moreover, the antenna in this work exhibits a much more stable gain with 2 dB gain bandwidth of 36.2%. Compared to the reported metasurface antennas, which operate in the C-band [7–12], the proposed metasurface antenna has the biggest  $-10$  dB impedance bandwidth and 2 dB gain bandwidth.

### Conclusion

This paper presents a novel wideband nonuniform metasurface antenna with stable gain. The metasurface is composed of square rings and patches. Two characteristic modes with same current directions are selected as operating modes to achieve stable radiation performance in the wideband frequency. By directly adjusting the size of the central square ring and the edge patch, the bandwidth of the nonuniform hybrid metasurface could be controlled flexibly. The metasurface is excited by a slot antenna.

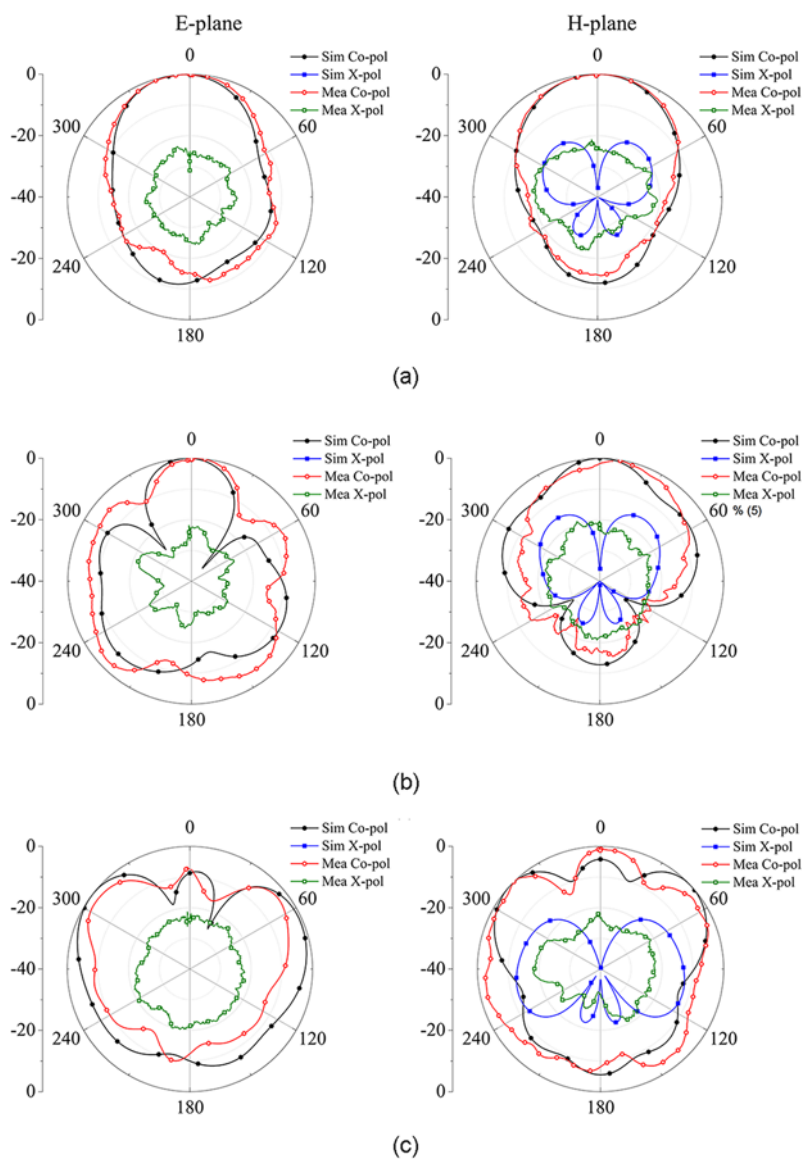


Figure 14. Normalized radiation patterns: (a) at 5.0 GHz, (b) at 6.0 GHz, and (c) at 7.0 GHz.

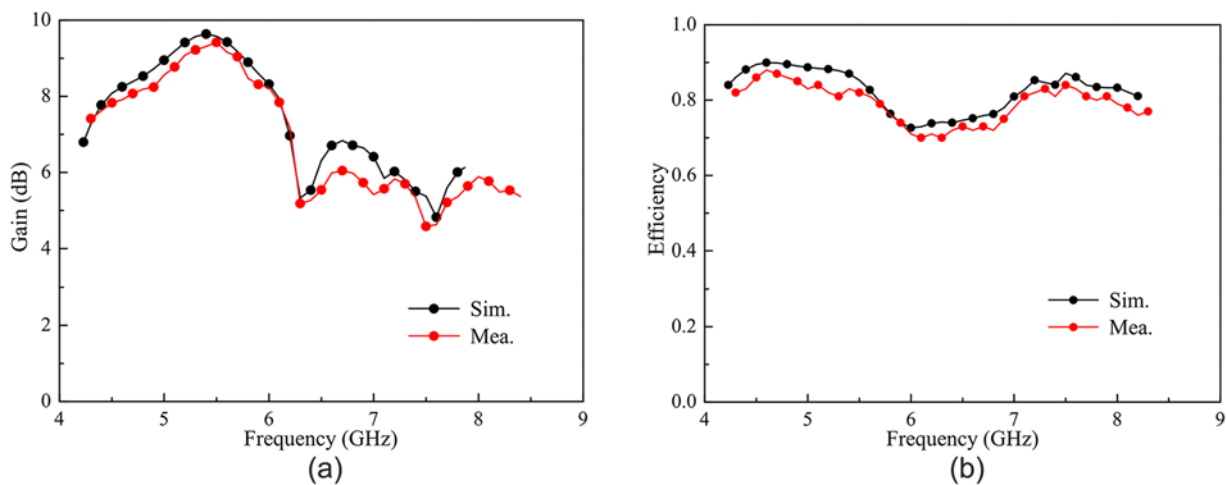


Figure 15. Simulated and measured results of the antenna: (a) gain and (b) efficiency.

**Table 2.** Performance of wideband metamaterial-based antenna

Reference	Physical size	Size ( $\lambda_0 \times \lambda_0 \times \lambda_0$ )	Operating band	BW	2 dB gain BW	Peak gain	Substrate
[7]	66 mm × 66 mm × 3.648 mm	1.2 × 1.2 × 0.07	4.8–6.2 GHz	25.5%	25.5%	10.3 dBi	Rogers 5880
[8]	60 mm × 60 mm × 3.25 mm	1.1 × 1.1 × 0.06	4.17–6.16 GHz	25.4%	25.4%	9.9 dBi	RO4003
[9]	55 mm × 55 mm × 3.962 mm	1.0 × 1.0 × 0.07	4.7–6.3 GHz	29.1%	17.2%	10.3 dBi	RO4003C
[10]	71 mm × 71 mm × 3.5 mm	1.3 × 1.3 × 0.06	4.56–6.37 GHz	33.1%	33.1%	7.76 dBi	FR4B
[11]	60 mm × 60 mm × 4.063 mm	1.2 × 1.2 × 0.08	4.31–7.58 GHz	55%	30.81%	10.9 dBi	RO4003C
[12]	60 mm × 60 mm × 4.063 mm	1.0 × 1.0 × 0.07	4.54–6.0 GHz	27.7%	19.0%	9.8 dBi	RO4003C
[13]	75 mm × 75 mm × 5.08 mm	1.1 × 1.1 × 0.07	3.8–5.0 GHz	27%	12.8%	11.9 dBi	Rogers 5880
[14]	40 mm × 40 mm × 3.858 mm	1.33 × 1.33 × 0.13	8.35–11.65 GHz	33%	33.0%	8.1 dBi	RO4003C
[15]	45 mm × 45 mm × 4.063 mm	1.1 × 1.1 × 0.099	5.23–9.44 GHz	57.4%	39.2%	10.9 dBi	RO4003C
[16]	60 mm × 60 mm × 3.993 mm	1.3 × 1.3 × 0.09	4.65–8.3 GHz	56.3%	36.8%	11.2 dBi	RO4003C
Proposed	60 mm × 60 mm × 5 mm	1.2 × 1.2 × 0.1	4.3–8.4 GHz	64.6%	36.2%	9.42 dBi	PTFE

By combining the resonant modes of the metasurface and slot antenna, the wideband stable radiation pattern is achieved. The measured results show that the  $-10$  dB impedance bandwidth of the antenna is  $64.57\%$  (4.3 to 8.4 GHz), and the 2 dB gain bandwidth is  $36.2\%$  (4.3 to 6.2 GHz) with a peak gain value of 9.42 dBi. The proposed antenna has the potential for C-band applications.

**Data availability.** Data sharing is not applicable to this article as no new data were created or analyzed in this study.

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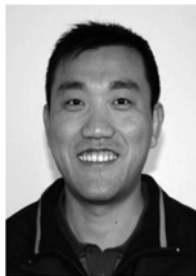
**Author contributions.** Zijun Zheng performed the simulations, Guorui Han guided the design, Jinrong Su, Hao Yuan, and Wenmei Zhang provided support during the experiment. All authors contributed equally to analyzing data, in reaching conclusions, and in writing the paper.

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**Competing interests.** The authors report that they have no known conflict of interests or personal relationships that could have appeared to influence the work reported in this paper.

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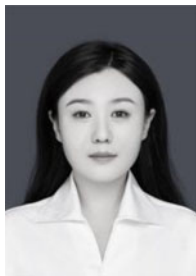
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