

## NORM CONVERGENCE OF RIESZ-BOCHNER MEANS FOR RADIAL FUNCTIONS

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**1. Introduction.** It is well known now that certain spherical methods in  $k$  ( $\geq 2$ ) dimensions are rather poor for reconstructing a function from its Fourier transform. Consider a function  $f$  in  $L^1(\mathbf{R}^k)$ ,  $k \geq 2$ ,

$$(1.1) \quad \hat{f}(z) = \frac{1}{(2\pi)^{k/2}} \int f(x) e^{ix \cdot z} dx$$

and

$$(1.2) \quad S_R^\alpha f(x) = \frac{1}{(2\pi)^{k/2}} \int_{|z| \leq R} \left(1 - \frac{|z|^2}{R^2}\right)^\alpha \hat{f}(z) e^{-ix \cdot z} dz$$

where both integrals are integrals in  $\mathbf{R}^k$ , the first over the whole space the second over the ball of radius  $R$ ;  $x \cdot y$  is the usual Euclidean inner product of  $x$  and  $y$  in  $\mathbf{R}^k$  and  $|z|^2 = z \cdot z$ .

When  $\alpha = 0$  we have the spherical method alluded to above. Fefferman [3] showed (using the extended definition of Fourier transform) that only for  $p = 2$  is it true that  $S_R^0 f$  converges in  $L^p$  norm to the function  $f$ , as  $R \rightarrow \infty$ . On the other hand it is known (see, e.g., [11, p. 172]) that  $S_R^\alpha f$  converges to  $f$  in  $L^p$  norm ( $1 \leq p < \infty$ ) as long as  $\alpha$  exceeds the “critical index”  $(k - 1)/2$ .

For the more difficult range  $0 \leq \alpha \leq (k - 1)/2$  there are two types of results giving a range of values of  $p$  for which convergence holds. In [5] Herz pointed out that for radial functions  $S_R f$  converges in  $L^p$  to the function  $f$ , provided

$$\frac{2k}{k + 1} < p < \frac{2k}{k - 1},$$

where  $f$  belongs to  $L^p$  and appropriate restrictions on  $f$  are made so that  $\hat{f}$  exists. (Here, a radial function means an  $f$  such that  $f(x) = f(|x|)$ , where  $f$  also denotes a function defined on  $(0, \infty)$ .) For  $p \leq 2k/(k + 1)$ , the result fails. Stein [8, p. 487] proved a convergence result for general (non-radial) functions for  $p$  in the range

$$\frac{2(k - 1)}{k - 1 + 2\alpha} < p < \frac{2(k - 1)}{k - 1 - 2\alpha}.$$

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Here we consider radial functions and get convergence for a wider range of values of  $p$ . Our result is as follows:

**THEOREM 1.** *Let  $L^p(\mathbf{R}^k, r)$  denote the class of radial functions in  $\mathbf{R}^k$  which are in  $L^p$ . The operator  $S_R^\alpha$  is defined on a dense class in  $L^p(\mathbf{R}^k, r)$  and we have for*

$$\frac{2k}{k + 1 + 2\alpha} < p < \frac{2k}{k - 1 - 2\alpha}, \quad 0 < \alpha < \frac{k - 1}{2},$$

(1.3)  $\|S_R^\alpha f\|_p \leq A_{\alpha,p} \|f\|_p,$

$A_{\alpha,p}$  independent of  $f$  and  $R$ , and the definition of  $S_R^\alpha$  can be extended to all of  $L^p(\mathbf{R}^k, r)$  by continuity.

To prove the theorem we use an interpolation theorem due to Stein [10]; he used this to interpolate between  $L^2$  results for  $\alpha = 0$  and  $L^1$  results for  $\alpha > (k - 1)/2$ . Because of the lack of  $L^p$  results for  $\alpha = 0, p \neq 2$  [3], this technique cannot be extended further for general (non-radial)  $f$ . However, for radial functions  $L^p$ , results do exist for  $\alpha = 0$  and  $p < 2$  [5] and the interpolation technique gives essentially the best possible results.

The operator  $S_R^\alpha$  is a convolution operator whose kernel is  $\sigma_R^\alpha(x) = cR^k J_{k/2+\alpha}(R|x|)(R|x|)^{-(k/2+\alpha)}$ , where  $c$  is a constant depending on the parameters  $k$  and  $\alpha$ . For the following statements, fix  $\alpha, 0 \leq \alpha < (k - 1)/2$ . Results of non-radial nature hold for  $\alpha \geq (k - 1)/2$  as can be seen in [8] and [9]. Because of the asymptotic expansion on page 199 of [12] one has

$$J_{k/2+\alpha}(R|x|) = c_1(\cos(R|x| + c_2))(R|x|)^{-1/2} + o(R|x|)^{-3/2},$$

where  $c_1$  and  $c_2$  are constants. One can use this to show that if  $f$  is the characteristic function of the unit ball then  $(S_1^\alpha f)$  is not in  $L^p$  for  $p \leq 2k/(k + 1 + 2\alpha)$ . This is the same idea used in [5] to get negative results. Hence, one sees that the best possible interval of values of  $p \leq 2$  for which a boundedness result is possible in  $2k/(k + 1 + 2\alpha) < p \leq 2$ .

From this point on we suppress the dependence of  $A_{\alpha,p}$  on  $\alpha$  and write  $A_p$ .

The theorem has as an immediate consequence that  $S_R^\alpha f$  converges in norm to  $f$ . This is proved by means of the usual arguments using a dense class of smooth functions for which pointwise and norm convergence can be proved as  $R \rightarrow \infty$ . For example in [1, p. 119, Theorem 4.53], it is shown that convergence holds, for  $\alpha \geq 0$ , for the case of Fourier series of a function with at least  $k$  continuous derivatives. Similar techniques work in the case of Fourier integrals.

Stein's interpolation lemma is stated in section 2. In sections 3 and 4 the family of operators to which interpolation is applied is introduced and shown to have the analyticity and boundedness properties required for the applicability of the lemma. Theorem 1 follows easily in the case  $2k/(k + 1 + 2\alpha) < p \leq 2$ . The case  $2 \leq p < 2k/(k - 1 - 2\alpha)$  is handled by a duality argument (see, e.g., [11, Chapter 1, Theorem 3.20]).

In section 5 we point out an open problem in the theory of Fourier series.

**2. The interpolation lemma.** The technique essential to the proof of the theorem is the following interpolation lemma. Stein introduced it in [10] and used it effectively in [8] and [9] to prove results similar to the result here.

First one must introduce the notion of an *analytic family of operators*  $\{T_z\}$ . Let  $(M, dm)$  and  $(N, dn)$  be two measure spaces. A family of operators  $\{T_z\}$  depending on a complex parameter  $z$  is called *analytic* if:

(i) For each  $z$ ,  $T_z$  is a linear transformation of "simple" functions (i.e., those measurable functions which take on only a finite number of nonzero values and have support on a set of finite measure; in our case we consider only functions of bounded support) on  $M$  to measurable functions on  $N$ .

(ii) If  $\psi$  is a simple function on  $M$ , and  $\phi$  is a simple function on  $N$ , then

$$\Phi(z) = \int_N T_z(\psi)\phi dn$$

is analytic in  $0 < R(z) < 1$  and continuous in  $0 \leq R(z) \leq 1$ .

Since we deal with radial functions, we see that after a change to polar coordinates (in our case)  $M$  and  $N$  may be considered to be  $(0, \infty)$  with the measures equal to a constant times  $t^{k-1}dt$ . However, we will freely switch to  $\mathbf{R}^k$  with the usual Lebesgue measure when convenient. There should be no confusion.

An analytic family  $T_z$  is of *admissible growth* if  $\Phi(z)$  is of admissible growth; that is, if

$$\sup_{|y| < r} \sup_{0 \leq x < 1} \log |\Phi(x + iy)| \leq Ae^{ar},$$

where  $a < \pi$  and  $A$  is a constant. Both  $A$  and  $a$  may depend on the functions  $\phi$  and  $\psi$ .

The interpolation lemma is the following:

**LEMMA.** *Let  $\{T_z\}$  be an analytic family of linear operators of admissible growth defined in the strip  $0 \leq R(z) \leq 1$ . Suppose that  $1 \leq p_1, p_2, q_1, q_2 \leq \infty$ , and that*

$$\frac{1}{p} = (1-t) \cdot \frac{1}{p_1} + \frac{t}{p_2}, \quad \frac{1}{q} = (1-t) \cdot \frac{1}{q_1} + \frac{t}{q_2},$$

where  $0 \leq t \leq 1$ . Finally suppose that

$$\|T_{it}f\|_{q_1} \leq A_0(y)\|f\|_{p_1} \text{ and } \|T_{1+it}f\|_{q_2} \leq A_1(y)\|f\|_{p_2}$$

for every simple  $f$ . We also assume that:

$$\log |A_i(y)| \leq A_i a^{|y|}, \quad a < \pi; i = 0, 1,$$

where  $a, A_0$  and  $A_1$  do not depend on  $f$ .

Then we have

$$\|T_t(f)\|_q \leq A_t \|f\|_p$$

where

$$(2.1) \quad \log A_t = \int_{-\infty}^{\infty} w(1-t, y) \log A_0(y) dy + \int_{-\infty}^{\infty} w(t, y) \log A_1(y) dy.$$

The function  $w(1-t, y)$  is the Poisson kernel for the region  $0 < \text{Re}(z) < 1$  in the complex plane, and is given by

$$w(1-t, y) = \frac{1}{2} \frac{\tan(\pi t/2)}{[\tan^2(\pi t/2) + \tanh^2(\pi y/2)] \cosh^2(\pi y/2)}.$$

**3. An analytic family of operators.** In this section we describe the analytic family  $\{T_z\}$  which we use. But first, we make a simple observation. Using (1.1) and (1.2) it is easy to show by means of changes of variables that  $S_R^\alpha f(x) = S_1^\alpha f_R(Rx)$  where  $f_R(x) = f(x/R)$  and the function  $f$  is such that all integrals involved exist. If one then supposes that

$$\|S_1^\alpha f(\cdot)\|_p \leq A_p \|f\|_p$$

it follows that

$$\begin{aligned} \|S_R^\alpha f(\cdot)\|_p^p &= \int |S_1^\alpha f_R(Rx)|^p dx = R^{-k} \int |S_1^\alpha f_R(x)|^p dx \\ &\leq A_p^p R^{-k} \int |f_R(x)|^p dx = A_p^p \|f\|_p^p. \end{aligned}$$

Hence, it suffices to prove the theorem in the case  $R = 1$ . For the remainder of the proof we restrict our attention to operators  $S_1^\alpha$ , we will presently extend the definition of  $\alpha$  to include complex values.

Let  $f$  be a radial function on  $\mathbf{R}^k$  and let  $f$  also denote the associated function on  $(0, \infty)$  such that  $f(x) = f(|x|)$ . For simple functions one has (see for example [7, Formula 6, p. 52]) that

$$(3.1) \quad S_1^\alpha f(x) = c \int_{\mathbf{R}^k} f(t) (|x-t|)^{-(k/2+\alpha)} J_{k/2+\alpha}(|x-t|) dt,$$

where  $c = 2\alpha\Gamma(\alpha+1)(2\pi)^{-k/2}$ . Let  $2\alpha_0 = k-1$  and let  $\alpha(z) = \alpha_0(1-z) + \epsilon$ ,  $\epsilon > 0$  and  $0 < \text{Re}(z) < 1$ . With this notation we consider the family of operators  $\{S_1^{\alpha(z)}\}$  on the family of simple functions of bounded support which is dense in  $L^p(\mathbf{R}^k, r)$ .

We need the following facts about Bessel functions:

$$(3.2) \quad J_\zeta(t) = \frac{(t/2)^\zeta}{\Gamma(1/2)\Gamma(\zeta+1/2)} \int_0^1 (1-u^2)^{\zeta-1/2} \cos ut \, du, \quad \text{Re}(\zeta) > -\frac{1}{2}$$

$$(3.3) \quad |J_{\xi+i\eta}(t)| \leq A_\xi e^{\pi|\eta|} \cdot t^{-1/2}, \quad t \geq 1, \xi \geq 0$$

$$(3.4) \quad |J_{\xi+i\eta}(t)| \leq A_\xi e^{\pi|\eta|} t^\xi, \quad t > 0, \xi \geq 0.$$

Formula (3.2) follows from that on page 38 of [12]. Inequality (3.3) is obtained from the asymptotic expansion on page 199 of [12]. Inequality (3.4) can be obtained from (3.2) by using Hankel’s formula for  $1/\Gamma(z)$  which can be found in [2, p. 227]. Here we will use  $\zeta = \alpha(z) + k/2$  with  $0 < \text{Re}(z) < 1$ .

By (3.2) we have  $J_\zeta$  is analytic in the strip  $0 < \text{Re}(z) < 1$ . In fact, for  $z$  in a compact subset of  $0 < \text{Re}(z) < 1$

$$B(z, t) = \int_0^1 (1 - u^2)^{\zeta-1/2} \cos ut du$$

is a bounded function for all  $t$  with bound independent of  $t$ . By holding  $z$  in an open ball which has compact closure in the strip  $0 < \text{Re}(z) < 1$  the integral

$$\int_{\mathbb{R}^k} f(t) |x - t|^{-\zeta} t^\zeta B(z, t) dt$$

can be considered as the limit of a uniformly convergent sequence of analytic functions in this open ball when  $f$  is a simple function. Again using Hankel’s formula for  $1/\Gamma(z)$  we see that  $S_1^{\alpha(z)} f(x)$  is a product of analytic functions in this ball and by analytic continuation throughout all of the strip  $0 < \text{Re}(z) \leq 1$ . A similar argument proves continuity throughout  $0 \leq \text{Re}(z) \leq 1$ .

To see that the admissible growth condition of the lemma is satisfied it suffices to estimate  $S_1^{\alpha(z)} f(x)$  in the  $L^\infty$  norm. For this, we break the integral in (3.1) into two parts corresponding to  $|x - t| \geq 1$  and  $|x - t| \leq 1$ . Using (3.3) for the first of these we have

$$\left| c \int_{|x-t| \geq 1} f(t) |x - t|^{-\zeta} J_\zeta(|x - t|) dt \right| \leq c A_\xi e^{\pi|\eta|} \times \int_{|x-t| \geq 1} |f(t)| |x - t|^{-\zeta-1/2} dt$$

where  $\zeta = \xi + i\eta = \alpha(z) + k/2$ . Using (3.4) for the second of these gives

$$\left| c \int_{|x-t| \leq 1} f(t) |x - t|^{-\zeta} J_\zeta(|x - t|) dt \right| \leq c A_\xi e^{\pi|\eta|} \int_{|x-t| \leq 1} |f(t)| dt.$$

Since  $f$  is a bounded simple function, both of the above integrals converge and hence  $|S_1^{\alpha(z)} f(x)| \leq c A_\xi e^{\pi|\eta|}$ , where  $c$  is a constant which depends on the simple function  $f$ . From this it follows that  $\{S_1^{\alpha(z)}\}$  satisfies the admissible growth condition.

**4. Completion of the proof of the theorem.** In this section, we prove the bounds on the boundary of the strip  $0 < \text{Re}(z) < 1$  which are necessary for the application of the lemma. We will restrict our attention to  $1 \leq p \leq 2$ . The results valid for conjugate values of  $p$  are obtained by the use of a duality argument, a discussion of which can be found in [11, Chapter 1, Theorem 3.20]. We take  $p_1 = q_1 = 1$  and  $p_2 = q_2 > 2k/(k + 1)$ .

The norm inequality which corresponds to  $\text{Re}(z) = 0$  is

$$(4.1) \quad \|S_1^{\alpha(i\eta)}f\|_1 \leq A_\xi e^{\pi|\eta|} \|f\|_1.$$

We note that  $A_\xi$  may vary in meaning from time to time, those  $A_\xi$  which appear on two occasions will be related by a constant multiple depending only on the dimension  $k$ . For  $\text{Re}(z) = 0$ ,  $\text{Re}(\alpha(z)) > (k - 1)/2$ , in which case (3.1) shows that  $S_1^{\alpha(z)}$  is a kernel operator with kernel

$$K_z(x) = c|x|^{-(k/2+\alpha(z))} \cdot J_{\alpha(z)+k/2}(|x|).$$

Conditions (3.3) and (3.4) together are enough to show that  $K_z(x)$  is an  $L^1$  function with  $\|K_z\|_1 \leq A_\xi e^{\pi|\eta|}$  where  $\xi + i\eta = \alpha(z) + k/2$ . This implies (4.1).

For the boundary condition corresponding to  $\text{Re}(z) = 1$  we have to consider  $p_2 = q_2 = p_0 > 2k/(k + 1)$  and  $p \leq 2$ . The operator  $S_1^{\alpha(z)}$  becomes  $S_1^{\epsilon+i\eta}$  where  $\epsilon > 0$  and  $\eta = -\alpha_0 y$ . We have the following modified Hankel transform representation of  $S_1^{\epsilon+i\eta}f(x)$ :

$$(4.2) \quad S_1^{\epsilon+i\eta}f(x) = c|x|^{-(k-2)/2} \int_0^\infty f(t)t^{k/2} \int_0^1 (1-r^2)^{\epsilon+i\eta} J_{(k-2)/2} \\ \times (|x|r)J_{(k-2)/2}(tr)rdrdt.$$

To see this, use the formula

$$J_n(z) = \frac{(z/2)^n}{\Gamma(n + 1/2)\Gamma(1/2)} \int_0^\pi e^{\pm iz\cos\phi} \sin^{2n} \phi d\phi$$

which is valid for  $\text{Re}(n + 1/2) > 0$ . This formula is found in [13, p. 366]. We first write

$$S_1^{\epsilon+i\eta}f(x) = \frac{1}{(2\pi)^k} \int_{|y|<1} (1-|y|^2)^{\epsilon+i\eta} \left[ \int f(w)e^{i\eta y} dw \right] e^{-iy \cdot x} dy.$$

Because  $f$  is a radial function, its Fourier transform is also radial. Using this fact and allowing interchange of order of integrations whenever necessary, a procedure which is valid for ‘‘good’’ functions, we proceed using the following notation:  $|w| = t$ ,  $|y| = r$  and  $w_{k-1}$  is the  $(k - 1)$ -dimensional volume of the  $(k - 1)$ -ball. After a change to polar coordinates the inner integral is

$$\int_0^\infty (k - 1)w_{k-1}f(t) \left[ \int_0^\pi e^{i\tau t\cos\theta} (\sin \theta)^{k-2} d\theta \right] t^{k-1} dt = F(r).$$

Similarly the whole integral is

$$(k - 1) \frac{w_{k-1}}{(2\pi)^k} \int_0^1 (1-r^2)^{\epsilon+i\eta} r^{k-1} F(r) \left[ \int_0^\pi e^{-i|x|r\cos\phi} (\sin \phi)^{k-2} d\phi \right] dr.$$

Using the above representation of the Bessel function in the two integrals

involving  $\theta$  and  $\phi$ , and interchanging the order of integration one obtains (4.2).

We use the formula with  $\nu = (k - 2)/2$  (see [13, p. 381])

$$rJ_\nu(|x|r)J_\nu(tr) = \frac{1}{|x|^2 - t^2} \frac{d}{dr} [rtJ_\nu(|x|r)J'_\nu(tr) - r|x|J'_\nu(|x|r)J_\nu(tr)]$$

and the derivative formula for  $J_\nu$  (see [13, p. 361]) to see that the inner integral in (4.2) is  $1/(|x|^2 - t^2)$  multiplied by the sum of

$$(4.3) \quad \int_0^1 (1 - r^2)^{\epsilon+i\eta} \frac{d}{dr} [rtJ_\nu(|x|r)J_{\nu-1}(tr)]dr$$

and

$$(4.4) \quad \int_0^1 (1 - r^2)^{\epsilon+i\eta} \frac{d}{dr} [r|x|J_{\nu-1}(|x|r)J_\nu(tr)]dr.$$

In (4.4), we integrate by parts to obtain

$$(4.5) \quad \int_0^1 r|x|J_{\nu-1}(|x|r)J_\nu(tr) \cdot K(r, \eta)dr$$

where

$$K(r, \eta) = 2r(\epsilon + i\eta)(1 - r^2)^{\epsilon-1+i\eta}.$$

Because of the asymptotic behavior of  $J_\nu$  and  $J_{\nu-1}$  we find that (4.5) is dominated by

$$\begin{aligned} 2(|\eta| + \epsilon) \int_0^1 r^2|x|B_1(|x|r)(|x|r)^{-1/2}B_2(tr)(tr)^{-1/2}(1 - r^2)^{\epsilon-1}dr \\ \leq 2(|\eta| + \epsilon)c\left(\frac{|x|}{t}\right)^{1/2} \int_0^1 (1 - r^2)^{\epsilon-1}rdr \\ = \epsilon^{-1}(|\eta| + \epsilon)c\left(\frac{x}{t}\right)^{1/2}. \end{aligned}$$

The functions  $B_1$  and  $B_2$  are bounded functions and  $c$  represents the product of their supremums. The integral (4.3), in a similar manner, gives a term bounded by  $\epsilon^{-1}c(|\eta| + \epsilon)(t/|x|)^{1/2}$ .

We now place these estimates for (4.3) and (4.4) in (4.2) and obtain

$$(4.6) \quad |S_1^{\epsilon+i\eta}(f, x)| \leq c\epsilon^{-1}(|\eta| + \epsilon)|x|^{-(k-2)/2} \int_0^\infty \frac{f(t)t^{k/2}}{|x|^2 - t^2} \times \left[ \left(\frac{|x|}{t}\right)^{1/2} + \left(\frac{t}{|x|}\right)^{1/2} \right] dt.$$

We split the right-hand-side (dropping the constant for the moment) into

two terms:

$$\tilde{f}_1(|x|) = |x|^{-(k-3)/2} \int_0^\infty \frac{f(t)t^{(k-1)/2}}{|x|^2 - t^2} dt$$

and

$$\tilde{f}_2(|x|) = |x|^{-(k-1)/2} \int_0^\infty \frac{f(t)t^{(k+1)/2}}{|x|^2 - t^2} dt.$$

We are interested in proving  $\|\tilde{f}_i\|_p \leq A_p \|f\|_p$ ,  $i = 1, 2$ ; for this purpose we note that  $f$  is in  $L^p(t^{k-1}dt)$  when considered as a function on  $(0, \infty)$ , and we want to prove  $\tilde{f}_i$  is in  $L^p(t^{k-1}dt)$  when considered as a function on  $(0, \infty)$ . Making the changes of variable  $|x|^2 = \sigma$  and  $t^2 = \tau$  and letting

$$\psi_i(\sigma) = \sigma^{(1/p)(k/2-1)} \tilde{f}_i(\sigma^{1/2}), \quad \phi(\tau) = \frac{1}{2} \tau^{(1/p)(k/2-1)} f(\tau^{1/2}) \text{ and}$$

$$\gamma = \frac{k}{2} \left( \frac{1}{p} - \frac{1}{2} \right)$$

our problem is to show that there exists  $A_p$  such that  $\|\psi_i\|_p \leq A_p \|\phi\|_p$ , where

$$\psi_i(\sigma) = \int_0^\infty \frac{\phi(\tau)}{\sigma - \tau} \left( \frac{\tau}{\sigma} \right)^{\alpha_i} d\tau$$

and  $\alpha_1 = -\gamma + 1/p - 3/4$  and  $\alpha_2 = -\gamma + 1/p - 1/4$ . We see that  $\psi_i$  can be expressed as the difference of

$$(4.7) \quad \int_0^\infty \frac{\phi(\tau)}{\sigma - \tau} d\tau$$

and

$$(4.8) \quad \int_0^\infty \frac{\phi(\tau)}{\sigma - \tau} \left( 1 - \left( \frac{\tau}{\sigma} \right)^{\alpha_i} \right) d\tau, \quad i = 1, 2.$$

It follows from [4, Theorem 319] using the method indicated in [5, p. 998] that the integral (4.8) is in  $L^p$  and  $\|\psi_i\|_p \leq A_p \|\phi\|_p$  provided  $\alpha_i < 1/p$ , i.e. provided that  $p < 2k/(k + 6)$  and  $p > 2k/(k + 1)$  for  $i = 1$  and  $2$  respectively. The integral (4.7) satisfies a similar  $L^p$  norm inequality (see [4]) for  $1 < p < \infty$  since it is the Hilbert transform of  $\phi$ .

Thus we have shown that  $\|S_1^{\epsilon+i\eta} f\|_p \leq A_p c(|\eta|\epsilon^{-1} + 1) \|f\|_p$ , for  $2k/(k + 1) < p \leq 2$ , so we see that  $S_1^{\epsilon+i\eta}$  satisfies the second boundary condition of the interpolation lemma.

We are now ready to apply the interpolation lemma. Let  $2\alpha_0 = k - 1$ ,  $p_0 = 2k/k + 1 + \epsilon$ , and  $\alpha(z) = \alpha_0(1 - z) + \epsilon$  where  $\epsilon > 0$ , and  $0 < R(z) < 1$ . With  $1/p = (1 - t) + t/p_0$ , we apply the lemma to the analytic family  $S_1^{\alpha(z)}$  and find

$$(4.9) \quad \|S_1^{\alpha(t)} f\|_p \leq A_p \|f\|_p$$



where  $\log A_p = \log A$ , is given by (2.1). Easy estimates using the growth of  $A_0(y)$  and  $A_1(y)$  show that  $A_p$  is finite.

For each  $\epsilon > 0$ , the interpolation theorem gives (4.9) for those values of  $p$  which satisfy

$$1/p = (1 - t) + t/p_0, \quad 0 \leq t \leq 1.$$

But  $t$  is restricted by the conditions which were imposed on  $\alpha$ . By using  $\alpha = \alpha_0(1 - t) + \epsilon$  we have  $t = 1 - (\alpha - \epsilon)/\alpha_0$ . Hence the result holds for those values of  $p$  which satisfy

$$\frac{1}{p} = \left[ \frac{\alpha - \epsilon}{\alpha_0} \right] \left( 1 - \frac{1}{p_0} \right) + \frac{1}{p_0}, \quad \epsilon \leq \alpha \leq \epsilon + \alpha_0.$$

Considering that  $\epsilon$  may be arbitrarily small we see that if  $0 < \alpha < \alpha_0$ , then (4.9) holds for those values of  $p$  which satisfy  $1/p < (2\alpha + k + 1)/2k$ . The technique fails when  $\epsilon = 0$ , that is when  $p = 2k/(k + 1 + 2\alpha)$ . As was earlier remarked, this is sufficient to complete the proof of the theorem.

**5. An open problem.** In [11, p. 261–263], a technique for constructing multipliers for periodic functions in  $L^p(\mathbf{T}^k)$  is given where  $\mathbf{T}^k$  is the torus in  $k$  dimensions. The method modifies and extends a periodic function to Euclidean space. After applying a multiplier on  $L^p(\mathbf{R}^k)$  and a limiting process a multiplier theorem on  $L^p(\mathbf{T}^k)$  results. In our case, we only have a multiplier theorem for functions in  $L^p(\mathbf{R}^k, r)$ .

A class of “radial” periodic functions could be defined to be those periodic functions  $f$  in  $L^1(\mathbf{T}^k)$  for which  $\hat{f}(n)$  depends only on  $|n|$ . This leads to the question, whether a multiplier theorem for “radial” periodic functions can be obtained from such a theorem on  $L^p(\mathbf{R}^k, r)$ . In particular, does Theorem 1 imply a similar theorem for radial periodic functions? The technique of [11] fails because the modified and extended function is not *radial*.

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