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# <sup>1</sup> SARS-CoV-2 infection and vaccination status in six

# <sup>2</sup> ethnic groups in Amsterdam, the Netherlands, May-

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## November 2022

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## 33 Abstract

We studied SARS-CoV-2 infection and vaccination status among six ethnic groups in 34 Amsterdam, the Netherlands. We analysed participants of the HELIUS cohort who were 35 36 tested for SARS-CoV-2 spike protein antibodies between May 17 and November 21, 2022. We categorized participants with antibodies as only infected, only vaccinated (≥1 dose), or both 37 infected and vaccinated, based on self-reported prior infection and vaccination status, and 38 previous seroprevalence data. We compared infection and vaccination status between ethnic 39 groups using multivariable, multinomial logistic regression. Of the 1,482 included 40 participants, 98.5% had SARS-CoV-2 antibodies (P between ethnic groups=0.899). Being 41 previously infected and vaccinated ranged from 41.5% (95%Cl=35.0-47.9%) in the African 42 Surinamese to 67.1% (95%CI=59.1-75.0%) in the Turkish group. Compared to participants of 43 Dutch origin, participants of South-Asian Surinamese [adjusted OR (aOR)=3.31, 95% 44 confidence interval (CI)=1.50-7.31)], African Surinamese (aOR=10.41, 95%CI=5.17-20.94), 45 Turkish (aOR=3.74, 95%Cl=1.52-9.20), or Moroccan (aOR=15.24, 95%Cl=6.70-34.65) origin 46 47 were more likely to be only infected than infected and vaccinated, after adjusting for age, sex, and household size. SARS-CoV-2 infection and vaccination status varied across ethnic 48 groups, particularly regarding non-vaccination. As hybrid immunity is most protective 49 against COVID-19, future vaccination campaigns should encourage vaccination uptake in 50 specific demographic groups with only infection. 51

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#### 53 Keywords

54 SARS-CoV-2; vaccination; seroprevalence; antibodies; immunity; ethnicity.

## 55 Introduction

Early in the coronavirus disease 2019 (COVID-19) pandemic, it became apparent that ethnic minority populations were at increased risk of infection with Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) and severe progression of COVID-19, including hospitalization and mortality (1). The risk of SARS-CoV-2 infection and severe disease progression can be effectively reduced by immunity acquired through infection, vaccination or both (2, 3).

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In Amsterdam, the Netherlands, data from the multi-ethnic Healthy Life in an Urban Setting 63 (HELIUS) cohort identified ethnic differences in SARS-CoV-2 infections in the pre-vaccination 64 era. Between June and October 2020, following the first wave of the Dutch epidemic, 65 individuals of Ghanaian ethnic origin had a higher seroprevalence than individuals of Dutch, 66 Surinamese (South-Asian and African), Turkish or Moroccan origin (4). Between November 67 2020 and March 2021 (i.e., the second wave) differences in incidence became wider for all 68 other ethnic minority groups compared to the Dutch origin group. The estimated cumulative 69 incidence of infection remained the highest in individuals of Ghanaian origin (64.4%), 70 compared to 15.9% in the group of Dutch origin (5). When the primary SARS-CoV-2 71 vaccination series became available in early 2021, data from this cohort showed that the 72 uptake of at least one dose was lower in most ethnic minority groups compared to individuals 73 74 of Dutch origin by mid-2021 (6).

75

By mid-2022, much of the Dutch population had been infected with SARS-CoV-2, partly due to the highly transmissible Omicron variant (7), and the abolishment of most mitigation measures, such as social distancing (8). Moreover, the entire Dutch population had the

opportunity to receive both primary and booster vaccinations. Previous studies have 79 demonstrated that hybrid immunity, which is a combination of antibodies acquired through 80 prior SARS-CoV-2 infection and vaccination, provides greater and more durable protection 81 against severe COVID-19 than natural or vaccine-induced immunity alone, underscoring the 82 importance of vaccination uptake even after a previous infection (9, 10). However, it is 83 unknown whether the distribution of protection through hybrid immunity, prior infection, or 84 vaccination alone differs between ethnic groups. Understanding these potential ethnic 85 differences is crucial in identifying potential inequalities in protection against severe COVID-86 19 outcomes. This knowledge can guide targeted public health interventions to ensure 87 equitable protection and address future health inequities. 88

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This study aimed to describe the prevalence of anti-spike SARS-CoV-2 antibodies among people of Dutch, South-Asian Surinamese, African Surinamese, Ghanaian, Turkish and Moroccan origin in Amsterdam, the Netherlands, and to compare the SARS-CoV-2 infection and vaccination status (i.e., only prior infection, only vaccination, or both infection and vaccination) among people with SARS-CoV-2 antibodies between ethnic groups.

## 95 Methods

#### 96 Study design and population

We used data from the HELIUS study, which is a population-based multi-ethnic prospective 97 cohort study conducted in Amsterdam that focuses on the causes of potential ethnic 98 disparities in cardiovascular disease, mental health, and infectious diseases. Detailed 99 procedures have been previously described (11). Briefly, the parent HELIUS cohort comprises 100 24,780 adult individuals of Dutch, Surinamese, Ghanaian, Turkish, and Moroccan origin living 101 in Amsterdam who were included between January 2011 and December 2015. Individuals 102 were randomly sampled, stratified by ethnic origin, through the municipality register of 103 Amsterdam, and invited to participate (11, 12). This register contains data on country of birth 104 of citizens and their parents, which we used to determine ethnic origin. Country of birth is a 105 widely accepted and stable indicator for ethnic origin in the Netherlands, while Dutch studies 106 have shown high correlation between country of birth and self-identified ethnicity among 107 Turkish, Moroccan and Surinamese groups (12). We defined ethnic origin groups other than 108 109 Dutch as: (1) the individual, and at least one parent, were not born in the Netherlands (firstgeneration migrants), and (2) the individual was born in the Netherlands, but both parents 110 were not (migrants' offspring). Given the ethnic heterogeneity of the Surinamese population 111 (11, 12), we further classified participants with a Surinamese background into African, South-112 Asian, Javanese or 'other' based on self-report during the baseline questionnaire. Participants 113 114 completed a questionnaire and underwent physical examination during which biological 115 samples were obtained. The HELIUS study was approved by the Academic Medical Center Ethical Review Board, and written informed consent was obtained from all participants (11). 116

Shortly after the start of the COVID-19 pandemic, participants of the parent HELIUS cohort 118 who were still in follow-up and of Dutch, South-Asian Surinamese, African Surinamese, 119 Ghanaian, Turkish or Moroccan origin were randomly selected within each ethnic group and 120 were asked to participate in a three-visit longitudinal COVID-19 substudy (4). The first 121 COVID-19 substudy visit took place between June 24 and October 9, 2020. Participants of the 122 first visit were invited to participate in the second visit between November 23, 2020 and June 123 4, 2021, and the third visit between May 17 and November 21, 2022. This study included 124 participants of the third COVID-19 substudy visit. During all three visits, blood samples were 125 obtained via venipuncture, stored at -20°C, and were tested for SARS-CoV-2-specific 126 antibodies. Trained interviewers also administered questionnaires on items such as SARS-127 CoV-2 exposure, testing, infection history, perceptions, and vaccination uptake. During the 128 third substudy visit, participants who indicated that they could not visit the study site due to 129 long COVID were visited at home to limit selection bias due to post-COVID-19 complications. 130

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#### 132 Study outcomes

First, we described the SARS-CoV-2 antibody test result (positive versus negative) during the 133 third COVID-19 substudy visit. SARS-CoV-2 specific antibodies were determined using the 134 WANTAI SARS-CoV-2 Ab enzyme-linked immunosorbent assay (ELISA) (Wantai Biological 135 Pharmacy Enterprise Co., Beijing, China). This ELISA detects IgA, IgM, and IgG against the 136 receptor binding domain of the spike protein of SARS-CoV-2 (13). Even though this test 137 cannot discriminate between antibodies acquired through infection versus vaccination, the 138 139 sensitivity of the WANTAI ELISA is higher compared to other assays for detection of SARS-CoV-2 antibodies (14). 140

Second, we defined SARS-CoV-2 infection and vaccination status as being (i) only vaccinated, 142 (ii) only previously infected, or (iii) both infected and vaccinated, among those who tested 143 positive for SARS-CoV-2 antibodies during the third COVID-19 substudy visit. Vaccination 144 status was defined as receiving at least one vaccine dose based on self-report during the third 145 visit. For unvaccinated participants, prior infection was based on a positive antibody test at 146 the third visit. For vaccinated participants, prior infection was based on a positive antibody 147 test from the second (November 2020-June 2021) or, if unavailable, the first visit (June-148 October 2020). Nearly all HELIUS participants had their second visit before April 2021, when 149 vaccines were only available to healthcare workers and individuals aged >75 years (15). During 150 this period, most participants were ineligible for vaccination. We then excluded the few 151 participants who reported receiving vaccination before this visit. When previous antibody 152 test results were negative or missing, prior infection was determined by self-report at the 153 third visit, including both confirmed (i.e., through rapid antigen test or Nucleic Acid 154 Amplification Test by a health professional or rapid antigen self-test) and suspected (i.e., not 155 confirmed by any test) infections. More detailed information on the classification is provided 156 in Supplementary Methods 1 and Supplementary Figure S1. 157

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#### 159 Covariates

We previously explored a wide range of sociodemographic, psychological, and cultural determinants of SARS-CoV-2 exposure, vaccination intent, and uptake across ethnic groups (4-6, 16). For this analysis, we selected *a priori* several key sociodemographic (i.e., age, sex, household size), access to healthcare (i.e., health literacy) and cultural factors (i.e., cultural orientation) based on their relevance in previous findings. We additionally included governmental trust as a structural factor driving SARS-CoV-2 vaccine hesitancy (17, 18).

We used the following data from the baseline visit of the parent HELIUS study: age (based on the municipal registry; recalculated for the third COVID-19 substudy visit), sex, number of household members, health literacy, and cultural orientation [no integration (including separation and marginalization) versus integration (also including assimilation)]. More detailed information on the instruments used has been previously described (6).

172

From the third COVID-19 substudy visit, we used the participants' level of trust in the response of the Dutch government in containing the SARS-CoV-2 pandemic, which was measured on a 5-point Likert scale, ranging from 1 ('no trust at all') to 5 ('a lot of trust'). We categorized the scores for governmental trust into no trust (scores 1-2), neutral (3) and trust (4-5).

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#### 179 Statistical analysis

The qualitative SARS-CoV-2 antibody test results from the third COVID-19 substudy visit
were described and compared between ethnic groups using Pearson's χ<sup>2</sup> test.

182

Among participants with antibodies, we compared the SARS-CoV-2 infection and vaccination status between ethnic groups using multinomial logistic regression. We calculated the univariable odds ratio (OR) and 95% confidence interval (CI) comparing the odds of being (1) only previously infected or (2) only previously vaccinated versus being both previously infected and vaccinated across ethnic groups. We then selected *a priori* several determinants of infection and vaccination status as covariates in a first model (i.e., age, sex, household size) (model 1). In a second model (model 2), we included age, sex, and household

size, along with health literacy and cultural orientation, while excluding individuals of Dutch 190 origin, as the available health literacy and cultural orientation data do not often apply to this 191 group. Observations with missing values on covariates were removed from analysis. We 192 adjusted both models for the month of study visit, as those who participated later in time had 193 194 a progressively higher risk of infection or vaccination. We performed an E-value analysis to assess the minimum strength of association that a potential unmeasured confounder would 195 need to have with both ethnicity and SARS-CoV-2 infection and vaccination status to fully 196 explain away the observed effect (19). We conducted a sensitivity analysis only including 197 individuals with a SARS-CoV-2 antibody test result at all three substudy visits 198 (Supplementary Methods 2). 199

200

The SARS-CoV-2 infection and vaccination status (percentage only vaccinated, only previously infected, or both) and regression analyses accounted for sampling and were rendered representative of the population structure of Amsterdam by assigning poststratification weights corresponding to the distribution of age and sex in the specific ethnic groups in Amsterdam (Supplementary Methods 3) (4). A *P* value <0.05 was considered statistically significant. All analyses were performed using STATA version 17.0 (College Station, TX, USA).

### 208 **Results**

#### 209 Description of the study population

210 In total, 1,482 individuals who participated in the third substudy visit between May and November 2022 were included in analyses. In- and exclusion criteria are described in 211 Supplementary Figure S2. Detailed information on differences between participants of the 212 parent HELIUS cohort who were included versus not included in the third COVID-19 substudy 213 visit is presented in Supplementary Table S1. Briefly, participants included in the third visit 214 were more likely to be Dutch or South-Asian Surinamese, slightly older, more highly 215 educated, more integrated in the host society, more likely to have adequate health literacy 216 level, and more proficient in the Dutch language compared with those not included. 217

218

Participant characteristics are presented in Table 1. The median age was 58 years 219 (interguartile range [IQR] 48-65), ranging between 26 and 81 years at time of participation in 220 the third substudy visit. The majority of participants was female (57.2%). The proportion of 221 participants with a higher educational level ranged from 10.3% in the Ghanaian group to 222 67.1% in the Dutch group. Compared to participants of Dutch ethnic origin, those of other 223 than Dutch origin were more likely to live in larger households. Participants of Ghanaian 224 origin were the most likely to trust the response of the Dutch government in containing the 225 pandemic (78.4%), while those of Turkish origin were the least likely to have trust (33.1%). 226

227

A total of 1,287 participants (86.8%) reported to have received at least one SARS-CoV-2 vaccine dose. Among them, 1,282 (99.6%) completed the primary series (i.e., two doses of Pfizer, Moderna or AstraZeneca, at least one dose of Janssen, or infection prior to receiving at least one dose of any vaccine), and of them, 939 (73.2%) received a booster dose. Selfreported vaccination uptake varied significantly between ethnic groups, with the proportion of participants who received at least one dose being highest in the Dutch (95.7%) and Ghanaian (95.5%) groups, and lowest in the Moroccan group (69.7%). Among those who received at least one dose, the booster uptake was highest in the Dutch group (90.0%), and lowest in the Turkish (51.4%) and Moroccan (53.5%) groups.

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#### 238 Prevalence of anti-spike SARS-CoV-2 antibodies

Of all analyzed participants of the third COVID-19 substudy visit, 1,460 (98.5%) had SARS-CoV-2 spike protein antibodies at the time of their study visit between May and November 2022, while 22 (1.5%) did not (Table 1). The proportion of individuals with antibodies did not differ significantly between ethnic groups (*P*=0.899). Most other participant characteristics were also similar between those with and without antibodies (Supplementary Table S2).

#### 245 Ethnic variation in SARS-CoV-2 infection and vaccination status

Of the 1,460 participants with SARS-CoV-2 antibodies, 54.4% were both previously infected 246 and vaccinated (n=794), 33.4% were only previously vaccinated (n=488) and 12.2% were only 247 previously infected (n=178). The distribution of infection and vaccination status differed 248 significantly between ethnic groups (P<0.001) (Table 1). Being previously infected and 249 vaccinated was most common in the Turkish (corrected percentage accounting for the 250 population structure of Amsterdam and sampling 67.1%, 95%CI=59.1-75.0%), followed by the 251 252 Ghanaian (60.4%, 95%Cl=51.2-69.6%), Dutch (58.5%, 95%Cl=53.1-63.8), South-Asian 253 Surinamese (52.8%, 95%CI=46.8-58.9%), Moroccan (47.8%, 95%CI=39.7-55.9%) and African Surinamese (41.5%, 95%CI=35.0-47.9%) groups (Figure 1, uncorrected and corrected 254 estimates and corresponding 95% CI can be found in Supplementary Figure S<sub>3</sub>). Being only 255

previously vaccinated was least common in the Turkish (15.6%, 95%Cl=9.7-21.5%) and most
common in the Dutch (37.9%, 95%Cl=32.7-43.1%) group. Being only previously infected
varied between 3.6% (95%Cl=1.7-5.6%) in the Dutch and 30.0% (95%Cl=22.6-37.3%) in the
Moroccan group.

260

In both univariable analysis and the analysis adjusted for age, sex, household size, and month 261 of study visit (model 1), participants of South-Asian Surinamese [adjusted OR (aOR)=3.31, 262 95% confidence interval (CI)=1.50-7.31)], African Surinamese (aOR=10.41, 95% CI=5.17-263 20.94), Turkish (aOR=3.74, 95%CI=1.52-9.20), or Moroccan (aOR=15.24, 95%CI=6.70-34.65) 264 origin were significantly more likely to be only infected than both infected and vaccinated, 265 compared to participants of Dutch origin (Figure 2, Supplementary Table S3). These 266 associations remained similar when only including individuals with a SARS-CoV-2 antibody 267 test result at all three substudy visits (Supplementary Table S4). No significant differences 268 were observed between ethnic groups for being only vaccinated versus both infected and 269 vaccinated. 270

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After additionally adjusting for cultural orientation and health literacy, while excluding the Dutch group (model 2), individuals of African Surinamese or Moroccan origin were more likely to be only infected, and individuals of Turkish origin were less likely to be only vaccinated, than infected and vaccinated, compared to those of South-Asian Surinamese origin (Supplementary Table S<sub>3</sub>).

278 Based on the E-value analysis, the association of the unmeasured confounder with both 279 ethnicity and particularly prior infection (versus both infection and vaccination) would need 280 to be strong to explain away the current effect (Supplementary Table S<sub>5</sub>).

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## 281 Discussion

This analysis of an adult multi-ethnic population-based cohort in Amsterdam, the 282 283 Netherlands, demonstrated that 98.5% of the individuals had developed antibodies against SARS-CoV-2 in the second half of 2022. Notwithstanding the lack of differences in SARS-284 CoV-2 antibody prevalence between ethnic groups, our analyses did reveal ethnic differences 285 in the combination of prior SARS-CoV-2 infection and vaccination among those with 286 antibodies in the second half of 2022. Being both previously infected and vaccinated against 287 SARS-CoV-2 was most common in the Turkish group (67%), followed by the Ghanaian (60%), 288 Dutch (59%), South-Asian Surinamese (53%), Moroccan (48%), and African Surinamese 289 (42%) groups. When comparing to individuals with both prior infection and vaccination, and 290 after accounting for age, sex, household size, trust in the government's response to the 291 pandemic, and month of study visit, individuals of South-Asian Surinamese, African 292 Surinamese, Turkish, or Moroccan origin were more likely to be only infected versus those of 293 294 Dutch origin.

295

The prevalence of anti-spike SARS-CoV-2 antibodies was high and similar among the studied 296 ethnic groups. This result might seem unexpected, given that the cumulative incidence of 297 SARS-CoV-2 infections varied significantly between ethnic groups in Amsterdam by March 298 31, 2021 (5). However, by mid-2022, much of the Dutch population had been infected with 299 300 SARS-CoV-2, partly due to the highly transmissible Omicron variant, which became dominant in December 2021 (7, 20), and the abolishment of mitigation measures (21). 301 Furthermore, the entire population had the opportunity to receive a primary vaccination, and 302 in November 2021 a nationwide booster vaccination campaign was implemented (21). These 303 events likely led to a large increase in the SARS-CoV-2 antibody prevalence. In line with our 304

findings, 98% of Dutch blood donors had natural or vaccine-induced antibodies against SARS-CoV-2 by February 2022, though this study was unable to compare between ethnic groups (22). Despite the high prevalence of anti-spike SARS-CoV-2 antibodies among our participants, 1.5% lacked antibodies, emphasizing the ongoing need for intervention efforts to protect these people against infection and severe disease progression. Addressing factors, such as lack of trust in the government's response to the pandemic, which appeared to be lower among those lacking antibodies, could help enhance vaccination uptake.

312

The prior SARS-CoV-2 infection and vaccination status varied remarkably between ethnic 313 groups. First, we observed that 30%, 25%, 17% and 10% of the individuals of Moroccan, 314 African Surinamese, Turkish, and South-Asian Surinamese origin, respectively, were only 315 previously infected without vaccination, compared to only 4% of those of Dutch origin. The 316 differences remained when adjusting for age, sex, household size, trust in the government's 317 response to the pandemic, and month of study visit. These observations align with prior 318 research, in which linkage of SARS-CoV-2 vaccination registry data to HELIUS data 319 320 demonstrated lower vaccination uptake among these groups, except in the South-Asian Surinamese group, between January and September 2021 (6). Ethnic minority groups, and 321 especially those unvaccinated, face a higher risk of developing severe COVID-19-related 322 outcomes following infection, emphasizing the importance of vaccination in these groups 323 (23). However, ethnic minority groups have experienced hesitancy toward SARS-CoV-2 324 vaccination, driven by underlying structural disadvantages (e.g., geographical, economic, 325 326 social), concerns about vaccine effectiveness and safety, language barriers, culture, mistrust in the government and health systems, and misinformation (6, 16, 24, 25). In response to 327 practical barriers, the Public Health Service of Amsterdam has implemented tailored 328

329 interventions to encourage vaccination uptake, including collaborating with community leaders, providing information in native languages, and deploying an increasing amount of 330 mobile vaccination units across city districts. Data on practical barriers (e.g., distance to 331 vaccination location) was unavailable for our analyses, but merits further investigation. 332 333 Nevertheless, the E-value analysis suggests that unmeasured variables would have to be highly confounding to change the identified associations. As factors related to vaccination 334 intent and uptake for SARS-CoV-2, but also other infectious diseases, can be specific to 335 certain ethnic groups (6, 16), tailored strategies addressing these concerns are crucial. 336

337

Our findings revealed that the slight majority of participants had acquired immunity through 338 both prior SARS-CoV-2 infection and vaccination, varying between 67% in the Turkish group 339 and 42% in the African Surinamese group. A combination of antibodies acquired through 340 both prior SARS-CoV-2 infection and vaccination (i.e., hybrid immunity) offers more 341 protection against SARS-CoV-2 infection and severe disease progression than natural or 342 vaccine-induced immunity alone (9, 26, 27). Findings from a systematic review and meta-343 analysis additionally suggested that hybrid immunity offers longer lasting protection against 344 reinfection compared to either infection or, to a larger extent, vaccination alone (9). 345 However, concerns persist regarding waning immunity and the potential for antibody evasion 346 by emerging SARS-CoV-2 variants (28), emphasizing the ongoing importance of vaccination, 347 even following infection. It is, however, important that vaccination precedes infection, as 348 infection could lead to severe COVID-19, a risk reduced by vaccination (23, 29). Concerningly, 349 350 there appears to be a higher risk of infection preceding vaccination in ethnic minority groups, assumed by the higher incidence of SARS-CoV-2 infections compared to the Dutch origin 351 group in the pre-vaccination era (5). Consequently, these groups had been at increased risk 352

of severe outcomes associated with infection, such as COVID-19-related hospitalization, ICU
 admission, mortality, and developing post-COVID-19 complications (1).

355

The prior SARS-CoV-2 infection and vaccination status across ethnic groups had not 356 357 previously been investigated in the Netherlands. However, a study from the United States (US) demonstrated variation in the prior infection and vaccination status between ethnic 358 groups, with hybrid immunity ranging between 26.5% among Hispanic and 15.4% among 359 Asian individuals (30). It should be noted that the US study was conducted when the Delta 360 variant was dominantly circulating (i.e., January and December 2021), and ethnic 361 backgrounds and cultural histories of ethnic groups vary between the Netherlands and the 362 363 US.

364

This study has several limitations. First, there is a potential for misclassification of SARS-CoV-365 2 infection or vaccination status. The WANTAI SARS-CoV-2 antibody ELISA does not 366 discriminate between antibodies acquired through infection or vaccination, as it measures 367 spike protein antibodies, indicating prior infection or vaccination, and not nucleocapsid 368 protein antibodies, which specifically indicate prior infection. Hence, we partly relied on self-369 report for determining the infection and vaccination status. The number of vaccinated 370 individuals might have been overestimated, as participants potentially provided socially 371 desirable answers regarding their vaccination status. However, the high uptake of 87% by 372 November 2022 was consistent with national vaccination data (82% of the population ≥18 373 374 years old and 94% of those ≥60 years old in the Netherlands had received at least one dose by the end of 2022 (31)). Additionally, the differences in SARS-CoV-2 vaccination uptake we 375 observed between ethnic groups align with previous findings from the HELIUS cohort, based 376

on registry data from September 2021 (6). Self-reported prior infections might have been 377 overestimated, as some participants were classified as previously infected regardless of 378 whether these infections were suspected or confirmed, or underestimated, as participants 379 might have had asymptomatic infections. Infections that passed mostly unnoticed were 380 381 more common in the Ghanaian group compared to other ethnic groups within the HELIUS cohort (4), potentially leading to an overestimation of participants classified as only 382 vaccinated in this group. It should be noted that it is uncertain whether individuals with prior 383 infection, vaccination, or both were still protected against COVID-19 at the time of their study 384 visit, as antibody levels might have declined over time, even in individuals with hybrid 385 immunity, potentially reducing the level of protection (9). Furthermore, the 386 sociodemographic and cultural differences between participants in the COVID-19 substudy 387 and the parent HELIUS cohort suggest potential selection bias. Given the higher proportions 388 of individuals with factors that might be associated with increased vaccination uptake and 389 lower infection risk (e.g., more highly educated, higher health literacy), this bias could have 390 led towards higher vaccination and lower infection rates. However, since the numeric 391 differences in percentages between included and non-included individuals were not 392 noteworthy, this bias was likely limited. Lastly, changes may have occurred in the measured 393 household size, cultural orientation and health literacy since the baseline visit of the HELIUS 394 study (i.e., 2011-2015), which might not have been fully representative of their values at time 395 of measurement of our study outcomes in 2022. 396

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In conclusion, while seroprevalence was high and similar across the studied ethnic groups, the acquisition of SARS-CoV-2 spike protein antibodies (i.e., naturally, through immunization, or both) varied between the groups, notably with a higher proportion of

individuals in the Moroccan, African Surinamese, Turkish and South-Asian Surinamese 401 groups having acquired antibodies only through previous infection compared to the Dutch 402 group. As hybrid immunity offers greater protection than natural or vaccine-induced 403 immunity alone, our findings could help guide policy makers in prioritizing future vaccination 404 405 and booster campaigns for specific demographic groups, such as those only previously infected. As governmental mistrust was associated with a higher likelihood of being only 406 infected without vaccination, exploring strategies to overcome this mistrust is essential for 407 enhancing future uptake of vaccination against SARS-CoV-2 and other infectious diseases. 408

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414

#### 415 Authors contributions

- 416 MP, KS, JS and CA conceived, designed, or oversaw the study. HG, AK and JS were involved 417 in the acquisition of data. SC conducted the statistical analysis and drafted the manuscript 418 under the supervision of AB and MP. All authors read and approved the final manuscript and
- 419 attest they meet the ICMJE criteria for authorship.
- 420

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- 429

#### 430 Conflicts of interest

- 431 The authors declare that they have no known competing financial interests or personal
- relationships that could have appeared to influence the work reported in this paper.

433

#### 434 Ethical approval statement

- The HELIUS study was approved by the Academic Medical Center Ethical Review Board, and
- 436 written informed consent was obtained from all participants.
- 437
- 438 Data availability
- 439 Data requests can be submitted to the steering committee of the HELIUS study.
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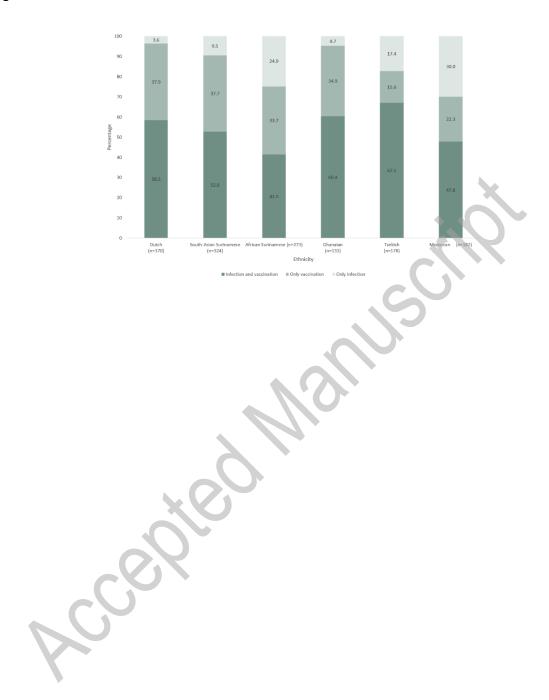
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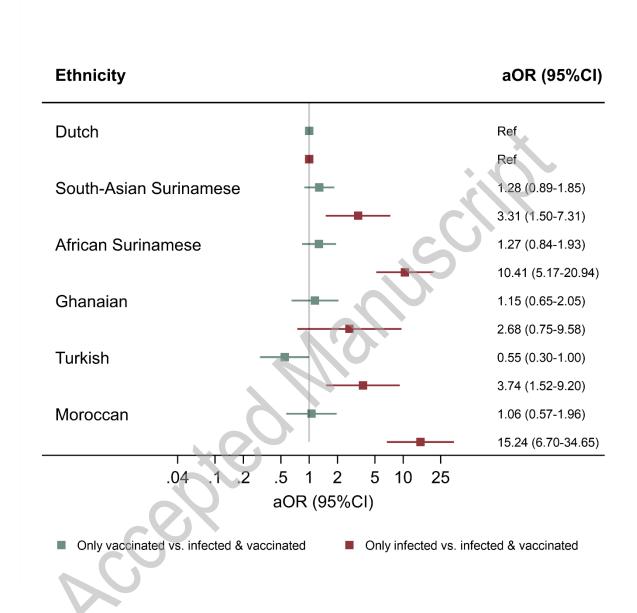
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## 529 **Table 1**. Characteristics of the HELIUS participants included in the third COVID-19 substudy visit, per ethnic group, Amsterdam, the Netherlands, May 17,

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530 2022 - November 21, 2022.

Characteristic	Total (n=1,482)	Dutch (n=375)	South-Asian Surinamese (n=328)	African Surinamese (n=279)	Ghanaian (n=134)	Turkish (n=181)	Moroccan (n=185)		
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	P value	
<b>Age in years</b> <sup>ab</sup> , median (IQR)	58.0 (48.0-65.0)	61.0 (51.0-69.0)	58.0 (51.0-65.0)	61.0 (51.0-67.0)	58.0 (51.0-63.0)	53.0 (44.0-60.0)	52.0 (44.0-58.0)	<0.001	
<45	264 (17.8)	63 (16.8)	53 (16.2)	27 (9.7)	20 (14.9)	47 (26.0)	54 (29.2)		
45-54	316 (21.3)	52 (13.9)	71 (21.6)	57 (20.4)	28 (20.9)	50 (27.6)	58 (31.4)		
55-59	245 (16.5)	55 (14.7)	51 (15.5)	34 (12.2)	29 (21.6)	38 (21.0)	38 (20.5)		
≥60	657 (44.3)	205 (54.7)	153 (46.6)	161 (57.7)	57 (42.5)	46 (25.4)	35 (18.9)		
Sex <sup>a</sup>								0.020	
Male	635 (42.8)	173 (46.1)	119 (36.3)	113 (40.5)	70 (52.2)	82 (45.3)	78 (42.2)		
Female	847 (57.2)	202 (53.9)	209 (63.7)	166 (59.5)	64 (47.8)	99 (54.7)	107 (57.8)		
Higher education level <sup>ac</sup>								<0.001	
No	916 (63.0)	123 (32.9)	249 (75.9)	181 (64.9)	113 (89.7)	121 (70.3)	129 (74.1)		
Yes	537 (37.0)	251 (67.1)	79 (24.1)	98 (35.1)	13 (10.3)	51 (29.7)	45 (25.9)		
Missing	29	1	0	0	8	9	11		
Number of people in household <sup>a</sup>								<0.001	
1	340 (23.5)	98 (26.1)	67 (20.6)	100 (36.2)	23 (18.3)	23 (13.5)	29 (16.7)		
2	402 (27.8)	165 (44.0)	93 (28.6)	68 (24.6)	27 (21.4)	31 (18.1)	18 (10.3)		
3	254 (17.6)	46 (12.3)	77 (23.7)	52 (18.8)	24 (19.0)	36 (21.1)	19 (10.9)		
4	262 (18.1)	55 (14.7)	58 (17.8)	37 (13.4)	27 (21.4)	45 (26.3)	40 (23.0)		
≥5	189 (13.1)	11 (2.9)	30 (9.2)	19 (6.9)	25 (19.8)	36 (21.1)	68 (39.1)		
Missing	35	0	3	3	8	10	11		
Cultural orientation <sup>ad</sup>								<0.001	
More integrated	1,282 (89.3)	375 (100.0)	283 (87.1)	246 (88.8)	98 (79.0)	138 (82.1)	142 (85.0)		
Less integrated	154 (10.7)	0 (0.0)	42 (12.9)	31 (11.2)	26 (21.0)	30 (17.9)	25 (15.0)		

Missing	46	0	3	2	10	13	18	
Health literacy <sup>a</sup>								<0.001
Adequate	1,344 (92.3)	373 (99.5)	317 (96.6)	275 (98.6)	91 (71.7)	136 (78.6)	152 (87.4)	
Low	112 (7.7)	2 (0.5)	11 (3.4)	4 (1.4)	36 (28.3)	37 (21.4)	22 (12.6)	
Missing	26	0	0	о	7	8	8	
Level of trust in the government pandemic response <sup>e</sup>				Ċ				<0.001
Trust	634 (42.8)	178 (47.5)	126 (38.4)	97 (34.8)	105 (78.4)	60 (33.1)	68 (36.8)	
Neutral	650 (43.9)	151 (40.3)	172 (52.4)	138 (49.5)	22 (16.4)	74 (40.9)	93 (50.3)	
No trust	198 (13.4)	46 (12.3)	30 (9.1)	44 (15.8)	7 (5.2)	47 (26.0)	24 (13.0)	
Self-reported SARS-CoV-2 vaccination uptake (primary series) <sup>ef</sup>								<0.001
Unvaccinated	195 (13.2)	16 (4.3)	25 (7.6)	59 (21.1)	6 (4.5)	33 (18.2)	56 (30.3)	
Incomplete primary series	5 (0.3)	0 (0.0)	1 (0.3)	1(0.4)	2 (1.5)	o (o.o)	1 (0.5)	
Complete primary series	1,282 (86.5)	359 (95.7)	302 (92.1)	219 (78.5)	126 (94.0)	148 (81.8)	128 (69.2)	
At least 1 dose	1,287 (86.8)	359 (95.7)	303 (92.4)	220 (78.9)	128 (95.5)	148 (81.8)	129 (69.7)	
Self-reported booster uptake, among those who completed the primary series <sup>eg</sup>								<0.001
No	343 (26.8)	36 (10.0)	85 (28.1)	57 (26.0)	34 (27.0)	72 (48.6)	59 (46.1)	
Yes	939 (73.2)	323 (90.0)	217 (71.9)	162 (74.0)	92 (73.0)	76 (51.4)	69 (53.9)	
SARS-CoV-2 antibody test result at visit 3 $^{ m e}$								0.899
Negative	22 (1.5)	5 (1.3)	4 (1.2)	6 (2.2)	1(0.7)	3 (1.7)	3 (1.6)	
Positive	1,460 (98.5)	370 (98.7)	324 (98.8)	273 (97.8)	133 (99.3)	178 (98.3)	182 (98.4)	
Infection and vaccination status among those seropositive at visit 3 <sup>eh</sup>	3							<0.001
Infected and vaccinated	794 (54.4)	215 (58.1)	170 (52.5)	122 (44.7)	78 (58.6)	117 (65.7)	92 (50.6)	
Only vaccinated	488 (33.4)	142 (38.4)	132 (40.7)	97 (35.5)	49 (36.8)	31 (17.4)	37 (20.3)	
Only infected	178 (12.2)	13 (3.5)	22 (6.8)	54 (19.8)	6 (4.5)	30 (16.9)	53 (29.1)	
Month of study visit 3 (in 2022)								<0.001
Мау	40 (2.7)	23 (6.1)	13 (4.0)	0 (0.0)	0 (0.0)	0 (0.0)	4 (2.2)	

June	314 (21.2)	138 (36.8)	90 (27.4)	40 (14.3)	o (o.o)	o (o.o)	46 (24.9)
July	480 (32.4)	136 (36.3)	105 (32.0)	118 (42.3)	36 (26.9)	40 (22.1)	45 (24.3)
August	304 (20.5)	35 (9.3)	66 (20.1)	73 (26.2)	54 (40.3)	47 (26.0)	29 (15.7)
September	228 (15.4)	27 (7.2)	38 (11.6)	38 (13.6)	30 (22.4)	64 (35.4)	31 (16.8)
October	73 (4.9)	8 (2.1)	8 (2.4)	7 (2.5)	9 (6.7)	20 (11.0)	21 (11.4)
November	43 (2.9)	8 (2.1)	8 (2.4)	3 (1.1)	5 (3.7)	10 (5.5)	9 (4.9)

Abbreviations: HELIUS Healthy Life in an Urban Setting; COVID-19 Coronavirus disease 2019; IQR interquartile range; SARS-CoV-2 Severe acute respiratory 531 syndrome coronavirus 2. <sup>a</sup> Measured at HELIUS baseline (2011–2015); <sup>b</sup> Age was recalculated for the third COVID-19 substudy visit; <sup>c</sup>Higher education level 532 includes higher vocational schooling and university; lower education level includes no/elementary school, lower/intermediate vocational schooling, 533 lower/intermediate secondary school.<sup>d</sup> Participants were classified as being more integrated into the host society when not applicable (Dutch ethnic origin) 534 or when measured to be integrated or assimilated; participants were classified as less integrated when measured to be separated or marginalized, according 535 536 to Berry's acculturation strategies (reference: Berry JW. Immigration, Acculturation, and Adaptation. Applied Psychol: An International Review 1997;46:5-537 68). e Measured during the third COVID-19 substudy visit (May-November 2022). SARS-CoV-2 vaccination status was determined by the question "Which 538 primary vaccinations have you received?". Incomplete: received one dose of a vaccine other than Janssen, with or without subsequent infection; complete: received two doses of Pfizer, Moderna or AstraZeneca, ≥1 dose of Janssen, or had a past infection and subsequently received ≥1 dose of any vaccine (based 539 on the guidelines of the Dutch government, reference: National Institute for Public Health and the Environment. COVID-19-vaccinatie uitvoeringsrichtlijn -540 version 4 December 2021. 2021. Available from: https://lci.rivm.nl/richtlijnen/covid-19-vaccinatie. Accessed on: 20 March 2023). 9 Booster status was 541 determined by the question "Have you received a booster vaccination?". <sup>h</sup> Prior infection and vaccination status was defined as being only previously 542 vaccinated (based on the self-reported uptake of  $\geq$ 1 SARS-CoV-2 vaccine dose, without evidence of prior SARS-CoV-2 infection), only previously infected 543 (based on having a positive antibody test result at the third COVID-19 substudy visit without reporting to be previously vaccinated), or both previously 544 545 infected and vaccinated (based on the self-reported uptake of at least one SARS-CoV-2 vaccine dose and having tested seropositive during previous substudy visits [visit 1: June-October 2020 or visit 2: November 2020-June 2021] or, if antibody test results during previous visit were negative or unavailable, on self-546 reported prior infection). 547