

## QUASI-HEREDITARY ENDOMORPHISM ALGEBRAS

V. DLAB, P. HEATH AND F. MARKO

**ABSTRACT.** Quasi-hereditary algebras were introduced by Cline-Parshall-Scott (see [CPS] or [PS]) to deal with highest weight categories which occur in the study of semi-simple complex Lie algebras and algebraic groups. In fact, the quasi-hereditary algebras which appear in these applications enjoy a number of additional properties. The objective of this brief note is to describe a class of lean quasi-hereditary algebras [ADL] which possess such typical characteristics. A study of these questions originated in collaboration with C. M. Ringel (see [DR]).

**1. Introduction.** Throughout the paper,  $R$  denotes a (finite dimensional) commutative local self-injective  $K$ -algebra with a splitting field  $K$ , and  $A$  the endomorphism algebra of a (finite) direct sum  $X = \bigoplus_{\lambda \in \Lambda} X(\lambda)$  of pair-wise non-isomorphic (finite dimensional) local-colocal  $R$ -modules  $X(\lambda)$ , *i.e.* such that both  $X(\lambda)/\text{rad } X(\lambda)$  and  $\text{soc } X(\lambda)$  are simple. Write, for each  $\lambda$ ,  $e_\lambda = m_\lambda p_\lambda$ , where  $p_\lambda: X \rightarrow X(\lambda)$  and  $m_\lambda: X(\lambda) \rightarrow X$  are the canonical projection and embedding, respectively. Thus, for all  $\lambda \in \Lambda$ ,  $S(\lambda) = Ae_\lambda/\text{rad } Ae_\lambda$  are the (pair-wise non-isomorphic) left simple  $A$ -modules,  $P(\lambda) = Ae_\lambda$  their projective covers and  $I(\lambda) = \text{Hom}_K(e_\lambda A, K)$  their injective hulls.

Observe that, for each  $X(\lambda)$ , there is a (unique) embedding into  $R$  and that every  $R$ -homomorphism  $f: X(\lambda) \rightarrow X(\kappa)$  is induced by multiplication by an element  $r \in R$ : Given  $f$ , there is an extension  $\tilde{f}: R \rightarrow R$  and every endomorphism of  $R_R$  is given by multiplication,

$$\begin{array}{ccccc} 0 & \longrightarrow & X(\lambda) & \longrightarrow & R_R \\ & & \downarrow f & & \downarrow \tilde{f}=r \\ 0 & \longrightarrow & X(\kappa) & \longrightarrow & R_R \end{array}$$

Thus, in particular the image  $\text{Im } f$  is isomorphic to a submodule of  $X(\lambda)$ . As a result, the following three statements which will be used repeatedly, are equivalent:

- (a)  $R \supseteq X(\kappa) \supseteq X(\lambda)$ ;
- (b) there is a monomorphism from  $X(\lambda)$  to  $X(\kappa)$ ;
- (c) there is an epimorphism from  $X(\kappa)$  to  $X(\lambda)$ .

Furthermore, each  $X(\lambda)$  is a factor module of  $R$  and as such has a natural structure of a local commutative self-injective  $K$ -algebra; thus  $\text{Hom}_K(X(\lambda), K) \simeq X(\lambda)$ . As a consequence,  $A = \text{End}_R X$  is an algebra with involution and thus there is a duality functor

This research was supported in part by NSERC of Canada.

Received by the editors August 5, 1994.

AMS subject classification: 16D99, 16P99, 16S99.

© Canadian Mathematical Society 1995.

$D: A\text{-mod} \rightarrow A\text{-mod}$  satisfying  $D(S) \simeq S$  for all simple  $A$ -modules  $S$ . Indeed, the map  $*$ :  $A \rightarrow A$  defined for

$$f: X \xrightarrow{P_\lambda} X(\lambda) \xrightarrow{f_{\kappa\lambda}} X(\kappa) \xrightarrow{m_\kappa} X$$

by

$$f^*: X \xrightarrow{P_\kappa} X(\kappa) \simeq \text{Hom}_K(X(\kappa), K) \xrightarrow{\text{Hom}(f_{\kappa\lambda}, K)} \text{Hom}_K(X(\lambda), K) \simeq X(\lambda) \xrightarrow{m_\lambda} X$$

is an involution. In addition to the relations  $(ab)^* = b^*a^*$  and  $(a^*)^* = a$ , we have also  $e_\lambda^* = e_\lambda$  for all  $\lambda \in \Lambda$ . Hence, we get a duality functor  $D$  if, for every right  $A$ -module  $Y_A$  we define the left module  ${}_A Y^*$  by putting  $Y^* = Y$  and  $ay = ya^*$ , and set  $D(Y_A) = \text{Hom}_K({}_A Y^*, K)$ . Thus  $D(P(\lambda)) \simeq I(\lambda)$  and  $D(S(\lambda)) \simeq S(\lambda)$ .

The main result of this paper is the following theorem.

**THEOREM.** *Let  $R$  be a commutative local self-injective  $K$ -algebra over a splitting field  $K$ ;  $\dim_K R = n$ . Let  $\mathcal{X} = \{X(\lambda) \mid \lambda \in \Lambda\}$  be a set of local ideals of  $R$  indexed by a finite partially ordered set  $\Lambda$  reflecting inclusions:  $X(\lambda') \subset X(\lambda'')$  if and only if  $\lambda' > \lambda''$ . Let  $R = X(\lambda_1)$  belong to  $\mathcal{X}$ . Then  $A = \text{End}(\bigoplus_{\lambda \in \Lambda} X(\lambda))$  is a quasi-hereditary algebra with respect to  $\Lambda$  if and only if*

- (i)  $\text{card}(\Lambda) = n$  and
- (ii)  $\text{rad } X(\lambda) = \sum_{\lambda < \kappa} X(\kappa)$ .

Let us add that under the conditions of the theorem, we can easily verify the following facts:

- (a) as mentioned earlier, there is a duality functor on the category of  $A$ -modules which fixes the simple modules  $S(\lambda)$ ,  $\lambda \in \Lambda$ ;
- (b) the algebra  $A$  is lean (see [ADL]) and every standard module  $\Delta(\lambda)$  has a simple socle isomorphic to  $S(\lambda_1)$ ;
- (c)  $[\Delta(\lambda) : S(\kappa)] \leq 1$  for all  $\lambda, \kappa \in \Lambda$ ; in fact,  $[\Delta(\lambda) : S(\kappa)] = 1$  if and only if  $\kappa \leq \lambda$ , and thus  $\dim_K \Delta(\lambda) = \text{card}\{\kappa \mid \kappa \leq \lambda\}$ ;
- (d)  $R/\text{rad } R \simeq X(\lambda_n) \in \mathcal{X}$ ,  $\dim_K P(\lambda_n) = n$  and generally

$$\dim_K P(\kappa) = \sum_{\lambda \leq \kappa} \dim_K \Delta(\lambda);$$

thus  $\dim_K A = \sum_{\lambda \in \Lambda} (\dim_K \Delta(\lambda))^2$ ;

- (e) the dominant dimension of  $A$  is  $\geq 2$  (see [T]).

**2. Proof of sufficiency.** Let  $A$  be a finite dimensional (associative) algebra. Let  $\{S(\lambda) \mid \lambda \in \Lambda\}$  be the set of all non-isomorphic (left) simple  $A$ -modules indexed by a partially ordered set  $\Lambda$ . For every  $\lambda$ , denote by  $P(\lambda)$  the projective cover of  $S(\lambda)$  and by  $\Delta(\lambda)$  the corresponding standard module, *i.e.* the maximal factor module of  $P(\lambda)$  with composition factors of the form  $S(\kappa)$  for  $\kappa \leq \lambda$ .

We say that  $A$  is quasi-hereditary with respect to  $\Lambda$  if there is a linear order  $\lambda_1 < \lambda_2 < \dots < \lambda_n$  on  $\Lambda$  refining the given partial order and satisfying the following conditions: for each  $1 \leq i \leq n$ ,

(i) the standard module defined above equals

$$\Delta(\lambda_i) = P(\lambda_i) / \text{trace} \left( \bigoplus_{j>i} P(\lambda_j) \rightarrow P(\lambda_i) \right),$$

(ii) the endomorphism algebra of  $\Delta(\lambda_i)$  is a division algebra and

(iii)  $P(\lambda_i)$  can be filtered by  $\Delta(\lambda_j)$ 's,  $j \geq i$ .

Here,  $\text{trace}(X \rightarrow Y)$  denotes the submodule of  $Y$  generated by all homomorphic images of  $X$  in  $Y$ . The latter condition is equivalent to the fact that the factors

$$\text{trace} \left( \bigoplus_{j=k}^n P(\lambda_j) \rightarrow P(\lambda_i) \right) / \text{trace} \left( \bigoplus_{j=k+1}^n P(\lambda_j) \rightarrow P(\lambda_i) \right)$$

of the trace filtration of  $P(\lambda_i)$  are direct sums of  $\Delta(\lambda_k)$ 's ( $i \leq k \leq n$ ) [D].

The endomorphisms of  $X = \bigoplus_{\lambda \in \Lambda} X(\lambda)$  will operate on the module from the left; thus we shall deal with the left regular representation  ${}_A A$  of the (basic)  $K$ -algebra  $A = \text{End}_R(X)$ . Denote by  $e_\lambda$  the canonical idempotent  $X \xrightarrow{p_\lambda} X(\lambda) \xrightarrow{m_\lambda} X$ ,  $\lambda \in \Lambda$ , and note that the set  $\{S(\lambda) \mid \lambda \in \Lambda\}$  of all (left) simple  $A$ -modules is indexed by the partially ordered set  $\Lambda$ . Put, for every  $\lambda \in \Lambda$ ,  $\Lambda(\lambda) = \{\mu \in \Lambda \mid X(\mu) \subsetneq X(\lambda)\}$ . Furthermore, since every  $X(\lambda)$  is local, there is  $x_\lambda \in R$  such that  $X(\lambda) = x_\lambda R$ .

Now, for the remaining portion of this section assume that conditions (i) and (ii) of the theorem hold. Let us remark that condition (ii) can be expressed in the form  $\text{rad} X(\lambda) = \sum_{\mu \in \Lambda(\lambda)} X(\mu)$ ,  $\lambda \in \Lambda$ . It follows that there is the largest element  $\lambda_n \in \Lambda$  (i.e.  $\lambda \leq \lambda_n$  for all  $\lambda \in \Lambda$ ) and  $X(\lambda_n)$  is the (unique) simple  $R$ -module.

First, establish the following three lemmas.

LEMMA 1. *The set  $\{x_\lambda \mid \lambda \in \Lambda\}$  is a  $K$ -basis of the vector space  $R_K$ , and the set of all ideals  $X(I) \subseteq R$  generated by  $\{x_\lambda \mid \lambda \in I\}$ , for every subset  $I$  of  $\Lambda$ , forms a distributive lattice with respect to addition and intersection.*

PROOF. In view of (ii),  $\{x_\lambda \mid \lambda \in \Lambda\}$  generates the  $K$ -space  $R_K$ . Furthermore, (i) implies that this set is a  $K$ -basis. The rest then follows immediately.

LEMMA 2. *Every  $R$ -homomorphism  $f: X(\lambda) \rightarrow \sum_{\mu \in I} X(\mu) \subseteq R$  for some  $I \subseteq \Lambda$ , factors through the canonical (summation) map  $p: \bigoplus_{\mu \in I} X(\mu) \rightarrow \sum_{\mu \in I} X(\mu)$ . In particular, every  $R$ -homomorphism  $f: X(\lambda) \rightarrow \text{rad} X(\kappa)$  factors through the canonical map  $\bigoplus_{\mu \in \Lambda(\kappa)} X(\mu) \rightarrow \text{rad} X(\kappa)$ .*

PROOF. The  $R$ -homomorphism  $f$  is induced by multiplication; thus

$$f(x_\lambda) = x_\lambda r \in \left[ \sum_{\mu \in I} X(\mu) \right] \cap X(\lambda) = \sum_{\mu \in I} [X(\mu) \cap X(\lambda)]$$

by Lemma 1. Hence  $x_\lambda r = \sum_{\mu \in I} x_\lambda r_\mu$  with  $x_\lambda r_\mu \in X(\mu) \cap X(\lambda)$ . Consequently,  $f = pg$ , where  $g: X(\lambda) \rightarrow \bigoplus_{\mu \in I} X(\mu)$  is given by  $g(x_\mu) = (x_\lambda r_\mu \mid \mu \in I)$ , as required.

LEMMA 3. *For every  $\lambda \in \Lambda$ ,*

$$(*) \quad \{m_\kappa m_{\kappa\lambda} p_\lambda \mid X(\lambda) \subseteq X(\kappa)\},$$

where  $m_{\kappa\lambda}$  denotes the embedding  $X(\lambda) \subseteq X(\kappa)$ , is a  $K$ -basis for the (left) standard module  $\Delta(\lambda)$ . In fact,

$$(**) \quad \Delta(\lambda) = P(\lambda) / \text{trace} \left( \bigoplus_{\mu \in \Lambda(\lambda)} P(\mu) \rightarrow P(\lambda) \right).$$

PROOF. By definition,  $\Delta(\lambda) = P(\lambda) / \text{trace} \left( \bigoplus_{X(\mu) \not\subseteq X(\lambda)} P(\mu) \rightarrow P(\lambda) \right)$ . Thus to prove (\*\*), it is sufficient to show that every  $R$ -homomorphism  $f: X(\lambda) \rightarrow X(\mu)$  with incomparable  $\lambda, \mu$  can be factored through a direct sum  $\bigoplus_{\rho \in \Lambda(\lambda)} X(\rho)$ . However, this follows readily from Lemma 2, since  $f$  cannot be a monomorphism and thus  $f$  factors through  $\text{rad} X(\lambda) = \sum_{\rho \in \Lambda(\lambda)} X(\rho)$ .

Now, since no monomorphism  $f: X(\lambda) \rightarrow X(\kappa)$  can be factored through  $\bigoplus_{\rho \in \Lambda(\lambda)} X(\rho)$ , (\*) can be seen easily to be a  $K$ -basis of  $\Delta(\lambda)$ .

REMARK. Let us point out that Lemma 3 describes the structure of the standard modules: the factorizations  $m_{\kappa\lambda} = m_{\kappa\rho} m_{\rho\lambda}$  correspond to the embeddings  $X(\lambda) \subseteq X(\rho) \subseteq X(\kappa)$ . In particular, every standard module  $\Delta(\lambda)$  has a simple socle generated by  $m_{\lambda_1\lambda}$ , and hence is isomorphic to  $S(\lambda_1)$ .

An immediate consequence of Lemma 3 is the fact that the standard modules  $\Delta(\lambda)$  remain unchanged under any refinement of the partial order of  $\Lambda$ . We shall therefore consider  $\Lambda = \{1, 2, \dots, n\}$  with its natural order, keeping in mind that  $X(j) \subseteq X(i)$  implies  $i < j$ . Hence, we shall deal with the complete sequence  $(e_1, e_2, \dots, e_n)$  of primitive orthogonal idempotents:  $1_X = \sum_{i=1}^n e_i$ . Write  $\varepsilon_t = \sum_{i=1}^t e_i$  for  $1 \leq t \leq n$  and  $\varepsilon_{n+1} = 0$ .

To complete the proof of sufficiency, we are going to show that  $\text{End}_A(\Delta(i))$  is a division algebra, for  $1 \leq i \leq n$ , and that all factors  $A\varepsilon_j A e_i / A\varepsilon_{j+1} A e_i$  of the trace filtration of  $A e_i$  are direct sums of  $\Delta(j)$ 's,  $i \leq j \leq n$ . The first statement is an instant consequence of Lemma 3: the multiplicity  $[\Delta(i) : S(k)] = 1$  if  $X(i) \subseteq X(k)$  and  $[\Delta(i) : S(k)] = 0$  otherwise. Hence, there is no non-zero map from  $\Delta(i)$  into  $\text{rad} \Delta(i)$ . The second statement is established in the following lemma.

LEMMA 4. *If  $X(j) \subseteq X(i)$ , then  $A\varepsilon_j A e_i / A\varepsilon_{j+1} A e_i \simeq \Delta(j)$ . If  $X(j) \not\subseteq X(i)$ , then  $A\varepsilon_j A e_i = A\varepsilon_{j+1} A e_i$ .*

PROOF. We know that  $X(j) \subseteq X(i)$  if and only if there is a surjective  $R$ -homomorphism  $f: X(i) \rightarrow X(j)$ . Since the elements of  $A\varepsilon_j A e_i$  are of the form  $m\phi p_i$  with  $\phi: X(i) \rightarrow \bigoplus_{t=j}^n X(t)$  and  $m: \bigoplus_{t=j}^n X(t) \rightarrow X$ , and those of  $A\varepsilon_{j+1} A e_i$  are of the same form, with  $\phi$  satisfying the additional condition that  $p_j m\phi: X(i) \rightarrow X(j)$  is not surjective, it turns out immediately that  $X(j) \not\subseteq X(i)$  yields  $A\varepsilon_j A e_i = A\varepsilon_{j+1} A e_i$ .

On the other hand, if  $X(j) \subseteq X(i)$ , denote by  $p_{ji}$  the surjective  $R$ -homomorphism from  $X(i)$  to  $X(j)$  which maps  $x_i$  into  $x_j = x_i r$ . Evidently, if  $f: X(i) \rightarrow X(j)$  is another surjective  $R$ -homomorphism, then there is an automorphism  $g$  of  $X(j)$  such that  $f = g p_{ji}$ . Now, if  $h: X(j) \rightarrow X(k)$  is any monomorphism (for instance,  $m_{kj}$  of Lemma 3), then  $h p_{ji}: X(i) \rightarrow X(k)$  cannot be factored through  $\bigoplus_{t=j+1}^n X(t)$  since it cannot be factored

through  $\sum_{i \in \Lambda(j)} X(i) = \text{rad} X(j)$ . Recall that such a factorization always exists if  $h$  is not a monomorphism. In view of Lemma 3,  $A\varepsilon_j A e_i / A\varepsilon_{j+1} A e_i \simeq \Delta(j)$ .

This completes the proof of sufficiency of the theorem.

**3. Proof of necessity.** We have  $X = \bigoplus_{i=1}^n X(i)$ , where the linear order of the index set is a refinement of the partial order given by mutual embeddings of the direct summands in  $R$ ; thus  $X(j) \subseteq X(i) \subseteq R$  implies  $i \leq j$ . Recall that  $X(1) = R$  and  $\Lambda(i) = \{j \mid X(j) \subset X(i)\}$ .

We assume that  $A = \text{End}_R X$  is quasi-hereditary with respect to the complete sequence  $(e_1, e_2, \dots, e_n)$  of primitive orthogonal idempotents defined by the canonical projections  $p_i$  and embeddings  $m_i$  of the direct summands. Let us, however, point out that  $A$  is quasi-hereditary with respect to the original partial order in the sense that  $\Delta(i)$  is the maximal factor module of  $P(i) \simeq A e_i$  whose composition factors are only of the form  $S(k)$ , where  $k$  satisfies the inclusion  $X(i) \subseteq X(k) \subseteq R$ . Thus  $\text{trace}(\bigoplus_{j=i+1}^n P(j) \rightarrow P(i)) = \text{trace}(\bigoplus_{X(i) \not\subseteq X(j)} P(j) \rightarrow P(i))$ .

We are going to prove the necessity of conditions (i) and (ii) of the theorem in Lemmas 6 and 7. First, let us present an auxiliary result.

**LEMMA 5.** *Let  $f: X(i) \rightarrow X(k)$  be an  $R$ -homomorphism. If  $f$  is a monomorphism, then  $m_k f p_i \notin A\varepsilon_{i+1} A e_i$ . If  $f$  is not a monomorphism, and  $A$  is quasi-hereditary, then  $m_k f p_i \in A\varepsilon_{i+1} A e_i$ . Thus, if  $A$  is quasi-hereditary, then the multiplicity  $[\Delta(i) : S(k)] = 1$  for  $X(i) \subseteq X(k)$  and  $[\Delta(i) : S(k)] = 0$  otherwise.*

**PROOF.** The image  $\text{Im} f$  of a homomorphism  $f: X(i) \rightarrow X(k)$  which factors through  $\bigoplus_{j=i+1}^n X(j)$  is isomorphic to a submodule of  $\text{rad} X(i)$ . However, if  $f$  is a monomorphism then  $\text{Im} f \simeq X(i)$ , and thus  $m_k f p_i \notin A\varepsilon_{i+1} A e_i$ . Now, if  $f$  is not a monomorphism, then it induces a non-invertible endomorphism of  $X(i)$ , and therefore, in the case that  $A$  is quasi-hereditary,  $m_k f p_i$  must belong to  $A\varepsilon_{i+1} A e_i$ . Consequently,  $[\Delta(i) : S(k)] \neq 0$  if and only if  $X(i) \subseteq X(k)$ . In fact, in this case,  $[\Delta(i) : S(k)] = 1$ . Indeed, any two monomorphisms  $f_1, f_2: X(i) \rightarrow X(k)$  are induced by multiplication by invertible elements and thus  $f_2 = \alpha f_1$ , with  $\alpha \in R$  invertible. Since  $m_k(\beta f_1) p_i \in A\varepsilon_{i+1} A e_i$  for every non-invertible  $\beta \in R$ , we can write  $m_k f_2 p_i - m_k(\alpha f_1) p_i \in A\varepsilon_{i+1} A e_i$  with  $\tilde{\alpha} \in R / \text{rad} R \simeq K$ , and the lemma follows.

**LEMMA 6.** *If  $A$  is quasi-hereditary, then condition (i) of the theorem holds.*

**PROOF.** By Lemma 5,  $[\Delta(i) : S(1)] = 1$  for all  $1 \leq i \leq n$ . In view of the duality  $D: A\text{-mod} \rightarrow A\text{-mod}$  satisfying  $D(S(i)) \simeq S(i)$ , which has been mentioned in the Introduction,  $D(\Delta(i)) = \nabla(i)$  satisfying  $[\nabla(i) : S(1)] = 1$  for all  $1 \leq i \leq n$ . Hence, the Bernstein-Gelfand-Gelfand reciprocity law yields  $[P(1) : \Delta(i)] = [\nabla(i) : S(1)] = 1$  for all  $1 \leq i \leq n$ . Consequently,

$$\dim_K R = [P(1) : S(1)] = \sum_{i=1}^n [P(1) : \Delta(i)][\Delta(i) : S(1)] = n.$$

LEMMA 7. *If  $A$  is quasi-hereditary, then condition(ii) of the theorem holds.*

PROOF. Clearly,  $\sum_{j \in \Lambda(i)} X(j) \subseteq \text{rad} X(i)$  for  $1 \leq i \leq n$ . Recall that  $X(i) = x_i R$ . Thus, if  $x \in \text{rad} X(i)$ , there is  $r \in R$  such that  $x_i r = x$ . Now, multiplication by  $r$  induces a non-invertible endomorphism of  $X(i)$  which must factor through  $\bigoplus_{j \in \Lambda(i)} X(j)$ , so  $f = \sum_{j \in \Lambda(i)} f_j g_j$  with  $g_j: X(i) \rightarrow X(j)$  for all  $j \in \Lambda(i)$ , and thus  $x \in \text{Im} f \subseteq \sum_{j \in \Lambda(i)} X(j)$ . We conclude that  $\text{rad} X(i) = \sum_{j \in \Lambda(i)} X(j)$ .

This completes the proof of the theorem.

4. **Final comments.** Let us conclude the paper with a few observations and examples.

First, it is immediate to see that the (ordered) quiver  $Q_A$  of the algebra  $A$  is given by the monomorphisms and epimorphisms between the direct summands of  $X$ . To be more explicit, let  $(1, 2, \dots, n)$  be the sequence of the vertices of  $Q_A$  corresponding to a (linear) order of the direct summands  $X(1) = R, X(2), \dots, X(n) = R/\text{rad} R$  of the module  $X$  (which refines the partial order  $\Lambda$  of the theorem). Then, for  $i > j$ , there is an arrow  $i \rightarrow j$  in  $Q_A$  if and only if  $X(i) \subset X(j) \subseteq R$  and there is no  $X(k)$  satisfying  $X(i) \subset X(k) \subset X(j) \subseteq R$  for  $k \neq i, j$ . Furthermore, in that case, there is an arrow  $i \leftarrow j$  corresponding to an epimorphism  $X(j) \rightarrow X(i)$  which cannot be factored through any  $X(k), k \neq i, j$ . Thus,  $Q_A$  is a connected quiver with single arrows which appear in pairs: either there are no arrows between two vertices  $i$  and  $j$  of  $Q_A$  or there is a pair of arrows,  $i \rightleftarrows j$ . From here, we can easily read the structure of the standard modules established earlier: each  $\Delta(i)$  is given by the subquiver of  $Q_A$  consisting of all sequences of arrows

$$i = j_0 \rightarrow j_1 \rightarrow \dots \rightarrow j_{t-1} \rightarrow j_t = j, \quad i = j_0 > j_1 > \dots > j_{t-1} > j_t = j,$$

and the respective vertices.

Recall that the trace filtration of the projective-injective indecomposable module

$$P(1) = Ae_1 = A\varepsilon_1 Ae_1 \supset A\varepsilon_2 Ae_1 \supset \dots \supset A\varepsilon_n Ae_1 \supset 0$$

has the property that  $A\varepsilon_i Ae_1 / A\varepsilon_{i+1} Ae_1 \simeq \Delta(i)$  for every  $1 \leq i \leq n$ . Here, the extensions

$$0 \rightarrow A\varepsilon_{i+1} Ae_1 \rightarrow A\varepsilon_i Ae_1 \rightarrow \Delta(i) \rightarrow 0$$

are determined by the arrows of  $Q_A$  corresponding to the epimorphisms. Observe that there is a (unique) embedding of  $P(i)$  in  $P(1)$ , for every  $1 \leq i \leq n$ .

The following examples should serve as simple illustrations of the theorem, as well as indications of its limitations.

1.  $R = K[x]/\langle x^t \rangle, t \geq 1$ . There is a unique choice of  $X$  (the direct sum of all indecomposable  $R$ -modules) and thus  $A$  is the respective Auslander algebra. The quiver  $Q_A$  is as follows:

$$1 \rightleftarrows 2 \rightleftarrows \dots \rightleftarrows t-1 \rightleftarrows t.$$

2.  $R = K[x, y]/\langle xy, x^t - y^t \rangle, t \geq 2$ . Here, for  $t \geq 3$ , we have several choices for  $X$ ; for instance, we get the following forms of  $Q_A$ :

$$\begin{array}{cccccccc} 2 & \rightleftarrows & 4 & \rightleftarrows & \cdots & \rightleftarrows & 2s & \rightleftarrows & 2s+2 & \rightleftarrows & 2s+4 & \rightleftarrows & \cdots & \rightleftarrows & 2t \\ \updownarrow & & \updownarrow & & \cdots & & \updownarrow & & & & & & \cdots & & \updownarrow \\ 1 & \rightleftarrows & 3 & \rightleftarrows & \cdots & \rightleftarrows & 2s-1 & \rightleftarrows & 2s+1 & \rightleftarrows & 2s+3 & \rightleftarrows & \cdots & \rightleftarrows & 2t-1 \end{array},$$

$1 \leq s \leq t$ .

3.  $R = K[x, y]/\langle x^2 - y^3, x^3 - y^4, x^4 \rangle$ . Here, the algebra is 8-dimensional. Write  $\bar{p}$  for the canonical image of  $p \in K[x, y]$  in  $R$ , and consider

$$X = R \oplus \bar{x}R \oplus \bar{y}R \oplus \bar{xy}R \oplus \bar{y^2}R \oplus \bar{xy^2}R \oplus \bar{x^2}R \oplus \bar{x^3}R$$

(in that linear order). Then  $A = \text{End}_R X$  is a 159-dimensional algebra whose quiver  $Q_A$  has the form

$$\begin{array}{ccccccc} 2 & \rightleftarrows & 4 & \rightleftarrows & 6 & \rightleftarrows & 8 \\ \updownarrow & \swarrow & \updownarrow & \searrow & \updownarrow & \swarrow & \updownarrow \\ 1 & \rightleftarrows & 3 & \rightleftarrows & 5 & \rightleftarrows & 7 \end{array}.$$

4. Consider again the 4-dimensional algebra  $R = K[x, y]/\langle xy, x^2 - y^2 \rangle$ . Taking

$$X = R \oplus R/\bar{x^2}R \oplus \bar{x^2}R$$

(thus only 3 direct summands, not all local-colocal), or

$$X' = R \oplus (\bar{x}R \oplus R/\bar{x^2}R)/\langle \bar{x^2} - (\bar{y} + \bar{x^2}R) \rangle \oplus \bar{x}R \oplus \bar{x^2}R$$

(thus not all direct summands are local-colocal), the respective endomorphism algebras are still quasi-hereditary. The first one  $A = \text{End}_R X$  is a 19-dimensional algebra (without duality) whose quiver  $Q_A$  is

$$1 \rightleftarrows 2 \rightleftarrows 3.$$

The algebra  $A' = \text{End}_R X'$  is a 39-dimensional algebra with duality (and uniserial standard modules whose socles are isomorphic to  $S(1), [\Delta(4) : S(2)] = 2$ ) with  $Q_{A'}$  of the form

$$\begin{array}{ccc} 1 & \rightleftarrows & 2 & \rightleftarrows & 4 \\ & & \updownarrow & & \\ & & 3 & & \end{array}.$$

REFERENCES

[ADL] I. Ágoston, V. Dlab and E. Lukács, *Lean quasi-hereditary algebras*, CMS Conf. Proc. **13**(1993), 1–14.  
 [CPS] E. Cline, B. J. Parshall and L. L. Scott, *Finite dimensional algebras and highest weight categories*, J. Reine Angew. Math. **391**(1988), 85–99.  
 [D] V. Dlab, *Quasi-hereditary algebras*, Appendix to Y. A. Drozd and V. V. Kirichenko, Finite dimensional algebras, Springer-Verlag, 1993.  
 [DR] V. Dlab and C. M. Ringel, *Quasi-hereditary endomorphism algebras*, Abstracts Amer. Math. Soc., January 1991, 58.

[PS] B. J. Parshall and L. L. Scott, *Derived categories, quasi-hereditary algebras and algebraic groups*, Proc. Ottawa-Moosonee Workshop, Carleton-Ottawa Math. Lecture Note Ser. **3**(1988), 1–105.

[T] H. Tachikawa, *Quasi-Frobenius Rings and Generalizations*. In: Lecture Notes in Math. **351**, Springer-Verlag, 1973.

*Department of Mathematics and Statistics*  
*Carleton University*  
*Ottawa, Ontario*  
*K1S 5B6*  
*e-mail: vdlab@math.carleton.ca*

*Department of Mathematics and Statistics*  
*Carleton University*  
*Ottawa, Ontario*  
*K1S 5B6*  
*e-mail: pheath@math.carleton.ca*

*Department of Mathematics and Statistics*  
*Carleton University*  
*Ottawa, Ontario*  
*K1S 5B6*  
*e-mail: fmarko@math.carleton.ca*