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# Infinite families of Artin–Schreier function fields with any prescribed class group rank

Jinjo Yoo and Yoonjin Lee

*Abstract.* We study the Galois module structure of the class groups of the Artin–Schreier extensions  $K$  over  $k$  of extension degree  $p$ , where  $k := \mathbb{F}_q(T)$  is the rational function field and  $p$  is a prime number. The structure of the  $p$ -part  $Cl_K(p)$  of the ideal class group of  $K$  as a finite  $G$ -module is determined by the invariant  $\lambda_n$ , where  $G := \text{Gal}(K/k) = \langle \sigma \rangle$  is the Galois group of  $K$  over  $k$ , and  $\lambda_n = \dim_{\mathbb{F}_p}(Cl_K(p)^{(\sigma-1)^{n-1}}/Cl_K(p)^{(\sigma-1)^n})$ . We find infinite families of the Artin–Schreier extensions over  $k$  whose ideal class groups have guaranteed prescribed  $\lambda_n$ -rank for  $1 \leq n \leq 3$ . We find an algorithm for computing  $\lambda_3$ -rank of  $Cl_K(p)$ . Using this algorithm, for a given integer  $t \geq 2$ , we get infinite families of the Artin–Schreier extensions over  $k$  whose  $\lambda_1$ -rank is  $t$ ,  $\lambda_2$ -rank is  $t-1$ , and  $\lambda_3$ -rank is  $t-2$ . In particular, in the case where  $p=2$ , for a given positive integer  $t \geq 2$ , we obtain an infinite family of the Artin–Schreier quadratic extensions over  $k$  whose 2-class group rank (resp.  $2^2$ -class group rank and  $2^3$ -class group rank) is exactly  $t$  (resp.  $t-1$  and  $t-2$ ). Furthermore, we also obtain a similar result on the  $2^n$ -ranks of the divisor class groups of the Artin–Schreier quadratic extensions over  $k$ .

## 1 Introduction

There have been active studies on the structure of the class groups of number fields and function fields; for instance, we refer to [1–5, 6, 8, 10, 11, 13–16, 19–25]. For studying the structure of class groups, the following methods have been used: *genus theory* [1, 3, 6], *Rédei matrix* [2, 15, 23], and *Conner and Hurrelbrink’s exact hexagon* [5, 13].

The Galois module structure of the class groups of cyclic extensions over the rational function field  $k := \mathbb{F}_q(T)$  has been studied in [2, 8, 14, 19], where  $\mathbb{F}_q$  is a finite field of order  $q$ . We need to introduce the following definitions for description of the previous developments. Let  $K$  be a cyclic extension over  $k$  of extension degree prime  $p$ . We denote the *ideal class group* of  $K$  by  $Cl_K$  and that of *divisor class group* by  $J_K$ . Let  $G := \text{Gal}(K/k)$  be the Galois group of  $K$  over  $k$ . Then  $Cl_K$  and  $J_K$  are finite  $G$ -modules. Let  $\sigma$  be a generator of  $G$  and  $\mathbb{Z}_p$  the ring of  $p$ -adic integer. The

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structures of  $Cl_K(p)$  and  $J_K(p)$  as finite modules over the discrete valuation ring  $\mathbb{Z}_p[\sigma]/(1 + \sigma + \cdots + \sigma^{p-1}) \simeq \mathbb{Z}_p[\zeta_p]$  are determined by the following ranks:

$$\begin{aligned}\lambda_n &:= \dim_{\mathbb{F}_p}(Cl_K(p)^{(\sigma-1)^{n-1}}/Cl_K(p)^{(\sigma-1)^n}) \quad \text{and} \\ \mu_n &:= \dim_{\mathbb{F}_p}(J_K(p)^{(\sigma-1)^{n-1}}/J_K(p)^{(\sigma-1)^n}),\end{aligned}$$

where  $Cl_K(p)$  (resp.  $J_K(p)$ ) is the  $p$ -Sylow subgroup of  $Cl_K$  (resp.  $J_K$ ) and  $\zeta_p$  is a primitive  $p$ th root of unity.

We point out that in particular, when  $p = 2$ , the rank  $\lambda_n$  of  $Cl_K$  is exactly equal to the  $2^n$ -rank of  $Cl_K$  and the rank  $\mu_n$  of  $J_K$  gives the  $2^n$ -rank of  $J_K$ , where the  $2^n$ -rank of  $Cl_K$  is defined as  $\dim_{\mathbb{F}_2}(Cl_K^{2^{n-1}}/Cl_K^{2^n})$  and similarly for  $J_K$ . This is because  $\sigma$  acts  $-1$  on  $Cl_K$ , which implies that the rank  $\lambda_n$  of the finite module  $Cl_K$  over  $\mathbb{Z}[\zeta_2] = \mathbb{Z}$  is exactly the  $2^n$ -rank of  $Cl_K$ , and similarly it also holds for  $J_K$ .

There are exactly two kinds of cyclic extensions of prime extension degree over the rational function field  $k$ : *Kummer extension* and *Artin–Schreier extension*. For Kummer extensions  $L$  over  $k$ , Anglés and Jaulent [1] (resp. Wittmann [19]) studied the  $\lambda_1$ -rank (resp.  $\lambda_2$ -rank) of the ideal class groups of  $L$  and the authors of this paper [22] studied the  $\lambda_3$ -rank of the ideal class groups of  $L$ . Furthermore, for Artin–Schreier extensions over  $k$ , there also have been some studies on the computation of  $\lambda_1$  and  $\lambda_2$  for their ideal class groups [2, 8]. However, there has been no result yet on finding infinite families of Artin–Schreier extensions over  $k$  whose ideal class groups have guaranteed prescribed  $\lambda_n$ -rank of the ideal class group of Artin–Schreier extension for  $1 \leq n \leq 3$ . This is one of the motivations of our paper.

In this paper, we study the Galois module structure of the class groups of the Artin–Schreier extensions  $K$  over  $k$  of extension degree  $p$ , where  $k := \mathbb{F}_q(T)$  is the rational function field of characteristic  $p$  and  $p$  is a prime number. The structure of the  $p$ -part  $Cl_K(p)$  of the ideal class group of  $K$  as a finite  $G$ -module is determined by the invariant  $\lambda_n$ , where  $G := \text{Gal}(K/k) = \langle \sigma \rangle$ . In detail, first of all, for a given positive integer  $t$ , we obtain infinite families of  $K$  over  $k$  whose  $\lambda_1$ -rank of  $Cl_K$  is  $t$  and  $\lambda_n$ -rank of  $Cl_K$  is zero for  $n \geq 2$ , depending on the ramification behavior of the infinite place  $\infty$  of  $k$  (Theorems 3.2–3.4). We then find infinite families of the Artin–Schreier extensions over  $k$  whose ideal class groups have guaranteed prescribed  $\lambda_n$ -rank for  $n$  up to 3. We find an algorithm for computing  $\lambda_3$ -rank of  $Cl_K(p)$ . Using this algorithm, for a given integer  $t \geq 2$ , we get infinite families of the Artin–Schreier extensions over  $k$  whose  $\lambda_1$ -rank is  $t$ ,  $\lambda_2$ -rank is  $t - 1$ , and  $\lambda_3$ -rank is  $t - 2$  (Theorem 5.1). In particular, in the case where  $p = 2$ , for a given positive integer  $t \geq 2$ , we obtain an infinite family of the Artin–Schreier quadratic extensions over  $k$  which have 2-class group rank *exactly*  $t$ ,  $2^2$ -class group rank  $t - 1$ , and  $2^3$ -class group rank  $t - 2$  (Corollary 5.3). Furthermore, we also obtain a similar result on the  $2^n$ -ranks of the divisor class groups of the Artin–Schreier quadratic extensions over  $k$  for  $n$  up to 3 (Corollary 5.4). Finally, in Tables 1 and 2, we give some implementation results for explicit infinite families using Theorems 3.2–3.4 and 5.1. These implementation results are done by MAGMA.

We remark that as a main tool for computation of  $\lambda_3$ , we use an analogue of *Rédei matrix*. We emphasize that there is no number field analogue for the Artin–Schreier extensions over  $k$ , while there is a number field analogue for Kummer extensions over  $k$ .

## 2 Preliminaries

Let  $q$  be a power of a prime number  $p$ , and let  $k := \mathbb{F}_q(T)$  be the *rational function field*. The prime divisor of  $k$  corresponding to  $(1/T)$  is called the *infinite place* and denoted by  $\infty$ . Let  $K/k$  be a cyclic extension of degree  $p$ . Then  $K/k$  is an *Artin-Schreier extension*: that is,  $K = k(\alpha)$ , where  $\alpha^p - \alpha = D$ ,  $D \in k$ , and that  $D$  cannot be written as  $x^p - x$  for any  $x \in k$ . Conversely, for any  $D \in k$  and  $D$  cannot be written as  $x^p - x$  for any  $x \in k$ ,  $k(\alpha)/k$  is a cyclic extension of degree  $p$ , where  $\alpha^p - \alpha = D$ .

For  $D, D' \in k$ , let  $K_1 := k(\alpha)$  and  $K_2 := k(\beta)$  be two Artin-Schreier extensions over  $k$  with  $\alpha^p - \alpha = D$  and  $\beta^p - \beta = D'$ , respectively. Two Artin-Schreier extensions  $K_1$  and  $K_2$  are equal if and only if they satisfy the following relations [8, p. 256]:

$$\begin{aligned} \alpha &\rightarrow x\alpha + B_0 = \beta, \\ D &\rightarrow xD + (B_0^p - B_0) = D', \\ x &\in \mathbb{F}_p^\times, B_0 \in k. \end{aligned}$$

Thus,  $D$  can be *normalized* to satisfy the following conditions:

$$(2.1) \quad \begin{aligned} D &= \sum_{i=1}^m \frac{Q_i}{P_i^{r_i}} + f(T), \\ (P_i, Q_i) &= 1, p \nmid r_i \text{ for } 1 \leq i \leq t, \\ p &\nmid \deg f(T) \text{ if } \deg f(T) \geq 1, \text{ and} \\ f(T) &= 0 \text{ if } f(T) \in \mathbb{F}_q \text{ with } \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(f) = 0, \end{aligned}$$

where  $P_i$  is a monic irreducible polynomial in  $\mathbb{F}_q[T]$ ,  $Q_i, f(T) \in \mathbb{F}_q[T]$ , and  $\deg Q_i < \deg P_i^{r_i}$  for  $1 \leq i \leq t$ ; the last condition follows from noting that if  $f(T) = c$  in  $\mathbb{F}_q^\times$  with  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(c) = 0$ , then there exists  $b \in \mathbb{F}_q^\times$  such that  $b^p - b = c$ .

Throughout this paper, let  $K := k(\alpha_{D_m})$  be the Artin-Schreier extension over  $k$  of extension degree  $p$ , where  $x^p - x = D_m$  has no root in  $k$ ,  $\alpha_{D_m}$  is a root of  $x^p - x = D_m$ , and the normalized  $D_m$  satisfies (2.1). We note that all the finite places of  $k$  which are totally ramified in  $K$  are  $P_1, \dots, P_t$ . In the following lemma, we state the ramification behavior of the infinite place  $\infty$  of  $k$  in  $K$ .

**Lemma 2.1** [8, p. 256] *Let  $K = k(\alpha_{D_m})$  be the Artin-Schreier extension over  $k$  of extension degree  $p$ , where  $\alpha_{D_m}^p - \alpha_{D_m} = D_m$  and  $D_m$  is defined in (2.1). Then we have the followings.*

- (i) *The infinite place  $\infty$  of  $k$  is totally ramified in  $K$  if and only if  $\deg f(T) \geq 1$ .*
- (ii) *The infinite place  $\infty$  of  $k$  is inert in  $K$  if and only if  $f(T) = c \in \mathbb{F}_q^\times$ , where  $x^p - x - c$  is irreducible over  $\mathbb{F}_q$ .*
- (iii) *The infinite place  $\infty$  of  $k$  splits completely in  $K$  if and only if  $f(T) = 0$ .*

For descriptions of  $\lambda_1$  and  $\lambda_2$ , we use the notion of the *Hasse symbol* which is first introduced in [7].

**Definition 2.1** [8, p. 257] *Let  $K = k(\alpha_{D_m})$  be the Artin-Schreier extension over  $k$  of extension degree  $p$ , where  $\alpha_{D_m}^p - \alpha_{D_m} = D_m$  for some  $D_m \in k$ . Let  $P$  be a finite place of  $k$  which is unramified in  $K$ , and let  $\left(\frac{K/k}{P}\right)$  be the Artin symbol of  $P$ . Then  $\left(\frac{K/k}{P}\right)\alpha_{D_m} =$*

$\alpha_{D_m} + \left\{ \frac{D_m}{P} \right\}$ , where  $\left\{ \frac{D_m}{P} \right\}$  is defined as follows:

$$\left\{ \frac{D_m}{P} \right\} = \text{Tr}_{(\mathcal{O}_K/P)/\mathbb{F}_p}(D_m \bmod P);$$

$\text{Tr}_{(\mathcal{O}_K/P)/\mathbb{F}_p}$  denotes the trace function from  $\mathcal{O}_K/P$  to  $\mathbb{F}_p$  and  $\mathcal{O}_K$  is the integral closure of  $K$ . We call  $\left\{ \cdot \right\}$  the Hasse symbol.

**Lemma 2.2** [8] *Let  $K = k(\alpha_{D_m})$  be the Artin–Schreier extension over  $k$  of extension degree  $p$ , where  $\alpha_{D_m}^p - \alpha_{D_m} = \sum_{i=1}^m \frac{Q_i}{P_i^i} + f(T)$ , which is defined in (2.1). Then we have the followings.*

- (i)  $\lambda_1 = \begin{cases} m & \text{if } \deg f(T) \geq 1 \text{ or} \\ & f(T) = c \in \mathbb{F}_q^\times, \text{ where } x^p - x = c \in \mathbb{F}_q^\times \text{ is irreducible over } \mathbb{F}_q, \\ m - 1 & \text{if } f(T) = 0. \end{cases}$

(ii) We have  $\lambda_2 = \lambda_1 - \text{rank}(R)$ , where  $R = [r_{ij}]$  is a matrix over  $\mathbb{F}_p$  defined by

$$r_{ij} = \begin{cases} \left\{ \frac{Q_j/P_j^{r_j}}{P_i} \right\}, & \text{for } 1 \leq i \neq j \leq m, \\ -\left( \sum_{j=1, i \neq j}^m r_{ij} + \left\{ \frac{f}{P_i} \right\} \right), & \text{for } 1 \leq i = j \leq m. \end{cases}$$

We call such matrix  $R$  as the Rédei matrix.

We recall that the Hilbert class field  $H_K$  of  $K$  is the maximal unramified abelian extension of  $K$  where the infinite places of  $k$  split completely in  $K$ . The genus field  $\mathcal{G}_K$  of  $K$  is the maximal subextension  $K \subseteq \mathcal{G}_K \subseteq H_K$  which is abelian over  $k$ . In Lemma 2.3, we state a description of the genus field of the Artin–Schreier extension.

**Lemma 2.3** [8, Theorem 4.1] *Let  $K = k(\alpha_{D_m})$  be the Artin–Schreier extension over  $k$  of extension degree  $p$ , where  $D_m$  is defined in (2.1) and  $\alpha_{D_m}$  is a root of  $x^p - x = D_m$ . Let  $\alpha_i$  (resp.  $\beta$ ) be a root of  $x^p - x = Q_i/P_i^{r_i}$  for  $1 \leq i \leq m$  (resp.  $x^p - x = f(T)$ ) in  $\bar{k}$ . Then the genus field  $\mathcal{G}_K$  of  $K$  is  $\mathcal{G}_K = k(\alpha_1, \dots, \alpha_m, \beta)$ .*

We now introduce explicit criteria for determining whether a place of  $k$  is totally ramified or not in the Artin–Schreier extension  $K$ .

**Lemma 2.4** [18, Proposition 3.7.8] *Let  $K = k(y)$  be the Artin–Schreier extension over  $k$  of extension degree  $p$ , where  $y^p - y = u$  for some  $u \in k$ . For a place  $P$  of  $k$ , we define the integer  $m_P$  by*

$$m_P := \begin{cases} m, & \text{if there is an element } z \in k \text{ satisfying} \\ & v_P(u - (z^p - z)) = -m < 0 \text{ and } m \not\equiv 0 \pmod{p}, \\ -1, & \text{if } v_P(u - (z^p - z)) \geq 0 \text{ for some } z \in k. \end{cases}$$

Then we have the followings.

- (i)  $P$  is totally ramified in  $K/k$  if and only if  $m_P > 0$ .
- (ii)  $P$  is unramified in  $K/k$  if and only if  $m_P = -1$ .

**Lemma 2.5** [17, Proposition 14.1] *Let  $K$  be a function field over the rational function field  $k = \mathbb{F}_q(T)$ , and let  $\infty$  be the infinite place of  $k$ . Denote the ideal class group (resp.*

the divisor class group) of  $K$  by  $Cl_K$  (resp.  $J_K$ ) and  $S$  be a set of places of  $K$  lying over  $\infty$ . Then

$$0 \rightarrow \mathcal{D}_K^0(S)/\mathcal{P}_K(S) \rightarrow J_K \rightarrow Cl_K \rightarrow \mathbb{Z}/d\mathbb{Z} \rightarrow 0$$

is an exact sequence, where  $\mathcal{D}_K^0(S)$  is the divisor group with support only in  $S$  whose degree is zero,  $\mathcal{P}_K(S)$  is a principal divisor with support only in  $S$ , and  $d$  is the greatest common divisor of the elements in  $\{\deg P : P \in S\}$ .

Using Lemma 2.5, we can easily obtain the following corollary, which gives relation between the ideal class group of  $K$  and the divisor class group of  $K$ , where  $K$  is the Artin–Schreier function field over  $k$ .

**Lemma 2.6** *Let  $K$  be the Artin–Schreier extension over  $k$  with extension degree  $p$ , and let all the notations be the same as in Lemma 2.5. Then we have the following.*

(i) *If  $\infty$  is totally ramified in  $K$ , then  $\mathcal{D}_K^0(S)$  is trivial and  $d = 1$ ; thus,*

$$0 \rightarrow J_K \rightarrow Cl_K \rightarrow 0$$

*is exact.*

(ii) *If  $\infty$  is inert in  $K$ , then  $\mathcal{D}_K^0(S)$  is trivial and  $d = p$ ; therefore,*

$$0 \rightarrow J_K \rightarrow Cl_K \rightarrow \mathbb{Z}/p\mathbb{Z} \rightarrow 0$$

*is an exact sequence.*

(iii) *If  $\infty$  splits completely in  $K$ , then  $d = 1$ ; thus,*

$$0 \rightarrow \mathcal{D}_K^0(S)/\mathcal{P}_K(S) \rightarrow J_K \rightarrow Cl_K \rightarrow 0$$

*is exact.*

### 3 Infinite families of Artin–Schreier function fields with any prescribed class group $\lambda$ -rank

In this section, for any positive integer  $t$ , we find infinite families of Artin–Schreier function fields  $K$  over  $k$  whose  $\lambda$ -rank of the ideal class group  $Cl_K$  of  $K$  is  $t$  and  $\lambda_n$ -rank of  $Cl_K$  is zero for  $n \geq 2$ , depending on the ramification behavior of the infinite place  $\infty$  of  $k$ . Theorem 3.2 deals with the case where the infinite place  $\infty$  of  $k$  is totally ramified in  $K$  and Theorem 3.3 (resp. Theorem 3.4) treats the case where the infinite place  $\infty$  of  $k$  splits completely (resp.  $\infty$  is inert) in  $K$ .

We first give the following lemma, which shows the property of the trace over finite fields. This lemma plays a key role in the proofs of Theorems 3.2–3.4.

**Lemma 3.1** *Let  $h$  be a monic irreducible polynomial in  $\mathbb{F}_q[T]$  and  $\mathfrak{h} := q^{\deg h}$ . Let  $g$  be a nonzero element in  $\mathbb{F}_q[T]$ , and let  $\tilde{g} \in \mathbb{F}_{\mathfrak{h}}$  be  $\phi \circ \pi(g)$ , where*

$$g \in \mathbb{F}_q[T] \xrightarrow{\pi} \pi(g) \in \mathbb{F}_q[T]/\langle h \rangle \xrightarrow{\phi} \mathbb{F}_{\mathfrak{h}}.$$

Then we have  $\text{Tr}_{\mathbb{F}_h/\mathbb{F}_q} \tilde{g} = 0$  if and only if the following holds:

- (i) If  $\deg g = 0$ , then  $q \mid \deg h$ .
- (ii) If  $\deg g \geq 1$ , then  $g \equiv b(T)^q - b(T) \pmod{h}$  for some  $b(T) \in \mathbb{F}_q[T]$ .

**Proof** We note that  $\mathbb{F}_h \simeq \mathbb{F}_q[T]/\langle h \rangle$  since  $h$  is an irreducible polynomial over  $\mathbb{F}_q$ .

First, assume that  $\deg g = 0$ : that is,  $g$  is an element of  $\mathbb{F}_q^\times$ , and so  $g = \tilde{g}$ . Then we have the following:

$$\text{Tr}_{\mathbb{F}_h/\mathbb{F}_q} \tilde{g} = 0 \text{ if and only if } q \mid \deg h;$$

this is because  $\text{Tr}_{\mathbb{F}_h/\mathbb{F}_q} \tilde{g} = \tilde{g} \cdot \deg h$  in  $\mathbb{F}_q$ .

Now, we consider the case where  $\deg g \geq 1$ . Assume that  $g \equiv b(T)^q - b(T) \pmod{h}$ . Then we have

$$\tilde{g} = \phi \circ \pi(g) = \phi((b(T))^q - (b(T))) = \phi(b(T))^q - \phi(b(T)) = \tilde{b}^q - \tilde{b},$$

where  $\tilde{b} := \phi(b(T)) \in \mathbb{F}_h$ . Therefore, the result follows immediately by [12, Theorem 2.25]. Conversely, now assume that  $\text{Tr}_{\mathbb{F}_h/\mathbb{F}_q}(\tilde{g}) = 0$ : that is, there exists some  $\tilde{b} \in \mathbb{F}_h$  such that  $\tilde{g} = \tilde{b}^q - \tilde{b}$ . Let  $b(T) := \phi^{-1}(\tilde{b})$ ; there exists such  $b(T) \in \mathbb{F}_q[T]$  since  $\phi$  is isomorphism. Thus, we get

$$g = \pi^{-1} \circ \phi^{-1}(\tilde{g}) = \pi^{-1} \circ \phi^{-1}(\tilde{b}^q - \tilde{b}) = \pi^{-1}((b(T))^q - (b(T)));$$

this implies that  $g \equiv b(T)^q - b(T) \pmod{h}$ . ■

**Theorem 3.2** Let  $t$  be a positive integer. Let  $K = k(\alpha_{D_t})$  be the Artin–Schreier extension over the rational function field  $k = \mathbb{F}_q(T)$  of extension degree  $p$ , where

$$\alpha_{D_t}^p - \alpha_{D_t} = \sum_{i=1}^t \frac{Q_i}{P_i^{r_i}} + f(T)$$

satisfies (2.1). Assume that the infinite place  $\infty$  of  $k$  is totally ramified in  $K$ ; equivalently,  $\deg f(T) \geq 1$  with  $p \nmid \deg f(T)$ . We further assume that the followings hold:

- (i)  $p \nmid \deg P_i$  for any  $i$  with  $1 \leq i \leq t$ .
- (ii)  $f(T) \equiv c_i \pmod{P_i}$ , where  $c_i \in \mathbb{F}_q^\times$  such that  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(c_i) \neq 0$  for any  $i$  with  $1 \leq i \leq t$ .
- (iii)  $Q_j \equiv P_j^{r_j}(b_i(T)^q - b_i(T)) \pmod{P_i}$  for any  $i$  with  $1 \leq i \neq j \leq t$ , where  $b_i(T)$  is a polynomial in  $\mathbb{F}_q[T]$ .

Then the  $\lambda_1$ -rank of the ideal class group  $Cl_K$  of  $K$  and  $\mu_1$ -rank of the divisor class group  $J_K$  of  $K$  are  $t$ . Moreover, for  $n \geq 2$ , the  $\lambda_n$ -rank of  $Cl_K$  and the  $\mu_n$ -rank of  $J_K$  are zero.

In particular, for the case when  $p = 2$ , the 2-class groups  $Cl_K(2)$  and  $J_K(2)$  are elementary abelian 2-groups: that is, isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^t$ .

**Proof** We note that by Lemma 2.6, the ideal class group of  $K$  and the divisor class group of  $K$  are isomorphic; thus,  $\lambda_n = \mu_n$  for  $n \geq 1$ . Since  $\lambda_n$  is a decreasing sequence as  $n$  grows ( $\lambda_{n-1}$  and  $\lambda_n$  may have the same value), it suffices to show the following:

$$(3.1) \quad \lambda_1 = t \quad \text{and} \quad \lambda_2 = 0.$$

By Lemma 2.2, we can easily get  $\lambda_1 = t$ . Thus, we will show that the rank of  $R$  is  $t$ , where  $R$  is the Rédei matrix over  $\mathbb{F}_p$  which is defined in Lemma 2.2.

Let  $f(T)$  be a polynomial in  $\mathbb{F}_q[T]$  which satisfies condition (ii). For convenience, let  $\delta_i := \deg P_i$  for  $1 \leq i \leq t$ . Then we have the following:

$$\text{Tr}_{\mathbb{F}_q^{\delta_i}/\mathbb{F}_q}(f \pmod{P_i}) = \text{Tr}_{\mathbb{F}_q^{\delta_i}/\mathbb{F}_q} \mathbf{c}_i = \delta_i \mathbf{c}_i;$$

the last equality follows from the fact that  $\mathbf{c}_i \in \mathbb{F}_q^\times$ . Thus, by the definition of the Hasse symbol, we obtain

$$(3.2) \quad \left\{ \frac{f(T)}{P_i} \right\} = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(\text{Tr}_{\mathbb{F}_q^{\delta_i}/\mathbb{F}_q}(f \pmod{P_i})) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(\delta_i \mathbf{c}_i) = \delta_i \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p} \mathbf{c}_i \neq 0;$$

for the last equality, we use conditions (i) and (ii).

Now, let  $Q_j$  ( $1 \leq j \leq t$ ) be a polynomial in  $\mathbb{F}_q[T]$  which satisfies condition (iii). Then, for  $1 \leq i \neq j \leq t$ , we have

$$Q_j \overline{P_j}^{r_j} \equiv b_i(T)^q - b_i(T) \pmod{P_i},$$

where  $P_j \overline{P_j} \equiv 1 \pmod{P_i}$ . We note that  $\overline{P_j}$  always exist since  $P_i$  and  $P_j$  are relative prime in  $\mathbb{F}_q[T]$ . Then, by Lemma 3.1, we obtain  $\text{Tr}_{\mathbb{F}_q^{\delta_i}/\mathbb{F}_q}(Q_j \overline{P_j}^{r_j} \pmod{P_i}) = 0$ , where  $\delta_i := \deg P_i$ . Thus, we obtain

$$(3.3) \quad \left\{ \frac{Q_j/P_j^{r_j}}{P_i} \right\} = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(\text{Tr}_{\mathbb{F}_q^{\delta_i}/\mathbb{F}_q}(Q_j \overline{P_j}^{r_j} \pmod{P_i})) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p} 0 = 0.$$

Therefore, we get a  $t \times t$  Rédei matrix  $R = [r_{ij}]$  over  $\mathbb{F}_p$  as follows:

$$(3.4) \quad R = \begin{bmatrix} r_{11} & 0 & \cdots & 0 \\ 0 & r_{22} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & r_{tt} \end{bmatrix},$$

where  $r_{ii} = \left\{ \frac{f(T)}{P_i} \right\} \neq 0$  in  $\mathbb{F}_p$  for every  $1 \leq i \leq t$ . We can easily check that the rank of  $R$  is  $t$ ; therefore, we get  $\lambda_2 = \lambda_1 - \text{rank}(R) = 0$ .

For the case where  $p = 2$ , the  $2^n$ -rank of  $Cl_K$  and that of  $J_K$  are exactly  $\lambda_n$  and  $\mu_n$ , respectively; therefore,  $Cl_K(2) \simeq J_K(2) \simeq (\mathbb{Z}/2\mathbb{Z})^t$ . ■

**Theorem 3.3** *Let  $t$  be a positive integer. Let  $K = k(\alpha_{D_{t+1}})$  be the Artin-Schreier extension over the rational function field  $k = \mathbb{F}_q(T)$  of extension degree  $p$ , where*

$$\alpha_{D_{t+1}}^p - \alpha_{D_{t+1}} = \sum_{i=1}^{t+1} \frac{Q_i}{P_i^{r_i}} + f(T)$$

*satisfies (2.1). Assume that the infinite place  $\infty$  splits completely in  $K$ ; equivalently,  $f(T) = 0$ . We further assume that the followings hold:*

- (i)  $p \nmid \deg P_i$  for any  $i$  with  $1 \leq i \leq t + 1$ .
- (ii)  $Q_t \equiv \mathbf{c}_i P_i^{r_t} \pmod{P_i}$ , where  $\mathbf{c}_i \in \mathbb{F}_q^\times$  such that  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(\mathbf{c}_i) \neq 0$  for any  $i$  with  $1 \leq i \leq t$ .

(iii)  $Q_j \equiv P_j^{r_j} (b_i(T)^q - b_i(T)) \pmod{P_i}$  for any  $1 \leq i \leq t + 1, 1 \leq j \leq t, i \neq j$ , where  $b_i(T) \in \mathbb{F}_q[T]$ .

Then the  $\lambda_1$ -rank of the ideal class group  $Cl_K$  of  $K$  is  $t$ . Moreover, for  $n \geq 2$ , the  $\lambda_n$ -rank of  $Cl_K$  is zero.

In particular, for the case when  $p = 2$ , the 2-class group  $Cl_K(2)$  is an elementary abelian 2-group: that is, isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^t$ .

**Proof** As in Theorem 3.2, we will show (3.1). The fact that  $\lambda_1 = t$  comes immediately from Lemma 2.2. Thus, it is sufficient to show that  $\lambda_2 = 0$ : that is,  $\text{rank}(R) = \lambda_1 = t$ , where  $R$  is the Rédei matrix of  $K$  defined in Lemma 2.2.

Let  $D_i := \frac{Q_i}{P_i^{r_i}}$  for  $1 \leq i \leq t + 1$ . Using the same reasoning as in Theorem 3.2, we get  $\{D_i/P_i\} \neq 0$  for every  $1 \leq i \leq t$ ; we note that we use conditions (i) and (ii). Thus, the  $i(t + 1)$ th entry of  $R$  is nonzero for  $1 \leq i \leq t$ . By condition (iii), we obtain  $\{D_j/P_i\} = 0$  from Lemma 3.1; this implies that the  $ij$ th entries of  $R$  are all zero for  $1 \leq i \leq t + 1$  and  $1 \leq j \leq t$  with  $i \neq j$ .

Therefore, we obtain a  $(t + 1) \times (t + 1)$  matrix  $R = [r_{ij}]$  over  $\mathbb{F}_p$  as follows:

$$R = \begin{bmatrix} -r_{1,t+1} & 0 & \cdots & 0 & r_{1,t+1} \\ 0 & -r_{2,t+1} & \cdots & 0 & r_{2,t+1} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & -r_{t,t+1} & r_{t,t+1} \\ 0 & 0 & \cdots & 0 & 0 \end{bmatrix},$$

where  $r_{i,t+1} \neq 0$  in  $\mathbb{F}_p$  for every  $1 \leq i \leq t$ . Thus, the result follows immediately.

For the case where  $p = 2$ , since  $\lambda_n$  gives the full  $2^n$ -rank of  $Cl_K$ , we obtain that  $Cl_K(2) \simeq (\mathbb{Z}/2\mathbb{Z})^t$ . ■

**Theorem 3.4** Let  $t$  be a positive integer. Let  $K = k(\alpha_{D_t})$  be the Artin–Schreier extension over the rational function field  $k = \mathbb{F}_q(T)$  of extension degree  $p$ , where

$$\alpha_{D_t}^p - \alpha_{D_t} = \sum_{i=1}^t \frac{Q_i}{P_i^{r_i}} + f(T)$$

satisfies (2.1). Assume that  $\infty$  is inert in  $K$ ; equivalently,  $f(T) = c \in \mathbb{F}_q^\times$ , where  $x^p - x - c$  is irreducible over  $\mathbb{F}_q$ . We further assume that the followings hold: for some  $c \in \mathbb{F}_q$ ,

- (i)  $p \nmid \deg P_i$  for every  $1 \leq i \leq t$ .
- (ii)  $Q_j \equiv P_j^{r_j} (b_i(T)^q - b_i(T))$  for any  $i$  with  $1 \leq i \neq j \leq t$ , where  $b_i(T) \in \mathbb{F}_q[T]$ .

Then the  $\lambda_1$ -rank of the ideal class group  $Cl_K$  of  $K$  is  $t$ . Moreover, for  $n \geq 2$ , the  $\lambda_n$ -rank of  $Cl_K$  is zero.

In particular, for the case when  $p = 2$ , then  $Cl_K(2)$  is isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^t$  and  $J_K(2)$  is isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^{t-1}$ .

**Proof** We can simply get  $\lambda_1 = t$  by Lemma 2.2; we now show that  $\lambda_2 = 0$ , which implies that the rank of the Rédei matrix  $R$  is  $t$ . As usual, set  $D_i := \frac{Q_i}{P_i^{r_i}}$ . Using Lemma 3.1, we obtain  $\{D_j/P_i\} = 0$  for every  $1 \leq i \neq j \leq t$ . Now, we compute  $\{c/P_i\}$  for  $1 \leq i \leq t$ , where  $c \in \mathbb{F}_q^\times$ . Let  $\delta_i$  be the degree of  $P_i$ . By the definition of Hasse norm, we have

$$(3.5) \quad \left\{ \frac{c}{P_i} \right\} = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p} \text{Tr}_{\mathbb{F}_q^{\delta_i}/\mathbb{F}_q} (c \pmod{P_i}) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p} (\delta_i c) = \delta_i \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p} (c).$$



We note that  $\text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(c) \neq 0$  since  $x^p - x - c$  is irreducible over  $\mathbb{F}_q$ . Therefore, (3.5) is nonzero; we use condition (i). Using the definition of the Rédei matrix  $R$  in Lemma 2.2, we get a  $t \times t$  matrix  $R = [r_{ij}]$  over  $\mathbb{F}_p$  which is given in (3.4). Hence, the desired result follows.

For the case where  $p = 2$ , the 2-class group of  $Cl_K$  is isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^t$  by the fact that  $\lambda_n$  gives the full  $2^n$ -rank of  $Cl_K$ . By Lemma 2.6, the remaining result follows. ■

### 4 Computing the $\lambda_3$ -rank of class groups of Artin–Schreier function fields

In this section, Algorithm 1 presents an explicit method for computing the  $\lambda_3$ -rank of the ideal class groups of Artin–Schreier extensions  $K$  over  $k$ . In Theorem 4.3, we provide a proof for Algorithm 1. In particular, we obtain an explicit method for determining the exact  $2^3$ -rank of the ideal class groups of Artin–Schreier quadratic extensions over  $k$  (Corollary 4.4).

The following lemma plays a crucial role for the proof of Theorem 4.3.

**Lemma 4.1** *Let  $K = k(\alpha_{D_m})$  be the Artin–Schreier extension over  $k$  of extension degree  $p$ , where  $D_m(T) = \sum_{i=1}^m \frac{Q_i}{P_i^{r_i}} + f(T)$  is defined as (2.1) and  $\alpha_{D_m}$  is a root of  $x^p - x = D_m$ . For  $1 \leq i \leq m$ , let  $\alpha_i$  be a root of  $x^p - x = D_i := Q_i/P_i^{r_i}$  and let  $\gamma_i$  be a root of the following equation in  $\bar{k}$ :*

$$\mathbf{X}^p - \mathbf{X} = \mathcal{D}_i := \frac{\alpha_i^2 P_i^{r_i}}{Q_i}.$$

*Then  $k(\alpha_i, \gamma_i)/k(\alpha_i)$  is unramified, where all the infinite places of  $k(\alpha_i)$  split completely in  $k(\alpha_i, \gamma_i)$ .*

**Proof** We first show that  $k(\alpha_i, \gamma_i)/k(\alpha_i)$  is an unramified extension. Let  $\mathfrak{p}_i \in k(\alpha_i)$  be a place which lies above a finite place  $P$  of  $k$ . We note that it suffices to show the following by Lemma 2.4:

$$(4.1) \quad v_{\mathfrak{p}_i}(\mathcal{D}_i) = 2v_{\mathfrak{p}_i}(\alpha_i) + v_{\mathfrak{p}_i}(P_i^{r_i}) - v_{\mathfrak{p}_i}(Q_i) \geq 0.$$

We consider the following three possible cases:  $P = P_i$  for  $1 \leq i \leq m$ ,  $P$  divides  $Q_i \in \mathbb{F}_q[T]$ , and  $(P, P_i) = (P, Q_i) = 1$ . Using a valuation property, we can easily show the following, where  $n$  is a positive integer.

$$(4.2) \quad \text{If } v_{\mathfrak{p}_i}(\alpha_i^n - \alpha_i) < 0, \text{ then } v_{\mathfrak{p}_i}(\alpha_i^n - \alpha_i) = nv_{\mathfrak{p}_i}(\alpha_i) < 0.$$

$$(4.3) \quad \text{If } v_{\mathfrak{p}_i}(\alpha_i^n - \alpha_i) > 0, \text{ then } v_{\mathfrak{p}_i}(\alpha_i) \geq 0.$$

$$(4.4) \quad \text{If } v_{\mathfrak{p}_i}(\alpha_i^n - \alpha_i) = 0, \text{ then } v_{\mathfrak{p}_i}(\alpha_i^n - \alpha_i) = v_{\mathfrak{p}_i}(\alpha_i) = 0.$$

We denote the ramification index of  $\mathfrak{p}_i$  over  $P$  in  $k(\alpha_i)/k$  by  $e(\mathfrak{p}_i|P)$  and the residue class field degree of  $\mathfrak{p}_i$  over  $P$  by  $f(\mathfrak{p}_i|P)$ .

(i) Suppose that  $P = P_i$ . Then we have  $e(\mathfrak{p}_i|P) = e(\mathfrak{p}_i|P_i) = p$  since  $P_i$  is the only totally ramified finite place for  $k(\alpha_i)/k$ . Therefore, we have  $v_{\mathfrak{p}_i}(\alpha_i^p - \alpha_i) =$

$v_{\mathfrak{p}_i}(Q_i/P_i^{r_i}) = -pr_i < 0$ ; this implies that  $v_{\mathfrak{p}_i}(\alpha_i) = -r_i$  by (4.2). Therefore, (4.1) holds true.

(ii) Suppose that  $P$  divides  $Q_i$  in  $\mathbb{F}_q[T]$ . Under the given assumption, we have  $e(\mathfrak{p}_i|P) = 1$ ; this is because  $(P, P_i) = 1$  as  $(P_i, Q_i) = 1$  and  $P_i$  is the only totally ramified finite place for  $k(\alpha_i)/k$ . Consequently, we have

$$v_{\mathfrak{p}_i}(\alpha_i^P - \alpha_i) = v_{\mathfrak{p}_i}(Q_i/P_i^{r_i}) = v_P(Q_i/P_i^{r_i}) = v_P(Q_i) > 0;$$

thus,  $v_{\mathfrak{p}_i}(\alpha_i) \geq 0$  by (4.3). Assuming that  $v_{\mathfrak{p}_i}(\alpha_i) = 0$ , we obtain

$$(4.5) \quad v_P(N_{k(\alpha_i)/k}(\alpha_i)) = f(\mathfrak{p}_i|P)v_{\mathfrak{p}_i}(\alpha_i) = 0.$$

However, since  $v_{\mathfrak{p}_i}(N_{k(\alpha_i)/k}(\alpha_i)) = v_{\mathfrak{p}_i}(Q_i/P_i^{r_i}) > 0$  (4.5) cannot happen. Therefore, we have  $v_{\mathfrak{p}_i}(\mathcal{D}_i) = 2v_P(Q_i) - v_P(Q_i) > 0$  and (4.1) follows; we use the fact that  $v_{\mathfrak{p}_i}(\alpha_i) = v_P(Q_i) > 0$ . As a result,  $\mathfrak{p}_i$  is unramified in  $k(\alpha_i, \gamma_i)$ .

(iii) Suppose that  $(P, P_i) = (P, Q_i) = 1$ . In this case, we get  $v_{\mathfrak{p}_i}(\alpha_i) = 0$  by (4.4) since  $v_{\mathfrak{p}_i}(\alpha_i^P - \alpha_i) = 0$ . Therefore, (4.1) follows immediately.

Now, it remains to show that all the infinite places of  $k(\alpha_i)$  split completely in  $k(\alpha_i, \gamma_i)$ . Let  $\mathfrak{p}_\infty$  (resp.  $\mathfrak{P}_\infty$ ) be a place of  $k(\alpha_i)$  (resp.  $k(\alpha_i, \gamma_i)$ ) lying above the infinite place  $\infty$  of  $k$  (resp.  $\mathfrak{p}_\infty$ ). We first note that  $v_{\mathfrak{p}_\infty}(\alpha_i^P - \alpha_i) = v_{\mathfrak{p}_\infty}(Q_i/P_i^{r_i}) > 0$ ; thus,  $v_{\mathfrak{p}_\infty}(\alpha_i) \geq 0$  by (4.3). By a similar computation method as in (4.5), we obtain  $v_{\mathfrak{p}_\infty}(\alpha_i) > 0$ , and therefore  $v_{\mathfrak{p}_\infty}(\alpha_i) = v_{\mathfrak{p}_\infty}(\alpha_i^P - \alpha_i) = \deg P_i^{r_i} - \deg Q_i$ . Hence, we get

$$v_{\mathfrak{p}_\infty}(\mathcal{D}_i) = 2v_{\mathfrak{p}_\infty}(\alpha_i) + v_{\mathfrak{p}_\infty}(P_i^{r_i}) - v_{\mathfrak{p}_\infty}(Q_i) = 2(\deg P_i^{r_i} - \deg Q_i) - \deg P_i^{r_i} + \deg Q_i > 0;$$

from this fact and by Lemma 2.4, we can conclude that  $\mathfrak{p}_\infty$  is unramified in  $k(\alpha_i, \gamma_i)/k(\alpha_i)$ .

Now, it is enough to show that  $f(\mathfrak{P}_\infty|\mathfrak{p}_\infty)$  is 1. For the proof, we assume that  $f(\mathfrak{P}_\infty|\mathfrak{p}_\infty) = p$ . We first note that

$$(4.6) \quad N_{k(\alpha_i, \gamma_i)/k(\alpha_i)}(\gamma_i) = \gamma_i^P - \gamma_i = \alpha_i^2 P_i^{r_i} / Q_i.$$

On the other hand, we have

$$(4.7) \quad v_{\mathfrak{p}_\infty}(N_{k(\alpha_i, \gamma_i)/k(\alpha_i)}(\gamma_i)) = f(\mathfrak{P}_\infty|\mathfrak{p}_\infty)v_{\mathfrak{P}_\infty}(\gamma_i) = pv_{\mathfrak{P}_\infty}(\gamma_i).$$

Also, we can obtain

$$(4.8) \quad pv_{\mathfrak{P}_\infty}(\gamma_i) = v_{\mathfrak{p}_\infty}(\gamma_i^P - \gamma_i) = v_{\mathfrak{P}_\infty}(\gamma_i^P - \gamma_i),$$

by combining (4.6) with (4.7). Furthermore, since  $v_{\mathfrak{p}_\infty}(\gamma_i^P - \gamma_i) = pv_{\mathfrak{P}_\infty}(\gamma_i) > 0$ , we have

$$(4.9) \quad pv_{\mathfrak{P}_\infty}(\gamma_i) = \min\{pv_{\mathfrak{P}_\infty}(\gamma_i), v_{\mathfrak{P}_\infty}(\gamma_i)\} = v_{\mathfrak{P}_\infty}(\gamma_i),$$

which is a contradiction. Therefore, the infinite place of  $k(\alpha_i)$  splits completely in  $k(\alpha_i, \gamma_i)$ . ■

**Lemma 4.2** *Let  $K$  be the Artin–Schreier extension over  $k$  of extension degree  $p$ . Let  $H_K$  be the Hilbert class field of  $K$ , and let  $\mathcal{G}_K$  be the genus field of  $K$ . Let  $\mathcal{H}$  be*

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**Algorithm 1** (Computation of  $\lambda_3$  for the Artin-Schreier function field  $K$ )

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**Input:**

- $q$  : a power of a prime  $p$
- $D_m(T) := \sum_{i=1}^m \frac{Q_i}{P_i^r} + f(T)$  defined by (2.1)
- $K = k(\alpha_{D_m})$  with  $\alpha_{D_m}$  defined in (2.1)

**Output:** the  $\lambda_3$ -rank of the ideal class group of  $K$

- (1) Find  $\lambda_1$  of  $K$ , and compute a Rédei matrix  $R$  over  $\mathbb{F}_p$  using Lemma 2.2.
  - (2) Compute  $\lambda_2 = \lambda_1 - \text{rank}(R)$ .
  - (3) If  $\lambda_2 = 0$ , then **Stop**.
  - (4) **Else**
    - (4.1) If  $\lambda_2 < \lambda_1$ , then let  $\mathcal{J} := \{1 \leq i \leq m \mid \text{the } i\text{th row vector of } R \text{ is zero}\} = \{s_1, \dots, s_{\lambda_2}\}$  with  $s_i < s_j$  for  $1 \leq i < j \leq \lambda_2$ .
    - (4.2) **Else** let  $\mathcal{J} := \{1, \dots, \lambda_2\} = \{s_1, \dots, s_{\lambda_2}\}$  with  $i = s_i$  for  $1 \leq i \leq \lambda_2$ .
  - (5) **For**  $1 \leq i \leq \lambda_2$ ,
    - (5.1) set  $\mathcal{P}_i := P_{s_i}$  and  $\mathcal{F}_i := Q_{s_i}/P_{s_i}^{r_{s_i}}$ .
    - (5.2) let  $\alpha_i$  be a root of  $x^p - x = \mathcal{F}_i$  in  $\bar{k}$ , and set  $\mathcal{D}_i = \alpha_i^2/\mathcal{F}_i$ .
  - (6) **For**  $1 \leq i, j \leq \lambda_2$ ,
 

find a  $\lambda_2 \times \lambda_2$ -matrix  $\mathcal{R} = [\tau_{ij}]$  over  $\mathbb{F}_p$ , where  $\tau_{ij}$  is defined as  $\tau_{ij} = \left\{ \frac{\mathcal{D}_j}{\mathcal{P}_i} \right\}$ .
  - (7) Compute  $\lambda_3 = \lambda_2 - \text{rank}(\mathcal{R})$ .
- 

a fixed field of a subgroup of  $\text{Gal}(H_K/\mathcal{G}_K)$  which is isomorphic to  $Cl_K^{(\sigma^{-1})^2}$ . Then  $Cl_K(p)^{(\sigma^{-1})}/Cl_K(p)^{(\sigma^{-1})^2}$  is isomorphic to  $\text{Gal}(\mathcal{H}/\mathcal{G}_K)$ ; thus, we can define the following composite map:

$$(4.10) \quad \Psi : Cl_K(p)^G \cap Cl_K(p)^{(\sigma^{-1})} \rightarrow Cl_K(p)^{(\sigma^{-1})}/Cl_K(p)^{(\sigma^{-1})^2} \xrightarrow{\cong} \text{Gal}(\mathcal{H}/\mathcal{G}_K),$$

where the first map is induced by the inclusion map.

Then  $\lambda_3$  is equal to  $\lambda_2 - \text{rank}(\mathcal{R})$ , where  $\mathcal{R}$  is a matrix representing  $\Psi$  over  $\mathbb{F}_p$  and  $\lambda_2$  is obtained by Lemma 2.2.

**Proof** We note that  $\text{Gal}(H_K/K) \simeq Cl_K$  and  $\text{Gal}(\mathcal{G}_K/K) \simeq Cl_K(p)/Cl_K(p)^{(\sigma^{-1})} \simeq Cl_K/Cl_K^{(\sigma^{-1})}$  [19, pp. 328–329]; therefore,  $\text{Gal}(H_K/\mathcal{G}_K) \simeq Cl_K^{(\sigma^{-1})}$ . By the Galois correspondence, we have isomorphisms  $\text{Gal}(\mathcal{H}/\mathcal{G}_K) \simeq Cl_K^{(\sigma^{-1})}/Cl_K^{(\sigma^{-1})^2}$  and  $Cl_K^{(\sigma^{-1})}/Cl_K^{(\sigma^{-1})^2} \simeq Cl_K(p)^{(\sigma^{-1})}/Cl_K(p)^{(\sigma^{-1})^2}$ ; thus, we have the isomorphism  $Cl_K(p)^{(\sigma^{-1})}/Cl_K(p)^{(\sigma^{-1})^2} \xrightarrow{\cong} \text{Gal}(\mathcal{H}/\mathcal{G}_K)$ .

Let  $\Psi$  be the map defined as in (4.10). Then we have

$$|\text{Ker}(\Psi)| = |Cl_K(p)^G \cap Cl_K(p)^{(\sigma^{-1})^2}|.$$

We claim that for any positive integer  $n$ ,

$$(4.11) \quad |Cl_K(p)^G \cap Cl_K(p)^{(\sigma^{-1})^{n-1}}| = |Cl_K(p)^{(\sigma^{-1})^{n-1}}/Cl_K(p)^{(\sigma^{-1})^n}|.$$

We consider a short exact sequence

$$0 \rightarrow Cl_K(p)^G \cap Cl_K(p)^{(\sigma-1)^{n-1}} \xrightarrow{\iota} Cl_K(p)^{(\sigma-1)^{n-1}} \xrightarrow{\sigma-1} Cl_K(p)^{(\sigma-1)^n} \rightarrow 0,$$

where  $\iota$  denotes an inclusion map. Then  $Cl_K(p)^{(\sigma-1)^n}$  is isomorphic to

$$Cl_K(p)^{(\sigma-1)^{n-1}} / \text{Im}(\iota) = Cl_K(p)^{(\sigma-1)^{n-1}} / Cl_K(p)^G \cap Cl_K(p)^{(\sigma-1)^{n-1}}.$$

Therefore, we have the following:

$$|Cl_K(p)^{(\sigma-1)^n}| = \frac{|Cl_K(p)^{(\sigma-1)^{n-1}}|}{|Cl_K(p)^G \cap Cl_K(p)^{(\sigma-1)^{n-1}}|}.$$

We can rewrite this as

$$|Cl_K(p)^G \cap Cl_K(p)^{(\sigma-1)^{n-1}}| = \frac{|Cl_K(p)^{(\sigma-1)^{n-1}}|}{|Cl_K(p)^{(\sigma-1)^n}|} = |Cl_K(p)^{(\sigma-1)^{n-1}} / Cl_K(p)^{(\sigma-1)^n}|;$$

hence, (4.11) follows.

Therefore, we compute as follows:

$$\begin{aligned} \lambda_3 &= \dim_{\mathbb{F}_p}(Cl_K(p)^{(\sigma-1)^2} / Cl_K(p)^{(\sigma-1)^3}) = \dim_{\mathbb{F}_p}(Cl_K(p)^G / Cl_K(p)^{(\sigma-1)^2}) \\ &= \dim_{\mathbb{F}_p}(\text{Ker}(\Psi)) = \dim_{\mathbb{F}_p}(Cl_K(p)^G \cap Cl_K(p)^{(\sigma-1)}) - \dim_{\mathbb{F}_p}(\text{Im}(\Psi)) \\ &= \dim_{\mathbb{F}_p}(Cl_K(p)^{(\sigma-1)} / Cl_K(p)^{(\sigma-1)^2}) - \dim_{\mathbb{F}_p}(\text{Im}(\Psi)) = \lambda_2 - \dim_{\mathbb{F}_p}(\text{Im}(\Psi)) \\ &= \lambda_2 - \text{rank}(\mathcal{R}), \end{aligned}$$

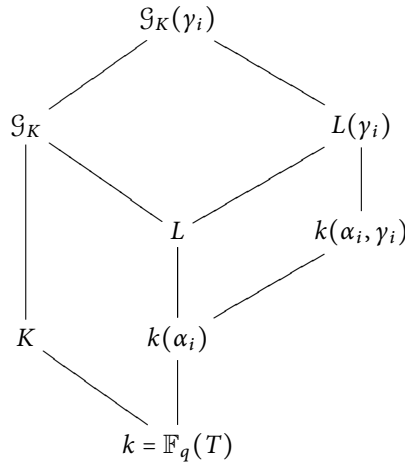
where  $\mathcal{R}$  is a matrix representing  $\Psi$  over  $\mathbb{F}_p$  and  $\lambda_2$  is obtained by Lemma 2.2. We note that the second equality and the fifth one hold by (4.11) with  $n = 3$  and  $2$ , respectively. ■

**Theorem 4.3** *Let  $K$  be the Artin–Schreier extension over the rational function field  $k$  of extension degree  $p$ . Then the  $\lambda_3$ -rank of the ideal class group of  $K$  can be computed by Algorithm 1.*

**Proof** By Lemma 4.2, we have  $\lambda_3 = \lambda_2 - \text{rank}(\mathcal{R})$ , where  $\mathcal{R}$  is a matrix representing  $\Psi$  which is defined as in (4.10). Therefore, it is sufficient to compute the matrix  $\mathcal{R}$  in an explicit way for computation of  $\lambda_3$ . We describe how to compute the matrix  $\mathcal{R}$  as follows.

Let  $\mathcal{J} := \{1 \leq i \leq m \mid \text{the } i\text{th row vector of } R \text{ is zero}\} = \{s_1, \dots, s_{\lambda_2}\}$ , where  $s_i < s_j$  for  $1 \leq i < j \leq \lambda_2$ . For simplicity, we set  $\mathcal{P}_i := P_{s_i}$  and  $\mathcal{F}_i = Q_{s_i} / P_{s_i}^{f_{s_i}}$  for  $1 \leq i \leq \lambda_2$ . Let  $\mathcal{D}_i := \alpha_i^2 / \mathcal{F}_i$ , and let  $\gamma_i$  be a root of  $\mathbf{X}^p - \mathbf{X} = \mathcal{D}_i$  in  $\bar{k}$ , where  $\bar{k}$  is the algebraic closure of  $k$  and  $\alpha_i$  is the root of  $x^p - x = \mathcal{F}_i$  in  $\bar{k}$ .

Let  $L := k(\alpha_1, \dots, \alpha_m)$  be a subfield of the genus field  $\mathcal{G}_K$  defined as the following, where  $\mathcal{G}_K$  is given in Lemma 2.3.



We now show that  $G_K(\gamma_i)$  is a subfield of  $H_K$  for  $1 \leq i \leq \lambda_2$ . We point out that  $G_K(\gamma_i)/G_K$  is an abelian extension by the fact that it is the Artin-Schreier function field. It suffices to show that  $G_K(\gamma_i)/G_K$  is an unramified extension and all the infinite places of  $G_K$  split completely in  $G_K(\gamma_i)$ . By Lemma 4.1,  $k(\alpha_i, \gamma_i)/k(\alpha_i)$  is an unramified extension and all the infinite places of  $k(\alpha_i)$  split completely in  $k(\alpha_i, \gamma_i)$ . Thus,  $L(\gamma_i)/L$  is an unramified extension; hence,  $G_K(\gamma_i)/G_K$  is an unramified extension.

Now, we show that all the infinite places of  $G_K$  split completely in  $G_K(\gamma_i)$ . Every infinite place of  $k(\alpha_i)$  splits completely in  $k(\alpha_i, \gamma_i)$  as shown above and all the infinite places of  $L$  split completely in  $L(\gamma_i)$ . Also, all the infinite places split completely in  $L/k(\alpha_i)$  by Lemma 2.1. Consequently, all the infinite places of  $L$  split completely in the compositum  $L(\gamma_i)$  of  $L$  and  $k(\alpha_i, \gamma_i)$ .

Let  $\mathcal{P}_\infty$  be a place of  $L$  which lies above the infinite place  $\infty$  of  $k$  and  $\mathcal{P}'$  a place of  $G_K$  which lies above  $\mathcal{P}_\infty$ . We consider the following two possible cases:  $\mathcal{P}_\infty$  splits completely in  $G_K$  or  $\mathcal{P}_\infty$  is totally ramified or inert in  $G_K$ . We note that the result follows immediately in the former case; thus, it is sufficient to consider the latter case where there is exactly one place lying above  $\mathcal{P}_\infty$  in  $G_K$ , the number of places in  $G_K(\gamma_i)$  which lie above  $\mathcal{P}'$  is exactly  $p$ ; this is because the infinite places split completely in  $L(\gamma_i)/L$ . Therefore,  $\mathcal{P}'$  splits completely in  $G_K(\gamma_i)$ , and the result holds.

We have  $\mathcal{H} = G_K(\gamma_1, \dots, \gamma_{\lambda_2})$  since  $G_K(\gamma_i) \subseteq H_K$  and  $[\mathcal{H} : G_K] = p^{\lambda_2}$ . We get

$$\left( \frac{\mathcal{H}/G_K}{\mathfrak{p}_i} \right) (\gamma_j) = \gamma_j + \left\{ \frac{\mathcal{D}_j}{\mathcal{P}_i} \right\},$$

where  $\mathfrak{p}_i$  is a place of  $G_K$  lying above  $\mathcal{P}_i$  for  $1 \leq i \leq \lambda_2$  by the action of the Artin map in the Artin-Schreier function field. Therefore, we determine  $\mathcal{R} = [v_{ij}] = \left\{ \frac{\mathcal{D}_j}{\mathcal{P}_i} \right\}$ .

This process is implemented in Algorithm 1. Steps (1) and (2) of Algorithm 1 give the process of computing  $\lambda_1$ ,  $\lambda_2$ , and the Rédei matrix  $R$ . Step (3) explains the case where  $\lambda_2 = 0$  and then the algorithm stops. If  $0 < \lambda_2 < \lambda_1$ , then we go to Step (4.1), and if  $\lambda_2 = \lambda_1$ , then we proceed with Step (4.2). Steps (5.1) and (5.2) explain the process of finding  $\mathcal{D}_i$  for  $1 \leq i \leq \lambda_2$ . In Step (6), we determine a matrix  $\mathcal{R}$  over  $\mathbb{F}_p$ , and finally we obtain  $\lambda_3 = \lambda_2 - \text{rank}(\mathcal{R})$  in Step (7). ■

**Corollary 4.4** *Let  $K$  be the Artin–Schreier quadratic extension over  $k$ , and let the  $\lambda_3$ -rank of  $Cl_K$  be computed by Algorithm 1. Then the  $2^3$ -rank of  $Cl_K$  is exactly  $\lambda_3$ : that is,  $Cl_K(2)$  has a subgroup isomorphic to  $(\mathbb{Z}/2^3\mathbb{Z})^{\lambda_3}$ .*

**Proof** This follows immediately from the fact that  $\lambda_n$  is exactly equal to the full  $2^n$ -rank of  $Cl_K$  and Theorem 4.3. ■

**Remark 4.5** For readers, focusing on the case:  $p = 2$ , we first briefly explain the analogy between Rédei symbols (the 4-rank of the class groups) and the 8-rank of the class groups in the quadratic field case (for more details, see [9]). Then we describe the analogy between Artin–Schreier quadratic extensions over  $k$  and quadratic extensions over  $\mathbb{Q}$  for computation of  $\lambda_3$ .

Let  $F$  be a quadratic extension over  $\mathbb{Q}$ , and let  $Cl_F$  be the ideal class group of  $F$ . Let  $r_4$  (resp.  $r_8$ ) be the  $2^2$ -rank (resp.  $2^3$ -rank) of  $Cl_F$ . Let  $H$  be the Hilbert class field of  $F$ , and let  $H_n$  be the unramified abelian subextension of  $H$  such that  $\text{Gal}(H_n/F) \simeq Cl_F/Cl_F^n$  for  $n = 2, 4$ .

Basically, a strategy for computing the  $2^2$ -rank (resp.  $2^3$ -rank) is explicitly finding a subextension  $H_2$  (resp.  $H_4$ ) of the Hilbert class field of  $F$  whose Galois group is isomorphic to  $\text{Gal}(Cl_F/Cl_F^2)$  (resp.  $\text{Gal}(Cl_F^2/Cl_F^4)$ ).

Define two maps as follows:

$$R_4 : \mathbb{F}_2^t \rightarrow Cl_F[2] \xrightarrow{\varphi} Cl_F/Cl_F^2 \xrightarrow{\simeq} \text{Gal}(H_2/F) \rightarrow \text{Gal}(H_2/\mathbb{Q}) = \prod_{i=1}^t \text{Gal}(\mathbb{Q}(\sqrt{d_i})/\mathbb{Q}),$$

$$R_8 : \text{Ker } R_4 \rightarrow Cl_F[2] \cap Cl_F^2 \xrightarrow{\psi} Cl_F^2/Cl_F^4 \xrightarrow{\simeq} \text{Gal}(H_4/H_2) = \prod_{i=1}^{r_4} \text{Gal}(H_2(\sqrt{\alpha_i})/H_2) \rightarrow \mathbb{F}_2^{r_4},$$

where  $t$  is the number of finite primes of  $\mathbb{Q}$  which are ramified in  $F$ ,  $Cl_F[2]$  is the 2-torsion part of  $Cl_F$ , and the maps  $\varphi$  and  $\psi$  are induced by the inclusion maps. For computation of  $r_4$  and  $r_8$ , we find appropriate  $d_i$  ( $1 \leq i \leq t$ ) and  $\alpha_i$  ( $1 \leq i \leq r_4$ ). Then we have

$$r_4 = t - \dim_{\mathbb{F}_2} R_4 \quad \text{and} \quad r_8 = r_4 - \dim_{\mathbb{F}_2} R_8.$$

To show the analogy between Artin–Schreier quadratic extensions over  $k$  and quadratic extensions over  $\mathbb{Q}$  for computation of  $\lambda_3$  ( $2^3$ -rank), let  $K$  be the Artin–Schreier quadratic extension over  $k$ . Then the map  $R_8$  corresponds to the map  $\Psi$  defined in (4.10):

$$\Psi : Cl_K(2)^G \cap Cl_K^2 \rightarrow Cl_K^2/Cl_K^4 \xrightarrow{\simeq} \text{Gal}(\mathcal{H}/\mathcal{G}_K).$$

Then we have  $\lambda_3 = \lambda_2 - \text{rank } \mathcal{R}$ , where  $\mathcal{R}$  is a matrix over  $\mathbb{F}_2$  representing the map  $\Psi$ . We recall that  $\lambda_3$  is the  $2^3$ -rank of  $Cl_K$ .

## 5 An infinite family of Artin–Schreier function fields with higher $\lambda_n$ -rank

In this section, we find an infinite family of Artin–Schreier function fields which have *prescribed*  $\lambda_n$ -rank of the ideal class group for  $1 \leq n \leq 3$ . In Theorem 5.1, for any positive integer  $t \geq 2$ , we obtain an infinite family of Artin–Schreier extensions over  $k$

whose  $\lambda_1$ -rank is  $t$ ,  $\lambda_2$ -rank is  $t - 1$ , and  $\lambda_3$ -rank is  $t - 2$ . Then Corollary 5.3 shows the case where  $p = 2$ , for a given positive integer  $t \geq 2$ , we obtain an infinite family of the Artin-Schreier quadratic extensions over  $k$  whose 2-class group rank (resp.  $2^2$ -class group rank and  $2^3$ -class group rank) is exactly  $t$  (resp.  $t - 1$  and  $t - 2$ ). Furthermore, we also obtain a similar result on the  $2^n$ -ranks of the divisor class groups of the Artin-Schreier quadratic extensions over  $k$  in Corollary 5.4.

Throughout this section, we define  $D_m$  as follows.

**Notation 1** Let  $D_m := \sum_{i=1}^m D_i + f(T)$  be defined in (2.1) with  $D_i = Q_i/P_i^{r_i}$ , where  $m, P_i, Q_i$ , and  $f(T)$  satisfy one of the followings:

- (i)  $m = \begin{cases} t, & \text{if } \deg f(T) \geq 1 \\ & \text{or } f(T) = c \in \mathbb{F}_q^\times \text{ such that } x^p - x = c \text{ is irreducible over } \mathbb{F}_q, \\ t + 1, & \text{if } f(T) = 0. \end{cases}$
- (ii)  $Q_j \equiv P_j^{r_j}(b_i(T)^q - b_i(T)) \pmod{P_i}$  for any  $1 \leq i \neq j \leq m$  except  $(i, j) = (1, 2)$ , where  $b_i(T) \in \mathbb{F}_q[T]$ .
- (iii) If  $\deg f(T) \geq 1$ , then  $f(T) \equiv P_j^{r_j}(b_i(T)^q - b_i(T)) \pmod{P_i}$ , where  $b_i(T) \in \mathbb{F}_q[T]$  for any  $1 \leq i \leq m$ .
- (iv) If  $f(T) \in \mathbb{F}_q^\times$ , then  $q \mid \deg P_i$  for any  $i$  with  $1 \leq i \leq m$ .
- (v)  $Q_j^{-1} \equiv P_j^{r_j}(b_i(T)^q - b_i(T)) \pmod{P_i}$ , where  $b_i(T) \in \mathbb{F}_q[T]$  and  $Q_j^{-1}$  denotes the inverse of  $Q_j$  modulo  $P_i$  for any  $1 \leq i \neq j \leq m$  except  $(i, j) \neq (1, 2)$ .

**Theorem 5.1** For a given positive integer  $t \geq 2$ , there is an infinite family of Artin-Schreier extensions over  $k$  whose  $\lambda_1$ -rank is  $t$ ,  $\lambda_2$ -rank is  $t - 1$ , and  $\lambda_3$ -rank is  $t - 2$ .

Let  $K = k(\alpha_{D_m})$  be the Artin-Schreier function field over  $k$  of extension degree  $p$ , where  $D_m$  is defined in Notation 1 and  $\alpha_{D_m}$  is a root of  $x^p - x = D_m$ . Then the ideal class group  $Cl_K$  of  $K$  has  $\lambda_1 = t$ ,  $\lambda_2 = t - 1$ , and  $\lambda_3 = t - 2$ .

**Remark 5.2** Let  $\mathbb{F}_q$  be a finite field of order  $q$ ,  $t$  be a given integer, and  $f(T) \in \mathbb{F}_q$ . By condition (i),  $m = t + 1$ . By condition (ii), we can choose monic irreducible polynomials  $P_i \in \mathbb{F}_q[T]$  whose degrees are divisible by  $p$ . We note that conditions (iii) and (iv) can be interpreted as

$$(5.1) \quad \left\{ \frac{D_j}{P_i} \right\} = \left\{ \frac{Q_j^{-1}}{P_i} \right\} = 0;$$

by the surjectivity of the trace map, there always exist  $D_j$  and  $Q_j^{-1}$  which satisfy (5.1). Since our choice of  $P_i$ 's are infinite, we have an infinite family of Artin-Schreier extensions which satisfy the conditions in Theorem 5.1.

**Proof of Theorem 5.1** Recall that  $\lambda_2 = \lambda_1 - \text{rank}(R)$  and  $\lambda_3 = \lambda_2 - \text{rank}(\mathcal{R})$ , where  $R$  (resp.  $\mathcal{R}$ ) is a matrix over  $\mathbb{F}_p$  defined in Lemma 2.2 (resp. Algorithm 1). We need to show that

$$(5.2) \quad \lambda_1 = t, \quad \lambda_2 = t - 1, \quad \lambda_3 = t - 2;$$

this is equivalent to  $\text{rank}(R) = \text{rank}(\mathcal{R}) = 1$ .

We divide into the following three cases:  $\deg f(T) \geq 1$ ,  $\deg f(T) = 0$ , and  $f(T) = c$ , where  $x^p - x - c$  is irreducible over  $\mathbb{F}_q$ .

**Case I.**  $\deg f(T) \geq 1$ : that is, the infinite place of  $k$  is totally ramified in  $K$ .

Since  $\deg f(T) \geq 1$ , we have  $m = t$  by condition (i); this implies that  $\lambda_1 = m = t$  by Lemma 2.2. For computing  $\lambda_2$ , we compute every entry of the Rédei matrix  $R$ : that is, the Hasse norm  $\{D_j/P_i\}$  and  $\{f(T)/P_i\}$  for  $1 \leq i \neq j \leq m$ . Using Lemma 3.1 and condition (ii), we can easily obtain that  $\left\{\frac{D_2}{P_1}\right\} \neq 0$  and  $\left\{\frac{D_j}{P_i}\right\} = 0$  for any  $1 \leq i \neq j \leq m$  except  $(i, j) \neq (1, 2)$ . Furthermore, we get  $\left\{\frac{f}{P_i}\right\} = 0$  for any  $1 \leq i \leq m$  by condition (iii).

Therefore, the Rédei matrix  $R$  can be written as  $R = \begin{bmatrix} p-1 & 1 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix}$ ; thus,  $\lambda_2 =$

$\lambda_1 - \text{rank}(R) = t - 1$ . Lastly, we compute  $\lambda_3$  of  $K$  using Algorithm 1 and Theorem 4.3. Using the definition of a matrix  $\mathcal{R}$  which is given in Algorithm 1, it suffices to compute  $\left\{\frac{1/Q_i}{P_i}\right\}$  for  $1 \leq i \neq j \leq m$ . By the same reasoning as in the computation of  $R$ , we get  $\lambda_3 = \lambda_2 - \text{rank}(\mathcal{R}) = t - 2$ . Therefore, (5.2) follows.

**Case II.**  $\deg f(T) = 0$ : that is, the infinite place of  $k$  splits completely in  $K$ , which is a real extension.

We can easily obtain  $\lambda_1 = t$  by using Lemma 2.2 and the condition  $m = t + 1$ . For computing  $\lambda_2$ , we compute every entry of the Rédei matrix  $R$ : that is, the value of Hasse norm  $\{D_j/P_i\}$  for  $1 \leq i \neq j \leq m$ . By the definition of Hasse norm which is defined in Definition 2.1, we get  $\{D_2/P_1\} \neq 0$  and  $\{D_j/P_i\} = 0$ , where  $1 \leq i \neq j \leq m$  except  $(i, j) = (1, 2)$ . As in Case I, the rank of Rédei matrix is one: that is,  $\lambda_2 = \lambda_1 - \text{rank}(R) = t - 1$ . Lastly, we compute  $\lambda_3$  of  $K$ ; by the same computation method as in Case I, we have  $\lambda_3 = \lambda_2 - \text{rank}(\mathcal{R}) = t - 2$ . Therefore, (5.2) follows.

**Case III.**  $f(T) = c \in \mathbb{F}_q^\times$ , where  $x^p - x - c$  is irreducible over  $\mathbb{F}_q$ : that is, the infinite place of  $k$  is inert in  $K$ .

Under this assumption,  $K$  is an imaginary extension; so,  $m = t$ . We claim that (5.2) holds for this case. We can simply get  $\lambda_1 = t$  by Lemma 2.2 and we also obtain  $\{D_j/P_i\} = 0$  for every  $1 \leq i \neq j \leq t = m$  except  $(i, j) = (1, 2)$  by using the same reasoning as in Case I. Now, we compute the value of  $\{c/P_i\}$  for  $1 \leq i \leq t = m$ , where  $c \in \mathbb{F}_q^\times$ . We have

$$\left\{\frac{c}{P_i}\right\} = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(\text{Tr}_{\mathbb{F}_q/\mathbb{F}_q} c) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(c \deg P_i) = \deg P_i (\text{Tr}_{\mathbb{F}_q/\mathbb{F}_p} c);$$

the second equation holds since  $c$  is a nonzero element of  $\mathbb{F}_q$  and the last equation holds by the property of a trace map over a finite field. We get  $\deg P_i (\text{Tr}_{\mathbb{F}_q/\mathbb{F}_p} c) = 0$  in  $\mathbb{F}_p$  by Lemma 3.1 by the assumption that  $q \mid \deg P_i$  for every  $1 \leq i \leq m$ ; therefore, (3.5) is zero in  $\mathbb{F}_p$ . Hence,  $\lambda_2 = t - 1$ . By the same reasoning as in Case I,  $\lambda_3 = t - 2$  and we have (5.2). ■

**Corollary 5.3** *Let  $K = k(\alpha_{D_m})$  be the Artin–Schreier quadratic function field over  $k$  of extension degree 2, where  $D_m$  is defined in Notation 1 and  $\alpha_{D_m}$  is a root of  $x^2 - x = D_m$ .*

*For any positive integer  $t \geq 2$ , there is an infinite family of Artin–Schreier quadratic extensions over  $k$  whose 2-class group rank is exactly  $t$ , 2<sup>2</sup>-class group rank is  $t - 1$ , and 2<sup>3</sup>-class group rank is  $t - 2$ .*

*In particular,  $Cl_K(2)$  contains a subgroup isomorphic to  $(\mathbb{Z}/2^n\mathbb{Z})^{t-n+1}$  for  $1 \leq n \leq 3$ .*



**Proof** We note that  $\lambda_n$  is exactly equal to the full  $2^n$ -rank ( $1 \leq n \leq 3$ ) of the ideal class group  $Cl_K$  of  $K$ ; therefore, the result follows immediately from Theorem 5.1. ■

**Corollary 5.4** For a given positive integer  $t$ , let  $K = k(\alpha_{D_m})$  be the Artin-Schreier quadratic function field over  $k$ , where  $D_m = \sum_{i=1}^m Q_i/P_i^{r_i} + f(T)$  such that  $P_i, Q_i, f(T)$ , and  $m$  satisfy the conditions (i)–(v) in Notation 1. Let  $J_K$  be the divisor class group of  $K$ . Then we have the following infinite family of Artin-Schreier quadratic extensions.

- (i) For  $t \geq 2$ , if  $\deg f(T) \geq 1$  (equivalently,  $\infty$  is totally ramified in  $K$ ), then the  $2^n$ -class group rank of  $J_K$  is exactly equal to  $t + 1 - n$  for  $1 \leq n \leq 3$ .
- (ii) For  $t \geq 2$ , if  $f(T) = 0$  (equivalently,  $\infty$  splits completely in  $K$ ), then the  $2^n$ -class group rank of  $J_K$  is exactly either  $t + 1 - n$  or  $t + 2 - n$  for  $1 \leq n \leq 3$ .
- (iii) For  $t \geq 3$ , if  $f(T) \in \mathbb{F}_q^\times$  (equivalently,  $\infty$  is inert in  $K$ ), then the  $2^n$ -class group rank of  $J_K$  is exactly either  $t + 1 - n$  or  $t - n$  for  $1 \leq n \leq 3$ .

**Proof** Since  $D_m$  satisfies the conditions (i)–(v) in Notation 1, the ideal class group  $Cl_K$  of  $K$  has  $\lambda_1$ -rank  $t$ ,  $\lambda_2$ -rank  $t - 1$ , and  $\lambda_3$ -rank  $t - 2$ .

We first assume that  $\deg f(T) \geq 1$ : that is, the infinite place  $\infty$  of  $k$  is totally ramified in  $K$ . Then the ideal class group  $Cl_K$  of  $K$  is isomorphic to the divisor class group  $J_K$  of  $K$  by Lemma 2.6. Thus, by Lemma 5.3, the  $2^n$ -rank of the divisor class group  $J_K$  of  $K$  is  $t + 1 - n$  for  $n$  up to 3; thus, (i) follows.

Next, suppose that  $f(T) = 0$ . This is the case where the infinite place  $\infty$  of  $k$  splits completely in  $K$ . Then, by Lemma 2.6, we note that  $J_K/R$  is isomorphic to  $Cl_K$ , where  $R$  denotes the group  $\mathcal{D}_K^0(S)/\mathcal{P}_K(S)$ . By the fact the group  $R$  is a cyclic group, the  $2^n$ -rank of the divisor class group  $J_K$  is either  $t + 1 - n$  or  $t + 2 - n$  for  $n$  up to 3.

Finally, we assume that  $f(T) \in \mathbb{F}_q^\times$ : the case where  $\infty$  is inert in  $K$ . Then, by the exact sequence given in Lemma 2.6(ii), we get  $|Cl_K| = 2|J_K|$ . Since  $Cl_K(2)$  contains a subgroup isomorphic to  $(\mathbb{Z}/2^n\mathbb{Z})^{t-n+1}$  for  $1 \leq n \leq 3$ ,  $J_K(2)$  contains a subgroup isomorphic to  $(\mathbb{Z}/2^n\mathbb{Z})^{t-n+1}$  or  $(\mathbb{Z}/2^n\mathbb{Z})^{t-n}$  for  $1 \leq n \leq 3$ ; therefore, (iii) holds. ■

**Remark 5.5** We briefly mention that the  $\lambda_2$ -rank is connected to the embedding problem. For instance, in the quadratic number field  $F = \mathbb{Q}(\sqrt{d})$ , the solvability of the conics  $X^2 = aY^2 + \frac{d}{a}Z^2$  yields unramified cyclic quartic extensions of  $F$ . The solvability of this conic is related to the  $\lambda_2$ -rank of  $Cl_F$ , which is computed by the Rédei matrix in terms of Legendre symbols. Then the embedding problem for  $F$  is not solvable. On the other hand, in our context, the embedding problem for Artin-Schreier extensions  $K$  over  $k$  is solvable and every finite place of  $k$  is wildly ramified in  $K$ .

## 6 Implementation results

In this section, as implementation results, we explicitly present concrete infinite families of Artin-Schreier extensions over  $k$  whose ideal class groups have guaranteed prescribed  $\lambda_n$ -rank of the ideal class group for  $1 \leq n \leq 3$ . In Table 1, for a given positive integer  $t$ , we obtain explicit families of Artin-Schreier extensions  $K$  over  $k$  whose  $\lambda_1$ -rank of the ideal class group  $Cl_K$  is  $t$  and  $\lambda_n$ -rank is zero for  $n \geq 2$ , depending on the ramification behavior of the infinite place  $\infty$  of  $k$  (Theorems 3.2–3.4). Furthermore, in Table 2, for a given integer  $t \geq 2$ , we get explicit families of Artin-Schreier extensions

$t$	$p$	$q$	$D = \sum Q_i / (P_i^{r_i}) + f$	Ideal class group	Divisor class group	$\infty$	
1	2	2	$\frac{1}{T} + T + \zeta$		$\mathbb{Z}_2$		
			$\frac{1}{T^3} + T + \zeta$		$\mathbb{Z}_2 \times \mathbb{Z}_{13}$		
			$\frac{1}{T^3 + \zeta T^2 + 1} + T^3 + \zeta T^2 + \zeta^2$		$\mathbb{Z}_2 \times (\mathbb{Z}_3)^2 \times \mathbb{Z}_5$		
	3	3 <sup>2</sup>	$\frac{1}{T^2} + T + \zeta$		$(\mathbb{Z}_2)^4 \times \mathbb{Z}_3 \times \mathbb{Z}_{13}$		
			$\frac{1}{(T+\zeta)^2} + T^2 + T + 1$		$\mathbb{Z}_3 \times \mathbb{Z}_{13} \times \mathbb{Z}_{103}$		
			$\frac{T+\zeta^5}{T^2+\zeta^3 T+1} + T^4 + \zeta^3 T^3 + T^2 + \zeta$		$(\mathbb{Z}_2)^2 \times \mathbb{Z}_3 \times \mathbb{Z}_7 \times \mathbb{Z}_{79} \times \mathbb{Z}_{139}$	Totally	
2	2	2 <sup>2</sup>	$\frac{T+1}{T^3} + \frac{T}{T^3+T+1} + T^3 + T + \zeta$		$(\mathbb{Z}_2)^2 \times \mathbb{Z}_5 \times \mathbb{Z}_{101}$	ramified	
			$\frac{T+1}{T^3} + \frac{T}{T^3+T+1} + T^5 + T^3 + T^2 + \zeta$		$(\mathbb{Z}_2)^2 \times (\mathbb{Z}_3)^2 \times \mathbb{Z}_5 \times \mathbb{Z}_{5^2}$		
	3	3 <sup>2</sup>	$\frac{\zeta T + \zeta^3}{T^2} + \frac{\zeta T}{T^2 + \zeta T + \zeta^3} + T^2 + \zeta T + \zeta^5$		$(\mathbb{Z}_2)^2 \times (\mathbb{Z}_3)^2 \times \mathbb{Z}_{19} \times \mathbb{Z}_{9643}$		
1	2	2 <sup>2</sup>	$\frac{T+\zeta^6}{T^2} + \frac{T}{T^2+T+\zeta^7} + T^2 + T + \zeta$		$(\mathbb{Z}_3)^3 \times \mathbb{Z}_{223} \times \mathbb{Z}_{10789}$		
			$\frac{T+1}{T^3} + \frac{\zeta(T+1)}{T^3+T+1}$	$\mathbb{Z}_2 \times \mathbb{Z}_3$	$\mathbb{Z}_2 \times (\mathbb{Z}_3)^2 \times \mathbb{Z}_5 \times \mathbb{Z}_7$		
			$\frac{\zeta T^2+T}{(T+1)^3} + \frac{1}{T^3+\zeta^2 T^2+1}$	$\mathbb{Z}_2$	$\mathbb{Z}_2 \times \mathbb{Z}_5 \times \mathbb{Z}_{83}$		
	3	3 <sup>2</sup>	$\frac{\zeta T^2+T}{(T+1)^3} + \frac{\zeta}{T^3+\zeta^2 T^2+1}$	$\mathbb{Z}_2$	$\mathbb{Z}_2 \times \mathbb{Z}_{71}$		
			$\frac{1}{T^2} + \frac{\zeta T + \zeta^6}{T^2+2T+\zeta}$	$\mathbb{Z}_3$	$\mathbb{Z}_3 \times \mathbb{Z}_3 \times \mathbb{Z}_7 \times \mathbb{Z}_{1069}$		
			$\frac{T^3+\zeta^5 T}{(T+\zeta)^4} + \frac{\zeta^5}{T+\zeta^2}$	$\mathbb{Z}_3$	$\mathbb{Z}_3 \times (\mathbb{Z}_{23})^2 \times \mathbb{Z}_{37}$	Splits	
				$\frac{T+\zeta^5}{T^2+\zeta^3 T+1} + \frac{\zeta^3 T+\zeta^3}{(T+\zeta^3)^2}$	$(\mathbb{Z}_{2^2})^2 \times \mathbb{Z}_3 \times \mathbb{Z}_7$	$(\mathbb{Z}_{2^2})^2 \times (\mathbb{Z}_3)^2 \times \mathbb{Z}_{37}$	completely

Table 1: Infinite families of Artin–Schreier extensions  $K = k(\alpha_D)$  over  $k$  whose  $\lambda_1$ -rank of the ideal class groups is  $t$  and  $\lambda_n$ -rank is zero for  $n \geq 2$ , where  $\alpha_D^p - \alpha_D = D$ .

$t$	$p$	$q$	$D = \sum Q_i / (P_i^{r_i}) + f$	Ideal class group	Divisor class group	$\infty$
2	2	$2^2$	$\frac{T+1}{T^3} + \frac{T}{T^3+T+1} + \frac{T^2+\zeta T+\zeta^2}{(T+\zeta)^5}$	$(\mathbb{Z}_2)^2$	$(\mathbb{Z}_2)^2 \times (\mathbb{Z}_{3^2}) \times \mathbb{Z}_{17} \times \mathbb{Z}_{37}$	
			$\frac{T+1}{T^3} + \frac{\zeta}{T^3+T+1} + \frac{T^2+\zeta T+\zeta^2}{(T+\zeta)^5}$	$(\mathbb{Z}_2)^2$	$\mathbb{Z}_2 \times \mathbb{Z}_{2^2} \times \mathbb{Z}_7 \times \mathbb{Z}_{13} \times \mathbb{Z}_{17}$	
	3	$3^2$	$\frac{\zeta T+\zeta^3}{T^2} + \frac{\zeta T}{T^2+\zeta T+\zeta^3} + \frac{\zeta^3 T+\zeta^2}{(T+\zeta)^2}$	$(\mathbb{Z}_3)^2$	$(\mathbb{Z}_3)^2 \times \mathbb{Z}_{3434467}$	
			$\frac{\zeta T+\zeta^3}{T^2} + \frac{\zeta T}{T^2+\zeta T+\zeta^3} + \frac{\zeta^2}{(T+\zeta)^2}$	$(\mathbb{Z}_3)^2$	$(\mathbb{Z}_3)^2 \times \mathbb{Z}_{31} \times \mathbb{Z}_{139} \times \mathbb{Z}_{1279}$	
1	2	$2^2$	$\frac{1}{T} + \zeta$	$\mathbb{Z}_2$	Identity	
			$\frac{T^2+\zeta T+1}{T^3} + \zeta^2$	$\mathbb{Z}_2 \times \mathbb{Z}_5$	$\mathbb{Z}_5$	
			$\frac{1}{T^3+\zeta T^2+1} + \zeta$	$\mathbb{Z}_2 \times \mathbb{Z}_{17}$	$\mathbb{Z}_{17}$	
	3	$3^2$	$\frac{1}{T^2} + 1$	$\mathbb{Z}_3 \times \mathbb{Z}_7$	$\mathbb{Z}_7$	
			$\frac{T^3+\zeta^5 T}{(T+\zeta)^4} + 2$	$(\mathbb{Z}_{2^2})^2 \times \mathbb{Z}_3 \times (\mathbb{Z}_5)^2$	$(\mathbb{Z}_{2^2})^2 \times (\mathbb{Z}_5)^2$	
			$\frac{T+\zeta^5}{T^2+\zeta^3 T+1} + \zeta^7$	$\mathbb{Z}_3 \times \mathbb{Z}_{97}$	$\mathbb{Z}_{97}$	Inert
2	2	$2^2$	$\frac{T+1}{T^3} + \frac{T}{T^3+T+1} + \zeta$	$(\mathbb{Z}_2)^2 \times \mathbb{Z}_{113}$	$\mathbb{Z}_2 \times \mathbb{Z}_{113}$	
			$\frac{T+1}{T^5} + \frac{T}{T^3+T+1} + \zeta^2$	$(\mathbb{Z}_2)^2 \times \mathbb{Z}_{227}$	$\mathbb{Z}_2 \times \mathbb{Z}_{277}$	
	3	$3^2$	$\frac{\zeta T+\zeta^3}{T^2} + \frac{\zeta T}{T^2+\zeta T+\zeta^3} + \zeta^5$	$(\mathbb{Z}_3)^3 \times (\mathbb{Z}_{2^2})^2 \times \mathbb{Z}_{463}$	$(\mathbb{Z}_{2^2})^2 \times (\mathbb{Z}_3)^2 \times \mathbb{Z}_{463}$	
			$\frac{T+\zeta^6}{T^2} + \frac{T}{T^2+T+\zeta^7} + \zeta^3$	$(\mathbb{Z}_3)^2 \times (\mathbb{Z}_5)^2 \times \mathbb{Z}_{151}$	$\mathbb{Z}_3 \times (\mathbb{Z}_5)^2 \times \mathbb{Z}_{151}$	

Table 1: Continued.

$t$	$p = q$	$D = \sum Q_i / (P_i^{r_i}) + f$	Ideal class group	Divisor class group	$\infty$
2	2	$\frac{T+1}{T^3} + \frac{T}{T^3+T+1} + T + 1$	$\mathbb{Z}_2 \times \mathbb{Z}_{2^2}$	$\mathbb{Z}_2 \times \mathbb{Z}_{2^2} \times \mathbb{Z}_5$	Totally ramified
		$\frac{T+1}{T^3} + \frac{T}{T^3+T+1} + T^5 + T^2 + T$		$\mathbb{Z}_2 \times \mathbb{Z}_{2^2} \times \mathbb{Z}_{7^2}$	
		$\frac{T^2}{(T+1)^3} + \frac{T+1}{T^2+T+1} + T^5 + T^2 + T + 1$		$\mathbb{Z}_2 \times \mathbb{Z}_{2^2} \times \mathbb{Z}_{17}$	
3	2	$\frac{1}{T^2+T+2} + \frac{1}{(T^2+1)^2} + T^2 + 2T + 1$	$\mathbb{Z}_2 \times \mathbb{Z}_{2^2}$	$\mathbb{Z}_3 \times \mathbb{Z}_3 \times \mathbb{Z}_{3^2} \times \mathbb{Z}_{7^2} \times \mathbb{Z}_{157}$	
		$\frac{1}{T^2+T+2} + \frac{1}{(T^2+1)^2} + 2T^2 + T + 2$		$\mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_3 \times \mathbb{Z}_{3^2} \times \mathbb{Z}_{751}$	
		$\frac{1}{T^2+T+2} + \frac{1}{(T^2+1)^2} + T^2 + T + 2$		$\mathbb{Z}_{2^2} \times \mathbb{Z}_3 \times \mathbb{Z}_3 \times \mathbb{Z}_{3^2} \times \mathbb{Z}_{127}$	
2	2	$\frac{T+1}{T^3} + \frac{T+1}{T^2+T+1} + \frac{T}{T^3+T+1}$	$\mathbb{Z}_2 \times \mathbb{Z}_{2^2}$	$\mathbb{Z}_2 \times \mathbb{Z}_{2^3} \times \mathbb{Z}_5$	Splits completely
		$\frac{T+1}{T^3} + \frac{T^5+T^3+1}{(T^2+T+1)^5} + \frac{T}{T^3+T+1}$		$\mathbb{Z}_2 \times \mathbb{Z}_{2^2} \times \mathbb{Z}_5 \times \mathbb{Z}_{29}$	
		$\frac{T^4}{(T^2+T+1)^3} + \frac{T^2+T+1}{(T^4+T+1)^3} + \frac{T^5+1}{(T^3+T^2+1)^3}$		$\mathbb{Z}_2 \times \mathbb{Z}_{2^2} \times \mathbb{Z}_{17} \times \mathbb{Z}_{8839}$	

Table 2: Infinite families of Artin–Schreier extensions  $K = k(\alpha_D)$  over  $k$  whose  $\lambda_1$ -rank of the ideal class groups is  $t$ ,  $\lambda_2$ -rank is  $t - 1$ , and  $\lambda_3$ -rank is  $t - 2$ , where  $\alpha_D^p - \alpha_D = D$ .

$t$	$p = q$	$D = \sum Q_i / (P_i^{r_i}) + f$	Ideal class group	Divisor class group	$\infty$
3		$\frac{T^3+T+1}{(T^2+T+2)^2} + \frac{T^4+T^2+1}{(T^3+2T^2+1)^2} + \frac{T^4+2T+2}{(T^3+2T+1)^2}$	$(\mathbb{Z}_2)^2 \times (\mathbb{Z}_3)^2 \times \mathbb{Z}_{3^2}$	$(\mathbb{Z}_2)^2 \times (\mathbb{Z}_3)^2 \times \mathbb{Z}_{3^2}$ $\times \mathbb{Z}_{13} \times \mathbb{Z}_{787} \times \mathbb{Z}_{1693}$	Inert
		$\frac{T^3+T+1}{(T^2+T+2)^2} + \frac{T^4+T^3+T^2+1}{(T^3+2T^2+1)^2} + \frac{T^4+2T+2}{(T^3+2T+1)^2}$	$(\mathbb{Z}_2)^2 \times \mathbb{Z}_3 \times \mathbb{Z}_{3^2} \times \mathbb{Z}_7$ $\times \mathbb{Z}_{13} \times \mathbb{Z}_{103} \times \mathbb{Z}_{84211}$		
		$\frac{T^3+T+1}{(T^2+T+2)^2} + \frac{T^4+T^2+1}{(T^3+2T^2+1)^2} + \frac{T^4+2T+2}{(T^3+T^2+2)^2}$	$(\mathbb{Z}_{2^2})^2 \times (\mathbb{Z}_3)^2 \times (\mathbb{Z}_3)^2$ $\times \mathbb{Z}_{61} \times \mathbb{Z}_{327667}$		
2		$\frac{T+1}{T^2+T+1} + \frac{T^3}{T^4+T^3+T^2+T+1} + 1$	$\mathbb{Z}_2 \times \mathbb{Z}_{2^2} \times \mathbb{Z}_{5^2}$	$(\mathbb{Z}_2)^2 \times \mathbb{Z}_{5^2}$	
		$\frac{1}{T^2+T+1} + \frac{T^3}{T^4+T^3+T^2+T+1} + 1$	$\mathbb{Z}_2 \times \mathbb{Z}_{2^2} \times \mathbb{Z}_7$	$(\mathbb{Z}_2)^2 \times \mathbb{Z}_7$	
		$\frac{T+1}{T^2+T+1} + \frac{T^3}{T^4+T+1} + 1$	$\mathbb{Z}_2 \times \mathbb{Z}_{2^2} \times \mathbb{Z}_3 \times \mathbb{Z}_7$	$(\mathbb{Z}_2)^2 \times \mathbb{Z}_3 \times \mathbb{Z}_7$	
3		$\frac{T+2}{T^3+2T+1} + \frac{T^2+1}{(T^3+2T^2+1)^2} + 1$	$(\mathbb{Z}_3)^2 \times (\mathbb{Z}_{3^2})^2 \times \mathbb{Z}_{13} \times \mathbb{Z}_{379}$	$\mathbb{Z}_3 \times (\mathbb{Z}_{3^2})^2 \times \mathbb{Z}_{13} \times \mathbb{Z}_{379}$	
		$\frac{2T^2+2T+2}{T^3+2T+1} + \frac{T^2+1}{(T^3+2T^2+1)^2} + 1$	$(\mathbb{Z}_3)^3 \times \mathbb{Z}_{3^2} \times \mathbb{Z}_{19} \times \mathbb{Z}_{433}$	$(\mathbb{Z}_3)^4 \times \mathbb{Z}_{19} \times \mathbb{Z}_{433}$	
		$\frac{2T^2+2}{T^3+2T+1} + \frac{T^2+1}{(T^3+2T^2+1)^2} + 1$	$(\mathbb{Z}_3)^2 \times \mathbb{Z}_{3^2} \times \mathbb{Z}_7 \times \mathbb{Z}_{1303}$	$(\mathbb{Z}_3)^3 \times \mathbb{Z}_7 \times \mathbb{Z}_{1303}$	

Table 2: Continued.

over  $k$  whose  $\lambda_1$ -rank of the ideal class groups is  $t$ ,  $\lambda_2$ -rank is  $t - 1$ , and  $\lambda_3$ -rank is  $t - 2$  (Theorem 5.1). In the tables, we denote  $\mathbb{Z}/m\mathbb{Z}$  by  $\mathbb{Z}_m$  for a positive integer  $m$ .

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