¹ Wearable Technology and Daily Diaries for Studying

Mental Health: Lessons Learned from Pilot Studies in Kampala, Uganda

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18 Abstract

- 19 Wearable technology and daily diaries offer insights into everyday behaviors that can further
- 20 health research and treatment globally. However, use of these methodologies outside of high-
- 21 income settings has been limited. We conducted two pilot studies that enrolled 60 young women
- 22 in the urban slums of Kampala, Uganda to understand design considerations associated with
- 23 using wearable technology and daily diaries in this context. Each participant in the pilot studies

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was asked to wear a wearable activity tracker and complete daily diary questionnaires for five 24 days. Based on our experiences, we identified several lessons that may be beneficial to others 25 interested in implementing wearable technology and daily self-reports in their research and 26 27 interventions, particularly when working in low-resource contexts. We discuss the importance of designing solutions tailored to the available resources, building in validation for the most critical 28 measures, investing in data management efforts, and providing transparent and culturally 29 30 accessible information to participants. Examples from our study are provided. These lessons may reduce the barriers and improve data quality for future researchers and practitioners interested in 31 32 using these data collection methods globally.

33 Impact Statement

Wearable technology provides opportunities for passive data collection related to correlates of 34 mental health including sleep and physical activity. Additionally, daily diaries can be used to 35 validate and supplement wearable data on sleep and physical activity. Much of the extant work 36 using wearable technology has focused on applications in high-income settings. However, low-37 38 resource settings can benefit from similar data collection strategies. Our lessons learned highlight 39 the need to design the study approach factoring in available resources, to build in a system of 40 validation for the most critical measures, to invest in data management efforts, and to provide 41 complete, transparent and culturally accessible information to participants. Beyond our pilot and 42 planned full cohort studies in the slums of Kampala, Uganda, these lessons also provide broader insights into conducting mental health research in sub-Saharan Africa and highlight participant 43 44 experiences that may be generalizable to similar settings. Sharing these experiences can lower 45 the barrier to conducting behavioral research using wearable technology and daily diaries in low-46 resource settings, where such research is urgently needed but capacity remains limited.

47 Key words

48 Mobile health, actigraphy, developing countries, cultural sensitivity, global mental health

49 Introduction

Variation in everyday behaviors, including sleep, can provide important insights into
individuals' mental health. Several methodologies are available for collecting detailed
information concerning everyday activities, including daily diaries and wearable devices (Del
Din et al. 2020; Schokman et al. 2018). Such methodologies can be implemented at relatively
low cost with little participant burden. However, wearables and accompanying daily diaries for
data collection are not yet widespread - wearables are only beginning to gain traction in low- and
middle-income countries (LMICs).

57 Daily diaries offer detailed insights into day-to-day variability in health behaviors with 58 high ecological validity. Daily diaries are questionnaires that are administered to participants 59 once per day over a defined duration, in writing, in person, over the phone, or through another 60 medium (Lischetzke 2014). Daily diaries have been successfully implemented in LMICs and 61 low-resource settings (Kiene et al. 2016; Sileo et al. 2016). In addition to standalone use, daily 62 diaries can supplement and validate data from other sources including wearable technology.

Wearable technology can likewise be used alone or paired with daily diaries. Consumer 63 wearables, such as wearable fitness trackers, have been popularized as an affordable, unobtrusive 64 way to collect detailed longitudinal data from participants (Lauderdale et al. 2008). Data that can 65 66 be passively collected with wearables, such as activity and sleep, would otherwise be subject to individual interpretation of questions, data entry errors, and other omissions when using surveys 67 68 (Haghayegh et al. 2019; Schokman et al. 2018). Existing work in the United States discusses 69 lessons learned for researchers interested in using wearables, including the importance of piloting, data monitoring, and trust and engagement from the participant (L'Hommedieu et al. 70 2019). 71

72	Several recent studies have used wearables in clinical settings in LMICs, where
73	wearables can provide a low-cost option for monitoring vital signs (Garbern et al. 2019;
74	Ghomrawi et al. 2023; Van et al. 2021). Recent research has introduced wearables for behavioral
75	studies of healthy individuals in LMICs, including feasibility studies in rural Burkina Faso
76	(Huhn et al. 2022b) and Cambodia (Liverani et al. 2021). Both studies found the devices feasible
77	and acceptable, with noted challenges such as battery life, technical issues, and the influence of
78	social desirability on self-reported acceptability measures. Wearables have also been used by
79	researchers in Tanzania for tracking physical activity in older adults (Del Din et al. 2020) and in
80	Sri Lanka to measure sleep (Schokman et al. 2018).
81	Despite these studies, there remains low utilization of wearable technology for research
82	in LMICs. A scoping review of mobile health interventions for maternal and child health in
83	LMICs found that only 5% of identified studies utilized wearable devices in sub-Saharan Africa
84	(Huhn et al. 2022a). A barrier to uptake of this technology is the limited available guidance for
85	researchers and practitioners implementing wearable devices in LMICs. One notable publication
86	in this area outlines lessons learned for the logistics of data collection using wearables in Kenya
87	(Johnson et al. 2023). The authors provide valuable tips including the need to plan for
88	international procurement, select technology that has been proven in a research context, and
89	provide local support to participants with a dedicated team member for wearables and
90	information sheets for participants.
91	Our research implementing wearables and daily diaries with young women living in the
92	slums of Kampala, Uganda extends the insights provided by Johnson et al (2023) while
93	considering key differences between our study populations. Drawing from our pilot study, we

94 offer four additional lessons learned, highlighting the importance of tailoring approaches to the95 unique lived experiences of participants.

96 Study Summary

The Onward Project on Well-being and Adversity (TOPOWA, meaning "to not give up") 97 98 is an observational, mixed-methods prospective cohort study of 300 adolescent girls and young 99 women, ages 18 - 24 years, living in the urban slums of Kampala, Uganda. Prior studies in these 100 communities have identified limited economic opportunities (Kamara et al. 2019) as a source of 101 stress, with the majority of girls aged 12 - 18 self-reporting depression and anxiety, over a third 102 engaging in substance use (Perry et al. 2024), and more than 20% engaging in sex work (Swahn 103 et al. 2016). TOPOWA was designed to understand how a Socio-economic Strengthening 104 Targeted Training (SeSTT) program implemented by Uganda Youth Development Link 105 (UYDEL), a non-governmental organization, impacts social stressors and mental health. UYDEL 106 staff recruited participants living within 2000 meters of one of three UYDEL drop-in centers 107 (Banda, Bwaise, or Makindye) in July and August, 2023. The study design included half of 108 participants undergoing a 3-month SeSTT program administered by UYDEL, and all participants 109 receiving surveys and wearing fitness trackers continuously for 5-day measurement bursts at the 110 start of the study and at regular intervals for over two years. Surveys include measures to 111 quantify anxiety, depression, and PTSD symptoms, as well as substance use, financial stress, 112 quality of life, and other factors that can affect mental health. This study builds on over a decade 113 of collaborative mental health research (Culbreth et al. 2018, 2021; Perry et al. 2024; Swahn et 114 al. 2014). The project team includes Ugandan and American researchers, a youth advisory board 115 of Kampala residents, and an advisory board of senior researchers and government

representatives – all of whom have helped to shape the study throughout its conception and
implementation.

118 Two pilot studies were launched prior to the larger study to examine the feasibility, 119 acceptability, and best practices for wearable devices (Garmin vivoactive 3 smartwatches, 120 discussed below), daily diaries, and selected survey questions (Culbreth et al. 2024; Nielsen et al. 121 2024; Swahn et al. 2024). Participants did not receive SeSTT. The protocol is described in 122 Supplement 1. Written informed consent was obtained from all 60 participants, and institutional 123 review boards at the Uganda National Council for Science and Technology and Makerere 124 University approved the pilot studies. Participants were given wearables for continuous use 125 across 5 days and nights to measure steps, sleep, and location (second pilot only). Participants 126 were asked to return both the wearable and the daily diary booklet after five days.

127 Lessons learned

128 Design the study with the available technology

Collecting data in low-resource contexts can present a variety of challenges, particularly when using technology developed primarily for use in high-resource settings with readily available electricity and smartphone access. We made several decisions when designing our study that were driven by anticipated infrastructure constraints and previous experiences working in this setting. Limited access to electricity and internet, lack of mobile phone ownership (Swahn et al. 2014), and limited familiarity with smartphones and fitness trackers were potential constraints facing our study population.

To avoid these constraints, and because our selected devices could collect data for fivedays without recharging, we elected to use a measurement burst design for collecting data from

138	wearables within the full study (Sliwinski 2008). This design allowed the research team time to
139	recharge devices and offload data. Given our interest in immediate and delayed impacts of
140	SeSTT, we selected three five-day periods for data collection with wearables: prior to the SeSTT
141	program, immediately following program completion, and several months after program
142	completion. Our pilot study was limited to a single five-day period.
143	Our chosen device needed to work within the identified study constraints and design. As
144	Johnson and colleagues note (2023), reviewing prior research helps researchers and practitioners
145	avoid devices that will not meet data quality or availability needs. A few major brands of
146	wearables are most commonly used for research (Henriksen et al. 2018). We chose to use
147	Garmin vívoactive 3 smartwatches. These devices were able to collect the desired activity, sleep,
148	and location data. They did not need to connect to a smartphone in order to collect and store data,
149	and had settings that allowed for battery life of up to 5 days. Cost also factored into our device
150	selection. The Garmin vivoactive 3 smartwatches were available refurbished and more affordable
151	than other devices that met our data collection, storage, and battery life requirements.
152	We also considered technology availability when designing the daily diaries. Rather than
153	using online or app-based surveys, we printed booklets for participants to take home and
154	complete each day. This required planning to finalize questions, print booklets, and account for
155	additional data entry, but ultimately was an accessible option for all participants.

156 *Build in validation for crucial information*

Implementations in low-resource settings can result in unique hurdles for ensuring that
key study measures are collected as completely and accurately as possible. Our motivation for
including both a wearable device and daily diary was to ensure that key study information was
collected and validated (Alinia et al. 2021; Brakenhoff et al. 2021; Menghini et al. 2023). While

neither data collection method was perfect, the combination was chosen to provide adequateoverlap to more reliably capture desired behavioral measures.

163 Several decisions are necessary when including validation or redundancies. First, the 164 study team must decide if the repeated measurement is truly necessary, and if it undermines 165 plans for minimizing participant burden. Asking participants to report on behavior collected by 166 the wearables adds burden to the participant but may be needed to triangulate important 167 learnings, especially if recall bias or other social desirability bias may contribute to inaccurate 168 self-reporting. A second set of decisions involves reconciling inconsistencies and determining 169 how to construct final measures for analysis when redundant data is collected. Prior to study 170 start, the team should determine which measures will be the primary source of information, 171 which measures will be used to fill in missing values or to validate the primary information 172 sources, and whether inconsistencies are a meaningful measure that will additionally be analyzed 173 and reported. Internal and pilot testing can help identify decision points.

These strategies were necessary for our measurement of sleep duration, which was identified during study development as an important measure for understanding mental health. Collecting sleep duration using wearables in our research setting had advantages (objective, not subject to recall) and disadvantages (data not collected if the device is removed or ill-fitting, or if the battery dies). Therefore, we elected to collect sleep-related measures from both the wearable and daily diaries. We chose to use wearable-recorded sleep times when available and selfreported daily diary sleep times otherwise.

The validation process should also include detailed data summaries and exploratory plots
to identify unusual observations or patterns in the data that indicate data loss, data entry errors,
and related issues. We implemented data quality checks to identify unusual day-to-day

variability and differences between the two data modalities on a single day for additional
inspection. In one instance during our pilot studies, the study team discovered that a participant
had no recorded steps on a given day, but also had multiple location recordings, indicating that
she had walked to at least one location that day. This led to the discovery of mismatched devices
and accounts, which was subsequently resolved by referencing daily diaries.

189 Invest in data management and backups

Data management is universally important in research and public health practice but has unique challenges at the intersection of the large quantities of data from wearable technology, linking multiple data sources, and international collaboration. Careful documentation is needed to ensure that data from multiple modalities can be linked. Protocols for data backups are necessary to ensure that data are not lost due to infrastructure challenges and are securely shared with collaborators. Moreover, because devices can fail after repeated use, all devices should be tested regularly to avoid data loss.

We took several steps to ensure successful data linkage. Devices had multiple unique identifiers, including a physical engraved identifier, an identifier internal to the device, and a second internal identifier that displayed when syncing. Prior to starting data collection, we created accounts that were unique to each device based on the engraved identifier. Careful documentation of all identifiers and pairings of participants to devices was maintained to ensure that all data could be correctly linked to participants.

Reliability of computing resources, internet access, and electricity, for both participants and the research team, is an additional consideration that can influence decisions around data storage. We chose to use paper daily diary booklets and procured computers with faster processing speed for the research team following data upload delays during the pilot. For

researchers interested in using digital daily diaries, the selected technology should be robust to
unstable electricity, internet outages, and device limitations to avoid potential data loss. Offline
data collection and backups with flexibility for uploading may be preferred.

We recommend maintaining a separate, unmodified copy of all data from each wearable device each time it is returned. This can help avoid data loss when using syncing services intended for consumer wearables. For example, incongruent step counts and travel behavior in synced data described in the previous section occurred during pilot testing, prior to our decision to store separate file backups. We were able to partially recover and correctly match data given our identifier documentation. With complete file backups, we are now able to completely resolve similar issues with no data loss.

The technical training of local team members is also critical. Developing procedures and protocols for study team members on data documentation and backups is key for success. Our study team members were responsible for clarifying survey and daily diary responses upon completion. They also transcribed responses into electronic databases, introducing the potential for human error. Recognizing the importance of accurate transcription and keeping original copies of data collection instruments can improve data products.

223 Provide transparent and culturally accessible information to participants
224 Understanding participants' technology literacy is critical to informing protocol
225 development, training materials, and additional resources for participants to access. We provided
226 participants with detailed verbal instructions and paper handouts containing information about
227 the study and wearable devices. Pilot studies were crucial to inform us about common
228 misconceptions and concerns about the wearable devices, which were incorporated into training
229 manuals and materials for the full study.

230 Partnering with community-based organizations can help inform protocol development, 231 as community members are likely attuned to the technology literacy of the target population. 232 Assumptions about baseline technological understanding should not be asserted; rather, 233 researchers should collaborate with community members prior to developing training and 234 resource materials to fully understand the target population's familiarity with devices and 235 potential common misconceptions. We recruited and engaged a participant advisory board, 236 which informed culturally accessible and appropriate protocols, procedures, and survey question 237 methodology.

Particularly when a technology is unfamiliar, participants should be clearly informed and 238 239 empowered to make decisions on when data is collected and how their participation is evident in 240 their daily lives. While our study participants were generally enthusiastic about their 241 involvement in research and enjoyed showing their wearable devices to others, many participants 242 and the community members they interacted with were seeing wearable devices for the first time. 243 This resulted in a range of responses, particularly from community members, from curiosity to 244 mistrust. Additionally, participants encountered settings where they felt safest with the devices 245 covered or removed. We instructed participants to remove the devices if they felt unsafe, and 246 offered fabric covers to make the wearable less obvious when in public. However, many 247 participants also felt that continuous wear of the device was important for their contribution to 248 the study and were hesitant to remove the device. The voluntary nature of participation should be 249 clear and unequivocal, and participants should be empowered to participate in a way that feels 250 comfortable and least disruptive to them.

Study team members should also have clear and direct communication with participantsto ensure that participants' safety is the highest priority. We encouraged open and frequent

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253 communication between the study team members, investigators, and UYDEL. Protocols were in 254 place for any participant who voiced distress or concern regarding physical or mental health 255 safety, which included notifying UYDEL social workers to help with psychological concerns, 256 resource dissemination, linkage to proper care, and notifying authorities. While we primarily 257 focused on encouraging participants to voice their concerns as they arose, future projects may 258 also benefit from check-ins with participants on a regular basis to address any potential concerns. 259 We were also mindful of accessibility when designing the daily diaries. Questions were 260 written with brief, non-overlapping multiple-choice answer options. While most of the 261 participants were fluent in English, many were more comfortable using Luganda, the local 262 language. Therefore, we printed the daily diaries in English with side-by-side translations to 263 Luganda. Our inclusion criteria included completing primary school (at least Ugandan primary 264 five basic literacy) and we designed daily diaries to have a reading level at or below primary 265 five.

266 Conclusion

Through the process of designing and piloting a study using wearables and daily diaries, we uncovered several valuable lessons. As many others have noted, wearable devices have promise for data collection and potential for low-barrier intervention delivery in LMICs (Huhn et al. 2022b; Johnson et al. 2023; Liverani et al. 2021). However, few studies have implemented wearable technology with accompanying daily diaries in LMICs to study health behaviors associated with mental health.

All of our lessons involve being aware of, and responsive to, the context and lived
experiences of study participants. For researchers and practitioners interested in leveraging these
lessons in their own work, many of these areas can be addressed by engaging with community

partners and employing study team members who are members of, or familiar with, the target
population. Their valuable input can help to anticipate and reduce barriers for adoption of
wearables and related technology.

279 Wearable technology offers significant opportunities for passive data collection on 280 mental health correlates such as sleep and physical activity. While much of the existing work 281 using wearable technology has been conducted in high-income settings, our experiences 282 demonstrate how researchers can tailor data collection strategies for implementation in new 283 contexts, such as low-resource settings. By designing around available resources, investing in 284 data management and validation, and providing culturally accessible information, researchers 285 and practitioners can overcome barriers and enhance the capacity for behavioral data collection 286 in LMICs. Addressing disparities across contexts is crucial for meeting the tremendous unmet 287 mental health needs in low-resource settings, where such innovative methodologies can play 288 pivotal roles in identifying and improving health outcomes.

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297	• KM – writing – original draft
298	• RC – writing – original draft
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300	• GM – project administration, writing – review & editing
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308 Conflict of Interest statement

309 Conflicts of Interest: None

310 Ethics Statement

- 311 Lessons learned are based on a study that was reviewed and approved by the research ethics
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315 Data Availability statement

- 316 Data availability is not applicable to this article as no new data were created or analyzed in this
- 317 study.

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