


SOME CONGRUENCES FOR 12-COLOURED GENERALIZED FROBENIUS PARTITIONS

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Abstract In his 1984 AMS Memoir, Andrews introduced the family of functions $c\phi_k(n)$, the number of k -coloured generalized Frobenius partitions of n . In 2019, Chan, Wang and Yang systematically studied the arithmetic properties of $C\Phi_k(q)$ for $2 \leq k \leq 17$ by utilizing the theory of modular forms, where $C\Phi_k(q)$ denotes the generating function of $c\phi_k(n)$. In this paper, we first establish another expression of $C\Phi_{12}(q)$ with integer coefficients, then prove some congruences modulo small powers of 3 for $c\phi_{12}(n)$ by using some parameterized identities of theta functions due to A. Alaca, S. Alaca and Williams. Finally, we conjecture three families of congruences modulo powers of 3 satisfied by $c\phi_{12}(n)$.

Keywords: congruences; generalized Frobenius partitions; generating functions; integer matrix exact covering systems; parameterized identities

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1. Introduction

Throughout this paper, we always assume that q is a complex number such that $|q| < 1$ and adopt the following standard notation:

$$(a; q)_\infty := \prod_{k=0}^{\infty} (1 - aq^k).$$

In his 1984 AMS Memoir, Andrews [2] defined the notion of a generalized Frobenius partition of n , which is a two-rowed array of nonnegative integers of the form:

$$\begin{pmatrix} a_1 & a_2 & \cdots & a_r \\ b_1 & b_2 & \cdots & b_r \end{pmatrix},$$



wherein each row, which is of the same length, is arranged in weakly decreasing order with $n = r + \sum_{i=1}^r (a_i + b_i)$. Furthermore, Andrews studied a variant of generalized Frobenius partitions whose parts are taken from k copies of the nonnegative integers, which is called k -coloured generalized Frobenius partitions. For any $k \geq 1$, let $c\phi_k(n)$ denote the number of k -coloured generalized Frobenius partitions of n . Among many other things, Andrews [2, Corollary 10.1] proved that for any $n \geq 0$,

$$c\phi_2(5n + 3) \equiv 0 \pmod{5}.$$

From then on, many scholars extensively investigated a number of congruence properties for $c\phi_k(n)$ with different moduli. Baruah and Sarmah [3, 4] derived some congruences modulo small powers of 2 for $c\phi_4(n)$ and some congruences modulo small powers of 3 for $c\phi_6(n)$. Congruence properties modulo powers of 5 for $c\phi_3(n)$ and $c\phi_4(n)$ were subsequently considered by Ono [28], Lovejoy [26], Xiong [39], Sellers [31], Xia [38], Hirschhorn and Sellers [21], Chan, Wang and Yang [7], and Wang and Zhang [34]. Congruence properties modulo 7 for $c\phi_4(n)$ were investigated by Lin [25] and Zhang and Wang [41]. Congruence properties of $c\phi_6(n)$ modulo powers of 3 were successively investigated by Xia [37], Hirschhorn [16], Gu, Wang and Xia [14] and the third author [32]. The third author [33] also established congruence properties modulo 5 for $c\phi_8(n)$ and $c\phi_9(n)$. There are other studies on congruence properties for $c\phi_k(n)$; see, for example, [9–13, 22–24, 27, 29, 30, 36].

In 2019, Chan, Wang and Yang [8] systematically investigated the arithmetic properties of $C\Phi_k(q)$ for $2 \leq k \leq 17$, where $C\Phi_k(q)$ denotes the generating function of $c\phi_k(n)$. In particular, they [8, Equation (6.26)] proved that (some typos have been corrected)

$$\begin{aligned}
 C\Phi_{12}(q) = & \frac{1}{\Theta_3(q)(q; q)_\infty^{12}} \left(-\frac{36207}{160} B_{12,1} + \frac{923091}{4000} B_{12,4} + \frac{35829}{100} B_{12,5} + \frac{891}{4} B_{12,6} \right. \\
 & - \frac{1485}{8} B_{12,7} - \frac{143247}{250} B_{12,8} - \frac{891}{4} B_{12,9} - \frac{8109}{160} B_{12,10} - \frac{582717}{16000} B_{12,11} \\
 & + \frac{227691}{200} B_{12,12} + \frac{714249}{8000} B_{12,13} + \frac{8109}{80} B_{12,14} + \frac{33}{8} B_{12,15} \\
 & + \frac{294109}{500} B_{12,16} - \frac{16503}{400} B_{12,17} - \frac{99}{8} B_{12,18} + \frac{10559}{200} B_{12,19} \\
 & \left. - \frac{128807}{100} B_{12,20} + \frac{25647}{160} B_{12,21} + \frac{727}{160} B_{12,22} \right), \tag{1.1}
 \end{aligned}$$

where the $B_{12,i}$ for $i \in \{1, 4, 5, \dots, 22\}$ are some functions involving the following two theta functions, given by

$$\begin{aligned}
 \Theta_2(q) &= \sum_{j=-\infty}^{\infty} q^{(j+1/2)^2} = 2q^{1/4} \frac{(q^4; q^4)_\infty^2}{(q^2; q^2)_\infty}, \\
 \Theta_3(q) &= \sum_{j=-\infty}^{\infty} q^{n^2} = \frac{(q^2; q^2)_\infty^5}{(q; q)_\infty^2 (q^4; q^4)_\infty^2}.
 \end{aligned}$$

It is easy to see that the coefficients of many terms in (1.1) are not integers. Therefore, a natural question is whether there is another expression with integral coefficients for $C\Phi_{12}(q)$. The first purpose of this paper is to establish the following expression for $C\Phi_{12}(q)$. For the sake of convenience, denote

$$a(q) := \sum_{m,n=-\infty}^{\infty} q^{m^2+mn+n^2} \quad \text{and} \quad E(q^k) := (q^k; q^k)_{\infty}. \tag{1.2}$$

Theorem 1.1.

$$\begin{aligned} C\Phi_{12}(q) = & \frac{1}{E(q)^{12}} \left\{ a(q)^4 \left(\frac{E(q^6)^8 E(q^{12})}{E(q^3)^4 E(q^{24})^2} + 8q^3 \frac{E(q^{12})^3 E(q^{24})^2}{E(q^6)^2} \right) \right. \\ & + 108qa(q)^2 \frac{E(q^3)^6}{E(q)^2} \left(\frac{E(q^4)E(q^6)^4 E(q^8)}{E(q^2)^2 E(q^{24})} + q \frac{E(q^2)^3 E(q^3)^2 E(q^{12}) E(q^{24})}{E(q)^2 E(q^6) E(q^8)} \right) \\ & + 216q^2 a(q) \frac{E(q^3)^9}{E(q)^3} \left(\frac{E(q^2)E(q^4)E(q^6)^5 E(q^{24})}{E(q)E(q^3)E(q^8)E(q^{12})^2} + 2 \frac{E(q^6)E(q^8)E(q^{12})^3}{E(q^2)E(q^{24})} \right) \\ & \left. + 486q^2 \frac{E(q^3)^{12}}{E(q)^4} \left(\frac{E(q^4)^2 E(q^6)^{11} E(q^{24})}{E(q^2)E(q^3)^4 E(q^8)E(q^{12})^5} + 4q \frac{E(q^8)E(q^{12})^6}{E(q^4)E(q^6)^2 E(q^{24})} \right) \right\}. \tag{1.3} \end{aligned}$$

By utilizing a general congruence relation [8, Theorem 5.3], Chan et al. [8, Equations (6.28) and (6.29)] derived that for any $n \geq 0$,

$$\begin{aligned} c\phi_{12}(3n + 1) &\equiv 0 \pmod{9}, \\ c\phi_{12}(3n + 2) &\equiv 0 \pmod{9}. \end{aligned} \tag{1.4}$$

The other purpose of this paper is to prove the following congruences modulo 27 and 81 enjoyed by $c\phi_{12}(n)$.

Theorem 1.2. *For any $n \geq 0$,*

$$c\phi_{12}(3n + 2) \equiv 0 \pmod{27}, \tag{1.5}$$

$$c\phi_{12}(9n + 5) \equiv 0 \pmod{81}, \tag{1.6}$$

$$c\phi_{12}(9n + 8) \equiv 0 \pmod{81}. \tag{1.7}$$

Remark 1.3. Obviously, (1.5) is a stronger form of (1.4). By computation, one sees that $c\phi_{12}(2) = 4644 \not\equiv 0 \pmod{81}$. From this perspective, the modulus in (1.5) is best possible. So does (1.6) and (1.7).

Actually, (1.5)–(1.7) appear to be just the tip of the iceberg. With the help of a computer, we pose the following three families of conjectural congruences modulo powers of 3 satisfied by $c\phi_{12}(n)$.

Conjecture 1.4. For any $n \geq 0$ and $\alpha \geq 0$,

$$\begin{aligned}
 c\phi_{12}\left(3^{2\alpha+1}n + \frac{3^{2\alpha+1} + 1}{2}\right) &\equiv 0 \pmod{3^{3\alpha+3}}, \\
 c\phi_{12}\left(3^{2\alpha+2}n + \frac{3^{2\alpha+2} + 1}{2}\right) &\equiv 0 \pmod{3^{3\alpha+4}}, \\
 c\phi_{12}\left(3^{2\alpha+2}n + \frac{5 \times 3^{2\alpha+1} + 1}{2}\right) &\equiv 0 \pmod{3^{3\alpha+4}}.
 \end{aligned}$$

The rest of this paper is organized as follows. In § 2, we collect some necessary lemmas which will be utilized to prove the main results later. The proofs of Theorems 1.1 and 1.2 are presented in § 3.

2. Some preliminary results

To prove (1.5)–(1.7), we first collect some necessary identities.

Lemma 2.1.

$$E(q)^4 = \frac{E(q^4)^{10}}{E(q^2)^2 E(q^8)^4} - 4q \frac{E(q^2)^2 E(q^8)^4}{E(q^4)^2}, \tag{2.1}$$

$$\frac{1}{E(q)^4} = \frac{E(q^4)^{14}}{E(q^2)^{14} E(q^8)^4} + 4q \frac{E(q^4)^2 E(q^8)^4}{E(q^2)^{10}}. \tag{2.2}$$

Proof. The identities (2.1) and (2.2) are (2.9) and (2.10) in [40], respectively. □

Lemma 2.2.

$$\frac{E(q^2)^5}{E(q)^2 E(q^4)^2} = \frac{E(q^{18})^5}{E(q^9)^2 E(q^{36})^2} + 2q \frac{E(q^6)^2 E(q^9) E(q^{36})}{E(q^3) E(q^{12}) E(q^{18})}, \tag{2.3}$$

$$\frac{E(q^2)^2}{E(q)} = \frac{E(q^6) E(q^9)^2}{E(q^3) E(q^{18})} + q \frac{E(q^{18})^2}{E(q^9)}. \tag{2.4}$$

Proof. The identities (2.3) and (2.4) follow from Corollary (i) and (ii) on page 49 of Berndt’s book [5], respectively. □

Lemma 2.3.

$$\frac{E(q^2)}{E(q)^2} = \frac{E(q^6)^4 E(q^9)^6}{E(q^3)^8 E(q^{18})^3} + 2q \frac{E(q^6)^3 E(q^9)^3}{E(q^3)^7} + 4q^2 \frac{E(q^6)^2 E(q^{18})^3}{E(q^3)^6}, \tag{2.5}$$

$$\frac{E(q)}{E(q^2)^2} = \frac{E(q^3)^2 E(q^9)^3}{E(q^6)^6} - q \frac{E(q^3)^3 E(q^{18})^3}{E(q^6)^7} + q^2 \frac{E(q^3)^4 E(q^{18})^6}{E(q^6)^8 E(q^9)^3}, \tag{2.6}$$

$$\begin{aligned} \frac{E(q^2)}{E(q)E(q^4)} &= \frac{E(q^{18})^9}{E(q^3)^2E(q^9)^3E(q^{12})^2E(q^{36})^3} + q \frac{E(q^6)^2E(q^{18})^3}{E(q^3)^3E(q^{12})^3} \\ &+ q^2 \frac{E(q^6)^4E(q^9)^3E(q^{36})^3}{E(q^3)^4E(q^{12})^4E(q^{18})^3}. \end{aligned} \tag{2.7}$$

Proof. The identity (2.5) was derived by Hirschhorn and Sellers [19, Theorem 1.1]. The identity (2.6) is equivalent to Lemma 2.2 due to Hirschhorn and Sellers [20]. Moreover, replacing q by $-q$ in (2.6) and utilizing the fact

$$E(-q) = \frac{E(q^2)^3}{E(q)E(q^4)},$$

upon simplification, we obtain (2.7). □

Lemma 2.4. *If $a(q)$ is defined by (1.2), then*

$$a(q) = a(q^3) + 6q \frac{E(q^9)^3}{E(q^3)} \tag{2.8}$$

and

$$\frac{1}{E(q)^3} = \frac{E(q^9)^3}{E(q^3)^{12}} (a(q^3)^2E(q^3)^2 + 3qa(q^3)E(q^3)E(q^9)^3 + 9q^2E(q^9)^6). \tag{2.9}$$

Proof. The identity (2.8) was established by Hirschhorn, Garvan and Borwein [18, Equation (1.3)]. The identity (2.9) was proved by Wang [35, Equation (2.28)]. □

Hirschhorn et al. [18, Equation (1.5)] also proved that

$$a(q) = 1 + 6 \sum_{n=1}^{\infty} \left(\frac{q^{3n-2}}{1 - q^{3n-2}} - \sum_{n=1}^{\infty} \frac{q^{3n-1}}{1 - q^{3n-1}} \right),$$

from which we find that

$$a(q) \equiv 1 \pmod{3} \quad \text{and} \quad a(q)^3 \equiv 1 \pmod{9}. \tag{2.10}$$

According to the binomial theorem, one can easily establish the following congruence, which will be used frequently in the sequel.

Lemma 2.5. *For any $k \geq 1$,*

$$E(q^k)^3 \equiv E(q^{3k}) \pmod{3}. \tag{2.11}$$

3. Proofs of the main results

To prove Theorem 1.1, we require the following two related lemmas.

Lemma 3.1.

$$\sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{3 \sum_{i=1}^3 r_i^2 + 3 \sum_{1 \leq i < j \leq 3} r_i r_j} = \frac{E(q^6)^8 E(q^{12})}{E(q^3)^4 E(q^{24})^2} + 8q^3 \frac{E(q^{12})^3 E(q^{24})^2}{E(q^6)^2}, \tag{3.1}$$

$$\begin{aligned} & \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{3 \sum_{i=1}^3 r_i^2 + 3 \sum_{1 \leq i < j \leq 3} r_i r_j + r_1 + r_2 + 2r_3} \\ &= \frac{E(q^4) E(q^6)^4 E(q^8)}{E(q^2)^2 E(q^{24})} + q \frac{E(q^2)^3 E(q^3)^2 E(q^{12}) E(q^{24})}{E(q)^2 E(q^6) E(q^8)}, \end{aligned} \tag{3.2}$$

$$\begin{aligned} & \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{3 \sum_{i=1}^3 r_i^2 + 3 \sum_{1 \leq i < j \leq 3} r_i r_j + 3r_1 + 3r_2 + 2r_3} \\ &= \frac{E(q^2) E(q^4) E(q^6)^5 E(q^{24})}{E(q) E(q^3) E(q^8) E(q^{12})^2} + 2 \frac{E(q^6) E(q^8) E(q^{12})^3}{E(q^2) E(q^{24})}, \end{aligned} \tag{3.3}$$

$$\begin{aligned} & \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{3 \sum_{i=1}^3 r_i^2 + 3r_1 r_2 - 3r_1 r_3 - 3r_2 r_3 + 2r_1 + 2r_2} \\ &= \frac{E(q^4)^2 E(q^6)^{11} E(q^{24})}{E(q^2) E(q^3)^4 E(q^8) E(q^{12})^5} + 4q \frac{E(q^8) E(q^{12})^6}{E(q^4) E(q^6)^2 E(q^{24})}. \end{aligned} \tag{3.4}$$

Proof. The main ingredient in proofs of (3.1)–(3.4) is the integer matrix exact covering systems, developed by Cao [6]. Similar treatments were used for deriving the generating functions of four- and six-coloured generalized Frobenius partitions; see [3, 4] for a detailed account of such applications.

We only present the proof of (3.1), and the remaining cases can be demonstrated in a similar manner.

First, we adopt the matrix

$$B_1 = \begin{pmatrix} -1 & 1 & 0 \\ 1 & 0 & 1 \\ 1 & 0 & -1 \end{pmatrix}.$$

Then, the congruences $B_1 \mathbf{r} \equiv 0 \pmod{2}$ satisfy that

$$\begin{cases} -r_1 + r_2 \equiv 0 & (\text{mod } 2), \\ r_1 + r_3 \equiv 0 & (\text{mod } 2), \\ r_1 - r_3 \equiv 0 & (\text{mod } 2). \end{cases}$$

Then, the above congruences contain two solutions. Namely, $\begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$ and $\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$ modulo 2.

Therefore, we get the following integer matrix exact covering systems

$$\begin{pmatrix} r_1 \\ r_2 \\ r_3 \end{pmatrix} = \begin{pmatrix} -1 & 1 & 0 \\ 1 & 0 & 1 \\ 1 & 0 & -1 \end{pmatrix} \begin{pmatrix} n_1 \\ n_2 \\ n_3 \end{pmatrix},$$

$$\begin{pmatrix} r_1 \\ r_2 \\ r_3 \end{pmatrix} = \begin{pmatrix} -1 & 1 & 0 \\ 1 & 0 & 1 \\ 1 & 0 & -1 \end{pmatrix} \begin{pmatrix} n_1 \\ n_2 \\ n_3 \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}.$$

Using the above integer matrix exact covering systems, we obtain that

$$\begin{aligned} &\sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{3 \sum_{i=1}^3 r_i^2 + 3 \sum_{1 \leq i < j \leq 3} r_i r_j} \\ &= \sum_{n_1, n_2, n_3 = -\infty}^{\infty} q^{6n_1^2 + 3n_2^2 + 3n_3^2} + \sum_{n_1, n_2, n_3 = -\infty}^{\infty} q^{6n_1^2 + 6n_1 + 3n_2^2 + 3n_2 + 3n_3^2 + 3n_3 + 3} \\ &= \frac{E(q^6)^8 E(q^{12})}{E(q^3)^4 E(q^{24})^2} + 8q^3 \frac{E(q^{12})^3 E(q^{24})^2}{E(q^6)^2}, \end{aligned}$$

which is nothing but (3.1). For (3.2) and (3.3), we also adopt the matrix B_1 and utilizing a similar strategy. However, for (3.4), we need the following matrix

$$B_2 = \begin{pmatrix} 1 & 0 & 1 \\ 1 & 0 & -1 \\ 1 & 1 & 0 \end{pmatrix}.$$

This completes the proof of Lemma 3.1. □

Lemma 3.2. *The constant term of $\left(\sum_{r=-\infty}^{\infty} a^r q^{r^2}\right)^{12}$ is*

$$\begin{aligned} &\text{CT}_a \left(\left(\sum_{r=-\infty}^{\infty} a^r q^{r^2} \right)^{12} \right) \\ &= a(q^2)^4 \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6 \sum_{1 \leq i < j \leq 3} r_i r_j} \\ &\quad + 108qa(q^2)^2 \frac{E(q^6)^6}{E(q^2)^2} \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6 \sum_{1 \leq i < j \leq 3} r_i r_j + 2r_1 + 2r_2 + 4r_3} \end{aligned}$$

$$\begin{aligned}
 &+ 216q^4 a(q^2) \frac{E(q^6)^9}{E(q^3)^3} \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6 \sum_{1 \leq i < j \leq 3} r_i r_j + 6r_1 + 6r_2 + 4r_3} \\
 &+ 486q^4 \frac{E(q^6)^{12}}{E(q^2)^4} \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6r_1 r_2 - 6r_1 r_3 - 6r_2 r_3 + 4r_1 + 4r_2}. \tag{3.5}
 \end{aligned}$$

Proof. Hirschhorn [15] proved the following identity

$$\begin{aligned}
 \left(\sum_{r=-\infty}^{\infty} a^r q^{r^2} \right)^3 &= a(q^2) \sum_{r=-\infty}^{\infty} a^{3r} q^{3r^2} \\
 &+ 3q \frac{E(q^6)^3}{E(q^2)} \left(a \sum_{r=-\infty}^{\infty} a^{3r} q^{3r^2 + 2r} + a^{-1} \sum_{r=-\infty}^{\infty} a^{-3r} q^{3r^2 + 2r} \right), \tag{3.6}
 \end{aligned}$$

where $a(q)$ is defined as in (1.2). With the help of (3.6), we obtain that

$$\begin{aligned}
 &\text{CT}_a \left(\left(\sum_{r=-\infty}^{\infty} a^r q^{r^2} \right)^{12} \right) \\
 &= a(q^2)^4 \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6 \sum_{1 \leq i < j \leq 3} r_i r_j} \\
 &+ 108q^2 a(q^2)^2 \frac{E(q^6)^6}{E(q^2)^2} \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6 \sum_{1 \leq i < j \leq 3} r_i r_j + 2r_1 + 2r_2 + 4r_3} \\
 &+ 216q^4 a(q^2) \frac{E(q^6)^9}{E(q^3)^3} \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6 \sum_{1 \leq i < j \leq 3} r_i r_j + 6r_1 + 6r_2 + 4r_3} \\
 &+ 486q^4 \frac{E(q^6)^{12}}{E(q^2)^4} \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6r_1 r_2 - 6r_1 r_3 - 6r_2 r_3 + 4r_1 + 4r_2},
 \end{aligned}$$

which is nothing but (3.5).

We therefore complete the proof of Lemma 3.2. □

Now, it is time to prove Theorem 1.1.

Proof of Theorem 1.1. In view of (3.5), we deduce that

$$\begin{aligned}
 &\text{CT}_a \left(\left(\sum_{r=-\infty}^{\infty} a^r q^{r^2} \right)^{12} \right) \\
 &= \sum_{\substack{m_1 + m_2 + \dots + m_{12} = 0 \\ m_1, m_2, \dots, m_{12} = -\infty}}^{\infty} q^{\sum_{i=1}^{12} m_i^2}
 \end{aligned}$$

$$\begin{aligned}
 &= \sum_{m_1, \dots, m_{11} = -\infty}^{\infty} q^{2 \sum_{i=1}^{11} m_i^2 + 2 \sum_{1 \leq i < j \leq 11} m_i m_j} \\
 &= a(q^2)^4 \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6 \sum_{1 \leq i < j \leq 3} r_i r_j} \\
 &\quad + 108q^2 a(q^2)^2 \frac{E(q^6)^6}{E(q^2)^2} \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6 \sum_{1 \leq i < j \leq 3} r_i r_j + 2r_1 + 2r_2 + 4r_3} \\
 &\quad + 216q^4 a(q^2) \frac{E(q^6)^9}{E(q^2)^3} \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6 \sum_{1 \leq i < j \leq 3} r_i r_j + 6r_1 + 6r_2 + 4r_3} \\
 &\quad + 486q^4 \frac{E(q^6)^{12}}{E(q^2)^4} \sum_{r_1, r_2, r_3 = -\infty}^{\infty} q^{6 \sum_{i=1}^3 r_i^2 + 6r_1 r_2 - 6r_1 r_3 - 6r_2 r_3 + 4r_1 + 4r_2}. \tag{3.7}
 \end{aligned}$$

Moreover, Andrews [2, Theorem 5.2] established the following expression for $C\Phi_k(q)$, namely,

$$C\Phi_k(q) = \frac{1}{E(q)^k} \sum_{m_1, m_2, \dots, m_{k-1} = -\infty}^{\infty} q^{\sum_{i=1}^{k-1} m_i^2 + \sum_{1 \leq i < j \leq k-1} m_i m_j}. \tag{3.8}$$

The identity (1.3) follows from (3.1)–(3.4), (3.7) and (3.8).

This finishes the proof of Theorem 1.1. □

Next, we are in a position to prove Theorem 1.2.

In what follows, all congruences are modulo 81 unless otherwise specified.

Proof of Theorem 1.2. According to (2.10) and (2.11), we find that

$$\begin{aligned}
 C\Phi_{12}(q) &\equiv \frac{a(q)^4}{E(q)^{12}} \left(\frac{E(q^6)^8 E(q^{12})}{E(q^3)^4 E(q^{24})^2} + 8q^3 \frac{E(q^{12})^3 E(q^{24})^2}{E(q^6)^2} \right) \\
 &\quad + 27q \frac{E(q^3)^2}{E(q)^2} \left(\frac{E(q^4) E(q^6)^4}{E(q^2)^2 E(q^8)^2} + q \frac{E(q^3)^2 E(q^{12}) E(q^{24})}{E(q)^2 E(q^8)} \right) \\
 &\quad + 54q^2 E(q^3)^4 \left(\frac{E(q^2) E(q^4) E(q^6)^5 E(q^{24})}{E(q) E(q^3) E(q^8) E(q^{12})^2} + 2 \frac{E(q^6) E(q^8) E(q^{12})^3}{E(q^2) E(q^{24})} \right). \tag{3.9}
 \end{aligned}$$

Next, we consider the following three auxiliary functions, defined by

$$\sum_{n=0}^{\infty} g_1(n)q^n := \frac{a(q)^4}{E(q)^{12}} \left(\frac{E(q^6)^8 E(q^{12})}{E(q^3)^4 E(q^{24})^2} + 8q^3 \frac{E(q^{12})^3 E(q^{24})^2}{E(q^6)^2} \right), \tag{3.10}$$

$$\sum_{n=0}^{\infty} g_2(n)q^n := 27q \frac{E(q^3)^2}{E(q)^2} \left(\frac{E(q^4) E(q^6)^4}{E(q^2)^2 E(q^8)^2} + q \frac{E(q^3)^2 E(q^{12}) E(q^{24})}{E(q)^2 E(q^8)} \right), \tag{3.11}$$

$$\sum_{n=0}^{\infty} g_3(n)q^n := 54q^2 E(q^3)^4 \left(\frac{E(q^2)E(q^4)E(q^6)^5 E(q^{24})}{E(q)E(q^3)E(q^8)E(q^{12})^2} + 2 \frac{E(q^6)E(q^8)E(q^{12})^3}{E(q^2)E(q^{24})} \right). \tag{3.12}$$

Substituting (2.8) and (2.9) into (3.10), extracting all the terms of the form q^{3n+2} , after simplification, we deduce that

$$\sum_{n=0}^{\infty} g_1(3n+2)q^n \equiv 27a(q)^{10} \frac{E(q^2)^8 E(q^3)^{18} E(q^4)}{E(q)^{46} E(q^8)^2} + 54qa(q)^{10} \frac{E(q^3)^{18} E(q^4)^3 E(q^8)^2}{E(q)^{42} E(q^2)^2}.$$

Thanks to (2.10) and (2.11),

$$\sum_{n=0}^{\infty} g_1(3n+2)q^n \equiv 27E(q^3)^4 \left(\frac{E(q^2)^8 E(q^4)}{E(q)^4 E(q^8)^2} + 2q \frac{E(q^4)^3 E(q^8)^2}{E(q^2)^2} \right). \tag{3.13}$$

The congruence (1.5) follows from (3.9) and (3.13) immediately.

Moreover, it follows from (3.13) that

$$\begin{aligned} & \sum_{n=0}^{\infty} g_1(3n+2)q^n \\ & \equiv 27E(q^3)^4 \left(\frac{E(q^2)^{10}}{E(q)^4 E(q^4)^4} \cdot \frac{E(q^4)^5}{E(q^2)^2 E(q^8)^2} + 2q \frac{E(q^4)^4}{E(q^2)^2} \cdot \frac{E(q^8)^2}{E(q^4)} \right). \end{aligned} \tag{3.14}$$

Substituting (2.3) and (2.4) into (3.14), after some tedious but straightforward calculations, we deduce that

$$\begin{aligned} \sum_{n=0}^{\infty} g_1(9n+5)q^n \equiv & 27 \left\{ \frac{E(q^2)^8 E(q^4)^{11}}{E(q^8)^6} - \frac{E(q^2)^{10} E(q^4)}{E(q^8)^2} \cdot E(q)^4 \right. \\ & \left. - qE(q^4)^3 E(q^8)^2 \cdot (E(q^4))^2 + q^2 E(q^2)^2 E(q^4) E(q^8)^6 \cdot E(q)^4 \right\} \end{aligned} \tag{3.15}$$

and

$$\begin{aligned} \sum_{n=0}^{\infty} g_1(9n+8)q^n \equiv & 27 \left\{ \frac{E(q^4)^{19}}{E(q^2)^8 E(q^8)^6} \cdot (E(q^4))^2 - \frac{E(q^2)^{32} E(q^8)^2}{E(q^4)^{13}} \cdot \left(\frac{1}{E(q)^4} \right)^2 \right. \\ & \left. - q \frac{E(q^4)^{17}}{E(q^2)^6 E(q^8)^2} \cdot E(q)^4 - q \frac{E(q^2)^{10} E(q^8)^6}{E(q^4)^7} \cdot E(q)^4 \right\}. \end{aligned} \tag{3.16}$$

Substituting (2.1) and (2.2) into (3.15) and (3.16), upon simplification, we further obtain that

$$\sum_{n=0}^{\infty} g_1(9n + 5)q^n \equiv 27 \left(-q \frac{E(q^4)^{23}}{E(q^2)^4 E(q^8)^6} + q \frac{E(q^2)^{12} E(q^8)^2}{E(q^4)} + q^3 \frac{E(q^2)^4 E(q^8)^{10}}{E(q^4)} \right), \tag{3.17}$$

$$\sum_{n=0}^{\infty} g_1(9n + 8)q^n \equiv 27 \left(\frac{E(q^4)^{39}}{E(q^2)^{12} E(q^8)^{14}} - \frac{E(q^2)^4 E(q^4)^{15}}{E(q^8)^6} - q^2 \frac{E(q^4)^{15} E(q^8)^2}{E(q^2)^4} \right). \tag{3.18}$$

Now, we recall Horschhorn’s version of parameterized identities (see [17, Chapter 35, Equations (35.1.1)–(35.1.6)]), whose idea comes from [1].

$$E(q) = s^{1/2} t^{1/24} (1 - 2qt)^{1/2} (1 + qt)^{1/8} (1 + 2qt)^{1/6} (1 + 4qt)^{1/8}, \tag{3.19}$$

$$E(q^2) = s^{1/2} t^{1/12} (1 - 2qt)^{1/4} (1 + qt)^{1/4} (1 + 2qt)^{1/12} (1 + 4qt)^{1/4}, \tag{3.20}$$

$$E(q^3) = s^{1/2} t^{1/8} (1 - 2qt)^{1/6} (1 + qt)^{1/24} (1 + 2qt)^{1/2} (1 + 4qt)^{1/24}, \tag{3.21}$$

$$E(q^4) = s^{1/2} t^{1/6} (1 - 2qt)^{1/8} (1 + qt)^{1/2} (1 + 2qt)^{1/24} (1 + 4qt)^{1/8}, \tag{3.22}$$

$$E(q^6) = s^{1/2} t^{1/4} (1 - 2qt)^{1/12} (1 + qt)^{1/12} (1 + 2qt)^{1/4} (1 + 4qt)^{1/12}, \tag{3.23}$$

$$E(q^{12}) = s^{1/2} t^{1/2} (1 - 2qt)^{1/24} (1 + qt)^{1/6} (1 + 2qt)^{1/8} (1 + 4qt)^{1/24}, \tag{3.24}$$

where

$$s := s(q) = \frac{E(q)^2 E(q^4)^2 E(q^6)^{15}}{E(q^2)^5 E(q^3)^6 E(q^{12})^6} \quad \text{and} \quad t := t(q) = \frac{E(q^2)^3 E(q^3)^3 E(q^{12})^6}{E(q) E(q^4)^2 E(q^6)^9}.$$

It follows immediately from the parameterized identities (3.19)–(3.24) that

$$\begin{aligned} & \left(-\frac{E(q^2)^{23}}{E(q)^4 E(q^4)^6} + \frac{E(q)^{12} E(q^4)^2}{E(q^2)} + q \frac{E(q)^4 E(q^4)^{10}}{E(q^2)} \right) \cdot \frac{E(q)^3 E(q^4)^2 E(q^6)^{12}}{E(q^2)^7 E(q^3)^5 E(q^{12})^4} \\ & \quad = -15qs^7 t^2 (1 - 2qt)^3 (1 + qt)^5 (1 + 2qt)(1 + 4qt) \equiv 0 \pmod{3} \end{aligned}$$

and

$$\begin{aligned} & \left(\frac{E(q^2)^{39}}{E(q)^{12} E(q^4)^{14}} - \frac{E(q)^4 E(q^2)^{15}}{E(q^4)^6} - q \frac{E(q^2)^{15} E(q^4)^2}{E(q)^4} \right) \cdot \frac{E(q)^3 E(q^4)^3 E(q^6)^{15}}{E(q^2)^8 E(q^3)^5 E(q^{12})^7} \\ & \quad = 15qs^7 t (1 - 2qt)^2 (1 + qt)^4 (1 + 2qt)(1 + 4qt)^3 \equiv 0 \pmod{3}. \end{aligned}$$

Since

$$\frac{E(q)^3 E(q^4)^2 E(q^6)^{12}}{E(q^2)^7 E(q^3)^5 E(q^{12})^4} \quad \text{and} \quad \frac{E(q)^3 E(q^4)^3 E(q^6)^{15}}{E(q^2)^8 E(q^3)^5 E(q^{12})^7}$$

are invertible in the ring $\mathbb{Z}/3\mathbb{Z}[[q]]$, we deduce that

$$-\frac{E(q^2)^{23}}{E(q)^4 E(q^4)^6} + \frac{E(q)^{12} E(q^4)^2}{E(q^2)} + q \frac{E(q)^4 E(q^4)^{10}}{E(q^2)} \equiv 0 \pmod{3}, \tag{3.25}$$

$$\frac{E(q^2)^{39}}{E(q)^{12} E(q^4)^{14}} - \frac{E(q)^4 E(q^2)^{15}}{E(q^4)^6} - q \frac{E(q^2)^{15} E(q^4)^2}{E(q)^4} \equiv 0 \pmod{3}. \tag{3.26}$$

The congruences (3.17) and (3.18), together with (3.25) and (3.26), imply that for any $n \geq 0$,

$$g_1(9n + 5) \equiv g_1(9n + 8) \equiv 0. \tag{3.27}$$

Similarly, from (3.11), we find that

$$\begin{aligned} \sum_{n=0}^{\infty} g_2(n)q^n &\equiv 27 \left(qE(q^3)E(q^6)^4 \cdot \frac{E(q)}{E(q^2)^2} \cdot \frac{E(q^4)}{E(q^8)^2} \right. \\ &\quad \left. + q^2 E(q^3)^3 E(q^{12})E(q^{24}) \cdot \frac{E(q^2)}{E(q)E(q^4)} \cdot \frac{E(q^4)}{E(q^2)E(q^8)} \right). \end{aligned}$$

With the help of (2.6) and (2.7), we further obtain that

$$\begin{aligned} \sum_{n=0}^{\infty} g_2(3n + 2)q^n &\equiv 27 \left(-\frac{E(q)^4 E(q^2)^6 E(q^4)^{11}}{E(q^8)^6} + \frac{E(q^2)^{16} E(q^4)^{17}}{E(q)^8 E(q^8)^{10}} \right. \\ &\quad + q \frac{E(q^2)^8 E(q^4)^9}{E(q^8)^2} - q \frac{E(q)^{12} E(q^4)^3 E(q^8)^2}{E(q^2)^2} \\ &\quad \left. + q^2 E(q)^8 E(q^4)E(q^8)^6 + q^3 \frac{E(q^2)^{14} E(q^8)^{10}}{E(q)^4 E(q^4)^5} \right). \tag{3.28} \end{aligned}$$

Plugging (2.1) and (2.2) into (3.28), after simplification, we obtain that

$$\begin{aligned} \sum_{n=0}^{\infty} g_2(3n + 2)q^n &\equiv 27 \left(\frac{E(q^4)^{45}}{E(q^2)^{12} E(q^8)^{18}} - \frac{E(q^2)^4 E(q^4)^{21}}{E(q^8)^{10}} + q \frac{E(q^4)^{33}}{E(q^2)^8 E(q^8)^{10}} \right. \\ &\quad \left. - q \frac{E(q^2)^8 E(q^4)^9}{E(q^8)^2} - q^2 \frac{E(q^4)^{21}}{E(q^2)^4 E(q^8)^2} - q^3 E(q^4)^9 E(q^8)^6 \right). \tag{3.29} \end{aligned}$$

According to the parameterized identities (3.19)–(3.24), we find that

$$\begin{aligned} &\left(\frac{E(q^2)^{45}}{E(q)^{12} E(q^4)^{18}} - \frac{E(q)^4 E(q^2)^{21}}{E(q^4)^{10}} - q \frac{E(q^2)^{21}}{E(q)^4 E(q^4)^2} \right) \cdot \frac{E(q)^3 E(q^4)^4 E(q^6)^{13}}{E(q^2)^8 E(q^3)^5 E(q^{12})^6} \\ &= 15qs^8 t(1 - 2qt)^3(1 + qt)^4(1 + 2qt)(1 + 4qt)^4 \equiv 0 \pmod{3} \end{aligned}$$

and

$$\left(\frac{E(q^2)^{33}}{E(q)^8 E(q^4)^{10}} - \frac{E(q)^8 E(q^2)^9}{E(q^4)^2} - qE(q^2)^9 E(q^4)^6 \right) \cdot \frac{E(q)E(q^6)^7}{E(q^2)^2 E(q^3)^3 E(q^{12})^2} \\ = 15qs^8 t^2 (1 - 2qt)^3 (1 + qt)^5 (1 + 2qt)(1 + 4qt)^3 \equiv 0 \pmod{3}.$$

Since

$$\frac{E(q)^3 E(q^4)^2 E(q^6)^{13}}{E(q^2)^8 E(q^3)^5 E(q^{12})^6} \quad \text{and} \quad \frac{E(q)E(q^6)^7}{E(q^2)^2 E(q^3)^3 E(q^{12})^2}$$

are invertible in the ring $\mathbb{Z}/3\mathbb{Z}[[q]]$, we obtain that

$$\frac{E(q^2)^{45}}{E(q)^{12} E(q^4)^{18}} - \frac{E(q)^4 E(q^2)^{21}}{E(q^4)^{10}} - q \frac{E(q^2)^{21}}{E(q)^4 E(q^4)^2} \equiv 0 \pmod{3}, \tag{3.30}$$

$$\frac{E(q^2)^{33}}{E(q)^8 E(q^4)^{10}} - \frac{E(q)^8 E(q^2)^9}{E(q^4)^2} - qE(q^2)^9 E(q^4)^6 \equiv 0 \pmod{3}. \tag{3.31}$$

According to (3.29)–(3.31), we find that for any $n \geq 0$,

$$g_2(3n + 2) \equiv 0. \tag{3.32}$$

Finally, from (3.12), we find that

$$\sum_{n=0}^{\infty} g_3(n)q^n = -27 \left(q^2 \frac{E(q^3)^3 E(q^6)^5 E(q^{24})}{E(q^{12})^2} \cdot \frac{E(q^2)^2}{E(q)} \cdot \frac{E(q^4)}{E(q^2)E(q^8)} \right. \\ \left. - q^2 \frac{E(q^3)^4 E(q^6)E(q^{12})^3}{E(q^{24})} \cdot \frac{E(q^4)^2}{E(q^2)} \cdot \frac{E(q^8)}{E(q^4)^2} \right).$$

Thanks to (2.4), (2.5), (2.7) and (2.11), we further arrive at

$$\sum_{n=0}^{\infty} g_3(3n + 2)q^n \equiv 27 \left(\frac{E(q)^4 E(q^2)^6 E(q^4)^{11}}{E(q^8)^6} - \frac{E(q)^8 E(q^4)^{25}}{E(q^2)^8 E(q^8)^{10}} \right. \\ \left. - q \frac{E(q^2)^8 E(q^4)^9}{E(q^8)^2} - q^2 \frac{E(q)^4 E(q^4)^{11} E(q^8)^2}{E(q^2)^2} \right). \tag{3.33}$$

Substituting (2.1) into (3.33), upon simplification, we obtain that

$$\sum_{n=0}^{\infty} g_3(3n + 2)q^n \equiv 27 \left(-\frac{E(q^4)^{45}}{E(q^2)^{12} E(q^8)^{18}} + \frac{E(q^2)^4 E(q^4)^{21}}{E(q^8)^{10}} + q^2 \frac{E(q^4)^{21}}{E(q^2)^4 E(q^8)^2} \right) \\ + 27q \left(-\frac{E(q^4)^{33}}{E(q^2)^8 E(q^8)^{10}} + \frac{E(q^2)^8 E(q^4)^9}{E(q^8)^2} + q^2 E(q^4)^9 E(q^8)^6 \right).$$

According to (3.30) and (3.31), we conclude that for any $n \geq 0$,

$$g_3(3n + 2) \equiv 0. \quad (3.34)$$

The congruences (1.6) and (1.7) follow from (3.9)–(3.12), (3.27), (3.32) and (3.34).

We therefore complete the proof of Theorem 1.2. \square

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