

1324- and 2143-avoiding Kazhdan–Lusztig immanants and *k*-positivity

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Abstract. Immanants are functions on square matrices generalizing the determinant and permanent. Kazhdan–Lusztig immanants, which are indexed by permutations, involve q = 1 specializations of Type A Kazhdan–Lusztig polynomials, and were defined by Rhoades and Skandera (2006, *Journal of Algebra* 304, 793–811). Using results of Haiman (1993, *Journal of the American Mathematical Society* 6, 569–595) and Stembridge (1991, *Bulletin of the London Mathematical Society* 23, 422–428), Rhoades and Skandera showed that Kazhdan–Lusztig immanants are nonnegative on matrices whose minors are nonnegative. We investigate which Kazhdan–Lusztig immanants are positive on k-positive matrices (matrices whose minors of size $k \times k$ and smaller are positive). The Kazhdan–Lusztig immanant indexed by v is positive on k-positive matrices if v avoids 1324 and 2143 and for all noninversions i < j of v, either $j - i \le k$ or $v_j - v_i \le k$. Our main tool is Lewis Carroll's identity.

1 Introduction

Given a function $f : S_n \to \mathbb{C}$, the *immanant* associated to f, $\text{Imm}_f : \text{Mat}_{n \times n}(\mathbb{C}) \to \mathbb{C}$, is the function

(1.1)
$$\operatorname{Imm}_{f}(M) \coloneqq \sum_{w \in S_{n}} f(w) \ m_{1,w(1)} \cdots m_{n,w(n)}.$$

Well-studied examples include the determinant, where $f(w) = (-1)^{\ell(w)}$, the permanent, where f(w) = 1, and more generally *character immanants*, where f is an irreducible character of S_n .

In this paper, we establish the positivity of certain immanants evaluated on k-positive matrices; that is, matrices whose minors of size at most k are positive. Interest in positivity properties of immanants goes back to the early 1990s. Goulden and Jackson [GJ92] conjectured, and Greene [G92] later proved, that character immanants of Jacobi–Trudi matrices are monomial-positive. Jacobi–Trudi matrices refer to the matrices appearing in the famous Jacobi–Trudi identity for skew-Schur functions; they have entries that are homogeneous symmetric functions and determinants equal to skew-Schur functions. Greene's result was followed by a number of positivity conjectures by Stembridge [S92], including two that were proved shortly thereafter:

Received by the editors March 18, 2020; revised April 16, 2021; accepted April 26, 2021. Published online on Cambridge Core May 14, 2021.

The first author was partially supported by NSF RTG grant DMS-1745638. The second author was supported by NSF grant DGE-1752814.

AMS subject classification: 15A15, 05E10, 20C30.

Keywords: Immanants, total positivity.

Haiman [H93] showed that character immanants of generalized Jacobi–Trudi matrices (repeated rows and columns allowed) are Schur-positive and Stembridge [S91] showed that character immanants are nonnegative on *totally nonnegative* matrices, matrices with nonnegative minors.

In [S92, Question 2.9], Stembridge also asks about the nonnegativity of certain immanants evaluated on *k*-nonnegative matrices, matrices whose minors of size at most *k* are nonnegative. (Stembridge's methods in [S91] do not give insight into this question, as it relies on a factorization of totally nonnegative matrices which does not exist for all *k*-nonnegative matrices.)

Here, we investigate a variant of Stembridge's question, restricting our attention to *k*-positive matrices and *Kazhdan–Lusztig immanants*, which were defined by Rhoades and Skandera [RS06].

Definition 1.1 Let $v \in S_n$. The Kazhdan–Lusztig immanant $\text{Imm}_v : \text{Mat}_{n \times n}(\mathbb{C}) \to \mathbb{C}$ is given by

(1.2)
$$\operatorname{Imm}_{\nu}(M) \coloneqq \sum_{w \in S_n} (-1)^{\ell(w) - \ell(\nu)} P_{w_0 w, w_0 \nu}(1) \ m_{1, w_1} \cdots m_{n, w_n},$$

where $P_{x,y}(q)$ is the Kazhdan–Lusztig polynomial associated to $x, y \in S_n, w_0 \in S_n$ is the longest permutation, and we write permutations $w = w_1w_2...w_n$ in one-line notation. (For the definition of $P_{x,y}(q)$ and their basic properties, see, e.g., [BB05].)

For example, letting *e* denote the identity permutation, $\text{Imm}_e(M) = \det M$ and $\text{Imm}_{w_0}(M) = m_{n,1}m_{n-1,2}\cdots m_{1,n}$.

Using results of [H93, S91], Rhoades and Skandera [RS06] show that Kazhdan– Lusztig immanants are nonnegative on totally nonnegative matrices, and are Schurpositive on generalized Jacobi–Trudi matrices. Furthermore, they show that character immanants are nonnegative linear combinations of Kazhdan–Lusztig immanants, so from the perspective of positivity, Kazhdan–Lusztig immanants are the more fundamental object to study.

We will call an immanant *k-positive* if it is positive on all *k*-positive matrices. We are interested in the following question.

Question 1.1 Let 0 < k < n be an integer. For which $v \in S_n$ is $Imm_v(M)k$ -positive?

Notice that $\text{Imm}_e(M) = \det M$ is *k*-positive only for k = n. On the other hand, Imm_{w_0} is *k*-positive for all *k*, because it is positive as long as the entries (i.e., the 1×1 minors) of *M* are positive. So, the answer to Question 1.1 is a nonempty proper subset of S_n .

Pylyavskyy [Pyly] conjectured the following relationship between $\text{Imm}_{v}(M)$ being *k*-positive and *v* avoiding certain patterns (see Definition 2.2).

Conjecture 1.2 [Pyly] Let 0 < k < n be an integer, and let $v \in S_n$ avoid the pattern $12\cdots(k+1)$. Then, $\text{Imm}_v(M)$ is k-positive.

Our main result is the following description of some *k*-positive Kazhdan–Lusztig immanants, in the spirit of Pylyavskyy's conjecture.

Theorem 1.3 Let $v \in S_n$ be 1324-, 2143-avoiding and suppose that for all i < j with $v_i < v_j$, we have $j - i \le k$ or $v_j - v_i \le k$. Then, $\text{Imm}_v(M)$ is k-positive.

To prove Theorem 1.3, we first find a determinantal expression for $\text{Imm}_{\nu}(M)$ when ν avoids 1324 and 2143. We then use Lewis Carroll's identity (also known as the Desnanot–Jacobi identity) to obtain sign information. We also show that Theorem 1.3 supports Conjecture 1.2 (see Proposition 5.1).

Before giving the proof of Theorem 1.3, we point out that it can be rephrased in terms of Lusztig's *dual canonical basis* for the coordinate ring of $GL_n(\mathbb{C})$. Indeed, Skandera [S08] proved that every dual canonical basis element is, up to a power of det⁻¹, a Kazhdan–Lusztig immanant evaluated on a matrix of indeterminates with repeated rows and columns. The minors are dual canonical basis elements, so Theorem 1.3 shows that the positivity of certain dual canonical basis elements (minors of size at most *k*) guarantees the positivity of others ($\text{Imm}_v(N)$) where *N* is a matrix of indeterminates and *v* satisfies the hypotheses of the theorem). In fact, the positivity of minors of size at most *k* guarantees the positivity of a broader class of dual canonical basis elements, which will be the subject of a forthcoming paper.

The paper is organized as follows. Section 2 gives our conventions and the necessary definitions on permutations. In Section 3, we obtain a determinantal formula for $\text{Imm}_v(M)$ when v avoids 1324 and 2143. Section 4 is the proof of our main result, Theorem 1.3. In Section 5, we consider the condition on v from Theorem 1.3: that for all i < j with $v_i < v_j$, we have $j - i \le k$ or $v_j - v_i \le k$. We discuss how this condition relates to pattern avoidance and show that our main theorem supports Pylyavskyy's conjecture. In Section 6, we give some additional motivation and context for Question 1.1; we state a more general version of Question 1.1 for arbitrary semisimple Lie groups and discuss connections to cluster algebras. Finally, Sections 7 and 8 provide proofs of technical lemmas used in Section 4.

2 Definitions

For integers $i \leq j$, let $[i, j] := \{i, i + 1, ..., j - 1, j\}$. We abbreviate [1, n] as [n]. For $v \in S_n$, we write v_i or v(i) for the image of i under v. We use the notation < for both the usual order on [n] and the Bruhat order on S_n ; it is clear from context which is meant. To discuss inversions or noninversion of a permutation v, we will write $\langle i, j \rangle$ to avoid confusion with a matrix index or point in the plane. In the notation $\langle i, j \rangle$, we always assume i < j.

We are concerned with two notions of positivity, one for matrices and one for immanants.

Definition 2.1 Let $k \ge 1$. A matrix $M \in Mat_{n \times n}(\mathbb{C})$ is *k*-positive if all minors of size at most *k* are positive.

An immanant $\text{Imm}_f : \text{Mat}_{n \times n}(\mathbb{C}) \to \mathbb{C}$ is *k*-positive if it is positive on all *k*-positive matrices.

Note that *k*-positive matrices have positive 1×1 minors, i.e., entries, and so are real matrices.

Our results on *k*-positivity of Kazhdan–Lusztig immanants involve pattern avoidance.

Definition 2.2 Let $v \in S_n$, and let $w \in S_m$. Suppose $v = v_1 \cdots v_n$ and $w = w_1 \cdots w_m$ in one-line notation. The pattern $w_1 \cdots w_m$ occurs in v if there exists $1 \le i_1 < \cdots < i_m \le n$

such that $v_{i_1} \cdots v_{i_m}$ are in the same relative order as $w_1 \cdots w_m$. In addition, *v* avoids the pattern $w_1 \cdots w_m$ if it does not occur in *v*.

In the following section, we will show that certain immanants have a very simple determinantal formula, which involves the *graph* of an interval.

Definition 2.3 For $v \in S_n$, the graph of v, denoted $\Gamma(v)$, refers to its graph as a function. That is, $\Gamma(v) := \{(1, v_1), \dots, (n, v_n)\}$. For $v, w \in S_n$, the graph of the Bruhat interval [v, w] is the subset of $[n]^2$ defined as $\Gamma[v, w] := \{(i, u_i) : u \in [v, w], i = 1, \dots, n\}$.

We think of an element $(i, j) \in \Gamma[v, w]$ as a point in row *i* and column *j* of an $n \times n$ grid, indexed so that row indices increase going down and column indices increase going right (see Example 2.1). A *square* or *square region* in $\Gamma[v, w]$ is a subset of $\Gamma[v, w]$ which forms a square when drawn in the grid.

The following example illustrates the above concepts, as well as Theorem 1.3.

Example 2.1 Consider v = 2413 in S_4 . We have $[v, w_0] = \{2413, 4213, 3412, 2431, 4312, 4231, 3421\}$, and so $\Gamma[v, w_0]$ is as follows:



Notice that v avoids the patterns 1324 and 2143. Its noninversions are (1, 2), (1, 4), (3, 4). For each of these noninversions (i, j), we have that $j - i \le 2$ or $v_j - v_i \le 2$. So, Theorem 1.3 guarantees that

$$\operatorname{Imm}_{\nu}(M) = m_{12}m_{24}m_{31}m_{43} - m_{14}m_{22}m_{31}m_{43} - m_{13}m_{24}m_{31}m_{42} + m_{14}m_{23}m_{31}m_{42}$$

 $-m_{12}m_{24}m_{33}m_{41}+m_{14}m_{22}m_{33}m_{41}+m_{13}m_{24}m_{32}m_{41}-m_{14}m_{23}m_{32}m_{41}$

is positive on all 2-positive 4×4 matrices.

3 Determinantal formulas for 4231- and 3412-avoiding Kazhdan-Lusztig immanants

We first note that (1.2) has a much simpler form when v is 1324- and 2143-avoiding. In general, computing $P_{x,y}(q)$, or even $P_{x,y}(1)$, is quite difficult, and there are no explicit combinatorial formulas for arbitrary x, y (see, e.g., [BW01] for formulas in special cases). As a result, Kazhdan–Lusztig immanants are also difficult to compute. However, when v avoids 1324 and 2143, we can write a simple formula for them (Corollary 3.4).

By [KL80], $P_{x,y}(q)$ is the Poincaré polynomial of the local intersection cohomology of the Schubert variety indexed by *y* at any point in the Schubert variety indexed by *x*;

by [LS90], the Schubert variety indexed by *y* is smooth precisely when *y* avoids 4231 and 3412. These results imply that $P_{x,y}(q) = 1$ for *y* avoiding 4231 and 3412. Together with the fact that $P_{x,y}(q) = 0$ for $x \leq y$ in the Bruhat order, this gives the following lemma.

Lemma 3.1 Let $v \in S_n$ be 1324- and 2143-avoiding. Then,

(3.1)
$$\operatorname{Imm}_{\nu}(M) = (-1)^{\ell(\nu)} \sum_{w \ge \nu} (-1)^{\ell(w)} m_{1,w(1)} \cdots m_{n,w(n)}.$$

The coefficients in the formula in Lemma 3.1 suggest a strategy for analyzing $\operatorname{Imm}_{\nu}(M)$ for $\nu \in S_n$ avoiding 1324 and 2143: find some matrix N such that $\det(N) = \pm \operatorname{Imm}_{\nu}(M)$. If such a matrix N exists, the sign of $\operatorname{Imm}_{\nu}(M)$ is the sign of some determinant, which we have tools (e.g., Lewis Carroll's identity) to analyze. The most straightforward candidate for N is a matrix obtained from M by replacing some entries with 0.

Definition 3.1 Let $Q \subseteq [n]^2$, and let $M = (m_{ij})$ be in $Mat_{n \times n}(\mathbb{C})$. The *restriction of* M to Q, denoted $M|_Q$, is the matrix with entries

$$n_{ij} = \begin{cases} m_{ij} & \text{if } (i,j) \in Q, \\ 0 & \text{else.} \end{cases}$$

For a fixed $v \in S_n$ that avoids 1324 and 2143, suppose there exists $Q \subseteq [n]^2$ such that $\operatorname{Imm}_v(M) = \pm \det M|_Q$. Given the terms appearing in (3.1), Q must contain $\Gamma(w)$ for all w in $[v, w_0]$, and so must contain $\Gamma[v, w_0]$. In fact, the minimal choice of Q suffices. Before proving this, we give a characterization of $\Gamma[v, w_0]$ as a subset of $[n]^2$.

Definition 3.2 Let $v \in S_n$ and $(i, j) \in [n]^2 \setminus \Gamma(v)$. Then, (i, j) is sandwiched by a noninversion (respectively, inversion) $\langle k, l \rangle$ if $k \le i \le l$ and $v_k \le j \le v_l$ (respectively, $v_k \ge j \ge v_l$). We also say $\langle k, l \rangle$ sandwiches (i, j).

That is to say, (i, j) is sandwiched by $\langle k, l \rangle$ if and only if, in the plane, (i, j) lies inside the rectangle with opposite corners (k, v_k) and (l, v_l) .

Lemma 3.2 Let $v \in S_n$. Then, $\Gamma[v, w_0] = \Gamma(v) \cup \{(i, j) : (i, j) \text{ is sandwiched by a noninversion of } v\}$.

Proof Clearly $(i, v_i) \in \Gamma[v, w_0]$ for all *i*, so suppose (i, j) is sandwiched by a noninversion $\langle k, l \rangle$ of *v*. We will produce a permutation w > v sending *i* to *j*, which shows that $(i, j) \in \Gamma[v, w_0]$. Let $a = v^{-1}(j)$. If *a*, *i* form a noninversion of *v*, then $v \cdot (a i) > v$ (where (a i) is the transposition sending *a* to *i* and vice versa) and $v \cdot (a i)$ sends *i* to *j*. If *a*, *i* is an inversion, then $v \cdot (i k) \cdot (i a) > v$ if i < a and $v \cdot (i l) \cdot (i a) > v$ if i > a. These permutations send *i* to *j*, so we are done.

To show that the above description gives all elements of $\Gamma[v, w_0]$, suppose that $\langle a, b \rangle$ is a noninversion of v such that $\ell(v \cdot (a \ b)) = \ell(v) + 1$. The graph of $v \cdot (a \ b)$ can be obtained from $\Gamma(v)$ by applying the following move: look at the rectangle bounded by (a, v_a) and (b, v_b) , and replace (a, v_a) and (b, v_b) with the other corners of the rectangle, (a, v_b) and (b, v_a) . Notice that (a, v_b) and (b, v_a) are sandwiched by the noninversion $\langle a, b \rangle$. Furthermore, if (i, j) is sandwiched by a noninversion of $v \cdot (a \ b)$, then it is also sandwiched by a noninversion of v. Thus, repeating this move

	1	2	3	4	5
1	×	٠	٠	٠	•
2	٠	٠	٠	×	•
3	•	×	•	٠	•
4	•	٠	•	•	×
5	•	٠	×		

Figure 1: An example of $\Gamma[v, w_0]$ for v = 14253. Crosses mark the positions (i, v_i) , and dots mark all other elements of $\Gamma[v, w_0]$.

produces graphs whose points are sandwiched by some noninversion of v. Because, for arbitrary u > v, the graph of u can be obtained from that of v by a sequence of these moves, we are done.

See Figure 1 for an example of Lemma 3.2. Note that Lemma 3.2 implies $\Gamma[v, w_0]$ is always a skew-shape.

We are now ready to prove the following proposition, which follows from the work of Sjöstrand [S07].

Proposition 3.3 Let $v \in S_n$ avoid 1324, 24153, 31524, and 426153, and let $M \in Mat_{n \times n}(\mathbb{C})$. Then,

$$\det(M|_{\Gamma[\nu,w_0]}) = \sum_{w \ge \nu} (-1)^{\ell(w)} m_{1,w(1)} \cdots m_{n,w(n)}.$$

Proof Notice that, by definition,

(3.2)
$$\det(M|_{\Gamma[v,w_0]}) = \sum_{\substack{w \in S_n \\ \Gamma(w) \subseteq \Gamma[v,w_0]}} (-1)^{\ell(w)} m_{1,w(1)} \cdots m_{n,w(n)}$$

We show that this sum is in fact over $[v, w_0]$. Lemma 3.2 shows that for arbitrary $v \in S_n$, $\{w : \Gamma(w) \subseteq \Gamma[v, w_0]\}$ are the permutations in what Sjöstrand calls the "left convex hull" of v. Applying [S07, Theorem 4], we obtain that $\{w \in S_n : \Gamma(w) \subseteq \Gamma[v, w_0]\} = [v, w_0]$ as desired.

Combining Lemma 3.1 and Proposition 3.3, we obtain an expression for certain immanants as determinants (up to sign).

Corollary 3.4 *Let* $v \in S_n$ *avoid* 1324 *and* 2143. *Then,*

(3.3)
$$\operatorname{Imm}_{\nu}(M) = (-1)^{\ell(\nu)} \det(M|_{\Gamma[\nu, w_0]}).$$

Proof By Lemma 3.1, we have

(3.4)
$$\operatorname{Imm}_{\nu}(M) = (-1)^{\ell(\nu)} \sum_{w \ge \nu} (-1)^{\ell(w)} m_{1,w(1)} \cdots m_{n,w(n)}.$$

Notice that *v* also avoids 24153, 31524, and 426153, because the occurrence of any of those patterns would imply an occurrence of 2143. So, by Proposition 3.3, the right-hand side of (3.4) is equal to $(-1)^{\ell(v)} \det(M|_{\Gamma[v,w_0]})$.

Remark 3.5 Corollary 3.4 implies that $\text{Imm}_{\nu}(M)$ can be efficiently computed when ν avoids 1324 and 2143, because it is a determinant.

We will use Lewis Carroll's identity to determine the sign of (3.3) in Section 4, using some results on the structure of $\Gamma[v, w_0]$.

4 Dodgson condensation

We are now ready to prove Theorem 1.3. We first note a useful lemma that will allow us to rephrase the theorem in terms of $\Gamma[\nu, w_0]$ instead of noninversions.

Lemma 4.1 Let $v \in S_n$. The graph $\Gamma[v, w_0]$ has a square of size k + 1 if and only if for some noninversion (i, j) of v, we have $j - i \ge k$ and $v_j - v_i \ge k$.

Proof Let *Q* be a square of size k + 1 in $\Gamma[v, w_0]$. Note that *Q* is sandwiched by some noninversion $\langle i, j \rangle$ of *v*. Indeed, let (r_1, c_1) be the northwest corner of *Q*, and let (r_2, c_2) be the southeast corner. Then, (r_1, c_1) is either sandwiched by a noninversion $\langle i, \ell \rangle$ or is equal to (i, v_i) for some *i*. In particular, (i, v_i) is weakly northwest of (r_1, c_1) . Similarly, we can find *j*, so that (j, v_j) is weakly southeast of (r_2, c_2) . So, the noninversion $\langle i, j \rangle$ sandwiches every point in *Q*. On the other hand, the rectangle with corners $(i, v_i), (j, v_i), (i, v_j), (j, v_j)$ uses j - i + 1 columns and $v_j - v_i + 1$ rows. So, both j - i + 1 and $v_j - v_i + 1$ must be at least k + 1, which shows one direction. The other direction is straightforward.

Using this lemma, Theorem 1.3 can be rephrased as follows.

Theorem 4.2 Let $v \in S_n$ be 1324-, 2143-avoiding, and suppose the largest square region in $\Gamma[v, w_0]$ has size at most k. Then, $\text{Imm}_v(M)$ is k-positive.

The main technique we will use to prove Theorem 1.3 is application of the following proposition.

Proposition 4.3 (Lewis Carroll's identity) If M is an $n \times n$ square matrix and M_A^B is M with the rows indexed by $A \subset [n]$ and columns indexed by $B \subset [n]$ removed, then

$$\det(M) \det(M_{a,a'}^{b,b'}) = \det(M_a^b) \det(M_{a'}^{b'}) - \det(M_a^{b'}) \det(M_{a'}^b),$$

where $1 \le a < a' \le n$ and $1 \le b < b' \le n$.

4.1 Young diagrams

We begin by considering the cases where $\Gamma[\nu, w_0]$ is a Young diagram or the complement of a Young diagram (using English notation). Recall that the *Durfee square* of a Young diagram λ is the largest square contained in λ .

Proposition 4.4 Let $\lambda \subseteq n^n$ be a Young diagram with Durfee square of size k and $\mu := n^n / \lambda$. Let M be an $n \times n$ k-positive matrix. Then,

$$(-1)^{|\mu|}\det(M|_{\lambda})\geq 0,$$

and equality holds only if $(n, n - 1, ..., 1) \notin \lambda$.

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Proof Let $A = M|_{\lambda} = \{a_{ij}\}$. For $\sigma \in S_n$, let $a_{\sigma} \coloneqq a_{1,\sigma(1)} \cdots a_{n,\sigma(n)}$. Note that if $\lambda_{n-j+1} < j$, then the last *j* rows of λ are contained in a $j \times (j-1)$ rectangle. There is no way to choose *j* boxes in the last *j* rows of λ , so that each box is in a different column and row. This means at least one of the matrix entries in a_{σ} is zero. So, det A = 0 if $(n, n-1, \ldots, 1) \notin \lambda$.

Now, assume that $(n, n - 1, ..., 1) \subseteq \lambda$. We proceed by induction on *n* to show that det(*A*) has sign $(-1)^{|\mu|}$. The base cases for n = 1, 2 are clear.

We would like to apply Lewis Carroll's identity to find the sign of det(A). Let λ_I^J denote the Young diagram obtained from λ by removing rows indexed by I and columns indexed by J. Note that $A_I^J = M_I^J|_{\lambda_I^J}$. The submatrices M_I^J are k-positive, and the Durfee square of λ_I^J is no bigger than the Durfee square of λ , so, by the inductive hypothesis, we know the signs of det A_I^J for $|I| = |J| \ge 1$.

We will analyze the following Lewis Carroll identity:

(4.1)
$$\det(A) \det(A_{1,n}^{1,n}) = \det(A_1^1) \det(A_n^n) - \det(A_1^n) \det(A_n^1).$$

Note that $\lambda_{1,n}^{1,n}$ contains (n-2, n-3, ..., 1) and $\lambda_n^n, \lambda_1^n, \lambda_1^n$ contain (n-1, n-2, ..., 1), so the determinants of $A_{1,n}^{1,n}, A_n^n, A_1^n$, and A_n^1 are nonzero.

Suppose the last row of μ contains r boxes and the last column contains c (so that the union of the last column and row contains r + c - 1 boxes). Note that r, c < n. Then, det $(A_{1,n}^{1,n})$ and det (A_n^n) have sign $(-1)^{|\mu|-(r+c-1)}$, det (A_1^n) has sign $(-1)^{|\mu|-c}$, and det (A_n^1) has sign $(-1)^{|\mu|-r}$. Notice that det (A_1^1) is either zero or it has sign $(-1)^{|\mu|}$, because $\mu_1^1 = \mu$. In both of these cases, the right-hand side of (4.1) is nonzero and has sign $(-1)^{-r-c+1}$; the left-hand side has sign sgn $(det(A)) \cdot (-1)^{|\mu|-r-c+1}$, which gives the proposition.

Corollary 4.5 Let $\mu \subseteq n^n$ be a Young diagram and let $\lambda := n^n / \mu$. Suppose λ has Durfee square of size k, and M is a k-positive $n \times n$ matrix. Then,

$$(-1)^{|\mu|}\det(M|_{\lambda})\geq 0,$$

and equality holds if and only if $(n^n/(n-1, n-2, ..., 1, 0)) \subseteq \lambda$ (or equivalently, $\mu \subseteq (n-1, n-2, ..., 1, 0)$).

Proof If we transpose $M|_{\lambda}$ across the antidiagonal, we obtain the scenario of Proposition 4.4. Transposition across the antidiagonal is the same as reversing columns, taking transpose, and reversing columns again, which does not effect the sign of minors.

Proposition 4.4 and Corollary 4.5 give us the following results about immanants.

Corollary 4.6 Let $v \in S_n$ avoid 1324 and 2143. Suppose $\Gamma[v, w_0]$ is a Young diagram λ with Durfee square of size k. Then, $\text{Imm}_v(M)$ is k-positive.

Proof Suppose *M* is *k*-positive. Note that $\Gamma(w_0) \subseteq \Gamma[v, w_0]$ implies λ contains the partition (n, n-1, ..., 1). Let $\mu = n^n / \lambda$. By Proposition 4.4, we know that $(-1)^{|\mu|} \det M|_{\Gamma[v,w_0]} > 0$.

In fact, there is a bijection between boxes of μ and inversions of ν . If a box of μ is in row r and column c, then $\nu(r) < c$ and $\nu^{-1}(c) < r$; otherwise, that box would be in

 $\Gamma[v, w_0]$. This means exactly that $(v^{-1}(c), r)$ is an inversion. If (a, b) was an inversion of v and the box in row b and column v(a) was not in μ , then for some j, the box in row j and column v(j) is southeast of the box in row b and column v(a). But then 1 v(a) v(b) v(j) would be an occurrence of the pattern 1324, a contradiction.

So, we know $(-1)^{|\mu|} \det M|_{\Gamma[\nu,w_0]} = (-1)^{\ell(\nu)} \det M|_{\Gamma[\nu,w_0]} > 0$. By Corollary 3.4, this means $\operatorname{Imm}_{\nu}(M) > 0$.

Corollary 4.7 Let $v \in S_n$ avoid 1324 and 2143. Suppose $\Gamma[v, w_0]$ is $\lambda = n^n/\mu$ for some partition μ and the largest square in λ is of size k. Then, $\text{Imm}_v(M)$ is k-positive.

Proof Suppose *M* is *k*-positive. Note that $\Gamma(w_0) \subseteq \Gamma[v, w_0]$ implies λ contains the partition $(n^n/(n-1, n-2, ..., 1, 0))$. By Corollary 4.5, we know that $(-1)^{|\mu|} \det M|_{\Gamma[v,w_0]} > 0$.

As in the proof of Corollary 4.6, there is a bijection between boxes of μ and inversions of ν . So, we know $(-1)^{|\mu|} \det M|_{\Gamma[\nu,w_0]} = (-1)^{\ell(\nu)} \det M|_{\Gamma[\nu,w_0]} > 0$. By Corollary 3.4, this means $\operatorname{Imm}_{\nu}(M) > 0$.

4.2 General case

To prove Theorem 1.3, we need to show that if v avoids 1324 and 2143, then det $M|_{\Gamma[v,w_0]}$ has sign $(-1)^{\ell(v)}$ for M satisfying the given positivity assumptions.

We first reduce to the case when $\Gamma[v, w_0]$ is not block-antidiagonal. We will temporarily denote the longest element of S_i by $w_{(i)}$.

Lemma 4.8 Suppose $\Gamma[v, w_0]$ is block-antidiagonal. Let $v_1 \in S_j$ and $v_2 \in S_{n-j}$ be permutations such that upper-right antidiagonal block of $\Gamma[v, w_0]$ is equal to $\Gamma[v_1, w_{(j)}]$ and the other antidiagonal block is equal to $\Gamma[v_2, w_{(n-j)}]$. If M is an $n \times n$ matrix, then

$$(-1)^{\ell(\nu)} \det M|_{\Gamma[\nu,w_0]} = (-1)^{\ell(\nu_1)} \det M_1|_{\Gamma[\nu_1,]w_{(j)}} \cdot (-1)^{\ell(\nu_2)} \det M_2|_{\Gamma[\nu_2,]w_{(n-j)}},$$

where M_1 (resp., M_2) is the square submatrix of M using columns n - j + 1 through n and rows 1 through j (resp., columns 1 through n - j and rows j + 1 through n).

See Figure 2 for an example of v_1 and v_2 .

Proof of Lemma 4.8 Notice that *v* is the permutation

$$v: i \mapsto \begin{cases} v_1(i) + n - j & \text{if } 1 \le i \le j, \\ v_2(i-j) & \text{if } j < i \le n. \end{cases}$$

For a block-antidiagonal matrix A with blocks A_1, A_2 of size j and n - j, respectively, we have

$$det(A) = (-1)^{\ell(w_0)} det(Aw_0)$$

= $(-1)^{\ell(w_0)} det(A_1w_{(j)}) det(A_2w_{(n-j)})$
= $(-1)^{\ell(w_0)+\ell(w_{(j)})+\ell(w_{(n-j)})} det(A_1) det(A_2)$
= $(-1)^{\binom{n}{2}+\binom{j}{2}+\binom{n-j}{2}} det(A_1) det(A_2).$



Figure 2: An example where $\Gamma[v, w_0]$ is block-antidiagonal. Here, v = 74586132. In the notation of Lemma 4.8, j = 3, $v_1 = 41253$, and $v_2 = 132$.

From the above description of v, $\ell(v) = j(n-j) + \ell(v_1) + \ell(v_2)$. This, together with the fact that $\binom{n}{2} = \binom{j}{2} + \binom{n-j}{2} + j(n-j)$ and the formula for the determinant of a block-antidiagonal matrix, gives the desired equality.

From Corollary 3.4 and Lemma 4.8, we have the following corollary.

Corollary 4.9 Let $v \in S_n$ avoid 1324 and 2143, let *M* be an $n \times n$ matrix, and let v_i and M_i be as in Lemma 4.8. Then,

$$\operatorname{Imm}_{\nu}(M) = \operatorname{Imm}_{\nu_1}(M_1) \operatorname{Imm}_{\nu_2}(M_2).$$

We now introduce two propositions we will need for the proof of the general case.

Definition 4.1 Let $v \in S_n$. Define \mathbf{B}_{i,v_i} to be the square region of $[n]^2$ with corners (i, v_i) , (i, n - i + 1), $(n - v_i + 1, v_i)$, and $(n - v_i + 1, n - i + 1)$. In other words, \mathbf{B}_{i,v_i} is the square region of $[n]^2$ with a corner at (i, v_i) and two corners on the antidiagonal of $[n]^2$. We say \mathbf{B}_{i,v_i} is a *bounding box* of $\Gamma[v, w_0]$ if there does not exist some *j* such that $\mathbf{B}_{i,v_i} \subseteq \mathbf{B}_{j,v_j}$. If \mathbf{B}_{i,v_i} is a bounding box of $\Gamma[v, w_0]$, we call (i, v_i) a *spanning corner* of $\Gamma[v, w_0]$. We denote the set of spanning corners of $\Gamma[v, w_0]$ by *S*. (See Figure 3 for an example.)

Remark 4.10 To justify the name "spanning corners," notice that if (i, v_i) is not sandwiched by any noninversion of v, then (i, v_i) is a corner of $\Gamma[v, w_0]$ (i.e., there are either no elements of $\Gamma[v, w_0]$ weakly northwest of (i, v_i) or no elements of $\Gamma[v, w_0]$ weakly southeast of (i, v_i) . Conversely, if (i, v_i) is sandwiched by a noninversion $\langle k, l \rangle$ of v, then (i, v_i) is in the interior of $\Gamma[v, w_0]$, and $\mathbf{B}_{i,v_i} \subseteq \mathbf{B}_{k,v_k}$. So, all elements of S are corners of $\Gamma[v, w_0]$.

The name "bounding boxes" comes from the following lemma.



Figure 3: An example of $\Gamma[v, w_0]$, with $v = 6 \ 10 \ 4 \ 7 \ 8 \ 9 \ 3 \ 1 \ 2$. The bounding boxes are blue, red, blue, green, and purple, listed in the order of their northmost row. The spanning corners of $\Gamma[e, v]$ are (1, 6), (3, 4), (6, 9), (8, 3), (9, 1), and (10, 2).

Lemma 4.11 Let $v \in S_n$. Then,

$$\Gamma[\nu, w_0] \subseteq \bigcup_{(i,\nu_i)\in S} \mathbf{B}_{i,\nu_i}.$$

Proof Let $R_{k,l}$ denote the rectangle with corners (k, v_k) , (l, v_l) , (k, v_l) , and (l, v_k) . If $\langle k, l \rangle$ is a noninversion of v, then $R_{k,l}$ consists exactly of the points sandwiched by $\langle k, l \rangle$. So, by Lemma 3.2, we have that

$$\Gamma[e, w] = \bigcup_{\langle k, l \rangle \text{ noninversion of } v} R_{k, l}.$$

Notice that if (i, w_i) is sandwiched by $\langle k, l \rangle$, then $R_{i,l}$ and $R_{k,i}$ are contained in $R_{k,l}$. So, to show the desired containment, it suffices to show that $R_{k,l}$ is contained in $\bigcup_{(i,v_i)\in S} \mathbf{B}_{i,v_i}$ for all noninversions $\langle k, l \rangle$ such that (k, v_k) and (l, v_l) are both corners of $\Gamma[v, w_0]$.

So, consider a noninversion $\langle k, l \rangle$, where $(k, v_k), (l, v_l)$ are corners. Working through the possible relative orders of k, l, v_k, v_l , one can see that $R_{k,l} \subseteq \mathbf{B}_{k,v_k} \cup \mathbf{B}_{l,v_l}$. Because *S* consists of spanning corners, we have $(a, v_a), (b, v_b) \in S$ such that \mathbf{B}_{a,v_a} and \mathbf{B}_{b,v_b} contain \mathbf{B}_{k,w_k} and \mathbf{B}_{l,w_l} , respectively. So, $R_{k,l} \subseteq \mathbf{B}_{a,w_a} \cup \mathbf{B}_{b,w_b}$, as desired.

We also color the bounding boxes.

Definition 4.2 A bounding box \mathbf{B}_{i,v_i} is said to be *red* if (i, v_i) is below the antidiagonal, *green* if (i, v_i) is on the antidiagonal, and *blue* if (i, v_i) is above the antidiagonal. In the case where \mathbf{B}_{i,v_i} is a bounding box and $\mathbf{B}_{n-v_i+1,n-i+1}$ is also a bounding box,

then $\mathbf{B}_{i,v_i} = \mathbf{B}_{n-v_i+1,n-i+1}$ is both red and blue, in which case we call it *purple*. (See Figure 3 for an example.)

Proposition 4.12 Suppose $v \in S_n$ avoids 2143 and w_0v is not contained in a maximal parabolic subgroup of S_n . Order the bounding boxes of $\Gamma[v, w_0]$ by the row of the northwest corner. If $\Gamma[v, w_0]$ has more than one bounding box, then they alternate between blue and red and there are no purple bounding boxes.

Example 4.13 If v = 371456, then $\Gamma[v, w_0]$ is the same as the upper right antidiagonal block of Figure 3. The bounding boxes of v, in the order of Proposition 4.12, are **B**_{1,3}, **B**_{6,5}, and **B**_{3,1}. The colors are blue, red, and blue, respectively.

For the next proposition, we need some additional notation. Define $\delta_i : [n] \setminus \{i\} \rightarrow [n-1]$ as

$$\delta_i(j) = \begin{cases} j, & j < i; \\ j-1, & j > i. \end{cases}$$

Definition 4.3 For $i, k \in [n]$ and $P \subseteq [n]^2$, let $P_i^k \subseteq [n-1] \times [n-1]$ be P with row i and column k deleted. That is, $P_i^k = \{(\delta_i(r), \delta_k(c)) : (r, c) \in P\}.$

Proposition 4.14 Let $v \in S_n$ be 2143- and 1324-avoiding, and choose $i \in [n]$. Let $x \in S_{n-1}$ be the permutation $x : \delta_i(j) \mapsto \delta_{v_i}(v_j)$ (that is, x is obtained from v by deleting v_i from v in one-line notation and shifting the remaining numbers appropriately). If (i, v_i) is not a spanning corner of $\Gamma[v, w_0]$, then $\Gamma[x, w_0] = \Gamma[v, w_0]_i^{v_i}$. Moreover, for all i,

(4.2)
$$\det(M|_{\Gamma[x,w_0]}) = \det(M|_{\Gamma[v,w_0]^{v_i}}).$$

The proofs of these propositions are quite technical and appear below Sections 7 and 8, respectively.

Theorem 4.15 Let $v \in S_n$ avoid 1324 and 2143, and let k be the size of the largest square in $\Gamma[v, w_0]$. For M k-positive, $(-1)^{\ell(v)} \det M|_{\Gamma[v, w_0]} > 0$.

Proof We proceed by induction on *n*; the base case n = 2 is clear.

Now, let n > 2. If $\Gamma[v, w_0]$ is a partition or a complement of a partition (that is, it has a single bounding box), we are done by Corollary 4.6 or 4.7. If it is block-antidiagonal (that is, w_0v is contained in some parabolic subgroup), then we are done by Corollary 4.9. So, we may assume that v has at least two bounding boxes and that adjacent bounding boxes have nonempty intersection (where bounding boxes are ordered as usual by the row of their northeast corner). Indeed, if adjacent bounding boxes have empty intersection, then the fact that $\Gamma[v, w_0]$ is contained in the union of bounding boxes (Lemma 4.11) implies that $\Gamma[v, w_0]$ is block-antidiagonal.

Because *v* avoids 1324 and 2143, the final two bounding boxes of $\Gamma[v, w_0]$ are of opposite color by Proposition 4.12. Without loss of generality, we assume the final box is red and the second to last box is blue. (Otherwise, we can consider the antidiagonal transpose of *M* restricted to $\Gamma[w_0v^{-1}w_0, w_0]$, which has the same determinant.)

This means the final box is \mathbf{B}_{n,v_n} , and the second to last box is \mathbf{B}_{a,v_a} , for some a < n with $1 < v_a < v_n$. We analyze the sign of det $M|_{\Gamma[v,w_0]}$ using Lewis Carroll's identity on rows $a, b := v^{-1}(1)$ and columns $1, c := v_a$. Note that a < b and 1 < c.



Figure 4: At the top, $\Gamma[v, w_0]$ for v = 62785314. On the bottom left, the region $\Gamma[x, w_0]$, where x = 5674213 is the permutation obtained by deleting 2 from the one-line notation of v and shifting remaining numbers appropriately. On the bottom right, the region $\Gamma[v, w_0]_3^2$. As you can see, the determinant of $M|_{\Gamma[x, w_0]}$ is the same as the determinant of $M|_{\Gamma[v, w_0]_3^2}$ for all 7×7 matrices M, illustrating Proposition 4.14.

We will consider the determinants appearing in Lewis Carroll's identity one by one, and show that they are of the form $det(N|_{\Gamma[u,w_0]})$ for some permutation *u*. Note that $(M|_{\Gamma[v,w_0]})_{j}^{i} = M_{j}^{i}|_{\Gamma[v,w_0]_{j}^{i}}$.

(1) Consider $(M|_{\Gamma[v,w_0]})_{a,b}^{1,c}$. Next, we show that the determinant of this matrix is equal to det $(M_{a,b}^{1,c}|_{\Gamma[x,w_0]})$, where x is the permutation obtained from v by deleting 1 and v_a from v in one-line notation and shifting remaining values to obtain a permutation of [n-2]. Indeed, (b,1) is a corner of $\Gamma[v,w_0]$ but not a spanning corner. By Proposition 4.14, we have that $\Gamma[v,w_0]_b^1 = \Gamma[u,w_0]$, where u is obtained from v by deleting 1 from v in one-line notation and shifting appropriately. So, we have

$$\begin{split} (M|_{\Gamma[\nu,w_0]})_{a,b}^{1,c} &= \left((M|_{\Gamma[\nu,w_0]})_b^1 \right)_a^{c-1} \\ &= \left(M_b^1|_{\Gamma[u,w_0]} \right)_a^{c-1} \\ &= M_{a,b}^{1,c}|_{\Gamma[u,w_0]_a^{c-1}}. \end{split}$$

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Figure 5: An illustration of (2) in the proof of Theorem 4.15. Let v = 62785314; $\Gamma[v, w_0]$ is shown with bounding boxes at the top of Figure 4. In this case, a = c = 2, b = 7, w = 728963145 and y = 5674123. On the top is $\Gamma[w, w_0]$. On the bottom left is $\Gamma[v, w_0]_a^1$, which is equal to $\Gamma[w, w_0]_{a,b}^{1,c}$. On the bottom right is $\Gamma[y, w_0]$.

Note that $u_a = c - 1$ and deleting c - 1 from the one-line notation of u gives x. So, taking determinants and applying Proposition 4.14 gives the desired equality.

Note that $\ell(x) = \ell(v) - a - b - c + 4$. Indeed, 1 is involved in exactly b - 1 inversions of v. Because there are no (j, v_j) northwest of (a, c), a is involved in exactly a + c - 2 inversions of v: each of the c - 1 columns to the left of column c contains a dot (j, v_j) southwest of (a, c), and each of the a - 1 rows above (a, c) contains a dot (j, v_j) northeast of (a, c). We have counted the inversion $\langle 1, a \rangle$ twice, so deleting 1 and c from v deletes (b - 1) + (a + c - 2) - 1 inversions.

(2) Consider $(M|_{\Gamma[v,w_0]})_a^1$. The determinant of this matrix is equal to the determinant of $M_a^1|_{\Gamma[y,w_0]}$, where *y* is obtained from *v* by adding $v_n + 1$ to the end of *v* in one-line notation and shifting appropriately to get *w*, then deleting 1 and v_a from *w* and shifting values to obtain a permutation of [n-1].

To see this, first note that there are no pairs (i, v_i) with n > i > b and $v_i > v_n$; such a pair would mean that $v_a v_i v_n$ form a 2143 pattern, which is impossible. There are also no pairs (i, v_i) with i < a and $v_i < v_n$. Indeed, because (a, v_a) is a corner, we would have to have $v_a < v_i < v_n$. This means we would have a red

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bounding box \mathbf{B}_{j,v_j} following \mathbf{B}_{a,v_a} with a < j and $v_n < v_j$. Then, $v_i v_a v_b v_n$ would form a 2143 pattern. This implies that the number of elements of $\Gamma[v, w_0]$ in row band in row n are the same. Similarly, the number of elements of $\Gamma[v, w_0]$ in column c and in column v_n are the same. So, to obtain $\Gamma[w, w_0]$ from $\Gamma[v, w_0]$, add a copy of row b of $\Gamma[v, w_0]$ below the last row, a copy of column c to the right of the v_n th row, and a dot in position $(n + 1, v_{n+1})$. It follows that $\Gamma[w, w_0]_{a,b}^{1,c} = \Gamma[v, w_0]_a^1$.

One can check that w (and thus y) avoid 2143 and 1324. A similar argument to (1) shows that the determinant of $M|_{\Gamma[w,w_0]_{a,b}^{1,c}}$ is equal to the determinant of $M_a^1|_{\Gamma[y,w_0]}$.

This case is shown in Figure 5. Note that $\ell(y) = \ell(v) - a - b - c + 4 + n - v_n$. (3) Consider $(M|_{\Gamma[v,w_0]})_b^c$. The determinant of this matrix is equal to the determinant of $M_b^c|_{\Gamma[z,w_0]}$, where z is obtained from v by deleting v_n from v in one-line notation and shifting as necessary. This follows from the fact that $\Gamma[v,w_0]$ has the same number of dots in rows b and n, and in columns c and v_n (proved in (2)). So, $\Gamma[v,w_0]_b^c = \Gamma[v,w_0]_n^{v_n}$. The claim follows from Proposition 4.14 applied to $M|_{\Gamma[v,w_0]_n^{v_n}}$.

Note that $\ell(z) = \ell(v) - (n - v_n)$.

- (4) Consider (M|_{Γ[v,w0]})¹_b. By Proposition 4.14, the determinant of this matrix is equal to the determinant of M¹_b|_{Γ[p,w0]}, where p is obtained from v by deleting 1 from v in one-line notation and shifting appropriately. Note that ℓ(p) = ℓ(v) − b + 1.
- (5) Consider $(M|_{\Gamma[v,w_0]})_a^c$. By Proposition 4.14, the determinant of this matrix is equal to the determinant of $M_a^c|_{\Gamma[q,w_0]}$, where *q* is obtained from *v* by deleting v_a from *v* in one-line notation and shifting appropriately.

Note that $\ell(q) = \ell(v) - c - a + 2$.

Notice that the permutations x, y, z, p, q listed above avoid 2143 and 1324. By induction, the determinant of $M_I^J|_{\Gamma[u,w_0]}$ has sign $\ell(u)$, and, in particular, is nonzero, so we know the signs of each determinant involved in Lewis Carroll's identity besides det M. Dividing both sides of Lewis Carroll's identity by det $(M|_{\Gamma[v,w_0]})_{a,b}^{1,c}$, we see that both terms on the right-hand side have sign $\ell(v)$. Thus, the right-hand side is nonzero and has sign $\ell(v)$, which completes the proof.

Taking this theorem with Corollary 3.4, we can now prove Theorem 4.2, and thus Theorem 1.3.

Proof of Theorem 4.2 By Corollary 3.4,

$$\operatorname{Imm}_{\nu}(M) = (-1)^{\ell(\nu)} \det M|_{\Gamma[\nu, w_0]}.$$

Let $k' \le k$ be the size of the largest square in $\Gamma[v, w_0]$. By Theorem 4.15, for M k'-positive, the right-hand side of this expression is positive. Any *k*-positive matrix is also k'-positive, so we are done.

Proof of Theorem 1.3 Let $v \in S_n$ is 1324-, 2143-avoiding. If, for all i < j with $v_i < v_j$, we have $j - i \le k$ or $v_j - v_i \le k$, then by Lemma 4.1, $\Gamma[v, w_0]$ does not contain a square of size k + 1. Thus, by Theorem 4.2, $\text{Imm}_v(M)$ is k-positive.

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The methods above give an elementary proof of the following, which is a special case of the results of Rhoades–Skandera on Kazhdan–Lusztig immanants evaluated on totally nonnegative matrices.

Corollary 4.16 Let $v \in S_n$ avoid 1324 and 2143. Then, $\text{Imm}_v(M) > 0$ for all *n*-positive (that is, "totally positive") $n \times n$ matrices M.

Proof If $v \in S_n$ then $\Gamma[v, w_0]$ has no square of size n + 1. So, by Theorem 1.3, if v avoids 1324 and 2143 and M is a totally positive $n \times n$ matrix, then $\text{Imm}_v(M) > 0$.

5 Pattern avoidance conditions

In this section, we investigate the relationship between the assumptions of Theorem 1.3 and pattern avoidance. First, we show that Theorem 1.3 supports Conjecture 1.2; that is, all permutations satisfying the assumptions of Theorem 1.3 also satisfy the assumptions of Conjecture 1.2.

Proposition 5.1 If $v \in S_n$ and, for all i < j with $v_i < v_j$, we have $j - i \le k$ or $v_j - v_i \le k$, then v avoids 12...(k + 1).

Proof Suppose $i_1 < i_2 < \cdots < i_{k+1}$ and $v_{i_1} < v_{i_2} < \cdots < v_{i_{k+1}}$. In other words, $v_{i_1}, v_{i_2}, \dots, v_{i_{k+1}}$ is an occurrence of the pattern $12\dots(k+1)$. Let *R* be the rectangle with corners at (i_1, v_{i_1}) , $(i_1, v_{i_{k+1}})$, $(i_{k+1}, v_{i_{k+1}})$, and (i_{k+1}, v_{i_1}) . Notice that *R* is at least of size $(k+1) \times (k+1)$. For all $(r, c) \in R$, (r, c) is sandwiched by $\langle i_1, i_{k+1} \rangle$, a noninversion. By Lemma 3.2, this means (r, c) is in $\Gamma[v, w_0]$. So, all of *R* is in $\Gamma[v, w_0]$ and there is a square of size k + 1 in $\Gamma[v, w_0]$. By Lemma 4.1, this means there is some noninversion $\langle i, j \rangle$ where j - i > k or $v_j - v_i > k$.

Next, we consider pattern avoidance conditions for a permutation v that are equivalent to the condition that $\Gamma[v, w_0]$ has no square of size k + 1, and thus equivalent to the condition that for all noninversions $\langle i, j \rangle$, we have $j - i \leq k$ or $v_j - v_i \leq k$. That is, we give a way to phrase the assumptions of Theorem 1.3 entirely in terms of pattern avoidance.

Proposition 5.2 Let $v \in S_n$. Then $\Gamma[v, w_0]$ contains a square of size k + 1 if and only if for all $k + 1 \le m \le 2k$, all patterns $u_1 \dots u_m$ occurring in v satisfy $u_{i+k} - u_i \ne k$ for all i.

Proof By Lemma 4.1, $\Gamma[v, w_0]$ contains a square of size k + 1 if and only if, for some noninversion $\langle i, j \rangle$, $j - i \ge k$ and $v_j - v_i \ge k$. We will show that the existence of such an inversion is equivalent to the statement about patterns in the proposition.

Suppose for some noninversion (i, j) of $v, j - i \ge k$ and $v_j - v_i \ge k$. Now, take

$$L := \{v_i + 1, \dots, v_i - 1\} \cap \{v_{i+1}, \dots, v_{i-1}\}.$$

If *L* has at least k - 1 elements, let S = T be any (k - 1)-element subset of *L*. Otherwise, let *S* be any (k - 1)-element subset of $\{v_i + 1, \ldots, v_j - 1\}$ containing *L* and let *T* be any (k - 1)-element subset of $\{v_{i+1}, \ldots, v_{j-1}\}$ containing *L*. Notice that $S \cup T$ contains exactly k - 1 numbers between v_i and v_j and exactly k - 1 numbers that lie to the right of v_i and to the left of v_j in v. Now, we consider the pattern formed by $S \cup T \cup \{v_i, v_j\}$ in v; say this pattern is $u_1 \ldots u_m$. By construction, m is between k + 1 and 2k. Say u_r corresponds to v_i . By construction, u_{r+k} corresponds to v_i and $u_{r+k} - u_r = k$.

Now, let $u_1 \dots u_m$ with $k + 1 \le m \le 2m$ be a pattern such that $u_{r+k} - u_r = k$ for some r. If this pattern appears in v, let v_i correspond to u_r and v_j correspond to u_{r+k} . Note that $\langle i, j \rangle$ is a noninversion of v. To pass from u to v, we insert additional numbers and increase existing ones, so $j - i \ge k$ and $v_j - v_i \ge k$.

Proposition 5.3 If v is 1324-, 2143-avoiding and there is a square of size r in $\Gamma[v, w_0]$, then there is a square of size r that has a spanning corner of $\Gamma[v, w_0]$ as either its most northwestern or most southeastern box.

Proof Let *Q* be a square of size *r* in $\Gamma[v, w_0]$. Without loss of generality, *Q* lies on or above the antidiagonal (the argument is analogous if the northeast corner of *Q* lies on or below the antidiagonal). Then, by Lemma 3.2, the northwest corner of *Q* is either (i, v_i) or it is sandwiched by some noninversion $\langle i, j \rangle$. In either case, **B**_{*i*,*v*_{*i*} contains all of *Q*. Because **B**_{*i*,*v*_{*i*} is either a bounding box or contained in a bounding box, *Q* must be contained in a single bounding box.}}

Now, we can assume Q is contained within the bounding box \mathbf{B}_{i,v_i} . If \mathbf{B}_{i,v_i} is blue, then there is some $(j, v_j) \in \Gamma(v)$ such that either (j, v_j) is the southeast corner Q or $\langle i, j \rangle$ is a noninversion that sandwiches all of Q. Consider the square of size r with northwest corner (i, v_i) . Everything in this square is in $\Gamma(v)$ or is sandwiched by $\langle i, j \rangle$. Thus, by Lemma 3.2, this square is in $\Gamma[v, w_0]$. Similarly, if the bounding box is red, then the square of size r with southeast corner (i, v_i) is in $\Gamma[v, w_0]$.

Taking these two propositions together, we can rewrite Theorem 1.3 fully in terms of pattern avoidance conditions.

Theorem 5.4 Let $v \in S_n$ avoid 1324, 2143, and all patterns u of length $k + 1 \le m \le 2k$ where $u_1 = 1$ and $u_{k+1} = k + 1$ or where $u_m = m$ and $u_{m-k} = m - k$. Then, Imm_v is *k*-positive.

Proof Suppose $v \in S_n$ avoids 1324 and 2143 and $\Gamma[v, w_0]$ contains a square of size k + 1. Then, by Proposition 5.3, $\Gamma[v, w_0]$ contains a square of size k + 1 that has a spanning corner as either its most northwestern or most southeastern box. From the proof of Proposition 4.1, this means there is a square of size k + 1 in $\Gamma[v, w_0]$ sandwiched by the noninversion $\langle i, j \rangle$ with either *i* or *j* a spanning corner. Let $u = u_1 \dots u_m$ be the pattern constructed in Proposition 5.2 with $u_{\ell+k} - u_{\ell} = k$. If *i* is a spanning corner, then $\ell = 1$ and $u_{\ell} = 1$, and if *j* is a spanning corner, then $\ell + k = m$ and $u_{\ell+k} = m$.

Thus, if *v* avoids 1324 and 2143 as well as all patterns *u* of length $k + 1 \le m \le 2k$ where $u_1 = 1$ and $u_{k+1} = k + 1$ or where $u_m = m$ and $u_{m-k} = m - k$, then $\Gamma[v, w_0]$ contains no square of size k + 1. By Theorem 4.2, Imm_v is *k*-positive.

Remark 5.5 We note that some of the pattern avoidance conditions in Theorem 5.4 are repetitive, as some patterns listed contain others. For example, if k = 2, the patterns listed include both 123 and 1324. So, one can check that v satisfies the hypotheses of Theorem 5.4 by checking it avoids a somewhat smaller list of patterns.

We get the following immediate corollary from Theorem 5.4.

Corollary 5.6 *Let* $v \in S_n$ *avoid* 123, 2143, 1432, and 3214. Then, $Imm_v(M)$ is 2-positive.

However, analogous statements for k > 2 are difficult to state. The larger k is the more patterns need to be avoided in order to mandate that $\Gamma[v, w_0]$ has no square of size k + 1. We illustrate with the following example.

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Example 5.7 Let *v* be 1324-, 2143-avoiding. Then, $\Gamma[v, w_0]$ does not have a square of size 4, if and only if *v* also avoids the following patterns: 1234, 15243, 15342, 12543, 13542, 32415, 42315, 32145, 42135, 165432, 156432, 165423, 156423, 543216, 543216, 543216, 543126, 453126.

Due to the number of patterns to be avoided, statements analogous to Corollary 5.6 for larger k seem unlikely to be useful.

6 Final remarks

In this section, we give some additional motivation and context for Question 1.1. For an arbitrary reductive group *G*, Lusztig [L94] defined the totally positive part $G_{>0}$ and showed that elements of the dual canonical basis of $\mathcal{O}(G)$ are positive on $G_{>0}$. Fomin and Zelevinsky [FZ00] later showed that for semisimple groups, $G_{>0}$ is characterized by the positivity of generalized minors, which are dual canonical basis elements corresponding to the fundamental weights of *G* and their images under Weyl group action. Note that the generalized minors are a finite subset of the (infinite) dual canonical basis, but their positivity guarantees the positivity of all other elements of the basis.

In the case we are considering, $G = GL_n(\mathbb{C})$, $G_{>0}$ consists of the totally positive matrices and generalized minors are just ordinary minors. Skandera [S08] showed that Kazhdan–Lusztig immanants are part of the dual canonical basis of $\mathcal{O}(GL_n(\mathbb{C}))$, which gives another perspective on their positivity properties. (In fact, Skandera proved that every dual canonical basis element is, up to a power of det⁻¹, a Kazhdan– Lusztig immanant evaluated on matrices with repeated rows and columns.) In light of these facts, Question 1.1 becomes a question of the following kind.

Question 6.1 Suppose some finite subset S of the dual canonical basis is positive on $M \in G$. Which other elements of the dual canonical basis are positive on M? In particular, what if S consists of the generalized minors corresponding to the first k fundamental weights and their images under the Weyl group action?

These questions have a similar flavor to positivity tests arising from cluster algebras, which is different than the approach we take here. The coordinate ring of GL_n is a cluster algebra, with some clusters given by double wiring diagrams [B05]. The minors are cluster variables. If we restrict our attention to the minors of size at most k in the clusters for GL_n , we obtain a number of subcluster algebras, investigated by the first author in [B17]. The cluster monomials in those subalgebras will be positive on k-positive matrices. Thus, we could ask the following to find more permutations v where Imm_v is k-positive.

Question 6.2 When is Imm_{v} a cluster monomial in $\mathcal{O}(GL_{n}(\mathbb{C}))$? When is Imm_{v} a cluster monomial in a *k*-positivity cluster subalgebra from [B17]?

Interestingly, the Kazhdan–Lusztig immanants of 123-, 2143-, 1423-, and 3214avoiding permutations do appear in subcluster algebras of this kind for k = 2. In general, however, it is not known if Imm_{v} is a cluster variable in the cluster structure on GL_n , or in the subcluster algebras using only minors of size at most k. It is conjectured that cluster monomials form a (proper) subset of the dual canonical basis, so the cluster algebra approach would at best provide a partial answer to Question 6.1.

7 Proof of Proposition 4.12

In order to simplify the proofs in the next two sections, we will consider the graphs of lower intervals [e, w] rather than upper intervals $[v, w_0]$. As the next lemma shows, the two are closely related.

Lemma 7.1 Let $v \in S_n$. Then, $\Gamma[v, w_0] = \{(i, w_0(j)) : (i, j) \in \Gamma[e, w_0v]\}$. In other words, $\Gamma[v, w_0]$ can be obtained from $\Gamma[e, w_0v]$ by reversing the columns of the $n \times n$ grid.

Proof This follows immediately from the fact that left multiplication by w_0 is an antiautomorphism of the Bruhat order.

Because left-multiplication by w_0 takes noninversions to inversions, we have an analogue of Lemma 3.2 for $\Gamma[e, w]$.

Lemma 7.2 Let $w \in S_n$. Then, $\Gamma[e, w] = \Gamma(w) \cup \{(i, j) \in [n]^2 : (i, j) \text{ is sandwiched by an inversion of } w\}$.

Left-multiplication by w_0 takes the antidiagonal to the diagonal, so we also have an analogue of bounding boxes and Lemma 4.11.

Definition 7.1 Let $w \in S_n$. Define $B_{i,w_i} \subseteq [n]^2$ to be the square region with corners $(i, i), (i, w_i), (w_i, i), (w_i, w_i)$. We call B_{i,w_i} a *bounding box* of $\Gamma[e, w]$ if it is not properly contained in any B_{j,w_j} . In this situation, we call (i, w_i) a *spanning corner* of $\Gamma[e, w]$. We denote the set of spanning corners of $\Gamma[e, w]$ by \overline{S} .

Lemma 7.3 Let $w \in S_n$. Then,

$$\Gamma[e,w] \subseteq \bigcup_{(i,w_i)\in\overline{S}} B_{i,w_i}.$$

Definition 7.2 A bounding box B_{i,w_i} is colored *red* if $i > w_i$, *green* if $i = w_i$, and *blue* if $i < w_i$. If $w^{-1}(i) = w_i$ (so that both (i, w_i) and (w_i, i) are spanning corners), then the bounding box $B_{i,w_i} = B_{w_i,i}$ is both red and blue. If a bounding box is both red and blue, we also call it *purple*.

Remark 7.4 Note that if $w = w_0 v$, then \mathbf{B}_{i,v_i} is a bounding box of $\Gamma[v, w_0]$ if and only if B_{i,w_i} is a bounding box of $\Gamma[e, w]$. Furthermore, $\mathbf{B}_{i,v_i} = \{(r, w_0(c)) : (r, c) \in B_{i,w_i}\}$ and \mathbf{B}_{i,v_i} has the same color as B_{i,w_i} .

We introduce one new piece of terminology.

Definition 7.3 Let $w \in S_n$. The span of (i, w_i) , denoted by $\sigma(i, w_i)$, is $[i, w_i]$ if $i \le w_i$ and is $[w_i, i]$ otherwise. We say (i, w_i) spans (j, w_j) if $\sigma(i, w_i)$ contains $\sigma(j, w_j)$ (equivalently, if B_{i,w_i} contains B_{j,w_i}).

Rather than proving Proposition 4.12 directly, we instead prove the following proposition.

Proposition 7.5 Suppose $w \in S_n$ avoids 3412 and is not contained in a maximal parabolic subgroup of S_n . Order the bounding boxes of $\Gamma[e,w]$ by the row of the northwest corner. If $\Gamma[e,w]$ has more than one bounding box, then they alternate between blue and red and there are no purple bounding boxes.

The first step to proving this is analyzing the bounding boxes of $\Gamma[e, w]$ when *w* is a 321- and 3412-avoiding permutation. We will need the following result of [T07] and a lemma.

Proposition 7.6 [T07, Theorem 5.3] Let $w \in S_n$. Then, w avoids 321 and 3412 if and only if, for all $a \in [n-1]$, s_a appears at most once in every (equivalently, any) reduced expression for w.

Lemma 7.7 Suppose $w \in S_n$ avoids 321 and 3412. If, for some $i \in [n]$, $w^{-1}(i) = w_i$, then $|i - w_i| = 1$.

Proof Suppose $w^{-1}(i) = w_i$, and let *t* be the transposition sending *i* to w_i , w_i to *i*, and fixing everything else. We can assume that $i < w_i$. We compare *w* and *t* in the Bruhat order by comparing w[j] and t[j] for $j \in [n]$.

For two subsets $I = \{i_1 < \cdots < i_r\}$, $K = \{k_1 < \cdots < k_r\}$, we say $I \le J$ if $i_j \le k_j$, for all $j \in [r]$. For two permutations $v, w \in S_n$, we have $v \le w$ if and only if for all $j \in [n]$, $v[j] \le w[j]$ [BB05, Theorem 2.6.1].

For j < i and $j \ge w_i$, t[j] = [1, j], and so clearly $t[j] \le w[j]$. For $i \le j < w_i$, $t[j] = [1, i-1] \cup [i+1, j] \cup \{w_i\}$. Let $t[j] = \{a_1 < a_2 < \cdots < a_j\}$ and $w[j] = \{b_1 < b_2 < \cdots < b_j\}$. Notice that $a_j = w_i$ and $w_i \in w[j]$, so we definitely have that $a_j \le b_j$. For the other inequalities, suppose $w[j] \cap [1, i] = \{b_1, \ldots, b_r\}$. Because *i* is not in w[j], $r \le i-1$. This implies that $b_k \le a_k$, for $k \le r$. It also implies that $a_{r+k} \le i + k$ and $b_{r+k} \ge i + k$, for r + k < j, which establishes the remaining inequalities. Thus, $w \ge t$.

Because $w \ge t$, every reduced expression for *w* has a reduced expression for *t* as a subexpression. Thus, Proposition 7.6 implies *t* must have a reduced expression in which each simple transposition appears once.

Now, *t* has a reduced expression which is a palindrome; it is length 2c + 1 and contains at most c + 1 simple transpositions. Every reduced expression of *t* has the same length and contains the same set of simple transpositions. So, if c > 0, in each reduced expression, some simple transposition appears twice. We conclude that $\ell(t) = 1$ and $|i - w_i| = 1$.

Proposition 7.8 Suppose $w \in S_n$ avoids 321 and 3412 and is not contained in any maximal parabolic subgroup. Order the bounding boxes of $\Gamma[e, w]$ by the row of their northwest corner. Then, no bounding boxes of $\Gamma[e, w]$ are green or purple, and they alternate between red and blue.

Proof If a bounding box B_{i,w_i} is green, then by definition $i = w_i$. The corner (i, i) has maximal span, which implies there are no (j, w_j) with j < i and $w_j > i$. In other words, w[i-1] = [i-1], which would contradict the assumption that w is not contained in a maximal parabolic subgroup.

There are also no spanning corners of the form (i, i + 1). Indeed, if (i, i + 1) were a spanning corner, then there are no (j, w_j) with $j \le i - 1$ and $w_j > i + 1$ or with $j \ge i + 1$

and $w_j \le i - 1$. The latter implies that $w_{i+1} = i$, which, together with the first inequality, implies w[i-1] = [i-1], a contradiction. By Lemma 7.7, if a bounding box B_{i,w_i} is purple, then $|i - w_i| = 1$. This implies a spanning chord of the form (k, k + 1), which is impossible, so there are no purple bounding boxes.

So, all bounding boxes are either blue or red. Suppose the bounding box B_{i,w_i} is followed by B_{j,w_j} in the ordering specified in the proposition. We suppose for the sake of contradiction that they are the same color. Without loss of generality, we may assume that they are blue, so $i < w_i$ and $j < w_j$. Otherwise, we consider w^{-1} instead of w. (By Proposition 7.6, w^{-1} is also 321- and 3412-avoiding. The span of (i, w_i) and $(w_i, w^{-1}(w_i))$ are the same, so the bounding boxes of $\Gamma[e, w^{-1}]$ are the same as the bounding boxes of $\Gamma[e, w]$, but with opposite color.)

Because B_{j,w_j} follows B_{i,w_i} , there are no pairs (k, w_k) with k < j and $w_i < w_k$. Indeed, such a pair is spanned by neither (i, w_i) nor (j, w_j) , so its existence would imply the existence of a bounding box between B_{i,w_i} and B_{j,w_j} or enclosing one of them, both of which are contradictions. In other words, $w[j-1] \subseteq [w_i]$, so we must have $j-1 \le w_i$. If $j = w_i + 1$, then $w[w_i] \subseteq [w_i]$, a contradiction. So, we have $i < j \le w_i < w_j$.

Now, consider the reduced expression for w obtained by starting at the identity, moving w_1 to position 1 using right multiplication by s_a 's, then moving w_2 to position 2 (if it is not already there) using right multiplication, etc. Note that when w_k is moved to position k, no numbers greater than w_k have moved. In addition, once w_k is in position k, it never moves again. Suppose w_{i-1} has just moved to position i - 1. Because (i, w_i) is a spanning corner, we have not moved any numbers larger than w_i . In other words, k is currently in position k for $k \ge w_i$; in particular, w_i is in position w_i . Now, to move w_i to position i, we must use the transpositions $s_{w_i-1}, s_{w_i-2}, \ldots, s_{i+1}, s_i$ in that order. By Proposition 7.6, each simple transposition can only be used once in this reduced expression for w. Thus, these simple transpositions have not been used before we move w_i to position i, so in fact k is in position k for k > i before we move w_i to position i.

Now, we move w_i to position *i*. Because s_{w_i-1}, \ldots, s_i will never be used again in the expression for *w*, we conclude that $w_{i+1}, \ldots, w_{w_i-1}$ are already in positions $i + 1, \ldots, w_i - 1$. Note also that the number currently in position w_i is $w_i - 1$, because $|i - w_i| > 1$.

Because $w_j > w_i$, w_j is not yet in position j. This implies that $j \ge w_i$. We already had that $j \le w_i$, so in fact they are equal. So, after w_i has moved to position i, w_j is the next number not yet in the correct position. Recall that for $k > w_i$, k is still in position k. So, to move w_j to position w_i , we use $s_{w_j}, s_{w_j-1}, \ldots, s_j$ in that order (because $|j - w_j| > 1$, s_{j+1} is on this list of transpositions). Notice that the number in position j, which is $w_i - 1$, moves to position j + 1. We cannot use s_j or s_{j+1} again, so $w_i - 1$ must be w_{j+1} . However, the pair $(j + 1, w_i - 1)$ satisfies j + 1 > j and $w_i - 1 < w_i$, so it is spanned by neither (i, w_i) nor (j, w_j) . Say $(j + 1, w_i - 1)$ is spanned by the spanning corner (a, w_a) . Then, (a, w_a) spans neither of (i, w_i) , (j, w_j) , which implies $i < \min(a, w_a) < j < \max(a, w_a) < w_j$. This means exactly that the bounding box order is $B_{i,w_i}, B_{a,w_a}, B_{j,w_i}$, a contradiction.

The next proposition allows us to extend Proposition 7.8 to all permutations avoiding 3412.

Recall that $\delta_i : [n] \setminus \{i\} \to [n-1]$ is defined as

$$\delta_i(j) = \begin{cases} j & j < i, \\ j-1 & j > i. \end{cases}$$

Proposition 7.9 Suppose $w \in S_n$ avoids 3412, and let (i, w_i) be a noncorner of $\Gamma[e, w]$. Let $u \in S_{n-1}$ be the permutation $\delta_i(j) \mapsto \delta_{w_i}(w_j)$. Let \overline{S}_w and \overline{S}_u denote the spanning corners of $\Gamma[e, w]$ and $\Gamma[e, u]$, respectively.

- (1) The pair $(j, w_i) \in \overline{S}_w$ if and only if $(\delta_i(j), \delta_{w_i}(w_i)) \in \overline{S}_u$.
- (2) The map $\beta : \{B_{j,w_j} : (j,w_j) \in \overline{S}_w\} \to \{B_{k,u_k} : (k,u_k) \in \overline{S}_u\}$ defined by $B_{j,w_j} \mapsto B_{\delta_i(j),\delta_{w_i}(w_j)}$ is a color-preserving bijection.
- (3) Order the bounding boxes of Γ[e, w] according to the row of their northwest corner. Then, β also preserves this ordering.

Proof Let α_a denote the inverse of δ_a , so that w sends $\alpha_i(j)$ to $\alpha_{u_i}(w_j)$ (because (i, w_i) is a noncorner, $i, w_i \neq n$ and this is well defined). Note that δ_a and α_a are order-preserving. Let $\delta := \delta_i \times \delta_{w_i}$ and $\alpha := \alpha_i \times \alpha_{w_i}$.

We first show that δ is a bijection from the noncorner pairs (j, w_j) of $\Gamma[e, w]$ with $j \neq i$ to the noncorner pairs (k, u_k) of $\Gamma[e, u]$. Recall that (j, w_j) is a noncorner of $\Gamma[e, w]$ if and only if (j, w_j) is sandwiched by an inversion of w. Moreover, every noncorner pair (j, w_j) is sandwiched by an inversion $\langle k, l \rangle$ where (k, w_k) and (l, w_l) are corners of $\Gamma[e, w]$. Indeed, choose the smallest k such that $\langle k, j \rangle$ is an inversion and the largest l such that $\langle j, l \rangle$ is an inversion. Then, (k, w_k) and (l, w_l) are both corners.

Let $j \neq i$ and suppose (j, w_j) is sandwiched by an inversion $\langle k, l \rangle$ of w. We can choose k, l, so that (k, w_k) and (l, w_l) are corners of $\Gamma[e, w]$; in particular, neither is equal to *i*. Because δ_a is order-preserving, $\langle \delta_i(k), \delta_i(l) \rangle$ is an inversion of u and sandwiches $\delta(j, w_j)$. Similarly, if (j, u_j) is sandwiched by an inversion $\langle k, l \rangle$ of u, then $\alpha(j, u_j)$ is sandwiched by the inversion $\langle \alpha_i(k), \alpha_i(l) \rangle$ of w. As δ and α are inverses, we are done.

This also implies that δ is a bijection from the corner pairs (j, w_j) of $\Gamma[e, w]$ to the corner pairs (k, u_k) of $\Gamma[e, u]$.

Next, we show that δ respects containment of spans for corner pairs of *w*.

Suppose $\sigma(k, w_k)$ is contained in $\sigma(j, w_j)$ and both pairs are corners of $\Gamma[e, w]$. We may assume that $k \neq j$ (otherwise, δ clearly respects containment of spans) and that $j < w_j$ (otherwise, we can consider instead w^{-1} , which avoids 3412 also, and u^{-1}). By assumption, $k, w_k \in [j, w_j]$, so $\delta_i(k)$ and $\delta_{w_i}(w_k)$ are in $[j - 1, w_j]$. We have

$$\sigma(\delta_i(j), \delta_{w_i}(w_j)) = \begin{cases} [j, w_j] & \text{if } j < i, w_j < w_i, \quad (\text{Case I}) \\ [j, w_j - 1] & \text{if } j < i, w_j > w_i, \quad (\text{Case II}) \\ [j - 1, w_j] & \text{if } j > i, w_j < w_i, \quad (\text{Case III}) \\ [j - 1, w_j - 1] & \text{if } j > i, w_j > w_i. \quad (\text{Case IV}) \end{cases}$$

Case I: The only way $\sigma(\delta(j, w_j))$ could fail to contain $\sigma(\delta(k, w_k))$ here is if $j - 1 \in {\delta_i(k), \delta_{w_i}(w_k)}$. If $j - 1 = \delta_i(k)$, then k = j, a contradiction. If $j - 1 = \delta_{w_i}(w_k)$, then $w_k = j$ and $w_k > w_i$. But $w_k < w_j$ and $w_j < w_i$ by assumption, so we reach a contradiction.

Case II: The only way $\sigma(\delta(j, w_j))$ could fail to contain $\sigma(\delta(k, w_k))$ here is if j - 1 or w_j is in $\{\delta_i(k), \delta_{w_i}(w_k)\}$. We will show that w_j is not in $\{\delta_i(k), \delta_{w_i}(w_k)\}$ by contradiction; the other case is similar.

Suppose $w_j \in {\delta_i(k), \delta_{w_i}(w_k)}$. Because $w_k < w_j$, we must have $w_j = \delta_i(k)$, which means $k = w_j$ and k < i. Notice that (j, k) is an inversion of w, as is (j, i). Because (k, w_k) is not sandwiched by any inversions of w, we must have $w_k < w_i$. To summarize, j < k < i and $w_k < w_i < w_j$. This means $w_j w_k w_i$ form a 312 pattern in w.

Note that *w* cannot have any inversions $\langle a, j \rangle$ or $\langle k, a \rangle$, because this would result in (j, w_j) or (k, w_k) , respectively, being sandwiched by an inversion. We further claim that if *a* forms an inversion with *k* and *i*, then it must also form an inversion with *j*. Indeed, if *a* forms an inversion with *k* and *i*, then a < k < i and $w_a > w_i > w_k$. If j < a and $w_j < w_a$, then $w_j w_a w_k w_i$ form a 3412 pattern; similarly if j > a and $w_j > w_a$.

Consider a < j. From above, we know that $\langle a, j \rangle$ is not an inversion. If $w_a > w_i$, then $\langle a, i \rangle$ and $\langle a, k \rangle$ are both inversions. This combination is impossible, so $w[j-1] \subseteq [w_i - 1]$. In addition, any $a \in [j+1, k-1]$ with $w_a > k$ forms an inversion with k and i but not j, which is impossible. So, $w[j+1, k-1] \subseteq [k]$. Because $w_j = k$ and $w_k < w_i < k$, we conclude w[k] = [k]. But i > k and $w_i < k$, a contradiction.

Case III: The span of $\delta(k, w_k)$ is contained in $[j-1, w_j]$ by assumption.

Case IV: The only way $\sigma(\delta(j, w_j))$ could fail to contain $\sigma(\delta(k, w_k))$ here is if $w_j \in \{\delta_i(k), \delta_{w_i}(w_k)\}$. The argument that this cannot happen is similar to Case I; we leave it to the reader.

Finally, we will show that α respects span containment for corner pairs of u. This completes the proof of (1): suppose (j, w_j) is a spanning corner of $\Gamma[e, w]$ and $\sigma(\delta(j, w_j)) \subseteq \sigma((a, u_a))$ for a spanning corner (a, u_a) . Note that $\delta(j, w_j)$ is a corner. Because α respects span containment for corners, $\sigma(j, w_j) \subseteq \sigma(\alpha(a, u_a))$. By maximality of $\sigma(j, w_j)$, we have $\sigma(j, w_j) = \sigma(\alpha(a, u_a))$. In particular, $\sigma(\alpha(a, u_a)) \subseteq \sigma(j, w_j)$, so because δ preserves span containment for corners, the span of (a, u_a) is contained in the span of $\delta(j, w_j)$. So, $\delta(j, w_j)$ is a spanning corner of $\Gamma[e, u]$. Reversing the roles of w and u in the above argument shows that $\alpha(j, u_j)$ is a spanning corner of $\Gamma[e, w]$ if (j, u_j) is a spanning corner of $\Gamma[e, u]$. So, δ is a bijection between the spanning corners of $\Gamma[e, w]$ and the spanning corners of $\Gamma[e, u]$.

Suppose $\sigma(k, u_k)$ is contained in $\sigma(j, u_j)$ and both pairs are corners of $\Gamma[e, u]$. Again, we may assume that $k \neq j$ (otherwise, δ clearly respects containment of spans) and that $j < u_j$ (otherwise, consider instead w^{-1} , which avoids 3412, and u^{-1}). By assumption, $k, u_k \in [j, u_j]$, so $\alpha_i(k)$ and $\alpha_{w_i}(u_k)$ are in $[j, u_j + 1]$. We have

$$\sigma(\alpha_i(j), \alpha_{w_i}(u_j)) = \begin{cases} [j, u_j] & \text{if } j < i, u_j < w_i, \quad (\text{Case I'}) \\ [j, u_j + 1] & \text{if } j < i, u_j \ge w_i, \quad (\text{Case II'}) \\ [j + 1, u_j] & \text{if } j \ge i, u_j < w_i, \quad (\text{Case III'}) \\ [j + 1, u_j + 1] & \text{if } j \ge i, u_j \ge w_i. \quad (\text{Case IV'}) \end{cases}$$

Case I': The only way $\sigma(\alpha(k, u_k))$ could fail to be contained in $\sigma(\alpha(j, u_j))$ is if $u_j + 1 \in {\alpha_i(k), \alpha_{w_i}(u_k)}$. Suppose that this occurs. Because $u_k < u_j$, we must have $k = u_j$ and $k \ge i$. In addition, $u_k < u_j < w_i$. So, $\alpha(k, u_k) = (k + 1, u_k)$ and $\alpha(j, u_j) = (j, u_j)$. To summarize, we have j < i < k + 1 and $w_{k+1} < w_j < w_i$. So, $w_j w_i w_{k+1}$ form a 231 pattern.

Because $(k + 1, w_k)$ and (j, w_j) are corners and are not sandwiched by any inversions, w has no inversions of the form (a, j) or (k + 1, a). In addition, any a forming an inversion with i and k + 1 but not j would give rise to a 3412 pattern, so no such a exist.

Consider a > k + 1. If $w_a < w_j$, then *a* would either form an inversion with k + 1, which is impossible, or *a* would form an inversion with both *i* and *j* but not k + 1, which is also impossible. So, $w[k + 2, n] \subseteq [w_j, n]$. Because j < k + 2 and $w_j = k$, in fact, $w[k + 2, n] \subseteq [k + 1, n]$. Notice that $w_i \ge k + 1$ and i < k + 1, so we can refine this further to $w[k + 2, n] = [k + 1, n] \setminus \{w_i\}$. But (i, w_i) is sandwiched by some inversion $\langle a, b \rangle$, so a < i < k + 1 and $w_a > w_i \ge k + 1$. This is clearly a contradiction.

Case II': By assumption, $\sigma(\alpha(k, u_k)) \subseteq [j, u_j + 1]$, so the claim is true.

Case III': The only way $\sigma(\alpha(k, u_k))$ could fail to be contained in $\sigma(\alpha(j, u_j))$ is if *j* or $u_j + 1$ were in $\{\alpha_i(k), \alpha_{w_i}(u_k)\}$. Suppose that $j \in \{\alpha_i(k), \alpha_{w_i}(u_k)\}$. Because k > j, this means $u_k = j = \alpha_{w_i}(u_k)$ and $w_i > u_k$. So, $\alpha(k, u_k) = (k + 1, u_k)$ and we have i < j + 1 < k + 1 and $w_{k+1} < w_{j+1} < w_i$. This means that $(j + 1, w_{j+1})$ is sandwiched by the inversion (i, k + 1), a contradiction. The other case is similar.

Case IV: The only way $\sigma(\alpha(k, u_k))$ could fail to be contained in $\sigma(\alpha(j, u_j))$ is if $j \in {\alpha_i(k), \alpha_{w_i}(u_k)}$. This is similar to Case I', so we leave it to the reader.

For (2): The map

$$\beta : \{B_{j,w_j} : (j,w_j) \in \overline{S}_w\} \to \{B_{k,u_k} : k = 1, \dots, n\}$$
$$B_{j,w_j} \mapsto B_{\delta_i(j),\delta_{w_i}(w_j)}$$

is well defined and injective, because α and δ preserve span containment (for corners) and thus also preserve equality of spans (for corners). So, $B_{\delta_i(j),\delta_{w_i}(w_j)} = B_{\delta_i(k),\delta_{w_i}(w_k)}$ if and only if $B_{j,w_j} = B_{k,w_k}$. Its image is the bounding boxes of $\Gamma[e, u]$, because δ is a bijection between spanning corners of $\Gamma[e, w]$ and spanning corners of $\Gamma[e, u]$.

We will show β preserves the colors of the boxes by contradiction. Suppose the color of $\beta(B_{j,w_j})$ differs from the color of B_{j,w_j} . This situation means that the relative order of j, w_j must be different from that of $\delta_i(j), \delta_{w_i}(w_j)$. This can only happen if min (j, w_j) is not shifted down by δ_a (for the appropriate $a \in \{i, w_i\}$), max (j, w_j) is shifted down by δ_b (for $b \in \{i, w_i\} \setminus \{a\}$) and $|j - w_j| \le 1$. That is, min $(j, w_j) < a$ and max $(j, w_j) > b$. If $j = w_j$, this implies (j, w_j) is spanned by (i, w_i) , a contradiction. Otherwise, this implies (i, w_i) is spanned by (j, w_j) . Because $|j - w_j| = 1$, the only possibility for this is that $i = w_j$ and $w_i = j$, so the spans are equal. But (j, w_j) is a corner and (i, w_i) is not, a contradiction.

This means β sends green bounding boxes to green bounding boxes, blue to blue, and red to red. It also sends purple to purple: suppose (j, w_j) is a spanning corner and B_{j,w_j} is purple. Then, (w_j, j) is also a spanning corner of $\Gamma[e, w]$ and is not equal to (j, w_j) . Because the span of (j, w_j) and (w_j, j) are the same, the span of $\delta(j, w_j)$ and $\delta(w_j, j)$ are the same; because δ is a bijection on spanning corners, $\delta(j, w_j) \neq$ $\delta(w_j, j)$. So, $B_{\delta(j,w_j)} = B_{\delta(w_j,j)}$, and this bounding box is both red and blue.

For (3): Suppose B_{j,w_j} and B_{k,w_k} are two bounding boxes of $\Gamma[e, w]$ and B_{j,w_j} precedes B_{k,w_k} in the order given. That is, $\min(j, w_j) < \min(k, w_k)$. Suppose for the sake of contradiction that $\min(\delta_i(j), \delta_{w_i}(j)) \ge \min(\delta_i(k), \delta_{w_i}(k))$. In fact, because δ_a shifts numbers by at most 1, the only possibility is that $\min(\delta_i(j), \delta_{w_i}(j)) =$ min $(\delta_i(k), \delta_{w_i}(k))$. Because $\delta(j, w_j)$ and $\delta(k, w_k)$ are both spanning corners of $\Gamma[e, u]$ and thus have maximal span, this implies that the span of $\delta(j, w_j)$ is equal to the span of $\delta(k, w_k)$. So, $B_{\delta(j, w_j)} = B_{\delta(k, w_k)}$. Because β is a bijection, this implies $B_{j,w_i} = B_{k,w_k}$, a contradiction.

Proposition 7.5 follows as a corollary.

Proof of Proposition 7.5 Note that no bounding boxes of $\Gamma[e, w]$ are green, because this would imply *w* is contained in some maximal parabolic subgroup.

Repeatedly apply the operation of Proposition 7.9 to w until you arrive at a permutation u with no noncorner pairs.

The permutation *u* will avoid 3412. Indeed, one-line notation for *u* can be obtained from *w* by repeatedly deleting some number *a* and applying δ_a to the remaining numbers. Because δ_a preserves order, any occurrence of 3412 in *u* would imply an occurrence of 3412 in *w*. It will also avoid 321, because if $u_i u_j u_k$ form a 321 pattern, (j, w_j) is sandwiched by the inversion $\langle i, k \rangle$ and thus is a noncorner pair.

By Proposition 7.8, no bounding boxes of $\Gamma[e, u]$ are purple and they alternate between red and blue (when ordered by the row of the northwest corner). Proposition 7.9 implies that the bounding boxes of $\Gamma[e, w]$ are in bijection with the bounding boxes of $\Gamma[e, u]$ and that this bijection preserves the coloring and ordering of the bounding boxes. So, no bounding boxes of $\Gamma[e, w]$ are purple, and they alternate between red and blue.

We now are ready to prove Proposition 4.12.

Proof of Proposition 4.12 Because v avoids 2143, w_0v avoids 3412. By assumption, w_0v is not contained in a maximal parabolic subgroup, so, by Proposition 7.5, the bounding boxes of $\Gamma[e, w_0v]$ alternate in color between red and blue (and none are purple) when ordered by the row of their northeast corner. By Remark 7.4, reversing the columns of these bounding boxes gives the bounding boxes of $\Gamma[v, w_0]$, now ordered according to the row of their northwest color. Because the bounding boxes of $\Gamma[v, w_0]$ have the same color as the corresponding bounding boxes of $\Gamma[e, w_0v]$, the proposition follows.

8 Proof of Proposition 4.14

We apply a similar technique as in the above section. Rather than proving Proposition 4.14 directly, we instead prove the following proposition.

Proposition 8.1 Let $w \in S_n$ be 4231- and 3412-avoiding, and choose $i \in [n]$. Let $u \in S_{n-1}$ be the permutation obtained from w by deleting w_i from w in one-line notation and shifting appropriately (that is, $u : \delta_i(j) \mapsto \delta_{w_i}(w_j)$). If (i, v_i) is not a spanning corner of $\Gamma[e, w]$, then $\Gamma[e, u] = \Gamma[e, w]_i^{w_i}$. Furthermore, for all i,

(8.1)
$$\det(M|_{\Gamma[e,u]}) = \det(M|_{\Gamma[e,w]_i^{w_i}}).$$

We prove this using a sequence of lemmas.

Lemma 8.2 Let $w \in S_n$ be 3412-avoiding. Let (i, w_i) be a spanning corner, and let $q = \min(i, w_i)$. Let $N := \{j \in [n] : j, i \text{ form an inversion of } w\} \cup \{i\}$. Say



Figure 6: On the left, $\Gamma[e, w]$, where w = 3472165. On the right, $\Gamma[e, u]$, where u = 236154. The permutation *u* is obtained from *w* by deleting 2 from the one-line notation of *w* and shifting the other numbers appropriately. As Proposition 7.9 asserts, the spanning corners and bounding boxes of $\Gamma[e, w]$ are in bijection with the spanning corners and bounding boxes of $\Gamma[e, u]$, respectively, and this bijection is color-preserving.

 $N = \{k_1, \ldots, k_m\}$, and let $\rho : w(N) \rightarrow [m]$ be the unique order-preserving bijection between the two sets. Let u be the permutation of [m] whose one line notation is $\rho(w_{k_1})\rho(w_{k_2})\cdots\rho(w_{k_m})$. Then, $B_{i,w_i} \cap \Gamma[e,w] = \{(r,c):(r,c)-(q-1, q-1)\in \Gamma[e,u]\}$.

Example 8.3 Let w = 3472165 (see Figure 6 for a picture of $\Gamma[e, w]$). Choose the spanning corner (3,7). Then, $N = \{3, 4, 5, 6, 7\}$ and u = 52143. The graph $\Gamma[e, u]$ is pictured below.



The part of $\Gamma[e, w]$ that lies in $B_{3,7}$ is identical to $\Gamma[e, u]$ (up to translation along the diagonal).

Proof of Lemma 8.2 We may assume $i < w_i$, so B_{i,w_i} is blue; otherwise, we can consider w^{-1} , which will still avoid 3412, u^{-1} , which can be obtained from w^{-1} by the same procedure as u is obtained from w, and the bounding box $B_{w_i,i}$, which is blue. The intersection $\Gamma[e, w^{-1}] \cap B_{w_i,i}$ is simply the transpose of $B_{i,w_i} \cap \Gamma[e, w]$.

We may also assume that *w* is not contained in a maximal parabolic subgroup of S_n . (If it were, we could consider just the block of $\Gamma[e, w]$ containing (i, w_i) and argue just about that block.) We may further assume that $\Gamma[e, w]$ has more than one bounding box. By Proposition 7.5, the bounding boxes of $\Gamma[e, w]$ alternate between blue and red, and none are green or purple.

Notice that *N* is contained in [i, n], because if (j, i) were an inversion of w, (j, w_j) would span (i, w_i) . So, $k_1 = i$, and $u_1 = \rho(w_i)$. Because ρ is order-preserving, (1, k) is an inversion of u, for all $k \in [m]$, which implies $\rho(w_i) = m$.

We have $j \in N$ precisely when (j, w_j) lies southwest of (i, w_i) in the plane, because there are no (j, w_j) to the northeast. To obtain $\Gamma(u)$ from $\Gamma(w)$, delete all rows and columns of the $n \times n$ grid which have a cross to the north or east of B_{i,w_i} (that is, a cross (j, w_j) with j < i or $w_j > w_i$) and renumber remaining rows and columns with [m]. Note that $|i - w_i| = |1 - m|$, because for every row above *i* that is deleted, a column to the left of w_i is deleted. So, B_{i,w_i} is an $m \times m$ square, which we can identify with the $m \times m$ square containing $\Gamma[e, u]$ by relabeling rows and columns. In addition, these deletions take the corners (resp., noncorners) of $\Gamma[e, w]$ with $j \in N$ to corners (resp., noncorners) of $\Gamma[e, u]$.

Thus, it suffices to check the following: if $(r, c) \in B_{i,w_i}$ is sandwiched by an inversion (i, j), where (j, w_j) is a corner of $\Gamma[e, w]$, then the corresponding square of $\Gamma[e, u]$ is sandwiched by an inversion of u.

First, let B_{a,w_a} and B_{b,w_b} be the red bounding boxes immediately preceding and following B_{i,w_i} , respectively, in the usual order on bounding boxes. If $\langle i, j \rangle$ is an inversion of w, then $(j, w_j) \in B_{a,w_a} \cup B_{i,w_i} \cup B_{b,w_b}$. Indeed, suppose (j, w_j) is a corner such that $\langle i, j \rangle$ is an inversion, and $(j, w_j) \notin B_{a,w_a} \cup B_{i,w_i} \cup B_{b,w_b}$. Then, either $w_j < w_a$ or j > b; otherwise, (j, w_j) would not be in the union of bounding boxes for w, a contradiction of Lemma 7.3. If j > b, then there is a blue bounding box B_{d,w_d} immediately following B_{b,w_b} in the usual order of bounding boxes. One can check that i < d < b < j and $w_i w_d w_b w_j$ forms a 3412 pattern. If $w_j < w_a$, there is a blue bounding box B_{d,w_d} immediately preceding B_{a,w_a} , and one can check that d < i < j < a and $w_d w_i w_j w_a$ forms a 3412 pattern. If (j, w_j) is not a corner but $\langle i, j \rangle$ is an inversion, then (j, w_j) is sandwiched by an inversion $\langle i, k \rangle$, where k is a corner, so (j, w_j) is also in the union of the three bounding boxes.

This implies that if (i, j) is an inversion of w such that (j, w_j) is a corner, then either $(j, w_j) \in B_{i,w_i}$ or $j \in \{a, b\}$. So, either $w_i \ge j$ or $i \le w_j$.

Suppose $w_i \ge j$ (so (j, w_j) is either in B_{i,w_i} or j = a). We claim no rows between i and j are deleted. Indeed, a row between i and j is deleted only if there is a dot (k, w_k) to the east of B_{i,w_i} with i < k < j. Necessarily, $w_k > w_i$. If there is a dot to the east of B_{i,w_i} , then B_{i,w_i} is not the last bounding box. By Proposition 7.5, the following bounding box B_{s,w_s} is red. One can check that $w_i w_k w_j w_s$ is a 3412 pattern, a contradiction. By a similar argument, if (j, w_j) is a corner such that $\langle i, j \rangle$ is an inversion of w, and $i \le w_j$ (so (j, w_j) is either in B_{i,w_i} or j = b), then no column between w_j and w_i is deleted.

Now, if a corner (j, w_j) is in B_{i,w_i} , then $i \le w_j$ and $w_i \ge j$, so the relative position of (i, w_i) and (j, w_j) is the same as the relative position of the images of (i, w_i) and (j, w_j) after deletion. So, if $(a, b) \in B_{i,w_i}$ is sandwiched by $\langle i, j \rangle$, the corresponding square in $\Gamma[e, u]$ is sandwiched by the image of $\langle i, j \rangle$.

If j = a (resp., j = b), then $\rho(w_j) = 1$ (resp., $j = k_m$). That is, the image of (j, w_j) after deletion is (j, 1) (resp., (m, w_j)). This is because (j, w_j) is the westmost (resp., southmost) cross forming an inversion with (i, w_i) . So, if $(a, b) \in B_{i,w_i}$ is sandwiched by $\langle i, j \rangle$, the corresponding square in $\Gamma[e, u]$ is sandwiched by the image of $\langle i, j \rangle$.

From Lemma 8.2, we can derive the following.

Lemma 8.4 Let $w \in S_n$ be 3412-avoiding, and let (i, w_i) be a spanning corner. Suppose $(r, c) \notin B_{i,w_i}$ is sandwiched by an inversion involving i. Then, (r, c) is also sandwiched by some inversion (a, b) where neither (a, w_a) nor (b, w_b) are in B_{i,w_i} .

Proof We will assume that B_{i,w_i} is blue; otherwise, we consider w^{-1} instead. We also assume that $w \in S_n$ is not contained in any parabolic subgroup; if it were, $\Gamma[e, w]$ is block-diagonal and we can argue for each block individually. The lemma is vacuously true if $\Gamma[e, w]$ has a single box, so we may assume it does not. By Proposition 7.5, the bounding boxes of $\Gamma[e, w]$ alternate between red and blue, and none are purple.

Suppose B_{a,w_a} and B_{b,w_b} are the (red) bounding boxes immediately preceding and following B_{i,w_i} , respectively. As in Lemma 8.2, if *j* forms an inversion with *i*, then $(j, w_j) \in B_{a,w_a} \cup B_{i,w_i} \cup B_{b,w_b}$.

This implies that the positions (r, c) satisfying the conditions of the lemma are contained $B_{a,w_a} \cup B_{b,w_b}$. The positions $(r, c) \subseteq B_{a,w_a}$ satisfying the conditions of the lemma are exactly those with $w_a \leq c < i$ and $i \leq r \leq a$. By Lemma 8.2, $\Gamma[e, w] \cap B_{a,w_a}$ is the graph of another interval [e, u] where $u \in S_m$; because B_{a,w_a} is red, u sends m to 1. This means that u is greater than the permutation $23 \cdots m1$. In particular, this means that positions (q, q + 1), for $q = 1, \ldots, m - 1$, are in $\Gamma[e, u]$, so the upper off-diagonal of B_{a,w_a} is in $\Gamma[e, w]$. Thus, (i - 1, i) is sandwiched by some inversion of w, implying there is a dot (j, w_j) northeast of (i - 1, i). This dot is necessarily not in B_{i,w_i} , and the inversion (j, a) sandwiches all of the positions $(r, c) \subseteq B_{a,w_a}$ satisfying the conditions of the lemma.

The argument for the positions $(r, c) \subseteq B_{b,w_b}$ satisfying the conditions of the lemma is essentially the same.

We can now prove Proposition 8.1.

Proof of Proposition 8.1 Let $D = \Gamma[e, w]_i^{w_i}$.

Consider $\Gamma[e, w]$ drawn in an $n \times n$ grid with the positions (j, w_j) marked with crosses and all others marked with dots. Recall that *D* is the collection of crosses and dots obtained from $\Gamma[e, w]$ by deleting row *i* and column w_i and renumbering rows by δ_i and columns by δ_{w_i} . Note that the crosses of *D* are in positions (j, u_j) .

If (i, w_i) was an internal dot of $\Gamma[e, w]$, then all dots in *D* are sandwiched by an inversion of *u*, so $D = \Gamma[e, u]$. In this case, $M|_D = M|_{\Gamma[e, u]}$, so the determinants are equal.

If (i, w_i) is a corner but not a spanning corner of $\Gamma[e, w]$, we claim we again have $D = \Gamma[e, u]$. Suppose (i, w_i) is contained in a blue bounding box B_{k,w_k} (if it is only contained in a red bounding box, we can consider w^{-1} and the transpose of M instead). Notice that because (i, w_i) is a corner, we only have inversions $\langle r, i \rangle$ with r < i. Furthermore, there are no inversions $\langle r, i \rangle$ where r < k or $w_r > w_k$; otherwise, we can find an occurrence of 3412. For example, if there were an inversion $\langle r, i \rangle$ with r < k, then there must be a red bounding box B_{a,w_a} immediately preceding B_{k,w_k} which overlaps with it. One can check that r < k < a < i and $w_r w_k w_a w_i$ is an occurrence of 3412. A similar argument works for the $w_r > w_k$ case. Thus, if $\langle r, i \rangle$ is an inversion, $\langle k, r \rangle$ is an inversion as well, so every dot sandwiched by an inversion (r, i) is also sandwiched by the inversion (k, i).

In particular, there are no crosses above or to the right of the rectangle with corners (k, w_k) and (i, w_i) , because any such cross would be inversions $\langle r, i \rangle$ where $\langle k, r \rangle$ is not an inversion. There are also no crosses northeast of (k, w_k) , because such a cross would span (k, w_k) . This means there are no dots above or to the right of the rectangle with corners (k, w_k) and (i, w_i) . So, in fact, it suffices to show that all dots in the rectangle with corners (k, w_k) and $(i - 1, w_i + 1)$ are sandwiched by an inversion of v that does not involve *i*; that inversion will correspond to an inversion in *u*. If $w_{i-1} < w_i$ or if $w^{-1}(w_i + 1) > i$, this is true. Otherwise, we have that $(i - 1, w_{i-1})$ and $(w^{-1}(w_i + 1), w_i + 1)$ both lie in the rectangle with corners (k, w_k) and $(i - 1, w_i + 1w_{i-1}, w_i)$ form a 4231 pattern, which is impossible. So, we must have $w_{i-1}w_i + 1$, which means all points in the rectangle with corners $(k, w_k), (i - 1, w_i + 1)$ are sandwiched by the inversion $\langle k, i - 1 \rangle$.

Now, suppose (i, w_i) is a spanning corner and B_{i,w_i} is blue (if it is red, we can consider w^{-1} and the transpose of M instead). If $w_{i+1} = w_i - 1$, we again have A = B. If not, then the D is not equal to $\Gamma[e, u]$; $D = \Gamma[e, u] \sqcup \{(r, c) : (r, c) \text{ sandwiched only by inversions involving } i\}$. We will show that if (r, c) is sandwiched only by inversions involving i, then $m_{r,c}$ will not appear in det $(M|_D)$. This will imply that det $(M|_D)$ agrees with det $(M|_{\Gamma[e,u]})$ for all $(n-1) \times (n-1)$ matrices M.

Let $I := \{(r, c) : (r, c) \text{ sandwiched only by inversions involving } i\}$. By Lemma 8.4, $I \subseteq B_{i,w_i}$. We would like to show that D is block-upper triangular, and that all $(r, c) \in I$ are in blocks that are not on the main diagonal. This would imply that det $(M|_D)$, as claimed, does not contain $m_{r,c}$, as it is the product of the determinants of the blocks on the diagonal. To verify this, we just need to show that $D \cap B_{i,w_i}$ is block-upper triangular and for all $(r, c) \in I \cap B_{i,w_i}$, (r, c) is in a block that is not on the main diagonal. By Lemma 8.2, $\Gamma[e,w] \cap B_{i,w_i}$ is another $\Gamma[e,x]$. So, $D \cap B_{i,w_i}$ is simply $\Gamma[e,x]$ with the first row and last column removed. Thus, it suffices to prove the following lemma.

Lemma Let $x \in S_m$ be 4231 avoiding. Suppose $x_1 = m$ and $x_2 \neq m - 1$. Let Q be the region obtained by removing the first row and last column of $\Gamma[e, x]$. Then, Q is block-upper triangular, and for all positions (r, c) sandwiched only by inversions involving 1, (r, c) is in a block not on the main diagonal.

Proof First, recall that $\Gamma[e, x]$ contains the lower off-diagonal $\{(j, j-1) : j = 2, ..., m\}$, because $x > m123 \cdots (m-1)$.

Call a dot (i, x_i) *leading* if it is not southwest of any (j, x_j) besides (1, m). (For example, in Example 8.3, the leading dots of $\Gamma[e, u]$ are (2, 2) and (4, 4).) We claim that for each leading dot (i, x_i) , position $(x_i + 2, x_i)$ is not sandwiched by an inversion of x.

We show this first for the northmost leading dot $(2, w_2)$. Notice that there cannot be any (j, x_j) with $2 < j \le x_2 + 1$ and $x_j > x_2$; in this case, avoiding 4231 would imply that (j, x_2) is not sandwiched by any inversion, which would in turn imply that $(x_2 + 1, x_2)$

is not sandwiched by any inversion. But the lower off-diagonal is contained in $\Gamma[e, x]$, so this is a contradiction. This means that for all $2 < j \le x_2 + 1$, (j, x_j) is contained in the square with opposing corners (2, 1) and $(x_2 + 1, x_2)$. In particular, there is a dot (j, x_j) in every row and every column of this square. So, for $j > x_2 + 1$, we have $x_j > x_2$, which implies $(x_2 + 2, x_2)$ is not sandwiched by an inversion of x. In other words, there are no elements of $\Gamma[e, x]$ in columns $1, \ldots, x_2$ and rows $x_2 + 2, \ldots, m$. This implies that Q is block-upper triangular, and the first diagonal block has northwest corner $(2, w_2)$.

Note that the next leading dot is in row $i_2 := x_2 + 2$. We can repeat the above argument with this dot to reach the analogous conclusion: the second block of *Q* has northwest corner (i_2, x_{i_2}) . We can continue with the remaining leading dots to see that *Q* is block-upper triangular with northwest corners of each diagonal block given by the leading dots.

Notice that the union of the diagonal blocks contains every position (r, c) sandwiched by an inversion not involving 1. Thus, the complement of the union of diagonal blocks in Q is exactly the positions (r, c) which are sandwiched only by an inversion involving 1. This finishes the proof of the lemma.

Finally, we can prove Proposition 4.14.

Proof of Proposition 4.14 Let $w := w_0 v$ and $u := w_0 x$. Notice that $u : \delta_i(j) \mapsto \delta_{w_i}(w_j)$ and that *w* avoids 3412 and 4231.

It follows from Lemma 7.1 that restricting M to $\Gamma[x, w_0]$ is the same as reversing the columns of M, restricting to $\Gamma[e, u]$, and then reversing the columns again. That is, letting A denote the matrix with 1's on the antidiagonal and 0's elsewhere,

$$M|_{\Gamma[x,w_0]} = [(MA)|_{\Gamma[e,u]}]A.$$

In addition, note that reversing the columns of $\Gamma[v, w_0]_i^{v_i}$ gives $\Gamma[e, w]_i^{w_i}$. This means that

$$M|_{\Gamma[v,w_0]_i^{v_i}} = [(MA)|_{\Gamma[e,w]_i^{w_i}}]A.$$

Thus, the proposition follows from taking determinants of both sides of (4.2) and applying Proposition 8.1.

Acknowledgment We would like to thank Pavlo Pylyavskyy for suggesting this problem to us, and for helpful discussions on Lusztig's dual canonical basis. We would also like to thank Alejandro Morales for pointing us toward [S07] and Lauren Williams for helpful conversations.

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