

## ORDERED GROUPS SATISFYING THE MAXIMAL CONDITION LOCALLY

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**1. Introduction.** Let  $\mathfrak{X}$  denote the class of all (fully) ordered groups satisfying the maximal condition on subgroups, and let  $L\mathfrak{X}$  denote the class of all locally  $\mathfrak{X}$  groups. In this paper we investigate the family of convex subgroups of  $L\mathfrak{X}$  groups.

It is well known (see [1, pp. 51, 54]) that every convex subgroup of an  $\mathfrak{X}$  is normal in  $G$ , and for any jump  $D \prec C$  in the family of convex subgroups,  $[G', C] \subseteq D$ . We observe that these properties are also true for any  $L\mathfrak{X}$  group and record, without proof, the following.

**THEOREM 1.** *Any convex subgroup of an  $L\mathfrak{X}$  group  $G$  is normal in  $G$ , and for any jump  $D \prec C$  in the family of convex subgroups,  $[G', C] \subseteq D$ .*

As a consequence of the above theorem, a subgroup  $H$  of an  $L\mathfrak{X}$  group  $G$  is convex under some order on  $G$  if and only if  $H$  is normal in  $G$  and  $G/H \in L\mathfrak{X}$ . In particular, if  $G$  is a torsion-free locally nilpotent group, then necessary and sufficient conditions that  $G$  admits an order with respect to which  $H$  is convex are that  $H$  be normal and isolated in  $G$ . This answers, in part, a question of Fuchs [1, p. 209, Problem 9(a)].

From Theorem 1, we may also conclude that for any  $L\mathfrak{X}$  group  $G$ , the derived subgroup  $G'$  has a central system, and if  $G \in \mathfrak{X}$ , then  $G'$  has a descending central system. In particular, every ordered polycyclic group is nilpotent-by-abelian; however, such a group need not be nilpotent as is demonstrated by the following result.

**THEOREM 2.** *Any ordered locally supersolvable group is torsion-free locally nilpotent. An ordered polycyclic group need not have a non-trivial centre nor a descending central system.*

The above results correct the assertions made by Ree in [3].†

Teh [5] has shown that a torsion-free abelian group of rank one admits exactly two different orders, whereas a torsion-free abelian group whose rank exceeds one admits uncountably many different orders. It is shown here that a non-abelian torsion-free locally nilpotent group admits infinitely many orders. We also study the structure of  $L\mathfrak{X}$  groups which admit only finitely many different orders and conclude the following.

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†[4, Theorem 2] is also false. Ree used the results in [3] in the proof of this theorem. A counterexample is given in the Ph.D. Thesis of R. J. Hursey, Jr., to be submitted to the University of Alberta.

**THEOREM 3.** *If a non-abelian L $\mathfrak{X}$  group  $G$  admits only finitely many different orders, then the Fitting subgroup  $N$  of  $G$  exists and coincides with the isolator  $I(G')$  of  $G'$ ;  $G/N$  is non-trivial and locally cyclic; and  $N$  is an absolutely convex subgroup of  $G$ . Moreover, if  $G \in X$ , then  $G$  is polycyclic.*

The existence of a non-abelian polycyclic group admitting only finitely many different orders is demonstrated by the example following the proof of Theorem 2.

We conclude this section with the following remark.

*Remark.* If  $G$  is an ordered polycyclic group and  $l(G)$  is the number of infinite cyclic factors in any cyclic series of  $G$ , then  $G$  is nilpotent if and only if the number of subgroups of  $G$  convex with respect to some order on  $G$  is precisely  $1 + l(G)$ .

**2. Definitions and Notation.** If  $G$  is a group on which there can be defined a (full) order relation  $\leq$  with the property that  $a, b, x, y \in G$  and  $a \leq b$  imply  $xay \leq xby$ , then  $G$  is said to be an *ordered group* and  $\leq$  is said to be an *order on  $G$* . Associated with an order  $\leq$  on  $G$  is the *positive cone*  $P(G)$  of  $G$ ,  $P(G) = \{x \mid x \in G \text{ and } 1 \leq x\}$ . It follows that the subset  $P(G)$  of the ordered group  $G$  has the following four properties:

- (i)  $P(G) \cap P^{-1}(G) = 1$ ;
- (ii)  $P(G)P(G) \subseteq P(G)$ ;
- (iii)  $x^{-1}P(G)x \subseteq P(G)$  for each  $x \in G$ ; and
- (iv)  $P(G) \cup P^{-1}(G) = G$ .

Conversely, if  $P(G)$  is a subset of a group  $G$  possessing properties (i)–(iv), then  $G$  is an ordered group under the relation  $\leq$  given by

$$a \leq b \Leftrightarrow a^{-1}b \in P(G).$$

A subgroup  $C$  of a group  $G$  ordered with respect to  $\leq$  is *convex* if  $g \in G$ ,  $c \in C$ , and  $1 \leq g \leq c$  imply  $g \in C$ . A subgroup  $C$  of an ordered group  $G$  is *absolutely convex* if  $C$  is a convex subgroup of  $G$  with respect to each order on  $G$ . A subgroup  $A$  of a group  $G$  is *isolated* in  $G$  if  $g \in G$ ,  $n$  a positive integer, and  $g^n \in A$  imply  $g \in A$ . The *isolator* in  $G$  of a subgroup  $A$  of  $G$  is the intersection of all isolated subgroups of  $G$  containing  $A$ .

If  $\leq$  is an order relation on  $G$ ,  $D$  is a subgroup of  $G$ , and  $x \in G$ , then we write  $x < D$  ( $x > D$ ) to mean that  $x < d$  ( $x > d$ ) for every  $d \in D$ . If  $D \subset C$  are convex subgroups of an ordered group with the property that no convex subgroup of  $G$  lies strictly between  $D$  and  $C$ , then  $D -< C$  is a jump in the system of all convex subgroups of  $G$ .

**3. Proofs.** It is well known that if a relation  $\leq$  determines an order on a group  $G$ , then the set  $\Sigma$  of all convex subgroups of  $G$  forms a chain with respect to set inclusion, including  $\{1\}$  and  $G$ , and is closed under arbitrary unions, intersections, and conjugations by elements of  $G$ . Also, for any jump  $D -< C$

in  $\Sigma$ , the normalizer of  $D$  in  $G$  coincides with the normalizer of  $C$  in  $G$ , and  $C/D$  is order-isomorphic to a subgroup of  $R^+$ , the additive group of real numbers, so that any order-preserving automorphism of  $C/D$  is essentially a multiplication by a positive real. Thus the automorphism either fixes only the identity element of  $C/D$  or it is the trivial automorphism. In particular, if  $G$  is an ordered locally nilpotent group, then every inner automorphism of  $G$  induces the trivial automorphism on  $C/D$ . To prove this, suppose, if possible, that for some  $\bar{g} \in G/D$  and for some  $\bar{c} \in C/D$ ,  $[\bar{g}, \bar{c}] \neq \bar{1}$ . Let  $\bar{K} = \langle \bar{g}, \bar{c} \rangle$ . Then under the restriction to  $\bar{K}$  of the full order on  $G/D$ ,  $\bar{K} \cap \bar{C}$  is a normal convex Archimedean subgroup of  $\bar{K}$ . Since  $\bar{K}$  is a finitely generated nilpotent group,  $\bar{K} \cap \bar{C} \cap Z(\bar{K}) \neq \bar{1}$  so that  $\bar{K} \cap \bar{C} \subseteq Z(\bar{K})$  giving us the required contradiction. We record this result as follows.

LEMMA 1. *If  $G$  is a torsion-free locally nilpotent group and  $D \prec C$  is a jump in the family of convex subgroups with respect to some order on  $G$ , then  $[G, C] \subseteq D$ .*

*Proof of Theorem 2.* Let  $G$  be an ordered supersolvable group with  $1 = C_0 \subseteq C_1 \subseteq \dots \subseteq C_n = G$  the family of convex subgroups under some order on  $G$ . By Theorem 1,  $C_i$  is normal in  $G$  for all  $i = 1, \dots, n$ .  $G$  also has an invariant cyclic series  $1 = G_0 \subseteq G_1 \subseteq \dots \subseteq G_m = G$ . Let  $i$  be the smallest integer for which  $G_i \cap C_1 \neq 1$ . Then  $1 \neq G_i \cap C_1$  is normal in  $G$  and  $G_i \cap C_1 \cong G_{i-1}(G_i \cap C_1)/G_{i-1}$ . Also  $G_i \cap C_1$  is infinite since  $G$  is torsion-free. Thus  $G_i \cap C_1$  is an infinite cyclic, normal subgroup of  $G$ , and therefore lies in the centre of  $G$  since the automorphisms of  $G_i \cap C_1$  induced by elements of  $G$  by conjugation are order-preserving and hence trivial. Thus  $Z(G) \cap C_1 \neq 1$  and we conclude that  $C_1 \subseteq Z(G)$ . Now  $l(G/C_1) < l(G)$ , and  $G/C_1$  is an ordered supersolvable group. By induction on  $l(G)$ , we have that  $G/C_1$  is nilpotent. But  $C_1 \subseteq Z(G)$ , whence  $G$  is nilpotent.

We now construct an example of an ordered polycyclic group with trivial centre. Let  $H$  be the subgroup of  $R^+$  given by

$$H = \langle a, b \rangle, \quad \text{where } a = 1 \text{ and } b = \frac{1}{2}(1 + \sqrt{5}).$$

Let  $\theta$  be an automorphism of  $H$  given by  $a^\theta = b$ ;  $b^\theta = a + b$ . It is easily seen that the automorphism  $\theta$  is the same as multiplication by  $\frac{1}{2}(1 + \sqrt{5})$  for

$$1 \cdot \frac{1}{2}(1 + \sqrt{5}) = \frac{1}{2}(1 + \sqrt{5}); \quad \frac{1}{2}(1 + \sqrt{5}) \cdot \frac{1}{2}(1 + \sqrt{5}) = 1 + \frac{1}{2}(1 + \sqrt{5}).$$

Thus  $\theta$  is an order-preserving automorphism of  $H$ . Let  $G$  be the semidirect product of  $H$  by  $\langle \theta \rangle$ , so that  $G$  is an ordered polycyclic group with  $G, H$ , and the identity group as the convex subgroups with respect to the order on  $G$  with positive cone  $P(G) = P(H) \cup \{x \mid x \in (G \setminus H) \text{ and } x \in \theta^k H, k \geq 1\}$ , where  $P(H)$  denotes the set of all positive real numbers in  $H$ . For any  $h \neq 1$  in  $H$ ,  $h = ma + nb$  for some integers  $m$  and  $n$ .  $\theta^{-1}h\theta = na + (m+n)b \neq ma + nb$  unless  $m - n = 0$ . If  $g \in G \setminus H$ , then  $a^{-1}ga \neq g$  since  $a^{-1}\theta^r a \neq \theta^r$  for any  $r \neq 0$ . Thus  $Z(G) = 1$ . Note also that  $[a, \theta] = b - a$  and  $[a, \theta^2] = b$

so that  $[\theta, a][a, \theta^2] = a$ . Thus  $G$  has no descending central system. This completes the proof of Theorem 2.

It follows by a straightforward argument that under any order on  $G$ , the set of convex subgroups consists of  $G, H$ , and the identity group.  $H$  is therefore an absolutely convex subgroup of  $G$ . There are only four different orders that can be defined on  $G$ . These are obtained by interchanging either or both of the sets of positive elements and negative elements in  $H$  and  $G/H$ .

If  $G \in L\mathfrak{X}$  and  $\Sigma$  is the set of convex subgroups under some order  $\leq$  on  $G$  with positive cone  $P(G)$ , and  $D \prec C$  is a jump in  $\Sigma$ , then  $D$  and  $C$  are both normal in  $G$  by Theorem 1, and we can define a different order  $P_c(G)$  on  $G$  by

$$P_c(G) = (P(G) \cap D) \cup \{x \mid x \in C \setminus D \text{ and } x < D\} \\ \cup \{x \mid x \in G \setminus C \text{ and } x > C\}.$$

It is clear that  $P_c(G) \neq P(G)$ . In order to show that  $P_c(G)$  defines a full order on  $G$ , we must show that

- (i)  $P_c(G) \cap P_{c^{-1}}(G) = 1$ ,
- (ii)  $P_c(G) \cup P_{c^{-1}}(G) = G$ ,
- (iii)  $P_c(G)$  is a semigroup, and
- (iv)  $P_c(G)$  is invariant under conjugation by elements of  $G$ .

Any element  $y \neq 1$  in  $G$  satisfies precisely one of the following:

- (I)  $y > C$ ,
- (II)  $y \in C$  and  $y < D$ ,
- (III)  $y \in D$  and  $y > 1$ ,
- (IV)  $y < C$ ,
- (V)  $y \in C$  and  $y > D$ ,
- (VI)  $y \in D$  and  $y < 1$ .

$y \in P_c(G)$  if (I), (II), or (III) holds and  $y \in P_{c^{-1}}(G)$  if (IV), (V), or (VI) holds. This verifies (i) and (ii). For any  $g \in G$ ,  $g^{-1}yg$  satisfies (I), (II), or (III) if and only if  $y$  does so, since  $D$  and  $C$  are both normal in  $G$ . This yields (iv). Finally, let  $y$  and  $z$  be any two elements in  $P_c(G)$ , and assume, without loss of generality, that  $y \geq z$ . Then by inspection of the possible cases for  $y$  and  $z$ , it follows that  $yz \in P_c(G)$ . This proves the assertion that  $P_c(G)$  defines a full order on  $G$ . If the set  $\Sigma$  is infinite, then using the above construction we obtain infinitely many different orders on  $G$ , one for each jump  $D \prec C$  in  $\Sigma$ . Thus a necessary condition that an  $L\mathfrak{X}$  group  $G$  admit only finitely many different full orders is that the number of convex subgroups under any order on  $G$  be finite. But this together with Theorem 1 imply that  $G'$  is nilpotent.

Now let  $G$  be an  $L\mathfrak{X}$  group admitting only finitely many different full orders. Then  $G'$  is nilpotent as was shown above. Let  $J$  be the isolator of  $G'$ , so that  $G/J$  is a torsion-free abelian group. Therefore, there exists an order on  $G$  with  $J$  as a convex subgroup. If the rank of  $G/J$  is greater than 1, then by Teh's theorem [5] there are uncountably many different orders of  $G/J$  and each of these gives a different order on  $G$ , contradicting the hypothesis that  $G$  admits

only finitely many different orders. Thus we conclude that  $G/J$  is locally cyclic. This implies that  $J$  is absolutely convex, for if  $\leq$  denotes an order on  $G$  with

$$1 = C_0 \subseteq C_1 \subseteq \dots \subseteq C_{n-1} \subseteq C_n = G$$

the corresponding set of convex subgroups of  $G$ , then  $G/C_{n-1}$  is torsion-free abelian. Hence  $G/(J \cap C_{n-1})$  is torsion-free abelian, and  $G/(J \cap C_{n-1})$  is locally cyclic for the same reason as  $G/J$  is locally cyclic. Since  $J$  and  $C_{n-1}$  are both isolated, we conclude that  $J = C_{n-1}$ . Thus  $J$  is absolutely convex. Now let  $N$  be the locally nilpotent radical of  $G$  (i.e.,  $N$  is the unique largest normal locally nilpotent subgroup of  $G$ ), and let  $I(N)$  be the isolator of  $N$  in  $G$ . Clearly,  $I(N) \supseteq C_{n-1} = J$  and  $[I(N), G] \subseteq C_{n-1}$ . We assert that

$$[I(N), C_i] \subseteq C_{i-1} \quad \text{for all } i = 1, \dots, n,$$

for let  $s < n$  be the smallest integer such that  $[I(N), C_s] \not\subseteq C_{s-1}$ . Then

$$[C_{s-1}, \underbrace{I(N), \dots, I(N)}_{s-1}] = 1.$$

Also  $[C_s, C_s] \subseteq C_{s-1}$  and  $C_s \subseteq I(N)$  so that

$$[\underbrace{C_s, C_s, \dots, C_s}_{s+1}] = 1,$$

whence  $C_s \subseteq N$ . Now restrict the order on  $G$  to  $N$ , making  $N$  an ordered group with convex subgroups  $N \cap C_i$ ,  $i = 0, 1, \dots, n$ . Since  $C_{s-1} \subseteq C_s \subseteq N$ ,  $C_{s-1} \prec C_s$  is a jump. Since  $N$  is locally nilpotent,  $[N, C_s] \subseteq C_{s-1}$  by Lemma 1. Since  $[I(N), C_s] \not\subseteq C_{s-1}$ ,  $[x, c] \notin C_{s-1}$  for some  $x \in I(N)$  and some  $c \in C_s$ . But  $I(N)/N$  is periodic, so that  $[x^r, c] \in C_{s-1}$  for some positive integer  $r$ . But  $G/C_{s-1}$  is an ordered group and, by a result of Neumann [2, p. 2],  $[x^r, c] \in C_{s-1}$  implies  $[x, c] \in C_{s-1}$ . This contradicts our hypothesis and, therefore,  $I(N) = N$  is the locally nilpotent radical of  $G$ . Note that, since there exists an order on  $N$  whose corresponding family of convex subgroups is finite,  $N$  is actually nilpotent. We now summarize our results as follows.

**LEMMA 2.** *If an  $L\mathfrak{X}$  group  $G$  admits only finitely many different orders, then the Fitting subgroup  $N$  of  $G$  is isolated and contains  $G'$ . Also  $G/I(G')$  is locally cyclic, where  $I(G')$  is the isolator of  $G'$  in  $G$ .*

Note that if  $I(G') \neq N$ , then  $N = G$  and  $G$  is nilpotent. But in this case,  $G/I(G')$  is locally cyclic only if  $G$  is abelian of rank one. Thus, if  $G$  is non-abelian, then  $N = I(G')$ . The last assertion of Theorem 3 is immediate.

As a concluding remark, let us note that we have shown in the proof of Theorem 3 that any  $L\mathfrak{X}$  group  $G$  admitting only finitely many different orders has the properties that the locally nilpotent radical  $N$  of  $G$  coincides with  $I(G')$  and that  $G/I(G')$  is non-trivial, unless  $G$  is abelian of rank one. Therefore, any non-abelian, torsion-free, locally nilpotent group admits infinitely many different orders.

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