

# SINGULARITIES OF QUADRATIC DIFFERENTIALS AND EXTREMAL TEICHMÜLLER MAPPINGS DEFINED BY DEHN TWISTS

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## Abstract

Let  $S$  be a Riemann surface of finite type. Let  $\omega$  be a pseudo-Anosov map of  $S$  that is obtained from Dehn twists along two families  $\{A, B\}$  of simple closed geodesics that fill  $S$ . Then  $\omega$  can be realized as an extremal Teichmüller mapping on a surface of the same type (also denoted by  $S$ ). Let  $\phi$  be the corresponding holomorphic quadratic differential on  $S$ . We show that under certain conditions all possible nonpuncture zeros of  $\phi$  stay away from all closures of once punctured disk components of  $S \setminus \{A, B\}$ , and the closure of each disk component of  $S \setminus \{A, B\}$  contains at most one zero of  $\phi$ . As a consequence, we show that the number of distinct zeros and poles of  $\phi$  is less than or equal to the number of components of  $S \setminus \{A, B\}$ .

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## 1. Introduction

According to Thurston [11], some pseudo-Anosov maps on a Riemann surface  $S$  of type  $(p, n)$ , where  $3p - 3 + n > 0$ , can be constructed through Dehn twists along two simple closed geodesics (with respect to the complete hyperbolic metric with constant curvature  $-1$ ). Let  $\alpha$  and  $\beta \subset S$  be two simple closed geodesics. Denote by  $t_\alpha$  and  $t_\beta$  the positive Dehn twists along  $\alpha$  and  $\beta$ , respectively. We assume that  $\{\alpha, \beta\}$  fills  $S$ . Thurston [11] (see also [6]) proved that for all positive integers  $m$  and  $n$ , the composition  $t_\alpha^m \circ t_\beta^{-n}$  represents a pseudo-Anosov mapping class on  $S$ .

Thurston's method can be extended to prove the following result (see Penner [10]). Let  $A, B$  be families of disjoint nontrivial simple closed geodesics on  $S$  so that  $\{A, B\}$  fills  $S$ . Let  $w$  be any word consisting of positive Dehn twists along elements of  $A$  and negative Dehn twists along elements of  $B$  so that the positive Dehn twist along each

element of  $A$  and the negative Dehn twist along each element of  $B$  occur at least once in  $w$ . Then  $w$  also represents a pseudo-Anosov mapping class, which means that the map  $w$  can be evolved into a pseudo-Anosov map  $\omega$  via an isotopy  $H_t(\cdot)$ ,  $0 \leq t \leq 1$ . If we choose  $S$  properly, the map  $\omega : S \rightarrow S$  is an absolutely extremal Teichmüller mapping (see Bers [3]).

We call  $S$  an  $\omega$ -minimal surface. Associated with  $\omega$  there is a holomorphic quadratic differential  $\phi$  on  $S$  that may have simple poles at punctures of  $S$ . The quadratic differential  $\phi$  defines a flat metric on  $S$ . By taking a suitable power if necessary, in this paper we assume without loss of generality that  $\omega$  fixes all zeros of  $\phi$ . For each nonpuncture zero  $z_i$  of  $\phi$ ,  $\delta_i = H_t(z_i)$  is a Jordan closed curve on  $S$ . It is interesting to compare the locations of all possible zeros of  $\phi$  in the  $\phi$ -flat metric to their locations with respect to the complete hyperbolic metric. The aim of this paper is to locate in a rather coarse manner all possible zeros of  $\phi$  in terms of the regions obtained from cutting along the two families  $\{A, B\}$  of closed geodesics on  $S$ . Write

$$S \setminus \{A, B\} = \{P_1, \dots, P_u; Q_1, \dots, Q_v\}, \quad u \geq 1, v \geq 1, \quad (1)$$

where  $\{P_1, \dots, P_u\}$  and  $\{Q_1, \dots, Q_v\}$  are the collections of disk components and once punctured disk components of  $S \setminus \{A, B\}$ , respectively. The collection  $\{Q_1, \dots, Q_v\}$  is empty if and only if  $S$  is compact. With the notation above, the main result of this paper is as follows.

**THEOREM 1.1.** *Let  $S$  be an  $\omega$ -minimal surface, and let  $\phi$  be the corresponding quadratic differential on  $S$ . Assume that  $\omega$  leaves each zero of  $\phi$  fixed. Then:*

- (1) *each nonpuncture zero  $z_i$  of  $\phi$ , if  $\delta_i$  is a null curve on  $S$ , lies in the complement of the closure of  $Q_1 \cup \dots \cup Q_v$  in  $S$ ;*
- (2) *the closure of each disk component  $P_i$  contains at most one such zero  $z_i$ , with  $\delta_i$  being a null curve.*

*In particular, if  $S \setminus \{A, B\}$  consists of once punctured disk components only, then either each zero  $z_i$  is a puncture, or  $\delta_i$  is a nontrivial curve.*

**REMARK.** By the Riemann–Roch theorem (see, for example, [5]), if  $p \geq 2$ , then  $\phi$  has at least one zero on the compactification  $\bar{S}$  of  $S$ .

As a consequence of Theorem 1.1, we obtain the following result.

**COROLLARY 1.2.** *The total number of poles and distinct zeros  $z_i$  with  $\delta_i$  being null curves is no more than the number  $u + v$  of the components of  $S \setminus \{A, B\}$ .*

The idea of the proof of Theorem 1.1 is as follows. A nonpuncture zero  $z_0$  of  $\phi$  on  $S$  gives rise to a holomorphic embedding of a Teichmüller geodesic  $\mathcal{L} \subset T(S)$  into the Bers fiber space  $F(S)$  over  $T(S)$ . Let  $\hat{\mathcal{L}} \subset F(S)$  denote the image of  $\mathcal{L}$  under the embedding. With the help of the Bers isomorphism  $\varphi$  of  $F(S)$  onto another Teichmüller space  $T(\dot{S})$  for  $\dot{S} = S \setminus \{\text{a point}\}$ ,  $\mathcal{L}$  can be further embedded into  $T(\dot{S})$ . By invariance of metrics, one shows that  $\varphi(\hat{\mathcal{L}})$  is a Teichmüller geodesic (Lemma 3.1).

On the other hand, [3, Theorem 5] states that a modular transformation  $\theta$  on  $T(\dot{S})$  keeps a Teichmüller geodesic invariant if and only if  $\theta$  is hyperbolic. Now suppose that  $z_0 \in S$  lies in  $Q_1$ , say; then one constructs a nonhyperbolic modular transformation  $\theta$  on  $T(\dot{S})$ , keeping  $\varphi(\hat{\mathcal{L}})$  invariant (Theorem 4.2). It follows from Bers' theorem that  $\varphi(\hat{\mathcal{L}})$  is not a Teichmüller geodesic, which leads to a contradiction.

The second statement of Theorem 1.1 follows from Theorem 4.1. Suppose that  $z_0$  and  $z_1$  are two zeros of  $\phi$  in the closure of a disk component  $P_1$ . Associated with  $z_0$  and  $z_1$  there are two Teichmüller geodesics  $\varphi(\hat{\mathcal{L}}_1)$  and  $\varphi(\hat{\mathcal{L}}_2)$  in  $T(\dot{S})$  under the Bers isomorphism. Theorem 4.1 asserts the existence of a common hyperbolic modular transformation leaving both  $\varphi(\hat{\mathcal{L}}_1)$  and  $\varphi(\hat{\mathcal{L}}_2)$  invariant. This contradicts the fact that there is only one invariant geodesic under a hyperbolic transformation.

### 2. Preliminaries

We begin by reviewing some basic properties in Teichmüller theory. Let  $\mathbf{H}$  denote the hyperbolic plane  $\{z \in \mathbb{C} \mid \text{Im } z > 0\}$  endowed with the hyperbolic metric

$$ds = \frac{|dz|}{\text{Im } z}.$$

Write  $\bar{\mathbf{H}} = \{z \in \mathbb{C} \mid \text{Im } z < 0\}$  and let  $\varrho : \mathbf{H} \rightarrow S$  be the universal covering with covering group  $G$ . Then  $G$  is a torsion-free finitely generated Fuchsian group of the first kind with  $\mathbf{H}/G = S$ .

Let  $M(G)$  be the set of Beltrami coefficients for  $G$ . That is,  $M(G)$  consists of measurable functions  $\mu$  defined on  $\mathbf{H}$  and satisfying the following two properties:

- (i)  $\|\mu\|_\infty = \text{ess. sup } \{|\mu(z)| : z \in \mathbf{H}\} < 1$ ; and
- (ii)  $\mu(g(z))\overline{g'(z)}/g'(z) = \mu(z)$  for all  $g \in G$ .

According to Ahlfors and Bers [1], for every  $\mu \in M(G)$ , there are normalized quasiconformal maps  $w_\mu$  and  $w^\mu$  of  $\mathbb{C}$  onto itself such that for  $z \in \mathbf{H}$ ,  $\partial_{\bar{z}}w_\mu(z)/\partial_zw_\mu(z) = \mu(z)$  and  $\partial_{\bar{z}}w^\mu(z)/\partial_zw^\mu(z) = \mu(z)$ ; and for  $z \in \bar{\mathbf{H}}$ ,  $\partial_{\bar{z}}w_\mu(z)/\partial_zw_\mu(z) = \overline{\mu(\bar{z})}$  and  $\partial_{\bar{z}}w^\mu(z)/\partial_zw^\mu(z) = 0$ .

Note that  $w_\mu$  maps  $\mathbf{H}$  onto  $\mathbf{H}$  while  $w^\mu$  maps  $\mathbf{H}$  onto an arbitrary quasidisk. Two elements  $\mu$  and  $\nu$  in  $M(G)$  are said to be equivalent if  $w_\mu|_{\partial\mathbf{H}} = w_\nu|_{\partial\mathbf{H}}$ , or equivalently,  $w^\mu|_{\partial\mathbf{H}} = w^\nu|_{\partial\mathbf{H}}$ . The equivalence class of  $\mu$  is denoted by  $[\mu]$ . The Teichmüller space  $T(S)$ , where  $S = \mathbf{H}/G$ , is defined to be the space of equivalence classes  $[\mu]$  of Beltrami coefficients  $\mu \in M(G)$ . It is well known that  $T(S)$  is a complex manifold of dimension  $3p - 3 + n$ . The Teichmüller distance  $\langle [\mu], [\nu] \rangle$  between two points  $[\mu]$  and  $[\nu] \in T(S)$  is defined by

$$\langle [\mu], [\nu] \rangle = \frac{1}{2} \inf \{ \log K(w_\mu \circ w_\nu^{-1}) \},$$

where  $K$  is the maximal dilatation of  $w_\mu \circ w_\nu^{-1}$  on  $\mathbf{H}$  and the infimum is taken through the homotopy class of  $w_\mu \circ w_\nu^{-1}$  that fixes each point in  $\partial\mathbf{H}$ . The set  $Q(G)$  of

integrable quadratic differentials consists of holomorphic functions  $\phi(z)$  on  $\mathbf{H}$  such that

$$(\phi \circ g)(z)g'(z)^2 = \phi(z) \quad \forall z \in \mathbf{H} \text{ and all } g \in G$$

and

$$\|\phi\| = \iint_{\Delta} |\phi(z)| dx dy = 1,$$

where  $\Delta \subset \mathbf{H}$  is a fundamental region of  $G$ . Every  $\phi \in Q(G)$  can be projected to a meromorphic quadratic differential on  $\bar{S}$  that may have simple poles at punctures of  $S$ , which is also denoted by  $\phi$ . The differential  $\phi$  assigns to each uniformizing parameter  $z$  a holomorphic function  $\phi(z)$  such that  $\phi(z) dz^2$  is invariant under a change of local coordinates. Away from zeros of  $\phi$  there are naturally defined coordinates so that  $\phi$  defines a flat metric that is Euclidean near every nonzero point  $z$ . Associated with each  $\phi$  there are horizontal and vertical trajectories defined by  $\phi(z) dz^2 > 0$  and  $\phi(z) dz^2 < 0$ , respectively. For any  $t \in (-1, 1)$  and any  $\phi \in Q(G)$ , we have that  $t(\bar{\phi}/|\phi|) \in M(G)$ . The set

$$\left[ t \frac{\bar{\phi}}{|\phi|} \right] \in T(S), \quad t \in (-1, 1), \quad (2)$$

is called a Teichmüller geodesic. If  $t$  in (2) is replaced by a complex variable  $z \in \mathbf{D} = \{z \in \mathbb{C} : |z| < 1\}$ , we obtain a complex version of the geodesic that is also called a Teichmüller disk.

Notice that every self-map  $\omega$  of  $S$  induces a mapping class and thus a modular transformation  $\chi$  that acts on  $T(S)$ . The collection of all such modular transformations form a group  $\text{Mod}_S$  that is discrete and isomorphic to the group of biholomorphic automorphisms of  $T(S)$  when  $S$  is not of type  $(0, 3)$ ,  $(0, 4)$ ,  $(1, 1)$ ,  $(1, 2)$ , and  $(2, 0)$ ; see Royden [9] and Earle–Kra [4] for more details.

For each  $\chi \in \text{Mod}_S$ , Bers [3] introduced an index

$$a(\chi) = \inf_{[\mu] \in T(S)} \langle [\mu], \chi([\mu]) \rangle.$$

Throughout this paper, we consider those modular transformations  $\chi$  for which  $a(\chi) > 0$ . There are two cases:  $a(\chi)$  is achieved and  $a(\chi)$  is not achieved. In the former case,  $\chi$  is called hyperbolic. In the latter case,  $\chi$  is called pseudo-hyperbolic. If  $\chi$  is hyperbolic, then by [3, Theorem 5],  $a(\chi)$  assumes its value on any point in a geodesic  $\mathcal{L}$ . The transformation  $\chi$  keeps  $\mathcal{L}$  invariant. Conversely, if an element  $\chi \in \text{Mod}_S$  keeps a Teichmüller geodesic  $\mathcal{L}$  invariant, then  $\chi$  must be hyperbolic. In this case,  $\chi$  is induced by a self-map of  $S$ , and for each Riemann surface  $S$  on  $\mathcal{L}$ ,  $\chi$  is realized as an absolutely extremal self-mapping  $\omega$  of  $S$ . Associated with the map  $\omega$  there is an integrable meromorphic quadratic differential  $\phi$  on the compactification of  $S$  which is holomorphic on  $S$  and may have simple poles at punctures of  $S$  (see Bers [3]). Furthermore,  $\omega$  leaves invariant both horizontal and vertical trajectories defined by  $\phi$ .

Topologically, the map  $\omega$  that associates with a pair of transverse measured foliations determined by the quadratic differential  $\phi$  is also called pseudo-Anosov. By Thurston [11], the set of pseudo-Anosov mapping classes on  $S$  consists of all possible nonperiodic mapping classes that do not keep any finite set of disjoint simple nontrivial closed geodesics invariant.

The Bers fiber space  $F(S)$  over  $T(S)$  is the collection of pairs

$$\{([\mu], z) \mid [\mu] \in T(S), z \in w^\mu(\mathbf{H})\}.$$

The natural projection  $\pi : F(S) \rightarrow T(S)$  is holomorphic. We fix a point  $a \in S$  and let  $\dot{S} = S \setminus \{a\}$ . Theorem 9 of [2] states that there is an isomorphism  $\varphi : F(S) \rightarrow T(\dot{S})$  that is unique up to a modular transformation of  $T(\dot{S})$ .

Let  $\chi \in \text{Mod}_S$  be induced by a map  $\omega : S \rightarrow S$ . We lift the map  $\omega$  to a map  $\hat{\omega} : \mathbf{H} \rightarrow \mathbf{H}$ . The map  $\hat{\omega}$  has the property that  $\hat{\omega}G\hat{\omega}^{-1} = G$ . Suppose that  $\omega' : S \rightarrow S$  is another map isotopic to  $\omega$ . As usual, the map  $\omega'$  can also be lifted to a map  $\hat{\omega}' : \mathbf{H} \rightarrow \mathbf{H}$  that is isotopic to  $\hat{\omega}$  by an isotopy fixing each point in  $\partial\mathbf{H}$ . That is,  $\hat{\omega}$  and  $\hat{\omega}'$  induce the same automorphism of  $G$ . In this case,  $\hat{\omega}$  and  $\hat{\omega}'$  are said to be equivalent and we denote the equivalence class of  $\hat{\omega}$  by  $[\hat{\omega}]$ .

Using the map  $\hat{\omega}$ , one constructs a biholomorphic map  $\theta$  of  $F(S)$  onto itself by the formula

$$\theta([\mu], z) = ([\nu], w^\nu \circ \hat{\omega} \circ (w^\mu)^{-1}(z)) \quad \text{for every pair } ([\mu], z) \in F(S), \quad (3)$$

where  $\nu$  is the Beltrami coefficient of  $w^\mu \circ \hat{\omega}^{-1}$ .

**LEMMA 2.1 (Bers [2]).** *Let  $\hat{\omega}$  and  $\hat{\omega}'$  be in the same equivalence class. If  $\hat{\omega}$  is replaced by  $\hat{\omega}'$ , the resulting map  $\theta$  defined as (3) is unchanged. In other words,  $\theta$  depends only on the equivalence class  $[\hat{\omega}]$ .*

Therefore, the map  $\theta$  is uniquely determined by  $[\omega]$ . Lemmas 3.1 to 3.5 of Bers [2] demonstrate that  $\theta$  is a holomorphic automorphism of  $F(S)$  that preserves the fiber structure and that all such  $\theta$ s form a group  $\text{mod}(S)$  acting on  $F(S)$  faithfully.

Note that each element  $g \in G$  acts on  $F(S)$  by the formula

$$g([\mu], z) = ([\mu], w^\mu \circ g \circ (w^\mu)^{-1}(z)).$$

In this way, the group  $G$  is regarded as a normal subgroup of  $\text{mod}(S)$ , and the quotient  $\text{mod}(S)/G$  is isomorphic to the modular group  $\text{Mod}_S$ . Let  $i : \text{mod}(S) \rightarrow \text{Mod}_S$  denote the natural projection that is induced by the holomorphic projection  $\pi : F(S) \rightarrow T(S)$ .

By [2, Theorem 10], the Bers isomorphism  $\varphi : F(S) \rightarrow T(\dot{S})$  induces an isomorphism  $\varphi^*$  of  $\text{mod}(S)$  onto the subgroup  $\text{Mod}_S^a$  of  $\text{Mod}_S$  that fixes the distinguished puncture  $a$  via the formula

$$\text{mod}(S) \ni [\hat{\omega}] \xrightarrow{\varphi^*} \varphi \circ [\hat{\omega}] \circ \varphi^{-1} \in \text{Mod}_S^a.$$

The image of  $[\hat{\omega}]$  in  $\text{Mod}_S^a$  under  $\varphi^*$  is denoted by  $[\hat{\omega}]^*$ .

### 3. Invariant geodesics embedded into another Teichmüller space via a Bers isomorphism

In this section, we assume that  $\chi \in \text{Mod}_S$  is a hyperbolic transformation that keeps a Teichmüller geodesic  $\mathcal{L} \subset T(S)$  invariant. We further assume that  $[0] \in \mathcal{L}$  is represented by  $S$ . Choose  $\phi \in Q(G)$  so that

$$\mathcal{L} = \left\{ \left[ \begin{array}{c} \bar{\phi} \\ t \frac{\bar{\phi}}{|\phi|} \end{array} \right], t \in (-1, 1) \right\}.$$

Write  $\mu = \bar{\phi}/\phi$ . Choose  $\hat{\omega} : \mathbf{H} \rightarrow \mathbf{H}$  that projects to  $\omega : S \rightarrow S$  that induces  $\chi$ . We assume that  $\omega$  is an absolutely extremal Teichmüller mapping on  $S$ . By an argument of Kra [7], there is a hyperbolic Möbius transformation  $M$  that leaves invariant  $(-1, 1)$  as well as  $\mathbf{D}$  and satisfies the equation

$$\chi([t\mu]) = [\text{Beltrami coefficient of } w^{t\mu} \circ \hat{\omega}^{-1}] = [M(t)\mu] \quad \forall t \in \mathbf{D}.$$

Suppose that  $z_0 \in S$  is a zero of  $\phi$ . Let  $\hat{z}_0 \in \mathbf{H}$  be such that  $\varrho(\hat{z}_0) = z_0$ . Let

$$\hat{\mathcal{L}} = \{([t\mu], w^{t\mu}(\hat{z}_0)), t \in (-1, 1)\} \subset F(S). \tag{4}$$

It is easy to see that the projection  $\pi : F(S) \rightarrow T(S)$  defines an embedding of  $\hat{\mathcal{L}}$  into  $T(S)$  with  $\mathcal{L} = \pi(\hat{\mathcal{L}})$ .

The following result is well known and the argument is implicitly given in [7].

**LEMMA 3.1.** *The image  $L = \varphi(\hat{\mathcal{L}})$  under the Bers isomorphism  $\varphi : F(S) \rightarrow T(\dot{S})$  is a Teichmüller geodesic.*

**PROOF.** By definition,  $\mathcal{L} = \mathcal{L}(t) \subset T(S)$ ,  $t \in (-1, 1)$ , is an isometric embedding. For any two points  $x, y \in \mathcal{L}$ , let  $\hat{x}, \hat{y} \in \hat{\mathcal{L}}$  be such that  $\pi(\hat{x}) = x$  and  $\pi(\hat{y}) = y$ . Let  $x^* = \varphi(\hat{x})$  and  $y^* = \varphi(\hat{y})$ . By complexifying there is a Teichmüller disk  $D \subset T(S)$  with  $\mathcal{L} \subset D$  and a holomorphic map  $s : D \rightarrow F(S)$  defined by sending the point  $[z\mu]$ ,  $z \in \mathbf{D}$  and  $\mu = \bar{\phi}/|\phi|$ , to the point  $([z\mu], w^{z\mu}(z_0))$ . It is easy to check that  $\hat{\mathcal{L}} \subset s(D)$  with  $s(x) = \hat{x}$  and  $s(y) = \hat{y}$ . Now  $\varphi \circ s : D \rightarrow T(\dot{S})$  is holomorphic and is distance nonincreasing. Therefore, we obtain

$$\langle x^*, y^* \rangle \leq \langle x, y \rangle. \tag{5}$$

Notice that the natural projection  $\pi : F(S) \rightarrow T(S)$  is holomorphic, so  $\pi \circ \varphi^{-1} : T(\dot{S}) \rightarrow T(S)$  is holomorphic and hence distance nonincreasing. It follows that  $\langle x, y \rangle \leq \langle x^*, y^* \rangle$ . Combining with (5), we conclude that

$$\langle x, y \rangle = \langle x^*, y^* \rangle \quad \text{for any two points } x, y \in \mathcal{L}.$$

Hence  $L = L(t)$  must also be an isometric embedding, which says that  $L$  is also a Teichmüller geodesic, as claimed. □

By [7], the element  $\theta = [\hat{\omega}] \in \text{mod}(S)$  acts on  $\hat{\mathcal{L}}$  via the formula

$$\theta([t\mu], w^{t\mu}(\hat{z}_0)) = ([M(t)\mu], w^{M(t)\mu} \circ \hat{\omega}(\hat{z}_0)) \quad \forall ([t\mu], w^{t\mu}(\hat{z}_0)) \in \hat{\mathcal{L}}.$$

From Lemma 2.1, one shows that the image  $\theta(\hat{\mathcal{L}})$  in  $F(S)$  only depends on  $[\hat{\omega}]$ . In summary, we have the following result.

**LEMMA 3.2 (Kra [7]).** *Suppose that  $z_0 \in S$  is a zero of  $\phi$ . An element  $\theta \in \text{mod}(S)$  keeps the line  $\hat{\mathcal{L}}$  invariant if the representative  $\hat{\omega}$  of  $\theta$  satisfies the condition that  $\hat{\omega}(\hat{z}_0) = \hat{z}_0$ .*

#### 4. Proof of Theorem 1.1

Let  $\mathcal{L} \subset T(S)$  be a geodesic invariant under a hyperbolic transformation  $\chi$ . Assume that  $\phi$  has a nonpuncture zero  $z_0$ . Let  $\hat{z}_0 \in \mathbf{H}$  be such that  $\varrho(\hat{z}_0) = z_0$ . Theorem 1.1 follows from Lemma 3.1 and the following two results.

**THEOREM 4.1.** *If  $z_0 \in P_i$  for some  $i$  for which  $1 \leq i \leq u$ , then there is an element  $\theta$  in  $\text{mod}(S)$  such that  $\theta^* = \varphi^*(\theta)$  is hyperbolic with the following properties:*

- (1)  $\theta$  projects to  $\chi$  under the projection induced by  $\pi : F(S) \rightarrow T(S)$ ; and
- (2) the lift  $\hat{\mathcal{L}}$  of  $\mathcal{L}$  that passes through  $\hat{z}_0$  and is defined by (4) is an invariant line under  $\theta$ .

**THEOREM 4.2.** *If  $z_0 \in Q_j$  for some  $j$  with  $1 \leq j \leq v$ , and  $\delta_0$  is a null curve, then there is an element  $\theta$  in  $\text{mod}(S)$  such that  $\theta^* = \varphi^*(\theta)$  is nonhyperbolic with properties (1) and (2) in Theorem 4.1.*

**PROOF OF THEOREM 1.1.** (1) If  $S$  is compact, there is nothing to prove. So we assume that  $n \geq 1$  and  $z_0 \in S$  is a zero of  $\phi$  that is not a puncture of  $S$ , and that  $\delta_0$  is a null curve. We further assume that  $Q_1$  is a component of  $S \setminus \{A, B\}$  that contains  $z_0$  and a puncture  $z_1$ . Clearly,  $z_0 \neq z_1$ . Let  $\hat{\mathcal{L}}$  be defined in (4). By Lemma 3.1,  $\varphi(\hat{\mathcal{L}}) \subset T(\hat{S})$  is a Teichmüller geodesic. By Theorem 4.2,  $\varphi(\hat{\mathcal{L}}) \subset T(\hat{S})$  is invariant under an element  $\theta^*$  that is not a hyperbolic modular transformation on  $T(\hat{S})$ . Hence by [3, Theorem 5],  $\varphi(\hat{\mathcal{L}})$  is not a Teichmüller geodesic in  $T(\hat{S})$ . This contradiction proves (1) of Theorem 1.1.

To prove (2), we assume that there are two zeros  $z_0$  and  $z_1$  lying in the closure of a disk component  $P_1$ , say. Let  $\hat{P}_1 \subset \mathbf{H}$  be such that  $\varrho|_{\hat{P}_1} : \hat{P}_1 \rightarrow P_1$  is a homeomorphism. Let  $\hat{z}_0, \hat{z}_1 \in \hat{P}_1$  be such that  $\varrho(\hat{z}_0) = z_0$  and  $\varrho(\hat{z}_1) = z_1$ . Let  $\hat{\mathcal{L}}_0$  and  $\hat{\mathcal{L}}_1$  be the lines passing through  $\hat{z}_0$  and  $\hat{z}_1$ , respectively. From Lemma 3.1,  $\varphi(\hat{\mathcal{L}}_0)$  and  $\varphi(\hat{\mathcal{L}}_1)$  are distinct Teichmüller geodesics in  $T(\hat{S})$ .

Let  $\theta_0^*$  and  $\theta_1^*$  denote the hyperbolic transformations obtained from  $\hat{z}_0$  and  $\hat{z}_1$  respectively (Theorem 4.1). Since  $\hat{z}_0$  and  $\hat{z}_1 \in \hat{P}_1$ , by construction of Theorem 4.1, if  $\delta_0$  is trivial, then  $\theta_0^* = \theta_1^*$ . Set  $\theta^* = \theta_0^* = \theta_1^*$ . By Lemma 3.2,  $\theta^*$  keeps both  $\varphi(\hat{\mathcal{L}}_0)$  and  $\varphi(\hat{\mathcal{L}}_1)$  invariant. It follows from [3, Theorem 5] that  $\theta^*$  is hyperbolic. This contradicts the uniqueness of the invariant geodesic of a hyperbolic transformation. This proves (2). □

**PROOF OF COROLLARY 1.2.** Note that the closure  $\bar{P}_i$  or  $\bar{Q}_j$  of each component  $P_i$  or  $Q_j$  in expression (1) is a polygon with geodesic boundary segments (with respect to the hyperbolic metric on  $S$ ). By the argument of Theorem 1.1, each  $\bar{P}_i$  or  $\bar{Q}_j$  cannot contain more than one zero  $z_j$  with  $\delta_j$  a null curve. Each pole of  $\phi$  must be a puncture of some  $Q_j$ . By Theorem 1.1(1), each  $\bar{Q}_j$  contains at most one zero  $z_j$  that is the puncture of  $Q_j$ . In this case,  $z_j$  cannot be a pole of  $\phi$ . Moreover, if there are components  $P_i$  and  $P_j$  in expression (1) with the null curve property so that a zero of  $\phi$  lies in  $\bar{P}_i \cap \bar{P}_j$ , then there do not exist any other zeros in either  $P_i$  or  $P_j$ . If a zero  $z_0$  with  $\delta_0$  a null curve lies in the intersections of  $\alpha_i$  and  $\beta_j$  for some  $\alpha_i \in A$  and  $\beta_j \in B$ , then the closure of a polygon in expression (1) one of whose vertices is  $z_0$  does not include any other zeros with the null curve property. Overall, we conclude that the total number of poles and distinct zeros  $z_i$  with  $\delta_i$  being null curves is no more than the number of components of  $S \setminus \{A, B\}$ .  $\square$

The rest of this paper is devoted to the proof of Theorems 4.1 and 4.2.

### 5. Reducible maps projecting to pseudo-Anosov maps

Let  $\dot{S}$  be a Riemann surface as defined in Section 2. We know that  $\dot{S}$  has type  $(p, n + 1)$ . Let  $W$  be a nonperiodic nonpseudo-Anosov self-map of  $\dot{S}$ . By Thurston [11], there exists an admissible system

$$\{c_1, c_2, \dots, c_s\}, \quad s \geq 1, \quad (6)$$

of simple nontrivial geodesics on  $\dot{S}$  so that for every  $i$ , where  $1 \leq i \leq s$ ,  $W(c_i)$  is homotopic to  $c_j$  for some  $j$  with  $1 \leq j \leq s$ . Here by ‘admissible’ we mean that no loop in (6) bounds a once punctured disk and  $c_i$  is not homotopic to  $c_j$  whenever  $i \neq j$ . Note that  $W$  may permute the components  $\{R_1, \dots, R_q\}$  of  $S \setminus \{c_1, c_2, \dots, c_s\}$ , and if  $W$  keeps a component  $R_j$  invariant, the restriction  $W|_{R_j}$  could be either the identity, or periodic, or pseudo-Anosov. Thus there is an integer  $K$  such that  $W^K$  keeps every  $c_i$  and every  $R_j$  invariant, and for each  $j$ , where  $1 \leq j \leq q$ ,  $W^K|_{R_j}$  is either the identity or pseudo-Anosov. If all  $W^K|_{R_j}$  are the identity,  $W^K$  is a product of powers of positive and negative Dehn twists along certain loops in (6). In general,  $W^K$  induces a pseudo-hyperbolic transformation on  $T(\dot{S})$ . See Bers [3] for details.

We now consider a special case. Let  $\theta = [\hat{\omega}]$  be an element of  $\text{mod}(S)$  that projects to  $\chi \in \text{Mod}_S$ . We assume that  $\chi$  is induced by  $\omega : S \rightarrow S$  that is an absolutely extremal Teichmüller mapping. Let  $\phi \in Q(G)$  be the corresponding quadratic differential. By Royden’s theorem [9] (see also Earle–Kra [4]),  $\theta^* = \phi^*(\theta)$  is a modular transformation on  $T(\dot{S})$ . Thus  $\theta^*$  is induced by a quasiconformal self-map  $W$  of  $\dot{S}$ . The map  $W$  is isotopic to  $\omega$  if  $W$  is viewed as a self-map of  $S$ . Notice that  $W$  is nonperiodic; it may or may not be pseudo-Anosov. Even if  $W$  is pseudo-Anosov,  $\dot{S}$  may not be the right candidate in  $T(\dot{S})$  that makes  $W$  an absolutely extremal self-mapping on  $\dot{S}$ .

**LEMMA 5.1.** *Assume, with the above notation, that  $S$  is not compact and  $W$  is not pseudo-Anosov. Then  $W$  is reduced by a single closed geodesic  $c_1$  that is a*



boundary of a twice punctured disk  $\Omega \subset \dot{S}$  that encloses  $a$ . More precisely, if we write  $\dot{S} \setminus c_1 = \Omega \cup R$ , then  $W|_\Omega$  is the identity and  $W|_R$  is pseudo-Anosov and essentially the same as  $\omega$ , and  $W$  induces a pseudo-hyperbolic transformation on  $T(\dot{S})$ .

**PROOF.** Let  $W$  be reduced by (6), and let  $\gamma_i$  denote the geodesic on  $S$  obtained from  $c_i$  by adding the puncture  $a$ . Since  $W$  is isotopic to  $\omega$  on  $S$ ,  $\omega$  keeps the curve system  $\{\gamma_1, \dots, \gamma_{s_0}\}$  invariant, where  $s_0 = s$  if neither two elements  $c_i$  and  $c_j$  bound an  $a$ -punctured cylinder, nor does an element  $c_i$  project to a trivial loop;  $s_0 = s - 1$  otherwise.

Since  $\omega$  is pseudo-Anosov, the set  $\{\gamma_1, \dots, \gamma_{s_0}\}$  is empty. Hence, the only possibility is that all geodesics in (6) are boundaries of twice punctured disks enclosing  $a$ . Since geodesics in (6), if not empty, are disjoint, we must have that  $s = 1$  and  $c_1$  in (6) is the boundary of a twice punctured disk.

As  $a$  is filled in,  $c_1$  becomes a trivial loop. This means that  $W|_R$  is essentially the same as  $\omega$ . Notice that  $\Omega$  is a twice punctured disk, and  $W|_\Omega$  fixes each boundary component. It follows that  $W|_\Omega$  is isotopic to the identity. The lemma is proved.  $\square$

The following result, along with Lemma 5.1, establishes the relationship between elements in  $\text{mod}(S)$  and nonpseudo-Anosov elements in  $\text{Mod}_S^a$  via the Bers isomorphism  $\varphi^*$ . Recall that  $[\hat{\omega}]^* = \theta^* \in \text{Mod}_S^a$  is induced by  $W : \dot{S} \rightarrow \dot{S}$ .

**LEMMA 5.2.** *Suppose that  $S$  is not compact. Assume that  $\omega : S \rightarrow S$  is pseudo-Anosov and fixes at least one puncture of  $S$ . Then certain nonpseudo-Anosov maps  $W$  of  $\dot{S}$  exist with the property that  $W$  projects to  $\omega$ . All possible nonpseudo-Anosov maps  $W$  projecting to  $\omega$  are obtained from those  $\hat{\omega} : \mathbf{H} \rightarrow \mathbf{H}$  that fix a fixed point of a parabolic element  $T$  of  $G$ . In particular, if  $\omega : S \rightarrow S$  does not fix any punctures of  $S$ , then every  $W$  so obtained must also be pseudo-Anosov.*

**PROOF.** Assume that  $\hat{\omega} : \mathbf{H} \rightarrow \mathbf{H}$  fixes the fixed point  $x$  of a parabolic element  $T \in G$ . This implies that  $\hat{\omega} \circ T = T^k \circ \hat{\omega}$  for some  $k \geq 1$ . That is,

$$[\hat{\omega}]^* \circ T^* = T^{*k} \circ [\hat{\omega}]^*. \tag{7}$$

From Theorem 2 of [7, 8],  $T^*$  is represented by a Dehn twist  $t_{\partial\Omega}$  along the boundary  $\partial\Omega$  of a twice punctured disk  $\Omega \subset \dot{S}$ . Let  $W : S \rightarrow S$  be a map that induces  $[\hat{\omega}]^*$ . From (7) we obtain

$$t_{W(\partial\Omega)} = t_{\partial\Omega}^k.$$

(In fact, it is easily shown that  $k = 1$ .) It follows that the map  $W$  leaves  $\partial\Omega$  invariant. So  $W$  is not pseudo-Anosov.

Conversely, assume that  $W$  is not pseudo-Anosov. By Lemma 5.1,  $W$  is reduced by a single geodesic  $c$  that is a boundary of a twice punctured disk. This means that  $W \circ t_c = t_c \circ W$ . By Theorem 2 of [7, 8] again, there is a parabolic element  $T \in G$  such that  $T^* = t_c$ . Hence,  $[\hat{\omega}]^* \circ T^* = T^* \circ [\hat{\omega}]^*$ . Thus  $\hat{\omega} \circ T^k = T^k \circ \hat{\omega}$  for any integer  $k$ . It follows that  $\hat{\omega}$  fixes the fixed point of  $T$ .

In particular, if  $\omega : S \rightarrow S$  does not fix any punctures of  $S$ , then  $W$  must be pseudo-Anosov.  $\square$

**REMARK.** The disk  $\Omega \subset \hat{S}$  obtained from Lemma 5.1 contains another puncture  $b \neq a$ , which is viewed as a puncture of  $S$  corresponding to the conjugacy class of  $T$ . Conversely, every  $[\hat{\omega}] \in \text{mod}(S)$  that fixes a parabolic fixed point of  $G$  produces a nonpseudo-Anosov map  $W$  on  $\hat{S}$  that is characterized in Lemma 5.1.

### 6. Pseudo-Anosov maps and their lifts defined by geodesics

Let  $A = \{\alpha_1, \dots, \alpha_q\}$  and  $B = \{\beta_1, \dots, \beta_r\}$ . Let  $w$  be as defined in the Introduction. One writes

$$w = \prod_i^N (t_{\alpha_1}^{n_{i1}} \circ \dots \circ t_{\alpha_q}^{n_{iq}} \circ t_{\beta_1}^{-m_{i1}} \circ \dots \circ t_{\beta_r}^{-m_{ir}}) \tag{8}$$

for a positive integer  $N$  and nonnegative integers  $n_{ij}$  and  $m_{ik}$  with the property that

$$\sum_{i=1}^N n_{ij}^2 \neq 0 \quad \text{and} \quad \sum_{i=1}^N m_{ik}^2 \neq 0, \tag{9}$$

where  $1 \leq i \leq N$ ,  $1 \leq j \leq q$  and  $1 \leq k \leq r$ . By [10], the map  $w : S \rightarrow S$  represents a pseudo-Anosov mapping class on  $S$ . Let  $z \in S \setminus \{A, B\}$ . Let  $\Delta$  be a fundamental region of  $G$  and let  $\hat{z} = \{\varrho^{-1}(z)\} \cap \Delta$ .

Let  $\hat{\alpha}_1 \subset \mathbf{H}$  be a geodesic such that  $\varrho(\hat{\alpha}_1) = \alpha_1$  and  $\Delta \cap \hat{\alpha}_1 \neq \emptyset$ . Note that there may be more than one choice for such a geodesic  $\hat{\alpha}_1$ . The geodesic  $\hat{\alpha}_1$  is invariant under a simple hyperbolic element  $g_{\hat{\alpha}_1}$  of  $G$ . Let  $D_{\hat{\alpha}_1}$  and  $D'_{\hat{\alpha}_1}$  be the components of  $\mathbf{H} \setminus \hat{\alpha}_1$ .

To obtain a lift  $\tau_{\hat{\alpha}_1}$  of  $t_{\alpha_1}$  with the fixed geodesic  $\hat{\alpha}_1$ , we take an earthquake shifting along  $\hat{\alpha}_1$  in such a way that it is the identity on  $D'_{\hat{\alpha}_1} \cup \hat{\alpha}_1$ , and is  $g_{\hat{\alpha}_1}$  on  $D_{\hat{\alpha}_1}$  away from a small neighborhood of  $\hat{\alpha}_1$ . We thus define  $\tau_{\hat{\alpha}_1}$  on  $\mathbf{H}$  via  $G$ -invariance. Note that if  $\tau_{\hat{\alpha}_1}$  is a lift obtained in this way, then  $g_{\hat{\alpha}_1}^{-1} \circ \tau_{\hat{\alpha}_1}$  or  $\tau_{\hat{\alpha}_1} \circ g_{\hat{\alpha}_1}^{-1}$  is also a lift of  $t_{\alpha_1}$  defined by the other component  $D'_{\hat{\alpha}_1}$ . Thus one may assume without loss of generality that  $\tau_{\hat{\alpha}_1}(\hat{z}) = \hat{z}$  and  $\hat{z} \in D'_{\hat{\alpha}_1}$ .

The construction of  $\tau_{\hat{\alpha}_1}$  gives rise to a collection  $E_{\hat{\alpha}_1}$  of half-planes, among which a partial order can be naturally defined. There are infinitely many disjoint maximal elements of  $E_{\hat{\alpha}_1}$  and for each maximal element  $D_{\hat{\alpha}_1} = D^1_{\hat{\alpha}_1}$  of  $E_{\hat{\alpha}_1}$ , there are infinitely many second-level elements  $D^2_{\hat{\alpha}_1} \subset D^1_{\hat{\alpha}_1}$ ; and for each such  $D^2_{\hat{\alpha}_1}$ , there are infinitely many third-level elements  $D^3_{\hat{\alpha}_1}$  in  $D^2_{\hat{\alpha}_1}$ , and so on.

The quasiconformal homeomorphism  $\tau_{\hat{\alpha}_1}$  restricts to the identity on the complement of disjoint union of all maximal elements of  $E_{\hat{\alpha}_1}$  in  $\mathbf{H}$ ; it is quasiconformal with Beltrami coefficient supported on (disjoint) neighborhoods of  $\hat{\alpha}_1$  and its  $G$ -translations. Moreover, from the construction,  $\tau_{\hat{\alpha}_1}(\hat{y}) = \hat{y}$  for points  $\hat{y}$  on the boundaries of all maximal elements of  $E_{\hat{\alpha}_1}$ .  $\tau_{\hat{\alpha}_1}$  naturally extends to a quasisymmetric

mapping of  $\partial\mathbf{H}$  onto  $\partial\mathbf{H}$  that fixes infinitely many hyperbolic fixed points of  $G$  and infinitely many parabolic fixed points if  $S$  is not compact.

Let  $\hat{\alpha}_1, \dots, \hat{\alpha}_q \subset \mathbf{H}$  be the geodesics such that  $\Delta \cap \hat{\alpha}_j \neq \emptyset$  for  $j = 1, \dots, q$ . Since  $\alpha_1, \dots, \alpha_q$  are pairwise disjoint,  $\hat{\alpha}_1, \dots, \hat{\alpha}_q$  are pairwise disjoint as well. Since  $z \in S \setminus \{A, B\}$ , the maximal elements  $D_{\hat{\alpha}_1}, \dots, D_{\hat{\alpha}_q}$  can be properly chosen so that

$$\hat{z} \in \Delta \setminus \{\text{all maximal elements of } E_{\hat{\alpha}_1}, \dots, E_{\hat{\alpha}_q}\}. \tag{10}$$

Notice that the simple closed geodesics  $\alpha_1, \dots, \alpha_q$  are pairwise disjoint and that the region  $\Delta \setminus \{\text{all maximal elements of } E_{\hat{\alpha}_1}, \dots, E_{\hat{\alpha}_q}\}$  is not empty, by [12, Lemma 4],  $\tau_{\hat{\alpha}_{j_1}}$  commutes with  $\tau_{\hat{\alpha}_{j_2}}$  for  $j_1, j_2 = 1, \dots, q$ . Now for a nonnegative integer tuple  $\sigma_i = (n_{i1}, \dots, n_{iq})$  that satisfies (9), we define

$$\hat{T}_A^{\sigma_i} = \tau_{\hat{\alpha}_1}^{n_{i1}} \circ \tau_{\hat{\alpha}_2}^{n_{i2}} \circ \dots \circ \tau_{\hat{\alpha}_q}^{n_{iq}}, \quad 1 \leq i \leq N. \tag{11}$$

We see that  $\hat{T}_A^{\sigma_i}$  does not depend on the order of those  $\tau_{\hat{\alpha}_1}^{n_{i1}}, \dots, \tau_{\hat{\alpha}_q}^{n_{iq}}$ .

Similarly, let  $\hat{\beta}_1, \dots, \hat{\beta}_r \subset \mathbf{H}$  be the geodesics such that  $\Delta \cap \hat{\beta}_k \neq \emptyset$  for  $k = 1, \dots, r$ . The maximal elements  $D_{\hat{\beta}_1}, \dots, D_{\hat{\beta}_r}$  can also be properly chosen so that

$$\hat{z} \in \Delta \setminus \{\text{all maximal elements of } E_{\hat{\beta}_1}, \dots, E_{\hat{\beta}_r}\}. \tag{12}$$

For a nonnegative integer tuple  $\lambda_i = (m_{i1}, \dots, m_{ir})$  that satisfies (9), we define

$$\hat{T}_B^{-\lambda_i} = \tau_{\hat{\beta}_1}^{-m_{i1}} \circ \tau_{\hat{\beta}_2}^{-m_{i2}} \circ \dots \circ \tau_{\hat{\beta}_r}^{-m_{ir}}, \quad 1 \leq i \leq N. \tag{13}$$

Again,  $\hat{T}_B^{-\lambda_i}$  does not depend on the order of those  $\tau_{\hat{\beta}_1}^{-m_{i1}}, \dots, \tau_{\hat{\beta}_r}^{-m_{ir}}$ .

More precisely, we assume that  $z$  lies in one component  $R$  of expression (1). The component  $R$  is either  $P_i$  for some  $i$  with  $1 \leq i \leq u$ , or  $Q_j$  for some  $j$  with  $1 \leq j \leq u$ . Since  $\varrho : \mathbf{H} \rightarrow S$  is a local homeomorphism, there is a nonempty subset  $\Sigma_R$  of  $\Delta$  such that  $\hat{z} \in \Sigma_R$  and  $\varrho|_{\Sigma_R} : \Sigma_R \rightarrow R$  is a homeomorphism. As we remarked earlier, there is more than one choice of each geodesic  $\hat{\alpha}_j$  that meets  $\Delta$  so that  $\varrho(\hat{\alpha}_j) = \alpha_j$ . In any case, there are only finitely many maximal elements of  $E_{\hat{\alpha}_j}$  and  $E_{\hat{\beta}_k}$  that intersect  $\Delta$ . The region  $\Sigma_R$  can be obtained from the fundamental region  $\Delta$  with the removal of all such (finitely many) maximal elements of  $E_{\hat{\alpha}_j}$  and  $E_{\hat{\beta}_k}$  for  $1 \leq j \leq q$  and  $1 \leq k \leq r$ . We now consider the map

$$\hat{T}_{\Delta,R} = \prod_i^N (\hat{T}_A^{\sigma_i} \circ \hat{T}_B^{-\lambda_i}). \tag{14}$$

**LEMMA 6.1.** *With the above construction, the map  $\hat{T}_{\Delta,R}$  defined as (14) is a lift of  $w$  and fixes any point  $\hat{z} \in \Sigma_R$ . Furthermore, if  $\Delta'$  is another fundamental region of  $G$ , then there is an element  $h \in G$  sending  $\Delta$  onto  $\Delta'$  so that*

$$h \circ (\hat{T}_{\Delta,R}) \circ h^{-1} = \hat{T}_{\Delta',R}.$$

**PROOF.** By construction,  $\tau_{\hat{\alpha}_j}$  and  $\tau_{\hat{\beta}_k}$  are lifts of  $t_{\alpha_j}$  and  $t_{\beta_k}$ , respectively. One obtains

$$\varrho \circ \tau_{\hat{\alpha}_j} = t_{\alpha_j} \circ \varrho \quad \text{and} \quad \varrho \circ \tau_{\hat{\beta}_k} = t_{\beta_k} \circ \varrho.$$

From (11), (13) and (14), one calculates that  $\varrho \circ \hat{T}_{\Delta,R} = w \circ \varrho$ . This says that  $\hat{T}_{\Delta,R}$  is a lift of  $w$ .

Clearly,  $w$  has the property that  $w(z) = z$  for  $z \in S \setminus \{A, B\}$ . It is immediate that  $\hat{T}_{\Delta,R}$  fixes any point  $\hat{z} \in \Sigma_R$ . The last statement is also trivial. □

In what follows we fix a fundamental region  $\Delta$  of  $G$ . From Lemma 6.1, each component  $R$  of  $S \setminus \{A, B\}$  corresponds to an element  $[\hat{T}_{\Delta,R}]$  in  $\text{mod}(S)$  such that  $\hat{T}_{\Delta,R}|_{\Sigma_R}$  is the identity. We thus obtain an injection:

$$\{P_1, \dots, P_u; Q_1, \dots, Q_v\} \ni R \longmapsto [\hat{T}_{\Delta,R}] \in \text{mod}(S).$$

If  $R = P_i$  for some  $i$  with  $1 \leq i \leq u$ , the region  $\Sigma_R$  stays away from  $\partial\mathbf{H}$ .

**LEMMA 6.2.** *Suppose that  $R$  contains a zero  $z_i$  of  $\phi$  with the property that the curve  $\delta_i$  is trivial. Then  $[\hat{T}_{\Delta,R}]^* \in \text{Mod}_S^a$  is hyperbolic.*

**PROOF.** Let  $\mathcal{L} \subset T(S)$  be the invariant geodesic under the hyperbolic mapping class  $\chi$ . Let  $\hat{z}_i \in \Sigma_R$  be such that  $\varrho(\hat{z}_i) = z_i$ . Let  $\hat{\mathcal{L}} \subset F(S)$  be defined by (4), and let  $\hat{\omega}$  be the lift of  $\omega$  that fixes  $\hat{z}_i$ . By assumption,  $[\hat{\omega}] = [\hat{T}_{\Delta,R}]$ . Thus from Lemma 3.2, we see that  $[\hat{T}_{\Delta,R}]^*$  keeps  $\varphi(\hat{\mathcal{L}}) \subset T(\hat{S})$  invariant. By Lemma 3.1,  $\varphi(\hat{\mathcal{L}})$  is a Teichmüller geodesic. By [3, Theorem 5],  $[\hat{T}_{\Delta,R}]^*$  is hyperbolic, as asserted. □

**REMARK.** From Lemmas 6.2 and 5.2, for a disk component  $R$  containing a zero of  $\phi$  and for arbitrary fundamental region  $\Delta$ , we conclude that  $\hat{T}_{\Delta,R}$  does not fix any parabolic fixed point of  $G$ . A direct proof of this fact is difficult.

In the case of  $R = Q_j$  for some  $j$ , where  $1 \leq j \leq v$ , the set  $\Sigma_R$  touches  $\partial\mathbf{H}$  at the fixed point of a parabolic element of  $G$  corresponding to the puncture  $z_j$  of  $Q_j$ . The following lemma handles this case. Let  $z \in R$ , and  $\hat{z} \in \Sigma_R$  be such that  $\rho(\hat{z}) = z$ .

**LEMMA 6.3.** *Under the above condition, the map  $\hat{T}_{\Delta,R}$  fixes both  $\hat{z}$  and the fixed point of a parabolic element of  $G$ .*

**PROOF.** From Lemma 6.1, the map  $\hat{T}_{\Delta,R}$  fixes  $\hat{z}$  for  $\hat{z} \in \Sigma_R$ . Note that the boundary of  $\Sigma_R$  consists of portions of some translations of  $\hat{\alpha}_j$  and  $\hat{\beta}_k$ . Let  $z_j$  be the puncture of  $Q_j$ . We can draw a path  $\gamma$  in  $Q_j$  that connects from  $z$  to  $z_j$  without intersecting any boundary components of  $Q_j$ . In particular,  $\gamma$  is disjoint from any element in  $A$  or  $B$ .

Now we can lift the path  $\gamma$  to a path  $\hat{\gamma}$  in  $\mathbf{H}$  that connects from  $\hat{z}$  to a parabolic vertex  $v_j$  of  $\Delta$  (corresponding the puncture  $z_j$ ). Since  $\gamma$  does not intersect  $\{A, B\}$ ,  $\hat{\gamma}$  avoids all maximal elements of  $E_{\hat{\alpha}_j}$  and  $E_{\hat{\beta}_k}$  for  $1 \leq j \leq q$  and  $1 \leq k \leq r$ . But since  $\hat{T}_{\Delta,R}$  fixes  $\hat{z}$  as well as any other points in  $\hat{\gamma}$ , by continuity, we conclude that  $\hat{T}_{\Delta,R}$  fixes  $v_j$ , as asserted. □

As an immediate consequence of Lemma 6.3, we obtain the following result.

**LEMMA 6.4.** *Under the same condition of Lemma 6.3,  $[\hat{T}_{\Delta,R}]^*$  is a pseudo-hyperbolic modular transformation on  $T(\hat{S})$ .*

**PROOF.** The lemma follows from Lemmas 6.3 and 5.2. □

### 7. Proof of Theorems 4.1 and 4.2

We assume that  $S$  is noncompact. Recall that  $Q_1, \dots, Q_v$  obtained from (1) are all possible once punctured disk components of  $S \setminus \{A, B\}$ .

Since a word  $w$  defined by (8) represents a pseudo-Anosov mapping class (see Penner [10]), we see that  $w$  is isotopic to a pseudo-Anosov map  $\omega$ . By assumption, the map  $\omega$  fixes nonpuncture zeros. Let  $\chi \in \text{Mod}_S$  be induced by  $\omega$ . Then  $\chi$  is hyperbolic in the sense of Bers [3]. It follows from [3, Theorem 5] that there is a Teichmüller geodesic  $\mathcal{L}$  in  $T(S)$  such that  $\chi(\mathcal{L}) = \mathcal{L}$ .

Let  $x \in \mathcal{L}$  be represented by  $S$ . Then  $\omega : S \rightarrow S$  is an absolutely extremal Teichmüller mapping. Let  $z_0 \in \bar{S}$  be a zero of  $\phi$  so that  $\delta_0$  is trivial. Note that some zeros could be punctures of  $S$ . Suppose that  $z_0 \in Q_1$  is a nonpuncture zero of  $\phi$ . Let  $z_1$  denote the puncture of  $Q_1$ . Then  $z_0 \neq z_1$ . For any point  $\hat{z}_0 \in \rho^{-1}(z_0)$ , we can choose a fundamental region  $\Delta$  of  $G$  so that  $\hat{z}_0 \in \Delta$ . Since  $Q_1$  contains a puncture, there is a parabolic vertex  $v_1$  of  $\Delta$  in  $\partial\mathbf{H}$  that corresponds to the puncture  $z_1$ .

By Lemma 6.1, the map  $w$  can be lifted to  $\hat{T}_{\Delta,R}$  that fixes  $\hat{z}_0$ . From Lemma 6.3,  $\hat{T}_{\Delta,R}$  fixes  $v_1$ . Note that  $\omega$  is isotopic to  $w$ . By assumption, an isotopy  $H_t(\cdot)$  on  $S$  connecting  $\omega$  and  $w$  can be constructed to leave  $z_0$  fixed. Now  $\omega$  can be lifted to  $\hat{\omega}$  so that  $\hat{\omega}(\hat{z}_0) = \hat{z}_0$ . Also, the isotopy  $H_t(\cdot)$  can be lifted to an isotopy  $\hat{H}_t(\cdot)$  that satisfies the following properties: (i) for all  $0 \leq t \leq 1$ ,  $\hat{H}_t(\cdot) \circ G \circ \hat{H}_t(\cdot)^{-1} = G$ ; (ii) for all  $0 \leq t \leq 1$ ,  $\hat{H}_t(\hat{z}_0) = \hat{z}_0$ ; and (iii)  $\hat{H}_0(\cdot) = \hat{\omega}$ .

Since  $\hat{T}_{\Delta,R}$  is a lift of  $w$ , there is an element  $h \in G$  such that  $\hat{H}_1(\cdot) = h \circ \hat{T}_{\Delta,R}$ . Obviously,  $\hat{\omega}|_{\partial\mathbf{H}} = h \circ \hat{T}_{\Delta,R}|_{\partial\mathbf{H}}$ . Since both  $\hat{\omega}$  and  $\hat{T}_{\Delta,R}$  fix  $\hat{z}_0$ ,  $h(\hat{z}_0) = \hat{z}_0$ . Hence  $h = id$ . It follows that  $\hat{\omega}|_{\partial\mathbf{H}} = \hat{T}_{\Delta,R}|_{\partial\mathbf{H}}$  and thus  $[\hat{\omega}] = [\hat{T}_{\Delta,R}]$ . We conclude that  $\hat{\omega}$  also fixes  $v_1$ . By Lemma 5.2,  $[\hat{\omega}]^* \in \text{Mod}_S^a$  is not pseudo-Anosov. Set  $\theta = [\hat{\omega}] = [\hat{T}_{\Delta,R}]$ . We claim that  $\theta$  satisfies conditions (1) and (2) of Theorem 4.1. Indeed, condition (1) is clear. Since  $\hat{\omega}$  fixes  $\hat{z}_0$ , by Lemma 3.2,  $\theta$  keeps  $\hat{\mathcal{L}} \subset F(S)$  (defined in (4)) invariant. So condition (2) holds. This completes the proof of Theorem 4.2.

The proof of Theorem 4.1 is similar. Suppose that  $z_0 \in P_1$ , where  $z_0$  is a zero of  $\phi$  so that  $\delta_0$  is trivial. From Lemma 6.1 again, the map  $w$  can be lifted to  $\hat{T}_{\Delta,R}$  that fixes  $\hat{z}_0$ . From Lemma 6.2 and the same argument as above,  $[\hat{T}_{\Delta,R}]^*$  is hyperbolic and satisfies conditions (1) and (2) of Theorem 4.1. If the condition that  $\delta_0$  is trivial is not assumed, then we can only get that  $[\hat{\omega}]$  is hyperbolic and satisfies those conditions of Theorem 4.1.

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